

FILE NO. 140366

Petitions and Communications received from April 14, 2014, through April 21, 2014, for reference by the President to Committee considering related matters, or to be ordered filed by the Clerk on April 29, 2014.

Personal information that is provided in communications to the Board of Supervisors is subject to disclosure under the California Public Records Act and the San Francisco Sunshine Ordinance. Personal information will not be redacted.

From Laguna Honda Hospital and Rehabilitation Center, submitting quarterly admissions statistics report. (1)

From Youth Commission, regarding actions at the April 7, 2014, meeting. File No. 140274. Copy: Each Supervisor. (2)

From Asian Women's Shelter, regarding Victor Hwang for appointment to Police Commission. File No. 140359. Copy: Each Supervisor. (3)

From concerned citizens, regarding reappointment of Police Commissioner Angela Chan. File No. 140359. 2 letters. Copy: Each Supervisor. (4)

From concerned citizens, regarding seismic evaluation of private schools. File No. 140120. 22 letters. (5)

From La Casa de las Madres, submitting letter of recommendation for Victor Hwang to Police Commission. Copy: Each Supervisor. (6)

From concerned citizens, submitting signatures for petition regarding Municipal Transportation Agency budget priorities. 2586 signatures. Copy: Each Supervisor. (7)

From concerned citizens, submitting signatures for petition regarding Municipal Transportation Agency reform. 143 signatures. Copy: Each Supervisor. (8)

From concerned citizens, regarding Municipal Transportation Agency enforcement of parking meters on Sundays. 2 letters. Copy: Each Supervisor. (9)

From concerned citizens, submitting signatures for petition regarding GoSolarSF program. File No. 140076. 140 signatures. Copy: Each Supervisor. (10)

From Chamber of Commerce, regarding Graffiti Prevention and Abatement ordinance. File No. 140261. Copy: Each Supervisor. (11)

From Treasurer and Tax Collector, submitting Monthly Investment Report for March 2014. Copy: Each Supervisor. (12)

From Department of Public Health, regarding request for waiver from compliance with Administrative Code, Chapter 12B. (13)

From Controller, submitting audit report of Department of Public Works controls over the Public Safety Building project. Copy: Each Supervisor. (14)

From Jim Corrigan, regarding parking enforcement in red zone. Copy: Each Supervisor. (15)

From Bob Planthold, regarding transportation network companies. Copy: Each Supervisor. (16)

From Allen Jones, regarding removal from Human Rights Commission Equity Advisory Committee. Copy: Each Supervisor. (17)

From Graffiti Advisory Board, regarding proposed graffiti prevention and abatement ordinance. File No. 140261. Copy: Each Supervisor. (18)

From Department of Public Works, submitting Earthquake Safety and Emergency Response 2010 Accountability Report. Copy: Each Supervisor. (19)

From Fire Department, responding to Supervisor Norman Yee's inquiry. (Reference No. 20140107-009). (20)

From Department of Public Health, responding to Supervisor Norman Yee's inquiry. (Reference No. 20140107-002). (21)

From Entertainment Commission, responding to Supervisor Norman Yee's inquiry. (Reference No. 20140107-006). (22)

From Bill Quan, regarding increased spending on eviction legal defense. Copy: Each Supervisor. (23)

From Pacific Gas and Electric Company, reporting that California Public Utilities Commission issued its Order Instituting Rulemaking in R.14-03-016. Copy: Each Supervisor. (24)

GAO Committee
GAO Clerk
COB
Cpage

Department of Public Health
Barbara A. Garcia, MPA, Director of Health



Edwin M. Lee
Mayor

Laguna Honda Hospital and Rehabilitation Center
Mivic Hirose, RN, CNS, Executive Administrator

April 11, 2014

Honorable Malia Cohen
Committee Chair, Board of Supervisors

Honorable David Campos
Committee Vice Chair, Board of Supervisors

Honorable Katy Tang
Member, Board of Supervisors

Government Audit and Oversight Committee
City Hall, Room 244
San Francisco, CA 94102

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2014 APR 14 PM 12:12
AK

Dear Honorable Supervisors Cohen, Campos and Tang,

I am enclosing the quarterly report on behalf of Laguna Honda Hospital and Rehabilitation Center. This report is referred to by Resolution No. 200-05, File No. 050396.

The report details statistics data for Laguna Honda's admissions, age, ethnicity, and referral information.

I am available to answer any questions you may have. I can be reached at 759-2363. Thank you.

Sincerely,

A handwritten signature in cursive script, appearing to read "Mivic Hirose".

Mivic Hirose
Executive Administrator
Laguna Honda Hospital and Rehabilitation Center

Attachments:

A. Sources of New SNF Admissions to Laguna Honda

A-1	2014 1 st Quarter
A-2	2013
A-3	2012
A-4	2011
A-5	2010
A-6	2009

B. Laguna Honda Distribution of Residents by Race

B-1	3/31/14 and 3/31/13 Snapshot
B-2	3/31/12 and 3/31/11 Snapshot
B-3	3/31/10 and 3/31/09 Snapshot

C. Laguna Honda Gender Distribution 2009 to 2014 1st Quarter

D. Laguna Honda Age Distribution 2009 to 2014 1st Quarter

cc: Honorable Norman Yee, Board of Supervisors
Angela Calvillo, Clerk of the Board
Barbara A. Garcia, Director of Health
Ronald Pickens, Director of San Francisco Health Network

SOURCES OF NEW ADMISSIONS TO LAGUNA HONDA HOSPITAL *
JANUARY 2014 – MARCH 2014

		%		%		%		%		%		%		%		%		%		%		%		%		%
Source of Admission	Jan	SFGH	Feb	SFGH	Mar	SFGH	Apr	SFGH	May	SFGH	June	SFGH	July	SFGH	Aug	SFGH	Sept	SFGH	Oct	SFGH	Nov	SFGH	Dec	SFGH	Total	%
Board and Care	1				1																				2	2%
Cal Pac Acute			1																						1	1%
Cal Pac SNF					2																				2	2%
Chinese Hospital Acute	1																								1	1%
Chinese Hospital SNF																									0	0%
Home	3		3		7																				13	11%
Home Health																									0	0%
Kaiser Acute																									0	0%
Kaiser SNF																									0	0%
Mt. Zion Acute	1		3		2																				6	5%
Other Misc			1		2																				3	2%
Other SNF			2		2																				4	3%
Seton Acute																									0	0%
SFGH Acute	27	73%	18	49%	24	51%		0%		0%		0%		0%		0%		0%		0%		0%		0%	69	57%
SFGH SNF	1	3%	3	8%	2	4%		0%		0%		0%		0%		0%		0%		0%		0%		0%	6	5%
St. Francis Acute			2																						2	2%
St. Francis SNF																									0	0%
St. Luke's Acute																									0	0%
St. Luke's SNF					1																				1	1%
St. Mary's Acute	1		1		1																				3	2%
St. Mary's SNF			1																						1	1%
Seton Acute																									0	0%
Seton SNF																									0	0%
UC Med Acute	2		2		3																				7	6%
UC Med SNF																									0	0%
VA Hospital Acute																									0	0%
VA Hospital SNF																									0	0%
TOTAL	37	76%	37	57%	47	55%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	121	100%

*Effective 12/8/2010, all Laguna Honda Hospital residents were relocated to the new building and the total licensed bed capacity is 780.

ATTACHMENT A-1

SOURCES OF NEW ADMISSIONS TO LAGUNA HONDA HOSPITAL *
JANUARY 2013 – DECEMBER 2013

Source of Admission	Jan	%	Feb	%	Mar	%	Apr	%	May	%	June	%	July	%	Aug	%	Sept	%	Oct	%	Nov	%	Dec	%	Total	%
Board and Care	1		1						1																3	1%
Cal Pac Acute			3		1				1		2		2						1		1				11	2%
Cal Pac SNF									1										1		1		1		4	1%
Chinese Hospital Acute																									0	0%
Chinese Hospital SNF																									0	0%
Home	5		4		7		8		6		3		6		8		3		4		3		2		59	13%
Home Health									1												1		3		5	1%
Kaiser Acute																									0	0%
Kaiser SNF																									0	0%
Mt. Zion Acute			1		1		2																		4	1%
Other Misc							1				5								2						8	2%
Other SNF	1				1				1				1								5		4		13	3%
Seton Acute																									0	0%
SFGH Acute	28	76%	32	68%	19	59%	32	70%	25	60%	21	58%	26	59%	17	61%	21	70%	19	59%	19	59%	23	50%	282	62%
SFGH SNF	1	3%		0%		0%	1	2%		0%	2	6%	8	18%	1	4%	2	7%		0%		0%	4	9%	19	4%
St. Francis Acute			1				1		1								2								5	1%
St. Francis SNF																									0	0%
St. Luke's Acute			2																			2			4	1%
St. Luke's SNF																					1				1	0%
St. Mary's Acute	1		1		1		1		1		2		1		1										9	2%
St. Mary's SNF																									0	0%
Seton Acute																									0	0%
Seton SNF																									0	0%
UC Med Acute			2		2				4		1				1		2		4		1		5		22	5%
UC Med SNF																									0	0%
VA Hospital Acute																			1						1	0%
VA Hospital SNF																						2			2	0%
TOTAL	37	78%	47	68%	32	59%	46	72%	42	60%	36	64%	44	77%	28	64%	30	77%	32	59%	32	59%	46	59%	452	100%

*Effective 12/8/2010, all Laguna Honda Hospital residents were relocated to the new building and the total licensed bed capacity is 780.

ATTACHMENT A-2

SOURCES OF NEW ADMISSIONS TO LAGUNA HONDA HOSPITAL *
JANUARY 2012 – DECEMBER 2012

Source of Admission	Jan	%	Feb	%	Mar	%	Apr	%	May	%	June	%	July	%	Aug	%	Sept	%	Oct	%	Nov	%	Dec	%	Total	%
Board and Care					1		2												1				1		5	1%
Cal Pac Acute	5		2		2		4		2		2		2		3		1		2		3		2		30	7%
Cal Pac SNF																					1				1	0%
Chinese Hospital Acute																	1								1	0%
Chinese Hospital SNF																									0	0%
Home	2		2		4		3		2		3		8		4		4		4		5		9		50	12%
Home Health																									0	0%
Kaiser Acute	1																						1		2	0%
Kaiser SNF																									0	0%
Mt. Zion Acute			1				1		1		3						2		3						11	3%
Other Misc							1		1														2		4	1%
Other SNF			1		1		1																		3	1%
Seton Acute																									0	0%
SFGH Acute	14	44%	12	50%	25	60%	23	56%	26	70%	22	69%	24	63%	14	50%	20	61%	25	63%	22	59%	24	55%	251	59%
SFGH SNF		0%		0%	2	5%		0%	1	3%		0%		0%	5	18%		0%	1	3%		0%		0%	9	2%
St. Francis Acute	1		2		1		2		1		1		2		1		1						2		14	3%
St. Francis SNF																									0	0%
St. Luke's Acute	1				1								1								2				5	1%
St. Luke's SNF																									0	0%
St. Mary's Acute	3				2		1		2		1						1		3		1		1		15	4%
St. Mary's SNF	1																								1	0%
Seton Acute																									0	0%
Seton SNF																									0	0%
UC Med Acute	4		3		3		3		1				1		1		2		1		3		2		24	6%
UC Med SNF																									0	0%
VA Hospital Acute			1														1								2	0%
VA Hospital SNF																									0	0%
TOTAL	32	44%	24	50%	42	64%	41	56%	37	73%	32	69%	38	63%	28	68%	33	61%	40	65%	37	59%	44	55%	428	100%

*Effective 12/8/2010, all Laguna Honda Hospital residents were relocated to the new building and the total licensed bed capacity is 780.

ATTACHMENT A-3

SOURCES OF NEW ADMISSIONS TO LAGUNA HONDA HOSPITAL *
JANUARY 2011 – DECEMBER 2011

		%		%		%		%		%		%		%		%		%		%		%		%		%
Source of Admission	Jan	SFGH	Feb	SFGH	Mar	SFGH	Apr	SFGH	May	SFGH	June	SFGH	July	SFGH	Aug	SFGH	Sept	SFGH	Oct	SFGH	Nov	SFGH	Dec	SFGH	Total	%
Board and Care					2				1		1				1		2		1						8	2%
Cal Pac Acute	3										2				1		1				2		1		10	3%
Cal Pac SNF									1				2												3	1%
Chinese Hospital Acute							1		1						1										3	1%
Chinese Hospital SNF																									0	0%
Home	8		3		1		4				5		3		3		3		3		7		2		42	11%
Home Health																									0	0%
Kaiser Acute																			1						1	0%
Kaiser SNF																									0	0%
Mt. Zion Acute	1		1		1		1				3						1				1		2		11	3%
Other Misc	3		1		1		1		1		1				4		5		3		1		1		22	6%
Other SNF	1								1				1						2				2		7	2%
Seton Acute																									0	0%
SFGH Acute	23	49%	12	46%	17	65%	13	57%	16	53%	15	43%	10	43%	17	61%	21	58%	17	55%	19	49%	23	64%	203	53%
SFGH SNF	2	4%	1	4%	2	8%	2	9%	4	13%	4	11%	2	9%		0%		0%	1	3%	2	5%		0%	20	5%
St. Francis Acute	1		2						1		1		1		1		1				3		1		12	3%
St. Francis SNF																									0	0%
St. Luke's Acute	1		1		1				2										1						6	2%
St. Luke's SNF	1		2										1												4	1%
St. Mary's Acute	1		3								1								1						6	2%
St. Mary's SNF																									0	0%
Seton Acute																									0	0%
Seton SNF																									0	0%
UC Med Acute	2				1		1		1		2		3				2		1		4		3		20	5%
UC Med SNF									1																1	0%
VA Hospital Acute																							1		1	0%
VA Hospital SNF																									0	0%
TOTAL	47	53%	26	50%	26	73%	23	65%	30	67%	35	54%	23	52%	28	61%	36	58%	31	58%	39	54%	36	64%	380	100%

*Effective 12/8/2010, all Laguna Honda Hospital residents were relocated to the new building and the total licensed bed capacity is 780 (15 for General Acute Care and 765 for SNF).

ATTACHMENT A-4

SOURCES OF NEW ADMISSIONS TO LAGUNA HONDA HOSPITAL*
JANUARY 2010 – DECEMBER 2010

		%		%		%		%		%		%		%		%		%		%		%		%		
Source of Admission	Jan	SFGH	Feb	SFGH	Mar	SFGH	Apr	SFGH	May	SFGH	June	SFGH	July	SFGH	Aug	SFGH	Sept	SFGH	Oct	SFGH	Nov	SFGH	Dec	SFGH	Total	%
Board and Care	1		2		2								1		2				1				1		10	3%
Cal Pac Acute															2		1								3	1%
Cal Pac SNF											2														2	1%
Chinese Hospital Acute					1								1												2	1%
Chinese Hospital SNF																									0	0%
Home	3		1		1		3		1		4		4		2		2		2		6		2		31	10%
Home Health																									0	0%
Kaiser Acute									1										1						2	1%
Kaiser SNF																									0	0%
Mt. Zion Acute	2						2								2		1				2				9	3%
Other Misc	1				3		1		1		4				2						1		4		17	5%
Other SNF	1		2		2								1						1						7	2%
Seton Acute																									0	0%
SFGH Acute	16	52%	15	52%	13	43%	15	45%	12	60%	16	59%	13	43%	14	41%	18	75%	14	56%	8	36%	11	55%	165	51%
SFGH SNF	4	13%	2	7%	1	3%	4	12%	1	5%	1	4%	3	10%	5	15%		0%	2	8%	2	9%		0%	25	8%
St. Francis Acute	1		3		1		1						2		2		2		2		1				15	5%
St. Francis SNF																									0	0%
St. Luke's Acute							1		2				2		2										7	2%
St. Luke's SNF			1				2		1																4	1%
St. Mary's Acute	1				1								1		1				1						5	2%
St. Mary's SNF																									0	0%
Seton Acute																									0	0%
Seton SNF																									0	0%
UC Med Acute	1		3		5		4		1				2						1		2		2		21	6%
UC Med SNF																									0	0%
VA Hospital Acute																									0	0%
VA Hospital SNF																									0	0%
TOTAL	31	65%	29	59%	30	47%	33	58%	20	65%	27	63%	30	53%	34	56%	24	75%	25	64%	22	45%	20	55%	325	100%

*Due to budgetary and construction related issues, LHH is decreasing admissions effective 1/1/2008. General SNF Admissions are being denied while Hospice, Rehab and AIDS/HIV are still being admitted based upon bed availability.

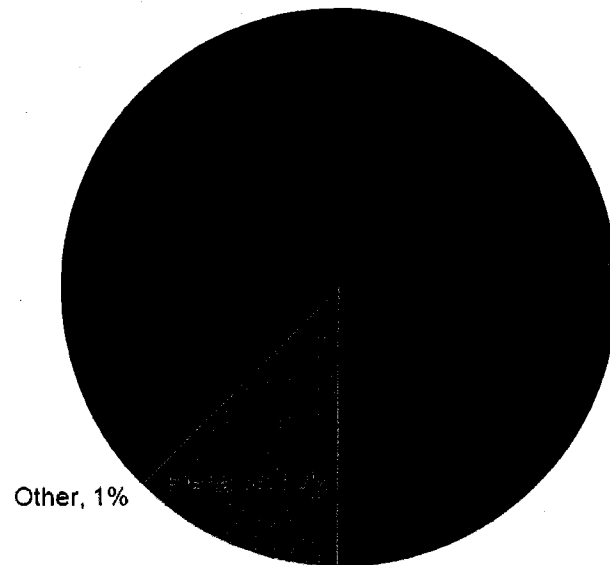
SOURCES OF NEW ADMISSIONS TO LAGUNA HONDA HOSPITAL*
JANUARY 2009 – DECEMBER 2009

		%		%		%		%		%		%		%		%		%		%		%			
Source of Admission	Jan	SFGH	Feb	SFGH	Mar	SFGH	Apr	SFGH	May	SFGH	June	SFGH	July	SFGH	Aug	SFGH	Sept	SFGH	Oct	SFGH	Nov	SFGH	Dec	Total	%
Board and Care													2		1									3	1%
Cal Pac Acute	1		2		2		2				2		1		1								1	12	4%
Cal Pac SNF							1										1		1					3	1%
Chinese Hospital Acute																								0	0%
Chinese Hospital SNF																								0	0%
Home	1		1				3		2		1		3				2		2		2		2	19	7%
Home Health																								0	0%
Kaiser Acute													1											1	0%
Kaiser SNF																								0	0%
Mt. Zion Acute							1		1		1				1		2							6	2%
Other Misc					1				1						2				2		2			8	3%
Other SNF					1		1		3		3		3		1				2				1	15	5%
Seton Acute							1						1											2	1%
SFGH Acute	8	53%	17	74%	11	55%	12	38%	10	42%	16	47%	15	50%	17	63%	12	67%	5	33%	17	65%	12	152	53%
SFGH SNF	2	13%	1	4%		0%	2	6%	4	17%	5	15%		0%		0%	1	6%	1	7%	2	8%	3	21	7%
St. Francis Acute			1				4		1		1		1		1				1		1			11	4%
St. Francis SNF																								0	0%
St. Luke's Acute	1						1				1		1		1				1				2	8	3%
St. Luke's SNF									1															1	0%
St. Mary's Acute	1		1				1																	3	1%
St. Mary's SNF					1																			1	0%
Seton Acute																								0	0%
Seton SNF																								0	0%
UC Med Acute	1				4		3		1		4		2		2						2			19	7%
UC Med SNF																								0	0%
VA Hospital Acute																								0	0%
VA Hospital SNF																								0	0%
TOTAL	15	67%	23	78%	20	55%	32	44%	24	58%	34	62%	30	50%	27	63%	18	72%	15	40%	26	73%	21	285	100%

*Due to budgetary and construction related issues, LHH is decreasing admissions effective 1/1/2008. General SNF Admissions are being denied while Hospice, Rehab and AIDS/HIV are still being admitted based upon bed availability.

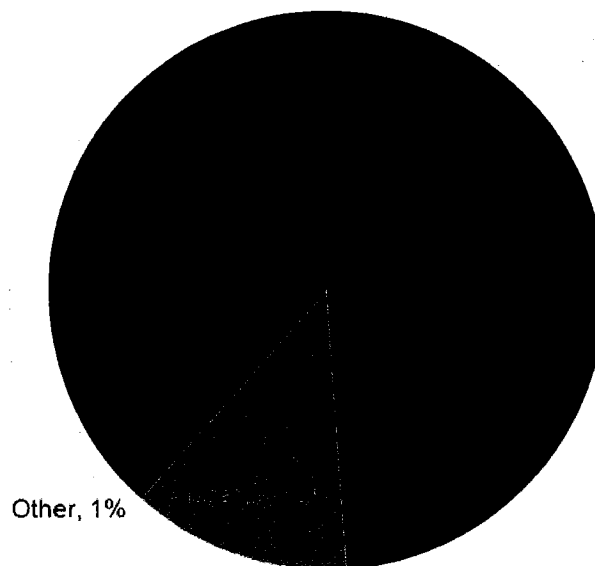
** Data re-run March 2011

Laguna Honda Hospital Distribution of Residents by Race as of 3/31/2014
(n = 748)

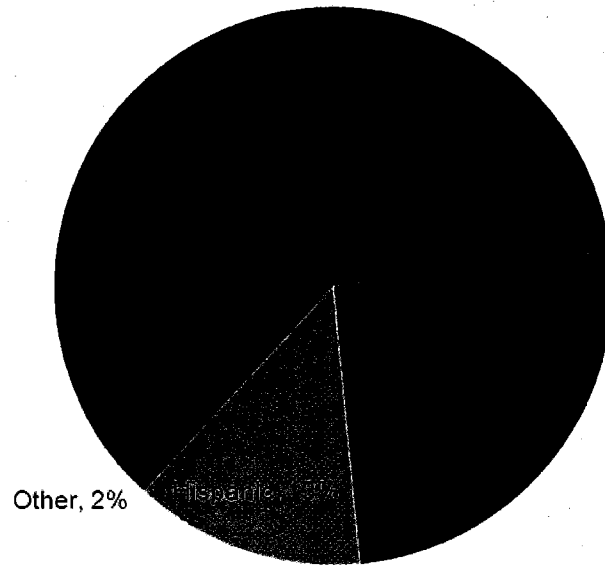


ATTACHMENT B-1

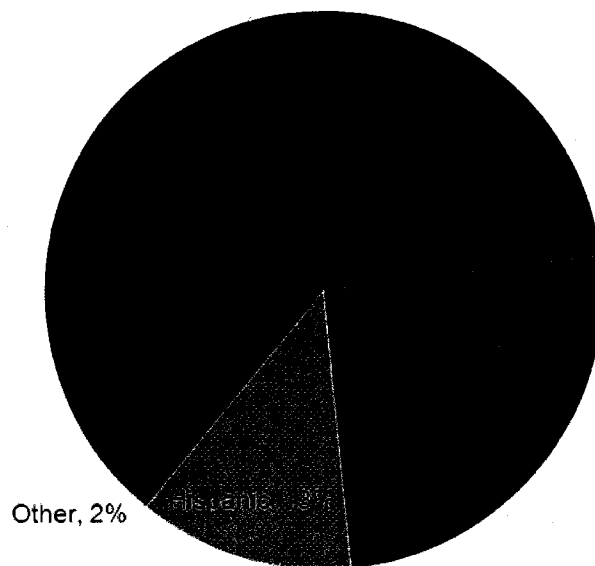
Laguna Honda Hospital Distribution of Residents by Race as of 3/31/2013
(n = 759)



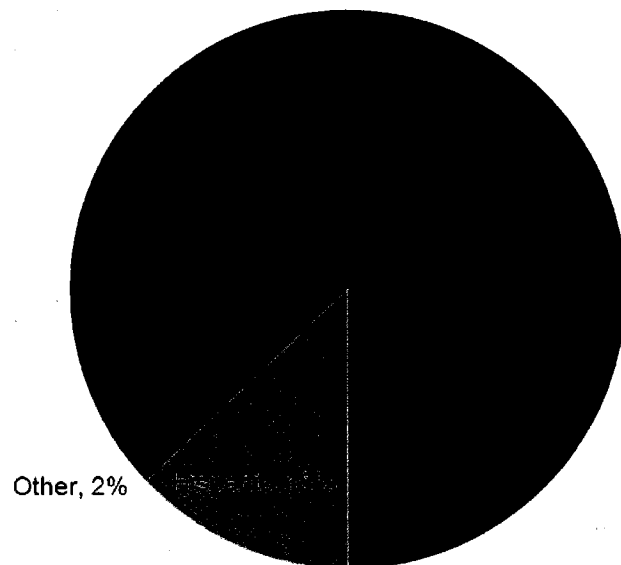
Laguna Honda Hospital Distribution of Residents by Race as of 3/31/2012
(n = 753)



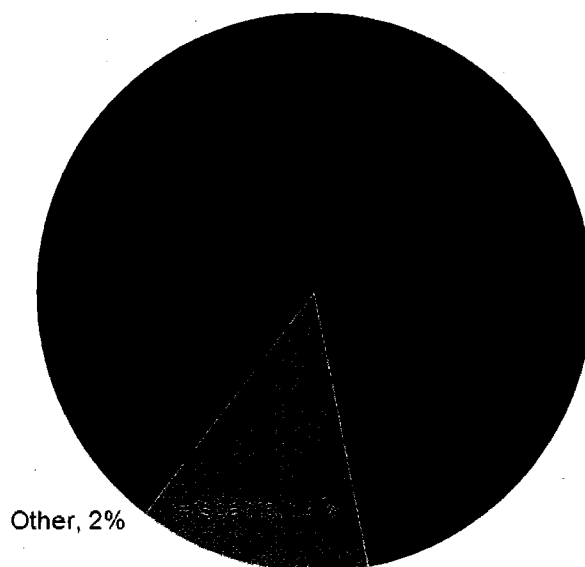
Laguna Honda Hospital Distribution of Residents by Race as of 3/31/2011
(n = 756)



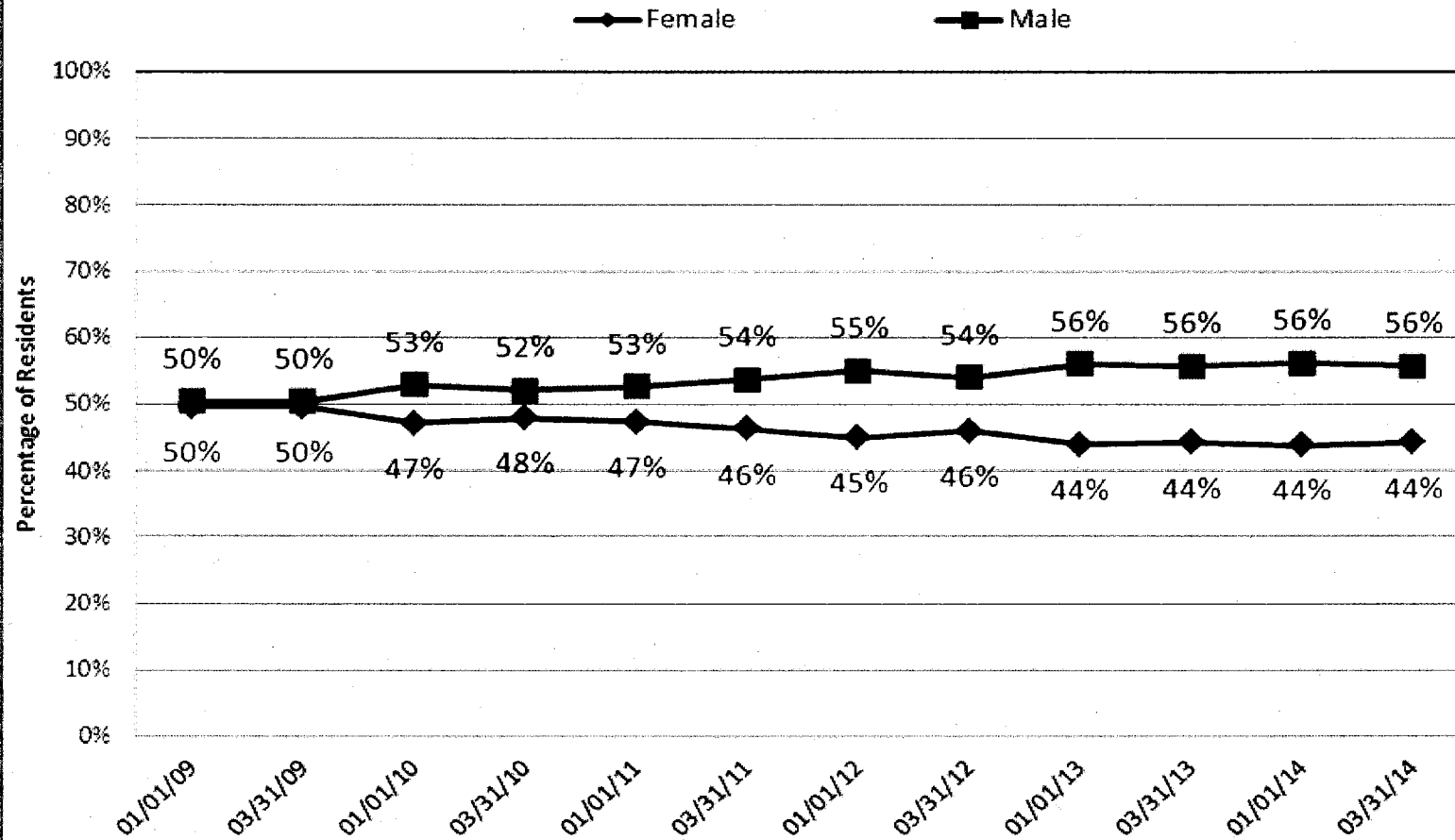
Laguna Honda Hospital Distribution of Residents by Race as of 3/31/2010
(n = 761)



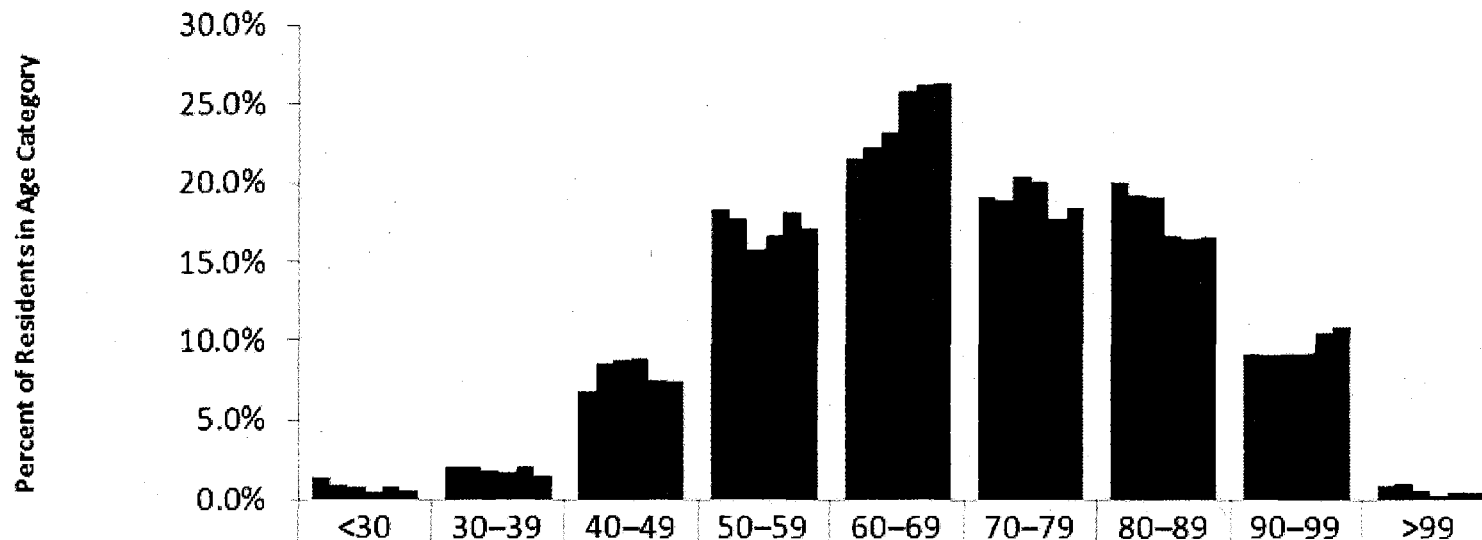
Laguna Honda Hospital Distribution of Residents by Race as of 3/31/2009
(n = 772)



Laguna Honda Hospital Gender Distribution of Residents 2009 – First 3 months of 2014



Laguna Honda Hospital Age Distribution of Residents 2009 – First 3 months of 2014



	<30	30-39	40-49	50-59	60-69	70-79	80-89	90-99	>99
■ Calendar 2009	1.5%	2.1%	6.9%	18.4%	21.6%	19.1%	20.2%	9.3%	0.9%
■ Calendar 2010	0.9%	2.2%	8.5%	17.8%	22.2%	19.0%	19.2%	9.1%	1.1%
■ Calendar 2011	0.8%	1.9%	8.8%	15.8%	23.3%	20.5%	19.1%	9.2%	0.7%
■ Calendar 2012	0.5%	1.9%	8.9%	16.7%	25.8%	20.1%	16.7%	9.3%	0.3%
■ Calendar 2013	0.8%	2.1%	7.5%	18.1%	26.3%	17.7%	16.4%	10.5%	0.5%
■ First 3 months of 2014	0.7%	1.6%	7.5%	17.2%	26.3%	18.4%	16.7%	11.0%	0.5%

Youth Commission
City Hall ~ Room 345
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4532



(415) 554-6446
(415) 554-6140 FAX
www.sfgov.org/youth_commission

YOUTH COMMISSION
MEMORANDUM

page
BOS-11
File 140274
NSS

TO: Honorable Mayor Edwin M. Lee
Honorable Members, Board of Supervisors

CC: Angela Calvillo, Clerk of the Board of Supervisors
Honorable Members, Board of Education
Richard Carranza, Superintendent, San Francisco Unified School District
Greg Suhr, Chief of Police
Maria Su, Director, Department of Children, Youth, and their Families
Hydra Mendoza, Mayor's Families & Children's Advisor
Allen Nance, Chief, Juvenile Probation Department
Jason Elliott, Director of Legislative & Government Affairs, Mayor's Office
Wendy S. Still, Chief, Adult Probation Department

FROM: Youth Commission

DATE: Monday, April 14th, 2014

RE: Youth Commission actions at the April 7th, 2014 meeting: Support and statement on BOS file no. 140274; Motion to sponsor Summer Learning Day 2014; Motion signing onto a letter in support of the establishment of SFPD time of arrest protocols in DGO 7.04; Motion to sponsor Generation Citizens' Civics Day; and adopting resolution 1314-04 Youth Commission's recommended policies and priorities for the Children's Fund.

At our regular meeting on Monday, April 7th, 2014, the Youth Commission voted to support and provided a referral response on the following from the Board of Supervisors:

- **To support [BOS File No. 140274] Hearing – Expanding Technology Sector Opportunities for Girls and Low-Income Youth.**

At the same meeting, the Youth Commission adopted the following motions:

- Motion 1314-M-07 to support and sponsor Summer Learning Day 2014, an event which highlights summer programming in San Francisco and gathers several hundred students to participate in a resource fair at Civic Center Plaza on June 20th, 2014.
- Motion 1314-M-08 to sign onto a letter by the San Francisco Children of Incarcerated Parents partnership in support of the establishment of San Francisco Police Department's time-of-arrest protocols aimed at keeping children safe at the time of

arrest and creating an expedited process for identifying who would care for them in the wake of a parent's arrest, as Department General Order 7.04.

- Motion 1314-M-09 to support and co-sponsor Generation Citizens Civics Day, an event which celebrates a semester of young people engaging and leading change on important community issues. The event will take place on Friday, May 9th, 2014.

Finally, the Youth Commission adopted resolution 1314-04 Youth Commission's recommended policies and priorities for the Children's Fund. This resolution calls on the Board of Supervisors to consider: allowing the use of fund for disconnected transitional age youth, reserving youth seats on any body in charge of Children's Fund oversight; proactively supporting and facilitating better coordination between the City, the SFUSD, and community based organizations serving youth; resourcing youth leadership groups to design and facilitate annual youth led town halls to evaluate services received as part of the Community Needs Assessment; prioritize and expand services for undocumented youth, and increase support for juvenile detainees, probationers, and transitional age youth in the adult probation system.

If you have any questions about these items or anything related to the Youth Commission, please don't hesitate to contact our office at (415) 554-6446 or your Youth Commissioner.



Asian Women's Shelter

3543 18th Street, #19
San Francisco, CA 94110

(415) 751-7110 OFFICE

(415) 751-0880 CRISIS

(415) 751-0806 FAX

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO

2014 APR 14 PM 2:57

Rules Clerk

BOS-11

cpa

AK

April 11, 2014

San Francisco Board of Supervisors
1 Dr. Carlton B. Goodlett Place, #244
San Francisco, California 94102

Dear Supervisors,

Asian Women's Shelter (AWS) is pleased to support Victor Hwang for appointment to the San Francisco Police Commission, Seat #2. As an organization, AWS has worked for many years alongside Victor in support of survivors of domestic violence, sexual assault, and human trafficking. During the years in which he worked at API Legal Outreach, he worked tirelessly on behalf of AWS clients, assisting underserved immigrant and refugee survivors—many of them from API and LGBTQ communities, but also from other minority communities—to find safety and justice through the legal system.

He has represented clients on civil rights issues, worked to prosecute hate crimes, and has been recognized for his work in protecting the rights of San Francisco's transgender population. Victor has also been a valuable resource in San Francisco, sharing his knowledge with others and increasing the knowledge of San Franciscans about their legal rights.

Given his wide expertise and his community involvement, Asian Women's Shelter supports Victor's application, and we hope that you will consider the contribution that he can make to the Commission.

Sincerely,

Elizabeth Kirton
Executive Director

From: Board of Supervisors (BOS)
To: Miller, Alisa
Subject: File 140359: Reappointment support for Police Commissioner Angela Chan to the San Francisco Police Commission
Attachments: BOS LOR for AChans Reappointment to SFPolice Commission (KSMAufas) 04102014.pdf

From: Kim-Shree Maufas [<mailto:kimshreesf@gmail.com>]

Sent: Monday, April 14, 2014 9:27 PM

To: Mar, Eric (BOS); Farrell, Mark (BOS); Chiu, David (BOS); Breed, London (BOS); Kim, Jane (BOS); Wiener, Scott; Avalos, John (BOS); Cohen, Malia (BOS); Board of Supervisors (BOS)

Subject: Reappointment support for Police Commissioner Angela Chan to the San Francisco Police Commission

Dear Supervisors and Madame Clerk -

Please find attached my letter of support for the re-appointment of Police Commissioner Angela Chan to the Members of the Rules Committee, Supervisor Campos and Supervisor Tang. I'm available to you (as always) if you have any questions, whatsoever.

Sincerest regards,
Kim-Shree

"The world is a dangerous place to live; not because of the people who are evil, but because of the people who don't do anything about it." ~Albert Einstein

Confidentiality Notice:

This e-mail and any attachments may contain confidential information intended solely for the use of the addressee. If the reader of this message is not the intended recipient, any distribution, copying, or use of this e-mail or its attachments is prohibited. If you received this message in error, please notify the sender immediately by e-mail and delete this message and any copies. Thank you



San Francisco Unified School District
KIM-SHREE MAUFAS
Commissioner, Board of Education

April 10, 2014

Dear Board of Supervisor's Rules Committee Member/Supervisor Campos and
Member/Supervisor Tang:

I'm Kim-Shree Maufas, a Commissioner on the San Francisco Board of Education in the City and County of San Francisco. I also serve on the San Francisco Economic Opportunity Council and the Clear Channel Broadcast Communications Community Advisory Board of Directors. Along with being a long-time member of the NAACP-SF and National Negro Women's Council-Golden Gate Chapter, politically, I focus my energies with the Harvey Milk LGBT Democratic Club and as the current Proxy for California State 17th District Assemblyman Tom Ammiano on the San Francisco Democratic County Central Committee.

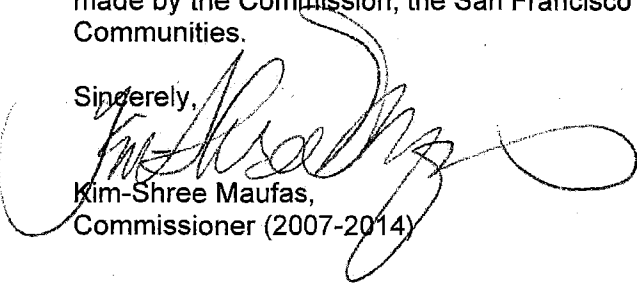
Members, I'm writing to inform you of my whole-hearted support of Angela Chan for re-appointment to her seat on the San Francisco Police Commission.

Angela and I have known each other for about 6 years through our collective community work. We've worked together on community-safety concerns, lead with each other in cross-cultural discussions between angered communities in the south-east sector of the city, and partnered again in supporting San Francisco's undocumented youth as the Obama Administration's 2012 *Consideration of Deferred Action for Childhood Arrivals* program cleared the way for SFUSD to support our students on a potential pathway to citizenship. Chair Yee was still on the Board of Education when we worked with Ms. Chan to support our undocumented youth and assuage their familie's fear of sudden deportation.

Over the years, I've watched Angela work with incredible forethought and sensitivy on "the" most intense political policing matters to arrive at solutions that serve the community first. Her collaborative work in the launching of the Crisis Intervention Team (CIT) to improve our city's mental health service delivery, between the providers and SFPD, has been nothing short of phenominal.

These are just some of the reasons why Angela's work on the San Francisco Police Commission needs to continue and her reappointment will ensure the continuity of progress made by the Commission, the San Francisco Police Department, and our San Francisco Communities.

Sincerely,


Kim-Shree Maufas,
Commissioner (2007-2014)

Cc: Clerk of the Board of Supervisors, Supervisor Avalos, Supervisor Breed, Supervisor Chiu,
Supervisor Cohen, Supervisor Farrell, Supervisor Kim, Supervisor Mar, Supervisor Weiner

From: Board of Supervisors (BOS)
To: BOS-Supervisors; Miller, Alisa
Subject: File 140359: Reappoint Angela Chan as a police commissioner


From: Steve Ward [mailto:seaward94133@yahoo.com]
Sent: Wednesday, April 16, 2014 11:53 PM
To: Board of Supervisors (BOS); Jen Gasang
Subject: Reappoint Angela Chan as a police commissioner

Dear Supervisor,

Based on the information and at the request of a highly regarded neighborhood co-volunteer of the La Playa Park Coalition I am writing to extend my recommendation for the reappointment of Angela Chan to the Police Commission. It is my understanding that as a police commissioner for the past 4 years, Angela has dedicated herself to strengthening language access, juvenile justice, mental health services, and immigrant rights with the SF Police Department. Given as a progressive woman of color who is a civil rights attorney in San Francisco, Angela plays an important role on the commission in giving voice to the concerns and needs of underrepresented communities, including immigrants, women, and people of color. Her record is one of a commissioner who has worked hard to represent the interests of San Francisco residents in having a fair and transparent police department that prioritizes community policing.

For these reasons, I urge you to reappoint Angela Chan to the police commission. Thank you for your consideration. Please feel free to contact me with any questions.

Sincerely,
Steve Ward

415 681 4337  415 681 4337 415 681 433

File 140120
24

From: helmutorders@comcast.net
Sent: Monday, April 14, 2014 2:55 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Helmut Schmidt
2139 39th ave
San Francisco, CA 94116-1651

April 14, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Helmut Schmidt
5718181

From: ted@prevalentdesign.com
Sent: Monday, April 14, 2014 1:00 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Weems Estelle
1822 38th ave
san francisco, CA 94122-4148

April 14, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Weems Estelle

File 140120

LV

From: juliepaul164@comcast.net
Sent: Monday, April 14, 2014 6:25 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Julie Paul
164 Jordan Ave.
San Francisco, CA 94118-2512

April 14, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Julie Paul

From: shanehillier@gmail.com
Sent: Monday, April 14, 2014 8:05 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Shane Hillier
560 18th Ave
San Francisco, CA 94121-3111

April 14, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Shane and Yolanda Hillier
415-488-7894

File 140120

From: jdito@valinoti-dito.com
Sent: Tuesday, April 15, 2014 12:11 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

ll

Jeffrey Dito
65 Clifford Terrace
San Francisco, CA 94117-4503

April 15, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Jeffrey A. Dito

From: westamp@hotmail.com
Sent: Tuesday, April 15, 2014 12:01 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Wendy Kan
778 - 43rd ave
san francisco, CA 94121-3302

April 15, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Wendy Kan

From: ap1973@yahoo.com
Sent: Tuesday, April 15, 2014 12:51 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Annie Poon
1370 39th Ave
San Francisco, CA 94122-1341

April 15, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Annie Poon
415-568-6878

File 140120

From: norma_frr@yahoo.com
Sent: Wednesday, April 16, 2014 9:36 AM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Norma Fierro
534 Cordova St
San Francisco, CA 94112-4421

April 16, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Norma Fierro
4155156060

From: niahnkyle2@gmail.com
Sent: Wednesday, April 16, 2014 10:01 AM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

shaunda hayes
535 Buena vista Ave # 303
alameda, CA 94501-2056

April 16, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate. The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

shaunda hayes
4157079297

From: norma_frr@yahoo.com
Sent: Wednesday, April 16, 2014 8:56 AM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Norma Fierro
534 Cordova St
San Francisco, CA 94112-4421

April 16, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Norma Fierro
4155156060

From: blanken1@aol.com
Sent: Tuesday, April 15, 2014 5:46 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Jorge A Portillo
115 Blanken Avenue
San Francisco, CA 94134-2406

April 15, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Jorge A. Portillo
4154676147

Catholic Legislative Network, A Voice for Life & Dignity in California

From: munozroxy@sbcglobal.net
Sent: Tuesday, April 15, 2014 4:56 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Roxana Muñoz
55 Ankeny Street
San Francisco, CA 94134-2138

April 15, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Roxana Muñoz
(415) 467-9186

From: kvcd007@yahoo.com
Sent: Tuesday, April 15, 2014 2:56 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Katherine Dunakin
108 Galewood Circle
San Francisco, CA 94131-1132

April 15, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Katherine C. Dunakin
415-337-1339

File 140120

From: dimme99@yahoo.com
Sent: Wednesday, April 16, 2014 10:26 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

John Maimone
412 dolores st
san francisco, CA 94110-1009

April 17, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

John Maimone
415-834-5953

From: slmcquaid@gmail.com
Sent: Wednesday, April 16, 2014 11:11 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Sarah McQuaid
388 Urbano Drive
San Francisco, CA 94127-2869

April 17, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152) is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Sarah McQuaid
4154945585

From: maguiresf@yahoo.com
Sent: Thursday, April 17, 2014 1:11 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Kathleen Maguire
4024 Kirkham st.
San Francisco, CA 94122-2943

April 17, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Kathleen Maguire
4157829279

From: maguiresf@yahoo.com
Sent: Thursday, April 17, 2014 1:16 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

John Maguire
4024 Kirkham st.
San Francisco, CA 94122-2943

April 17, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

John Maguire
4152159532

From: Board of Supervisors (BOS)
To: BOS-Supervisors
Subject: File 140120: Help Save Our Schools!

-----Original Message-----

From: js_holtz@yahoo.com [mailto:js_holtz@yahoo.com]
Sent: Friday, April 18, 2014 8:31 AM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

James Holtz
66 Edgemont Dr.
Daly City, CA 94015-3808

April 18, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

James Holtz

Catholic Legislative Network, A Voice for Life & Dignity in California

File 140120

From: carmelpm@gmail.com
Sent: Friday, April 18, 2014 5:11 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

LU

Carmel McDonnell
1522 23rd Ave.
San Francisco, CA 94122-3308

April 18, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Carmel McDonnell

From: insanekissane@sbcglobal.net
Sent: Friday, April 18, 2014 5:41 PM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Hilda Kissane
2004 Pine Court
Daly City, CA 94014-3501

April 18, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Hilda Kissane

From: wonge@sfsud.edu
Sent: Saturday, April 19, 2014 7:46 AM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Edna Wong
485 37th ave
san francisco, CA 94121-1613

April 19, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Edna Wong

From: emma@emma-bryant.com
Sent: Monday, April 21, 2014 10:56 AM
To: Board of Supervisors (BOS)
Subject: Help Save Our Schools!

Emma Bryant
1425 Chestnut Street
San Francisco, CA 94123-3115

April 21, 2014

City and County of San Francisco
1 Drive Carlton B. Goodlett Place
San Francisco, CA 94102-4603

Dear City and County of San Francisco:

Fully 30 percent of all San Francisco students attend private schools. Catholic schools disproportionately serve working class and inner-city families and are largely supported by local parishes, not the Archdiocese.

The proposed ordinance for seismic evaluation of private school buildings (file no. 140152), is being pushed too fast, and is problematic and punitive to private schools, as well as to the thousands of families with kids in San Francisco that they serve.

This ordinance needs to be revised to:

- Limit the study evaluation levels to the standard of life-safety;
- Limit the ordinance to school buildings;
- Encourage, rather than discourage, the seismic safety work by not triggering the catastrophic expense of unrelated code requirements.

This ordinance is being rushed for no reason, when instead it should be carefully crafted to limit its drastic impact on our schools, especially those that serve inner city students. Input from the private school community has been limited. We have a great deal of knowledge and expertise to contribute to this debate.

The working families and kids we serve are becoming an endangered species in San Francisco. So, please allow a diverse set of views to be part of process on this proposed ordinance so that affected communities in San Francisco can be heard

Please work with us to amend the legislation to incorporate the life-safety standard, limit the ordinance to school buildings, and grant relief from unrelated code requirements.

Thank you...for giving our religious, independent, and community schools a chance

Sincerely,

Emma Bryant

From: Board of Supervisors (BOS)
To: BOS-Supervisors; Miller, Alisa
Subject: FW: Recommendation Letter - Victor Hwang
Attachments: VHwangRecLtr2014.pdf

From: Kathy Black [mailto:kathy@lacasa.org]
Sent: Tuesday, April 15, 2014 11:08 AM
To: Board of Supervisors (BOS)
Subject: Recommendation Letter - Victor Hwang

Hi,

Attached please find a pdf of our letter of recommendation for Victor Hwang - San Francisco Police Commission.

Thanks, Kathy

--

Kathy Black
Executive Director

View the video and join the movement to end domestic violence at www.1000voicesstrong.org! One strong voice can change a life. 1,000 voices can change a community.

La Casa de las Madres
1663 Mission Street, Suite 225
San Francisco, CA 94103
Tel. [415-503-0500](tel:415-503-0500) Ext. 305
Fax [415.503.0301](tel:415-503-0301)
24-Hour Hotline [1.877.503.1850](tel:1-877-503-1850)
www.lacasadelasmadres.org

A Refuge. An Advocate. A Strong Voice Against Domestic Violence

=====

CONFIDENTIALITY NOTICE: This e-mail transmission and any related attachments is privileged and/or confidential information and is for the exclusive use of the intended recipient. If you are not the intended recipient you are hereby notified that any retention, interception, review, disclosure, distribution or other use is strictly prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you have received this notification in error, please immediately contact the sender and delete the material. Thank you for your consideration.-

April 15, 2014



**A Refuge.
An Advocate.
A Strong Voice
Against Domestic
Violence.**

San Francisco Board of Supervisors
1 Dr. Carlton B. Goodlett Place, #244
San Francisco, CA 94102

Dear Supervisors,

La Casa de las Madres is pleased to support Victor Hwang for appointment to the San Francisco Police Commission, Seat #2.

As a managing attorney at API Legal Outreach, La Casa de las Madres appreciated his work – personally representing many victims and survivors in court on Restraining Orders, custody, and family law issues.

While at the District Attorney's office, Victor served as a civil rights prosecutor and also served on the Sexual Assault Prosecution Team. In these roles he was able to reach out to the transLatina community, prosecute cases involving targeted assaults on Latinos and African Americans as well as handling cases of sexual assault and human trafficking.

Victor also participated in preparing the API legal brief in support of marriage equality and served on the DA's Officer Involved Shooting team which we believe makes him uniquely qualified for the Police Commission Seat.

In light of his outstanding history in community and public service, La Casa de las Madres supports Victor Hwang's application to the San Francisco Police Commission. We hope that you too will appreciate the kind of contributions that he can make to the Commission and our community.

Sincerely,

A handwritten signature in black ink, appearing to read "Kathy Black", written over a horizontal line.

Kathy Black
Executive Director

La Casa de las Madres
1663 Mission Street, Suite 225
San Francisco, CA 94103

Tel: 415.503.0500
Fax: 415.503.0301

Crisis Line: 877.503.1850
www.lacasa.org

From: Board of Supervisors (BOS)
To: BOS-Supervisors
Subject: FW: I'm the 2,586th signer: "Stop SFMTA (San Francisco Municipal Transportation Agency)"

From: Debra Bradley [mailto:petitions-noreply@moveon.org]
Sent: Saturday, April 19, 2014 9:18 AM
To: Board of Supervisors (BOS)
Subject: I'm the 2,586th signer: "Stop SFMTA (San Francisco Municipal Transportation Agency)"

Dear San Francisco Board of Supervisors,

I just signed a petition addressed to you titled Stop SFMTA (San Francisco Municipal Transportation Agency). So far, 2,586 people have signed the petition.

