

1 [Appropriation - Proceeds from Revenue Bonds, Wastewater Revenues and Capacity Fees of
2 \$950,906,000 for Wastewater Capital Improvements, and Amending Ordinance No. 108-12 -
3 FYs 2014-2015 and 2015-2016]

4 **Ordinance appropriating \$950,906,000 of proceeds from revenue bonds, wastewater**
5 **revenue and capacity fees for the San Francisco Public Utilities Commission (SFPUC)**
6 **Wastewater Enterprise's Capital Improvement Program for FY2014-2015 at**
7 **\$342,775,000 and FY2015-2016 at \$608,131,000; and placing \$846,906,000 by functional**
8 **improvement area for the Sewer System Improvement Program (SSIP) at \$629,504,000**
9 **and the non-SSIP project at \$217,402,000 on Controller's reserve subject to SFPUC's**
10 **and the Board of Supervisors' approval following completion of project-related analysis**
11 **pursuant to the California Environmental Quality Act, where required; receipt of**
12 **proceeds of indebtedness; and amending Ordinance No. 108-12.**

13
14 **Note:** **Unchanged Code text and uncodified text** are in plain Arial font.
15 **Additions to Codes** are in *single-underline italics Times New Roman font.*
16 **Deletions to Codes** are in *strikethrough italics Times New Roman font.*
17 **Board amendment additions** are in double underlined Arial font.
18 **Board amendment deletions** are in ~~strikethrough Arial font.~~
19 **Asterisks (* * *)** indicate the omission of unchanged Code
20 subsections or parts of tables.

21 Be it ordained by the People of the City and County of San Francisco:

22 Section 1. The sources of funding outlined below are herein appropriated to reflect the
23 funding available for FY2014-2015.
24
25

1 **SOURCES Appropriation**

Fund	Index Code /	Subsubject	Description	Amount
	Project Code			
5C CPF 15A - WWE-	*CWP5CCPF15A /	80111	Proceeds Sale	\$292,775,000
Capital Projects-2015A	WWE30001		of Bonds	
Bond Fund				
5C CPF R&R – WWE	*CWWPZZZC505C /	9505C	Wastewater	\$39,000,000
Renewal &	CWWRNRC00		Enterprise	
Replacement Fund			Revenue	
5C CPF CAP –	*CWP5CCPFCAP /	99999B	Wastewater	\$11,000,000
Wastewater Capacity	CWWRNRC00		Capacity Fee	
Fee				
Total SOURCES Appropriation				<u>\$342,775,000</u>

18 Section 2. The uses of funding outlined below are herein appropriated by functional
 19 improvement area in the designated functional Subsubject 06700 Buildings Structures and
 20 Improvements, 06R00 Capital Renewal Projects, 081C4 Internal Audits and 081XX Revenue
 21 Bond Oversight Committee, and reflects the projected uses of funding to support the
 22 Wastewater Capital Improvement Program at the San Francisco Public Utilities Commission
 23 for FY2014-2015. The Controller may reallocate funds between projects within functional
 24 improvement areas, and is authorized to recategorize projects in the functional improvement
 25 areas as necessary to conform to Generally Accepted Accounting Principles. The Controller

1 shall report to the Board of Supervisors on any transfers of appropriation authority between
 2 projects within functional program areas that exceed the receiving project appropriation by
 3 more than 10%.

4
 5 **USES Appropriation**

6 **Functional Improvement Area - SSIP Program-Wide Management:**

Fund	Index Code / Project Code	Subobject	Description	Amount
5C CPF 15A - WWE- Capital Projects- 2015A Bond Fund	CWPX5CCPF15A / CWWSIPPR00	06700 Buildings, Structures, and Improvements	SSIP – Program- Wide Management	\$23,000,000
5C CPF 15A - WWE- Capital Projects- 2015A Bond Fund	CWPX5CCPF15A / CWWSIPPR00	06700 Buildings, Structures, and Improvements	SSIP – Land Reuse	\$5,000,000
Subtotal - SSIP Program-Wide Management				\$28,000,000

18
 19 **Functional Improvement Area - SSIP Treatment Facilities Program:**

Fund	Index Code / Project Code	Subobject	Description	Amount
5C CPF 15A - WWE- Capital Projects- 2015A Bond Fund	CWPX5CCPF15A / CWWSIPDP00	06700 Buildings, Structures, and Improvements	Biosolids/Digester Project	\$42,600,000

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3					
4	5C CPF 15A - WWE-	CWPX5CCPF15A /	06700 Buildings,	Treatment Plant	\$42,420,000
5	Capital Projects-	CWWSIPSE00	Structures, and	Improvements -	
6	2015A Bond Fund		Improvements	Southeast	
7	5C CPF 15A - WWE-	CWPX5CCPF15A /	06700 Buildings,	Treatment Plant	\$22,100,000
8	Capital Projects-	CWWSIPTP00	Structures, and	Improvements	
9	2015A Bond Fund		Improvements		
10					
11	Subtotal - SSIP Treatment Facilities Program				\$107,120,000

