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Anne Kronenberg
Executive Director

To: Angela Calvillo, Clerk of the Board of Supervisors

From: William Lee
Acting Executive Director, Department of Emergency Management

Date: December 2, 2013

RE: **Accept and Expend Bay Area Urban Areas Security Initiative (UASI) FY 2013 Homeland Security Grant Program Budget Revision**

The Department of Emergency Management is pleased to present the following Grant Report in summary of the above-referenced item submitted by the Department of Emergency Management.

Legislation For Approval: The proposed resolution seeks the Board of Supervisors' approval for the acceptance and expenditure of an additional \$687,988 in funds from the Federal Department of Homeland Security (DHS) through the California Office of Emergency Services (CalOES) to provide financial assistance to address the unique planning, equipment, training, exercise, and organizational needs of high-threat, high-density Urban Areas, and assist those areas in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from natural or human caused disasters as well as threats and terrorism.

The grant was awarded to the Bay Area UASI from the Department of Homeland Security as a pass through from the California Office of Emergency Services. Based on the FY 12 UASI grant award, the Department included \$21,931,312 in the Annual Appropriation Ordinance (AAO). However, the FY 13 award was actually \$22,619,300, an increase of \$687,988. San Francisco will continue to act as the fiscal agent for all regional Homeland Security Grant funds.

Departments: **Department of Emergency Management (DEM).** Department acts as the fiscal agent and expenditures benefit the Bay Area UASI which includes the City and County of San Francisco, the City of Oakland, and the City of San Jose, as well as the twelve contiguous Bay Area counties and the other Bay Area governmental jurisdictions within those counties.

The Bay Area UASI was established, in grant year FY2006, as a requirement of the Department of Homeland Security for regions to create a governance structure for the distribution of the Urban Area Strategic Initiative grant funding.

The Bay Area UASI is lead by a grant funded general manager and staff consisting of employees from the cities and counties within the Bay Area region. Based on requirements of the grant, as well as recommendations of regional working groups and committees, grants are allocated through a regional Advisory Group and under the policy direction of the Approval Authority, which consists of representatives of the Cities of San Jose, Oakland, and San Francisco, and the Counties of Alameda, Contra Costa, Marin, Monterey, Santa Clara, San Francisco, San Mateo, and Sonoma. CalOES also holds a seat on the Approval Authority. The chair of the Approval Authority is the Executive Director of the San Francisco Department of Emergency Management.

As the fiscal agent, DEM would be authorized to accept and expend these additional grant funds on behalf of the Bay Area, and to receive grant reimbursements for expenses incurred on behalf of the region.

DEM is responsible for administering the grant for the Bay Area UASI, ensuring that the grant funds are spent according to the grant guidelines, and ensuring that each of the Bay Area counties and cities that may receive a portion of these grant funds adheres to the grant and financial guidelines.

Each sub-recipient county or city that participates in this grant will enter into a grant MOU with DEM that outlines their obligation under the grant allocation, including requirements for contracting and procurement, record keeping and reimbursement documentation, and adherence to federal grant assurances.

Amount:

The FY 13 grant award represents an increase in the FY 12 award by \$687,988 for a total FY 13 award of \$22,619,300 and is allocated to the above jurisdictions by agreement of the UASI Approval Authority.

Grant Period:

September 1, 2013 through May 31, 2015

Source of funds:

U.S. Department of Homeland Security, through the California Office of Emergency Services. No match is required.

Indirect Costs:

The proposed resolution waives indirect costs, because the grant program does not allow the charging of indirect costs.

Description:

The proposed resolution authorizes the Department of Emergency Management to accept and expend an additional \$687,988 in federal grant funding from the FY 2013 Homeland Security Grant Program (Award # 2013- 00110, OES # 075-95017), issued by the U.S. Department of Homeland Security (DHS) through the California Office

of Emergency Services (CalOES). This reflects an increase to the \$21,931,312 originally approved in the AAO.