You can reach me directly by replying to this email. **Or, post a response for MoveOn.org to pass along to all petition signers by clicking here:** http://petitions.moveon.org/target_talkback.html?tt=tt-23483-custom-39844-20240419-8PCmte

The petition states:

"As residents and taxpayers of San Francisco we believe that the SFMTA's first and foremost responsibility is to improve MUNI and to make MUNI a more desirable means of transportation. It is not SFMTA's job to make owning and driving a motor vehicle more expensive and difficult. The SFMTA needs to be accountable to all the citizens of San Francisco. We need a balanced, unbiased municipal transportation policy. We respectfully request that the Mayor and District Supervisors immediately stop the SFMTA from: 1. Installing new parking meters and extending the hours of enforcement 2. Enforcing Sunday parking meters 3. Increasing meter rates, fees and fines "

My additional comments are:

Try making the streets better. You drive down some roads in San Francisco and it's like a third world country with all the potholes.

To download a PDF file of all of your constituents who have signed the petition, including their addresses, click this link: http://petitions.moveon.org/deliver_pdf.html?job_id=1195229&target_type=custom&target_id=39844

To download a CSV file of all of your constituents who have signed the petition, including their addresses, click this link:

http://petitions.moveon.org/deliver_pdf.html?job_id=1195229&target_type=custom&target_id=39844&csv=1

Debra Bradley
San Francisco, CA

This email was sent through MoveOn's public petition website, a free service that allows anyone to set up their own online petition and share it with friends. MoveOn does not endorse the contents of petitions posted on our public petition website. If you have any questions, please email petitions@moveon.org. If you don't want to

receive further emails updating you on how many people have signed this petition, click here:

http://petitions.moveon.org/delivery_unsub.html?e=_m0xZcWlJXzqH9ZTz_cNZWJvYXJkLm9mLnN1cGVydmlzb3JzQHNMZ292Lm9yZw--&petition_id=23483.

From: Thomas Ko [petitions-noreply@moveon.org]
Sent: Thursday, April 17, 2014 9:15 AM
To: Board of Supervisors (BOS)
Subject: I'm the 2,555th signer: "Stop SFMTA (San Francisco Municipal Transportation Agency)"

Dear San Francisco Board of Supervisors,

I just signed a petition addressed to you titled *Stop SFMTA (San Francisco Municipal Transportation Agency)*. So far, 2,581 people have signed the petition.

You can reach me directly by replying to this email. **Or, post a response for MoveOn.org to pass along to all petition signers by clicking here:** http://petitions.moveon.org/target_talkback.html?tt=tt-23483-custom-39844-20240417-mpqEaf

The petition states:

"As residents and taxpayers of San Francisco we believe that the SFMTA's first and foremost responsibility is to improve MUNI and to make MUNI a more desirable means of transportation. It is not SFMTA's job to make owning and driving a motor vehicle more expensive and difficult. The SFMTA needs to be accountable to all the citizens of San Francisco. We need a balanced, unbiased municipal transportation policy. We respectfully request that the Mayor and District Supervisors immediately stop the SFMTA from: 1. Installing new parking meters and extending the hours of enforcement 2. Enforcing Sunday parking meters 3. Increasing meter rates, fees and fines "

My additional comments are:

stop taxing/abusing the working people of san francisco!

To download a PDF file of all of your constituents who have signed the petition, including their addresses, click this link: http://petitions.moveon.org/deliver_pdf.html?job_id=1193278&target_type=custom&target_id=39844

To download a CSV file of all of your constituents who have signed the petition, including their addresses, click this link:

http://petitions.moveon.org/deliver_pdf.html?job_id=1193278&target_type=custom&target_id=39844&csv=1

Thomas Ko
San Francisco, CA

This email was sent through MoveOn's public petition website, a free service that allows anyone to set up their own online petition and share it with friends. MoveOn does not endorse the contents of petitions posted on our public petition website. If you have any questions, please email petitions@moveon.org. If you don't want to receive further emails updating you on how many people have signed this petition, click here:

http://petitions.moveon.org/delivery_unsub.html?e=m0xZcWlJXzqH9ZTz_cNZWJvYXJkLm9mLnN1cGVydmlzb3JzQHNMZ292Lm9yZW--&petition_id=23483.

From: Eduardo Sosa [petitions-noreply@moveon.org]
Sent: Thursday, April 17, 2014 9:15 AM
To: Board of Supervisors (BOS)
Subject: I'm the 2,558th signer: "Stop SFMTA (San Francisco Municipal Transportation Agency)"

Dear San Francisco Board of Supervisors,

I just signed a petition addressed to you titled *Stop SFMTA (San Francisco Municipal Transportation Agency)*. So far, 2,581 people have signed the petition.

You can reach me directly by replying to this email. **Or, post a response for MoveOn.org to pass along to all petition signers by clicking here:** http://petitions.moveon.org/target_talkback.html?tt=tt-23483-custom-39844-20240417-mpqEaf

The petition states:

"As residents and taxpayers of San Francisco we believe that the SFMTA's first and foremost responsibility is to improve MUNI and to make MUNI a more desirable means of transportation. It is not SFMTA's job to make owning and driving a motor vehicle more expensive and difficult. The SFMTA needs to be accountable to all the citizens of San Francisco. We need a balanced, unbiased municipal transportation policy. We respectfully request that the Mayor and District Supervisors immediately stop the SFMTA from: 1. Installing new parking meters and extending the hours of enforcement 2. Enforcing Sunday parking meters 3. Increasing meter rates, fees and fines "

My additional comments are:

Stop the unlawful practice of "ticketing for profit."

To download a PDF file of all of your constituents who have signed the petition, including their addresses, click this link: http://petitions.moveon.org/deliver_pdf.html?job_id=1193276&target_type=custom&target_id=39844

To download a CSV file of all of your constituents who have signed the petition, including their addresses, click this link:

http://petitions.moveon.org/deliver_pdf.html?job_id=1193276&target_type=custom&target_id=39844&csv=1

Eduardo Sosa
San Francisco, CA

This email was sent through MoveOn's public petition website, a free service that allows anyone to set up their own online petition and share it with friends. MoveOn does not endorse the contents of petitions posted on our public petition website. If you have any questions, please email petitions@moveon.org. If you don't want to receive further emails updating you on how many people have signed this petition, click here: http://petitions.moveon.org/delivery_unsub.html?e=m0xZcWlJXzqH9ZTz_cNZWJvYXJkLm9mLnNlcGVydmlzb3JzQHNMZ292Lm9yZW--&petition_id=23483.

From: Del Jenkins [petitions-noreply@moveon.org]
Sent: Thursday, April 17, 2014 9:16 AM
To: Board of Supervisors (BOS)
Subject: I'm the 2,561st signer: "Stop SFMTA (San Francisco Municipal Transportation Agency)"

Dear San Francisco Board of Supervisors,

I just signed a petition addressed to you titled Stop SFMTA (San Francisco Municipal Transportation Agency). So far, 2,581 people have signed the petition.

You can reach me directly by replying to this email. **Or, post a response for MoveOn.org to pass along to all petition signers by clicking here:** http://petitions.moveon.org/target_talkback.html?tt=tt-23483-custom-39844-20240417-mpqEaf

The petition states:

"As residents and taxpayers of San Francisco we believe that the SFMTA's first and foremost responsibility is to improve MUNI and to make MUNI a more desirable means of transportation. It is not SFMTA's job to make owning and driving a motor vehicle more expensive and difficult. The SFMTA needs to be accountable to all the citizens of San Francisco. We need a balanced, unbiased municipal transportation policy. We respectfully request that the Mayor and District Supervisors immediately stop the SFMTA from: 1. Installing new parking meters and extending the hours of enforcement 2. Enforcing Sunday parking meters 3. Increasing meter rates, fees and fines "

My additional comments are:

fix MUNI. FIX IT. STOP Talking about it and DO something that isnt at the expense of people who still need to park/drive here.

To download a PDF file of all of your constituents who have signed the petition, including their addresses, click this link: http://petitions.moveon.org/deliver_pdf.html?job_id=1193279&target_type=custom&target_id=39844

To download a CSV file of all of your constituents who have signed the petition, including their addresses, click this link:

http://petitions.moveon.org/deliver_pdf.html?job_id=1193279&target_type=custom&target_id=39844&csv=1

Del Jenkins
SAN FRANCISCO, CA

This email was sent through MoveOn's public petition website, a free service that allows anyone to set up their own online petition and share it with friends. MoveOn does not endorse the contents of petitions posted on our public petition website. If you have any questions, please email petitions@moveon.org. If you don't want to receive further emails updating you on how many people have signed this petition, click here: http://petitions.moveon.org/delivery_unsub.html?e=m0xZcWlJXzqH9ZTz_cNZWJvYXJkLm9mLnNlcGVydmlz b3JzQHNMZ292Lm9yZw--&petition_id=23483.

From: Joe Weaver [petitions-noreply@moveon.org]
Sent: Thursday, April 17, 2014 9:16 AM
To: Board of Supervisors (BOS)
Subject: I'm the 2,562nd signer: "Stop SFMTA (San Francisco Municipal Transportation Agency)"

Dear San Francisco Board of Supervisors,

I just signed a petition addressed to you titled Stop SFMTA (San Francisco Municipal Transportation Agency). So far, 2,581 people have signed the petition.

You can reach me directly by replying to this email. **Or, post a response for MoveOn.org to pass along to all petition signers by clicking here:** http://petitions.moveon.org/target_talkback.html?tt=tt-23483-custom-39844-20240417-mpqEaf

The petition states:

"As residents and taxpayers of San Francisco we believe that the SFMTA's first and foremost responsibility is to improve MUNI and to make MUNI a more desirable means of transportation. It is not SFMTA's job to make owning and driving a motor vehicle more expensive and difficult. The SFMTA needs to be accountable to all the citizens of San Francisco. We need a balanced, unbiased municipal transportation policy. We respectfully request that the Mayor and District Supervisors immediately stop the SFMTA from: 1. Installing new parking meters and extending the hours of enforcement 2. Enforcing Sunday parking meters 3. Increasing meter rates, fees and fines "

My additional comments are:

Please just make the public transportation system better instead of trying to make the driving situation worse :(

To download a PDF file of all of your constituents who have signed the petition, including their addresses, click this link: http://petitions.moveon.org/deliver_pdf.html?job_id=1193280&target_type=custom&target_id=39844

To download a CSV file of all of your constituents who have signed the petition, including their addresses, click this link:

http://petitions.moveon.org/deliver_pdf.html?job_id=1193280&target_type=custom&target_id=39844&csv=1

Joe Weaver
San Francisco, CA

This email was sent through MoveOn's public petition website, a free service that allows anyone to set up their own online petition and share it with friends. MoveOn does not endorse the contents of petitions posted on our public petition website. If you have any questions, please email petitions@moveon.org. If you don't want to receive further emails updating you on how many people have signed this petition, click here: http://petitions.moveon.org/delivery_unsub.html?e=m0xZcWIJXzqH9ZTz_cNZWJvYXJkLm9mLnN1cGVydmlzb3JzQHNmZ292Lm9yZW--&petition_id=23483.

From: martha sanchez [petitions-noreply@moveon.org]
Sent: Thursday, April 17, 2014 9:15 AM
To: Board of Supervisors (BOS)
Subject: I'm the 2,572nd signer: "Stop SFMTA (San Francisco Municipal Transportation Agency)"

Dear San Francisco Board of Supervisors,

I just signed a petition addressed to you titled Stop SFMTA (San Francisco Municipal Transportation Agency). So far, 2,581 people have signed the petition.

You can reach me directly by replying to this email. **Or, post a response for MoveOn.org to pass along to all petition signers by clicking here:** http://petitions.moveon.org/target_talkback.html?tt=tt-23483-custom-39844-20240417-mpqEaf

The petition states:

"As residents and taxpayers of San Francisco we believe that the SFMTA's first and foremost responsibility is to improve MUNI and to make MUNI a more desirable means of transportation. It is not SFMTA's job to make owning and driving a motor vehicle more expensive and difficult. The SFMTA needs to be accountable to all the citizens of San Francisco. We need a balanced, unbiased municipal transportation policy. We respectfully request that the Mayor and District Supervisors immediately stop the SFMTA from: 1. Installing new parking meters and extending the hours of enforcement 2. Enforcing Sunday parking meters 3. Increasing meter rates, fees and fines "

My additional comments are:

sfmta is corrupt at the expense of the Citizens of San Francisco

To download a PDF file of all of your constituents who have signed the petition, including their addresses, click this link: http://petitions.moveon.org/deliver_pdf.html?job_id=1193277&target_type=custom&target_id=39844

To download a CSV file of all of your constituents who have signed the petition, including their addresses, click this link:

http://petitions.moveon.org/deliver_pdf.html?job_id=1193277&target_type=custom&target_id=39844&csv=1

martha sanchez
san francisco, CA

This email was sent through MoveOn's public petition website, a free service that allows anyone to set up their own online petition and share it with friends. MoveOn does not endorse the contents of petitions posted on our public petition website. If you have any questions, please email petitions@moveon.org. If you don't want to receive further emails updating you on how many people have signed this petition, click here: http://petitions.moveon.org/delivery_unsub.html?e=m0xZcWIJXzqH9ZTz_cNZWJvYXJkLm9mLnN1cGVydmlz b3JzQHNMZ292Lm9yZW--&petition_id=23483.

From: Board of Supervisors (BOS)
To: BOS-Supervisors
Subject: FW: I'm the 2,583rd signer: "Stop SFMTA (San Francisco Municipal Transportation Agency)"

From: Paul Asfour [mailto:petitions-noreply@moveon.org]
Sent: Thursday, April 17, 2014 9:51 PM
To: Board of Supervisors (BOS)
Subject: I'm the 2,583rd signer: "Stop SFMTA (San Francisco Municipal Transportation Agency)"

Dear San Francisco Board of Supervisors,

I just signed a petition addressed to you titled *Stop SFMTA (San Francisco Municipal Transportation Agency)*. So far, 2,583 people have signed the petition.

You can reach me directly by replying to this email. **Or, post a response for MoveOn.org to pass along to all petition signers by clicking here:** http://petitions.moveon.org/target_talkback.html?tt=tt-23483-custom-39844-20240417-mpqEaf

The petition states:

"As residents and taxpayers of San Francisco we believe that the SFMTA's first and foremost responsibility is to improve MUNI and to make MUNI a more desirable means of transportation. It is not SFMTA's job to make owning and driving a motor vehicle more expensive and difficult. The SFMTA needs to be accountable to all the citizens of San Francisco. We need a balanced, unbiased municipal transportation policy. We respectfully request that the Mayor and District Supervisors immediately stop the SFMTA from: 1. Installing new parking meters and extending the hours of enforcement 2. Enforcing Sunday parking meters 3. Increasing meter rates, fees and fines "

My additional comments are:

Stop reducing car lanes for bikes. These are short-sighted, feel-good plans for a small minority that do not meet the needs of the majority of residents of a growing city.

To download a PDF file of all of your constituents who have signed the petition, including their addresses, click this link: http://petitions.moveon.org/deliver_pdf.html?job_id=1194326&target_type=custom&target_id=39844

To download a CSV file of all of your constituents who have signed the petition, including their addresses, click this link: http://petitions.moveon.org/deliver_pdf.html?job_id=1194326&target_type=custom&target_id=39844&csv=1

Paul Asfour
San Francisco, CA

From: Board of Supervisors (BOS)
To: BOS-Supervisors
Subject: FW: 143 signers: Fix the MTA! petition

From: Peter Kirby [mailto:petitions@moveon.org]
Sent: Thursday, April 17, 2014 1:18 PM
To: Board of Supervisors (BOS)
Subject: 143 signers: Fix the MTA! petition

Dear Clerk of the Board,

I started a petition to you titled *Fix the MTA!*. So far, the petition has 143 total signers.

You can post a response for us to pass along to all petition signers by clicking here:
http://petitions.moveon.org/target_talkback.html?tt=tt-70172-custom-39492-20240417-4a_V9a

The petition states:

"We support a Charter Amendment to reform the San Francisco Municipal Transit Agency (SFMTA / MTA) and request that the District Supervisors support a ballot initiative to let the voters decide. It is Muni's job to get us where we need to go, not tell us how to get there. "

To download a PDF file of all your constituents who have signed the petition, including their addresses, click this link: http://petitions.moveon.org/deliver_pdf.html?job_id=1193739&target_type=custom&target_id=39492

To download a CSV file of all of your constituents who have signed the petition, including their addresses, click this link:
http://petitions.moveon.org/deliver_pdf.html?job_id=1193739&target_type=custom&target_id=39492&csv=1

Thank you.

--Peter Kirby

If you have any other questions, please email petitions@moveon.org.

The links to download the petition as a PDF and to respond to all of your constituents will remain available for the next 14 days.

This email was sent through MoveOn's petition website, a free service that allows anyone to set up their own online petition and share it with friends. MoveOn does not endorse the contents of petitions posted on our public petition website. If you don't want to receive further emails updating you on how many people have signed this petition, click here:
http://petitions.moveon.org/delivery_unsub.html?e=A6ccxHGcs0jUQkZWj4v0gUJvYXJkLm9mLlNlcGVydmlzb3JzQHNMZ292Lm9yZW--&petition_id=70172.

From: Board of Supervisors (BOS)
To: BOS-Supervisors
Subject: FW: 124 signers: Fix the MTA! petition

From: Peter Kirby [mailto:petitions@moveon.org]
Sent: Tuesday, April 15, 2014 1:16 PM
To: Board of Supervisors (BOS)
Subject: 124 signers: Fix the MTA! petition

Dear Clerk of the Board,

I started a petition to you titled *Fix the MTA!*. So far, the petition has 124 total signers.

You can post a response for us to pass along to all petition signers by clicking here:
http://petitions.moveon.org/target_talkback.html?tt=tt-70172-custom-39492-20240415-ZZPHTF

The petition states:

"We support a Charter Amendment to reform the San Francisco Municipal Transit Agency (SFMTA / MTA) and request that the District Supervisors support a ballot initiative to let the voters decide. It is Muni's job to get us where we need to go, not tell us how to get there. "

To download a PDF file of all your constituents who have signed the petition, including their addresses, click this link: http://petitions.moveon.org/deliver_pdf.html?job_id=1191377&target_type=custom&target_id=39492

To download a CSV file of all of your constituents who have signed the petition, including their addresses, click this link:
http://petitions.moveon.org/deliver_pdf.html?job_id=1191377&target_type=custom&target_id=39492&csv=1

Thank you.

--Peter Kirby

If you have any other questions, please email petitions@moveon.org.

The links to download the petition as a PDF and to respond to all of your constituents will remain available for the next 14 days.

This email was sent through MoveOn's petition website, a free service that allows anyone to set up their own online petition and share it with friends. MoveOn does not endorse the contents of petitions posted on our public petition website. If you don't want to receive further emails updating you on how many people have signed this petition, click here:

http://petitions.moveon.org/delivery_unsub.html?e=A6ccxHGcs0jUQkZWj4v0gUJvYXJkLm9mLiN1cGVydmlzb3JzQHNMZ292Lm9yZw--&petition_id=70172

From: Board of Supervisors (BOS)
To: BOS-Supervisors
Subject: MTA budget and Sunday parking meters

From: mari [<mailto:mari.eliza@sbcglobal.net>]
Sent: Tuesday, April 15, 2014 12:10 PM
To: Board of Supervisors (BOS)
Subject: MTA budget and Sunday parking meters

To be delivered by email to the following:

MTA Board, staff, Mayor and Board of Supervisors

April 15, 2014

RE: April 15, 2014 SFMTA Board of Directors Item # 11 : 2015-2016 Operating Budget priorities, including rescission of enforcement of parking meters on Sundays.

Dear Mr. Reiskin, MTA board members, and San Francisco Supervisors:

I have attended many public meetings and met with a lot of city officials, neighborhood groups, Muni riders and employeeess, taxi drivers, bikers, and private vehicle owners, pissed off voters, and former Muni riders. They all have one thing in common. They all feel that the SFMTA has over-stepped its bounds and needs to be reformed. How best to accomplish this is has not yet been determined.

At the top of the list of complaints is the lack of transparency and abuse of power. Trust in the SFMTA and the organization's ability to fix the many transportation problems San Francisco faces is at an all-time low. This budget shows some of the major flaws in priorities. As supervisor Cohen said months ago, 'the SFTMA has bitten off too much'. The voters are calling for reforms and a Charter Amendment to fix the MTA, as nothing else seems to have worked lately.

Investigators have uncovered what appear to be questionable practices in the development of the current parking policies document, under which many "changes", including Sunday parking enforcement, were made. You will be hearing more on that soon.

Automobile owners are not the only ones who are mad. Muni drivers and riders hate the TEP that you just spent years and millions of taxpayer dollars on. Now, you want hundreds of millions more to implement changes no one wants.

No amount of studies or PR spin is not going to convince the public sitting in traffic that the traffic is flowing faster, or that parking is easier.

Take Mayor Lee's advice and alleviate some of the anger by rolling back Sunday parking enforcement today. Give us back the sanity we once knew so people can relax at the end of a tense week of getting around the city. Give us back our free Sunday parking.

Sincerely,

Mari
with CSFN and ENUF

contact@sfenuf.net

metermadness.wordpress.com

discoveryink.wordpress.com

[Like Us on Facebook](#)

[Follow Us on Twitter](#)

[Write City Officials](#)

[Write Letters to the Editor](#)

From: Board of Supervisors (BOS)
To: BOS-Supervisors
Subject: FW: My Comment on SF Gate re MTA Discontinuing Parking Meter Charges on Sunday

From: james miller [<mailto:jmwebdesigns@hotmail.com>]
Sent: Thursday, April 17, 2014 9:21 AM
To: leeheidhues@sbcglobal.net; eheidhues@gmail.com; plauterborn@gmail.com; 'charles buckbee'; kristin@sfbike.org; Lee, Mayor (MYR); Board of Supervisors (BOS); leah@sfbike.org; Neal@sfbike.org; Chiu, David (BOS)
Cc: letters@sfchronicle.com; letters@sfxaminer.com; White, Dustin (MTA); bicycle@sfmta.com; tep@sfmta.com
Subject: RE: My Comment on SF Gate re MTA Discontinuing Parking Meter Charges on Sunday

Who ever said the City was supposed to be "...a public transit, pedestrian, bicycle friendly City.?" The mayor, the BoS, the city planners may talk as if this were the case, but their actions speak quite differently. Previous mayors Brown and Newsom were equally as ineffective and self-serving as is our current Arschloch-of-a-mayor Lee, the former who constantly paid lip service to biking, never missing the photo-op by posing on a bike on BTW day, then being chauffeured to work the other 364 days. And our BoS is not much better. We have a few regular BTW cyclists while the other losers still cannot seem to get their fatasses onto neither a bike nor a bus. The recent action by our dooshbagg board prez Chu, re: his inexplicable support for the diluted Polk St. legislation, just shows you how insincere and gutless he and the board are when it comes to making progress on creating a bike-friendly city. They still do not have the balls, the foresight or even the intention to stand up to motorists who continue to rule, to congest, to pollute and to endanger our streets.

There is no excuse for 95% of the moronic, inconsiderate motorists to drive in this small, totally-accessible city w/ MUNI at nearly every corner, just as there is no excuse for a mayor and a board to constantly support and encourage them by their hypocritical and misguided legislation.

Re: the recent decision by Lee and the SFMTA to eliminate Sunday parking fines, well, who would expect anything else from an organization so incompetent and untrustworthy that after this many decades cannot produce decent transit in a city as prosperous as ours. (Apparently, drivers cannot afford these fines; they can only afford their huge SUVs, \$4 gasoline, auto insurance, maintainance...) Parking fines should be doubled on Sundays, so that motorists might avoid driving and partake in City Streets and the numerous other weekend running, biking and street fair events that are only hindered by cars.

It is, once again, a big *thumbs-down* to our clueless mayor and his city government who never seem to get it right. Let's just hope that they are soon replaced by our new generation of cyclists and educated young people who have recently flocked to the City and seem to understand the exceptional rewards of biking and the unsustainability of driving in San Francisco.

James

From: leeheidhues@sbcglobal.net

To: ehheidhues@gmail.com; plauterborn@gmail.com; jmwebdesigns@hotmail.com;
charlybuckbee@gmail.com; kristin@sfbike.org

Subject: My Comment on SF Gate re MTA Discontinuing Parking Meter Charges on Sunday

Date: Tue, 15 Apr 2014 21:40:03 -0700

The only way San Francisco will be a public transit, pedestrian, bicycle friendly City is to discourage people from driving. How do you do that? Make drivers pay. Well, obviously Mayor Lee, a career bureaucrat hoisted into office, has NO vision whatsoever except keeping his ear to the ground and doing whatever it takes to get re elected. So when Mayor Lee trots out his bicycle on May 8 for Bike to Work Day I will just scoff at the cynicism of this Mayor.

File 140076
GAD

From: Board of Supervisors (BOS)
To: BOS-Supervisors
Subject: FW: 5 new petition signatures: Steve Surewood, Jennifer Dito...

From: Steve Surewood [mailto:mail@changemail.org]
Sent: Monday, April 21, 2014 9:21 AM
To: Board of Supervisors (BOS)
Subject: 5 new petition signatures: Steve Surewood, Jennifer Dito...

5 new people recently signed Save GoSolarSF's petition "Mayor Ed Lee: Please Fully Fund GoSolarSF!" on Change.org.

There are now 140 signatures on this petition. Read reasons why people are signing, and respond to Save GoSolarSF by clicking here:

<http://www.change.org/petitions/mayor-ed-lee-please-fully-fund-gosolarsf/responses/new?response=278ffa470b0c>

Dear SF Board of Supervisors,

We ask you to please restore full funding for the City's GoSolarSF program to its prior full amount of \$5 million. This landmark program has more than quadrupled the number of solar rooftops in the San Francisco and created hundreds of jobs. Please don't let this program grind down to a halt yet again, let's move forward and not backward with a fully funded GoSolarSF!

Sincerely,

- 140. Steve Surewood SF, California
- 139. Jennifer Dito kentfield, California
- 138. Emily Kirsch Oakland, California
- 137. Edward Laurson Denver, Colorado
- 136. Anita Kanitz , Germany

File 140076

From: Board of Supervisors (BOS)
To: BOS-Supervisors; Miller, Alisa
Subject: File 140076: 5 new petition signatures: Enio Ximenes, Andrew Flores...

From: Enio Ximenes [mailto:mail@changemail.org]
Sent: Tuesday, April 15, 2014 9:54 PM
To: Board of Supervisors (BOS)
Subject: 5 new petition signatures: Enio Ximenes, Andrew Flores...

5 new people recently signed Save GoSolarSF's petition "Mayor Ed Lee: Please Fully Fund GoSolarSF!" on Change.org.

There are now 135 signatures on this petition. Read reasons why people are signing, and respond to Save GoSolarSF by clicking here:

<http://www.change.org/petitions/mayor-ed-lee-please-fully-fund-gosolarsf/responses/new?response=278ffa470b0c>

Dear SF Board of Supervisors,

We ask you to please restore full funding for the City's GoSolarSF program to its prior full amount of \$5 million. This landmark program has more than quadrupled the number of solar rooftops in the San Francisco and created hundreds of jobs. Please don't let this program grind down to a halt yet again, let's move forward and not backward with a fully funded GoSolarSF!

Sincerely,

135. Enio Ximenes Vallejo, California
134. Andrew Flores Concord, California
133. Nick Cosenza Emeryville, California
132. Heidi Kate Oakland, California
131. Daniela Bress , Germany

From: Board of Supervisors (BOS)
To: BOS-Supervisors; Miller, Alisa
Subject: File 140076: 5 new petition signatures: Chantal Buslot, Adam Weber...

From: Chantal Buslot [mailto:mail@changemail.org]
Sent: Tuesday, April 15, 2014 3:47 AM
To: Board of Supervisors (BOS)
Subject: 5 new petition signatures: Chantal Buslot, Adam Weber...

5 new people recently signed Save GoSolarSF's petition "Mayor Ed Lee: Please Fully Fund GoSolarSF!" on Change.org.

There are now 129 signatures on this petition. Read reasons why people are signing, and respond to Save GoSolarSF by clicking here:

<http://www.change.org/petitions/mayor-ed-lee-please-fully-fund-gosolarsf/responses/new?response=278ffa470b0c>

Dear SF Board of Supervisors,

We ask you to please restore full funding for the City's GoSolarSF program to its prior full amount of \$5 million. This landmark program has more than quadrupled the number of solar rooftops in the San Francisco and created hundreds of jobs. Please don't let this program grind down to a halt yet again, let's move forward and not backward with a fully funded GoSolarSF!

Sincerely,

130. Chantal Buslot Hasselt, Texas
129. Adam Weber Hood River, Oregon
128. Stephanie Nagel Bethesda, Maryland
128. Brandon Williams Astoria, New York
126. Corey Perlmutter san francisco, California



SAN
FRANCISCO
CHAMBER OF
COMMERCE

BOS-11
cpa 4-6
JAO clerk

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO

2014 APR 16 AM 9:23

file 140261

April 15, 2014

The Honorable David Chiu, President
San Francisco Board of Supervisors
1 Dr. Carlton B. Goodlett Drive, Suite 244
San Francisco, CA 94102

RE: File # 140261: Park, Police, Public Works Codes – Graffiti Prevention and Abatement

Dear President Chiu,

The San Francisco Chamber of Commerce, representing over 1,500 local businesses, supports Supervisor London Breed's Graffiti Prevention and Abatement ordinance coming before the Board of Supervisors Government Audit and Oversight Committee on April 24, 2014.

This ordinance will make graffiti vandals financially responsible for the destruction of property they cause by enabling the City Attorney to pursue civil remedies against them. Rather than treating businesses and property owners who are victims of repeated graffiti vandalism as responsible for crimes committed against them, it puts the responsibility for restitution and clean-up where it belongs – with the vandals.

The cost to the city for graffiti abatement is over twenty million dollars each year, which doesn't include the costs to private citizens who clean up graffiti themselves. Much of that money will be redirected to other vital city services once graffiti vandals get the message that they will be on the hook to pay for the damage they cause through painting, etching and tagging public and private properties.

This legislation works in other cities and is long overdue here. The San Francisco Chamber of Commerce applauds Supervisor Breed's effort to stop graffiti at its source, and we urge the Board of Supervisors to support this measure as well.

Sincerely,

Jim Lazarus
Senior Vice President of Public Policy

cc: Clerk of the Board of Supervisors: please distribute to all supervisors

11

From: Durgy, Michelle (TTX)
Sent: Wednesday, April 16, 2014 9:32 AM
To: aimee.brown@mac.com; Board of Supervisors (BOS); Perl, Charles (PUC); Cisneros, Jose (TTX); cynthia.fong@sfcta.org; Grazioli, Joseph; Lediju, Tonia (CON); Lu, Carol (MYR); Marx, Pauline (TTX); Morales, Richard (PUC); Rosenfield, Ben (CON); Rydstrom, Todd (PUC); SF Docs (LIB); Ronald Gerhard
Cc: Starr, Brian (TTX); Dion, Ichieh [TTX]
Subject: CCSF March Pooled Investment Report
Attachments: CCSF Monthly Pooled Investment Report for March 2014.pdf

Hello All -

Please find the CCSF Monthly Investment Report for March attached for your use.

Regards,
Michelle

Michelle Durgy
Chief Investment Officer
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 140
San Francisco, CA 94102
415-554-5210

Office of the Treasurer & Tax Collector
City and County of San Francisco

Pauline Marx, Chief Assistant Treasurer
 Michelle Durgy, Chief Investment Officer



José Cisneros, Treasurer

Investment Report for the month of March 2014

April 15, 2014

The Honorable Edwin M. Lee
Mayor of San Francisco
 City Hall, Room 200
 1 Dr. Carlton B. Goodlett Place
 San Francisco, CA 94102-4638

The Honorable Board of Supervisors
City and County of San Francisco
 City Hall, Room 244
 1 Dr. Carlton B. Goodlett Place
 San Francisco, CA 94102-4638

Ladies and Gentlemen,

In accordance with the provisions of California State Government Code, Section 53646, we forward this report detailing the City's pooled fund portfolio as of March 31, 2014. These investments provide sufficient liquidity to meet expenditure requirements for the next six months and are in compliance with our statement of investment policy and California Code.

This correspondence and its attachments show the investment activity for the month of March 2014 for the portfolios under the Treasurer's management. All pricing and valuation data is obtained from Interactive Data Corporation.

CCSF Pooled Fund Investment Earnings Statistics *

(in \$ million)	Current Month		Prior Month	
	Fiscal YTD	March 2014	Fiscal YTD	February 2014
Average Daily Balance	\$ 6,050	\$ 6,434	\$ 5,995	\$ 6,229
Net Earnings	32.77	3.13	29.63	3.21
Earned Income Yield	0.72%	0.57%	0.74%	0.67%

CCSF Pooled Fund Statistics *

(in \$ million)	% of	Book	Market	Wtd. Avg.	Wtd. Avg.	
Investment Type	Portfolio	Value	Value	Coupon	YTM	WAM
U.S. Treasuries	10.2%	\$ 686	\$ 688	1.18%	1.05%	876
Federal Agencies	67.7%	4,547	4,544	0.96%	0.83%	848
State & Local Government						
Agency Obligations	1.8%	124	122	2.71%	0.68%	412
Public Time Deposits	0.01%	1	1	0.47%	0.47%	110
Negotiable CDs	1.9%	125	125	0.31%	0.29%	192
Commercial Paper	6.0%	400	400	0.00%	0.17%	19
Medium Term Notes	10.6%	718	712	1.75%	0.18%	303
Money Market Funds	1.9%	125	125	0.03%	0.03%	1
Totals	100.0%	\$ 6,725	\$ 6,717	1.01%	0.72%	708

In the remainder of this report, we provide additional information and analytics at the security-level and portfolio-level, as recommended by the California Debt and Investment Advisory Commission.

Very truly yours,

José Cisneros
Treasurer

cc: Treasury Oversight Committee: Aimee Brown, Joe Grazioli, Charles Perl
 Ben Rosenfield, Controller, Office of the Controller
 Tonia Lediju, Internal Audit, Office of the Controller
 Cynthia Fong, Deputy Director for Finance & Administration, San Francisco County Transportation Authority
 Carol Lu, Budget Analyst
 San Francisco Public Library

* Please see last page of this report for non-pooled funds holdings and statistics.

Portfolio Summary

Pooled Fund

As of March 31, 2014

<i>(in \$ million)</i>							
Security Type	Par Value	Book Value	Market Value	Market/Book Price	Current % Allocation	Max. Policy Allocation	Compliant?
U.S. Treasuries	\$ 685	\$ 686	\$ 688	100.31	10.25%	100%	Yes
Federal Agencies	4,530	4,547	4,544	99.94	67.65%	85%	Yes
State & Local Government							
Agency Obligations	120	124	122	98.20	1.81%	20%	Yes
Public Time Deposits	1	1	1	100.00	0.01%	100%	Yes
Negotiable CDs	125	125	125	100.04	1.86%	30%	Yes
Bankers Acceptances	-	-	-	-	0.00%	40%	Yes
Commercial Paper	400	400	400	100.01	5.95%	25%	Yes
Medium Term Notes	706	718	712	99.23	10.60%	15%	Yes
Repurchase Agreements	-	-	-	-	0.00%	100%	Yes
Reverse Repurchase/ Securities Lending Agreements	-	-	-	-	0.00%	\$75mm	Yes
Money Market Funds	125	125	125	100.00	1.86%	100%	Yes
LAIF	-	-	-	-	0.00%	\$50mm	Yes
TOTAL	\$ 6,692	\$ 6,725	\$ 6,717	99.88	100.00%	-	Yes

The City and County of San Francisco uses the following methodology to determine compliance: Compliance is pre-trade and calculated on both a par and market value basis, using the result with the lowest percentage of the overall portfolio value. Cash balances are included in the City's compliance calculations.

Please note the information in this report does not include cash balances. Due to fluctuations in the market value of the securities held in the Pooled Fund and changes in the City's cash position, the allocation limits may be exceeded on a post-trade compliance basis. In these instances, no compliance violation has occurred, as the policy limits were not exceeded prior to trade execution.

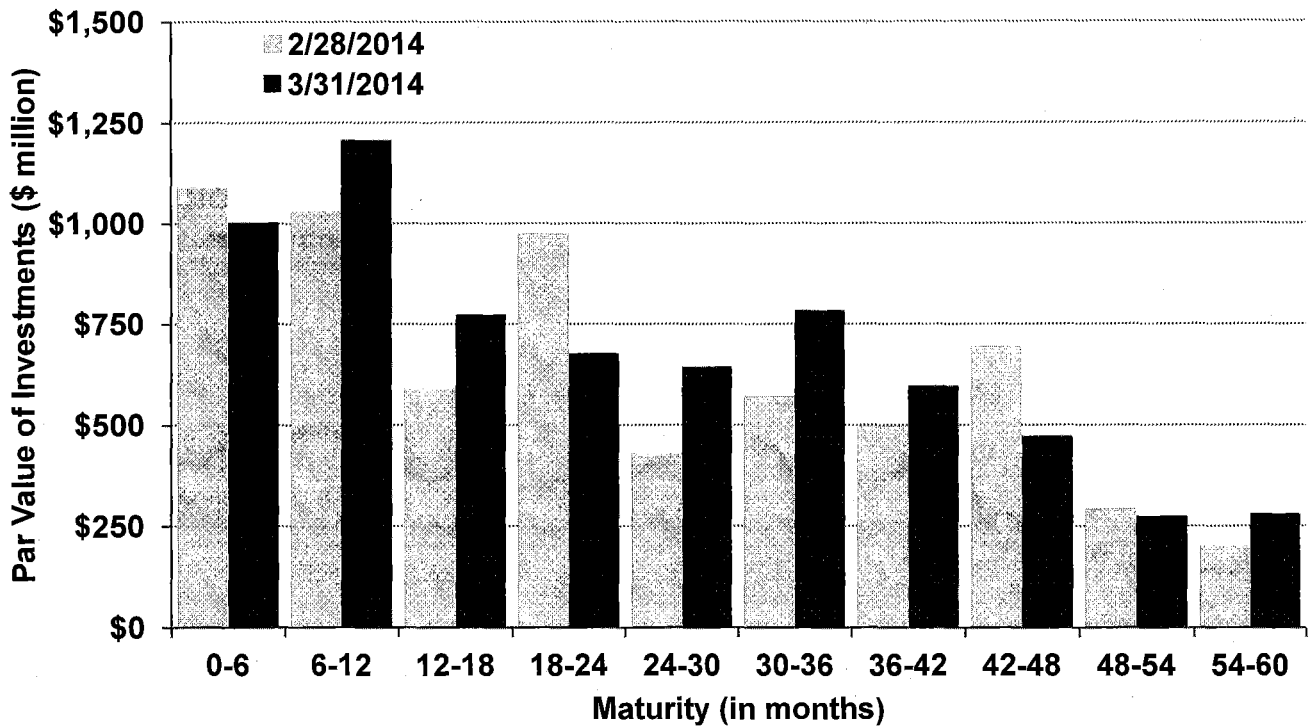
The full Investment Policy can be found at <http://www.sftreasurer.org/>, in the Reports & Plans section of the About menu.

Totals may not add due to rounding.

Portfolio Analysis

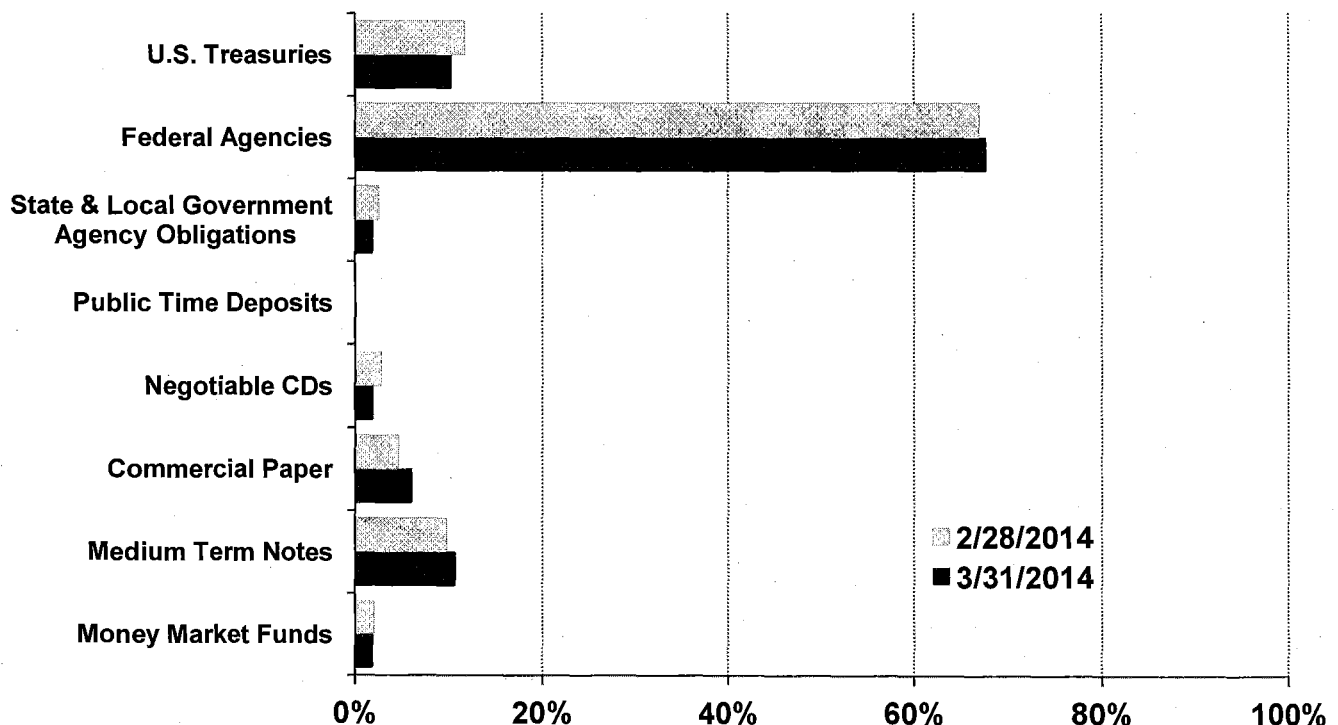
Pooled Fund

Par Value of Investments by Maturity



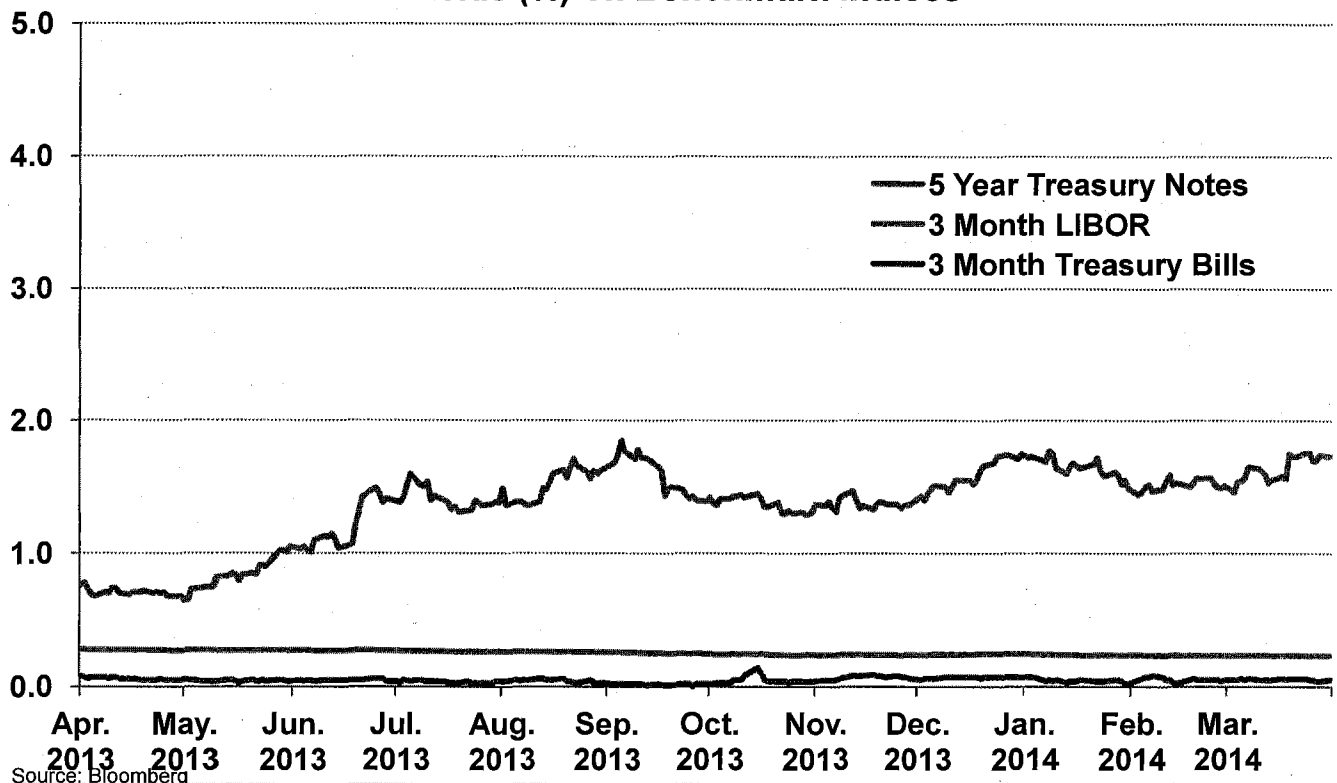
Callable bonds shown at maturity date.