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13 **Functional Improvement Area - SSIP Sewer/Collection System Program:**

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15	Fund	Index Code /	Subobject	Description	Amount
16		Project Code			
17	5C CPF 15A - WWE-	CWPX5CCPF15A /	06700 Buildings,	Central Bayside	\$14,000,000
18	Capital Projects-	CWWSIPCT00	Structures, and	System	
19	2015A Bond Fund		Improvements	Improvements	
20					
21	5C CPF 15A - WWE-	CWPX5CCPF15A /	06700 Buildings,	Collection System	\$16,500,000
22	Capital Projects-	CWWSIPCS00	Structures, and	Improvements	
23	2015A Bond Fund		Improvements		
24					
25					

	Fund	Index Code / Project Code	Subobject	Description	Amount
3	5C CPF 15A - WWE-	CWPX5CCPF15A /	06700 Buildings,	Pump Stations /	\$1,300,000
4	Capital Projects-	CWWSIPCSPS00	Structures, and	Bayside & Westside	
5	2015A Bond Fund		Improvements		
7	Subtotal - SSIP Sewer/Collection System Program				\$31,800,000
9	Functional Improvement Area - SSIP Storm Water/Flood Control Program:				
11	5C CPF 15A - WWE-	CWPX5CCPF15A /	06700 Buildings,	Storm Water	\$37,300,000
12	Capital Projects-	CWWSIPFC00	Structures, and	Management	
13	2015A Bond Fund		Improvements		
15	5C CPF 15A - WWE-	CWPX5CCPF15A /	06700 Buildings,	Urban Watershed	\$3,000,000
16	Capital Projects-	CWWSIPUW00	Structures, and	Assessment	
17	2015A Bond Fund		Improvements		
19	Subtotal - SSIP Storm Water/Flood Control Program				\$40,300,000
21	Functional Improvement Area – Non SSIP WASTEWATER:				
22	5C CPF 15A - WWE-	CWPX5CCPF15A /	06700 Buildings,	Renewal &	\$40,422,000
23	Capital Projects-	CWWRNRCS00	Structures, and	Replacement –	
24	2015A Bond Fund		Improvements	Collection System	

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5C CPF R&R – WWE	WWECS5CPFRNR /	06R00 Capital	Renewal &	\$23,858,000
4	Renewal &	CWWNRNCS00	Renewal Projects	Replacement –	
5	Replacement Fund			Collection System	
6					
7	5C CPF CAP –	CWPX5CCPFCAP /	06R00 Capital	Renewal &	\$11,000,000
8	Capacity Fee	CWWNRNCS00	Renewal Projects	Replacement –	
9				Collection System	
10					
11	5C CPF R&R – WWE	WWETF5CPFRNR /	06R00 Capital	Renewal &	\$12,442,000
12	Renewal &	CWWNRNRTF00	Renewal Projects	Replacement –	
13	Replacement Fund			Treatment Facilities	
14					
15	5C CPF R&R – WWE	WWECS5CPFRNR/	06R00 Capital	Ocean Beach	\$2,700,000
16	Renewal &	CWWFAC01	Renewal Projects	Visioning Process	
17	Replacement Fund				
18					
19	5C CPF 15A - WWE-	CWPX5CCPF15A /	06700 Buildings,	Southeast	\$7,000,000
20	Capital Projects-	CWWFAC03	Structures, and	Community Center	
21	2015A Bond Fund		Improvements	Improvements	
22					
23	Subtotal - Non SSIP WASTEWATER				\$97,422,000
24					
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5C CPF 15A - WWE-	CWPX5CCPF15A /	06700 Buildings,	Financing Costs	\$37,377,329
4	Capital Projects-	CWW30001	Structures, and		
5	2015A Bond Fund		Improvements		
6					
7	5C CPF 15A - WWE-	CWPX5CCPF15A /	081C4 Internal	City Services	\$609,284
8	Capital Projects-	CWW30001	Audits	Auditor	
9	2015A Bond Fund				
10					
11	5C CPF 15A - WWE-	CWPX5CCPF15A /	081XX	Revenue Bond	\$146,387
12	Capital Projects-	CWW30001		Oversight	
13	2015A Bond Fund			Committee	
14					
15	Total USES Appropriation				\$342,775,000

17 Section 3: Of the above appropriated amount, \$609,284, representing 0.2% of the
18 expenditure budget net of bond financing and audit costs, is to be allocated and available to
19 support the Controller's Audit Fund, pursuant to Charter Appendix F1.113; and \$146,387,
20 representing 0.05% of gross bond proceeds is to be allocated and available to support the
21 Public Utilities Commission Revenue Bond Oversight Committee, pursuant to Administrative
22 Code Section 5A.31. These appropriations may be increased or decreased by the Controller
23 based on changes to expenditure appropriations or actual gross bond proceeds to conform to
24 the applicable Charter and Administrative Code formulas.