Budget:

The original budget by activity for the subject grant with revised allocation:

(1) Original Equipment Budget: \$6,143,349

Funds will be used for the purchase of equipment for first responders throughout the region such as: Cyber Security Enhancement Equipment, Terrorism Incident Response Equipment, Interoperable Communications Equipment, Information Technology Equipment, a CBRNE Response vehicle and a passenger van to transport firefighters, CBRNE Logistical Support Equipment, Detection and Decontamination Equipment, personal protective equipment, Incident Management Team equipment, and necessary NERT equipment.

Additional funds added to equipment budget: \$62,902

The \$62,902 of additionally awarded funds will be allocated to software maintenance and the purchase of probation data software.

New Equipment Total: **6,206,251**

(2) Original Planning Budget: \$7,469,987

The original budget amount allocated funds for the continuation of a Metrics/Data Exchange project, the preparation of a Grant Effectiveness strategy, a Medical Volunteers strategic plan for Alameda and a neighborhood support center plan, master response plan updates, and hazard mitigation planning for San Francisco. Additional funding will be used by Alameda, San Mateo, Santa Clara, and Sonoma Counties to pay for multidisciplinary project planning staff. Both the cities of Oakland and San Jose will continue funding multidisciplinary planning staff. DEM will also continue to fund an Emergency Response planner, a Risk Management Planner, and three strategic planners. The San Francisco Fire Department will continue to receive funding for one position to provide updated plans and protocols within their department and the San Francisco Police Department will continue to receive funding for one position to provide updated plans and protocols within their department as well as continued funding for one position for Risk Capability Planning and one position for Critical Infrastructure Planning. The San Francisco GSA will also receive funding for staffing positions to work with the Lifelines Council and Disaster Logistics Planning.

Additional funds added to Planning Budget: \$300,000
The \$300,000 of additionally awarded funds will be allocated to Public/Private Partnership planning, Disaster Recovery planning, and SF72, DEM's website planning application.

New Planning Total: \$7,769,987

(3) Original Training Budget: \$2,077,000
The original budget amount represents the planned allocation to conduct regional Rescue Boat Operator Training, regional bomb squad classes, Hazmat training, Earthquake Management Training, Rescue system courses, tactical medicine courses, and various response courses for campus police departments. DEM will continue funding one Training Planner.

Additional funds added to Training Budget:
The \$50,500 of additionally awarded funds will be allocated to specialized Training courses for the NCRIC. \$50,500

New Training Total: \$2,127,500

(4) Original Exercise Budget: \$1,700,000
The amount represents the planned allocation for regional exercise planning, supplies, and the conduct of exercises using HSEEP (Homeland Security Exercise and Evaluation Program) guidelines and requirements. DEM will also continue funding two exercise planners and Alameda County will be provided funding to hire an Exercise overhead planning team.

Additional funds added to the Exercise Budget: \$150,000
The \$150,000 in additional funds will be allocated for a regional Mass Prophylaxis exercise.

New Exercise Total: \$1,850,000

(5) Original Organizational Budget: \$3,444,411
The original budget amount represents the planned allocation for information sharing and collaboration as well as critical infrastructure protection. This amount pays for salary and fringe costs of regional intelligence analysts that staff the Northern California Regional Intelligence Center (NCRIC).

Additional funds added to Organizational budget: \$90,186
The \$90,186 in additional funding will be allocated to the NCRIC to hire a cyber security analyst.

New Organizational Total: \$3,534,597

(6) Original Management & Administration Budget: \$1,096,565
The original budget amount for Management and Administration expenses include UASI grant administration staff, line item office expenses, Controller's Accounting, City Attorney, office and equipment maintenance and leases. The amount budgeted reflected 5% of the cash grant, which was the maximum allowable under the guidelines.

Additional funds added to the Management and Administration Budget: \$34,400

The additional \$34,400 will be allocated to office supplies and equipment maintenance and leases. This amount, when added to the original budget amount totals 5% of the grant award, the maximum allowable under the guidelines.

New Management and Administration Total: \$1,130,965

Original Budget: \$21,931,312

Additional Funds: \$687,988

Total New Budget: \$22,619,300