Asset Allocation by Market Value

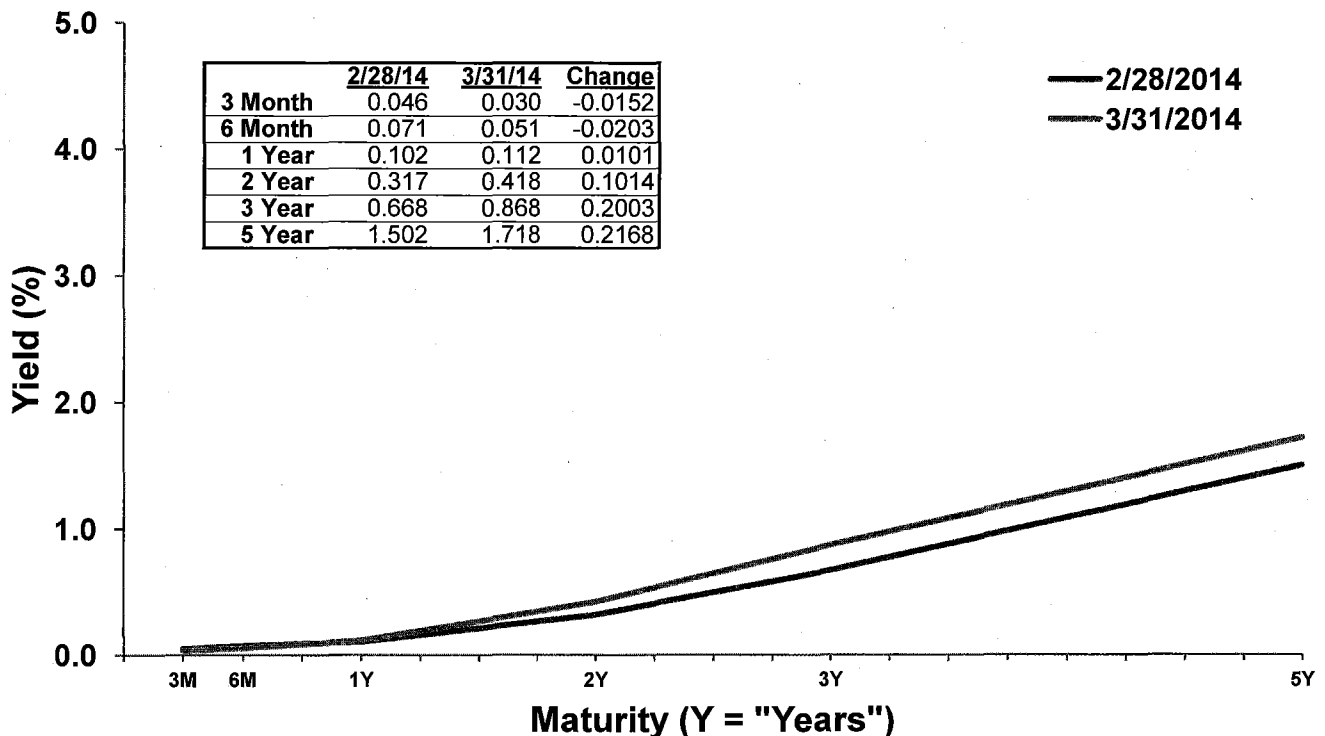


Yield Curves

Yields (%) on Benchmark Indices



U.S. Treasury Yield Curves



Investment Inventory

Pooled Fund

As of March 31, 2014

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	Market Value
			Date	Date					Book Value	
U.S. Treasuries	912828LC2	US TSY NT	6/1/11	7/31/14	0.33	2.63	\$ 25,000,000	\$ 26,382,813	\$ 25,144,741	\$ 25,212,000
U.S. Treasuries	912828MW7	US TSY NT	2/24/12	3/31/15	0.99	2.50	50,000,000	53,105,469	50,999,461	51,172,000
U.S. Treasuries	912828PE4	US TSY NT	12/23/11	10/31/15	1.57	1.25	25,000,000	25,609,375	25,250,155	25,386,750
U.S. Treasuries	912828PJ3	US TSY NT	12/16/10	11/30/15	1.65	1.38	50,000,000	49,519,531	49,838,605	50,886,500
U.S. Treasuries	912828PJ3	US TSY NT	12/16/10	11/30/15	1.65	1.38	50,000,000	49,519,531	49,838,605	50,886,500
U.S. Treasuries	912828PJ3	US TSY NT	12/23/10	11/30/15	1.65	1.38	50,000,000	48,539,063	49,507,349	50,886,500
U.S. Treasuries	912828RJ1	US TSY NT	10/11/11	9/30/16	2.48	1.00	75,000,000	74,830,078	74,914,571	75,609,750
U.S. Treasuries	912828RM4	US TSY NT	12/26/13	10/31/16	2.55	1.00	25,000,000	25,222,268	25,205,321	25,185,500
U.S. Treasuries	912828RX0	US TSY NT	2/25/14	12/31/16	2.72	0.88	25,000,000	25,179,348	25,174,451	25,058,500
U.S. Treasuries	912828SJ0	US TSY NT	3/14/12	2/28/17	2.88	0.88	100,000,000	99,695,313	99,821,089	100,008,000
U.S. Treasuries	912828SJ0	US TSY NT	3/21/12	2/28/17	2.88	0.88	25,000,000	24,599,609	24,763,980	25,002,000
U.S. Treasuries	912828SJ0	US TSY NT	3/21/12	2/28/17	2.88	0.88	25,000,000	24,599,609	24,763,980	25,002,000
U.S. Treasuries	912828SM3	US TSY NT	4/4/12	3/31/17	2.96	1.00	50,000,000	49,835,938	49,901,400	50,125,000
U.S. Treasuries	912828TM2	US TSY NT	9/17/12	8/31/17	3.38	0.63	60,000,000	59,807,813	59,867,413	58,983,000
U.S. Treasuries	912828UE8	US TSY NT	1/4/13	12/31/17	3.70	0.75	50,000,000	49,886,719	49,914,821	49,027,500
Subtotals					2.37	1.18	\$ 685,000,000	\$ 686,332,475	\$ 684,905,943	\$ 688,431,500
Federal Agencies	31315PHX0	FARMER MAC MTN	4/10/12	6/5/14	0.18	3.15	\$ 14,080,000	\$ 14,878,195	\$ 14,146,009	\$ 14,152,512
Federal Agencies	3133XWE70	FHLB TAP	5/15/12	6/13/14	0.20	2.50	48,000,000	50,088,480	48,200,868	48,231,840
Federal Agencies	3133724E1	FHLB	12/31/10	6/30/14	0.25	1.21	50,000,000	50,000,000	50,000,000	50,141,000
Federal Agencies	3137EACU1	FHLMC BONDS	6/2/11	7/30/14	0.33	1.00	75,000,000	74,946,000	74,994,385	75,207,000
Federal Agencies	3134G2UA8	FHLMC NT	12/1/11	8/20/14	0.39	1.00	28,000,000	28,247,744	28,035,178	28,082,600
Federal Agencies	31398A3G5	FNMA EX-CALL NT	4/4/12	9/8/14	0.44	1.50	13,200,000	13,515,216	13,256,860	13,281,576
Federal Agencies	31315PRZ4	FARMER MAC MTN	4/9/13	10/1/14	0.50	0.24	18,000,000	17,996,400	17,998,780	18,011,880
Federal Agencies	3136FTRF8	FNMA FLT QTR FF+39	12/12/11	11/21/14	0.14	0.46	26,500,000	26,523,585	26,505,134	26,566,250
Federal Agencies	31331J4S9	FFCB	12/16/10	12/8/14	0.68	1.40	24,000,000	23,988,000	23,997,927	24,209,760
Federal Agencies	31331J4S9	FFCB	12/8/10	12/8/14	0.68	1.40	19,000,000	18,956,680	18,992,558	19,166,060
Federal Agencies	313371W51	FHLB	12/8/10	12/12/14	0.69	1.25	75,000,000	74,391,000	74,893,997	75,526,500
Federal Agencies	3133XVNU1	FHLB	11/23/10	12/12/14	0.69	2.75	25,400,000	26,848,308	25,649,540	25,865,328
Federal Agencies	3133XVNU1	FHLB	11/23/10	12/12/14	0.69	2.75	2,915,000	3,079,668	2,943,372	2,968,403
Federal Agencies	3133XVNU1	FHLB	12/8/10	12/12/14	0.69	2.75	50,000,000	52,674,000	50,465,440	50,916,000
Federal Agencies	313371W93	FHLB	12/15/10	12/15/14	0.70	1.34	75,000,000	75,000,000	75,000,000	75,633,000
Federal Agencies	3136FTVN6	FNMA FLT QTR FF+35	12/15/11	12/15/14	0.21	0.43	75,000,000	75,000,000	75,000,000	75,185,250
Federal Agencies	31331J6Q1	FFCB	12/29/10	12/29/14	0.74	1.72	27,175,000	27,157,065	27,171,661	27,490,230
Federal Agencies	31331J6Q1	FFCB	12/29/10	12/29/14	0.74	1.72	65,000,000	64,989,600	64,998,064	65,754,000
Federal Agencies	3130A0FX3	FHLB	12/13/13	2/18/15	0.88	0.21	50,000,000	49,992,000	49,994,019	50,012,500
Federal Agencies	3133EAQ35	FFCB FLT NT FF+14	9/4/12	3/4/15	0.18	0.22	100,000,000	99,924,300	99,971,997	100,123,000
Federal Agencies	3135G0HG1	FNMA GLOBAL	1/13/14	3/16/15	0.96	0.38	9,399,000	9,418,089	9,414,602	9,417,892
Federal Agencies	3133EAJP4	FFCB FLT NT 1ML+1.5	4/30/12	4/27/15	0.07	0.17	50,000,000	49,992,600	49,997,350	50,030,000
Federal Agencies	31315PWJ4	FARMER MAC FLT NT FF+26	5/3/12	5/1/15	0.09	0.34	50,000,000	50,000,000	50,000,000	50,131,500
Federal Agencies	3133EAQ35	FFCB FLT NT 1ML+1	6/8/12	5/14/15	0.04	0.17	50,000,000	49,985,500	49,994,471	50,025,000
Federal Agencies	3133EDC67	FFCB	12/19/13	6/18/15	1.21	0.25	50,000,000	49,992,847	49,994,262	50,011,000
Federal Agencies	3133EAVE5	FFCB FLT NT 1ML+2	12/5/12	6/22/15	0.06	0.17	50,000,000	49,987,300	49,993,889	50,030,500
Federal Agencies	31315PDZ9	FAMCA	11/22/13	7/22/15	1.29	2.38	15,000,000	15,511,350	15,401,835	15,390,900
Federal Agencies	3133ECVW1	FFCB FLT NT T-BILL+14	8/5/13	8/5/15	0.10	0.19	62,500,000	62,487,500	62,491,592	62,513,750
Federal Agencies	313383V81	FHLB	12/12/13	8/28/15	1.41	0.38	9,000,000	9,014,130	9,011,639	9,011,970
Federal Agencies	3137EACM9	FHLMC BONDS	12/15/10	9/10/15	1.43	1.75	50,000,000	49,050,000	49,710,607	51,065,000
Federal Agencies	313370JB5	FHLB	12/15/10	9/11/15	1.43	1.75	75,000,000	73,587,000	74,568,998	76,529,250
Federal Agencies	31315PGT0	FARMER MAC	9/15/10	9/15/15	1.44	2.13	45,000,000	44,914,950	44,975,221	46,163,700

Investment Inventory

Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle Date	Maturity Date	Duration	Coupon	Par Value	Book Value	Amortized Book Value	Market Value
Federal Agencies	3133ECZG2	FFCB	12/10/13	9/16/15	1.45	0.55	52,047,000	52,256,229	52,219,898	52,231,767
Federal Agencies	3133ECJB1	FFCB FLT NT QTR TBILL+16	4/16/13	9/18/15	0.22	0.21	50,000,000	50,000,000	50,000,000	50,023,500
Federal Agencies	3133ECJB1	FFCB FLT NT QTR T-BILL+16	4/24/13	9/18/15	0.22	0.21	16,200,000	16,198,073	16,198,824	16,207,614
Federal Agencies	31398A3T7	FNMA NT EX-CALL	10/14/11	9/21/15	1.46	2.00	25,000,000	25,881,000	25,329,609	25,624,000
Federal Agencies	3133EAFJ6	FFCB FLT NT 1ML+2.5	11/30/12	9/22/15	0.06	0.18	27,953,000	27,941,120	27,946,759	27,969,213
Federal Agencies	31398A4M1	FNMA	12/15/10	10/26/15	1.55	1.63	25,000,000	24,317,500	24,779,802	25,512,000
Federal Agencies	31398A4M1	FNMA	12/23/10	10/26/15	1.55	1.63	42,000,000	40,924,380	41,651,397	42,860,160
Federal Agencies	3136G1LX5	FNMA NT CALL	5/15/13	11/13/15	1.61	0.32	24,610,000	24,610,000	24,610,000	24,591,296
Federal Agencies	31331J2S1	FFCB	12/15/10	11/16/15	1.60	1.50	25,000,000	24,186,981	24,731,256	25,493,000
Federal Agencies	3133ECLZ5	FFCB FLT NT MONTHLY 1ML+0	5/8/13	11/19/15	0.05	0.16	25,000,000	24,997,000	24,998,064	25,003,500
Federal Agencies	3133835R8	FHLB CALL NT	1/31/14	12/4/15	1.67	0.34	13,565,000	13,565,520	13,566,126	13,538,820
Federal Agencies	313371ZY5	FHLB	12/3/10	12/11/15	1.67	1.88	25,000,000	24,982,000	24,993,925	25,626,500
Federal Agencies	313371ZY5	FHLB	12/14/10	12/11/15	1.67	1.88	50,000,000	49,871,500	49,956,368	51,253,000
Federal Agencies	3133ED5A6	FFCB FLT	12/12/13	1/20/16	1.80	0.15	50,000,000	50,000,000	50,000,000	50,004,000
Federal Agencies	31315P3B3	FARMER MAC MTN	1/27/14	1/25/16	1.81	0.42	30,000,000	30,000,000	30,000,000	30,010,200
Federal Agencies	313375RN9	FHLB NT	4/13/12	3/11/16	1.93	1.00	22,200,000	22,357,620	22,278,368	22,376,934
Federal Agencies	3133XXP43	FHLB	12/12/13	3/11/16	1.90	3.13	14,000,000	14,848,400	14,734,590	14,691,460
Federal Agencies	3133EAJU3	FFCB NT	4/12/12	3/28/16	1.98	1.05	25,000,000	25,220,750	25,110,986	25,318,000
Federal Agencies	3135G0VA8	FNMA	12/13/13	3/30/16	1.99	0.50	25,000,000	25,022,250	25,019,356	25,016,750
Federal Agencies	31315PTF6	FAMCA FLT MTN 1ML+0	4/1/13	4/1/16	0.00	0.14	50,000,000	50,000,000	50,000,000	50,000,000
Federal Agencies	3133792Z1	FHLB NT	4/18/12	4/18/16	2.03	0.81	20,000,000	19,992,200	19,996,007	20,084,000
Federal Agencies	3133ECWT7	FFCB	11/20/13	5/9/16	2.09	0.65	22,650,000	22,750,988	22,736,852	22,696,659
Federal Agencies	3135G0RZ8	FNMA CALL NT	11/30/12	5/26/16	2.14	0.55	22,540,000	22,540,000	22,540,000	22,510,473
Federal Agencies	3133EDB35	FFCB FLT NT 1ML+3	1/15/14	6/2/16	0.01	0.18	50,000,000	49,991,681	49,992,409	50,023,000
Federal Agencies	31315PB73	FAMCA NT	2/9/12	6/9/16	2.17	0.90	10,000,000	10,000,000	10,000,000	10,096,000
Federal Agencies	313771AA5	FHLB SUB NT	5/20/13	6/13/16	2.08	5.63	16,925,000	19,472,890	18,754,021	18,730,728
Federal Agencies	313771AA5	FHLB SUB NT	5/30/13	6/13/16	2.08	5.63	14,195,000	16,259,095	15,690,074	15,709,465
Federal Agencies	3133EDDP4	FFCB NT	2/11/14	6/17/16	2.20	0.52	50,000,000	50,079,333	50,075,788	49,943,500
Federal Agencies	3130A1BK3	FHLB CALL NT	3/24/14	6/24/16	2.22	0.50	25,000,000	25,000,000	25,000,000	24,908,750
Federal Agencies	3135G0XP3	FNMA GLOBAL NT	3/25/14	7/5/16	2.25	0.38	50,000,000	49,794,767	49,796,841	49,806,000
Federal Agencies	31315PA25	FAMCA NT	7/27/11	7/27/16	2.27	2.00	15,000,000	14,934,750	14,969,714	15,461,550
Federal Agencies	31315PA25	FAMCA MTN	3/26/13	7/27/16	2.27	2.00	14,100,000	14,735,205	14,541,882	14,533,857
Federal Agencies	31315PA25	FAMCA MTN	3/26/13	7/27/16	2.27	2.00	11,900,000	12,440,498	12,275,999	12,266,163
Federal Agencies	31315PA25	FAMCA MTN	3/26/14	7/27/16	2.27	2.00	20,000,000	20,708,906	20,704,386	20,615,400
Federal Agencies	3134G4ET1	FHLMC CALL NT	1/9/14	8/8/16	2.33	0.85	40,220,000	40,300,440	40,269,179	40,285,156
Federal Agencies	3135G0YE7	FNMA GLOBAL NT	3/17/14	8/26/16	2.39	0.63	50,000,000	50,142,994	50,140,898	49,970,500
Federal Agencies	3135G0YE7	FNMA GLOBAL NT	3/25/14	8/26/16	2.39	0.63	50,000,000	49,952,024	49,952,602	49,970,500
Federal Agencies	31315PQB8	FAMCA NT	10/29/13	9/1/16	2.38	1.50	7,000,000	7,156,240	7,133,060	7,125,790
Federal Agencies	313370TW8	FHLB BD	10/11/11	9/9/16	2.39	2.00	25,000,000	25,727,400	25,361,471	25,811,000
Federal Agencies	3133EDH21	FFCB FLT NT 1ML+2	3/14/14	9/14/16	0.04	0.18	50,000,000	49,993,612	49,993,738	49,996,500
Federal Agencies	3134G4XW3	FHLMC CALL MTN	3/26/14	9/26/16	2.47	0.60	25,000,000	25,000,000	25,000,000	24,918,750
Federal Agencies	3134G3P38	FHLMC NT CALL	12/14/12	10/5/16	2.48	0.75	75,000,000	75,071,250	75,000,597	74,920,500
Federal Agencies	3137EADS5	FHLMC GLOBAL NT	3/3/14	10/14/16	2.50	0.88	25,000,000	25,284,711	25,278,636	25,075,500
Federal Agencies	3134G4HK7	FHLMC CALL STEP NT	10/24/13	10/24/16	2.55	0.50	25,000,000	25,000,000	25,000,000	25,016,250
Federal Agencies	3136G1WP0	FNMA CALL NT	11/4/13	11/4/16	2.54	1.50	18,000,000	18,350,460	18,279,408	18,272,160
Federal Agencies	313381GA7	FHLB NT	11/30/12	11/30/16	2.65	0.57	23,100,000	23,104,389	23,102,926	22,938,300
Federal Agencies	3130A12F4	FHLB CALL NT	3/19/14	12/19/16	2.70	0.70	20,500,000	20,497,950	20,497,976	20,394,630
Federal Agencies	313381KR5	FHLB NT CALL	12/28/12	12/28/16	2.72	0.63	13,500,000	13,500,000	13,500,000	13,409,145
Federal Agencies	313381KR5	FHLB NT CALL	12/28/12	12/28/16	2.72	0.63	9,000,000	9,000,000	9,000,000	8,939,430
Federal Agencies	3134G33C2	FHLMC NT	1/3/13	1/3/17	2.73	0.60	50,000,000	50,000,000	50,000,000	49,759,000

Investment Inventory

Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
Federal Agencies	3133ECB37	FFCB NT	12/20/12	1/12/17	2.76	0.58	14,000,000	14,000,000	14,000,000	13,878,620
Federal Agencies	31315PWW5	FARMER MAC MTN	5/4/12	1/17/17	2.76	1.01	49,500,000	49,475,250	49,485,285	49,644,540
Federal Agencies	3130A0MC1	FHLB STEP NT	1/30/14	1/30/17	2.81	0.50	16,370,000	16,370,000	16,370,000	16,327,929
Federal Agencies	3133786Q9	FHLB NT	1/10/13	2/13/17	2.83	1.00	67,780,000	68,546,456	68,317,801	67,761,022
Federal Agencies	3133EDFW7	FFCB FLT NT 1ML+5.5	2/27/14	2/27/17	0.07	0.21	50,000,000	50,000,000	50,000,000	50,013,500
Federal Agencies	3134G4XM5	FHLMC CALL MTN	3/28/14	3/28/17	2.96	0.78	25,000,000	25,000,000	25,000,000	24,867,750
Federal Agencies	3136G1ZB8	FHLMC CALL MTN	3/28/14	3/28/17	2.96	0.88	25,000,000	25,000,000	25,000,000	24,904,750
Federal Agencies	31315PTQ2	FARMER MAC MTN	4/10/12	4/10/17	2.96	1.26	12,439,250	12,439,250	12,463,237	12,539,625
Federal Agencies	3133ECLL6	FFCB NT	4/17/13	4/17/17	3.01	0.60	10,000,000	10,000,000	10,000,000	9,870,700
Federal Agencies	3136G0CC3	FNMA STRNT	4/18/12	4/18/17	3.00	0.85	30,000,000	30,000,000	30,000,000	30,010,200
Federal Agencies	31315PUQ0	FARMER MAC MTN	4/26/12	4/26/17	3.01	1.13	10,500,000	10,500,000	10,500,000	10,503,780
Federal Agencies	3133794Y2	FHLB FIX-TO-FLOAT CALL NT	5/9/12	5/9/17	3.08	0.50	25,000,000	25,000,000	25,000,000	24,995,500
Federal Agencies	3137EADF3	FHLMC NT	5/14/12	5/12/17	3.05	1.25	25,000,000	25,133,000	25,082,906	25,193,500
Federal Agencies	3136G0GW5	FNMA STEP NT CALL	6/11/12	5/23/17	3.10	0.85	50,000,000	50,290,500	50,021,246	50,038,500
Federal Agencies	31315PZQ5	FARMER MAC MTN	12/28/12	6/5/17	3.12	1.11	9,000,000	9,122,130	9,087,527	8,965,620
Federal Agencies	3133EAUW6	FFCB FLT NT FF+22	6/19/12	6/19/17	0.22	0.30	50,000,000	50,000,000	50,000,000	50,067,000
Federal Agencies	3137EADH9	FHLMC GLOBAL NT	3/25/14	6/29/17	3.19	1.00	25,000,000	24,980,347	24,980,813	24,909,250
Federal Agencies	3133ECV92	FFCB FLT NT 1ML+4	7/24/13	7/24/17	0.07	0.19	50,000,000	50,000,000	50,000,000	49,969,000
Federal Agencies	3133ECVG6	FFCB FLT NT 3ML+0	8/5/13	7/26/17	0.07	0.24	23,520,000	23,520,000	23,520,000	23,480,251
Federal Agencies	3136G0B59	FNMA STEP NT	9/20/12	9/20/17	3.43	0.70	64,750,000	64,750,000	64,750,000	64,664,530
Federal Agencies	3136G0D81	FNMA STEP NT	9/27/12	9/27/17	3.45	0.72	100,000,000	100,000,000	100,000,000	99,591,000
Federal Agencies	3137EADL0	FHLMC GLOBAL NT	3/25/14	9/29/17	3.44	1.00	25,000,000	24,808,175	24,809,221	24,798,750
Federal Agencies	3136G0Q20	FNMA CALL STEP NT	3/13/14	10/17/17	3.49	0.75	49,090,000	49,229,497	49,229,639	48,826,878
Federal Agencies	3136G0Y39	FNMA STEP NT	11/8/12	11/8/17	3.56	0.63	50,000,000	50,000,000	50,000,000	49,404,500
Federal Agencies	3134G44F2	FHLMC CALL MTN	5/21/13	11/21/17	3.58	0.80	50,000,000	50,000,000	50,000,000	48,978,000
Federal Agencies	3136G13T4	FNMA STEP NT	12/26/12	12/26/17	3.68	0.75	39,000,000	39,000,000	39,000,000	38,682,150
Federal Agencies	3136G13Q0	FNMA STEP NT	12/26/12	12/26/17	3.68	0.75	29,000,000	29,000,000	29,000,000	28,806,280
Federal Agencies	3134G32W9	FHLMC MTN CALL	12/26/12	12/26/17	3.65	1.25	33,600,000	33,991,272	33,661,516	33,459,216
Federal Agencies	3134G32W9	FHLMC MTN CALL	12/26/12	12/26/17	3.65	1.25	50,000,000	50,605,000	50,095,119	49,790,500
Federal Agencies	3134G32M1	FHLMC CALL NT	12/28/12	12/28/17	3.67	1.00	50,000,000	50,000,000	50,000,000	49,070,000
Federal Agencies	3135G0UN1	FNMA GLOBAL NT CALL	2/26/14	2/28/18	3.84	1.15	19,000,000	18,877,450	18,880,298	18,803,540
Federal Agencies	3135G0UN1	FNMA GLOBAL NT CALL	2/26/14	2/28/18	3.84	1.15	8,770,000	8,713,434	8,714,748	8,679,318
Federal Agencies	3136G1J67	FNMA NT CALL	4/9/13	4/9/18	3.89	1.50	25,000,000	25,249,000	25,005,458	25,000,000
Federal Agencies	3136G1KN8	FNMA NT CALL	4/24/13	4/24/18	3.93	1.50	50,000,000	50,903,000	50,479,951	49,917,000
Federal Agencies	3136G1K81	FNMA NT STEP	4/30/13	4/30/18	4.02	0.75	12,600,000	12,600,000	12,600,000	12,417,174
Federal Agencies	31315PZM4	FARMER MAC STEP NT	5/3/13	5/3/18	4.03	0.70	24,600,000	24,600,000	24,600,000	24,418,698
Federal Agencies	313382XK4	FHLB STEP NT	5/7/13	5/7/18	4.06	0.50	25,000,000	25,000,000	25,000,000	24,702,500
Federal Agencies	3133ECPB4	FFCB NT	5/23/13	5/14/18	4.04	0.88	10,000,000	9,934,600	9,945,866	9,739,700
Federal Agencies	3135G0WJ8	FNMA NT	5/23/13	5/21/18	4.06	0.88	25,000,000	24,786,500	24,823,137	24,323,500
Federal Agencies	3133834P3	FHLB STEP NT	5/22/13	5/22/18	4.10	0.50	50,000,000	50,000,000	50,000,000	49,167,000
Federal Agencies	3135G0YM9	FNMA GLOBAL NT	3/25/14	9/18/18	4.30	1.88	50,000,000	50,421,579	50,419,855	50,493,000
Federal Agencies	3136G1WF2	FNMA STEP NT	10/30/13	10/30/18	4.47	1.00	25,000,000	25,000,000	25,000,000	24,896,000
Federal Agencies	3136G1XY0	FNMA CALL	11/27/13	11/27/18	4.41	2.25	25,000,000	25,327,000	25,177,275	25,122,750
Federal Agencies	3134G4LZ9	FHLMC CALL STEP	12/10/13	12/10/18	4.60	0.88	50,000,000	50,000,000	50,000,000	50,041,500
Federal Agencies	3134G4MB1	FHLMC CALL MULTI-STEP	12/18/13	12/18/18	4.55	1.50	25,000,000	25,000,000	25,000,000	24,901,000
Federal Agencies	3134G4S74	FHLMC CALL NT	1/16/14	1/16/19	4.58	2.00	17,800,000	17,800,000	17,800,000	17,875,650
Federal Agencies	3130A0JC5	FHLB STEP NT	1/17/14	1/17/19	4.68	1.00	55,660,000	55,660,000	55,660,000	55,508,605
Federal Agencies	3130A1B98	FHLB STEP CALL NT	3/27/14	3/27/19	4.87	1.00	75,000,000	75,000,000	75,000,000	74,797,500
Federal Agencies	3130A1AC2	FHLB CALL NT	3/27/14	3/27/19	4.79	1.85	5,000,000	4,982,500	4,982,548	4,957,700
Subtotals					1.97	0.96	\$ 4,530,414,000	\$ 4,546,715,553	\$ 4,538,943,492	\$ 4,544,160,496

Investment Inventory

Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle Date	Maturity Date	Duration	Coupon	Par Value	Book Value	Amortized Book Value	Market Value
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	5/2/12	4/1/14	0.00	5.25	\$ 2,820,000	\$ 3,044,359	\$ 2,820,000	\$ 2,820,000
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	4/8/13	4/1/14	0.00	5.25	10,000,000	10,469,000	10,000,000	10,000,000
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	5/3/13	4/1/14	0.00	5.25	7,270,000	7,590,971	7,270,000	7,270,000
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	7/29/13	4/1/14	0.00	5.25	1,250,000	1,289,350	1,250,000	1,250,000
State/Local Agencies	13063CEA4	CALIFORNIA ST RAN	8/22/13	5/28/14	0.16	2.00	27,000,000	27,368,820	27,075,350	27,081,540
State/Local Agencies	62451FFC9	WHISMAN SCHOOL DIST MTN VIEW	7/24/12	8/1/14	0.34	0.75	1,125,000	1,125,000	1,125,000	1,124,708
State/Local Agencies	612574DP5	MONTEREY COMM COLLEGE GO	5/7/13	8/1/14	0.34	0.43	310,000	310,000	310,000	310,174
State/Local Agencies	64966DPC7	NEW YORK CITY GO	6/7/12	11/1/14	0.57	4.75	8,000,000	8,774,720	8,189,042	8,204,880
State/Local Agencies	13063BN65	CALIFORNIA ST TAXABLE GO BD	3/27/13	2/1/15	0.83	0.85	10,000,000	10,038,000	10,017,201	10,029,700
State/Local Agencies	649791JS0	NEW YORK ST TAXABLE GO	3/21/13	3/1/15	0.92	0.39	4,620,000	4,619,076	4,619,565	4,621,663
State/Local Agencies	91412GPW9	UNIV OF CALIFORNIA REVENUE BC	3/14/13	5/15/15	1.12	0.39	5,000,000	5,000,000	5,000,000	5,001,800
State/Local Agencies	612574DQ3	MONTEREY COMM COLLEGE GO	5/7/13	8/1/15	1.33	0.63	315,000	315,000	315,000	315,939
State/Local Agencies	64966GXS6	NEW YORK CITY TAXABLE GO	4/1/13	12/1/15	1.60	5.13	12,255,000	13,700,477	13,158,794	13,168,365
State/Local Agencies	13063BN73	CALIFORNIA ST TAXABLE GO BD	3/27/13	2/1/16	1.82	1.05	11,000,000	11,037,180	11,023,965	11,039,820
State/Local Agencies	612574DR1	MONTEREY COMM COLLEGE GO	5/7/13	8/1/16	2.31	0.98	2,670,000	2,670,000	2,670,000	2,680,226
State/Local Agencies	13063CFC9	CALIFORNIA ST GO BD	11/5/13	11/1/17	3.47	1.75	16,500,000	16,558,905	16,552,962	16,756,410
Subtotals					1.10	2.71	\$ 120,135,000	\$ 123,910,858	\$ 121,396,881	\$ 121,675,224
Public Time Deposits		BANK OF SAN FRANCISCO PTD	4/9/13	4/9/14	0.03	0.47	\$ 240,000	\$ 240,000	\$ 240,000	\$ 240,000
Public Time Deposits		FIRST NAT. BANK OF NOR. CAL. PT	4/9/13	4/9/14	0.03	0.48	240,000	240,000	240,000	240,000
Public Time Deposits		TRANS PACIFIC NATIONAL BANK P	2/7/14	2/7/15	0.85	0.46	240,000	240,000	240,000	240,000
Subtotals					0.30	0.47	\$ 720,000	\$ 720,000	\$ 720,000	\$ 720,000
Negotiable CDs	78009NNK8	RBC FLT YCD 1ML+11	6/24/13	6/24/14	0.23	0.26	\$ 25,000,000	\$ 25,000,000	\$ 25,000,000	\$ 25,012,055
Negotiable CDs	06417HFD3	BANK OF NOVA SCOTIA YCD	3/24/14	8/14/14	0.37	0.22	25,000,000	25,008,778	25,008,612	25,008,492
Negotiable CDs	96121TTS7	WESTPAC FLT YCD 1ML+9	1/23/14	8/28/14	0.41	0.24	25,000,000	25,009,250	25,006,351	25,011,637
Negotiable CDs	06417FB58	BANK OF NOVA SCOTIA YCD 3ML+1	7/17/13	1/20/15	0.80	0.42	50,000,000	50,000,000	50,000,000	50,031,833
Subtotals					0.52	0.31	\$ 125,000,000	\$ 125,018,028	\$ 125,014,963	\$ 125,064,017
Commercial Paper	06538CDE8	BANK OF TOKYO MITSUBISHI UFJ C	3/14/14	4/14/14	0.04	0.00	\$ 200,000,000	\$ 199,970,722	\$ 199,970,722	\$ 199,990,611
Commercial Paper	06538CDR9	BANK OF TOKYO MITSUBISHI UFJ C	3/26/14	4/25/14	0.07	0.00	200,000,000	199,971,667	199,971,667	199,982,667
Subtotals					0.05	0.00	\$ 400,000,000	\$ 399,942,389	\$ 399,942,389	\$ 399,973,278
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	4/26/13	5/1/14	0.09	3.63	\$ 6,500,000	\$ 6,720,350	\$ 6,517,866	\$ 6,518,980
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	4/26/13	5/1/14	0.09	3.63	5,000,000	5,169,500	5,013,743	5,014,600
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN 3ML+	5/2/13	5/2/14	0.09	0.98	27,475,000	27,669,221	27,491,495	27,490,386
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN	8/2/13	5/2/14	0.09	0.98	20,000,000	20,106,250	20,012,065	20,011,200
Medium Term Notes	36962GX41	GE CAPITAL CORP MTN	4/9/13	6/9/14	0.19	5.65	25,000,000	26,515,000	25,245,387	25,242,500
Medium Term Notes	59217EBW3	MET LIFE GLOBAL FUNDING MTN	11/13/12	6/10/14	0.19	5.13	10,000,000	10,725,948	10,088,530	10,091,200
Medium Term Notes	64952WBL6	NEW YORK LIFE MTN 3ML+0	3/27/13	7/30/14	0.08	0.24	3,000,000	3,000,630	3,000,154	3,000,360
Medium Term Notes	78008TXA7	RBC MTN	11/1/13	10/30/14	0.58	1.45	10,000,000	10,117,555	10,068,822	10,068,000
Medium Term Notes	459200GZ8	IBM MTN	11/5/13	10/31/14	0.58	0.88	31,814,000	32,012,568	31,933,065	31,924,395
Medium Term Notes	36962G4G6	GE CAPITAL CORP MTN	8/7/13	11/14/14	0.61	3.75	2,920,000	3,039,340	2,978,384	2,980,999
Medium Term Notes	07385TAJ5	JP MORGAN CHASE MTN	12/18/13	11/15/14	0.61	5.70	11,500,000	12,099,438	11,930,484	11,869,035
Medium Term Notes	07385TAJ5	JP MORGAN CHASE MTN	12/19/13	11/15/14	0.61	5.70	25,654,000	26,991,172	26,618,048	26,477,237
Medium Term Notes	89233P7B6	TOYOTA MTN 3ML+17	1/28/13	12/5/14	0.18	0.41	10,000,000	10,004,700	10,001,724	10,009,400
Medium Term Notes	36962G6T6	GE FLT NT 3ML+38	1/10/13	1/9/15	0.02	0.62	25,000,000	25,000,000	25,000,000	25,069,250
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	7/12/13	1/9/15	0.77	2.15	87,824,000	89,617,366	88,753,529	89,057,927
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	8/7/13	1/9/15	0.77	2.15	4,820,000	4,926,667	4,878,051	4,887,721

Investment Inventory

Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	Market Value
			Date	Date					Book Value	
Medium Term Notes	36962G5M2	GENERAL ELECTRIC MTN	12/16/13	1/9/15	0.77	2.15	27,743,000	28,291,202	28,141,820	28,132,789
Medium Term Notes	46625HHP8	JP MORGAN CHASE MTN	2/18/14	1/20/15	0.79	3.70	16,935,000	17,479,931	17,417,906	17,361,254
Medium Term Notes	46625HHP8	JP MORGAN CHASE MTN	3/17/14	1/20/15	0.79	3.70	22,580,000	23,322,393	23,292,776	23,148,339
Medium Term Notes	78008SVS2	RBC MTN FIX-TO-FLT	1/22/13	1/22/15	0.81	0.34	100,000,000	100,000,000	100,000,000	99,736,000
Medium Term Notes	89233P7H3	TOYOTA MTN 3ML+17	1/23/13	1/23/15	0.06	0.41	35,000,000	35,000,000	35,000,000	35,040,600
Medium Term Notes	89233P7L4	TOYOTA MTN FIX-TO-FLOAT	2/4/13	2/4/15	0.84	0.41	25,000,000	25,000,000	25,000,000	24,797,500
Medium Term Notes	717081DA8	PFIZER MTN	12/9/13	3/15/15	0.94	5.35	3,000,000	3,185,850	3,140,295	3,134,700
Medium Term Notes	89236TAG0	TOYOTA MOTOR CREDIT CORP 3MI	4/12/13	4/8/15	0.02	0.39	50,000,000	50,000,000	50,000,000	50,041,000
Medium Term Notes	459200HD6	IBM CORP NT	12/19/13	5/11/15	1.11	0.75	5,425,000	5,465,154	5,457,883	5,450,715
Medium Term Notes	36962G5Z3	GE CAPITAL CORP MTN	8/19/13	7/2/15	1.24	1.63	5,000,000	5,075,250	5,050,424	5,067,950
Medium Term Notes	36962G4M3	GE CORP MTN FLT	11/25/13	7/9/15	0.02	0.99	8,565,000	8,624,955	8,612,071	8,588,811
Medium Term Notes	89233P6J0	TOYOTA MTN	11/15/13	7/17/15	1.29	0.88	10,000,000	10,072,000	10,055,803	10,057,100
Medium Term Notes	89233P6J0	TOYOTA MTN	3/4/14	7/17/15	1.29	0.88	6,100,000	6,154,853	6,152,172	6,134,831
Medium Term Notes	594918AG9	MICROSOFT MTN	10/30/13	9/25/15	1.47	1.63	3,186,000	3,260,266	3,243,917	3,242,010
Medium Term Notes	369604BE2	GENERAL ELECTRIC MTN	3/5/14	10/9/15	1.51	0.85	10,000,000	10,103,472	10,100,277	10,045,200
Medium Term Notes	06366RJH9	BANK OF MONTREAL MTN	3/27/14	11/6/15	1.59	0.80	8,500,000	8,559,103	8,558,828	8,529,580
Medium Term Notes	742718DS5	PROCTER & GAMBLE MTN	3/7/14	11/15/15	1.60	1.80	23,025,000	23,717,592	23,694,791	23,506,223
Medium Term Notes	742718DS5	PROCTER & GAMBLE MTN	3/12/14	11/15/15	1.60	1.80	10,000,000	10,290,400	10,282,834	10,209,000
Medium Term Notes	459200GU9	IBM CORP NT	2/11/14	1/5/16	1.73	2.00	19,579,000	20,178,901	20,139,252	20,081,201
Medium Term Notes	064255AK8	BTMUFJ FLT MTN 3ML+45	3/17/14	2/26/16	0.16	0.68	10,000,000	10,039,412	10,038,657	10,010,100
Subtotals					0.64	1.75	\$ 706,145,000	\$ 717,536,438	\$ 712,911,054	\$ 712,028,091
Money Market Funds	61747C707	MS INSTL GOVT FUND	3/31/14	4/1/14	0.00	0.04	\$ 75,076,041	\$ 75,076,041	\$ 75,076,041	\$ 75,076,041
Money Market Funds	09248U718	BLACKROCK T-FUND INSTL	3/31/14	4/1/14	0.00	0.01	25,000,000	25,000,000	25,000,000	25,000,000
Money Market Funds	316175108	FIDELITY INSTL GOVT PORT	3/31/14	4/1/14	0.00	0.01	25,002,678	25,002,678	25,002,678	25,002,678
Subtotals					0.00	0.03	\$ 125,078,720	\$ 125,078,720	\$ 125,078,720	\$ 125,078,720
Grand Totals					1.68	1.01	\$ 6,692,492,720	\$ 6,725,254,461	\$ 6,708,913,441	\$ 6,717,131,326

Monthly Investment Earnings

Pooled Fund

For month ended March 31, 2014

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM ¹	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
U.S. Treasuries	912828LC2	US TSY NT	\$ 25,000,000	2.63	0.85	6/1/11	7/31/14	\$ 56,198	\$ (37,082)	\$ -	\$ 19,116
U.S. Treasuries	912828MW7	US TSY NT	50,000,000	2.50	0.48	2/24/12	3/31/15	106,437	(85,119)	-	21,318
U.S. Treasuries	912828PE4	US TSY NT	25,000,000	1.25	0.61	12/23/11	10/31/15	26,761	(13,417)	-	13,344
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	1.58	12/16/10	11/30/15	58,551	8,229	-	66,780
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	1.58	12/16/10	11/30/15	58,551	8,229	-	66,780
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	2.00	12/23/10	11/30/15	58,551	25,119	-	83,670
U.S. Treasuries	912828PS3	US TSY NT	-	2.00	0.36	12/13/13	1/31/16	33,149	174,247	(179,688)	27,709
U.S. Treasuries	912828RJ1	US TSY NT	75,000,000	1.00	1.05	10/11/11	9/30/16	63,862	2,901	-	66,763
U.S. Treasuries	912828RM4	US TSY NT	25,000,000	1.00	0.74	12/26/13	10/31/16	21,409	(5,473)	-	15,936
U.S. Treasuries	912828RX0	US TSY NT	25,000,000	0.88	0.67	2/25/14	12/31/16	18,733	(4,337)	-	14,395
U.S. Treasuries	912828SJ0	US TSY NT	100,000,000	0.88	0.94	3/14/12	2/28/17	73,709	5,213	-	78,922
U.S. Treasuries	912828SJ0	US TSY NT	25,000,000	0.88	1.21	3/21/12	2/28/17	18,427	6,877	-	25,304
U.S. Treasuries	912828SJ0	US TSY NT	25,000,000	0.88	1.21	3/21/12	2/28/17	18,427	6,877	-	25,304
U.S. Treasuries	912828SM3	US TSY NT	50,000,000	1.00	1.07	4/4/12	3/31/17	42,575	2,791	-	45,366
U.S. Treasuries	912828TM2	US TSY NT	60,000,000	0.63	0.69	9/17/12	8/31/17	31,590	3,293	-	34,883
U.S. Treasuries	912828UE8	US TSY NT	50,000,000	0.75	0.80	1/4/13	12/31/17	32,113	1,927	-	34,041
U.S. Treasuries	912828C24	US TSY NT	-	1.50	1.64	3/10/14	2/28/19	2,038	-	46,875	48,913
U.S. Treasuries	912828C24	US TSY NT	-	1.50	1.64	3/10/14	2/28/19	2,038	-	39,063	41,101
Subtotals			\$ 685,000,000					\$ 723,120	\$ 100,274	\$ (93,750)	\$ 729,644
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	\$ -	0.27	0.98	3/4/11	3/4/14	\$ 554	\$ 41	\$ -	\$ 595
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	-	0.27	0.62	3/4/11	3/4/14	554	21	-	574
Federal Agencies	313379RV3	FHLB FLT NT FF+12	-	0.18	1.13	6/11/12	3/11/14	2,458	208	-	2,667
Federal Agencies	31398A3R1	FNMA AMORT TO CALL	-	1.35	1.27	11/10/10	3/21/14	18,375	-	-	18,375
Federal Agencies	31315PHX0	FARMER MAC MTN	14,080,000	3.15	0.50	4/10/12	6/5/14	36,960	(31,481)	-	5,479
Federal Agencies	3133XWE70	FHLB TAP	48,000,000	2.50	0.40	5/15/12	6/13/14	100,000	(85,300)	-	14,700
Federal Agencies	3133724E1	FHLB	50,000,000	1.21	1.21	12/31/10	6/30/14	50,417	-	-	50,417
Federal Agencies	3137EACU1	FHLMC BONDS	75,000,000	1.00	1.02	6/2/11	7/30/14	62,500	1,451	-	63,951
Federal Agencies	3134G2UA8	FHLMC NT	28,000,000	1.00	0.67	12/1/11	8/20/14	23,333	(7,734)	-	15,599
Federal Agencies	31398A3G5	FNMA EX-CALL NT	13,200,000	1.50	0.51	4/4/12	9/8/14	16,500	(11,017)	-	5,483
Federal Agencies	31315PRZ4	FARMER MAC MTN	18,000,000	0.24	0.26	4/9/13	10/1/14	3,638	207	-	3,844
Federal Agencies	3136FTRF8	FNMA FLT QTR FF+39	26,500,000	0.46	0.34	12/12/11	11/21/14	10,468	(680)	-	9,788
Federal Agencies	31331J4S9	FFCB	24,000,000	1.40	1.41	12/16/10	12/8/14	28,000	256	-	28,256
Federal Agencies	31331J4S9	FFCB	19,000,000	1.40	1.46	12/8/10	12/8/14	22,167	919	-	23,086
Federal Agencies	313371W51	FHLB	75,000,000	1.25	1.46	12/8/10	12/12/14	78,125	12,887	-	91,012
Federal Agencies	3133XVNU1	FHLB	25,400,000	2.75	1.30	11/23/10	12/12/14	58,208	(30,336)	-	27,872
Federal Agencies	3133XVNU1	FHLB	2,915,000	2.75	1.31	11/23/10	12/12/14	6,680	(3,449)	-	3,231
Federal Agencies	3133XVNU1	FHLB	50,000,000	2.75	1.37	12/8/10	12/12/14	114,583	(56,583)	-	58,000
Federal Agencies	313371W93	FHLB	75,000,000	1.34	1.34	12/15/10	12/15/14	83,750	-	-	83,750
Federal Agencies	3136FTVN6	FNMA FLT QTR FF+35	75,000,000	0.43	0.43	12/15/11	12/15/14	26,798	-	-	26,798
Federal Agencies	31331J6Q1	FFCB	27,175,000	1.72	1.74	12/29/10	12/29/14	38,951	381	-	39,331
Federal Agencies	31331J6Q1	FFCB	65,000,000	1.72	1.72	12/29/10	12/29/14	93,167	221	-	93,387
Federal Agencies	3130A0FX3	FHLB	50,000,000	0.21	0.22	12/13/13	2/18/15	8,750	574	-	9,324
Federal Agencies	3133EAQ35	FFCB FLT NT FF+14	100,000,000	0.22	0.30	9/4/12	3/4/15	18,194	2,576	-	20,770
Federal Agencies	3135G0HG1	FNMA GLOBAL	9,399,000	0.38	0.20	1/13/14	3/16/15	2,937	(1,386)	-	1,551
Federal Agencies	3133EAJP4	FFCB FLT NT 1ML+1.5	50,000,000	0.17	0.18	4/30/12	4/27/15	7,284	210	-	7,494
Federal Agencies	31315PWJ4	FARMER MAC FLT NT FF+26	50,000,000	0.34	0.34	5/3/12	5/1/15	14,086	-	-	14,086
Federal Agencies	3133EAQC5	FFCB FLT NT 1ML+1	50,000,000	0.17	0.19	6/8/12	5/14/15	7,113	420	-	7,533
Federal Agencies	3133EDC67	FFCB	50,000,000	0.25	0.26	12/19/13	6/18/15	10,417	426	-	10,843

Monthly Investment Earnings

Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM ¹	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Federal Agencies	3133EAVE5	FFCB FLT NT 1ML+2	50,000,000	0.17	0.19	12/5/12	6/22/15	7,539	424	-	7,963
Federal Agencies	31315PDZ9	FAMCA	15,000,000	2.38	0.32	11/22/13	7/22/15	29,688	(26,115)	-	3,572
Federal Agencies	3133ECVW1	FFCB FLT NT T-BILL+14	62,500,000	0.19	0.20	8/5/13	8/5/15	9,920	531	-	10,450
Federal Agencies	313383V81	FHLB	9,000,000	0.38	0.28	12/12/13	8/28/15	2,813	(702)	-	2,111
Federal Agencies	3137EACM9	FHLMC BONDS	50,000,000	1.75	2.17	12/15/10	9/10/15	72,917	17,023	-	89,940
Federal Agencies	313370JB5	FHLB	75,000,000	1.75	2.31	12/15/10	9/11/15	109,375	25,305	-	134,680
Federal Agencies	31315PGT0	FARMER MAC	45,000,000	2.13	2.17	9/15/10	9/15/15	79,688	1,444	-	81,131
Federal Agencies	3133ECZG2	FFCB	52,047,000	0.55	0.32	12/10/13	9/16/15	23,855	(10,056)	-	13,799
Federal Agencies	3133ECJB1	FFCB FLT NT QTR TBILL+16	50,000,000	0.21	0.21	4/16/13	9/18/15	8,810	-	-	8,810
Federal Agencies	3133ECJB1	FFCB FLT NT QTR T-BILL+16	16,200,000	0.21	0.21	4/24/13	9/18/15	2,854	68	-	2,922
Federal Agencies	31398A3T7	FNMA NT EX-CALL	25,000,000	2.00	1.08	10/14/11	9/21/15	41,667	(18,992)	-	22,674
Federal Agencies	3133EAJF6	FFCB FLT NT 1ML+2.5	27,953,000	0.18	0.21	11/30/12	9/22/15	4,335	359	-	4,694
Federal Agencies	31398A4M1	FNMA	25,000,000	1.63	2.22	12/15/10	10/26/15	33,854	11,913	-	45,767
Federal Agencies	31398A4M1	FNMA	42,000,000	1.63	2.19	12/23/10	10/26/15	56,875	18,860	-	75,735
Federal Agencies	3136G1LX5	FNMA NT CALL	24,610,000	0.32	0.32	5/15/13	11/13/15	6,563	-	-	6,563
Federal Agencies	31331J2S1	FFCB	25,000,000	1.50	2.20	12/15/10	11/16/15	31,250	14,025	-	45,275
Federal Agencies	3133ECLZ5	FFCB FLT NT MONTHLY 1ML+0	25,000,000	0.16	0.16	5/8/13	11/19/15	3,341	101	-	3,442
Federal Agencies	3133835R8	FHLB CALL NT	13,565,000	0.34	0.37	1/31/14	12/4/15	3,843	313	-	4,156
Federal Agencies	313371ZY5	FHLB	25,000,000	1.88	1.89	12/3/10	12/11/15	39,063	304	-	39,367
Federal Agencies	313371ZY5	FHLB	50,000,000	1.88	1.93	12/14/10	12/11/15	78,125	2,185	-	80,310
Federal Agencies	3133ED5A6	FFCB FLT	50,000,000	0.15	0.15	12/12/13	1/20/16	6,639	-	-	6,639
Federal Agencies	31315P3B3	FARMER MAC MTN	30,000,000	0.42	0.42	1/27/14	1/25/16	10,500	-	-	10,500
Federal Agencies	3133ECP57	FFCB FLT NT 1ML+0	-	0.15	0.17	5/20/13	2/10/16	2,012	(3,720)	17,710	16,001
Federal Agencies	313375RN9	FHLB NT	22,200,000	1.00	0.82	4/13/12	3/11/16	18,500	(3,422)	-	15,078
Federal Agencies	3133XFP43	FHLB	14,000,000	3.13	0.41	12/12/13	3/11/16	36,458	(32,074)	-	4,385
Federal Agencies	3133EAJU3	FFCB NT	25,000,000	1.05	0.82	4/12/12	3/28/16	21,875	(4,733)	-	17,142
Federal Agencies	3135G0VA8	FNMA	25,000,000	0.50	0.46	12/13/13	3/30/16	10,417	(823)	-	9,594
Federal Agencies	31315PTF6	FAMCA FLT MTN 1ML+0	50,000,000	0.14	0.14	4/1/13	4/1/16	6,223	-	-	6,223
Federal Agencies	313379Z21	FHLB NT	20,000,000	0.81	0.82	4/18/12	4/18/16	13,500	166	-	13,666
Federal Agencies	3133ECWT7	FFCB	22,650,000	0.65	0.48	11/20/13	5/9/16	12,269	(3,320)	-	8,949
Federal Agencies	3135G0RZ8	FNMA CALL NT	22,540,000	0.55	0.55	11/30/12	5/26/16	10,331	-	-	10,331
Federal Agencies	3133EDB35	FFCB FLT NT 1ML+3	50,000,000	0.18	0.19	1/15/14	6/2/16	619	297	-	916
Federal Agencies	31315PB73	FAMCA NT	10,000,000	0.90	0.90	2/9/12	6/9/16	7,500	-	-	7,500
Federal Agencies	313771AA5	FHLB SUB NT	16,925,000	5.63	0.65	5/20/13	6/13/16	79,336	(70,522)	-	8,814
Federal Agencies	313771AA5	FHLB SUB NT	14,195,000	5.63	0.77	5/30/13	6/13/16	66,539	(57,646)	-	8,893
Federal Agencies	3133EDDP4	FFCB NT	50,000,000	0.52	0.47	2/11/14	6/17/16	21,667	(2,243)	-	19,424
Federal Agencies	3130A1BK3	FHLB CALL NT	25,000,000	0.50	0.50	3/24/14	6/24/16	2,431	-	-	2,431
Federal Agencies	3135G0XP3	FNMA GLOBAL NT	50,000,000	0.38	0.59	3/25/14	7/5/16	3,125	2,075	-	5,200
Federal Agencies	31315PA25	FAMCA NT	15,000,000	2.00	2.09	7/27/11	7/27/16	25,000	1,107	-	26,107
Federal Agencies	31315PA25	FAMCA MTN	14,100,000	2.00	0.63	3/26/13	7/27/16	23,500	(16,154)	-	7,346
Federal Agencies	31315PA25	FAMCA MTN	11,900,000	2.00	0.62	3/26/13	7/27/16	19,833	(13,745)	-	6,088
Federal Agencies	31315PA25	FAMCA MTN	20,000,000	2.00	0.61	3/26/14	7/27/16	5,556	(4,520)	-	1,036
Federal Agencies	3134G4ET1	FHLMC CALL NT	40,220,000	0.85	0.77	1/9/14	8/8/16	28,489	(11,818)	-	16,671
Federal Agencies	3135G0YE7	FNMA GLOBAL NT	50,000,000	0.63	0.52	3/17/14	8/26/16	12,153	(2,096)	-	10,057
Federal Agencies	3135G0YE7	FNMA GLOBAL NT	50,000,000	0.63	0.69	3/25/14	8/26/16	5,208	579	-	5,787
Federal Agencies	31315PQB8	FAMCA NT	7,000,000	1.50	0.70	10/29/13	9/1/16	8,750	(4,666)	-	4,084
Federal Agencies	313370TW8	FHLB BD	25,000,000	2.00	1.39	10/11/11	9/9/16	41,667	(12,562)	-	29,104
Federal Agencies	3133EDH21	FFCB FLT NT 1ML+2	50,000,000	0.18	0.18	3/14/14	9/14/16	4,413	126	-	4,538
Federal Agencies	3134G4XW3	FHLMC CALL MTN	25,000,000	0.60	0.60	3/26/14	9/26/16	2,083	-	-	2,083
Federal Agencies	3134G3P38	FHLMC NT CALL	75,000,000	0.75	0.72	12/14/12	10/5/16	46,875	(4,631)	-	42,244

Monthly Investment Earnings

Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM ¹	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Federal Agencies	3137EADS5	FHLMC GLOBAL NT	25,000,000	0.88	0.57	3/3/14	10/14/16	17,014	(6,075)	-	10,939
Federal Agencies	3134G4HK7	FHLMC CALL STEP NT	25,000,000	0.50	0.50	10/24/13	10/24/16	10,417	-	-	10,417
Federal Agencies	3136G1WP0	FNMA CALL NT	18,000,000	1.50	0.84	11/4/13	11/4/16	22,500	(14,883)	-	7,617
Federal Agencies	313381GA7	FHLB NT	23,100,000	0.57	0.57	11/30/12	11/30/16	10,973	(93)	-	10,879
Federal Agencies	3130A12F4	FHLB CALL NT	20,500,000	0.70	0.70	3/19/14	12/19/16	4,783	26	-	4,810
Federal Agencies	313381KR5	FHLB NT CALL	13,500,000	0.63	0.63	12/28/12	12/28/16	7,031	-	-	7,031
Federal Agencies	313381KR5	FHLB NT CALL	9,000,000	0.63	0.63	12/28/12	12/28/16	4,688	-	-	4,688
Federal Agencies	3134G33C2	FHLMC NT	50,000,000	0.60	0.60	1/3/13	1/3/17	25,000	-	-	25,000
Federal Agencies	3133ECB37	FFCB NT	14,000,000	0.58	0.58	12/20/12	1/12/17	6,767	-	-	6,767
Federal Agencies	31315PWW5	FARMER MAC MTN	49,500,000	1.01	1.02	5/4/12	1/17/17	41,663	446	-	42,109
Federal Agencies	3130A0MC1	FHLB STEP NT	16,370,000	0.50	0.50	1/30/14	1/30/17	6,821	-	-	6,821
Federal Agencies	3133786Q9	FHLB NT	67,780,000	1.00	0.72	1/10/13	2/13/17	56,483	(15,893)	-	40,590
Federal Agencies	3133EDFW7	FFCB FLT NT 1ML+5.5	50,000,000	0.21	0.21	2/27/14	2/27/17	9,006	-	-	9,006
Federal Agencies	3134G4XM5	FHLMC CALL MTN	25,000,000	0.78	0.78	3/28/14	3/28/17	1,625	-	-	1,625
Federal Agencies	3136G1ZB8	FHLMC CALL MTN	25,000,000	0.88	0.88	3/28/14	3/28/17	1,823	-	-	1,823
Federal Agencies	31315PTQ2	FARMER MAC MTN	12,500,000	1.26	1.36	4/10/12	4/10/17	13,125	1,031	-	14,156
Federal Agencies	3133ECLL6	FFCB NT	10,000,000	0.60	0.60	4/17/13	4/17/17	5,000	-	-	5,000
Federal Agencies	3136G0CC3	FNMA STRNT	30,000,000	0.85	0.85	4/18/12	4/18/17	21,250	-	-	21,250
Federal Agencies	31315PUQ0	FARMER MAC MTN	10,500,000	1.13	1.13	4/26/12	4/26/17	9,844	-	-	9,844
Federal Agencies	3133794Y2	FHLB FIX-TO-FLOAT CALL NT	25,000,000	0.50	0.50	5/9/12	5/9/17	10,417	-	-	10,417
Federal Agencies	3137EADF3	FHLMC NT	25,000,000	1.25	1.14	5/14/12	5/12/17	26,042	(2,260)	-	23,781
Federal Agencies	3136G0GW5	FNMA STEP NT CALL	50,000,000	0.85	0.73	6/11/12	5/23/17	35,417	(12,666)	-	22,751
Federal Agencies	31315PZQ5	FARMER MAC MTN	9,000,000	1.11	0.80	12/28/12	6/5/17	8,325	(2,337)	-	5,988
Federal Agencies	3133EAUW6	FFCB FLT NT FF+22	50,000,000	0.30	0.30	6/19/12	6/19/17	12,764	-	-	12,764
Federal Agencies	3137EADH9	FHLMC GLOBAL NT	25,000,000	1.00	1.10	3/25/14	6/29/17	4,167	466	-	4,633
Federal Agencies	3133ECV92	FFCB FLT NT 1ML+4	50,000,000	0.19	0.19	7/24/13	7/24/17	8,403	-	-	8,403
Federal Agencies	3133ECVG6	FFCB FLT NT 3ML+0	23,520,000	0.24	0.24	8/5/13	7/26/17	4,677	-	-	4,677
Federal Agencies	3136G0B59	FNMA STEP NT	64,750,000	0.70	0.70	9/20/12	9/20/17	37,771	-	-	37,771
Federal Agencies	3136G0DB1	FNMA STEP NT	100,000,000	0.72	0.72	9/27/12	9/27/17	60,000	-	-	60,000
Federal Agencies	3137EADL0	FHLMC GLOBAL NT	25,000,000	1.00	1.22	3/25/14	9/29/17	4,167	1,046	-	5,212
Federal Agencies	3136G0QQ20	FNMA CALL STEP NT	49,090,000	0.75	0.76	3/13/14	10/17/17	18,409	142	-	18,551
Federal Agencies	3136G0Y39	FNMA STEP NT	50,000,000	0.63	0.63	11/8/12	11/8/17	26,042	-	-	26,042
Federal Agencies	3134G44F2	FHLMC CALL MTN	50,000,000	0.80	0.80	5/21/13	11/21/17	33,333	-	-	33,333
Federal Agencies	3135G0RT2	FNMA NT	-	0.88	0.91	1/10/13	12/20/17	23,090	(18,968)	(469,000)	(464,878)
Federal Agencies	3135G0RT2	FNMA GLOBAL	-	0.88	1.02	1/29/13	12/20/17	14,583	(78,630)	(209,870)	(273,917)
Federal Agencies	3136G13T4	FNMA STEP NT	39,000,000	0.75	0.75	12/26/12	12/26/17	24,375	-	-	24,375
Federal Agencies	3136G13Q0	FNMA STEP NT	29,000,000	0.75	0.75	12/26/12	12/26/17	18,125	-	-	18,125
Federal Agencies	3134G32W9	FHLMC MTN CALL	33,600,000	1.25	1.01	12/26/12	12/26/17	35,000	(22,174)	-	12,826
Federal Agencies	3134G32W9	FHLMC MTN CALL	50,000,000	1.25	1.00	12/26/12	12/26/17	52,083	(34,287)	-	17,796
Federal Agencies	3134G32M1	FHLMC CALL NT	50,000,000	1.00	1.00	12/28/12	12/28/17	41,667	-	-	41,667
Federal Agencies	3135G0UN1	FNMA GLOBAL NT CALL	19,000,000	1.15	1.32	2/26/14	2/28/18	18,208	2,597	-	20,805
Federal Agencies	3135G0UN1	FNMA GLOBAL NT CALL	8,770,000	1.15	1.32	2/26/14	2/28/18	8,405	1,199	-	9,603
Federal Agencies	3136G1FK0	FNMA NT CALL	-	1.60	1.36	3/13/13	3/13/18	11,467	236,210	(244,240)	3,437
Federal Agencies	3136G1GG8	FNMA NT CALL	-	1.50	1.29	3/19/13	3/19/18	13,425	170,173	(179,000)	4,598
Federal Agencies	3136G1J67	FNMA NT CALL	25,000,000	1.50	1.29	4/9/13	4/9/18	31,250	(21,148)	-	10,102
Federal Agencies	3136G1KN8	FNMA NT CALL	50,000,000	1.50	1.13	4/24/13	4/24/18	62,500	(38,347)	-	24,153
Federal Agencies	3136G1K81	FNMA NT STEP	12,600,000	0.75	0.75	4/30/13	4/30/18	7,875	-	-	7,875
Federal Agencies	31315PZM4	FARMER MAC STEP NT	24,600,000	0.70	0.70	5/3/13	5/3/18	14,350	-	-	14,350
Federal Agencies	313382KK4	FHLB STEP NT	25,000,000	0.50	0.50	5/7/13	5/7/18	10,417	-	-	10,417
Federal Agencies	3133ECPB4	FFCB NT	10,000,000	0.88	1.01	5/23/13	5/14/18	7,292	1,116	-	8,407

Monthly Investment Earnings

Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM ¹	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Federal Agencies	3135G0WJ8	FNMA NT	25,000,000	0.88	1.05	5/23/13	5/21/18	18,229	3,629	-	21,858
Federal Agencies	3133834P3	FHLB STEP NT	50,000,000	0.50	0.50	5/22/13	5/22/18	20,833	-	-	20,833
Federal Agencies	3135G0YM9	FNMA GLOBAL NT	50,000,000	1.88	1.69	3/25/14	9/18/18	15,625	(1,724)	-	13,901
Federal Agencies	3136G1WF2	FNMA STEP NT	25,000,000	1.00	1.00	10/30/13	10/30/18	20,833	-	-	20,833
Federal Agencies	3136G1XY0	FNMA CALL	25,000,000	2.25	1.97	11/27/13	11/27/18	46,875	(37,132)	-	9,743
Federal Agencies	3134G4LZ9	FHLMC CALL STEP	50,000,000	0.88	0.88	12/10/13	12/10/18	36,458	-	-	36,458
Federal Agencies	3134G4MB1	FHLMC CALL MULTI-STEP	25,000,000	1.50	1.50	12/18/13	12/18/18	31,250	-	-	31,250
Federal Agencies	3134G4S74	FHLMC CALL NT	17,800,000	2.00	2.00	1/16/14	1/16/19	29,667	-	-	29,667
Federal Agencies	3130A0JC5	FHLB STEP NT	55,660,000	1.00	1.00	1/17/14	1/17/19	46,383	-	-	46,383
Federal Agencies	3130A1B98	FHLB STEP CALL NT	75,000,000	1.00	1.00	3/27/14	3/27/19	8,333	-	-	8,333
Federal Agencies	3130A1AC2	FHLB CALL NT	5,000,000	1.85	1.92	3/27/14	3/27/19	1,028	48	-	1,076
Subtotals			\$4,530,414,000					\$3,380,174	\$ (303,013)	\$ (1,084,401)	\$ 1,992,761
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	\$ -	2.61	0.53	3/29/12	3/15/14	\$ 15,196	\$ (11,855)	\$ -	\$ 3,341
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	-	2.61	0.42	6/8/12	3/15/14	11,260	(9,281)	-	1,979
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	-	2.61	0.42	6/8/12	3/15/14	8,256	(6,805)	-	1,451
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	-	2.61	0.32	4/29/13	3/15/14	2,026	(1,750)	-	276
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	2,820,000	5.25	1.04	5/2/12	4/1/14	12,338	(9,950)	-	2,387
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	10,000,000	5.25	0.45	4/8/13	4/1/14	43,750	(40,612)	-	3,138
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	7,270,000	5.25	0.39	5/3/13	4/1/14	31,806	(29,880)	-	1,926
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	1,250,000	5.25	0.55	7/29/13	4/1/14	5,469	(4,959)	-	510
State/Local Agencies	13063CEA4	CALIFORNIA ST RAN	27,000,000	2.00	0.21	8/22/13	5/28/14	45,863	(40,980)	-	4,883
State/Local Agencies	62451FFC9	WHISMAN SCHOOL DIST MTN VIEW	1,125,000	0.75	0.75	7/24/12	8/1/14	704	-	-	704
State/Local Agencies	612574DP5	MONTEREY COMM COLLEGE GO	310,000	0.43	0.43	5/7/13	8/1/14	111	-	-	111
State/Local Agencies	64966DPC7	NEW YORK CITY GO	8,000,000	4.75	0.68	6/7/12	11/1/14	31,667	(27,385)	-	4,282
State/Local Agencies	13063BN65	CALIFORNIA ST TAXABLE GO BD	10,000,000	0.85	0.64	3/27/13	2/1/15	7,083	(1,743)	-	5,341
State/Local Agencies	649791JS0	NEW YORK ST TAXABLE GO	4,620,000	0.39	0.40	3/21/13	3/1/15	1,502	40	-	1,542
State/Local Agencies	91412GPW9	UNIV OF CALIFORNIA REVENUE BC	5,000,000	0.39	0.39	3/14/13	5/15/15	1,633	-	-	1,633
State/Local Agencies	612574DQ3	MONTEREY COMM COLLEGE GO	315,000	0.63	0.63	5/7/13	8/1/15	165	-	-	165
State/Local Agencies	64966GXS6	NEW YORK CITY TAXABLE GO	12,255,000	5.13	0.66	4/1/13	12/1/15	52,390	(46,006)	-	6,384
State/Local Agencies	13063BN73	CALIFORNIA ST TAXABLE GO BD	11,000,000	1.05	0.91	3/27/13	2/1/16	9,625	(1,107)	-	8,518
State/Local Agencies	612574DR1	MONTEREY COMM COLLEGE GO	2,670,000	0.98	0.98	5/7/13	8/1/16	2,185	-	-	2,185
State/Local Agencies	13063CFC9	CALIFORNIA ST GO BD	16,500,000	1.75	1.66	11/5/13	11/1/17	24,063	(1,253)	-	22,809
Subtotals			\$ 120,135,000					\$ 307,092	\$ (233,526)	\$ -	\$ 73,566
Public Time Deposits		BANK OF SAN FRANCISCO PTD	\$ 240,000	0.47	0.47	4/9/13	4/9/14	\$ 97	\$ -	\$ -	\$ 97
Public Time Deposits		FIRST NAT. BANK OF NOR. CAL. PT	240,000	0.48	0.48	4/9/13	4/9/14	99	-	-	99
Public Time Deposits		TRANS PACIFIC NATIONAL BANK P	240,000	0.46	0.46	2/7/14	2/7/15	95	-	-	95
Subtotals			\$ 720,000					\$ 291	\$ -	\$ -	\$ 291
Negotiable CDs	78009NMC7	RBC YCD FF+22	\$ -	0.29	0.29	3/26/13	3/26/14	\$ 14,974	\$ -	\$ -	\$ 14,974
Negotiable CDs	78009NNK8	RBC FLT YCD 1ML+11	25,000,000	0.26	0.26	6/24/13	6/24/14	5,700	-	-	5,700
Negotiable CDs	06417HFD3	BANK OF NOVA SCOTIA YCD	25,000,000	0.22	0.19	3/24/14	8/14/14	1,222	(166)	-	1,056
Negotiable CDs	96121TTS7	WESTPAC FLT YCD 1ML+9	25,000,000	0.24	0.15	1/23/14	8/28/14	5,064	(1,321)	-	3,743
Negotiable CDs	06417FB58	BANK OF NOVA SCOTIA YCD 3ML+1	50,000,000	0.42	0.42	7/17/13	1/20/15	17,991	-	-	17,991
Subtotals			\$ 125,000,000					\$ 44,951	\$ (1,488)	\$ -	\$ 43,464
Commercial Paper	9612C1CC9	WSTPAC CP	\$ -	0.00	0.14	12/13/13	3/12/14	\$ 3,829	\$ -	\$ -	\$ 3,829
Commercial Paper	06538CCR0	BANK OF TOKYO MITSUBISHI UFJ C	-	0.00	0.17	2/25/14	3/25/14	22,667	-	-	22,667
Commercial Paper	06538CDE8	BANK OF TOKYO MITSUBISHI UFJ C	200,000,000	0.00	0.17	3/14/14	4/14/14	17,000	-	-	17,000

Monthly Investment Earnings

Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM ¹	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Commercial Paper	06538CDR9	BANK OF TOKYO MITSUBISHI UFJ C	200,000,000	0.00	0.17	3/26/14	4/25/14	5,667	-	-	5,667
Subtotals			\$ 400,000,000					\$ 49,162	\$ -	\$ -	\$ 49,162
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	\$ 6,500,000	3.63	0.27	4/26/13	5/1/14	\$ 19,635	\$ (18,462)	\$ -	\$ 1,174
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	5,000,000	3.63	0.27	4/26/13	5/1/14	15,104	(14,201)	-	903
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN 3ML+	27,475,000	0.98	0.29	5/2/13	5/2/14	24,902	(16,495)	-	8,406
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN	20,000,000	0.98	0.29	8/2/13	5/2/14	18,127	(12,065)	-	6,062
Medium Term Notes	36962GX41	GE CAPITAL CORP MTN	25,000,000	5.65	0.44	4/9/13	6/9/14	117,708	(110,246)	-	7,462
Medium Term Notes	59217EBW3	MET LIFE GLOBAL FUNDING MTN	10,000,000	5.13	0.49	11/13/12	6/10/14	42,708	(39,206)	-	3,502
Medium Term Notes	64952WBL6	NEW YORK LIFE MTN 3ML+0	3,000,000	0.24	0.19	3/27/13	7/30/14	610	(40)	-	570
Medium Term Notes	78008TXA7	RBC MTN	10,000,000	1.45	0.27	11/1/13	10/30/14	12,083	(10,005)	-	2,079
Medium Term Notes	459200GZ8	IBM MTN	31,814,000	0.88	0.25	11/5/13	10/31/14	23,198	(16,766)	-	6,432
Medium Term Notes	36962G4G6	GE CAPITAL CORP MTN	2,920,000	3.75	0.52	8/7/13	11/14/14	9,125	(7,973)	-	1,152
Medium Term Notes	07385TAJ5	JP MORGAN CHASE MTN	11,500,000	5.70	0.52	12/18/13	11/15/14	54,625	(50,361)	-	4,264
Medium Term Notes	07385TAJ5	JP MORGAN CHASE MTN	25,654,000	5.70	0.52	12/19/13	11/15/14	121,857	(112,299)	-	9,557
Medium Term Notes	89233P7B6	TOYOTA MTN 3ML+17	10,000,000	0.41	0.34	1/28/13	12/5/14	3,499	(216)	-	3,284
Medium Term Notes	36962G6T6	GE FLT NT 3ML+38	25,000,000	0.62	0.62	1/10/13	1/9/15	13,392	-	-	13,392
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	87,824,000	2.15	0.77	7/12/13	1/9/15	157,351	(101,821)	-	55,530
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	4,820,000	2.15	0.59	8/7/13	1/9/15	8,636	(6,359)	-	2,277
Medium Term Notes	36962G5M2	GENERAL ELECTRIC MTN	27,743,000	2.15	0.29	12/16/13	1/9/15	49,706	(43,687)	-	6,019
Medium Term Notes	46625HHP8	JP MORGAN CHASE MTN	16,935,000	3.70	0.51	2/18/14	1/20/15	52,216	(45,780)	-	6,436
Medium Term Notes	46625HHP8	JP MORGAN CHASE MTN	22,580,000	3.70	0.48	3/17/14	1/20/15	32,490	(29,617)	-	2,873
Medium Term Notes	78008SVS2	RBC MTN FIX-TO-FLT	100,000,000	0.34	0.34	1/22/13	1/22/15	28,092	-	-	28,092
Medium Term Notes	89233P7H3	TOYOTA MTN 3ML+17	35,000,000	0.41	0.41	1/23/13	1/23/15	12,254	-	-	12,254
Medium Term Notes	89233P7L4	TOYOTA MTN FIX-TO-FLOAT	25,000,000	0.41	0.41	2/4/13	2/4/15	8,548	-	-	8,548
Medium Term Notes	717081DA8	PFIZER MTN	3,000,000	5.35	0.44	12/9/13	3/15/15	13,375	(12,498)	-	878
Medium Term Notes	89236TAG0	TOYOTA MOTOR CREDIT CORP 3M	50,000,000	0.39	0.39	4/12/13	4/8/15	16,764	-	-	16,764
Medium Term Notes	459200HD6	IBM CORP NT	5,425,000	0.75	0.27	12/19/13	5/11/15	3,391	(2,188)	-	1,202
Medium Term Notes	36962G5Z3	GE CAPITAL CORP MTN	5,000,000	1.63	0.81	8/19/13	7/2/15	6,771	(3,420)	-	3,350
Medium Term Notes	36962G4M3	GE CORP MTN FLT	8,565,000	0.99	0.52	11/25/13	7/9/15	7,081	(3,145)	-	3,936
Medium Term Notes	89233P6J0	TOYOTA MTN	10,000,000	0.88	0.44	11/15/13	7/17/15	7,292	(3,665)	-	3,627
Medium Term Notes	89233P6J0	TOYOTA MTN	6,100,000	0.88	0.30	3/4/14	7/17/15	4,003	(2,682)	-	1,322
Medium Term Notes	594918AG9	MICROSOFT MTN	3,186,000	1.63	0.39	10/30/13	9/25/15	4,314	(3,313)	-	1,002
Medium Term Notes	369604BE2	GENERAL ELECTRIC MTN	10,000,000	0.85	0.42	3/5/14	10/9/15	6,139	(3,196)	-	2,943
Medium Term Notes	06366RJH9	BANK OF MONTREAL MTN	8,500,000	0.80	0.56	3/27/14	11/6/15	756	(276)	-	480
Medium Term Notes	742718DS5	PROCTER & GAMBLE MTN	23,025,000	1.80	0.34	3/7/14	11/15/15	27,630	(22,801)	-	4,829
Medium Term Notes	742718DS5	PROCTER & GAMBLE MTN	10,000,000	1.80	0.41	3/12/14	11/15/15	9,500	(7,566)	-	1,934
Medium Term Notes	459200GU9	IBM CORP NT	19,579,000	2.00	0.48	2/11/14	1/5/16	32,632	(25,084)	-	7,548
Medium Term Notes	064255AK8	BTMUFJ FLT MTN 3ML+45	10,000,000	0.68	0.50	3/17/14	2/26/16	2,851	(755)	-	2,096
Subtotals			\$ 706,145,000					\$ 968,366	\$ (726,188)	\$ -	\$ 242,177
Money Market Funds	61747C707	MS INSTL GOVT FUND	\$ 75,076,041	0.04	0.04	3/31/14	4/1/14	\$ 2,551	\$ -	\$ -	\$ 2,551
Money Market Funds	09248U718	BLACKROCK T-FUND INSTL	25,000,000	0.01	0.01	3/31/14	4/1/14	212	-	-	212
Money Market Funds	316175108	FIDELITY INSTL GOVT PORT	25,002,678	0.01	0.01	3/31/14	4/1/14	212	-	-	212
Subtotals			\$ 125,078,720					\$ 2,975	\$ -	\$ -	\$ 2,975
Grand Totals			\$ 6,692,492,720					\$ 5,476,132	\$ (1,163,940)	\$ (1,178,151)	\$ 3,134,041

¹ Yield to maturity is calculated at purchase

Investment Transactions

Pooled Fund

For month ended March 31, 2014

Transaction	Settle Date	Maturity	Type of Investment	Issuer Name	CUSIP	Par Value	Coupon	YTM	Price	Interest	Transaction
Purchase	3/3/2014	10/14/2016	Federal Agencies	FHLMC GLOBAL NT	3137EADS5	\$ 25,000,000	0.88	0.57	\$ 100.80	\$ -	\$ 25,284,711
Purchase	3/4/2014	7/17/2015	Medium Term Notes	TOYOTA MTN	89233P6J0	6,100,000	0.88	0.30	100.79	-	6,154,853
Purchase	3/5/2014	10/9/2015	Medium Term Notes	GENERAL ELECTRIC MTN	369604BE2	10,000,000	0.85	0.42	100.69	-	10,103,472
Purchase	3/7/2014	11/15/2015	Medium Term Notes	PROCTER & GAMBLE MTN	742718DS5	23,025,000	1.80	0.34	102.45	-	23,717,592
Purchase	3/10/2014	2/28/2019	U.S. Treasuries	US TSY NT	912828C24	50,000,000	1.50	1.64	99.31	-	49,676,630
Purchase	3/10/2014	2/28/2019	U.S. Treasuries	US TSY NT	912828C24	50,000,000	1.50	1.64	99.32	-	49,680,537
Purchase	3/12/2014	11/15/2015	Medium Term Notes	PROCTER & GAMBLE MTN	742718DS5	10,000,000	1.80	0.41	102.32	-	10,290,400
Purchase	3/13/2014	10/17/2017	Federal Agencies	FNMA CALL STEP NT	3136G0Q20	49,090,000	0.75	0.76	99.98	-	49,229,497
Purchase	3/14/2014	9/14/2016	Federal Agencies	FFCB FLT NT 1ML+2	3133EDH21	50,000,000	0.18	0.18	99.99	-	49,993,612
Purchase	3/14/2014	4/14/2014	Commercial Paper	BANK OF TOKYO MITSUBISHI	06538CDE8	200,000,000	0.00	0.17	99.99	-	199,970,722
Purchase	3/17/2014	1/20/2015	Medium Term Notes	JP MORGAN CHASE MTN	46625HHP8	22,580,000	3.70	0.48	102.70	-	23,322,393
Purchase	3/17/2014	2/26/2016	Medium Term Notes	BTMUFJ FLT MTN 3ML+45	064255AK8	10,000,000	0.68	0.50	100.36	-	10,039,412
Purchase	3/17/2014	8/26/2016	Federal Agencies	FNMA GLOBAL NT	3135G0YE7	50,000,000	0.63	0.52	100.25	-	50,142,994
Purchase	3/19/2014	12/19/2016	Federal Agencies	FHLB CALL NT	3130A12F4	20,500,000	0.70	0.70	99.99	-	20,497,950
Purchase	3/24/2014	6/24/2016	Federal Agencies	FHLB CALL NT	3130A1BK3	25,000,000	0.50	0.50	100.00	-	25,000,000
Purchase	3/24/2014	8/14/2014	Negotiable CDs	BANK OF NOVA SCOTIA YCD	06417HFD3	25,000,000	0.22	0.19	100.01	-	25,008,778
Purchase	3/25/2014	9/18/2018	Federal Agencies	FNMA GLOBAL NT	3135G0YM9	50,000,000	1.88	1.69	100.81	-	50,421,579
Purchase	3/25/2014	9/29/2017	Federal Agencies	FHLMC GLOBAL NT	3137EADL0	25,000,000	1.00	1.22	99.23	-	24,930,397
Purchase	3/25/2014	7/5/2016	Federal Agencies	FNMA GLOBAL NT	3135G0XP3	50,000,000	0.38	0.59	99.51	-	49,794,767
Purchase	3/25/2014	6/29/2017	Federal Agencies	FHLMC GLOBAL NT	3137EADH9	25,000,000	1.00	1.10	99.68	-	24,980,347
Purchase	3/25/2014	8/26/2016	Federal Agencies	FNMA GLOBAL NT	3135G0YE7	50,000,000	0.63	0.69	99.85	-	49,952,024
Purchase	3/26/2014	9/26/2016	Federal Agencies	FHLMC CALL MTN	3134G4XW3	25,000,000	0.60	0.60	100.00	-	25,000,000
Purchase	3/26/2014	4/25/2014	Commercial Paper	BANK OF TOKYO MITSUBISHI	06538CDR9	200,000,000	0.00	0.17	99.99	-	199,971,667
Purchase	3/26/2014	7/27/2016	Federal Agencies	FAMCA MTN	31315PA25	20,000,000	2.00	0.61	103.22	-	20,708,906
Purchase	3/27/2014	3/27/2019	Federal Agencies	FHLB STEP CALL NT	3130A1B98	75,000,000	1.00	1.00	100.00	-	75,000,000
Purchase	3/27/2014	3/27/2019	Federal Agencies	FHLB CALL NT	3130A1AC2	5,000,000	1.85	1.92	99.65	-	4,982,500
Purchase	3/27/2014	11/6/2015	Medium Term Notes	BANK OF MONTREAL MTN	06366RJH9	8,500,000	0.80	0.56	100.38	-	8,559,103
Purchase	3/28/2014	3/28/2017	Federal Agencies	FHLMC CALL MTN	3134G4XM5	25,000,000	0.78	0.78	100.00	-	25,000,000
Purchase	3/28/2014	3/28/2017	Federal Agencies	FHLMC CALL MTN	3136G1ZB8	25,000,000	0.88	0.88	100.00	-	25,000,000
Purchase	3/31/2014	4/1/2014	Money Market Funds	MS INSTL GOVT FUND	61747C707	2,551	0.04	0.04	100.00	-	2,551
Purchase	3/31/2014	4/1/2014	Money Market Funds	FIDELITY INSTL GOVT PORT	316175108	212	0.01	0.01	100.00	-	212
Subtotals						\$ 1,209,797,763	0.68	0.62	\$ 100.13	\$ -	\$ 1,212,417,607
Sale	3/10/2014	2/10/2016	Federal Agencies	FFCB FLT NT 1ML+0	3133ECP57	\$ 50,000,000	0.16	0.17	\$ 99.97	\$ -	\$ 50,004,710
Sale	3/11/2014	2/28/2019	U.S. Treasuries	US TSY NT	912828C24	50,000,000	1.50	1.64	99.31	2,038	49,725,543
Sale	3/11/2014	2/28/2019	U.S. Treasuries	US TSY NT	912828C24	50,000,000	1.50	1.64	99.32	2,038	49,721,637
Sale	3/13/2014	12/20/2017	Federal Agencies	FNMA GLOBAL	3135G0RT2	50,000,000	0.88	1.02	99.29	100,868	49,536,368
Sale	3/13/2014	1/31/2016	U.S. Treasuries	US TSY NT	912828PS3	50,000,000	2.00	0.36	103.48	113,260	51,673,807
Sale	3/20/2014	12/20/2017	Federal Agencies	FNMA NT	3135G0RT2	50,000,000	0.88	0.91	99.84	109,375	49,557,875
Subtotals						\$ 300,000,000	1.15	0.96	\$ 100.20	\$ 327,579	\$ 300,219,940
Call	3/13/2014	3/13/2018	Federal Agencies	FNMA NT CALL	3136G1FK0	\$ 21,500,000	1.60	1.36	\$ 101.14	\$ -	\$ 21,500,000
Call	3/19/2014	3/19/2018	Federal Agencies	FNMA NT CALL	3136G1GG8	17,900,000	1.50	1.29	101.00	-	17,900,000
Subtotals						\$ 39,400,000	1.55	1.33	\$ 101.07	\$ -	\$ 39,400,000
Maturity	3/4/2014	3/4/2014	Federal Agencies	FNMA FRN QTR T-BILL+21	3135G0AZ6	\$ 25,000,000	0.27	0.98	\$ 99.94	\$ 16,607	\$ 25,016,607
Maturity	3/4/2014	3/4/2014	Federal Agencies	FNMA FRN QTR T-BILL+21	3135G0AZ6	25,000,000	0.27	0.62	99.97	16,607	25,016,607
Maturity	3/11/2014	3/11/2014	Federal Agencies	FHLB FLT NT FF+12	313379RV3	50,000,000	0.18	1.13	99.97	23,944	50,023,944
Maturity	3/12/2014	3/12/2014	Commercial Paper	WSTPAC CP	9612C1CC9	89,500,000	0.00	0.14	99.97	30,977	89,500,000
Maturity	3/15/2014	3/15/2014	State/Local Agencies	IRVINE RANCH CA WTR PRE-	463655GW4	15,000,000	2.61	0.53	104.04	195,375	15,195,375
Maturity	3/15/2014	3/15/2014	State/Local Agencies	IRVINE RANCH CA WTR PRE-	463655GW4	11,115,000	2.61	0.42	103.85	144,773	11,259,773
Maturity	3/15/2014	3/15/2014	State/Local Agencies	IRVINE RANCH CA WTR PRE-	463655GW4	8,150,000	2.61	0.42	103.85	106,154	8,256,154

Investment Transactions

Pooled Fund

Transaction	Settle Date	Maturity	Type of Investment	Issuer Name	CUSIP	Par Value	Coupon	YTM	Price	Interest	Transaction
Maturity	3/15/2014	3/15/2014	State/Local Agencies	IRVINE RANCH CA WTR PRE-	463655GW4	2,000,000	2.61	0.32	102.00	26,050	2,026,050
Maturity	3/21/2014	3/21/2014	Federal Agencies	FNMA AMORT TO CALL	31398A3R1	24,500,000	1.35	1.27	100.26	165,375	24,665,375
Maturity	3/25/2014	3/25/2014	Commercial Paper	BANK OF TOKYO MITSUBISHI	06538CCR0	200,000,000	0.00	0.17	99.99	26,444	200,000,000
Maturity	3/26/2014	3/26/2014	Negotiable CDs	RBC YCD FF+22	78009NMC7	75,000,000	0.29	0.29	100.00	54,349	75,054,349
Subtotals						\$ 525,265,000	0.33	0.40	\$ 100.26	\$ 806,655	\$ 526,014,234
Interest	3/1/2014	3/1/2015	State/Local Agencies	NEW YORK ST TAXABLE GO	649791JS0	\$ 4,620,000	0.39	0.40	\$ 99.98	\$ 9,009	\$ 9,009
Interest	3/1/2014	4/1/2016	Federal Agencies	FAMCA FLT MTN 1ML+0	31315PTF6	50,000,000	0.16	0.16	100.00	6,164	6,164
Interest	3/1/2014	9/1/2016	Federal Agencies	FAMCA NT	31315PQB8	7,000,000	1.50	0.70	102.23	35,583	52,500
Interest	3/2/2014	6/2/2016	Federal Agencies	FFCB FLT NT 1ML+3	3133EDB35	50,000,000	0.19	0.20	99.98	7,331	7,331
Interest	3/3/2014	3/4/2014	Money Market Funds	BLACKROCK T-FUND INSTL	09248U718	25,000,000	0.01	0.01	100.00	192	192
Interest	3/4/2014	3/4/2015	Federal Agencies	FFCB FLT NT FF+14	3133EAQ35	100,000,000	0.13	0.20	99.92	53,639	53,639
Interest	3/5/2014	12/5/2014	Medium Term Notes	TOYOTA MTN 3ML+17	89233P7B6	10,000,000	0.41	0.36	100.05	10,283	10,283
Interest	3/9/2014	9/9/2016	Federal Agencies	FHLB BD	313370TW8	25,000,000	2.00	1.39	102.91	250,000	250,000
Interest	3/10/2014	9/10/2015	Federal Agencies	FHLMC BONDS	3137EACM9	50,000,000	1.75	2.17	98.10	437,500	437,500
Interest	3/10/2014	2/10/2016	Federal Agencies	FFCB FLT NT 1ML+0	3133ECP57	50,000,000	0.16	0.17	99.97	6,086	6,086
Interest	3/11/2014	9/11/2015	Federal Agencies	FHLB	313370JB5	75,000,000	1.75	2.31	98.12	656,250	656,250
Interest	3/11/2014	3/11/2016	Federal Agencies	FHLB NT	313375RN9	22,200,000	1.00	0.82	100.71	111,000	111,000
Interest	3/11/2014	3/11/2016	Federal Agencies	FHLB	3133XXP43	14,000,000	3.13	0.41	106.06	108,160	218,750
Interest	3/13/2014	3/13/2018	Federal Agencies	FNMA NT CALL	3136G1FK0	21,500,000	1.60	1.36	101.14	172,000	172,000
Interest	3/14/2014	5/14/2015	Federal Agencies	FFCB FLT NT 1ML+1	3133EACQ5	50,000,000	0.16	0.19	99.97	6,358	6,358
Interest	3/15/2014	9/15/2015	Federal Agencies	FARMER MAC	31315PGT0	45,000,000	2.13	2.17	99.81	478,125	478,125
Interest	3/15/2014	12/15/2014	Federal Agencies	FNMA FLT QTR FF+35	3136FTVN6	75,000,000	0.43	0.43	100.00	79,313	79,313
Interest	3/15/2014	5/2/2014	Medium Term Notes	JP MORGAN CHASE FLT MTN	46623EJH3	27,475,000	1.14	3.01	100.71	68,954	68,954
Interest	3/15/2014	5/2/2014	Medium Term Notes	JP MORGAN CHASE FLT MTN	46623EJH3	20,000,000	1.14	1.99	100.53	50,194	50,194
Interest	3/15/2014	3/15/2015	Medium Term Notes	PFIZER MTN	717081DA8	3,000,000	5.35	0.44	106.20	42,800	80,250
Interest	3/16/2014	9/16/2015	Federal Agencies	FFCB	3133ECZG2	52,047,000	0.55	0.32	100.40	76,336	143,129
Interest	3/16/2014	3/16/2015	Federal Agencies	FNMA GLOBAL	3135G0HG1	9,399,000	0.38	0.20	100.20	6,168	17,623
Interest	3/18/2014	9/18/2015	Federal Agencies	FFCB FLT NT QTR TBILL+16	3133ECJB1	50,000,000	0.21	0.21	100.00	26,611	26,611
Interest	3/18/2014	9/18/2015	Federal Agencies	FFCB FLT NT QTR T-BILL+1	3133ECJB1	16,200,000	0.21	0.22	99.99	8,622	8,622
Interest	3/19/2014	6/19/2017	Federal Agencies	FFCB FLT NT FF+22	3133EAUW6	50,000,000	0.29	0.29	100.00	36,597	36,597
Interest	3/19/2014	3/19/2018	Federal Agencies	FNMA NT CALL	3136G1GG8	17,900,000	1.50	1.29	101.00	134,250	134,250
Interest	3/19/2014	11/19/2015	Federal Agencies	FFCB FLT NT MONTHLY 1ML+	3133ECLZ5	25,000,000	0.15	0.16	99.99	2,985	2,985
Interest	3/20/2014	9/20/2017	Federal Agencies	FNMA STEP NT	3136G0B59	64,750,000	0.70	0.70	100.00	226,625	226,625
Interest	3/20/2014	1/20/2016	Federal Agencies	FFCB FLT	3133ED5A6	50,000,000	0.15	0.15	100.00	5,989	5,989
Interest	3/21/2014	9/21/2015	Federal Agencies	FNMA NT EX-CALL	31398A3T7	25,000,000	2.00	1.08	103.52	250,000	250,000
Interest	3/22/2014	9/22/2015	Federal Agencies	FFCB FLT NT 1ML+2.5	3133EAJF6	27,953,000	0.18	0.21	99.96	3,924	3,924
Interest	3/22/2014	6/22/2015	Federal Agencies	FFCB FLT NT 1ML+2	3133EAVE5	50,000,000	0.18	0.19	99.97	6,825	6,825
Interest	3/24/2014	6/24/2014	Negotiable CDs	RBC FLT YCD 1ML+11	78009NNK8	25,000,000	0.27	0.26	100.00	5,163	5,163
Interest	3/24/2014	7/24/2017	Federal Agencies	FFCB FLT NT 1ML+4	3133ECV92	50,000,000	0.20	0.20	100.00	7,603	7,603
Interest	3/25/2014	9/25/2015	Medium Term Notes	MICROSOFT MTN	594918AG9	3,186,000	1.63	0.39	102.33	20,853	25,886
Interest	3/27/2014	4/27/2015	Federal Agencies	FFCB FLT NT 1ML+1.5	3133EAJP4	50,000,000	0.17	0.18	99.99	6,592	6,592
Interest	3/27/2014	9/27/2017	Federal Agencies	FNMA STEP NT	3136G0D81	100,000,000	0.72	0.72	100.00	360,000	360,000
Interest	3/27/2014	2/27/2017	Federal Agencies	FFCB FLT NT 1ML+5.5	3133EDFW7	50,000,000	0.21	0.21	100.00	8,147	8,147
Interest	3/28/2014	3/28/2016	Federal Agencies	FFCB NT	3133EADL0	25,000,000	1.05	0.82	100.88	131,250	131,250
Interest	3/28/2014	8/28/2014	Negotiable CDs	WESTPAC FLT YCD 1ML+9	96121TTS7	25,000,000	0.23	0.16	100.04	4,560	4,560
Interest	3/29/2014	9/29/2017	Federal Agencies	FHLMC GLOBAL NT	3137EADL0	25,000,000	1.00	1.22	99.23	2,778	125,000
Interest	3/30/2014	3/30/2016	Federal Agencies	FNMA	3135G0VA8	25,000,000	0.50	0.46	100.09	37,153	62,500
Interest	3/31/2014	9/30/2016	U.S. Treasuries	US TSY NT	912828RJ1	75,000,000	1.00	1.05	99.77	375,000	375,000
Interest	3/31/2014	3/31/2015	U.S. Treasuries	US TSY NT	912828MW7	50,000,000	2.50	0.48	106.21	625,000	625,000
Interest	3/31/2014	3/31/2017	U.S. Treasuries	US TSY NT	912828SM3	50,000,000	1.00	1.07	99.67	250,000	250,000
Interest	3/31/2014	4/1/2014	Money Market Funds	MS INSTL GOVT FUND	61747C707	75,073,491	0.04	0.04	100.00	2,551	2,551

Investment Transactions

Pooled Fund

Transaction	Settle Date	Maturity	Type of Investment	Issuer Name	CUSIP	Par Value	Coupon	YTM	Price	Interest	Transaction
Interest	3/31/2014	4/1/2014	Money Market Funds	FIDELITY INSTL GOVT PORT	316175108	25,002,466	0.01	0.01	100.00	212	212
Subtotals						\$ 1,816,305,957	0.70	0.66	\$ 100.23	\$ 5,210,732	\$ 5,606,540

Grand Totals	31	Purchases
	(6)	Sales
	(13)	Maturities / Calls
	12	Change in number of positions

Non-Pooled Investments

As of March 31, 2014

<u>Type of Investment</u>	<u>CUSIP</u>	<u>Issue Name</u>	<u>Settle Date</u>	<u>Maturity Date</u>	<u>Duration</u>	<u>Coupon</u>	<u>Par Value</u>	<u>Book Value</u>	<u>Amortized Book Value</u>	<u>Market Value</u>
State/Local Agencies	797712AD8	SFRDA SOUTH BEACH HARBOR	1/20/12	12/1/16	2.54	3.50	\$ 3,890,000	\$ 3,890,000	\$ 3,890,000	\$ 3,890,000
Subtotals					2.54	3.50	\$ 3,890,000	\$ 3,890,000	\$ 3,890,000	\$ 3,890,000
Grand Totals					2.54	3.50	\$ 3,890,000	\$ 3,890,000	\$ 3,890,000	\$ 3,890,000

NON-POOLED FUNDS PORTFOLIO STATISTICS

	<u>Current Month</u>		<u>Prior Month</u>	
	<u>Fiscal YTD</u>	<u>March 2014</u>	<u>Fiscal YTD</u>	<u>February 2014</u>
Average Daily Balance	\$ 16,815,393	\$ 3,890,000	\$ 18,464,312	\$ 3,890,000
Net Earnings	\$ 112,924	\$ 11,346	\$ 101,578	\$ 11,346
Earned Income Yield	0.90%	3.43%	0.83%	3.80%

Note: All non-pooled securities were inherited by the City and County of San Francisco as successor agency to the San Francisco Redevelopment Agency. Book value and amortized book value are derived from limited information received from the SFRDA and are subject to verification.

City and County of San Francisco



Edwin M. Lee
Mayor

Department of Public Health

Barbara A. Garcia, MPA
Director of Health

April 17, 2014

Ms Angela Calvillo
Clerk of the Board of Supervisors
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4689

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2014 APR 16 PM 2:55
[Handwritten signature]

Dear Ms Calvillo:

This is to notify the Board of Supervisors that DPH has requested the following waiver from compliance with Chapter 12B of the City's Administrative Code:

United States Postal Service - For the rental of PO Boxes for DPH Community Behavioral Health Services, Mental Health Plan Claims Unit and City Clinics. The PO Boxes are used by clients, providers, insurance companies, Medicare, Medi-Cal, and fiscal intermediaries. PO Box numbers and addresses are printed on all return envelopes sent to clients in monthly billing statements, letterhead, and correspondence sent by departments.

The City Clinic requires use of a PO Box address, not the Clinic address, so correspondence is not identifiable as being from or to the STD clinic. A PO box address provides anonymity to assure the privacy of individuals (particularly adolescents and sexual partners) who are receiving health care services at City Clinic.

These PO boxes require a high level of security for checks and confidential patient correspondence. They also require a location close to DPH offices and clinics and on short route between offices.

Please contact Contracts Management and Compliance at 554-2839 should you have questions regarding this matter.

Sincerely,

[Handwritten signature of Jacquie Hale]
Jacquie Hale

Director, Office of Contract Management and Compliance

Attachments: 12B Waiver Request

City and County of San Francisco



Edwin M. Lee
Mayor

Department of Public Health

Barbara A. Garcia, MPA
Director of Health

MEMORANDUM

TO: Maria Cordero, Director, Contract Monitoring Division
THROUGH: Barbara A. Garcia, MPA, Director of Health *AG*
FROM: Jacquie Hale, Director, DPH Office of Contracts Management *JH*
DATE: April 16, 2014
SUBJECT: 12B Waiver

The Department of Public Health (DPH) respectfully requests approval of the attached 12B Waiver for the following:
United States Postal Service (vendor# 58047)

Commodity/Service: Rental of PO Boxes for Community Behavioral Health Services, Mental Health Plan Claims Unit and City Clinics. The PO Boxes are used by clients, providers, insurance companies, Medicare, Medi-Cal, and fiscal intermediaries. PO Box numbers and addresses are printed on all return envelopes sent to clients in monthly billing statements, letterhead, and correspondence sent by departments.

DPH departments and clinics requires use of a PO Box address, not the Clinic addresses, so correspondence is not identifiable as being from or to the clinics. A PO box address provides anonymity to assure the privacy of individuals (particularly adolescents and sexual partners) who are receiving health care services at City Clinics.

These services require a high level of security for checks and confidential patient correspondence. They also require a locations close to DPH offices and clinics and on short route between offices.

Amount: \$5000
Funding Source: General Funds
Term: July 1, 2014 through June 30, 2015

*** Exempt from 14B consideration when State or Federal funds are involved.*

Rationale for this sole source waiver:

DPH did a survey of mail box businesses located in the Civic Center and South of Market areas which yielded 4 possible vendors that meet the location requirements: US Postal Service at Civic Center/Fox Plaza, The UPS Store at 77 Van Ness Ave., Mailboxes 4U at 1230 Market Street and A&T Mail Center at 1072 Folsom Street (location only for City Clinic requirements). USPS and UPS are currently city vendors, Mailboxes 4U and A&T Mail Center are not.

None of the vendors are 12B compliant.

USPS has the best rates for mailbox rental. UPS Mailbox rentals are more expensive than USPS, e.g. Small box for one year is \$320, USPS is \$94. A&T Mail Center pricing is more than USPS; a small box is \$130 a year. Several phone calls to Mailboxes 4U went unanswered.

USPS has better security than the other vendors, using USPS PO boxes minimizes the amount of handling of check and confidential mail by people other than USPS and DPH staff.

DPH departments have been using USPS mail boxes since 1998. PO Box addresses are printed on all return billing envelopes, letterhead, and other correspondence. All patients, insurance companies, Medicare, Medical, doctors, and other providers have these PO Box numbers as the mailing addresses for CBHS, Mental Health and City Clinics.

Since no vendors are compliant, USPS meets location and security requirements, is less expensive, and changing the mailing address of the billing offices and clinics would be costly to the departments and create confusion with patients and providers, DPH requests a sole source waiver for USPS PO Boxes.

For questions concerning this waiver request, please call the Office of Contract Management at 554-2839.

Thank you for your consideration.



CITY AND COUNTY OF SAN FRANCISCO HUMAN RIGHTS COMMISSION

S.F. ADMINISTRATIVE CODE CHAPTERS 12B and 14B WAIVER REQUEST FORM (HRC Form 201)

FOR HRC USE ONLY

Request Number: _____

► Section 1. Department Information

Department Head Signature: _____

Name of Department: Public Health

Department Address: 101 Grove St. Rm. 307 San Francisco, CA 94102

Contact Person: Jacquie Hale

Phone Number: 554-2607 Fax Number: 554-2555

► Section 2. Contractor Information

Contractor Name: United States Postal Service

Vendor No.: 58047

Contractor Address: PO Box 4715 Los Angeles, CA 90096

Contact Person: _____

Contact Phone No.: _____

► Section 3. Transaction Information

Date Waiver Request Submitted: APR 16 2014 Type of Contract: _____

Contract Start Date: 7/1/2014 End Date: 6/30/2015 Dollar Amount of Contract: \$ 5000

► Section 4. Administrative Code Chapter to be Waived (please check all that apply)

☒ Chapter 12B

☐ Chapter 14B Note: Employment and LBE subcontracting requirements may still be in force even when a 14B waiver (type A or B) is granted.

► Section 5. Waiver Type (Letter of Justification *must* be attached, see Check List on back of page.)

☐ A. Sole Source

☐ B. Emergency (pursuant to Administrative Code §6.60 or 21.15)

☐ C. Public Entity

☒ D. No Potential Contractors Comply – Copy of waiver request sent to Board of Supervisors on: _____

☐ E. Government Bulk Purchasing Arrangement – Copy of this request sent to Board of Supervisors on: _____

☐ F. Sham/Shell Entity – Copy of waiver request sent to Board of Supervisors on: _____

☐ G. Subcontracting Goals

☐ H. Local Business Enterprise (LBE) (for contracts in excess of \$5 million; see Admin. Code §14B.7.1.3)

HRC ACTION

12B Waiver Granted: _____

14B Waiver Granted: _____

12B Waiver Denied: _____

14B Waiver Denied: _____

Reason for Action: _____

HRC Staff: _____ Date: _____

HRC Staff: _____ Date: _____

HRC Director: _____ Date: _____

DEPARTMENT ACTION – This section must be completed and returned to HRC for waiver types D, E & F.

Date Waiver Granted: _____ Contract Dollar Amount: _____

CHECK LIST

You must complete each of the steps below before submitting this form:

- Attempt to get the contractor to comply with Administrative Code Chapter 12B requirements. (Applies to Chapter 12B waiver requests only.)
- Include a letter of justification explaining:
 - The purpose of the contract.
 - Your department's efforts to get the contractor to comply (for Chapter 12B waivers).
 - Why the contract fits the type of waiver being requested (for example, why it is a sole source).
- Fill in all of the blanks in Sections 1-3.
- Indicate (in Section 4) which Administrative Code Chapter(s) need to be waived.
- Indicate (in Section 5) which waiver type is being requested.
- For waiver types D, E and F, submit a copy of this form to the Clerk of the Board of Supervisors and indicate (in the blank provided on the form) the date this was done.

ADDITIONAL INFORMATION

Contract Duration: Contracts entered into pursuant to a Chapter 12B waiver should be constructed for the shortest reasonable duration so that future contracts may be awarded to a Chapter 12B compliant contractor.

Chapter 14B. Sole Source, Emergency and LBE Waivers: Only the bid discounts and departmental good faith outreach efforts requirements of Chapter 14B may be waived. All other provisions of this Chapter still will be in force even if this type of waiver has been granted.

Chapter 14B. Subcontracting Waivers: Only the subcontracting goals may be waived. All other provisions of this Chapter still will be in force even if this type of waiver has been granted.

Waiver Types D, E and F: These waiver types have additional requirements:

1. The contracting department must notify the Board of Supervisor's that it has requested a waiver of this type.
2. The department must notify the HRC that it has used a waiver granted under one of these provisions. Such notification should take place within five days of the date of use by submitting to the HRC a copy of the approved waiver with the "Department Action" box completed.
3. Departments exercising waiver authority under one of these provisions must appear before a Board of Supervisors committee and report on their use of such waiver authority.

All modifications to waived contracts that increase the dollar amount of the contract must have prior HRC approval.

✓ **Additional copies** of this form may be downloaded at the Forms Center on the City's intranet at: <http://intranet/>.

✓ **Read the Quick Reference Guide to HRC Waivers** for more information; copies are available at the Forms Center on the City's intranet at: <http://intranet/>.

➔ **Send completed waiver requests to:** HRC, 25 Van Ness Ave., Suite 800, San Francisco, CA 94102-6033.

☎ **For further assistance,** contact the HRC at 415-252-2500.

From: McGuire, Kristen [kristen.mcguire@sfgov.org] on behalf of Reports, Controller (CON)
[controller.reports@sfgov.org]
Sent: Wednesday, April 16, 2014 1:40 PM
To: Calvillo, Angela (BOS); Kawa, Steve (MYR); Howard, Kate (MYR); Falvey, Christine (MYR); Elliott, Jason (MYR); Steeves, Asja (CON); Campbell, Severin (BUD); Newman, Debra (BUD); Rose, Harvey (BUD); SF Docs (LIB); CON-EVERYONE; CON-CCSF Dept Heads; CON-Finance Officers; Nuru, Mohammed (DPW); Higuera, Charles (DPW); Camillo, Stacey (DPW); Chui, Samuel (DPW); Huie, Annie; Catapang, Rally (DPW); Kim, Sung (DPW); Chow, Naomi; Dawson, Julia (DPW); sfd@sfdelaneyconsulting.com; BOS-Supervisors; BOS-Legislative Aides
Subject: Report Issued: Department of Public Works: Controls Over the Public Safety Building Project Should Be Strengthened to Improve Project Scheduling and the Change Management Process

The Office of the Controller's City Services Auditor Division (CSA) today issued a report on its audit of controls over the construction of the Public Safety Building. The audit found that the Department of Public Works (Public Works) should improve its documentation and substantiation of proposed change orders by completing documentation required in its procedural manual and performing independent estimates of the costs of proposed change orders. Also, Public Works can strengthen its language on change order documentation to limit the possibility of unsupported additional claims for change order work. Public Works should improve its controls and oversight over the project baseline schedule to ensure that Charles Pankow Builders, Ltd. (Pankow) complies with all contract requirements and that the schedule follows industry standards.

The audit also found that Public Works properly reviews Pankow's monthly progress payment applications and that Pankow generally complies with the progress payment application terms in its contract with Public Works.

To view the full report, please visit our Web site at:
<http://openbook.sfgov.org/webreports/details3.aspx?id=1731>

This is a send-only e-mail address.

For questions about the report, please contact Director of City Audits Tonia Lediju at tonia.lediju@sfgov.org or 415-554-5393 or the CSA Audits Unit at 415-554-7469.

Follow us on Twitter [@sfcontroller](https://twitter.com/sfcontroller)

City and County of San Francisco

Office of the Controller – City Services Auditor

DEPARTMENT OF PUBLIC WORKS:

**Controls Over the Public Safety
Building Project Should Be
Strengthened to Improve Project
Scheduling and the Change
Management Process**



April 16, 2014

**OFFICE OF THE CONTROLLER
CITY SERVICES AUDITOR**

The City Services Auditor Division (CSA) was created in the Office of the Controller through an amendment to the Charter of the City and County of San Francisco (City) that was approved by voters in November 2003. Charter Appendix F grants CSA broad authority to:

- Report on the level and effectiveness of San Francisco's public services and benchmark the City to other public agencies and jurisdictions.
- Conduct financial and performance audits of city departments, contractors, and functions to assess efficiency and effectiveness of processes and services.
- Operate a whistleblower hotline and website and investigate reports of waste, fraud, and abuse of city resources.
- Ensure the financial integrity and improve the overall performance and efficiency of city government.

CSA may conduct financial audits, attestation engagements, and performance audits. Financial audits address the financial integrity of both city departments and contractors and provide reasonable assurance about whether financial statements are presented fairly in all material aspects in conformity with generally accepted accounting principles. Attestation engagements examine, review, or perform procedures on a broad range of subjects such as internal controls; compliance with requirements of specified laws, regulations, rules, contracts, or grants; and the reliability of performance measures. Performance audits focus primarily on assessment of city services and processes, providing recommendations to improve department operations.

CSA conducts audits in accordance with the Government Auditing Standards published by the U.S. Government Accountability Office. These standards require:

- Independence of audit staff and the audit organization.
- Objectivity of the auditors performing the work.
- Competent staff, including continuing professional education.
- Quality control procedures to provide reasonable assurance of compliance with the auditing standards.

For questions regarding the report, please contact Director of City Audits Tonia Lediju at Tonia.Lediju@sfgov.org or 415-554-5393 or CSA at 415-554-7469.

Audit Team: Mark de la Rosa, Lead Audit Manager
Nicholas Delgado, Audit Manager
Edvida Moore, Associate Auditor
Freddy Padilla, Staff Auditor

Consultant: SF Delaney Consulting



City and County of San Francisco

Office of the Controller - City Services Auditor

Department of Public Works:

April 16, 2014

Controls Over the Public Safety Building Project Should Be Strengthened to Improve Project Scheduling and the Change Management Process

Purpose of the Audit

The audit assessed the adequacy of the construction management, oversight, and project controls of the Department of Public Works (Public Works) over its contract with Charles Pankow Builders, Ltd. (Pankow), the construction manager/general contractor for the Public Safety Building project that is financed by the 2010 Earthquake Safety and Emergency Response Bond Program of the City and County of San Francisco (City). The audit also evaluated whether Pankow complies with the terms of its contract regarding change orders, progress payment applications, schedule submittals, and project status reports.

Highlights

Public Works needs to improve its oversight and controls over the Public Safety Building project to ensure that Pankow adheres to contract requirements and that all applicable Public Works procedures and requirements are followed. Public Works properly reviews Pankow's monthly progress payment applications, and Pankow generally complies with the progress payment application terms in its contract. However, improvement is needed in each of the following areas:

Change Orders

- Public Works lacks the required documentation on the negotiations for change orders whose costs exceed \$20,000. As a result, the City may be more vulnerable to making duplicate payments or paying for services whose costs were eliminated via negotiations.
- Public Works did not prepare the required independent cost estimates before Pankow submitted costs for proposed change orders exceeding \$20,000. Independent cost estimates provide leverage and confidence in change-order pricing negotiation and can lead to alternate, less costly solutions.
- Pankow did not submit sufficient documentation to support change order requests for time extensions. As a result, Public Works evaluated the proposed time extensions without the benefit of Pankow's time-impact analysis.
- Public Works did not require Pankow to submit documentation on labor rates to support pricing of change order work. Without such documentation, Public Works may be unable to determine the integrity of labor rates used in the pricing of change order work.
- Public Works' change order provisions need language that would limit Pankow's ability to recover unsupportable additional compensation and/or time extensions.

Schedule Submissions

- The Public Safety Building project did not have a formally accepted baseline schedule. The informal baseline schedule that Public Works verbally accepted is inadequate and does not include all the elements required by industry standards. This could become

Recommendations

The report includes 11 recommendations for Public Works to make necessary improvements in the areas highlighted. Specifically, the report recommends that Public Works should:

- For changes that exceed \$20,000, prepare a detailed itemization of costs included in the change order, along with a record of negotiations, using the standard form. In cases where this is not cost-effective, Public Works should revise its procedures to ensure that departmental requirements add value and consider the project scope and size as well as type of construction contract.
- Require Pankow to submit labor rate breakdowns and obtain contract-compliant labor rate documentation from its trade subcontractors to substantiate the cost of change order work.
- Strengthen contract change order language to limit Pankow's ability to recover additional unwarranted compensation or time.
- Ensure that the project's

problematic in evaluating any future claims of delay, disputed time-extension requests, or the assertion of liquidated damages.

Project Status Reports

- Public Works has no written procedures for developing and updating its monthly project cost control report, which provides critical budget and expenditure data for project updates. Written procedures could help mitigate the risk of errors and omissions and could be a reference to help Public Works' staff develop project cost control reports.

baseline schedule is revised to meet industry standards.

- Establish written procedures for developing and updating the project's monthly cost control report.

Copies of the full report may be obtained at:

*Office of the Controller • City Hall, Room 316 • 1 Dr. Carlton B. Goodlett Place • San Francisco, CA 94102 • 415.554.7500
or on the Internet at <http://www.sfgov.org/controller>*



CITY AND COUNTY OF SAN FRANCISCO
OFFICE OF THE CONTROLLER

Ben Rosenfield
Controller

Monique Zmuda
Deputy Controller

April 16, 2014

Mr. Mohammed Nuru
Director
Department of Public Works
30 Van Ness Avenue, 4th Floor
San Francisco, CA 94102

Dear Mr. Nuru:

The Office of the Controller's City Services Auditor Division (CSA) presents its audit report of the contract between the Department of Public Works (Public Works) and Charles Pankow Builders, Ltd. (Pankow), the construction manager/general contractor for the construction of the Public Safety Building funded by the City and County of San Francisco's 2010 Earthquake Safety and Emergency Response Bond Program. The audit objectives were to determine whether Public Works' construction management, oversight, and project controls are adequate, and whether Pankow complied with the terms of its contract.

The audit found that Public Works should improve its documentation and substantiation of proposed change orders, including completing documentation required in its procedural manual and performing independent estimates of the costs of proposed change orders. Also, Public Works can strengthen its language on change order documentation to limit the possibility of unsupported additional claims for change order work. Public Works should improve its controls and oversight of the project's baseline schedule to ensure that Pankow complies with all contract requirements and that the schedule follows industry standards.

The audit found that Public Works properly reviews Pankow's monthly progress payment applications and that Pankow generally complies with the progress payment application terms in its contract.

The report includes 11 recommendations for Public Works to improve its controls over the Public Safety Building project. Public Works' response to the report is attached. CSA will work with Public Works to follow up on the status of the recommendations made in this report.

CSA appreciates the assistance and cooperation of Public Works staff during the audit. For questions about the report, please contact me at Tonia.Lediju@sfgov.org or 415-554-5393 or CSA at 415-554-7469.

Respectfully,

Tonia Lediju
Director of City Audits

cc: Board of Supervisors
Budget Analyst
Citizens Audit Review Board
City Attorney
Civil Grand Jury
Mayor
Public Library

TABLE OF CONTENTS

Glossary	iii
Introduction	1
Chapter 1 – Public Works Should Improve Its Oversight of the Contract's Change Order Provisions to Ensure Pankow's Compliance	5
Finding 1.1. Public Works appears to have evaluated—and in most instances documented the evaluation of—proposed change orders, but, contrary to departmental procedures, did not adequately summarize the negotiations for those exceeding \$20,000	6
Finding 1.2. Public Works did not prepare the required independent estimates before Pankow submitted costs for proposed change orders exceeding \$20,000, decreasing the department's ability to effectively negotiate change order costs	8
Finding 1.3. Proposed change orders requesting time extensions do not contain sufficient supporting documentation, increasing the risk of possible approval of unwarranted time extensions	10
Finding 1.4. Pankow did not submit detailed breakdowns of labor rates used for pricing proposed change order work, contrary to the contract, and Public Works did not request these rates	11
Finding 1.5. Public Works' change order provisions need language limiting a Construction Manager/General Contractor's ability to recover additional compensation or time for approved change order work	13
Chapter 2 – Pankow's Schedule Submissions Do Not Adhere to Industry Best Practices	15
Finding 2.1. The Public Safety Building project has no formally accepted baseline schedule	15
Finding 2.2. Pankow's baseline schedule submission is inadequate and does not meet industry best practices for use in demonstrating or measuring project delays	17

**Chapter 3 – No Procedures Exist for Developing Public Works’ Monthly Project
Cost Control Reports.....21**

Finding 3.1. Although monthly status reports of both Pankow and Public
Works are accurate, no written procedures or work steps exist for developing
and updating Public Works’ monthly cost control report.....21

**Chapter 4 – Public Works Properly Reviews Pankow’s Progress Payment
Applications.....23**

Finding 4.1. Public Works properly reviews monthly progress payment
applications from Pankow and its subcontractors.....23

Appendix A – Department Response.....A-1

GLOSSARY OF TERMS

AWSS	Auxiliary Water Supply System
City	City and County of San Francisco
CM/GC	Construction Manager/General Contractor
CSA	City Services Auditor Division
ESER	2010 Earthquake Safety and Emergency Response Bond Program
Pankow	Charles Pankow Builders, Ltd.
PCO	Proposed Change Order
PSB	Public Safety Building
Public Works	Department of Public Works

Page intentionally left blank.

INTRODUCTION

Audit Authority

This audit was conducted under the authority of the Charter of the City and County of San Francisco (City), Section 3.105 and Appendix F, which requires that the Office of the Controller's City Services Auditor (CSA) conduct periodic, comprehensive financial and performance audits of city departments, services, and activities.

This performance audit evaluates the Department of Public Works (Public Works) construction management, oversight, and project controls on the Public Safety Building (PSB) project. The audit was conducted by CSA with the assistance of SF Delaney Consulting, which provided construction contract, construction management, and project controls subject matter expertise. CSA conducted this performance audit to provide a measure of oversight and accountability over the City's various general obligation bond programs.

Background

2010 Earthquake Safety and Emergency Response Bond Program (ESER)

The City's 2010 Earthquake Safety and Emergency Response Bond Program (ESER) was approved by voters to provide \$420 million of funding to pay for repairs and improvements that will allow the City to respond more quickly to a major earthquake or other disaster. ESER comprises three components in an effort to ensure that police and fire facilities and infrastructure are uncompromised during a disaster. The projects funded by the bonds include the Emergency Firefighting Water Supply System, the Neighborhood Fire Station Program, and the Public Safety Building.

Emergency Firefighting Water Supply System. Officially called the Auxiliary Water Supply System (AWSS), it is an independent, high-pressure water system dedicated to fire protection in San Francisco. The system consists of approximately 135 miles of pipeline, cisterns, and bay water intake connections. ESER is intended to improve and seismically upgrade the AWSS core facilities, cisterns, and pipelines, which have been subject to deterioration, leaks, and corrosion since being put into service in 1913. Of the bond's total, \$104.2 million is dedicated to retrofitting the AWSS.

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

Neighborhood Fire Station Program. The City has 42 neighborhood fire stations, of which 23 are in need of seismic upgrades and other health and safety improvements. ESER is intended to seismically retrofit and make other necessary improvements to ensure that selected stations are fully functional after a major earthquake. The Neighborhood Fire Station Program has \$73.2 million of the bond dedicated to it.

Public Safety Building. The ESER project selected for audit is the Public Safety Building project. The PSB is being built to relocate the San Francisco Police Department headquarters and a district police station from the seismically vulnerable Hall of Justice, which does not meet current seismic codes or requirements. The Hall of Justice is not expected to be operational after a major earthquake. In addition to Police Department facilities, the PSB will include a new fire station to serve the growing Mission Bay neighborhood.

The 290,000 square foot building is expected to provide functional resiliency for several days after a major disaster. The total project budget, including development and construction costs, is \$243 million. According to Public Works' project manager, a construction notice to proceed for the PSB was issued in December 2011, and substantial completion is expected in June 2014, with a target move-in date of November 2014.

The PSB's Construction Manager/General Contractor contract was awarded to Charles Pankow Builders, Ltd., and the management support contract was awarded to Vanir/CM Pros.

Public Works is responsible for monitoring and approving the project and has contracted with Vanir/CM Pros for construction management support services.¹ Through a bidding process, Charles Pankow Builders, Ltd., (Pankow) was selected as the PSB Construction Manager/General Contractor (CM/GC).

Pankow's contract allows for compensation not to exceed \$164 million for the construction period. According to a Public Works' project manager, the anticipated substantial completion and final completion dates are June 18, 2014, and August 21, 2014, respectively. Since

¹ Public Works contracted with Vanir/CM Pros, a joint venture, to provide the Public Safety Building project with construction management support, which includes contract administration, scheduling and scheduling control, proposed change order analysis, budget and cost control reviews, and constructability and value engineering services.

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

the inception of the project, Pankow had engaged the services of 41 subcontractors. That project manager also stated that through late January 2014 there had been 22 change orders, incorporating 152 proposed change orders (PCOs), and that Pankow had submitted 43 invoices, billing Public Works a total of \$104 million.

*Integrated project delivery
method*

The PSB uses an integrated project delivery approach, placing the architect and engineering consultants, Pankow, and the owner in a collaborative relationship to design and construct the project.

Integrated project delivery is favored for its potential to reduce construction costs and time needed to complete a project. The method calls for early selection of a constructor to provide input into the design and development process. The builder—Pankow on this project—collaborates with the owner, architect, and engineering consultants to perform value engineering, constructability reviews, and provide cost and schedule estimates. As the design develops, the builder's contract is modified from its initial design support and construction management function to the role of CM/GC, and trade packages are issued for bid, subcontracts are awarded, and a notice to proceed for construction is issued.

Objectives

The objectives of the audit were to determine, for the ESER Public Safety Building project:

1. Whether Pankow complies with certain cost and other provisions in its contract with the City.
2. Whether Public Works' construction management, oversight, and project controls are adequate and adhered to by the project team.

**Scope and
Methodology**

The purpose of the audit was to determine the adequacy of Public Works' construction management, project controls, and oversight over the Public Safety Building under ESER. To do so, the audit tested and evaluated PCOs, payment applications, schedule submittals, and project status reports.

To conduct the audit, the audit team:

- Reviewed and obtained an understanding of what

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

the contract requires of Pankow.

- Interviewed key Public Works personnel, including construction management support staff.
- Evaluated a sample of PCOs for compliance with contract requirements and Public Works procedures.
- Evaluated a sample of Pankow's requests for payment, and Public Works' monthly status reports for accuracy and compliance with contract requirements and Public Works procedures.
- Evaluated a sample of Pankow's construction schedule submissions for compliance with contract requirements and Public Works procedures.
- Researched and identified related leading industry practices pertaining to change orders, construction schedule submissions, and progress payments.

**Statement of Auditing
Standards**

This performance audit was conducted in accordance with generally accepted government auditing standards. These standards require planning and performing the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for the findings and conclusions based on the audit objectives. We believe that the evidence obtained provides a reasonable basis for the findings and conclusions based on the audit objectives.

CHAPTER 1 – Public Works Should Improve Its Oversight of the Contract’s Change Order Provisions to Ensure Pankow’s Compliance

Summary

The Department of Public Works did not adequately develop specific procedures over CM/GC proposed change order (PCO) provisions, resulting in a lack of required documentation. Public Works did not adequately meet the requirements for PCOs, such as creating summary records of the negotiations and getting independent estimates before Pankow submitted proposed costs for PCOs exceeding \$20,000. Fulfilling departmental requirements, including the preparation of PCO documents, allows the department to better comprehend the scope of proposed changes and be better prepared to negotiate. In addition to the documents mentioned, the department also lacks:

- Sufficient documentation for the support of PCOs requesting time extensions.
- Detailed labor rate breakdowns for Pankow and its subcontractors.
- Contract language limiting Pankow’s ability to recover additional compensation or time for approved change order work.

These components of the change order process, if completed, help mitigate potential risks such as unwarranted time extensions and overpayment to contractors.

The PCOs evaluated totaled \$2,042,950, and two of them combined to provide Pankow time extensions of 42 days.

The PCOs selected for evaluation and testing are listed in Exhibit 1.

EXHIBIT 1 Proposed Change Orders Selected for Evaluation and Testing

Proposed Change Order	Description of Change	Amount	Time Extension (in days)
9	Schedule extension due to delay in permit issuance	\$60,760	7
13	Increase in hazardous soil allowance due to reclassification of soil	441,296	-
20	Overtime hours for structural steel detailing and shop drawing processes	180,032	-
30	Furnishing and installation of work included in Bid Package 10 drawing set	341,608	-
40	Materials and installation for plumbing work related to Revision 18 drawings set	736,075	-
51	Schedule extension as a result of delay in steel fabrication and erection	283,179	35
Total		\$2,042,950	42

Source: PCO documentation prepared by Public Works

Finding 1.1

Public Works appears to have evaluated—and in most instances documented the evaluation of—proposed change orders, but, contrary to departmental procedures, did not adequately summarize the negotiations for those exceeding \$20,000.

Public Works did not prepare records of negotiation for all PCOs exceeding the threshold requiring documentation of change order negotiations. Of the six PCOs evaluated, all exceeded the \$20,000 threshold and no record of negotiations was prepared.

According to the Public Works' written procedure in effect during the construction of the PSB,² all PCOs greater than \$20,000, additive or deductive, require a record of negotiations to be processed. Although the Public Works' procedure manual does not include a template or form for the record of negotiations and for summarizing a narrative of how the final, agreed-upon PCO cost was arrived at, the resident engineer stated that a summary form has been used on other projects for preparing records of negotiations.

Although the audit found no formal records of

² Departmental Procedures Manual, Procedure 11.04.03 – Construction Change Orders.

Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved

negotiations, evidence exists that Public Works evaluated Pankow's change order requests and accompanying cost proposals, and those changes were fully agreed upon before execution. Each of the PCOs included a breakdown of costs proposed by Pankow, independent third-party cost reviews, and correspondence among Pankow, its subcontractors, Public Works, Vanir/CM Pros, and the project architect.

However, the details and results of the negotiations were not clearly summarized in the project records. According to a PSB project manager, the way change order processes are written, a full-time employee would be needed to document negotiations in a standard manner, which may not be feasible.

Exhibit 2 shows some of the elements Public Works executed for the selected PCOs.

EXHIBIT 2 Findings Related to Proposed Change Orders Exceeding \$20,000			
Proposed Change Order	Record of Negotiation	Independent Estimate^a	Evidence of Price Negotiations
9	No	Not Applicable ^b	Not Applicable ^b
13	No	No	Yes
20	No	No	Yes
30	No	No	Yes
40	No	No	Yes
51	No	Not Applicable ^b	Not Applicable ^b

Notes:

^a The department prepares independent estimates before beginning price negotiations so it may better understand the scope and its component cost.

^b PCOs 9 and 51 did not require independent estimates because they were time extension requests, the costs of which are determined using a standard (fixed) rate.

Source: Department of Public Works.

Without a detailed record of what was included in the change and the related costs, the City may be vulnerable to making duplicate payments for the same work or paying for work that it had negotiated to be a different cost. Without a formal written record of negotiations, it is difficult to understand how a final agreement on price and scope was reached.

Recommendation

1. The Department of Public Works should, for all proposed change orders exceeding \$20,000, adequately summarize the scope and price negotiations by preparing a detailed itemization of the final cost items included in the change and preparing a record of negotiations on a standard departmental form. Alternatively, in situations where this requirement may not apply because of the project delivery method being used or may be cost prohibitive because of the resources involved in documenting the negotiations on a standard form, the department should revise its procedures to ensure that the departmental requirements add value and consider the project scope and size as well as type of construction contract.

Finding 1.2

Public Works did not prepare the required independent estimates before Pankow submitted costs for proposed change orders exceeding \$20,000, decreasing the department's ability to effectively negotiate change order costs.

Independent estimates were not prepared on PCOs exceeding \$20,000, as required by Public Works procedures.

Public Works did not prepare independent cost estimates for PCOs in excess of \$20,000, as required by departmental procedures. According to Public Works' procedures manual,³ all PCOs greater than \$20,000, additive or deductive, require an independent cost estimate be prepared before receiving Pankow's cost quotation for each negotiated PCO. The procedures state that the estimates must be in sufficient detail to allow for meaningful comparison to the construction manager/general contractor's proposal.

Although Public Works did not prepare independent cost estimates, there is evidence that the construction management support team, Vanir, evaluated Pankow's change order requests and accompanying cost proposals. In two instances, a comparison summary sheet⁴ was used to show the difference between Pankow's original cost proposal and Public Works' estimated costs. In those cases, evidence exists that

³ Departmental Procedures Manual, Procedure 11.04.03 – Construction Change Orders.

⁴ Comparison summary sheets are tables composed by the construction management support staff (Vanir) that show Pankow's original cost proposal, Vanir's proposal review, and the variance. Comparison summary sheets were only prepared for PCOs 30 and 40.

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

negotiations did occur. However, Public Works performs cost reviews and evaluations in response to, and after receipt of, Pankow's cost proposal, not before.

According to a PSB project manager, independent estimates are not always performed for the PSB because it would require a full time estimator to do so, and staff and resources are unavailable for this task. Another PSB project manager stated that projects in which a majority of change orders exceed the \$20,000 threshold could incur significant costs to conduct independent estimates because each estimate requires personnel time and effort.

Although it requires time and effort to prepare, an independent cost estimate may provide a better understanding of the scope of the PCO and its component cost. Development of an independent cost estimate, at a minimum, provides leverage and confidence in PCO pricing negotiations and in some instances can lead to alternative and less costly solutions. The estimate should be prepared independent of the CM/GC's estimate or cost proposal and should be done before any negotiations occur.

Recommendation

2. The Department of Public Works should adhere to its procedures requiring independent cost estimates on proposed contract changes exceeding \$20,000 to prevent overpayment and to support the justification for payment of a change order of significant value. Alternatively, if the department considers the preparation of independent estimates to be overly burdensome and of limited value, it should raise the \$20,000 threshold requiring independent estimates and/or modify its procedures to reflect actual practices used on a given project. In this case, project scope and size as well as type of construction contract would be the determining factors.

Finding 1.3

Proposed change orders requesting time extensions do not contain sufficient supporting documentation, increasing the risk of possible approval of unwarranted time extensions.

Pankow's change order requests for project time extensions contain insufficient supporting documentation and do not meet contract requirements.⁵ According to the contract's general conditions,⁶ for all assertions of contract time adjustments, Pankow must:

- Provide a critical path method time-impact evaluation using a sub-network or fragmentary network.⁷
- Tie the sub-network to the complete and most current city-approved progress schedule.
- Provide a written narrative and schedule diagram or other written documentation acceptable to the City, showing the detailed work activities involved in a change that may affect the contract time and the impact of the change on other work and activities of the proposed schedule adjustment.

Pankow did not prepare required time impact analyses.

The documentation Pankow submitted for PCOs 9 and 51 described the potential delay resulting from the change, but Pankow did not provide the narrative or schedule analysis to support its asserted impact on the critical path and the project completion date. Further, Pankow provided no schedule analysis or supporting schedule files. Although Pankow did provide a schedule diagram showing the delay, no depiction of the project's critical path or the delay's effect on the project completion date was provided. As a result, Public Works had to evaluate the proposed time impact without the benefit of the detailed time-impact evaluation from Pankow that is required by the contract. By not submitting the contractually required documents for time adjustment proposals, Pankow places additional burden

⁵ A construction contract's general conditions set forth the responsibilities of the owner, contractor, and architect during construction.

⁶ Article 6.03(F).

⁷ A sub-network is a select portion or fragment of the current schedule, which highlights the delayed activities and related work scopes and the critical path. The sub-network is used to demonstrate the resulting delay to project completion.

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

on Public Works staff to evaluate the proposals with limited information and to justify the time extension for Pankow. The lack of required documentation also increases the risk that Public Works may approve a time extension that is unwarranted.

Recommendation

3. The Department of Public Works should, for any time extension Pankow requests, require it to provide the contract-required time adjustment proposal, which should include an analysis of the time impact on the project schedule. This should include a narrative demonstrating the delay and its impact on the critical path and project completion date, providing sufficient support for the claim that a time extension is warranted.

Finding 1.4

Pankow did not submit detailed breakdowns of labor rates used for pricing proposed change order work, contrary to the contract, and Public Works did not request these rates.

Pankow did not provide—and Public Works did not request—the contract-required labor rate breakdowns.

Public Works has not required Pankow to provide, for itself and its subcontractors, a breakdown of hourly payroll rates and labor burden⁸ for each trade used on the project. Because the contract requires Pankow to include documentation supporting the costs of PCO work, including labor rates by trade, a breakdown of labor rates provided at the onset of the project would provide the City with the means to evaluate and verify that the labor rates used for PCO work are reasonable and comply with the contract.

According to the contract,⁹ Pankow must furnish to the City, within 30 days of the notice to proceed, a breakdown of Pankow's and the subcontractors' hourly payroll rate and labor burden for each trade used on the project. However, considering that trade subcontractors are contracted with as the project progresses, it is reasonable to require their labor rates be detailed and submitted within 30 days of their respective contract award dates. The contract also requires that the labor

⁸ The contract defines labor burden as payments by the employer for its workers' health and welfare, pension, vacation and similar purposes that do not exceed the charges of the local governing trade organizations for the trades employed.

⁹ General Conditions, Article 6.06(A).

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

surcharge is to be set using the U.S. Department of Transportation's official labor surcharges in effect on the date the work is performed.

Because Pankow did not provide the breakdown of labor rates, Public Works cannot verify the labor rates used to price the PCO work. Instead, Public Works can only compare rates included in PCO work to those included in Pankow's or its subcontractors' bid package proposals. For example, according to documentation supporting Public Works' review of PCO 30, the labor rates for subcontractors included in the cost proposal for the PCO were noted as being high. The hourly rates provided by Pankow and its subcontractors' in their bid packages are not broken down to show hourly payroll rate, labor burden, and labor surcharge, and in most cases included overhead and profit. Consequently, the construction management support team could not identify the components of the labor rates to determine if they complied with the contract.

Labor rates used in pricing of change order work are not to exceed those to be paid under the contract. Without the submittal of a detailed breakdown of labor rates, the City may be paying higher rates than it is obligated to pay under the contract.

Recommendations

The Department of Public Works should:

4. Require Pankow and its trade subcontractors to submit labor rate breakdowns to show hourly payroll rates, labor burden, and labor surcharges, without overhead and profit, as required by the contract. These breakdowns should be submitted within 30 days of the notice to proceed, or in the case of a subcontractor brought on during the project, within 30 days of the contract award date. The department should evaluate these rates for compliance with the contract and use them to evaluate the pricing of proposed change order work.
5. Verify that the labor rates provided in change order cost proposals comply with the contract.

Finding 1.5

Public Works' change order provisions need language limiting a Construction Manager/General Contractor's ability to recover additional compensation or time for approved change order work.

Public Works change order provisions have no standard clause to prevent the CM/GC from recovering or limiting its attempt to recover additional costs or time related to an agreed-upon change order.

An example of such a clause can be found in the San Francisco Public Utilities Commission's standard change order form, which reads:

The Contractor and the City acknowledge that this Change Order constitutes full accord and satisfaction of all issues and claims related to work added, deleted or modified by this Change Order, including disruption, productivity loss, delay, resequencing of the work, escalation, acceleration, extended overhead (including home office overhead), administrative costs, and/or claims submitted or not submitted by subcontractors and suppliers.

The above clause or similar is an industry standard and prevents a contractor from attempting to recover additional costs or time for work performed related to a previous change order.

Recommendation

6. The Department of Public Works should revise its contract change order provisions to include language that limits the construction manager/general contractor's ability to recover additional costs or time for work performed related to an approved, completed change order.

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

Page intentionally left blank.

CHAPTER 2 – Pankow’s Schedule Submissions Do Not Adhere to Industry Best Practices

Summary

The Public Safety Building project does not have a formal, approved construction baseline schedule, and the schedule that Public Works considers to be the baseline does not conform to industry standards. A baseline schedule establishes the project’s timeline for delivery and indicates the start and completion dates for all activities and stages of the construction process. In addition to the schedule not being formally accepted by Public Works, which is required by the contract, the schedule that Public Works considers to be the baseline does not meet several industry standards in that it:

- Shows work in progress and delay. A baseline schedule should not show progress or delay.
- Is not resource-loaded or cost-loaded.
- Is missing logic ties and sufficient breakdown of the work.

Finding 2.1

The Public Safety Building project has no formally accepted baseline schedule.

There has been no formal submission or acceptance of the PSB baseline construction schedule.

Public Works has not required Pankow to submit a formal baseline schedule for review and approval by the City. According to the contract,¹⁰ Pankow must submit a baseline schedule for review by the City before beginning construction. Further, contrary to the contract, the PSB Project Manual¹¹ requires Pankow to submit a construction schedule within 14 days of the construction notice to proceed and requires that a critical path method schedule be submitted and accepted for use no later than 60 days after commencement of the work.

A baseline schedule would present Pankow’s planned sequence of work on the date of the notice to proceed and should be the basis from which progress and delays are measured. The contract requires that the City and Pankow meet to review the baseline schedule within ten days after submittal and that no progress payments be

¹⁰ General Conditions, Article 3.11, Schedules.

¹¹ Section 01 32 16, Progress Schedule.

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

made to Pankow until the City accepts the baseline schedule.

According to a Public Works PSB project manager, Pankow submitted a baseline schedule with a data date¹² of January 31, 2012, in early February 2012.¹³ However, the project manager could not locate any transmittal documents or the formal acceptance or approval of this schedule as the project's baseline schedule. Further, there is no identifying information in the schedule itself or written documentation in the project records indicating that this is the project baseline construction schedule. However, according to the project manager, a discussion was held with Pankow in which this schedule was verbally agreed to as the project baseline construction schedule.

The lack of written acceptance by the City of a baseline schedule increases risks related to schedule disputes if there are future claims of delay, disputed time extension requests, or assertions of liquidated damages.

Recommendations

The Department of Public Works should:

7. Evaluate the need to re-baseline the construction schedule, taking into consideration the progress of the project and whether it is on schedule to meet the current milestone dates. If the department determines that a re-baseline is beneficial to the project, the construction schedule for the Public Safety Building project should be re-baselined to depict the full scope of construction work with an agreed-upon contract substantial completion date. The department should use this schedule to track progress and evaluate future delays and time-extension requests. The agreement documenting the new baseline schedule should include, at a minimum, a statement that all known impacts and delays through the schedule's data date have been incorporated into the schedule and that no outstanding time-related impacts or assertions of compensable delays exist.

¹² A data date is the date on which the schedule's status was reported. The work shown to the right of the data date on a schedule represents planned work.

¹³ Based on the schedule provided to the auditor as the baseline schedule, it was submitted on February 2, 2012.

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

8. Seek and implement the advice of the Office of the City Attorney for the appropriate language to include in the new baseline schedule agreement to limit Pankow's ability to claim additional time and money for prior events.
9. On all future projects, require the general contractor to formally submit a baseline schedule that meets the contract's requirements and document the department's acceptance in accordance with its procedures.

Finding 2.2

Pankow's baseline schedule submission is inadequate and does not meet industry best practices for use in demonstrating or measuring project delays.

The PSB construction schedule does not meet industry standards.

The schedule verbally agreed to as the PSB construction baseline schedule is inadequate and does not conform to industry standards for construction baseline schedules. The construction baseline schedule is inadequate in three areas:

- The baseline schedule data date was more than six weeks after the contract notice to proceed date and showed construction activities in progress after that date.
- The baseline schedule had negative total float¹⁴ of 45 days, indicating a delayed project completion date.
- The baseline schedule had numerous scheduling problems noted by the Public Works scheduler and Public Works did not require Pankow to correct and resubmit the schedule for acceptance.

The baseline schedule shows progress on construction activities after the notice to proceed.

Baseline Schedule Data Date Is Incorrect. The data date of the baseline construction schedule should have been the contract's notice to proceed date of December 13, 2011, and should have only reflected Pankow's planned activities. The schedule provided as the baseline

¹⁴ Negative total float occurs when an activity or path of activities extends beyond the constrained completion date of the project. It indicates that the project will finish late by the number of days of negative float. The critical path of a project that is scheduled to be completed on time will have zero float or positive float.

Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved

schedule shows progress on construction activities from the date of the notice to proceed through January 31, 2012, and a delay to the project's substantial completion date of 45 days.

The baseline schedule shows a 45-day delay.

Baseline Schedule Built in a Delay (Negative Float). The baseline schedule had a negative float of 45 days, indicating a delay of this duration to the substantial completion date. The purpose of the baseline schedule is to demonstrate Pankow's plan for completing the work within the time constraints of the contract, so should not include any delay, negative float, or a completion date that extends beyond the contract's time limit.

The Public Works schedule reviewer noted concerns that were not remedied and resubmitted as required by the contract.

Baseline Schedule Issues Were Not Addressed. The Public Works schedule reviewer, Vanir/CM Pros—who reviewed and evaluated the baseline schedule for conformance with the contract requirements and industry standards—found several items of concern, including, but not limited to:

- No resource or cost loading.
- Critical path activities that were not accurately presented.
- Activities without predecessors or successors (missing logic ties).
- Insufficient breakdown of various trade activities such as insulation, drywall, electrical, plumbing, and heating, ventilation, and air conditioning.
- Suggested breakdown of work on each level by three major work areas (Tower 1, Tower 2, and the Elevator Area).

While these concerns were documented by the Public Works schedule reviewer, the department did not require Pankow to correct and adjust the baseline schedule accordingly and resubmit it for acceptance by the City, as required by the contract. According to the Public Works scheduler, the department's schedule review comments were often, but not always, incorporated in later schedule submissions via monthly updates.

The purpose of a baseline schedule is to develop and record the CM/GC's plan to meet the contract requirements and time constraints of the project. It is industry practice that the baseline schedule should

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

reflect the CM/GC's plan before beginning the work and should not show progress or impacts that have occurred during its development. Also, per the contract, the baseline schedule is to be used to demonstrate project delays.

In summary, the baseline schedule does not adhere to contract requirements or industry standards and should not have been accepted as the formal project baseline schedule because:

- It did not have a contract notice to proceed data date.
- It indicated a project delay.
- It did not reconcile with agreed upon contract amendments that detailed a 28-month project duration.
- Pankow was not required to adjust the baseline for known issues.

The issues identified above may complicate the evaluation of delays, time extension requests, and payment of time-related general conditions costs.

Recommendation

10. The Department of Public Works should develop and implement criteria based on standard industry practices to be used to evaluate future project baseline schedule submissions and, when found acceptable, formally accept all construction baseline schedules on future projects.

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

Page intentionally left blank.

CHAPTER 3 – No Procedures Exist for Developing Public Works' Monthly Project Cost Control Reports

Summary

The monthly project status reports prepared by Pankow and Public Works comply with contract and Public Works requirements and generally present accurate and up-to-date information. However, no written work steps or procedures exist for the Public Works monthly cost control report. As a result, this report would be difficult or impossible to produce without the knowledge held by current Public Works staff.

Finding 3.1

Although monthly status reports of both Pankow and Public Works are accurate, no written procedures or work steps exist for developing and updating Public Works' monthly cost control report.

Public Works does not have written procedure steps documenting and providing guidance for developing its monthly cost control report. However, Public Works' monthly status reports are generally accurate and up-to-date and comply with departmental requirements, with one exception. Pankow's monthly status reports also are accurate, contain up-to-date information, and comply with contract requirements.

The Public Works monthly cost control report provides monthly cost information including project budget, appropriations, and expenditures. Further, it supports the information included in the Public Works monthly PSB Progress Report, which is provided to the project's oversight body and is available publicly. Despite the report's importance, however, no written steps or procedures exist that would allow someone unfamiliar with the report's development process to create the report. If the current analyst is unavailable to prepare the report, it will be difficult or impossible for someone else to create it.

According to Public Works, it has not required written procedures on developing and updating the cost control report because it was never deemed necessary.

Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved

Written documentation should address a complete process from beginning to end. The documentation should address and highlight areas where errors may be more likely to occur in the reporting process and the internal control procedures that have been designed to prevent such errors.

The audit found an error in a Public Works monthly status report's cost control report: an incorrect dollar amount of approved change orders during the period. Written procedures can help mitigate the risk of future errors and omissions and serve as a reference to Public Works staff developing the report.

Recommendation

11. The Department of Public Works should design and document written procedures regarding the department's monthly cost control report that, at a minimum, describe the specific steps and data sources used to develop the report. The department should require that all staff adhere to the procedures to ensure that the report contains all required information.

CHAPTER 4 – Public Works Properly Reviews Pankow's Progress Payment Applications

Summary

Public Works properly reviews monthly progress payment applications before approving payment. Pankow's payment applications are accurate and comply with contract requirements.

Finding 4.1

Public Works properly reviews monthly progress payment applications from Pankow and its subcontractors.

Public Works ensures that progress payments made to Pankow are accurate and consistent with actual work performed.

Public Works construction management support staff sufficiently manages the progress payment process to ensure payments made to Pankow and its subcontractors are accurate and in accordance with contract provisions. The audit's review of payment applications and the review process found that Public Works:

- Approves the progress payment applications during the period.
- According to the project manager, meets monthly with Pankow to discuss payment applications and work performed to date.
- Reviews the schedules of values for accuracy and makes any necessary adjustments.
- Verifies that Pankow's insurance certificates are valid.
- Uses a checklist to ensure that all items required to be submitted with each payment application are included in the monthly progress payment package.¹⁵

Of the 43 progress payment applications billed through December 2013, a sample of four, totaling \$16,959,944, (16 percent of the total amount) showed that they were properly supported, accurate, and had been properly reviewed by Public Works. Also, Public

¹⁵ The monthly progress payment package includes forms required by the City's Human Rights Commission, CM/GC and trade subcontractors' schedules of values, timesheets for CM/GC employees, evidence of the submission of certified payroll, and evidence of stored materials.

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

Works paid these monthly progress applications in a timely manner, consistent with the City's prompt payment guidelines issued by the Office of the Controller.

APPENDIX A: DEPARTMENT RESPONSE

City and County of San Francisco



Edwin M. Lee, Mayor
Mohammed Nuru, Director

San Francisco Department of Public Works

Office of the Director
1 Dr. Carlton B. Goodlett Place, City Hall, Room 348
San Francisco, CA 94102
(415) 554-6920 * www.sfdpw.org



April 1, 2014

Tonia Lediju
Director of Audits
Office of the Controller
City Hall, Room 477
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Reference: CSA Draft Report entitled "Controls over the Public Safety Building Project Should be Improved to Ensure Accuracy and Clarity in Project Scheduling and a Better Change Management Process"

Subject: DPW Response to Controller's Report and Recommendation Transmitted on March 31, 2014

Dear Ms. Lediju,

This letter is in response to the report prepared by the Office of the Controller, City Services Auditor Division titled "Controls over the Public Safety Building Project Should be Improved to Ensure Accuracy and Clarity in Project Scheduling and a Better Change Management Process" dated March 31, 2014.

The Department of Public Works ("DPW") has carefully reviewed the findings and recommendations. I have attached to this letter the completed *Recommendations and Responses* form. DPW will continue to seek improvements to its project control processes on projects contracted using the Integrate Project Delivery method.

Sincerely,

Mohammed Nuru

Attachment(s) (1) Recommendations and Responses Form



San Francisco Department of Public Works
Making San Francisco a beautiful, livable, vibrant, and sustainable city.

**Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved**

cc: Edgar Lopez, Deputy Director and City Architect
Charles Higuera, DPW Program Manager
Samuel Chui, DPW Project Manager
Julia Dawson, Deputy Director, Financial Management and Administration
Lourdes Nicomedes, Business Services Division



San Francisco Department of Public Works
Making San Francisco a beautiful, livable, vibrant, and sustainable city.

For each recommendation, the responsible agency should indicate whether it concurs, does not concur, or partially concurs. If it concurs with the recommendation, it should indicate the expected implementation date and implementation plan. If the responsible agency does not concur or partially concurs, it should provide an explanation and an alternate plan of action to address the identified issue.

RECOMMENDATIONS AND RESPONSES

Recommendation	Response
<p>The Department of Public Works should:</p> <ol style="list-style-type: none">1. For all proposed change orders exceeding \$20,000, adequately summarize the scope and price negotiations by preparing a detailed itemization of the final cost items included in the change and preparing a record of negotiations on a standard departmental form. Alternatively, in situations where this requirement may not apply because of the project delivery method being used or may be cost prohibitive because of the resources involved in documenting the negotiations on a standard form, the department should revise its procedures to ensure that the departmental requirements add value and consider the project scope and size as well as type of construction contract.	<p>DPW concurs. The procedures have been revised in February 2014 to modify the thresholds by which such a requirement is necessary and appropriate, according to project scope, size, and type. In addition, DPW is in the process of revising its procedures to define the elements to be included in a record of negotiation, and will include a sample template.</p>

Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved

Recommendation	Response
<p>2. Adhere to its procedures requiring independent cost estimates on proposed contract changes exceeding \$20,000 to prevent overpayment and to support the justification for payment of a change order of significant value. Alternatively, if the department considers the preparation of independent estimates to be overly burdensome and of limited value, it should raise the \$20,000 threshold requiring independent estimates and/or modify its procedures to reflect actual practices used on a given project. In this case, project scope and size as well as type of construction contract would be the determining factors.</p>	<p>DPW concurs. DPW will revise its procedures to reflect actual practices, i.e., when an independent detailed cost review of the CM/GC's proposed cost is practicable, it shall be performed and for proposed change orders valued at a specified threshold amount appropriate to the particular project's type, size, or delivery method.</p>
<p>3. For any time extension Pankow requests, require it to provide the contract-required time adjustment proposal, which should include an analysis of the time impact on the project schedule. This should include a narrative demonstrating the delay and its impact on the critical path and project completion date, providing sufficient support for the claim that a time extension is warranted.</p>	<p>DPW does not concur. For CM/GC projects, adjustments to the construction schedule are submitted and evaluated monthly; potential schedule risks are discussed weekly with the CM/GC, CM consultant, and Architect. Pankow provided narratives and schedule analysis on PCOs containing time-impact. While it may not be in a format or provide a level of detailed which facilitates an indisputable evaluation, DPW's schedule reviewer, Vanir/CM Pros, did provide a third-party independent analysis of the schedule impact.</p>

Recommendation	Response
<p>4. Require Pankow and its trade subcontractors to submit labor rate breakdowns to show hourly payroll rates, labor burden, and labor surcharges, without overhead and profit, as required by the contract. These breakdowns should be submitted within 30 days of the notice to proceed, or in the case of a subcontractor brought on during the project, within 30 days of the contract award date. The department should evaluate these rates for compliance with the contract and use them to evaluate the pricing of proposed change order work.</p>	<p>DPW concurs with the recommendation and will work to establish a procedure for submission of labor rates with detailed information and is appropriate to an integrated project delivery method.</p>
<p>5. Verify that the labor rates provided in change order cost proposals comply with the contract.</p>	<p>DPW receives bids in a lump sum format for labor and materials for base contract. As a result, DPW does not have the labor rate or the base contract with which to compare against change orders.</p> <p>DPW will continue to evaluate proposed change orders to make sure labor charges are within industry standards and are verified through electronic certified payroll. For the PSB, the labor rates are provided by the CM/GC when each subcontractor is brought onboard.</p>
<p>6. Revise its contract change order provisions to include language that limits the construction manager/general contractor's ability to recover additional costs or time for work performed related to an approved, completed change order.</p>	<p>DPW will consider the addition of recommended language – on the eCO form – that limits the CM/GC's ability to recover additional costs or time for work performed related to an approved completed change order.</p>

Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved

Recommendation	Response
<p>7. Evaluate the need to re-baseline the construction schedule, taking into consideration the progress of the project and whether it is on schedule to meet the current milestone dates. If the department determines that a re-baseline is beneficial to the project, the construction schedule for the Public Safety Building project should be re-baselined to depict the full scope of construction work with an agreed-upon contract substantial completion date. The department should use this schedule to track progress and evaluate future delays and time-extension requests. The agreement documenting the new baseline schedule should include, at a minimum, a statement that all known impacts and delays through the schedule's data date have been incorporated into the schedule and that no outstanding time-related impacts or assertions of compensable delays exist.</p>	<p>DPW will evaluate the feasibility and appropriateness to re-baseline the construction schedule at this juncture in construction, given that the project is 80% complete and expected to reach Final Completion in five months for the PSB.</p>
<p>8. Seek and implement the advice of the Office of the City Attorney for the appropriate language to include in the new baseline schedule agreement to limit Pankow's ability to claim additional time and money for prior events.</p>	<p>DPW does not concur that establishing a formal baseline schedule would provide additional protection beyond those addressed by currently-implemented project controls appropriate to an Integrated Project Delivery method, including monthly schedule reviews and weekly discussion on schedule risks. Should a re-baseline be deemed appropriate and to be in the best interest of the City, DPW will seek and implement the advice of the Office of the City Attorney for appropriate language to include in the new baseline schedule agreement.</p>

Office of the Controller, City Services Auditor
Controls Over the Public Safety Building Project Should Be Improved

Recommendation	Response
9. On all future projects, require the general contractor to formally submit a baseline schedule that meets the contract's requirements and document the department's acceptance in accordance with its procedures.	DPW concurs. DPW will revise its procedures in alignment with leading practices for Integrated Project Delivery (IPD) method. Unlike other project delivery methods, IPD requires the CM/GC to prepare a master schedule for purposes of bidding the various trade packages. After all trade packages have been awarded and subcontractors have been able to provide input onto the master schedule, the City is in a position to accept a baseline schedule.
10. Develop and implement criteria based on standard industry practices to be used to evaluate future project baseline schedule submissions and, when found acceptable, formally accept all construction baseline schedules on future projects.	See response to Recommendation #9.
11. Design and document written procedures regarding the department's monthly cost control report that, at a minimum, describe the specific steps and data sources used to develop the report. The department should require that all staff adhere to the procedures to ensure that the report contains all required information.	DPW concurs with the recommendation and will begin to design and establish minimum data requirements for cost control reports. However, formatting, and frequency in preparation, of Cost Control Reports shall be specific and appropriate to the particular project type, size, or delivery method.

From: Board of Supervisors (BOS)
To: BOS-Supervisors; BOS-Legislative Aides
Subject: What you need to park your car in a "red zone" next to a S.F. Firehouse all day and night

From: MaryLou Corrigan [<mailto:marylouc@mac.com>]
Sent: Wednesday, April 16, 2014 2:55 PM
To: Board of Supervisors (BOS)
Subject: What you need to park your car in a "red zone" next to a S.F. Firehouse all day and night

Do you have one in your closet?

Dear San Francisco Board of Supervisors:

For those of you who drive, I recommend stowing a **SFFD T** shirt in your car.
Wherever you park, drape it over the steering wheel and on the dash with SFFD
showing prominently.
SFMTA parking enforcement is more likely to ticket the Ambassador from Iran's vehicle
than yours.

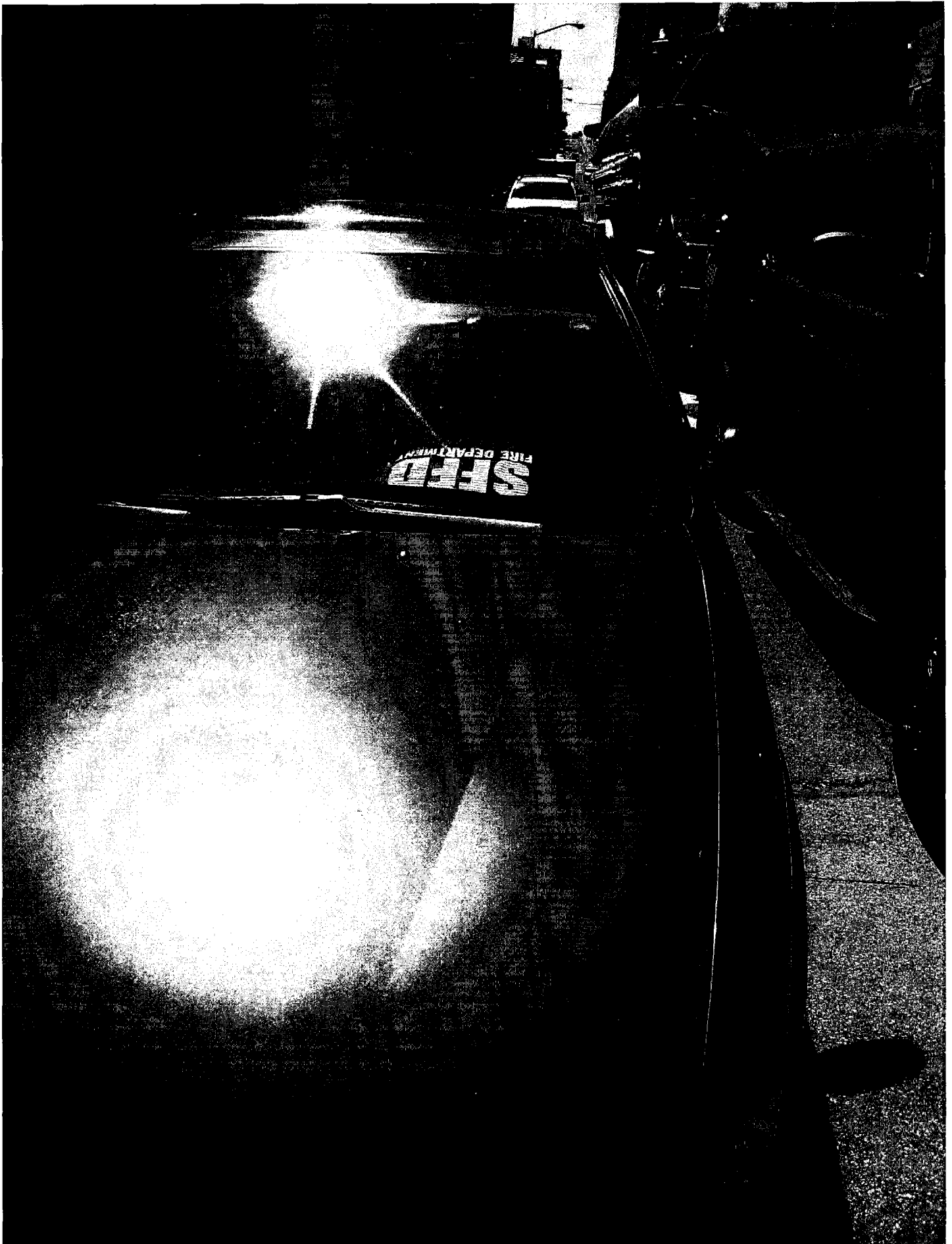
Just a friendly parking tip,

Jim Corrigan

All day April 16, 2014 Station # 2 Powell & Broadway



SFFD T-Shirt wards off the SFMTA from doing their job.



April 15, 2014

Mr. Reiskin,

You and I well know that no parking is permitted within 15 feet of a firehouse door.

We both know that parking in a "red zone" should warrant a ticket and does, but not for everyone.

Over the years I have brought this to the attention of James Lee. Dutifully, he notifies someone in the SFMTA.

For several days firefighters do not park their vehicles in the "red zone." After one week, it's back to parking in the "red zone."

Every day your Enforcement Officers drive by Station # 2 and do not ticket cars in the "red zone."

Could you please respond to me why SFFD firefighters are treated differently than everyone else?

Thank You,

Jim Corrigan

From: Bob Planthold [bob@californiawalks.org]
Sent: Wednesday, April 16, 2014 5:12 PM
To: Board of Supervisors (BOS); Mar, Eric (BOS); Farrell, Mark (BOS); Chiu, David (BOS); Tang, Katy (BOS); Breed, London (BOS); Kim, Jane (BOS); Yee, Norman (BOS); Wiener, Scott; Campos, David (BOS); Cohen, Malia (BOS); Avalos, John (BOS)
Cc: Ausberry, Andrea; alissa.miller@sfgov.org; Major, Erica; Lim, Victor (BOS); Lauterborn, Peter (BOS); Stefani, Catherine; Kelly, Margaux (BOS); Montejano, Jess (BOS); Chan, Amy (BOS); True, Judson; Rauschuber, Catherine (BOS); ashley.summeris@sfgov.org; Quizon, Dyanna (BOS); Brown, Vallie (BOS); Johnston, Conor (BOS); McCoy, Gary (BOS); Veneracion, April (BOS); Angulo, Sunny (BOS); Lee, Ivy (BOS); Mormino, Matthias (BOS); Scanlon, Olivia (BOS); Low, Jen (BOS); Power, Andres; Taylor, Adam (BOS); Cretan, Jeff (BOS); Goossen, Carolyn (BOS); Lane, Laura (BOS); Bruss, Andrea (BOS); Chan, Yoyo (BOS); Tugbenyoh, Mawuli (BOS); Redondiez, Raquel (BOS); Hsieh, Frances (BOS); Pollock, Jeremy (BOS); Bob Planthold
Subject: TNCs --NOT to be legitimized by membership on any formal city working group / advisory committee

It was distressing enough to see that some TNCs were invited to speak at a 14 April hearing before Land Use & Economic Development committee about possible Late Night Transportation Plans.

It's worse to see that such scofflaw, biased, anti-disability companies might actually be invited to be formal members of any proposed working group or advisory committee for developing a Late Night Transportation plan.

It's appalling, distressing, and unnerving that any public officials or staff to same who are also attorneys or graduates of law schools might actually contemplate any such inclusion.

CPUC abdicated its responsibility to make TNCs respond to their duties as "public accommodations", under the Americans with Disabilities Act.

Such official neglect and failure by one government body should not be considered formal acceptance of the validity of their business practices.

TNCs do not require any of their contractors to have vehicles accessible to people with disabilities; TNCs do not provide any disability-focussed safety training - as is required of paratransit drivers-- to TNC's contracted drivers, to be used in the event of a collision that injures or traps a passenger with a disability.

TNCs do not require that their contracted drivers DO provide transportation service to people with guide dogs/ service dogs.

TNCs are not inclusive, in that they don't serve all --unlike transit agencies and cab companies.

That's enough to show that TNCs do NOT practice , channel, or exhibit "San Francisco values".

For the Supes.to approve any resolution, legislation, plan, or other formal document that includes TNCs as invited members gives them a legitimacy they:

- * do not have,
- * do not deserve, and
- * would mis-use.

Because TNCs knowingly fail to serve all the public, any such formal membership in any Late Night Transportation Plan working group/ advisory committee by any TNC would taint the vote

and legitimacy of any recommendations or plans suggested -- delaying fair consideration of such work.

TNCs, like any other public business or private individual, would always have the right to attend any and all meetings of any proposed Late Night Transportation Plan working group/ advisory committee and to avail themselves of the right to "public comment".

TNCs, by reason of their knowing failure to serve people with disabilities, should not be conferred any voice greater than that all the rest of the public has.

I urge any and all Supes. to reject any resolution, legislation, ordinance, plan, proposal, or other formal action that allows TNCs to be formal members or formally invited guests to any Late Night Transportation Plan working group/ advisory committee.

As & when there is any such plan, I ask to be formally & specifically notified of the text of such an item and also of the date, time, and place of any hearing on this topic.

I send this to so many to be sure it is not ignored, overlooked, avoided, or evaded.

Bob Planthold

From: Board of Supervisors (BOS)
To: BOS-Supervisors
Subject: FW: unjust removal from SFHRC panel
Attachments: removal letter.pdf

From: Allen Jones [<mailto:jones-allen@att.net>]
Sent: Wednesday, April 16, 2014 5:15 PM
To: Board of Supervisors (BOS)
Subject: unjust removal from SFHRC panel

Attention: All Members of the SF Board of Supervisors,

Below is a letter I sent to the SFNAACP concerning my treatment on the SF Human Rights Commission. I am aware of my right to appeal my removal with the commission.

My name is Allen Jones. January 1, 2014 I became an Equity Advisory Committee (EAC) member for the San Francisco Human Rights Commission (SFHRC) for my expressed purpose of dealing with the Black outmigration of San Francisco.

On April 15, 2014 I was removed from my position for the reason I claim --with proof-- of not willing to use a false report, known as the "2009 outmigration report" commissioned by former mayor Gavin Newsom, to perform subcommittee tasks for the whole year of 2014.

The SFHRC removal letter attached, indicates that I was "unable or unwilling" to perform my duties after agreeing to the terms for service. What the letter does not reflect, but was stated repeatedly by me in a heated meeting on April 15 and prior email correspondence is that I learned after becoming an EAC member that the document was altered, including the fact that one of the EAC members took exception to the fact that his name was put in the document even though he stated he had nothing whatsoever to do with the report.

Therefore, it is also my claim that it is outrageous and unreasonable to request that I go alone with other committee members, just because they have no problem using an altered document as a guide in our goal of "Reversing" the Black outmigration trend as part of an HRC year long task. Further documentation will prove that what I was requested to follow was not imposed on others in my subcommittee.

Finally, the three commissioners who signed my removal letter, did so without hearing from me first, even though they, not I, called for the meeting which appears to seem conspired by the HRC staff facilitator, Ms. Zoe Polk. To simply rely on emails that can be taken out of context if you don't use them all can make an open and shut case easily.

It is no secret that I have disagreements with the SFNAACP leadership. However, this is a matter of more than me, it is a continued pattern of my claim that City Hall and the SFHRC does not respect its Black citizens. So without hesitation, I am calling on the NAACP to look into this matter as a leader and not follow after I begin to get support.

My minimum requested outcome, without compromise, is that the three commissioners who signed such letter be removed from the commission for their conduct as well as the director of the SFHRC. In addition an apology from staffer Ms. Zoe Polk is also demanded.

Allen Jones

(415) 756-7733

jones-allen@att.net

<http://casegame.squarespace.com>

City and County of San Francisco
HUMAN RIGHTS COMMISSION

Theresa Sparks
Executive Director



Edwin M. Lee
Mayor

April 15, 2014

Mr. Allen Jones
PO Box 410273 ~~410273~~ 410273
San Francisco, CA

Dear Mr. Jones:

We are writing to inform you that we are releasing you from your service on the San Francisco Human Rights Commission's (HRC) Equity Advisory Committee. (EAC) Based on our review of the requirements for the EAC and our conversations and emails with you regarding those requirements, we have decided that you should move on from this committee.

As indicated on the application for the EAC and in your interview, working collaboratively is a requirement for your service. Specifically, the EAC application requests confirmation that all applicants can attend all regular EAC meetings and all subcommittee meetings. Moreover, in all EAC interviews, all applicants were provided the following information and required to respond to related questions:

1. The EAC has the broad mandate to address various issues of equity facing many of San Francisco's diverse communities. At the beginning of the year retreat, each member is asked to submit a potential topic for the group to work on. Of those submitted, the EAC must collectively determine 3 topics that to work on. Would you be willing to work on one of the three topics chosen by the group, even if none of the topics are one you that you submitted?
2. Much of the work of the EAC occurs in subcommittee meetings which happen outside of the regular Wednesday monthly meeting. For example, members who are planning a panel discussion might need to select speakers, arrange for an event location and create written documents including, flyers, agendas and press release. In the past subcommittee members have met in person and via phone. Would you be able to devote 5-6 hours a month outside of the regular meetings to subcommittee meetings?

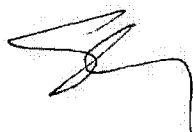
You answered in the affirmative for both questions.

However, since your appointment to the EAC, you have expressed an unwillingness to work with your subcommittee. HRC staff member Zoë Polk has reminded you in EAC regular meetings, African American Out-Migration subcommittee meetings and via email of your obligation to work cohesively with your subcommittee. You have declined to do that. On March 4, 2014, Commission Chair Christian, Commissioner Davis and staff member Zoë Polk met with you to learn about your personal projects and to advise you of your ongoing obligation to work productively with the subcommittee you chose.

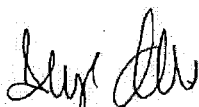
Since that time, it has become clear to us that you are unable or unwilling to meet your obligations to work collaboratively with your committee members. Thus, we have determined that you should move on from the EAC.

Thank you for your continued service to San Francisco. We invite you to attend EAC meetings and Commission meetings as a member of the public. Should you wish to appeal this decision, you may contact the Commission Secretary at (415)252-3212 or Sheryl.cowan@sfgov.org for review by the full Commission. If we or the department can be of any assistance to you as you continue your work, we hope you will not hesitate to contact us.

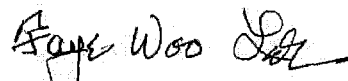
Kind Regards,



Susan Belinda Christian
Chair,
San Francisco Human Rights
Commission



Sheryl Evans Davis
Commissioner
San Francisco Human Rights
Commission



Faye Woo Lee
Commissioner
San Francisco Human Rights
Commission

BOS - 11
File 140261

From: Board of Supervisors (BOS)
To: BOS-Supervisors; Miller, Alisa
Subject: File 140261: San Francisco Graffiti Advisory Board letter supporting File #140261, Ordinance to Amend Park, Police, and Public Works Codes (BREED)
Attachments: GAB support Breed Legislation_041614.pdf

GAB
Page

From: Cassiol, Jimmer [<mailto:Jimmer.Cassiol@sfdpw.org>]
Sent: Friday, April 18, 2014 9:45 AM
To: Board of Supervisors (BOS)
Cc: Cassiol, Jimmer (DPW)
Subject: FW: San Francisco Graffiti Advisory Board letter supporting File #140261, Ordinance to Amend Park, Police, and Public Works Codes (BREED)

Resending to board.of.supervisors@sfgov.org

From: Cassiol, Jimmer
Sent: Thursday, April 17, 2014 2:28 PM
To: zerograffiti
Cc: Cassiol, Jimmer
Subject: San Francisco Graffiti Advisory Board letter supporting File #140261, Ordinance to Amend Park, Police, and Public Works Codes (BREED)

Dear members of the San Francisco Board of Supervisors:

Attached, is the letter from the San Francisco Graffiti Advisory Board (GAB) members asking for your support of Supervisor Breed's proposed amendment **File # 140261, Ordinance to amend Park, Police and Public Works codes – Graffiti Prevention & Abatement.**

The proposed legislation is scheduled to go before the Government Audit and Oversight committee on:

Thursday April 24' 2014
10:30am
City Hall, Room 263

Please feel free to contact me with questions.

Sincerely,
Jimmer Cassiol
Recording Secretary
San Francisco Graffiti Advisory Board



Join the team, keep SF clean - sign the Giant Sweep **PLEDGE**

Help keep San Francisco graffiti free - join the San Francisco **Graffiti Watch** program today!



Follow us in Twitter [@ZeroGraffitiSF](https://twitter.com/ZeroGraffitiSF)

Jimmer Cassiol | Department of Public Works | 2323 Cesar Chavez, San Francisco, CA 94124-1003 | (415) 641-2625 | sfdpw.org



**SAN FRANCISCO
GRAFFITI
ADVISORY
BOARD**

Edwin M. Lee, Mayor

City Hall
1 Dr. Carlton B. Goodlett Place, #248
San Francisco, CA 94102-4645
415.695.2003
www.sfdpw.org/gab

Larry Stringer, Chair
Jana Lord, Vice Chair

April 16, 2014

San Francisco Board of Supervisors
1 Carlton B Goodlett Pl 244, San Francisco, CA 94102
Board.of.supervisors@sfgov.org

RE: File # 140261, Ordinance to amend Park, Police and Public Works codes – Graffiti Prevention & Abatement (Breed)

Dear Supervisors Mar, Farrell, Chiu, Tang, Breed, Kim, Yee, Wiener, Campos, Cohen, and Avalos:

The San Francisco Graffiti Advisory Board offers its full support of Supervisor London Breed's proposed Ordinance to amend the Park, Police and Public Works codes to provide new graffiti prevention and abatement tools to the City of San Francisco.

Several members of the Graffiti Advisory Board have participated in the development of this legislation. While the Graffiti Advisory Board is committed to reviewing, evaluating and improving the City's current services and programs related to graffiti prevention, abatement and enforcement, priority is also placed on identifying and exploring innovative ideas on ways to combat graffiti.

The Graffiti Advisory Board considers the proposed Ordinance to be a positive step toward shifting the greater burden of graffiti vandalism to the offenders, and away from the victim. This legislation seeks to ensure that those committing graffiti vandalism will face consequences for their actions. To date, the cost of graffiti vandalism has fallen on the shoulders of taxpayers and property owners, while there have been few substantive consequences for those causing graffiti-related blight and damage. This legislation will provide meaningful civil consequences for graffiti vandals, by allowing for civil suits, penalties and restitution. In addition, by allowing the Department of Public Works to utilize administrative processes against graffiti vandals, and by preventing graffiti offenders from carrying known graffiti implements in to our parks and on to our MUNI vehicles, this legislation provides the City of San Francisco with valuable new tools in the effort to reduce graffiti-related costs and blight.

We at the San Francisco Graffiti Advisory board hope the Board of Supervisors will join Supervisor London Breed in supporting this important proposed legislation.

Sincerely,

San Francisco Graffiti Advisory Board

CC: Mayor Edwin Lee
Erica Major, Clerk, Government Audit & Oversight Committee
Erica.major@sfgov.org



Edwin M. Lee, Mayor
Mohammed Nuru, Director

Deputy Director for Buildings
30 Van Ness Avenue, 4th Floor
San Francisco, CA 94102
(415) 557-4700 www.sfdpw.org



Edgar Lopez, Deputy Director and City Architect

MEMORANDUM
Transmitted via e-mail

Date: April 16, 2014

To: Angela Calvillo, Clerk of the Board of Supervisors
Ben Rosenfield, City Controller
Jose Cisneros, City Treasurer
Nadia Sesay, Director, Office of Public Finance
Harvey Rose, Budget Analyst

From: Edgar Lopez, Deputy Director and City Architect
Department of Public Works *EAL*

Project: Earthquake Safety and Emergency Response (ESER) Bond Program

Subject: Bond Accountability Report and Fifth Bond Sale Request

Pursuant to the Administrative Code, Article VIII: General Obligation Bond Accountability Reports, Sections 2.71 and 2.72, the Department of Public Works respectfully requests the approval for the sale and appropriation of \$55,470,000 in General Obligation Bonds. This will be the fifth bond issuance, as a portion of the \$412,300,000 in General Obligation Bonds approved by the voters in June 2010. The proceeds for the Fifth Bond Sale would be used to fund different activities for the components under the ESER Bond Program: Public Safety Building; Neighborhood Fire Stations & Support Facilities and Auxiliary Water Supply System (AWSS).

We have attached a copy our Accountability Report for the ESER Bond Program for your information.

Should you have any questions or comments, please contact Charles Higuera at (415) 557-4646.

Attachment: Accountability Report dated April 16, 2014



DEPARTMENT OF PUBLIC WORKS



City & County of San Francisco
Honorable Edwin M. Lee, Mayor
GSA – Office of the City Administrator
Naomi Kelly, Chief Administrative Officer
Department of Public Works
Mohammed Nuru, Director

Earthquake Safety and Emergency Response Bond Program 2010

- Public Safety Building
- Neighborhood Fire Stations & Support Facilities
- Auxiliary Water Supply System (AWSS)

Accountability Report
April 16, 2014

Submitted by

Charles Higuera
Program Manager
(415) 557-4646
charles.higuera@sfdpw.org

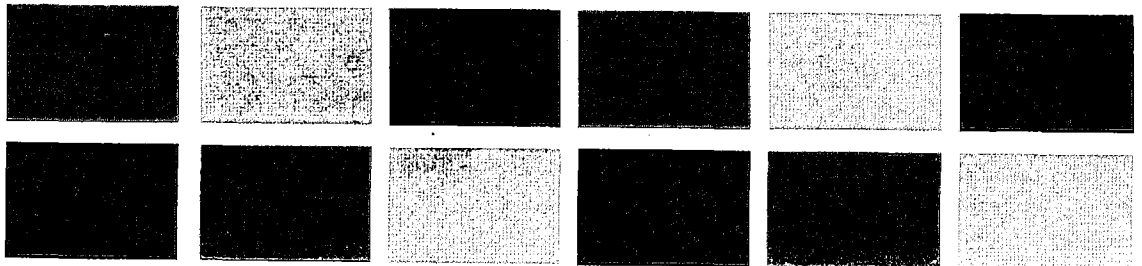


TABLE OF CONTENTS

Executive Summary..... Pages 1-3

Program Summary and Status

Public Safety Building Pages 4-5

Neighborhood Fire Stations & Support Facilities Pages 6-23

Auxiliary Water Supply System (AWSS)..... Pages 25-27

Budget, Funding and Expenditures Pages 28-30

Accountability Measures..... Page 31

Attachments:

Attachment 1 – Program Budget Report

Attachment 2 – Contact Information

EXECUTIVE SUMMARY

The Earthquake Safety and Emergency Response (ESER) Bond Program has three components: the Public Safety Building (PSB), the Neighborhood Fire Stations and Support Facilities (NFS), and Auxiliary Water Supply System (AWSS), with a combined budget of \$412,300,000. The Department of Public Works (DPW) is responsible for managing two components – the PSB and NFS. The San Francisco Public Utilities Commission (SFPUC) manages the AWSS component. DPW and the SFPUC will be requesting approval for a fifth bond sale and corresponding appropriation in the amount of \$55,470,000, which includes cost of issuance, accountability and GOBOC costs. The fifth bond sale would increase the authorized appropriation from \$332,135,000 to \$387,605,000.

The ESER Bond Program has received proceeds from four prior bond sales totaling \$332,135,000. In addition, DPW received funds for component projects from two other funding sources, increasing the total appropriation amount to \$345,969,604. The 1992 Fire Facility Bonds are being used to fund the Station 35 Fire Boat House and two other non-ESER projects. The City's General Fund and will be used to procure the furniture, fixtures and equipment (FF&E) for the Public Safety Building and Fire Station 4. The funding from the City's General fund is needed because FF&E is not a bond eligible expense. Table A – Budget and Appropriation by Component and Source, shown below, provides a summary of the budget and appropriation by component and source.

Table A – Budget and Appropriation by Component and Source

	Budget	Current Appropriation
ESER 2010		
Public Safety Building	239,000,000	227,217,258
Neighborhood Fire Stations (NFS)	64,000,000	30,514,765
Auxiliary Water Supply System (AWSS)	102,400,000	71,396,779
Oversight, Accountability & Cost of Issuance	6,900,000	3,006,199
Total (CESER1)	412,300,000	332,135,000
Fire Facility Bond Funds (FY 12/13 AAO 164-12)		
Neighborhood Fire Stations		
7424A Fire Boat/ Fire Station No. 35	7,192,000	7,151,723
7433A Fire Boat/Fire Station No. 35 Slab Repair (CFCBLDFD33/3CFPSLOC)	358,000	398,300
7433A Fire Boat/Fire Station No. 35 Slab Repair (CFC918 000298)	38,696	38,696
7444A FF&E Fire Station #1 (CFCBLDFD44/3CFPSLOC)	722,000	721,977
Total (CFCBLDFD)	8,310,696	8,310,696
Public Safety Building FF&E		
7410A Public Safety Building	5,523,908	5,523,908
Total (1GAGFACP)	5,523,908	5,523,908
Combined Total (ESER+Fire Facility Funds+7410A FF&E)	426,134,604	345,969,604

**Earthquake Safety and
Emergency Response Bond Program**

**Accountability Report
April 16, 2014**

Table B – Funded Components of the Fifth Bond Sale, shown below, provides the breakdown of previous bond sales and shows how the fifth bond sale will be allocated.

Table A – Funded Components of the Fifth Bond Sale

	General Obligation Bond Sales				
	Budget	Current Appropriation	Fifth 10E	New Appropriation	Future Bond Sale
ESER 2010					
Public Safety Building (PSB)	239,000,000	227,217,258	11,782,742	239,000,000	0
Neighborhood Fire Stations (NFS)	64,000,000	30,530,112	11,600,000	42,130,112	21,869,888
Auxiliary Water Supply System (AWSS)	102,400,000	71,396,776	31,003,224	102,400,000	0
Project Fund Subtotal	405,400,000	329,144,146	54,385,966	383,530,112	21,869,888
Controller's Audit Fund (two tenths of 1%)	827,058	659,356	108,771	768,127	58,931
General Obligation Bond Oversight Committee (one tenth of 1%)	413,529	332,135	55,470	387,605	25,924
Cost of Issuance (COI), Underwriters Discount	5,659,413	1,999,362	919,793	2,919,155	2,740,258
Accountability and COI Subtotal	6,900,000	2,990,854	1,084,034	4,074,888	2,825,112
Total ESER1	412,300,000	332,135,000	55,470,000	387,605,000	24,695,000

For the fifth bond sale, \$11,782,742 of the funds are for the PSB; \$11,600,000 of the funds are for the NFS; \$31,003,224 of the funds are for the AWSS; and an estimated \$1,084,034 will be allocated for the cost of issuance and accountability expenses.

The combined request for PSB and NFS of \$23,382,742 will provide funding to complete construction for the PSB and the NFS focused scope projects; the design of Station 5 and the construction of Station 16. DPW staff completed the historic evaluation of Station 16 in November 2011 and Planning issued the Categorical Exemption on February 6, 2013. DPW awarded a contract for Station 5 from its pool of outside consulting services consultants to Ward and Associates, who started work in May 2013. DPW submitted the Environmental Evaluation to City Planning on July 31, 2013, and the draft HRE to Planning for review on September 24, 2013. Planning provided comments on October 30, and the final HRE was submitted on November 25, 2013. Planning provided comments on January 22, 2014, and further clarified comments on February 7, 2014. The revised HRE's were submitted to Planning on March 12, 2014. Planning determination of the final level of environmental review and type of document to be issued is pending.

The requested \$31,003,224 for the AWSS will fund the planning, design, and construction of pipeline, tunnel, and cistern projects. Environmental review is pending for cistern, pipeline, and tunnel projects.

The project phase, CEQA status, and amount requested for each component are summarized in Table C, shown below.

*Table B – Summary of Scope of Work
Public Safety Building*

Phase	CEQA Status	Current Bond Sale
PSB Construction	Not Applicable. The Public Safety Building, located on Block 8 in the Mission Bay South Redevelopment Area is part of the Mission Bay SFEIR certified on September 17, 1998.	11,782,742

Neighborhood Fire Stations

Phase	CEQA Status	Current Bond Sale
Focused Scope		811,307
Fire Stations 44 & 36 Construction	Categorical Exemption 31 sought	67,841
Fire Station 5 Design Services	Preliminary Project Application, Environmental Application and HRE submitted to City Planning	1,752,430
Fire Station 16 Construction	Categorical Exemption Class 2 received 01/23/13.	7,092,632
Station 35 Fire Boat EIR		230,871
ELC Study/Assessment		489,000
Soft Costs (not included above)		1,155,919
Total		11,600,000

AWSS

Phase	CEQA Status	Current Bond Sale
Planning and design – cisterns, pipeline, tunnels	-	1,823,224
Construction* – cisterns	Categorical Exemption received for 16 new cisterns, Categorical Exemption pending for remaining cisterns	12,600,000
Construction* – pipeline & tunnels	Environmental review pending	16,580,000
Total		31,003,224

*Includes construction management and construction

The proceeds of the five bond sales totaling \$387,605,000 will be sufficient to fund the ESER components through June 30, 2015.

Further detail and the status of each component are discussed in the following report. Previous Accountability Reports are available on the Earthquake Safety and Emergency Response Bond website at <http://www.sfearthquakesafety.org/eser-2010-reports.html>.

PROGRAM SUMMARY AND STATUS

Public Safety Building



Location: Block 8 in the Mission Bay South Redevelopment Area. The block is bounded by Mission Rock, Third, and China Basin Streets.

Project Description: The Public Safety Building (PSB) is meant to provide a new venue for the SFPD Headquarters – effectively the command and control administration of the City's Police Department – including the relocation of Southern District Station and a new Mission Bay Fire Station. Included in the project is the reuse of Fire Station #30, which will serve as a multi-purpose facility for the Fire Department and the community. Historic resource consultants have determined that the existing fire station is eligible for the National Register of Historic Places. Consistent with the Mission Bay SEIR Addendum No. 7, Mitigation Measures, Item D.02, this facility will be retained and reused in a manner that preserves its historic integrity. The other components of the project will be designed to be respectful of the historic integrity of the existing fire station.

Both the Police Headquarters and the Southern District Police station are located at 850 Bryant also known as the Hall of Justice. This facility is over 50 years old and does not meet current seismic codes and requirements. In the event of a major earthquake, this building is not expected to be operational. The PSB will provide a new venue for these two police elements that are part of a larger strategy to replace the Hall of Justice, established in the City's Capital Plan as the *Justice Facilities Improvement Program* (JFIP).

Project Background: The functionality of the entire police department in the event of a major catastrophe relies on the ability of the police leadership within police command center headquarters to promptly and properly coordinate public safety services in the city. The district station plays an equally critical role in providing responsive public safety to residents of San Francisco in a timely manner. This station includes those working the front line that are the first to arrive at a crime scene, maintain the peace during difficult situations, assist in the investigation of criminal activity; provide support to other first responders including the Fire Department, the Medical Examiner and Crime Scene Investigation (CSI).

Project Status:

The curtainwall glazing and architectural walls are complete. CMU (concrete masonry unit block) wall along east façade is expected to be complete in April 2014. Mechanical, electrical, plumbing installation has started at East Tower. Commissioning activities expected to start in late April.

Schedule:




The project is targeted to be complete in November 2014. The CM Team (City staff, construction management consultant, and the CM/GC) is analyzing potential schedule risks caused by an unexpected elevation difference along Mission Rock Street frontage and work to be performed by PG&E along Third Street.

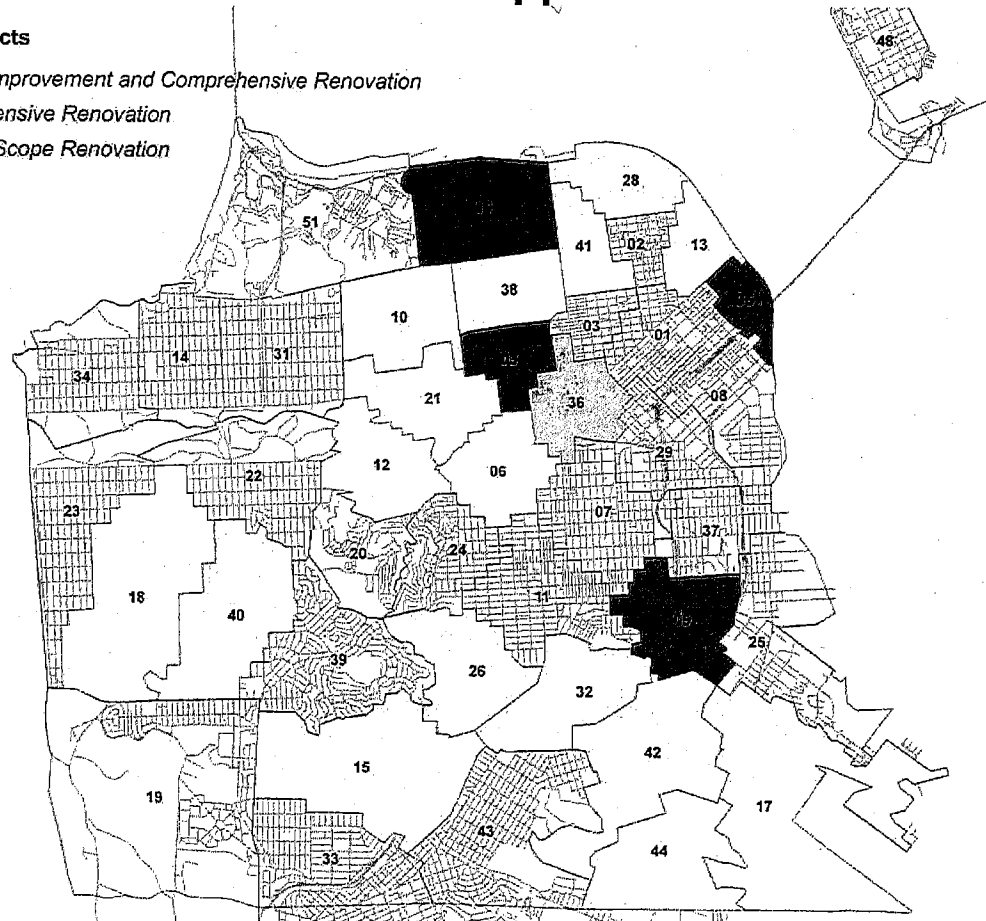
Project Budget: The Public Safety Building total project budget is \$239,000,000. Not included in the \$239,000,000 is \$4,000,000 for bond oversight, accountability and bond cost of issuance. Together, the budget is \$243,000,000 as reported in the Bond Program Report.

Project Cost, inclusive of all change orders to date, is tracking within the Total Project Budget of \$239M.

Neighborhood Fire Stations & Support Facilities

Fire Station Projects

-  *Seismic Improvement and Comprehensive Renovation*
-  *Comprehensive Renovation*
-  *Focused Scope Renovation*



Project Description: The ESER 1 bond will renovate or replace selected fire stations to provide improved safety and a healthy work environment for the firefighters. The selected stations are determined according to their importance for achieving the most effective delivery of fire suppression and emergency medical services possible.

Project Background: Many of the 42 San Francisco Fire Stations have structural, seismic, and other deficiencies. Some may not be operational after a large earthquake or disaster; threatening the ability of the firefighters to respond to an emergency. In addition, there are other fire department resources that support and augment the capacity of the department to provide effective fire suppression capability.

Prior to approval of the bond program, the majority of the City's fire stations and support facilities were assessed for their respective condition and to identify vulnerabilities or deficiencies that could compromise their essential role as deployment venues for first responders.

For planning purposes, the assessment reports were reviewed by cost estimators who prepared estimates of the cost of correcting the conditions noted in the assessments. The cost estimates indicate only the overall "order of magnitude" of the various facility deficiencies and relative proportions of various types of work.

Preliminary assessment of the neighborhood fire stations (NFS) indicate that the sum of all existing deficiencies would require a budget exceeding \$350 million to correct, significantly more funds than are available for such purposes in this bond. Therefore, additional detailed planning is required to focus the expenditures of this bond towards the most beneficial and cost effective immediate rehabilitation and/or improvement projects.

A preliminary list of NFS projects to be completed by the ESER 1 bond was identified by DPW and the Fire Administration, and accepted by the Fire Commission at their meeting of September 23, 2010.

The ESER 1 bond NFS program has identified improvements to 16 of the 42 neighborhood fire stations, and the Fire Boat Station. Preliminary scoping of improvements for the Bureau of Equipment (currently at 2501 25th Street) and the Emergency Medical Services and Arson Task Force at 1415 Evans has yielded the conclusion that these last two facilities are not within the capacity of the current bond program to provide meaningful improvement and must rely on a subsequent bond to address. The Task Force is being relocated to the rehabilitated Fire Station 30 as part of the City's new Public Safety Building.

DPW architectural and engineering staff will typically provide the services for all projects unless otherwise noted.

Project Status:

SFFD evaluated project scope and program budget options prepared by DPW and approved on February 29, 2012 a final slate of Groups I, II and III projects to be completed as part of ESER I. Direction was also provided for the preferred development of the Fire Boat Station and analysis of the Emergency Logistics Center (ELC.) The approved slate of projects was presented to the SF Fire Commission on April 26, 2012. Progress on the slate of projects was presented to the Fire Commission on August 22, 2013.

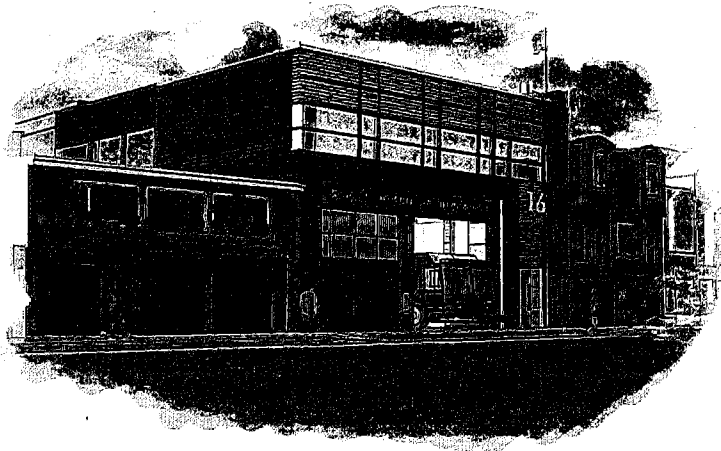
Seismic Projects: Stations #16 and #5

Design services are being provided by DPW BDC/IDC.

Conceptual design began on Fire Stations #5 and #16 replacement projects on April 16, 2012. SFFD review proceeded as scheduled on June 1 (Station #16) and June 6 (Station #5). SFFD requested alternate options at Station #16 and at Station #5. DPW BDC/IDC completed these alternates as requested.

Station #16:

Design services are being provided by DPW BDC/IDC.



Conceptual design of Fire Station #16 was approved by the Fire Chief on September 10, 2012. Schematic Design phase proceeded on schedule October 9, 2012. The geotechnical report prepared by DPW IDC was received on October 25, 2012. The 50% SD submittal was received as scheduled on November 15, 2012 and the 100% SD submittal was received as scheduled on December 28, 2012. The cost estimate was completed as scheduled on January 16, 2013, and the project is within budget. Design Development phase is underway and the 50% deliverable was completed as scheduled on February 21, 2013. The cost estimate was submitted as scheduled on March 8, 2013. The project is slightly over budget, however certain allowances are being held until additional design development occurs on key structural issues. The 100% DD set was submitted as scheduled on April 19, 2013. The progress cost estimate is underway and is due on May 6, 2013. The subsequent cost estimate is 7% over budget, with additional design elements to be incorporated in response to community input and storm water control requirements. These were priced in July for SFFD consideration prior to proceeding into construction documents phase on July 22. SFFD direction is to direct necessary additional funds to the complete the project as programmed. Final number will be confirmed pending final design of façade screen material and confirmation of storm water requirements. The 50% CD set was submitted as scheduled on October 8. Design Review and Cost Estimation were completed on October 31 as scheduled and the project remains within budget. The A/E team issued the 80% progress set on December 9 for final coordination prior to issuing the bid set.

An as-needed civil engineer with storm water expertise was began work on December 20th. 100% CD schedule will be finalized in January, for an anticipated completion by end March. The site permit was filed with DBI on December 20. Geotechnical borings were taken as scheduled February 10 to confirm storm water calculations. Engineers are confirming

design basis using final infiltration test results report, and team will be meeting in early March to confirm stormwater design basis.

Project team provided an informational presentation to Civic Design Review on June 17, 2013 and obtained Phase II approval on August 19. Final Phase III approval was earned on January 13, 2014.

Staff provided a project briefing to leaders of Marina / Cow Hollow neighborhood and commerce groups at a March 29, 2013 meeting hosted by District 2 Supervisor Mark Farrell. Follow up outreach meetings were held as scheduled on May 3 with the immediate neighbors to the station, and on May 16 with the community and merchants groups again hosted by Supervisor Farrell. Additional neighborhood outreach followed on June 12 to achieve best consensus possible and move forward without delay. Follow up meeting with the immediate neighbors was scheduled and held on February 5, 2014. Due to concerns expressed by two of the immediate four neighbors, a follow up meeting was held on February 26. The design team is examining impacts of changes and considerations requested by the neighbors, and a follow up meeting will be held on March 20.

Station #5:

Design services are being provided by DPW BDC/IDC through the concept phase. DPW IDC confirmed that civil, mechanical, plumbing and electrical engineering divisions currently do not have capacity to complete the Station 5 work on the project schedule. Project team is reviewing DPW as-needed engineering firms.

SFFD requested alternate design elements for Conceptual design of Fire Station #5 to accommodate a second truck if necessary. Concepts were presented to the Fire Chief on September 10, 2012. The SFFD approved the two story, two truck development option on November 15, 2012. The Project Review Application was submitted to City Planning as scheduled on December 6, 2012. Design team was mobilized to complete the concept phase on January 28, 2013, focusing on completing the proposed floor plans and drafting the elevations. SFFD approved concept floor plans on February 25, 2013; concept façade development continues with target completion by mid-March. Façade development will continue through June..

Civic Design Review approval for concept phase originally targeted for the May 20, 2013 meeting has been deferred to allow staff to focus on key development and community involvement at Station 16. The Station 5 overall schedule can accept this time without undesired impact. Informational presentation with Civic Design Review members will be scheduled in February 2014.

SFFD is considering project delivery models for Station 5, and in September 2013 SFFD directed DPW to proceed with Station 5 development with CM/GC project delivery. The team will proceed with preparations on Station 5 as a CM/GC delivery model in fourth quarter 2013 for an anticipated February 2014 Schematic Design start. SFFD direction is to engage an outside fire station design peer reviewer prior to the start of Schematic Design to confirm concept basis. Schematic Design start has been extended to May 2014 and qualifications from expert design firms are being solicited via as-needed contracts.

Outreach to elected officials and community group began in January 2014 with a presentation to District 5 Supervisor London Breed on January 13. DPW staff will work with Supervisor Breed's office to schedule meetings with community groups in March.

The team informally presented Station 5 concept facades to Civic Design Review committee on February 10. Additional follow up will occur prior to Informational CDR presentation in May 2014.

Fire Boat Station 35:

Design services are being provided by DPW BDC/IDC.

Slab Replacement Project: The slab replacement work is substantially complete; final testing and close out was not completed as scheduled in March 2013. SF Port requested replacement of existing gas piping (not in original scope.) Contractor performed this work as a change order, and had to repeat gas line tests until they passed. The Port has required a separate permit be filed for the gas line work, which the project team is filing by mid-June. The Port required a few minor additional scope items to close out the permit, and this work was completed in September 2013. Final ADA sign off has been obtained, and Port final sign off was obtained on October 11, 2013. Final close out documents were submitted in November, and the project achieved final completion in December 2013.

Station 35 Replacement Project: A community Open House was held as scheduled on October 3, 2012 for the new project at the existing location. The Project Review Application for the Fire Boat Station #35 replacement projects was submitted to City Planning as scheduled on June 15, 2012. City Planning's response to the Project Review Application for Station #35 was received as scheduled on October 24, 2012. Of the three options included in the application, Planning preferred Option 1-A, and provided comments for implementation in the following design phase. No further activity for continued development of the project at this site has occurred due to the prospective re-location to the Warriors' Arena project at Piers 30/32. SFFD direction to the DPW has been to await publication of the Warriors development EIR (2014 publication date unknown) before deciding upon any next steps to retain the Pier 22-1/2 site as a viable backup option.

Equipment Logistics Center (ELC):

The ELC project was identified after the passage of the bond – it was suggested as a project combining the Bureau of Equipment and Emergency Medical Services. In this configuration, the sum total of functional program area makes it infeasible for it to occur at the EMS location at 1415 Evans; more significantly, the budget for such a project is not available within the NFS funding.

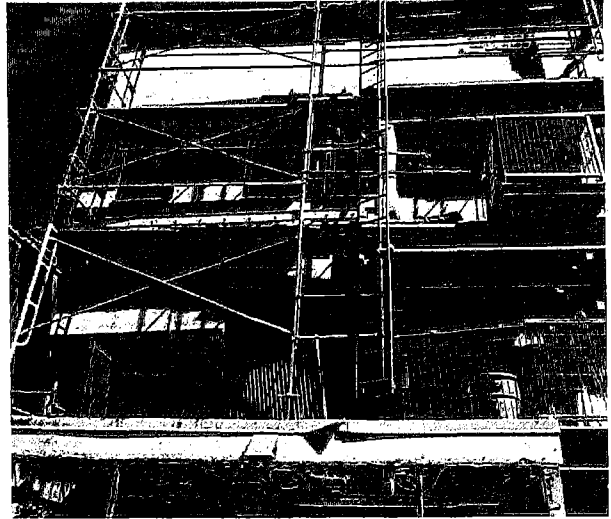
In lieu of this project, the SFFD requested that a smaller facility, dedicated to the storage of essential material, be considered at the lot behind Station 9. The conceptual program and cost estimate was completed in February 2012, and is pending a decision as to whether to dedicate bond funds to accomplish this project. SFFD has directed construction of a shed behind Station 9, to be developed in second half 2014 after Station 16 bid results are obtained and reliable balance of usable reserve is identified.

Comprehensive Project: Station #36

Station 44

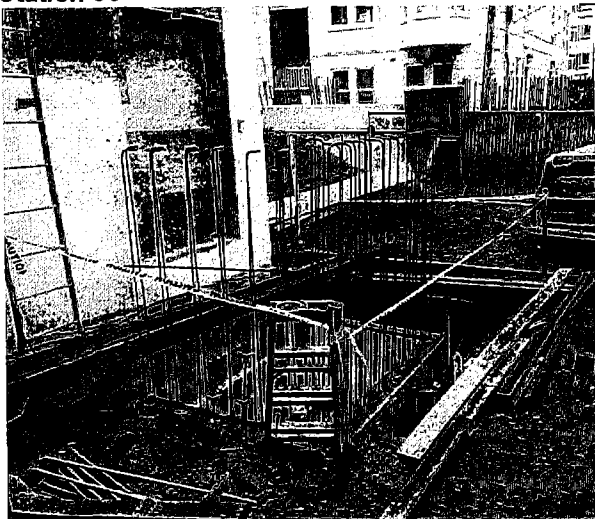


Finish Roof Coating

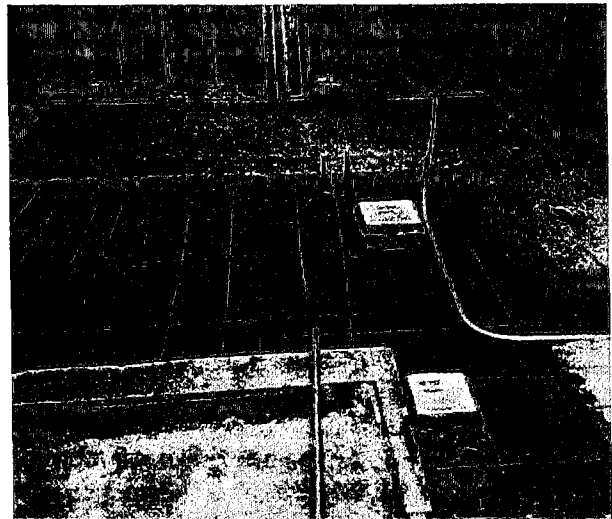


Back wall facing courtyard

Station 36



Elevator Pit



Slab Infill at kitchen

Design services are being provided by Paulett Taggart Architects through DPW-BDC's as-needed consultant contracting program.

Schematic Design phase on Fire Station #36 comprehensive renovation was completed on schedule on July 16, 2012. SFFD reviewed and accepted the documents, providing minor comments. Cost estimation has been completed and the project is currently within budget. The 50% Design Development deliverable for Fire Station #36 comprehensive renovation was received on September 28, 2012. Project was presented to Civic Design Review Committee for combined Phase I/II approval on September 17, 2012 as scheduled. The Committee lost

quorum before our presentation; the item was heard and no major comments were received from the 2 out of 3 members who heard the presentation. Approval was received as scheduled for the October 15, 2012 meeting. Civic Design Review Phase III approval was sought and received at the April 15, 2013 meeting.

100% Design Development deliverable was received as scheduled on November 30, 2012, with cost estimate received as scheduled on December 14, 2012. The project is within budget. The 50% Construction Documents design deliverable was submitted as scheduled on February 15, 2013. The 50% cost estimate was delivered on schedule on March 4, and the project continues within budget. The 100% CD deliverable was submitted as scheduled on April 3, 2013. The project was bid as scheduled. Three bids were received on May 20; all three bids exceeded the budget. The lowest two of three bids were within less than 1% of each other, indicating that the bid documents clearly conveyed the scope. The construction bid climate continues to heat up, and fewer bids were received than anticipated. To help mitigate these factors when the project is rebid in July, the project team will: 1) revise certain scope items to bring the overall cost down; 2) increase marketing and outreach efforts to local contractors. In addition, fewer projects are bidding in July, increasing the probability of General Contractor interest in the Station 36 project. Increasing the number of bidders and hence competition should improve bid results. The project was packaged together with Station 44 and advertised in July 2013. Bid opening occurred as scheduled on August 7. Five (5) bids were received. After bids were analyzed and bid protest was resolved, award is in progress to the apparent low bidder, Roebuck Construction, who was the second lowest bidder from initial bid results. Notice of Award was issued on September 25 and Notice to Proceed was issued on October 24.

Pre-construction phase was successfully completed at Station 36 on November 27. Hazmat abatement and demolition work began in December. Construction activities continue per the baseline schedule. Highlights in January 2014 include excavation of the new elevator pit. Station 44 pre-construction phase was successfully completed on December 23rd. Hazmat abatement and roofing work began in December. Construction activities continue per the baseline schedule. Substantial completion is scheduled for April 2014.

The Station 36 design is proceeding on track to meet LEED Gold for Commercial Interiors standards as approved by the Green Building Coordinator, San Francisco Department of the Environment. Procurement for outside consulting services for required LEED design review and commissioning is complete. Work began in March 2013 and will be ongoing through construction. These services include reviews of commissioning plans and start up testing of equipment. Commissioning kick off meeting with contractors and consultants was held on December 16, 2013.

Focused Scope Projects:

Design services are being provided by DPW BDC/IDC, and as-needed engineer GHD on the Emergency Generators.

Roof Replacement – 15 Stations

Summary:

The scope consists of installing new roofing systems and upgrading exhaust fans on fifteen (15) stations. 2011 Package (Stations 6, 38, 41 and 42), Package 1 (Station 28); Package 2 – JOC (Station 2); Package 3 (Stations 18, 40 and 31), Package 4 (Stations 15, 17, 26, and 32), and

Package 5 (Stations 10 and 13) roofs are complete. On Station 2 roof, the City is anticipating closing this project out by end of March 2014.

Detail:

Package 2 (Station 2) Azul Works (JOC contractor) began work at Station #2 roof replacement as scheduled on January 7, 2013. The roofing system was completed on March 8, 2013, as scheduled. The Contractor filed an extension time request to complete mechanical scope items by April 18, 2013. The work was completed by this date, however, due to the non-conforming work which consisted of the inadequate coating on the Air Handling (AH) unit, the Contractor was asked to remove and replace the entire (AH) unit at no cost to the City. This process took longer than anticipated. The City followed up with a letter reminding the JOC Contractor that the non conforming work must be corrected or the City may assess liquidated damages if work was not corrected before August 30. The Contractor removed the AH unit in question and painted it in one of Trane facilities with the proper coating. The Contractor installed the AH unit with proper coating and completed all remaining work as of August 30. The punch walk was performed soon after. The Close out documents were approved on October 28. In order to formally close this project, the City is in the process of negotiating the only outstanding change order - anticipate finalizing this change order by end of March.

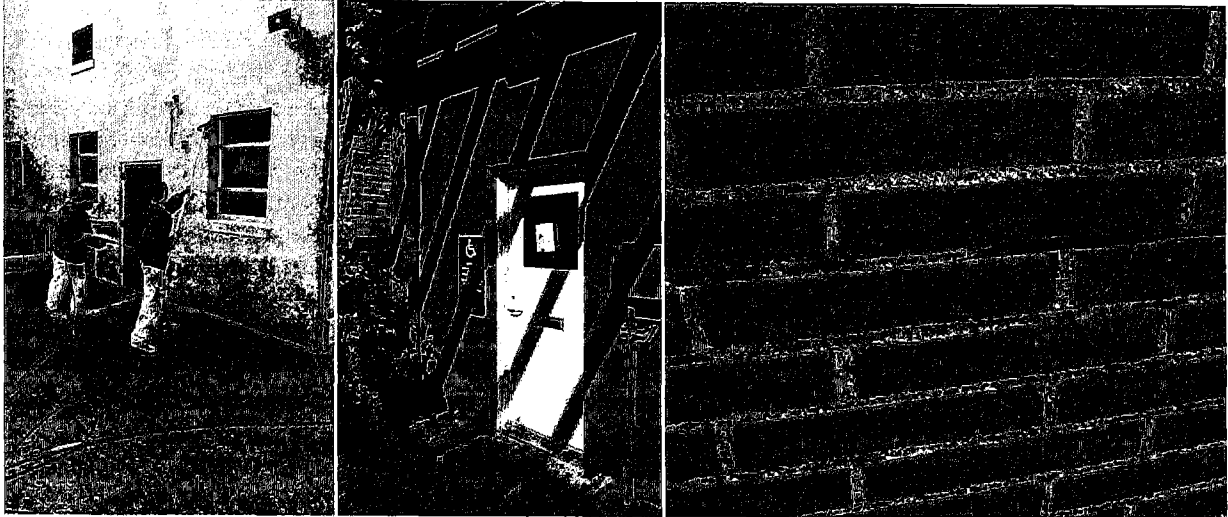
Package 3 (Stations 18, 40 and 31) achieved substantial completion on February 11, 2013. Contractor submitted all required closeout documents except for the As-Built drawings. Final Completion will not be issued until Western Roofing's corrective action plan for local hiring deficiencies is approved by City Build. Western Roofing was given direction to complete this task by end of July. Western complied with local hiring requirements as of mid September. The City has formally closed this project out as of November 27, 2013.

Package 4 (Stations 15, 17, 26 and 32) achieved final completion in April, 2013.

Package 5 (Stations 10 and 13) – was considered by the contractor as substantially complete as of July 15. However, the City did not accept some of the installed work, namely the appearance of Kemper waterproofing product. As a result, the City agreed to extend the substantial completion date to August 28 allowing Western to correct the work. Western Roofing procured the roofing material and re-installed it for the third time. The work at Station 10 was completed except for the non conforming (soldering gutter work needed to be corrected). As of November 22, all non-conforming work at both stations was complete. The contractor submitted close out documents on December 9. This package was formally closed out as of December 20, 2013.

Exterior Envelope – 16 Stations

7436A Exterior Envelope – Package 5



Station 10 Mock-Up

Station 10 Grout Repair

Summary:

Per SFFD direction, BBR is to execute paint work in (6) stations – FS 6, 28, 38, 41, 42, and 49 while the remaining packages (packages 4, 5 and 6) will be bid out through DPW public bidding process to a B or C33 license contractors due to the complexity of scope at these stations.

Detail:

Packages 1 (Station #38) and 2 (Station #42) each for one fire station were bid to Micro LBE contractors as set-aside contracts. Despite diligent outreach to Micro LBE contractors, only one bid was received on September 26, 2012 and it far exceeded the budget. DPW reached out to BBR to paint these stations instead of bidding them out again. SFFD approved DPW BBR pricing of the work at these two stations for in-house execution. NTP was issued as scheduled on November 21, 2012 for Station 38. The preconstruction phase was complete and site work began as scheduled at Station 38 in January 2013. The work was completed on March 18. SFFD approved Package 3 for two fire stations (Stations 28 and 41) pricing by DPW - BBR for in-house execution. In addition, at SFFD direction, Station 49 exterior paint was added to the project list, and approved DPW-BBR pricing of this work for in-house execution as well. NTP was issued as scheduled on Station 49 and the work was scheduled to proceed from March 25 – May 3, 2013. The work was completed as scheduled.

In early June and before start of work at FS6, BBR discovered asbestos and lead content in the existing paint. As a result, BBR proposed the use of an encapsulated primer instead of the specified Tnemec primer to retain the hazmat material, then apply the (2) Tnemec coats. The Tnemec representative was not willing to approve BBR proposal unless a pull and adhesion test was performed. The initial pull test failed at the substrate level. This resulted in BBR not being able to start the work as scheduled. After further communication with the Tnemec Rep., an “adhesion test” was requested instead of the pull test. The adhesion test with the encapsulated

primer and (2) Tnemec coats passed on July 24 allowing BBR to start paint with the proposed system. The actual work started on August 12. BBR completed the work on September 30; the team performed the final punch walk with only minor items to be corrected.

On October 16, BBR started the paint work at FS 42; this work is scheduled to be completed within 6 weeks with an anticipated completion date of December 14. Although the paint scope was completed on time, many of the ancillary scope items such as bird deterrent, are still pending as of February.

Final stations for BBR to complete are 28 then 41 which are scheduled to start on April. Packages 4, 5, and 6 for 3 stations, 4 stations, and 3 stations respectively was successfully bid to B or C33 license contractors. The paint bid packages will follow completion of the roof bid packages.

Package 4 (Stations 15, 32 and 40) was advertised in early December, and bids were received as scheduled on January 9, 2013. Despite diligent outreach to the bidding community and interest exhibited at the Pre Bid Conference, only one bid was received. This bid was within budget and the project was awarded to OnPoint Construction. NTP was issued as anticipated in May 2013 and OnPoint Construction work began on June 24th at Station 40, and moved to Station 32 immediately after completion of Station 40. The work was 95% complete on both stations by August 30. It was agreed and documented that the Contractor will not start work on the third station (Station 15) until SFMTA completes installation of the new windows per SFMTA – SFFD agreement on the Phelan Loop (non-ESER) project. Although the new windows are not in place yet at FS15, DPW/ FD gave authorization to Contractor to start paint work; all parties are in agreement that the paint Contractor is to go back to the site after window installation is complete and patch paint around the windows at no cost to the City. OnPoint completed the work at FS15 at the exception of areas around the windows. SFMTA reported window delivery was anticipated on October 12, and installation work will take six weeks. OnPoint is to go back to site for paint touch ups as required. As of end of November, SFMTA reported that the windows revised delivery schedule was mid-December. SFMTA seems non responsive to DPW / SFFD request to complete the windows installation as expeditiously as possible. In January, PM team learned that SFMTA's window installer is not responding to SFMTA demands to complete the installation and may be substituted with another installer. SFTMA resolved the issues they were having with the window installer and started the work on mid-February.

DPW BDC team completed design of Package 5 (Stations 10, 13, 17, 26) the third week of July. SFFD, PM and CM provided constructability review comments soon after. The package was advertised for bid with a bid due date of September 12. 5 bids were received on this date. CF Contracting was the apparent low bidder with a bid amount close to the budget. PM team determined that the CF contracting qualifications were acceptable and recommended award of this contract to CF Contracting. No protests from other bidders were received. The letter of award was sent to the Contractor on October 28. The City released the NTP on January 20. A pre-construction meeting was held on January 24. The contractor started the work as scheduled on February 20 on Stations 10 and 17 simultaneously then will move to 13 and 26. Completion of the work is scheduled for May 19.

DPW BDC team completed design of package 6 (Stations 2, 18, 31) on September 19. The CM Team performed a constructability review soon after and requested that a peer review on Station 31 storefront retrofit be performed by a window specialist. Design team members were

notified of the peer review comments. The package was advertised as scheduled on October 2 with bids due on October 30. A pre-bid walk was performed on October 16. An addendum was issued with a new bid date of November 6, giving more time to the design team to prepare and respond to questions on bid documents. 4 bids were received with Roebuck as the apparent low bidder. On November 13, Evra Construction filed a protest against Roebuck's bid. The Protest lacked merit and was rejected by the City. The City awarded the contract to Roebuck Construction, and the NTP was issued on February 3; contractor walked the site on February 21, the contractor is scheduled to be on site by March 5. Completion of the work is scheduled for July 2.

Emergency Generator Replacement – 5 Stations

7437A Emergency Generator



Summary:

Stations 6, 15, 12, 17 and 21 are scheduled to receive new Emergency Generators (EGs). Station 6 is complete; Stations 15 and 17 are under construction; and Stations 12 and 21 bids are under review.

Detail:

On FS 6 Emergency generator, the design is moving forward with DPW's as-needed electrical engineer as a prototype for design-bid-build project delivery. The 100% design was received as scheduled on November 26, 2012 and the project was bid as scheduled on December 7, 2012, targeted to Micro LBE bidders. 2 bids were received on January 9, 2013. The project was awarded to Becker Technical Services, Inc. with a NTP date of March 25. Pending submission of the contractor's schedule, work is anticipated to be complete in June. Station 6 emergency generator project achieved substantial completion on June 4, ahead of schedule. The contractor submitted all closeout documents to the design team for review and final approval on June 4. Final close out of this package was contingent on the senior DBI inspector signing off on the job card. By the end of October, the contractor secured the sign off on the job card and the project achieved final completion.

The existing emergency generator at Station 15 experienced mechanical malfunction. SFFD directed the Station 6 team to replace this unit as soon as feasible. Design was submitted as scheduled on February 1, 2013. Due to the size of the generator 80kW necessary to backup specialized equipment at this station such as the Bauer unit, this project will require a BAAQMD permit. Project team secured the BAAQMD permit in June 17. BBR started the preconstruction phase in late May and all submittals were approved. BBR was supposed to start construction in late June, but was unable to secure permit in time due to multiple comments from DBI - Fire and Structural plan checkers. BBR secured the permit and started actual work in late July. As of September 30, BBR installed the concrete pad, but was not able to install the electrical conduits and mechanical exhaust duct due to the presence of Asbestos on the stucco wall supporting these conduits. BBR was unable to self perform the drilling of the 30 holes due to the presence of hazardous materials requiring the involvement of HazMat abatement contractor through SAR. This delayed the project by about 6 weeks. The HazMat abatement contractor completed the drilling on mid-November; BBR completed installation of the electrical conduits and the concrete slab. The 80 kW generator was installed on December 2. BBR is to correct the non compliant work by end of March.

DPW IDC began design work on November 2, 2012 at Station 17 as a DPW in-house IDC design-BBR build prototype. The 50% design set was submitted as scheduled on December 5, 2012. Design evaluation of the three options continued in January 2013 with PG&E's involvement, and a final option was approved by SFFD. DPW IDC completed design documents on February 6, 2013 and SFFD approved DPW BBR to price the approved scope on February 25, 2013. Pricing proceeded in March 2013 and BBR provided a proposal in May; EG unit was bid and awarded to Generac. SFFD requested that GHD (the as-needed consultant) to perform a peer review on IDC design. Start of construction was delayed until the peer review was complete. GHD provided the peer review memo on August 29 and suggested to move the main switch board and Automatic Transfer Switch (ATS) location inside the boiler room. It was determined that this will not be possible as SFFD had initially hoped due to PG&E rejection of the exposed conduits fitting which were the results of moving the equipment outside the building. IDC design was not impacted by the peer review and moved forward as was initially designed.

Because BBR is still working on FS15 generator and cannot accommodate working on two generators at the same time, SFFD directed the PM team to bid FS17 EG out to a JOC contractor given the urgency and recent failure of the existing emergency generator at this station. As of October 28, PM Team with assistance from JOC manager selected JOC contractor, Nicole's Work, to provide construction services for this station. The City accepted the fee proposal submitted by Nicole's Work on December 20 after multiple revisions; the permit was successfully filed with DBI on December 23, 2013. The City issued the NTP to Nicole's Work on January 13, 2014. The contractor mobilized to the site on February 13 as was scheduled; the work is underway and is scheduled to be complete by May 12.

On Stations 12 and 21, PM requested design fee proposals from both DPW/IDC Team and DPW's as-needed-consultant, GHD, on May 2013. DPW PM received proposals in June from both parties. Team reviewed the IDC and GHD design proposals side by side for comparison and presented the findings to SFFD for review and approval in late September. Because of the schedule constraint, on October 9 SFFD directed the team to move forward with GHD to provide design services for both stations based upon their early design input. GHD started the design on October and completed it in December. Permits for both stations were filed on December 20,

2013, just prior to the new code effective date of January 1, 2014. Both stations were bid under one package; was advertised on January 29 and received 2 bids on February 19. As of to date, bids are under review.

Shower Reconstruction – 9 Stations

Summary:

Stations 6 and 15 have been completed. Stations 17 and 18 were put on hold by SFFD due to scope complexity. Station 44: Work will be performed by Roebuck, the contractor currently working on Station 44 renovation project. Stations 26, 38, 13, 18, 40, 41: were bid out under one package.

Detail:

Shower reconstruction package 1 for Stations 15, 6, and 38 is underway. Per SFFD direction, Station 15 must be successfully completed as a prototype mock-up project prior to commencing work at Station 6 and subsequently Station 38, both to be executed by DPW BBR.

Construction began as scheduled at Station 15 on April 18, 2012. Contractor changed certain installation details without prior approval, and corrective work will necessitate additional fabricated materials. In mid September 2012, the manufacturer arrived at the site from out of state to resolve final details with the Architect of record and the Fire Department. Material order for these corrective details was placed, and the work proceeded in the field from March 25 – 29, 2013. The contractor did not installed doors and threshold as per contract, the City requested that the work to be corrected no later than May 10, 2013. The work at this station was complete as of May.

On FS 6 showers, SFFD provided authorization to move ahead with the project. After the bidding process of Station 6 material through the City Purchasing Department, BBR received only one bid from DLD Lumber/ Grifforn "the fabricator of the panels and shower pans using Corian material". The material was confirmed to be an equal to Transolid, the specified material. DPW, with approval from SFFD, awarded the contract to DLD Lumber/ Grifforn. On April 25, 2013, project team secured a second variance from DBI Plumbing Division to use the Corian shower pan. Moving forward, DBI made it very that no additional variances will be permitted and all proposed shower pans must be certified by a listing agency. The work started on May 23 on (4) of the (6) stalls. As of July 17, BBR completed the (4) stalls and started work on the remaining (2) officer's stalls. Contract time was extended to August 22 due to BBR taking time to complete the installation as was required. On August 30, the showers stalls were complete and ready to be used by SFFD. On September 30, BBR closed out portion of the ceiling affected by the renovation project at the apparatus bay. This project was considered complete on September 30.

As of October 2 meeting with SFFD, the PM Team was directed to proceed with group 2 showers consisting of Stations 38 and 26. The plan was for BBR to work on these two stations while Station 44 showers will be issued as a change order to Roebuck (the contractor working on FS44 renovation project), and Group 3 consisting of (4) Stations (13, 18, 40 and 41) will be bid out either through JOC or through the public bidding process. The design team secured permits for the remaining balance of showers on October 28. BBR started the estimating effort on FS26 and 38 showers in early October. PM team received BBR estimates (labor only) on November 12 which were reviewed and approved on November 20. BBR bid the material through the City Purchasing Department and received only one bid from DLD Lumber on

December 30, 2013. The cost of the substituted material came in very high and did not meet the performance criteria of the specified material. On January 6, 2014, and due to the high material cost, SFFD directed project team to add BBR stations to the other 4 Stations (18, 41, 13 and 40) already planned for bid and bid all (6) stations out together. The City advertised this package on January 30, 2014, received (6) bids on February 19 and are under review as of to date.

Mechanical Scope (JOC) – 15 Stations

Summary:

The scope is structured into two phases: Phase 1 – Investigation and Scope Validation, and Phase 2 – Execution of Work. The stations were divided into two groups, Group 1 – (4) stations and Group 2 – (11) stations. Group 1 (Stations 6, 17, 38 and 42) was substantially completed by Azul (JOC Contractor) in August 2013. Group 2 (Stations 2, 10, 13, 15, 18, 26, 28, 31, 32, 40, 41) were awarded to Rodan (JOC Contractor.) Work started on January 2; Rodan completed 9 of the 11 stations and is scheduled to complete the remaining ones by mid-March 2014.

Detail:

The scope consists of mechanical upgrades in multiple stations. Because of the nature of this scope, the work is scheduled to be performed in two phases, phase 1 – Investigation and scope validation, and phase 2 – Execution. Project team with SFFD approval selected (4) stations as pilot projects to perform this delivery method. Azul/ Wolves Mech., the JOC contractor, was selected to perform this work on April 24 2013. The work on Stations 6 and 42 has been completed as scheduled and started work on FS 38 and 17 thereafter. This first group was substantially complete on August 30.

On August 30, SFFD provided authorization to the Team to move forward with Group (2) - total of 11 stations; a JOC Contractor "Rodan" was selected to work on this group. Rodan completed phase 1 - the investigation and scope validation; and turned in the initial findings with fee proposal the first week of October. The PM team reviewed the fee proposal several times and approved the final revisions on November 20. The City issued the NTP to Rodan on December 16, 2013 with a completion date of March 15, 2014. The work started on January 2; Rodan completed 9 of the 11 stations and is scheduled to complete the remaining ones by March 15.

Window Repair (BBR) - 12 stations

Summary:

BBR was selected to perform this work. BBR completed work at (10) stations as of December 2013. The remaining work at Stations 31 and 25 is scheduled for February and March respectively.

Detail:

The scope consists of window repair at various stations. BBR was selected to be the sole service provider for this type of work. As of September 2013, BBR completed work at 8 stations. On September 30, SFFD directed the team to proceed with Stations 2, 26 and 31 windows. Station 10 remained on hold and added Station 25 to the ESER projects. On August, BBR reported that they did not have enough staff to execute the work simultaneously on these stations due to numerous emergency projects around the City, and they will not be able to

schedule the SFFD work until the emergency work is complete. On November 6, BBR resumed the work on Station 26 and completed it on November 20. On December 16, BBR started the work at Station 2 and was complete two weeks later. On January 6, the PM and SFFD reviewed Station 31 proposal and decided that the window replacement work should be part of package 6 exterior envelope due the complexity of this scope. They also reviewed FS25 proposal and directed BBR to revise and re-submit it based on the revised scope of work.

On Stations 31, window work was on hold pending receipt of special order materials (delivery was scheduled for February 26), BBR is to complete the work by early March. PM received Station 25 revised proposal from BBR on February 3. PM/ SFFD reviewed and approved it on February. Order of material will take about 4-6 weeks. Work to be complete 4 weeks after receipt of the material.

Historic Evaluation

Historic evaluation site visits were completed at 21 stations (14 Focused Scope / Alternate stations; 6 Seismic / Comprehensive stations; and the Fire Boat station.) On August 31, 2011 City Planning issued a memorandum outlining requirements of a Historic Resource Evaluation (HRE) of the 5 stations identified as potential historic resources: Stations #5, #31, #32, #36, and #44. The HRE report will assess potential impacts to both historic resources listed above, as well as to five stations identified as contributors to a potential 1952 Fire Bond Act Thematic Historic District, Stations #10, #15, #17, #38, and #41. In May 2013, City Planning agreed to review individual reports for the potential historic resources stations, and a combined report for the five potential district stations.

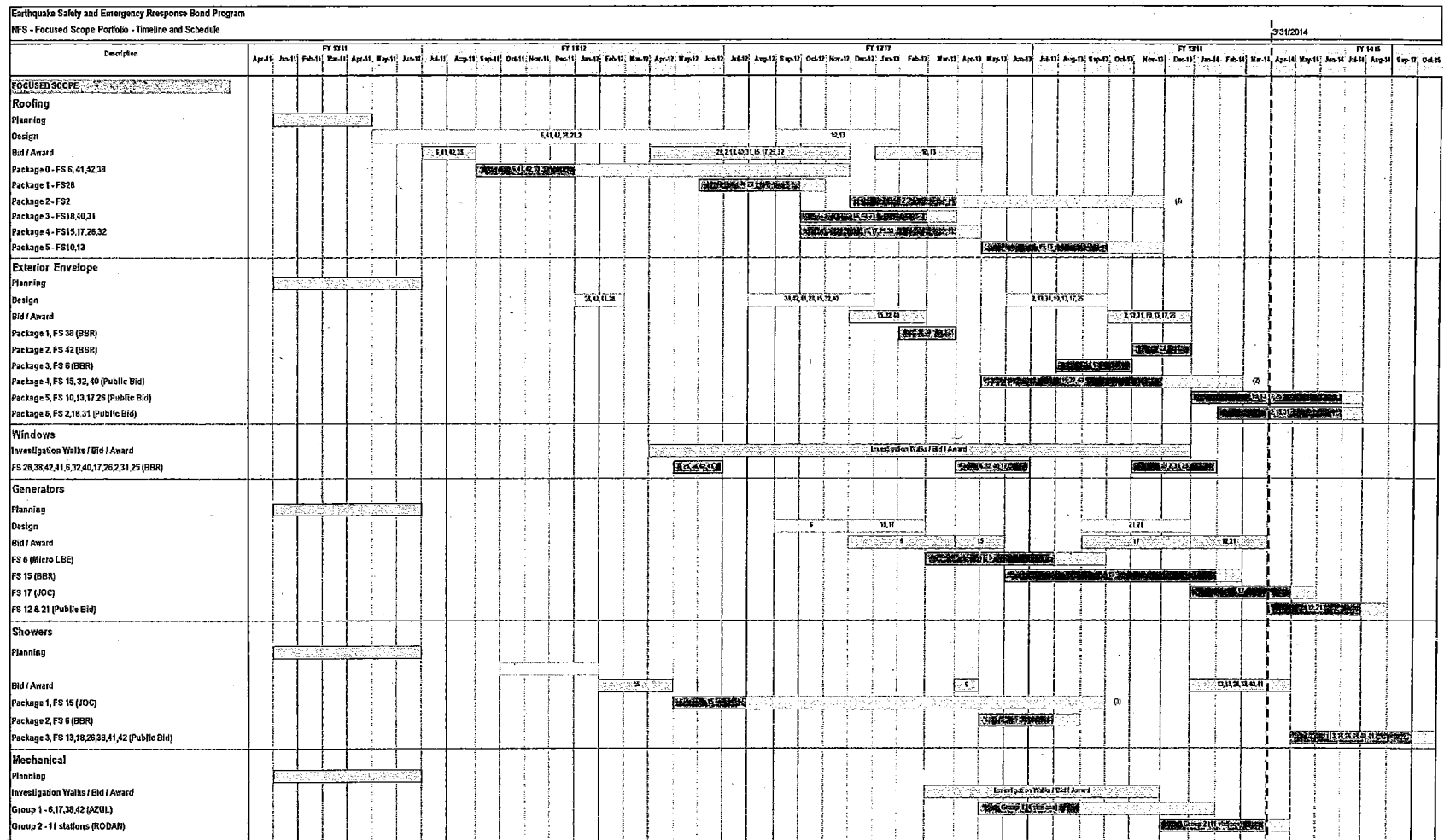
DPW staff completed the Historic evaluation of Station 16 in November 2011 and City Planning issued the Categorical Exemption on February 6, 2013. DPW staff completed EE and General Plan Referral applications for Station 36 and submitted to City Planning on February 28, 2013. The General Plan Referral was received from City Planning in May 2013. Contracting for outside consulting services for Station 5 was awarded to Ward and Associates, DPW's on-call consultant and their efforts began in May 2013. DPW submitted the Environmental Evaluation to City Planning on July 31, 2013. Planning response is pending. DPW staff completed EE and General Plan Referral for Station 44 and submitted to City Planning on May 7, 2013. City Planning issued the Categorical Exemption for Station 44 on August 1, 2013.

Project Schedule:

SFFD evaluated project scope and program budget options prepared by DPW. On February 29, 2012, SFFD approved a final slate of Groups I, II and III projects to be completed as part of ESER 1. Next step will be development of the baseline project schedule, which was published in the December report. For a copy of the Project Schedule, refer to following page.

Earthquake Safety and Emergency Response Bond Program

Accountability Report
April 16, 2014



LEGEND

Planning
Design
Bid / Award
Construction
Closed Out

- (1) Substantial Completion was reached, however, the City rejected the non-conforming work during punch list walk
- (2) The Contractor completed the work on FS 32 and 40; FS 15 completion is contingent on SFMTA completing the installation of the windows
- (3) The Contractor completed the work as was scheduled, however, the work was rejected due to deficiencies in the installation

Earthquake Safety and Emergency Response Bond Program

Accountability Report
April 16, 2014

Earthquake Safety and Emergency Response Bond Program NFS - Seismic and Comprehensive - Timeline and Schedule																													
Description	FY 10/11			FY 11/12			FY 12/13			FY 13/14			FY 14/15			FY 15/16			FY 16/17			FY 17							
	2nd Qtr. 12/31/11	3rd Qtr. 3/31/11	4th Qtr. 6/30/11	1st Qtr. 9/30/11	2nd Qtr. 12/31/11	3rd Qtr. 3/31/12	4th Qtr. 6/30/12	1st Qtr. 9/30/12	2nd Qtr. 12/31/12	3rd Qtr. 3/31/13	4th Qtr. 6/30/13	1st Qtr. 9/30/13	2nd Qtr. 12/31/13	3rd Qtr. 3/31/14	4th Qtr. 6/30/14	1st Qtr. 9/30/14	2nd Qtr. 12/31/14	3rd Qtr. 3/31/15	4th Qtr. 6/30/15	1st Qtr. 9/30/15	2nd Qtr. 12/31/15	3rd Qtr. 3/31/16	4th Qtr. 6/30/16	1st Qtr. 9/30/16	2nd Qtr. 12/31/16	3rd Qtr. 3/31/17	4th Qtr. 6/30/17	1st Qtr. 9/30/17	2nd Qtr. 12/31/17
SEISMIC																													
Station 16																													
Planning																													
Design																													
Bid / Award																													
Construction / Close-Out																													
Station 5																													
Planning																													
Design																													
Bid / Award																													
Construction / Close-Out																													
Comprehensive																													
Station 36 & 44																													
Planning																													
Design																													
Bid / Award																													
Construction / Close-Out																													

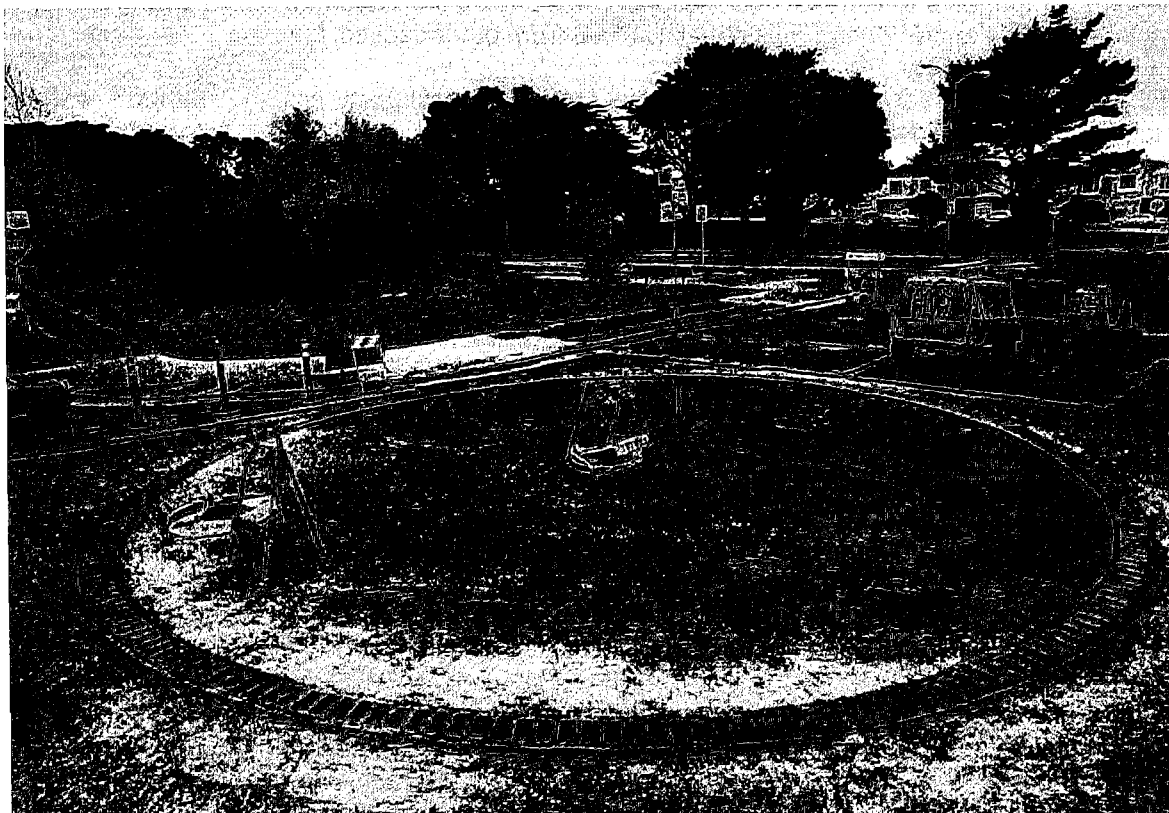
LEGEND

Planning
Design
Bid / Award
Construction
Close-Out

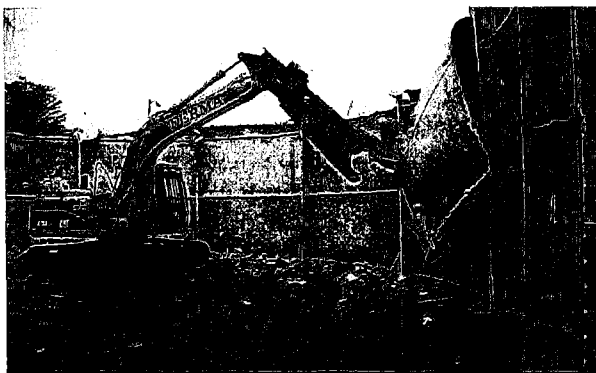
(1) Bid / Award phase includes pre-qualification of Contractors

Project Budget: The budget for the Neighborhood Fire Stations is \$64,000,000. Not included in the \$64,000,000 is \$1,100,000 for bond oversight, accountability and bond cost of issuance. Together, the budget is \$65,100,000 as reported in the Bond Program Report.

AUXILIARY WATER SUPPLY SYSTEM (AWSS)



New cistern and Brick Ring, 36th & Wawona, March 2014



Ashbury Heights Tank Removal, March 2014



Ashbury Heights Tank Removal, March 2014

Project Description: The Earthquake Safety and Emergency Response Bond will improve and seismically upgrade the AWSS physical plant, pipelines, tunnels, and cisterns.

Background: The AWSS delivers high-pressure water and provides cistern water storage for fire suppression in the City. This program is intended to repair, replace, and extend system components to increase the likelihood of providing fire-fighting water following a major earthquake and during multiple-alarm fires from other causes.

Project Status: A description of current work for the physical plant, pipelines, tunnels, and cisterns projects follows.

Planning Study

Consultant AECOM/AGS Joint Venture delivered the final documents for the AWSS Planning Support Services (contract CS-199) planning study. A summary of the study's findings is available at

<http://sfwater.org/Modules/ShowDocument.aspx?documentid=4907>

and the study's project report is available at

<http://sfwater.org/Modules/ShowDocument.aspx?documentid=5055>.

Physical Plant

Construction is occurring under contract WD-2685 for Ashbury Tank, Jones Street Tank, and Twin Peaks Reservoir. Contract construction completion is scheduled by fall 2015.

Pumping Station 1 bid advertisement (contract WD-2686) is expected in April 2014. Construction is scheduled to start by fall 2014.

Design work continued for Pumping Station 2 (contract WD-2687), with design completion scheduled by summer 2014.

Cisterns

Construction started for Cisterns A (contract WD-2695, 6 cisterns) with scheduled construction completion by fall 2014.

Construction started for Cisterns B (contract WD-2696, 5 cisterns) with scheduled construction completion by spring 2015.

Construction started for Cisterns C (contract WD-2697, 5 cisterns) with scheduled construction completion by summer 2015.

Design work continued for an additional 18 new cistern candidate locations. Not all candidate locations are expected to be constructed with ESER 2010 bond funds. The actual number of cisterns to be constructed is dependent on available funds, construction market conditions, site conditions, and related factors.

Pipeline and Tunnels

Work is proceeding on projects identified in the AECOM/AGS JV planning study as shown in the following table.

4 th Street connection	Conceptual Engineering Report reviewed
Infirm-area valve motorization	
Fireboat manifolds	Planning continued
Suction connections	
Clarendon supply	
Control system	
Jones Street Tank valve motorization	
Pipeline investigation and remediation	
Pumping Station 1 tunnel	

Project Schedule:

Description	Scheduled
Complete design for Pumping Station 2 (WD-2687)	Summer 2014
Complete design for Cisterns Contract D (WD-2745, 5 cisterns)	Summer 2014
Complete design for Cisterns Contract E (WD-2746, 5 cisterns)	Fall 2014
Construction completion for Cisterns Contract A (WD-2695)	Fall 2014
Complete design for Cisterns Contract F (WD-2747, 5 cisterns)	Winter 2015
Construction completion for Cisterns Contract B (WD-2696)	Spring 2015
Construction completion for Cisterns Contract C (WD-2697)	Summer 2015
Construction completion for Twin Peaks Reservoir, Ashbury Tank, and Jones Street Tank Contract (WD-2685)	Fall 2015
Construction completion for Pumping Station 1 (WD-2686)	Spring 2016
Construction completion for Pumping Station 2 (WD-2687)	2018
Pipeline & Tunnel projects	To be determined

The final AWSS project is scheduled to be completed in 2019.

Project Budget: The budget for the AWSS is \$102,400,000. Not included in the \$102,400,000 is \$1,800,000 for bond oversight, accountability and bond issuance costs. Together, the budget is \$104,200,000 as reported in the Bond Program Report.

BUDGET, FUNDING & EXPENDITURES

Budget and Funding

The financial information included in this report is through February 2014 to coincide with the GOBOC presentation on March 28, 2014. The budget for the ESER Bond Program is \$412,300,000. There are three additional funding sources managed under this program. (1) As part of the Annual Appropriation Ordinance FY 12/13 (AAO 164-12), the Fire Department received authorization to appropriate \$8,272,000 to supplement Station No. 35 Fire Boat, a project under Neighborhood Fire Stations and Support Facilities component, and two non-ESER related projects. (2) An additional \$38,696 was allocated to the Neighborhood Fire Stations to fund Station 35 Slab Repair project. (3) The Public Safety Building received \$5,523,908 from the City's general fund to manage and procure the furniture, fixtures and equipment (FF&E) for the Public Safety Building and Station No. 4. FF&E is not a bond eligible expense. The combined budget is \$426,134,604 with an appropriation of \$345,969,604. The following is a summary of the budget and appropriation by component:

	Budget	Current Appropriation
ESER 2010		
Public Safety Building	239,000,000	227,217,258
Neighborhood Fire Stations (NFS)	64,000,000	30,514,765
Auxiliary Water Supply System (AWSS)	102,400,000	71,396,779
Oversight, Accountability & Cost of Issuance	6,900,000	3,006,199
Total (CESER1)	412,300,000	332,135,000
Fire Facility Bond Funds (FY 12/13 AAO 164-12)		
Neighborhood Fire Stations		
7424A Fire Boat/ Fire Station No. 35	7,192,000	7,151,723
7433A Fire Boat/Fire Station No. 35 Slab Repair (CFCBLDFD33/3CFPSLOC)	358,000	398,300
7433A Fire Boat/Fire Station No. 35 Slab Repair (CFC918 000298)	38,696	38,696
7444A FF&E Fire Station #1 (CFCBLDFD44/3CFPSLOC)	722,000	721,977
Total (CFCBLDFD)	8,310,696	8,310,696
Public Safety Building FF&E		
7410A Public Safety Building	5,523,908	5,523,908
Total (1GAGFACP)	5,523,908	5,523,908
Combined Total (ESER+Fire Facility Funds+7410A FF&E)	426,134,604	345,969,604

The budget for the **Public Safety Building** is \$239,000,000. The total appropriation supported by proceeds of the first and second bond sales is \$227,217,258. The proceeds of the fifth bond sale will fully fund the project and support the completion of construction.

The budget for the **Neighborhood Fire Station and Support Facilities** is \$64,000,000. The total appropriation supported by proceeds from the first, second and third bond sale is \$30,514,765. The proceeds of the fifth bond sale totaling \$11,600,000 will increase the appropriation amount to \$42,130,112.

One or more future bond sales totaling \$21,869,888 would be needed to fund the remainder of the various components.

The budget for the **Auxiliary Water Supply System** is \$102,400,000. The total funded appropriation from the first bond, third and fourth bond sale is \$71,396,776. The proceeds from the fifth sale of \$31,003,224 will increase the appropriations to \$102,400,000, which will complete funding for this component.

The budget for other costs, such as the **Controller's Audit Fund, Citizens GOB Bond Oversight Committee, Cost of Issuance** and underwriters' discount is \$6,900,000. The total appropriation from the proceeds of the four bond sales is \$2,990,854. The proceeds of the fifth bond sale are estimated at \$163,158 and would increase the appropriation amount to \$3,154,012.

The Department of Public Works and the San Francisco Public Utilities Commission are pursuing approval for the sale and appropriation of fifth bond sale in the amount of \$55,470,000 to fund all three components as well as its related cost of issuance, accountability and GOBOC costs.

This request would increase the appropriation to \$387,605,000 as follows:

	General Obligation Bond Sales				
	Budget	Current Appropriation	Fifth 10E	New Appropriation	Future Bond Sale
ESER 2010					
Public Safety Building (PSB)	239,000,000	227,217,258	11,782,742	239,000,000	0
Neighborhood Fire Stations (NFS)	84,000,000	30,530,112	11,600,000	42,130,112	21,869,888
Auxiliary Water Supply System (AWSS)	102,400,000	71,396,776	31,003,224	102,400,000	0
Project Fund Subtotal	405,400,000	329,144,146	54,385,966	383,530,112	21,869,888
Controller's Audit Fund (two tenths of 1%)	827,058	859,356	108,771	768,127	58,931
General Obligation Bond Oversight Committee (one tenth of 1%)	413,529	332,135	55,470	387,605	25,924
Cost of Issuance (COI), Underwriters Discount	5,659,413	1,999,362	919,793	2,919,155	2,740,258
Accountability and COI Subtotal	6,900,000	2,990,854	1,084,034	4,074,888	2,825,112
Total ESER1	412,300,000	332,135,000	55,470,000	387,605,000	24,695,000

The appropriation of \$387,605,000 will be sufficient to fund the projects under each component through June 30, 2015.

Encumbrances and Expenditures

As of March 2014, encumbrances total \$80,190,419 and the expenditures are \$204,783,045, representing 82% of the appropriation and 67% of the budget respectively. The following table summarizes budget, appropriation, encumbrances, and expenditures by component:

**Earthquake Safety and
Emergency Response Bond Program**

**Accountability Report
April 16, 2014**

			Appropriation/ Budget	Encumbrance	Expenditures	Encumbrance+ Expenditures / Appropriation	Encumbrance+ Expenditures / Budget
ESER Components	Budget	Appropriation					
Public Safety Building	239,000,000	227,217,258	95%	53,026,469	165,879,862	96%	92%
Neighborhood Fire Stations (NFS)	64,000,000	28,867,615	45%	5,881,947	17,239,434	80%	36%
Auxiliary Water Supply System (AWSS)	102,400,000	71,396,779	70%	20,582,884	19,241,160	56%	39%
Oversight, Accountability & Cost of Issuance	6,900,000	3,006,199	44%	699,119	1,430,693	71%	31%
Master Project	0	1,647,148		0		0%	0%
Total (CESER1)	412,300,000	332,135,000	81%	80,190,419	203,791,150	86%	69%
Fire Facility Bond Funds							
7424A Fire Boat/ Fire Station No. 35	7,192,000	7,151,723	99%	0	0	0%	0%
7433A Fire Boat/Fire Station No. 35 Slab Repair (CFCBLDFD33/3CFPSLOC)	358,000	398,299	111%	0	388,387	98%	108%
7433A Fire Boat/Fire Station No. 35 Slab Repair (CFC918 000298)	38,696	38,696	100%	0	0	0%	0%
7444A FF&E Fire Station #1 (CFCBLDFD44/3CFPSLOC)	722,000	721,977	100%	0	603,508	84%	84%
Total (CFCBLDFD)	8,310,696	8,310,695	100%	\$0	991,895	12%	12%
Public Safety Building FF&E							
7410A Public Safety Building	5,523,908	5,523,908	100%	0	0	0%	0%
Total (1GAGFACP)	5,523,908	5,523,908	100%	\$0	0	0%	0%
Combined Total (ESER+Fire Facility Funds+7410A FF&E)	426,134,604	345,969,608	81%	\$80,190,419	204,783,045	82%	67%

ACCOUNTABILITY MEASURES

The ESER Bond Program has a comprehensive series of accountability measures including public oversight and reporting by the following governing bodies:

- The Citizens' General Obligation Bond Oversight Committee (CGOBOC) which reviews audits and report on the expenditures of bond proceeds in accordance with the expressed will of the voters. The Department of Public Works (DPW) has prepared four quarterly reports thus far and has presented in front of the City's Citizen General Obligation Bond Oversight Committee (CGOBOC) twice. A program web-site, <http://sfearthquakesafety.org/>, has been developed that contains information about the Bond Program, status of each component, as well as copies of the Monthly Status Reports and the Quarterly CGOBOC Reports.
- Monthly meetings with the client departments, San Francisco Police Department and San Francisco Fire Department.
- MOUs have been drafted with each client department and are under consideration. Nonetheless, the terms and conditions are guiding the conduct of the inter-department relationships and the work.
- 60 days prior to the issuance of any portion of the bond authority, the Department of Public Works must submit a bond accountability report to the Clerk of the Board, the Controller, the Treasurer, the Director of Public Finance, and the Budget Analyst describing the current status of the Rebuild and whether it conforms to the expressed will of the voters. The report before you is intended to satisfy the reporting requirement.
- Two committees are established to review the Auxiliary Water Supply System work. These committees are the Management Oversight Committee, consisting of executive management from San Francisco Fire Department, Department of Public Works, and the San Francisco Public Utilities Commission, and the Technical Steering Committee, consisting of technical and operations managers from the same organizations.

ATTACHMENT 1 – PROGRAM BUDGET REPORT

**Earthquake Safety and
Emergency Response Bond Program**

**Accountability Report
April 16, 2014**

Earthquake Safety & Emergency Response Bond Program Program Budget Report - Expenditures as of 04/07/14								
Status	Project	Category	Baseline Budget	Appropriated	Reserve	Expended	FAMIS Encumbrance	Balance
PUBLIC SAFETY BUILDING								
DESIGN	PUBLIC SAFETY BUILDING							
DEVELOPMENT	(CESER1 PS; 7400A & 7410A)	Soft Costs	47,267,544	40,368,678		35,812,185	1,672,875	2,883,618
		Construction	179,675,385	179,541,892		130,067,677	48,602,571	858,920
		FF&E	5,523,908	5,523,908		0	411,589	5,112,319
		Project Contingency	12,057,091	7,306,687		0	2,339,434	4,967,253
		Subtotal	244,523,908	232,741,165	0	165,879,862	53,026,469	13,822,110
NEIGHBORHOOD FIRE STATIONS								
VARIOUS	FOCUSED SCOPE							
	(CESER1 FS 31, 32, 34, 35, 36, 37, 39)	Soft Costs	2,938,554	2,079,388		1,817,490	30,433	231,466
	(Job Orders 7431A, 7432A, 7434A, 7435A, 7436A, 7437A, 7439A)	Construction	9,280,021	8,410,674		5,302,019	1,655,857	1,452,798
		Construction Contingency	0	933,184		0		933,184
		Subtotal	12,218,576	11,423,247	0	7,119,509	1,686,290	2,617,448
PLANNING	COMPREHENSIVE: STATION 44							
	(CESER1 FS38; Job Order 7438A)	Soft Costs	345,142	328,533		366,698	0	-38,165
		Construction	1,203,745	928,488		275,024	652,712	752
		Construction Contingency	18,378	174,360		0		174,360
		Subtotal	1,567,265	1,431,381	0	641,722	652,712	136,947
PLANNING	COMPREHENSIVE: STATION 36							
	(CESER1 FS27; Job Order 7427A)	Soft Costs	1,333,640	1,111,520		729,609	107,345	274,566
		Construction	3,193,811	3,788,898		1,044,256	2,744,126	516
		Construction Contingency	270,766	70,320		0		70,320
		Subtotal	4,798,218	4,970,738	0	1,773,864	2,851,471	345,402
PLANNING	SEISMIC: STATION 5 (New 2-story)							
	(CESER1 FS40; Job Order 7440A)	Soft Costs	2,641,799	360,353		398,666	17,725	-58,038
		Construction	10,313,908	0		0	0	0
		Construction Contingency	883,050	857,426		0		857,426
		Subtotal	13,838,757	1,217,779	0	398,666	17,725	801,388
PLANNING	SEISMIC: STATION 9 UTILITY ISOLATION							
	(CESER1 FS41; Job Order 7441A)	Soft Costs	80,000	80,000		0	0	80,000
		Construction	96,000	96,000				96,000
		Construction Contingency	24,000	24,000				24,000
		Subtotal	200,000	200,000	0	0	0	200,000
PLANNING	SEISMIC: STATION 16 (New 2-story)							
	(CESER1 FS42; Job Order 7442A)	Soft Costs	1,802,919	1,731,183		1,205,681	215,850	309,653
		Construction	6,421,770	17,841		17,841	0	0
		Construction Contingency	616,988	0		0		0
		Subtotal	8,841,656	1,749,024	0	1,223,522	215,850	309,653

**Earthquake Safety and
Emergency Response Bond Program**

**Accountability Report
April 16, 2014**

Earthquake Safety & Emergency Response Bond Program Program Budget Report - Expenditures as of 04/07/14								
Status	Project	Category	Baseline Budget	Appropriated	Reserve	Expended	FAMIS Encumbrance	Balance
PLANNING	NEW PIER FIRE BOAT HEADQUARTERS (CESER1 FS24; Job Order 7424A)	Soft Costs	4,133,301	590,288		84,890	10,000	495,398
		Construction	4,903,309	0		0		0
		Project Contingency	956,525					0
		Subtotal	9,993,136	590,288	0	84,890	10,000	495,398
PLANNING	NEW PIER FIRE BOAT HEADQUARTERS (CFCBLDFD24; Job Order 7424A) Fire Facility Bond Funds	Soft Costs	0	0		0	0	0
		Construction	7,151,723	7,151,723				7,151,723
		Project Contingency	0					0
		Subtotal	7,151,723	7,151,723	0	0	0	7,151,723
PLANNING	EQUIPMENT LOGISTICS CENTER (CESER1 FS26; Job Order 7425A)	Soft Costs	589,000	100,000		0	0	100,000
		Construction						0
		Project Contingency						0
		Subtotal	589,000	100,000	0	0	0	100,000
PLANNING	PROGRAM-WIDE SOFT COSTS & PROGRAM RESERVE (CESER1 FS20; CESER1 FS30 Job Orders 7420A; 7429A, 7430A)	Soft Costs	11,217,709	7,185,159		5,997,261	445,920	741,978
		Construction						0
		Program Reserve	775,960					0
		Subtotal	11,993,669	7,185,159	0	5,997,261	445,920	741,978
PLANNING	FIRE BOAT SLAB REPAIR (Non-ESER1 related) (CFCBLDFD33, CFC918 000296 Job Order 7433A) Fire Facility Bond Funds	Soft Costs	165,446	166,712		164,735	1,979	-2
		Construction	192,554	248,652		223,652	0	25,000
		Project Contingency	38,696	21,631				21,631
		Subtotal	396,696	436,995	0	388,387	1,979	46,629
PLANNING	FIRE STATION NO. 1 FF&E (Non-ESER1 related) (CFCBLDFD44; Job Order 7444A) Fire Facility Bond Funds	Soft Costs	207,600	208,000		114,562	0	93,438
		Construction	514,400	428,251		488,946	0	-60,695
		Project Contingency		85,726				85,726
		Subtotal	722,000	721,977	0	603,508	0	118,469
PLANNING	NEIGHBORHOOD FIRE STATIONS SUMMARY (CESER1 FS)	Soft Costs	25,455,111	13,941,136	0	10,879,592	829,252	2,232,293
		Construction	43,271,242	21,070,527	0	7,351,738	5,052,695	8,666,094
		Project Contingency	3,584,342	2,166,647	0	0	0	2,166,647
		Subtotal	72,310,696 ⁽⁴⁾	37,178,310	0	18,231,330	5,881,947	13,065,034

Earthquake Safety and
Emergency Response Bond Program

Accountability Report
April 16, 2014

Earthquake Safety & Emergency Response Bond Program Program Budget Report - Expenditures as of 04/07/14								
Status	Project	Category	Baseline Budget	Appropriated	Reserve	Expended	FAMIS Encumbrance	Balance
AUXILIARY WATER SUPPLY SYSTEM (AWSS)								
PRE-BOND PLANNING AND DEVELOPMENT								
PLANNING	Pre-Bond Planning and Development	Soft Costs	1,316,963	1,316,992		1,316,992 ^{(1)a}	0	0
		Construction	0	0		0		0
		Project Contingency						0
		Subtotal	1,316,963	1,316,992	0	1,316,992	0	0
AUXILIARY WATER SUPPLY SYSTEM (AWSS)								
Design	Jones Street Tank	Soft Costs	3,522,613	2,444,136		1,418,389	28,804	996,943
		Construction	4,225,034	4,225,034		66,945	4,158,089	0
		Project Contingency	343,853					0
		Subtotal	8,091,500	6,669,170	0	1,485,334	4,186,893	996,943
Design	Ashbury Heights Tank	Soft Costs	1,599,739	1,404,889		1,088,966	59,490	256,433
		Construction	3,610,805	3,610,805		171,944	3,438,861	0
		Project Contingency	271,247					0
		Subtotal	5,481,791	5,015,694	0	1,260,910	3,498,351	256,433
Design	Twin Peaks Reservoir	Soft Costs	1,305,819	1,291,774		1,091,003	11,710	189,061
		Construction	1,480,061	1,480,061		66,945	1,413,116	0
		Project Contingency	119,571					0
		Subtotal	2,905,451	2,771,835	0	1,157,948	1,424,826	189,061
PLANNING	Pump Station No. 2	Soft Costs	2,510,082	2,026,044		1,662,000	215,547	148,497
		Construction	4,501,780	0		0	0	0
		Project Contingency						0
		Subtotal	7,011,862	2,026,044	0	1,662,000	215,547	148,497
Design	Pump Station No. 1	Soft Costs	3,453,628	3,020,401		2,103,877	128,504	788,020
		Construction	7,000,000	9,000,000				9,000,000
		Project Contingency						0
		Subtotal	10,453,628	12,020,401	0	2,103,877	128,504	9,788,020

**Earthquake Safety and
Emergency Response Bond Program**

**Accountability Report
April 16, 2014**

Earthquake Safety & Emergency Response Bond Program								
Program Budget Report - Expenditures as of 04/07/14								
Status	Project	Category	Baseline Budget	Appropriated	Reserve	Expended	FAMIS Encumbrance	Balance
Design	FIREFIGHTING CISTERNS Contract No. 1	Soft Costs	508,350	508,350		507,834	517	-1
		Construction	0	0		0	0	0
		Project Contingency						0
		Subtotal	508,350	508,350	0	507,834	517	-1
Design	Contract No. 2	Soft Costs	8,563,894	4,389,956		3,258,686	368,947	762,323
		Construction	25,975,051	17,000,000		2,810,692	10,381,828	3,807,480
		Project Contingency						0
		Subtotal	34,538,945	21,389,956	0	6,069,378	10,750,775	4,569,803
Design	Contract No. 3	Soft Costs	51,047	51,047		50,529	518	0
		Construction	0	0		0	0	0
		Project Contingency						0
		Subtotal	51,047	51,047	0	50,529	518	0
Design	Contract No. 4	Soft Costs	124,402	124,402		123,942	460	0
		Construction	0	0		0	0	0
		Project Contingency						0
		Subtotal	124,402	124,402	0	123,942	460	0

Earthquake Safety and
Emergency Response Bond Program

Accountability Report
April 16, 2014

Earthquake Safety & Emergency Response Bond Program Program Budget Report - Expenditures as of 04/07/14								
Status	Project	Category	Baseline Budget	Appropriated	Reserve	Expended	FAMIS Encumbrance	Balance
PRE-DESIGN	FIREFIGHTING PIPES AND TUNNELS AWSS Modernization CIP Study	Soft Costs	2,971,152	2,971,152		2,590,830	264,807	115,515
		Construction	0	0		0	0	0
		Project Contingency	28,848					0
		Subtotal	3,000,000	2,971,152	0	2,590,830	264,807	115,515
PLANNING	Pipes/Tunnels (Projects 11 thru 19)	Soft Costs	6,340,775	2,437,543		703,453	111,686	1,622,404
		Construction	22,275,000	194,477		179,477	0	15,000
		Project Contingency						0
		Subtotal	28,615,775	2,632,020	0	882,930	111,686	1,637,404
PLANNING	Contract No. 2 Added to baseline budget above-->	Soft Costs		0		0	0	0
		Construction						0
		Project Contingency						0
		Subtotal	0	0	0	0	0	0
PLANNING	Contract No. 3 Added to baseline budget above-->	Soft Costs		0		0	0	0
		Construction						0
		Project Contingency						0
		Subtotal	0	0	0	0	0	0
PLANNING	CUW AWS 01	Soft Costs	300,286	3,899,716	0	28,656	0	3,871,060
		Construction	0	10,000,000				10,000,000
		Project Contingency						0
		Subtotal	300,286	13,899,716	0	28,656	0	13,871,060
AUXILIARY WATER SUPPLY SYSTEM (AWSS)		Soft Costs	32,568,750	25,886,402	0	15,945,157	1,190,990	8,750,255
		Construction	69,067,731	45,510,377	0	3,296,003	19,391,894	22,822,480
		Project Contingency	763,519	0	0	0	0	0
		Subtotal	102,400,000	71,396,779	0	19,241,160	20,582,884	31,572,735

**Earthquake Safety and
Emergency Response Bond Program**

**Accountability Report
April 16, 2014**

Earthquake Safety & Emergency Response Bond Program								
Program Budget Report - Expenditures as of 04/07/14								
Status	Project	Category	Baseline Budget	Appropriated	Reserve	Expended	FAMIS Encumbrance	Balance
		Soft Costs	105,291,405	80,196,216	0	62,636,934	3,693,117	13,866,165
		Construction	292,014,338	246,122,796	0	140,715,418	73,047,160	32,347,494
		PSB FF&E Non-ESER Bor	5,523,908	5,523,908	0	0	411,589	5,112,319
		Project Contingency	16,404,952	9,473,334	0	0	2,339,434	7,133,900
		Subtotal	419,234,603	341,316,254	0	203,352,352	79,491,300	58,459,879
MASTER PROJECT (ESER1MP)				1,647,161				1,647,161
BOND OVERSIGHT/ACCOUNTABILITY			6,900,000	991,491		242,372	699,119	50,000
BOND COST OF ISSUANCE				2,014,708		1,188,321 ⁽²⁾	0	826,387
TOTAL BOND PROGRAM			426,134,603 ⁽¹⁾	345,989,608	0	204,783,045	80,190,419	60,983,413

As of 04/07/14, the FAMIS fiscal month 09 2014 (March 2014), actual expenditures are \$286,646,807. The variances from the report are as follows:

(1) The transfer out to PUC AWSS is shown as actual (0935W OTO TO SW-WATER DE)		\$71,471,986
(a) less \$19,241,160 for actuals per FAMIS Project structure CUW AWS AW posted as of 04/07/14		(\$19,241,160)
(2) Bond Sale Premiums:		
(a) The underwriters discount of \$211,953 was separated from the premium \$5,118,923		\$5,118,923
(b) deducted underwriters discount \$211,953 from 0934G OTO TO 4D/GOB-GEN and added it to 07311 BOND ISSUANCE COST		(\$211,953)
(c) The Second Bond Sale premium of \$16,898,267 (0934G)		\$16,898,268
(d) The Third Bond Sale premium of \$6,213,547 (0934G)		\$6,213,547
(e) The Fourth Bond Sale premium of \$2,606,055.70 (0934G)		\$2,606,056
(3) The budget for NFS increased by \$8.272M from \$64M to \$73.372M to include previous Fire Facility Bond Funds to supplement ESER1 NFS funds. As a result, the overall budget increased from \$412.3M to \$420.572M.		
The additional funds are under project structure (CFCBLDFDXX) / funding source (3CFPSLOC)	(\$8,272,000)	(\$991,895)
(a) Less Job Order appropriation and expenditures reported under 7424A, 7433A, 7444A		
(b) Less transfer from 6755A STA 35 PIER 22 1/2 RENOV PH1 (CFC918 000298)	(\$38,696)	
(4) Received \$5,511,185 for PSB FF&E (1GAGFACP) for PSB & Station 4 FF&E	(\$5,523,908)	
Total (CESER1)	\$332,135,000	\$286,646,807

Prepared by the Department of Public Works, revised 04/07/14

**Earthquake Safety and
Emergency Response Bond Program**

ATTACHMENT 2 – CONTACT INFORMATION

**Department of Public Works
Bureau of Project Management
30 Van Ness Avenue, Suite 4100
San Francisco, CA 94102
(415) 557-4700**

<i>Contact</i>	<i>Title</i>	<i>Telephone No.</i>	<i>Cell No.</i>	<i>E-mail</i>
Charles A. Higuera	Program Manager	(415) 557-4646	(415) 307-7891	charles.higuera@sfdpw.org
Jim Buker	Senior Architect	(415) 557-4758	(415) 225-9481	jim.buker@sfdpw.org
Gabriella Judd Cirelli	Project Manager	(415) 557-4707	(415) 279-4395	gabriella.cirelli@sfdpw.org
Samuel Chui	Project Manager	(415) 558-4082	(415) 272-8293	samuel.chui@sfdpw.org
Youcef Bouhamama	Project Manager	(415) 557-4798		youcef.bouhamama@sfdpw.org
Chethana Shambulinge Gowda	Project Mgr. Asst.	(415) 557-4627		chethana.gowda@sfdpw.org
Andrew Christiansen	Project Mgr. Asst.	(415) 557-4639		andrew.christiansen@sfdpw.org
Marisa Fernandez	Financial Analyst	(415) 557-4653		marisa.fernandez@sfdpw.org

**Public Utilities Commission
525 Golden Gate Avenue, 9th Floor
San Francisco, CA 94102**

<i>Contact</i>	<i>Title</i>	<i>Telephone No.</i>	<i>Cell No.</i>	<i>E-mail</i>
David Myerson	Project Manager	(415) 934-5710	(415) 500-5449	dmyerson@sfdwater.org

From: FireChief, Secretary
Sent: Friday, April 18, 2014 12:56 PM
To: Board of Supervisors (BOS)
Subject: RE: BOARD OF SUPERVISORS INQUIRY - DUE NOTICE
Attachments: BOS Inquiry - response from June Weintraub.pdf

Please see the attached response from Ms. June Weintraub who has been coordinating the Interagency Noise Workgroup Meetings on behalf of the many City Agencies.

If you would like a formal response on letterhead, please let me know, I would be happy to prepare something.

Kind regards,

Kelly Alves
Office of the Chief of Department
San Francisco Fire Department
698 Second Street
San Francisco, CA 94107
Phone: 415.558.3401 / Fax: 415.558.3407 / www.sf-fire.org

-----Original Message-----

From: Hayes-White, Joanne (FIR)
Sent: Thursday, April 10, 2014 2:18 PM
To: FireChief, Secretary
Subject: FW: BOARD OF SUPERVISORS INQUIRY - DUE NOTICE

-----Original Message-----

From: Board of Supervisors [<mailto:Board.of.Supervisors@sfgov.org>]
Sent: Thursday, April 10, 2014 9:59 AM
To: Hayes-White, Joanne (FIR)
Cc: Yee, Norman (BOS)
Subject: BOARD OF SUPERVISORS INQUIRY - DUE NOTICE

BOARD OF SUPERVISORS INQUIRY - DUE NOTICE
If you have already responded, please disregard this notice.
For any questions, call (415) 554-7708.

TO: Joanne Hayes-White
Fire Department

FROM: Clerk of the Board
DATE: 4/10/2014
REFERENCE: 20140107-009
FILE NO.

Due Date: 2/8/2014
Reminder Sent: 2/4/2014

The inquiry referenced above from Supervisor Yee was made at the Board meeting on 1/7/2014 and a response was requested by the due date shown above.

Please indicate the reference number shown above in your response, direct the original via email to Board.of.Supervisors@sfgov.org and send a copy to the Supervisor(s) noted above.

For your convenience, the original inquiry is repeated below.

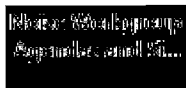
Requesting various City agencies to convene a working group to assess the need for revisions to the existing noise ordinance and to include the following three goals: 1) Assess successes and obstacles to interagency implementation issues with noise laws in the city. 2) Assess the need for revisions to the existing noise ordinance and, if appropriate, recommend a framework and strategy to develop revisions that include an opportunity for community and stakeholder input. 3) Initiate and draft uniform guidance and agreements on inter-departmental cooperation to guide interpretation and improve consistency in implementation of the existing law.

Alves, Kelly (FIR)

From: Weintraub, June
Sent: Thursday, April 10, 2014 3:06 PM
To: Maimoni, Andy (311); Rivera, Anthony (FIR); Burke, Sean (ADM); Blackstone, Cammy (ADM); Cushing, Stephanie (DPH); Dario Elizondo, Virginia (CAT); Dennis, Rassendyll (DPW); Duffy, Joseph (DBI); Fosdahl, Patrick (DPH); Garrity, John (POL); Kline, Heidi (CPC); Young, Janine; Kane, Jocelyn (ADM); Zushi, Kei (CPC); Lotti, Bob (REC); Maimoni, Andy (311); Martinsen, Janet (MTA); Jacinto, Michael (CPC); Alfaro, Nancy (311); Scanlon, Olivia (BOS); O'Malley, Lisa (DPH); Piakis, Jonathan (DPH); Sanchez, Diego (CPC); Burke, Sean (ADM); Thi, Khun (DPW); Veneracion, April (BOS); Wong, Clifton (DPW); Wong, Kenny (DPH)
Cc: Alves, Kelly (FIR); Ballard, Sarah (REC); Chawla, Colleen (DPH); Lee, Richard (DPH); Lombardi, Ken (FIR); Range, Jessica (CPC); Strawn, William (DBI); Martinsen, Janet (MTA); Wong, Clifton (DPW); Dario Elizondo, Virginia (CAT)
Subject: FW: Inquiry Number 20140107-002
Expires: Sunday, August 31, 2014 12:00 AM

Fyi...

From: Weintraub, June
Sent: Thursday, April 10, 2014 1:37 PM
To: Board of Supervisors (BOS)
Cc: Yee, Norman (BOS); Scanlon, Olivia (BOS)
Subject: Inquiry Number 20140107-002



Good afternoon,

In response to Supervisor Yee's Letter of Inquiry dated January 7, 2014, representatives from city agencies have convened to address the elements of the inquiry. We have had one initial meeting of the large group, attended by representatives from SFDBI, SFPlanning, SFDPW, RPD, 311, SFMTA, SF Entertainment Commission, SFFD, SFPD, SFDPH, and Office of the City Attorney. Follow up from that meeting and next steps are described in the email below.

We have also convened the three Subgroup Meetings as described in 1a, 1b, and 1c below. I have attached the sign-in sheets and agendas from each of the meetings we have convened so far.

We anticipate that our second meeting of the full workgroup will be held in May.

June Weintraub

****Please note I am in the office M, W, F 8:45-2:45 and T, Th 8:30-5****

June M. Weintraub, Sc.D.

Acting Manager of Air, Water, Noise, Radiation and Smoking Programs | Environmental Health Branch

Population Health Division | San Francisco Department of Public Health | 1390 Market St, Ste 210 | San Francisco CA 94102

phone: 415-252-3973 | fax: 415-252-3894

email: June.Weintraub@sfdph.org | <http://www.sfdph.org/dph/EH>

**** CONFIDENTIALITY NOTICE**** This email message and any attachments are solely for the intended recipient and may contain confidential or privileged information. If you are not the intended recipient, any disclosure, copying, use or distribution of the information included in this message and any attachments is prohibited. If you have received this communication in error, please notify the sender immediately and permanently delete or otherwise destroy the information.

From: Weintraub, June

Sent: Tuesday, March 04, 2014 1:51 PM

To: 'andy.maimoni@sfgov1.onmicrosoft.com'; 'Anthony.Rivera@sfgov1.onmicrosoft.com'; Burke, Sean; 'Cammy.Blackstone@sfgov1.onmicrosoft.com'; Cushing, Stephanie; Dario Elizondo, Virginia; Dennis, Rassendyll; Duffy, Joseph; Fosdahl, Patrick; Garrity, John; 'Heidi.Kline@sfgov1.onmicrosoft.com'; 'Janine Young'; Kane, Jocelyn; 'Keiichiro.Zushi@sfgov1.onmicrosoft.com'; Lotti, Bob; Maimoni, Andy; Martinsen, Janet; 'Michael.Jacinto@sfgov1.onmicrosoft.com'; 'Nancy.Alfaro@sfgov1.onmicrosoft.com'; 'Olivia.Scanlon@sfgov1.onmicrosoft.com'; O'Malley, Lisa; Piakis, Jonathan; Sanchez, Diego; 'Sean.Burke@SFGOV1.onmicrosoft.com'; Thi, Khun; Veneracion, April; Wong, Clifton; Wong, Kenny

Cc: Alves, Kelly; Ballard, Sarah; Chawla, Colleen; Lee, Richard; Lombardi, Ken; Range, Jessica; Strawn, William; Turrell, Nannie

Subject: Noise Workgroup Meeting #1 Follow-up

Good morning,

Thank you all for attending our productive and efficient meeting yesterday.

As follow-up items:

1—We will work to set up interim meetings with interested subgroups to discuss:

- a. Inter-agency referrals, through 311 and direct agency-to agency (all)
- b. Construction noise and related permitting (DBI, DPW, Planning, others?)
- c. Public entertainment, street fairs, street performers, parks (Entertainment, Rec & Park, DPW, others?)

Please let me know if there are other issues that you think we should schedule subset-meeting to discuss.

2—Once these interim meetings get scheduled and underway, we'll collectively figure out when our large group Meeting #2 can best be scheduled.

3—We discussed the resources available at [sfdph.org](http://www.sfdph.org). They are available from our main noise page:

<http://www.sfdph.org/dph/EH/Noise/default.asp>. From that page you can see the link to the Citizen's Guide to Noise in the City, which contains the detailed table about how we currently handle noise (Here's the direct link for your convenience:

<http://www.sfdph.org/dph/files/EHSdocs/ehsNoise/GuideNoiseControl.pdf> Also there you can view DPH's technical guidance that we use for implementing our portion of the noise ordinance.

4—DPW sent me the attached Night Noise Approval Guidance, which we discussed yesterday. I would like to request that other departments **please send me copies of any relevant guidance you have**. I will collate them and distribute to the group.

I appreciate everyone's participation and am looking forward to working with all of you to address Supervisor Yee's Letter of Inquiry.

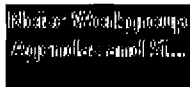
June

Alves, Kelly (FIR)

From: Weintraub, June
Sent: Thursday, April 10, 2014 3:06 PM
To: Maimoni, Andy (311); Rivera, Anthony (FIR); Burke, Sean (ADM); Blackstone, Cammy (ADM); Cushing, Stephanie (DPH); Dario Elizondo, Virginia (CAT); Dennis, Rassendyll (DPW); Duffy, Joseph (DBI); Fosdahl, Patrick (DPH); Garrity, John (POL); Kline, Heidi (CPC); Young, Janine; Kane, Jocelyn (ADM); Zushi, Kei (CPC); Lotti, Bob (REC); Maimoni, Andy (311); Martinsen, Janet (MTA); Jacinto, Michael (CPC); Alfaro, Nancy (311); Scanlon, Olivia (BOS); O'Malley, Lisa (DPH); Piakis, Jonathan (DPH); Sanchez, Diego (CPC); Burke, Sean (ADM); Thi, Khun (DPW); Veneracion, April (BOS); Wong, Clifton (DPW); Wong, Kenny (DPH)
Cc: Alves, Kelly (FIR); Ballard, Sarah (REC); Chawla, Colleen (DPH); Lee, Richard (DPH); Lombardi, Ken (FIR); Range, Jessica (CPC); Strawn, William (DBI); Martinsen, Janet (MTA); Wong, Clifton (DPW); Dario Elizondo, Virginia (CAT)
Subject: FW: Inquiry Number 20140107-002
Expires: Sunday, August 31, 2014 12:00 AM

Fyi...

From: Weintraub, June
Sent: Thursday, April 10, 2014 1:37 PM
To: Board of Supervisors (BOS)
Cc: Yee, Norman (BOS); Scanlon, Olivia (BOS)
Subject: Inquiry Number 20140107-002



Good afternoon,

In response to Supervisor Yee's Letter of Inquiry dated January 7, 2014, representatives from city agencies have convened to address the elements of the inquiry. We have had one initial meeting of the large group, attended by representatives from SFDBI, SFPlanning, SFDPW, RPD, 311, SFMTA, SF Entertainment Commission, SFFD, SFPD, SFDPH, and Office of the City Attorney. Follow up from that meeting and next steps are described in the email below.

We have also convened the three Subgroup Meetings as described in 1a, 1b, and 1c below. I have attached the sign-in sheets and agendas from each of the meetings we have convened so far.

We anticipate that our second meeting of the full workgroup will be held in May.

June Weintraub

****Please note I am in the office M, W, F 8:45-2:45 and T, Th 8:30-5****

June M. Weintraub, Sc.D.
Acting Manager of Air, Water, Noise, Radiation and Smoking Programs | Environmental Health Branch
Population Health Division | San Francisco Department of Public Health | 1390 Market St, Ste 210 | San Francisco CA 94102
phone: 415-252-3973 | fax: 415-252-3894
email: June.Weintraub@sfdph.org | <http://www.sfdph.org/dph/EH>

**** CONFIDENTIALITY NOTICE**** This email message and any attachments are solely for the intended recipient and may contain confidential or privileged information. If you are not the intended recipient, any disclosure, copying, use or distribution of the information included in this message and any attachments is prohibited. If you have received this communication in error, please notify the sender immediately and permanently delete or otherwise destroy the information.

From: Weintraub, June
Sent: Tuesday, March 04, 2014 1:51 PM
To: 'andy.maimoni@sfgov1.onmicrosoft.com'; 'Anthony.Rivera@sfgov1.onmicrosoft.com'; Burke, Sean; 'Cammy.Blackstone@sfgov1.onmicrosoft.com'; Cushing, Stephanie; Dario Elizondo, Virginia; Dennis, Rassendyll; Duffy, Joseph; Fosdahl, Patrick; Garrity, John; 'Heidi.Kline@sfgov1.onmicrosoft.com'; 'Janine Young'; Kane, Jocelyn; 'Keiichiro.Zushi@sfgov1.onmicrosoft.com'; Lotti, Bob; Maimoni, Andy; Martinsen, Janet; 'Michael.Jacinto@sfgov1.onmicrosoft.com'; 'Nancy.Alfaro@sfgov1.onmicrosoft.com'; 'Olivia.Scanlon@sfgov1.onmicrosoft.com'; O'Malley, Lisa; Piakis, Jonathan; Sanchez, Diego; 'Sean.Burke@SFGOV1.onmicrosoft.com'; Thi, Khun; Veneracion, April; Wong, Clifton; Wong, Kenny
Cc: Alves, Kelly; Ballard, Sarah; Chawla, Colleen; Lee, Richard; Lombardi, Ken; Range, Jessica; Strawn, William; Turrell, Nannie
Subject: Noise Workgroup Meeting #1 Follow-up

Good morning,

Thank you all for attending our productive and efficient meeting yesterday.

As follow-up items:

- 1—We will work to set up interim meetings with interested subgroups to discuss:
 - a. Inter-agency referrals, through 311 and direct agency-to agency (all)
 - b. Construction noise and related permitting (DBI, DPW, Planning, others?)
 - c. Public entertainment, street fairs, street performers, parks (Entertainment, Rec & Park, DPW, others?)

Please let me know if there are other issues that you think we should schedule subset-meeting to discuss.

- 2—Once these interim meetings get scheduled and underway, we'll collectively figure out when our large group Meeting #2 can best be scheduled.

- 3—We discussed the resources available at [sfdph.org](http://www.sfdph.org). They are available from our main noise page: <http://www.sfdph.org/dph/EH/Noise/default.asp>. From that page you can see the link to the Citizen's Guide to Noise in the City, which contains the detailed table about how we currently handle noise (Here's the direct link for your convenience: <http://www.sfdph.org/dph/files/EHSdocs/ehsNoise/GuideNoiseControl.pdf> Also there you can view DPH's technical guidance that we use for implementing our portion of the noise ordinance.

4—DPW sent me the attached Night Noise Approval Guidance, which we discussed yesterday. I would like to request that other departments **please send me copies of any relevant guidance you have**. I will collate them and distribute to the group.

I appreciate everyone's participation and am looking forward to working with all of you to address Supervisor Yee's Letter of Inquiry.

June

Barrett, Hyacinth

From: Board of Supervisors (BOS) [board.of.supervisors@sfgov.org]
Sent: Thursday, April 10, 2014 6:21 PM
To: BOS-Operations
Subject: FW: BOARD OF SUPERVISORS INQUIRY - SECOND PAST DUE NOTICE

-----Original Message-----

From: Kane, Jocelyn (ADM)
Sent: Thursday, April 10, 2014 11:33 AM
To: Board of Supervisors (BOS)
Cc: Yee, Norman (BOS)
Subject: RE: BOARD OF SUPERVISORS INQUIRY - SECOND PAST DUE NOTICE

The Entertainment Commission is working with the Health Dept and all other agencies as a member of the Noise Workgroup. We have met once in an all agency meeting and once as a subgroup. Plesae let me know if this is sufficient as reply. thanks

Jocelyn Kane, Executive Director
San Francisco Entertainment Commission
City Hall, Room 453
415 554-5793 (voice)
415 554-7934 (fax)
jocelyn.kane@sfgov.org
facebook blog

-----Original Message-----

From: Board of Supervisors [mailto:Board.of.Supervisors@sfgov.org]
Sent: Thursday, April 10, 2014 9:59 AM
To: Kane, Jocelyn (ADM)
Cc: Yee, Norman (BOS)
Subject: BOARD OF SUPERVISORS INQUIRY - SECOND PAST DUE NOTICE

BOARD OF SUPERVISORS INQUIRY - SECOND PAST DUE NOTICE
If you have already responded, please disregard this notice.
For any questions, call (415) 554-7708.

TO: Jocelyn Kane
entertainment commission

FROM: Clerk of the Board
DATE: 4/10/2014
REFERENCE: 20140107-006
FILE NO.

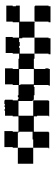
Due Date: 2/8/2014
Reminder Sent: 2/4/2014
Past Due Notice Sent: 4/10/2014

The inquiry referenced above from Supervisor Yee was made at the Board meeting on 1/7/2014 and a response was requested by the due date shown above.

Please indicate the reference number shown above in your response, direct the original via email to Board.of.Supervisors@sfgov.org and send a copy to the Supervisor(s) noted above.

For your convenience, the original inquiry is repeated below.

Requesting various City agencies to convene a working group to assess the need for revisions to the existing noise ordinance and to include the following three goals: 1) Assess successes and obstacles to interagency implementation issues with noise laws in the city. 2) Assess the need for revisions to the existing noise ordinance and, if appropriate, recommend a framework and strategy to develop revisions that include an opportunity for community and stakeholder input. 3) Initiate and draft uniform guidance and agreements on inter-departmental cooperation to guide interpretation and improve consistency in implementation of the existing law.



Bos-11.
cp age

April 21, 2014

San Francisco Board of Supervisors
City and County of San Francisco
City Hall
1 Dr. Carlton Goodlett Place
San Francisco, CA. 94102

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2014 APR 21 AM 8:40

RE: Increased Spending on Eviction Legal Defense

Dear Honorable Supervisors:

There was a April 16th San Francisco Chronicle "City Insider" article which reported that least one supervisor was advocating the subject increased spending because in 2013 only 17% of tenants taken to court by landlords had an attorney. I think instead of looking at this percentage that it would better to find out whether these tenants won; if, by-and-large, they did not, then funding should be cut back instead. Also, I think the funds for legal defense have often been used to obfuscate, frustrate, and stall evictions by alleging all sorts of made-up issues, such as habitability-all boxes that can be check to fight an Unlawful Detainer are checked. Taxpayer funds shouldn't be use to forestall evictions or for tenant cases without merit, such as those where the tenant have simply not paid rent.

Sincerely,

Bill Quan

Bill Quan
2526 Van Ness Avenue, #10
San Francisco, CA. 94109

SFBoardOfSupervisors-April2014LtrReIncreasedSpendingForEvictionDefense

Bos-11
Cpage

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO

**BEFORE THE PUBLIC UTILITIES COMMISSION
OF THE STATE OF CALIFORNIA**

2014 APR 15 PM 2:38

AK

Order Instituting Rulemaking To Consider Single
Methodology To Calculate Remittance Under
Municipal Surcharge Act.

R.14-03-016
(Filed March 27, 2014)

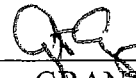
NOTICE OF ORDER INSTITUTING RULEMAKING IN R.14-03-016

Please take notice that on March 27, 2014 the California Public Utilities Commission issued its Order Instituting Rulemaking in R.14-03-016 to Consider Single Methodology To Calculate Remittance Under Municipal Surcharge Act, a copy of which is attached.

Respectfully Submitted,

JOHN W. BUSTERUD
GRANT GUERRA

By: _____



GRANT GUERRA

PACIFIC GAS AND ELECTRIC COMPANY
77 Beale Street, B30A
San Francisco, CA 94105
Telephone: (415) 973-3728
Facsimile: (415) 973-5520
E-Mail: GxGw@pge.com

Attorneys for
PACIFIC GAS AND ELECTRIC COMPANY

Dated: April 14, 2014

Rulemaking 14-03-016 March 27, 2014

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Joint Petition of the Cities of Concord, Taft, Madera, Kerman, and Clovis and Pacific Gas and Electric Company to Adopt, Amend, or Repeal a Regulation pursuant to Public Utilities Code Section 1708.5. (U39E).

Petition 13-09-006
(Filed September 11, 2013)

Order Instituting Rulemaking To Consider Single Methodology To Calculate Remittance Under Municipal Surcharge Act.

FILED
PUBLIC UTILITIES COMMISSION
MARCH 27, 2014
SAN FRANCISCO, CA
RULEMAKING 14-03-016

DECISION GRANTING PETITION AND OPENING RULEMAKING

1. Summary

This order closes the petition proceeding and institutes a rulemaking proceeding to determine whether a single state-wide remittance methodology should be used by the Pacific Gas and Electric Company's, the Southern California Gas Company and San Diego Gas & Electric Company, and the Southern California Edison Company to calculate the franchise fee remittances provided for by California Public Utilities Code Sections 6352-6354.1. En route to this determination we will examine what, if any effect adoption of a single methodology will have on ratepayers, and cities and counties in the Investor-owned Utilities' (IOUs) jurisdictions as well as what, if any, effect the adoption of a single methodology will have on the IOUs.

2. Background

In Case (C.) 11-08-022, the Cities of Concord, Taft, Kerman, Madera, and Clovis (The Cities) challenged Pacific Gas and Electric Company's (PG&E) method of calculating municipal surcharge revenue remittances pursuant to the Municipal Public Lands Surcharge Act, Public Utilities Code Sections 6352-6354.1 (Municipal Surcharge Act). Specifically, the Cities contended that PG&E's methodology fails to reflect the payment of franchise fees in the energy transporter's agreement as required by the Surcharge according to the Cities, PG&E's methodology has resulted in some cities and municipalities being underpaid remittances for several years. The Cities requested that the Commission order PG&E to modify its remittance methodology and reimburse The Cities for past underpayments.

On November 14, 2011, then assigned Administrative Law Judge (ALJ) Gamson scheduled a prehearing conference (PHC). Prior to the PHC, ALJ Gamson issued a ruling directing PG&E to serve notice of the complaint and the upcoming PHC on all of the cities and counties in its jurisdiction which might be impacted by the Complaint.

The PHC was held on December 1, 2011. At the PHC the parties agreed to attempt to stipulate to the facts at issue. On December 20, 2011, the Assigned Commissioner's Ruling and Scoping Memo (Scoping Memo) issued. As noted in the Scoping Memo, in light of the issue presented, "...the Commission will need to conduct a statutory interpretation of the Municipal Surcharge Act."

In subsequent discussions the Cities and PG&E agreed that the relief requested in C.11-08-022 raised certain issues, the resolution of which could impact the surcharge remittance methodology employed by Investor-owned

Utilities (IOUs) throughout the state. The Cities and PG&E therefore agreed to file a joint petition pursuant to Public Utilities Code Section 1708.5, requesting that the Commission institute a separate rulemaking proceeding to establish a uniform methodology to be used by all IOUs for the future remittance of municipal surcharges. In anticipation of the Commission's institution of a separate rulemaking proceeding the Cities and PG&E agreed to dismiss C.11-08-022 without prejudice.¹ On September 11, 2013, pursuant to Public Utilities Code Section 1708.5, the Cities and PG&E jointly filed Petition 13-09-006, which asks the Commission to initiate a rulemaking proceeding to establish a single state-wide remittance methodology consistent with the Municipal Surcharge Act.

A PHC was convened in this proceeding by ALJ Farrar on January 4, 2014.² After adding the Southern California Gas Company and San Diego Gas & Electric Company (SoCalGas/SDG&E), and the Southern California Edison Company (SCE) as parties to the proceeding, ALJ Farrar directed the IOUs to provide a summary of their position and a statement of the methodology they currently use to calculate remittances under the Municipal Surcharge Act.

3. Discussion

3.1. The Municipal Surcharge Act

The adoption of direct access enabled Californians to purchase energy (the actual commodity) from third party energy service providers (ESPs). In 1993 the Legislature enacted the Municipal Surcharge Act. The Municipal Surcharge Act provides for a surcharge to replace, but not increase, franchise fees that would

¹ On August 5, 2013, the Cities and PG&E filed a joint motion to dismiss C.11-08-022.

² ALJ Farrar was assigned to this proceeding on September 24, 2013.

have been collected by the IOUs if not for changes in the regulatory environment such as the unbundling of the gas industry, related to the adoption of direct access. In addition to providing for the collection of a surcharge (*see* Section 6352(a)), Section 6354(b) of the Municipal Surcharge Act, which covers dispensation of the franchise fee, provides that:

Surcharges collected from the transportation customer shall be remitted to the municipality granting a franchise pursuant to this division in the manner and at the time prescribed for payment of franchise fees in the energy transporter's franchise agreement.³

PG&E, SCE, and SoCalGas/SDG&E (collectively, the IOUs) all purport to follow this directive and note that 100% of the revenue collected is remitted. However, while the IOUs use a common methodology to collect revenues, they do not use a common methodology to calculate the remittances with the result that, all other things being equal, the municipalities may receive different amounts of compensation, depending on which IOU serves their territory.

3.2. The PG&E and SCE Remittance Methodologies

There are 292 cities and counties within PG&E's service territory. PG&E remits the electric surcharges to 256 jurisdictions and remits the gas surcharge to 245 jurisdictions. PG&E uses a two-step process to calculate its remittances. First, PG&E calculates surcharges using its franchise fee factor and collects these amounts. PG&E then remits 100% of what it collects from end users in each municipality back to that same municipality. According to PG&E, because its

³ Section 6354(b) also provides that "the energy transporter may retain interest earned on cash balances resulting from the timing difference between the monthly collection of the surcharge and the remittance thereof, as required by individual Franchise agreements."

methodology doesn't pool the surcharges that are collected it does not result in cross-subsidization (*i.e.* where the end users in one municipality subsidize another municipality).

SCE reports that in 2012 it paid the surcharge to approximately 199 of the 206 jurisdictions in which SCE maintains a franchise. SCE calculates the surcharge utilizing the franchise fee factor or generation municipal surcharge factor (of 0.009056) adopted by the Commission in SCE's most recent general rate case.⁴ SCE collects the surcharge from the applicable transportation customers and remits such payments to each of its cities and counties pursuant to and in accordance with the payment schedule as set forth in its respective franchises.

Thus it appears both PG&E and SCE collect the surcharge from a transportation customer in a particular municipality and thereafter remit the surcharge collected from that customer to the municipality where the customer is located without regard to the payment calculation set forth in the franchise agreements.

3.3.The SoCalGas/SDG&E Remittance Methodology

In contrast to PG&E and SCE, SoCalGas/SDG&E claim that Section 6354 requires utilities look to each municipality's franchise agreement to determine how franchise fees are paid and then use the same manner of calculation for remittance of the surcharges collected under Section 6353. In effect, this methodology calculates the surcharge remittances by treating the third party revenues from customers within a given city in the same manner that it treats revenues under the city's franchise agreement. Thus, the SoCalGas/SDG&E

⁴ And see Advice Filing No. 2336-E.

method applies a specific percentage – which varies from franchise agreement (and one city) to the next -to determine the surcharge remittance whereas the PG&E and SCE methodology takes an average of cities percentages to derive the franchise factor fee.

3.3. Additional Questions Presented

In Decision (D.) 03-10-040 the Commission directed the IOUs to remit surcharge payments associated with the California Department of Water Resources' (DWR's) electricity sales based on the municipalities' individual franchise agreements. Subsequently, in D.06-05-005 the Commission clarified that amending PG&E's long-standing methodology for remitting Municipal Surcharge Fees on other third party revenues was beyond the scope of D.03-10-040 and that its directive only applied to DWR's electricity sales. Moreover, as noted in D.06-05-005:

[T]he Commission did not consider the adverse effects that could result in terms of disrupting the expected stream of municipal revenues and providing essential municipal services if PG&E were required to revise its methodology for remitting municipal surcharges other than those related to DWR revenues.⁵

The concern voiced in D.06-05-005 appears warranted. Among other things, SoCalGas/SDG&E assert that the methodology used by PG&E and SCE "has the effect of increasing surcharge revenue to municipalities with 'heavy users' of transported gas in a manner not consistent with the franchise calculations -- to the detriment of 'higher mileage' municipalities that don't have 'heavy users' of transported gas."

⁵ D.06-05-005 at 9-10.

In addition to the potentially adverse effects that a change in surcharge remittance calculation methodology could have on cities and municipalities, when contemplating adoption of a state-wide surcharge remittance methodology we must also consider the effects such a change could have on ratepayers and the IOUs. We may for example require assurances that franchise agreements do not provide for excessive surcharges.

4. Preliminary Scoping Memo

This Order Instituting Rulemaking (OIR) will be conducted in accordance with Article 6 of the Commission's Rules of Practice and Procedure. As required by Rule 7.3, this order includes a preliminary scoping memo as set forth below.

4.1. Issues

The issues to be considered in this proceeding, as discussed earlier in this OIR, relate to whether the Commission should adopt a single methodology for the IOUs to use to calculate franchise fee remittances under the Municipal Surcharge Act. Specifically, the Commission will seek comment on:

- Whether the Commission should adopt the PG&E methodology, the Sempra Methodology, or some other methodology for the IOUs to use to calculate franchise fee remittances under the Municipal Surcharge Act.
- What, if any, impact(s) the adoption of the PG&E methodology, the Sempra Methodology, or some other methodology to calculate remittances under the Municipal Surcharge Act will have on ratepayers in the IOUs jurisdictions;
- What, if any, impact(s) the adoption of the PG&E methodology, the Sempra Methodology, or some other methodology to calculate remittances under the Municipal Surcharge Act will have on cities and counties in the IOUs jurisdictions; and

6. Service List and Subscription Service

The service list for this proceeding was updated on January 14, 2014. Within 10 days from the date of mailing of this OIR, the IOUs shall serve the OIR on all of the cities and counties in their jurisdictions which might be impacted by the OIR.

Any person or representative of an entity seeking to become a party to this Rulemaking (*i.e.*, actively participate in the proceeding by filing comments or appearing at workshops) should send a request to the Commission's Process Office, 505 Van Ness Avenue, San Francisco, California 94102 (or Process_Office@cpuc.ca.gov) to be placed on the official service list. Individuals seeking only to monitor the proceeding (*i.e.*, but not participate as an active party) may request to be added to the service list as "Information Only." Include the following information:

- Docket Number of the OIR;
- Name and Party Represented, if Applicable;
- Postal Address;
- Telephone Number;
- E-mail Address; and
- Desired Status (Party or "Information Only").

The service list will be posted on the Commission's website, www.cpsc.ca.gov soon thereafter.

The Commission has adopted rules for the electronic service of documents related to its proceedings, Rule 1.10, available on our website at http://docs.cpsc.ca.gov/WORD_PDF/AGENDA_DECISION/143256.PDF. We will follow the electronic service protocols adopted by the Commission in Rule 1.10 for all documents, whether formally filed or just served.

This Rule provides for electronic service of documents, in a searchable format, unless the appearance or state service list member did not provide an e-mail address. If no e-mail address was provided, service should be made by United States mail. In this proceeding, concurrent e-mail service to all persons on the service list for whom an e-mail address is available will be required, including those listed under "Information Only." Parties are expected to provide paper copies of served documents upon request.

E-mail communication about this OIR proceeding should include, at a minimum, the following information on the subject line of the e-mail:

R. [xx xx xxx] – OIR on the Municipal Surcharge Act. In addition, the party sending the e-mail should briefly describe the attached communication; for example, "Comments." Paper format copies, in addition to electronic copies, shall be served on the assigned Commissioner and the ALJ.

This Rulemaking can also be monitored through the Commission's document subscription service; subscribers will receive electronic copies of documents in this Rulemaking that are published on the Commission's website. There is no need to be on the service list in order to use the subscription service. Instructions for enrolling in the subscription service are available on the Commission's website at <http://subscribecpuc.cpuc.ca.gov/>.

7. Public Advisor

Any person or entity interested in participating in this OIR who is unfamiliar with the Commission's procedures should contact the Commission's Public Advisor in San Francisco at (415) 703-2074 or (866) 849-8390 or e-mail public.advisor@cpuc.ca.gov; or in Los Angeles at (213) 576-7055 or (866) 849-8391, or e-mail public.advisor.la@cpuc.ca.gov. The TTY number is (866) 836-7825.

8. Intervenor Compensation

Any party that expects to request intervenor compensation for its participation in this OIR shall file its notice of intent to claim intervenor compensation in accordance with Rule 17.1 within 30 days of the filing of reply comments or of the prehearing conference, whichever is later.

9. *Ex Parte* Communications

Ex parte communications are defined in Rule 8.1. In quasi-legislative proceedings such as this one, *ex parte* communications are allowed without restriction or reporting requirement as set forth in Rule 8.3.

Therefore **IT IS ORDERED** that:

1. An Order Instituting Rulemaking is instituted for the purpose of determining whether the Commission should adopt a single methodology for the Investor-owned Utilities to use to calculate franchise fee remittances.
2. This Rulemaking is preliminarily determined to be a quasi-legislative proceeding, as that term is defined in Rule 1.3(d), and it is preliminarily determined that no hearings are necessary.
3. The outcome of this Rulemaking will be applicable to the Pacific Gas and Electric Company, the Southern California Edison Company, the Southern California Gas Company and the San Diego Gas & Electric Company.
4. The Executive Director shall cause this Order Instituting Rulemaking to be served on the service list for this proceeding.
5. The preliminary schedule for this proceeding is as set forth in the body of this Order Instituting Rulemaking. The assigned Commissioner through her scoping memo and subsequent rulings, and the assigned Administrative Law

Judge by ruling with the assigned Commissioner's concurrence, may modify the schedule as necessary.

6. The issues to be considered in this Order Instituting Rulemaking are those set forth in the body of this Order Instituting Rulemaking.

7. Comments and reply comments must be filed 60 and 90 days, respectively, from the mailing of this Order Instituting Rulemaking, unless the assigned Commissioner or Administrative Law Judge modifies the schedule. Comments and reply comments shall conform to the requirements of the Commission's Rules of Practice and Procedure.

8. Any persons objecting to the preliminary categorization of this Order Instituting Rulemaking as "quasi-legislative," or to the preliminary determination on the need for hearings, issues to be considered, or schedule shall state their objections in their opening comments of this Order Instituting Rulemaking.

9. Within 20 days of the date of issuance of this order, any person or representative of an entity seeking to become a party to this Order Instituting Rulemaking must send a request to the Commission's Process Office, 505 Van Ness Avenue, San Francisco, California 94102 (or Process_Office@cpuc.ca.gov) to be placed on the official service list for this proceeding. Individuals seeking only to monitor the proceeding, but not participate as an active party may request to be added to the service list as "Information Only."

10. After initial service of this order, a new service list for the proceeding shall be established following procedures set forth in this order. The Commission's Process Office will publish the official service list on the Commission's website (www.cpuc.ca.gov) as soon as practical. The assigned Commissioner, and the

assigned Administrative Law Judge, acting with the assigned Commissioner's concurrence, shall have ongoing oversight of the service list and may institute changes to the list or the procedures governing it as necessary.

11. Any party that expects to claim intervenor compensation for its participation in this Order Instituting Rulemaking shall file its notice of intent to claim intervenor compensation in accordance with Rule 17.1 of the Commission's Rules of Practice and Procedure, within 30 days of the filing of reply comments or of the prehearing conference, whichever is later.

12. The proceeding is closed.

This order is effective today.

Dated March 27, 2014, at San Francisco, California.

MICHAEL R. PEEVEY

President

MICHEL PETER FLORIO

CATHERINE J.K. SANDOVAL

CARLA J. PETERMAN

Commissioners

Commissioner Michael Picker, being
necessarily absent, did not participate.

**BEFORE THE PUBLIC UTILITIES COMMISSION
OF THE STATE OF CALIFORNIA**

Order Instituting Rulemaking To Consider Single
Methodology To Calculate Remittance Under
Municipal Surcharge Act.

R.14-03-016
(Filed March 27, 2014)

CERTIFICATE OF SERVICE

I, the undersigned, state that I am a citizen of the United States and am employed in the City and County of San Francisco; that I am over the age of eighteen (18) years and not a party to the within cause; and that my business address is Pacific Gas and Electric Company, Law Department, B30A, 77 Beale Street, San Francisco, California 94105. A printed of:

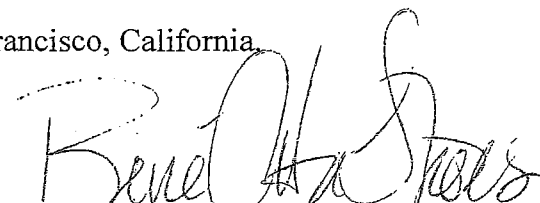
NOTICE OF ORDER INSTITUTING RULEMAKING IN R.14-03-016

was sent first class postage paid to State, County and City Officials located within the Company's service area on **April 14, 2014**. Additionally, a true copy was served via e-mail transmission to:

Darwin E. Farrar, Administrative Law Judge -- edf@cpuc.ca.gov
Michael R. Peevey, President -- mpl@cpuc.ca.gov
Carla J. Peterman, Commissioner -- cap@cpuc.ca.gov
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102

I certify and declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on **April 14, 2014** at San Francisco, California.


Rene Anita Thomas

BOS-11
page

**BEFORE THE PUBLIC UTILITIES COMMISSION
OF THE STATE OF CALIFORNIA**

Order Instituting Rulemaking To Consider Single
Methodology To Calculate Remittance Under
Municipal Surcharge Act.

R.14-03-016
(Filed March 27, 2014)

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2014 APR 18 PM 2:55

NOTICE OF ORDER INSTITUTING RULEMAKING IN R.14-03-016

Please take notice that on March 27, 2014 the California Public Utilities Commission issued its Order Instituting Rulemaking in R.14-03-016 to Consider Single Methodology To Calculate Remittance Under Municipal Surcharge Act, a copy of which is attached.

Respectfully Submitted,

JOHN W. BUSTERUD
GRANT GUERRA

By: _____/s/_____
GRANT GUERRA

PACIFIC GAS AND ELECTRIC COMPANY
77 Beale Street, B30A
San Francisco, CA 94105
Telephone: (415) 973-3728
Facsimile: (415) 973-5520
E-Mail: GxGw@pge.com

Attorneys for
PACIFIC GAS AND ELECTRIC COMPANY

Dated: April 14, 2014

Rulemaking 14-03-016 March 27, 2014

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Joint Petition of the Cities of Concord, Taft, Madera, Kerman, and Clovis and Pacific Gas and Electric Company to Adopt, Amend, or Repeal a Regulation pursuant to Public Utilities Code Section 1708.5. (U39E).

Petition 13-09-006
(Filed September 11, 2013)

Order Instituting Rulemaking To Consider Single Methodology To Calculate Remittance Under Municipal Surcharge Act.

FILED
PUBLIC UTILITIES COMMISSION
MARCH 27, 2014
SAN FRANCISCO, CA
RULEMAKING 14-03-016

DECISION GRANTING PETITION AND OPENING RULEMAKING

1. Summary

This order closes the petition proceeding and institutes a rulemaking proceeding to determine whether a single state-wide remittance methodology should be used by the Pacific Gas and Electric Company's, the Southern California Gas Company and San Diego Gas & Electric Company, and the Southern California Edison Company to calculate the franchise fee remittances provided for by California Public Utilities Code Sections 6352-6354.1. En route to this determination we will examine what, if any effect adoption of a single methodology will have on ratepayers, and cities and counties in the Investor-owned Utilities' (IOUs) jurisdictions as well as what, if any, effect the adoption of a single methodology will have on the IOUs.

2. Background

In Case (C.) 11-08-022, the Cities of Concord, Taft, Kerman, Madera, and Clovis (The Cities) challenged Pacific Gas and Electric Company's (PG&E) method of calculating municipal surcharge revenue remittances pursuant to the Municipal Public Lands Surcharge Act, Public Utilities Code Sections 6352-6354.1 (Municipal Surcharge Act). Specifically, the Cities contended that PG&E's methodology fails to reflect the payment of franchise fees in the energy transporter's agreement as required by the Surcharge according to the Cities, PG&E's methodology has resulted in some cities and municipalities being underpaid remittances for several years. The Cities requested that the Commission order PG&E to modify its remittance methodology and reimburse The Cities for past underpayments.

On November 14, 2011, then assigned Administrative Law Judge (ALJ) Gamson scheduled a prehearing conference (PHC). Prior to the PHC, ALJ Gamson issued a ruling directing PG&E to serve notice of the complaint and the upcoming PHC on all of the cities and counties in its jurisdiction which might be impacted by the Complaint.

The PHC was held on December 1, 2011. At the PHC the parties agreed to attempt to stipulate to the facts at issue. On December 20, 2011, the Assigned Commissioner's Ruling and Scoping Memo (Scoping Memo) issued. As noted in the Scoping Memo, in light of the issue presented, "...the Commission will need to conduct a statutory interpretation of the Municipal Surcharge Act."

In subsequent discussions the Cities and PG&E agreed that the relief requested in C.11-08-022 raised certain issues, the resolution of which could impact the surcharge remittance methodology employed by Investor-owned

Utilities (IOUs) throughout the state. The Cities and PG&E therefore agreed to file a joint petition pursuant to Public Utilities Code Section 1708.5, requesting that the Commission institute a separate rulemaking proceeding to establish a uniform methodology to be used by all IOUs for the future remittance of municipal surcharges. In anticipation of the Commission's institution of a separate rulemaking proceeding the Cities and PG&E agreed to dismiss C.11-08-022 without prejudice.¹ On September 11, 2013, pursuant to Public Utilities Code Section 1708.5, the Cities and PG&E jointly filed Petition 13-09-006, which asks the Commission to initiate a rulemaking proceeding to establish a single state-wide remittance methodology consistent with the Municipal Surcharge Act.

A PHC was convened in this proceeding by ALJ Farrar on January 4, 2014.² After adding the Southern California Gas Company and San Diego Gas & Electric Company (SoCalGas/SDG&E), and the Southern California Edison Company (SCE) as parties to the proceeding, ALJ Farrar directed the IOUs to provide a summary of their position and a statement of the methodology they currently use to calculate remittances under the Municipal Surcharge Act.

3. Discussion

3.1. The Municipal Surcharge Act

The adoption of direct access enabled Californians to purchase energy (the actual commodity) from third party energy service providers (ESPs). In 1993 the Legislature enacted the Municipal Surcharge Act. The Municipal Surcharge Act provides for a surcharge to replace, but not increase, franchise fees that would

¹ On August 5, 2013, the Cities and PG&E filed a joint motion to dismiss C.11-08-022.

² ALJ Farrar was assigned to this proceeding on September 24, 2013.

have been collected by the IOUs if not for changes in the regulatory environment such as the unbundling of the gas industry, related to the adoption of direct access. In addition to providing for the collection of a surcharge (*see* Section 6352(a)), Section 6354(b) of the Municipal Surcharge Act, which covers dispensation of the franchise fee, provides that:

Surcharges collected from the transportation customer shall be remitted to the municipality granting a franchise pursuant to this division in the manner and at the time prescribed for payment of franchise fees in the energy transporter's franchise agreement.³

PG&E, SCE, and SoCalGas/SDG&E (collectively, the IOUs) all purport to follow this directive and note that 100% of the revenue collected is remitted. However, while the IOUs use a common methodology to collect revenues, they do not use a common methodology to calculate the remittances with the result that, all other things being equal, the municipalities may receive different amounts of compensation, depending on which IOU serves their territory.

3.2. The PG&E and SCE Remittance Methodologies

There are 292 cities and counties within PG&E's service territory. PG&E remits the electric surcharges to 256 jurisdictions and remits the gas surcharge to 245 jurisdictions. PG&E uses a two-step process to calculate its remittances. First, PG&E calculates surcharges using its franchise fee factor and collects these amounts. PG&E then remits 100% of what it collects from end users in each municipality back to that same municipality. According to PG&E, because its

³ Section 6354(b) also provides that "the energy transporter may retain interest earned on cash balances resulting from the timing difference between the monthly collection of the surcharge and the remittance thereof, as required by individual Franchise agreements."

methodology doesn't pool the surcharges that are collected it does not result in cross-subsidization (*i.e.* where the end users in one municipality subsidize another municipality).

SCE reports that in 2012 it paid the surcharge to approximately 199 of the 206 jurisdictions in which SCE maintains a franchise. SCE calculates the surcharge utilizing the franchise fee factor or generation municipal surcharge factor (of 0.009056) adopted by the Commission in SCE's most recent general rate case.⁴ SCE collects the surcharge from the applicable transportation customers and remits such payments to each of its cities and counties pursuant to and in accordance with the payment schedule as set forth in its respective franchises.

Thus it appears both PG&E and SCE collect the surcharge from a transportation customer in a particular municipality and thereafter remit the surcharge collected from that customer to the municipality where the customer is located without regard to the payment calculation set forth in the franchise agreements.

3.3.The SoCalGas/SDG&E Remittance Methodology

In contrast to PG&E and SCE, SoCalGas/SDG&E claim that Section 6354 requires utilities look to each municipality's franchise agreement to determine how franchise fees are paid and then use the same manner of calculation for remittance of the surcharges collected under Section 6353. In effect, this methodology calculates the surcharge remittances by treating the third party revenues from customers within a given city in the same manner that it treats revenues under the city's franchise agreement. Thus, the SoCalGas/SDG&E

⁴ And see Advice Filing No. 2336-E.

method applies a specific percentage – which varies from franchise agreement (and one city) to the next -to determine the surcharge remittance whereas the PG&E and SCE methodology takes an average of cities percentages to derive the franchise factor fee.

3.3. Additional Questions Presented

In Decision (D.) 03-10-040 the Commission directed the IOUs to remit surcharge payments associated with the California Department of Water Resources' (DWR's) electricity sales based on the municipalities' individual franchise agreements. Subsequently, in D.06-05-005 the Commission clarified that amending PG&E's long-standing methodology for remitting Municipal Surcharge Fees on other third party revenues was beyond the scope of D.03-10-040 and that its directive only applied to DWR's electricity sales. Moreover, as noted in D.06-05-005:

[T]he Commission did not consider the adverse effects that could result in terms of disrupting the expected stream of municipal revenues and providing essential municipal services if PG&E were required to revise its methodology for remitting municipal surcharges other than those related to DWR revenues.⁵

The concern voiced in D.06-05-005 appears warranted. Among other things, SoCalGas/SDG&E assert that the methodology used by PG&E and SCE "has the effect of increasing surcharge revenue to municipalities with 'heavy users' of transported gas in a manner not consistent with the franchise calculations -- to the detriment of 'higher mileage' municipalities that don't have 'heavy users' of transported gas."

⁵ D.06-05-005 at 9-10.

In addition to the potentially adverse effects that a change in surcharge remittance calculation methodology could have on cities and municipalities, when contemplating adoption of a state-wide surcharge remittance methodology we must also consider the effects such a change could have on ratepayers and the IOUs. We may for example require assurances that franchise agreements do not provide for excessive surcharges.

4. Preliminary Scoping Memo

This Order Instituting Rulemaking (OIR) will be conducted in accordance with Article 6 of the Commission's Rules of Practice and Procedure. As required by Rule 7.3, this order includes a preliminary scoping memo as set forth below.

4.1. Issues

The issues to be considered in this proceeding, as discussed earlier in this OIR, relate to whether the Commission should adopt a single methodology for the IOUs to use to calculate franchise fee remittances under the Municipal Surcharge Act. Specifically, the Commission will seek comment on:

- Whether the Commission should adopt the PG&E methodology, the Sempra Methodology, or some other methodology for the IOUs to use to calculate franchise fee remittances under the Municipal Surcharge Act.
- What, if any, impact(s) the adoption of the PG&E methodology, the Sempra Methodology, or some other methodology to calculate remittances under the Municipal Surcharge Act will have on ratepayers in the IOUs jurisdictions;
- What, if any, impact(s) the adoption of the PG&E methodology, the Sempra Methodology, or some other methodology to calculate remittances under the Municipal Surcharge Act will have on cities and counties in the IOUs jurisdictions; and

- What, if any, impact(s) the adoption of the PG&E methodology, the Semptra Methodology, or some other methodology to calculate remittances under the Municipal Surcharge Act will have on the IOUs.

Neither the methodology used to collect the franchise fees nor the reasonableness of prior surcharge remittance methodologies will be considered in this proceeding.

4.2. Category of Proceeding and Need for Hearing

Rule 7.1(d) requires that an OIR preliminarily determine the category of the proceeding and the need for hearing. As a preliminary matter, we determine that this proceeding is a “quasi-legislative” proceeding, as that term is defined in Rule 1.3(d). It is contemplated that this proceeding shall be conducted through written comments and without the need for evidentiary hearings.

Anyone who objects to the preliminary categorization of this Rulemaking as “quasi-legislative,” or to the preliminary hearing determination, must state the objections in opening comments to this Rulemaking. If the person believes hearings are necessary, the comments must state:

- a) The specific disputed fact for which hearing is sought;
- b) Justification for the hearing (*e.g.*, why the fact is material);
- c) What the party would seek to demonstrate through a hearing; and
- d) Anything else necessary for the purpose of making an informed ruling on the request for hearing.

After considering any comments on the preliminary scoping memo, the assigned Commissioner will issue a scoping memo that, among other things, will make a final category determination; this determination is subject to appeal as specified in Rule 7.6(a).

4.3. Schedule

For purposes of meeting the scoping memo requirements, and to expedite the proceeding, we establish the following preliminary schedule:

DATE	EVENT
10 days from mailing of this OIR	Deadline for service by IOUs on cities and municipalities in their jurisdictions.
60 days from mailing	Comments on impacts and methodologies filed and served.
90 days from mailing	Reply Comments filed and served.
TBD	Prehearing Conference and/or Scoping Memo
TBD	Hearings (if necessary)

The assigned Commissioner, by ruling on the scoping memo and subsequent rulings, and the assigned ALJ, by ruling with the assigned Commissioner's concurrence, may modify the schedule as necessary during the course of the proceeding. We anticipate this proceeding will be resolved within 18 months from the issuance of the scoping memo.

5. Comments on the Draft OIR

The Draft OIR in this matter was mailed to the parties on February 10, 2014, in accordance with Section 311 of the Public Utilities Code and comments were allowed under Rule 14.6 of the Commission's Rules of Practice and Procedure. Opening comments on the Draft OIR were due on March 3, 2014, and reply comments were due on March 17, 2014. Opening comments were filed by the Cities, PG&E, SCE, and SoCalGas/SDG&E and reply comments were filed by the Cities, PG&E, SCE, and SoCalGas/SDG&E. This decision reflects our review and consideration of the parties' comments.

6. Service List and Subscription Service

The service list for this proceeding was updated on January 14, 2014. Within 10 days from the date of mailing of this OIR, the IOUs shall serve the OIR on all of the cities and counties in their jurisdictions which might be impacted by the OIR.

Any person or representative of an entity seeking to become a party to this Rulemaking (*i.e.*, actively participate in the proceeding by filing comments or appearing at workshops) should send a request to the Commission's Process Office, 505 Van Ness Avenue, San Francisco, California 94102 (or Process_Office@cpuc.ca.gov) to be placed on the official service list. Individuals seeking only to monitor the proceeding (*i.e.*, but not participate as an active party) may request to be added to the service list as "Information Only." Include the following information:

- Docket Number of the OIR;
- Name and Party Represented, if Applicable;
- Postal Address;
- Telephone Number;
- E-mail Address; and
- Desired Status (Party or "Information Only").

The service list will be posted on the Commission's website, www.cpsc.ca.gov soon thereafter.

The Commission has adopted rules for the electronic service of documents related to its proceedings, Rule 1.10, available on our website at http://docs.cpsc.ca.gov/WORD_PDF/AGENDA_DECISION/143256.PDF. We will follow the electronic service protocols adopted by the Commission in Rule 1.10 for all documents, whether formally filed or just served.

This Rule provides for electronic service of documents, in a searchable format, unless the appearance or state service list member did not provide an e-mail address. If no e-mail address was provided, service should be made by United States mail. In this proceeding, concurrent e-mail service to all persons on the service list for whom an e-mail address is available will be required, including those listed under "Information Only." Parties are expected to provide paper copies of served documents upon request.

E-mail communication about this OIR proceeding should include, at a minimum, the following information on the subject line of the e-mail:

R. [xx xx xxx] – OIR on the Municipal Surcharge Act. In addition, the party sending the e-mail should briefly describe the attached communication; for example, "Comments." Paper format copies, in addition to electronic copies, shall be served on the assigned Commissioner and the ALJ.

This Rulemaking can also be monitored through the Commission's document subscription service; subscribers will receive electronic copies of documents in this Rulemaking that are published on the Commission's website. There is no need to be on the service list in order to use the subscription service. Instructions for enrolling in the subscription service are available on the Commission's website at <http://subscribecpuc.cpuc.ca.gov/>.

7. Public Advisor

Any person or entity interested in participating in this OIR who is unfamiliar with the Commission's procedures should contact the Commission's Public Advisor in San Francisco at (415) 703-2074 or (866) 849-8390 or e-mail public.advisor@cpuc.ca.gov; or in Los Angeles at (213) 576-7055 or (866) 849-8391, or e-mail public.advisor.la@cpuc.ca.gov. The TTY number is (866) 836-7825.

8. Intervenor Compensation

Any party that expects to request intervenor compensation for its participation in this OIR shall file its notice of intent to claim intervenor compensation in accordance with Rule 17.1 within 30 days of the filing of reply comments or of the prehearing conference, whichever is later.

9. *Ex Parte* Communications

Ex parte communications are defined in Rule 8.1. In quasi-legislative proceedings such as this one, *ex parte* communications are allowed without restriction or reporting requirement as set forth in Rule 8.3.

Therefore **IT IS ORDERED** that:

1. An Order Instituting Rulemaking is instituted for the purpose of determining whether the Commission should adopt a single methodology for the Investor-owned Utilities to use to calculate franchise fee remittances.
2. This Rulemaking is preliminarily determined to be a quasi-legislative proceeding, as that term is defined in Rule 1.3(d), and it is preliminarily determined that no hearings are necessary.
3. The outcome of this Rulemaking will be applicable to the Pacific Gas and Electric Company, the Southern California Edison Company, the Southern California Gas Company and the San Diego Gas & Electric Company.
4. The Executive Director shall cause this Order Instituting Rulemaking to be served on the service list for this proceeding.
5. The preliminary schedule for this proceeding is as set forth in the body of this Order Instituting Rulemaking. The assigned Commissioner through her scoping memo and subsequent rulings, and the assigned Administrative Law

Judge by ruling with the assigned Commissioner's concurrence, may modify the schedule as necessary.

6. The issues to be considered in this Order Instituting Rulemaking are those set forth in the body of this Order Instituting Rulemaking.

7. Comments and reply comments must be filed 60 and 90 days, respectively, from the mailing of this Order Instituting Rulemaking, unless the assigned Commissioner or Administrative Law Judge modifies the schedule. Comments and reply comments shall conform to the requirements of the Commission's Rules of Practice and Procedure.

8. Any persons objecting to the preliminary categorization of this Order Instituting Rulemaking as "quasi-legislative," or to the preliminary determination on the need for hearings, issues to be considered, or schedule shall state their objections in their opening comments of this Order Instituting Rulemaking.

9. Within 20 days of the date of issuance of this order, any person or representative of an entity seeking to become a party to this Order Instituting Rulemaking must send a request to the Commission's Process Office, 505 Van Ness Avenue, San Francisco, California 94102 (or Process_Office@cpuc.ca.gov) to be placed on the official service list for this proceeding. Individuals seeking only to monitor the proceeding, but not participate as an active party may request to be added to the service list as "Information Only."

10. After initial service of this order, a new service list for the proceeding shall be established following procedures set forth in this order. The Commission's Process Office will publish the official service list on the Commission's website (www.cpuc.ca.gov) as soon as practical. The assigned Commissioner, and the

assigned Administrative Law Judge, acting with the assigned Commissioner's concurrence, shall have ongoing oversight of the service list and may institute changes to the list or the procedures governing it as necessary.

11. Any party that expects to claim intervenor compensation for its participation in this Order Instituting Rulemaking shall file its notice of intent to claim intervenor compensation in accordance with Rule 17.1 of the Commission's Rules of Practice and Procedure, within 30 days of the filing of reply comments or of the prehearing conference, whichever is later.

12. The proceeding is closed.

This order is effective today.

Dated March 27, 2014, at San Francisco, California.

MICHAEL R. PEEVEY
President
MICHEL PETER FLORIO
CATHERINE J.K. SANDOVAL
CARLA J. PETERMAN
Commissioners

Commissioner Michael Picker, being
necessarily absent, did not participate.

**BEFORE THE PUBLIC UTILITIES COMMISSION
OF THE STATE OF CALIFORNIA**

Order Instituting Rulemaking To Consider Single
Methodology To Calculate Remittance Under
Municipal Surcharge Act.

R.14-03-016
(Filed March 27, 2014)

CORRECTED CERTIFICATE OF SERVICE

I, the undersigned, state that I am a citizen of the United States and am employed in the City and County of San Francisco; that I am over the age of eighteen (18) years and not a party to the within cause; and that my business address is Pacific Gas and Electric Company, Law Department, B30A, 77 Beale Street, San Francisco, California 94105. A printed of:

NOTICE OF ORDER INSTITUTING RULEMAKING IN R.14-03-016

was sent first class postage paid to State, County and City Officials located within the Company's service area on **April 14, 2014**. Additionally, a true copy was served via e-mail transmission to:

Darwin E. Farrar, Administrative Law Judge -- edf@cpuc.ca.gov
Michael R. Peevey, President -- mp1@cpuc.ca.gov
Carla J. Peterman, Commissioner -- cap@cpuc.ca.gov
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102

On **April 17, 2014** I served a true copy of the above-referenced document to include the missing pages 8-9 that were inadvertently omitted, via first class postage paid to State, County and City Officials located within the Company's service area. I also served a true copy via electronic mail on:

Darwin E. Farrar, Administrative Law Judge -- edf@cpuc.ca.gov
Michael R. Peevey, President -- mp1@cpuc.ca.gov
Carla J. Peterman, Commissioner -- cap@cpuc.ca.gov

I certify and declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on **April 17, 2014** at San Francisco, California.

/s/

Rene Anita Thomas