25

1 Section 4: \$292,775,000 of the total appropriation is hereby placed on Controller's
2 Appropriation Reserve by program for the SSIP at \$207,220,000, and by non-SSIP project at
3 \$85,555,000. Release of appropriation reserves by the Controller is subject to the prior
4 occurrence of: 1) the SFPUC's and the Board of Supervisors' discretionary adoption of CEQA
5 findings for projects, following review and consideration of completed project-related
6 environmental analysis, where required, pursuant to CEQA, the State CEQA Guidelines, and
7 Chapter 31 of the San Francisco Administrative Code, and 2) the Controller's certification of
8 funds availability, including proceeds of indebtedness including Commercial Paper capacity.

9
10 Section 5: Associated Bond financing costs up to \$37,377,329 are also hereby
11 appropriated, including but not limited to, issuance costs, debt service reserve, capitalized
12 interest, rating agency, and disclosure costs. These costs shall be pleased on Controller's
13 reserve pending receipt of bond proceeds. To the extent that net available bond proceeds
14 after financing costs are more than budgeted, the Controller may approve the use of such
15 surplus bond proceeds as a substitute for other sources budgeted in this appropriation.

16
17 Section 6: The Controller is authorized to record transfers between funds and adjust
18 the accounting treatment of sources and uses appropriated in this ordinance as necessary to
19 conform to Generally Accepted Accounting Principles.

20
21 Section 7. The sources of funding outlined below are herein appropriated to reflect the
22 funding available for FY2015-2016.

1 **SOURCES Appropriation**

Fund	Index Code /	Subsubject	Description	Amount
	Project Code			
5C CPF 16A - WWE-	*CWP5CCPF16A /	80111	Proceeds Sale	\$554,131,000
Capital Projects-2016A	WWE30001		of Bonds	
Bond Fund				
5C CPF R&R – WWE	CWWPZZZC505C /	9505C	Wastewater	\$41,000,000
Renewal &	CWWRNRC500		Enterprise	
Replacement Fund			Revenue	
5C CPF CAP –	*CWP5CCPFCAP /	99999B	Wastewater	\$13,000,000
Wastewater Capacity	CWWRNRC500		Capacity Fee	
Fee				
Total SOURCES Appropriation				<u>\$608,131,000</u>

18 Section 8. The uses of funding outlined below are herein appropriated by project and
 19 functional improvement area, in Subsubject 06700 Buildings Structures and Improvements,
 20 06R00 Capital Renewal Projects, 081C4 Internal Audits and 081XX Revenue Bond Oversight
 21 Committee, and reflects the projected uses of funding to support the Wastewater Capital
 22 Improvement Program at the San Francisco Public Utilities Commission for FY2015-2016.
 23 The Controller may reallocate funds between projects within functional improvement areas,
 24 and is authorized to reclassify projects in the functional improvement areas as necessary
 25 to conform to Generally Accepted Accounting Principles. The Controller shall report to the

1 Board of Supervisors on any transfers of appropriation authority between projects within
 2 functional program areas that exceed the receiving project appropriation by more than 10%.

3
 4 **USES Appropriation**

Fund	Index Code / Project Code	Subobject	Description	Amount
Functional Improvement Area - SSIP Program-Wide Management:				
5C CPF 16A - WWE- Capital Projects- 2016A Bond Fund	CWPX5CCPF16A / CWWSIPPR00	06700 Buildings, Structures, and Improvements	SSIP – Program- wide Management	\$24,000,000
5C CPF 16A - WWE- Capital Projects- 2016A Bond Fund	CWPX5CCPF16A / CWWSIPPR00	06700 Buildings, Structures, and Improvements	SSIP – Land Reuse	\$29,253,000
Subtotal - SSIP Program-Wide Management				\$52,253,000
Functional Improvement Area - SSIP Treatment Facilities Program:				
5C CPF 16A - WWE- Capital Projects- 2016A Bond Fund	CWPX5CCPF16A / CWWSIPDP00	06700 Buildings, Structures, and Improvements	Biosolids/Digester Project	\$122,600,000

	Fund	Index Code / Project Code	Subobject	Description	Amount
3	5C CPF 16A - WWE-	CWPX5CCPF16A /	06700 Buildings,	Treatment Plant	\$102,981,000
4	Capital Projects-	CWWSIPSE00	Structures, and	Improvements -	
5	2016A Bond Fund		Improvements	Southeast	
7	5C CPF 16A - WWE-	CWPX5CCPF16A /	06700 Buildings,	Treatment Plant	\$45,280,000
8	Capital Projects-	CWWSIPTP00	Structures, and	Improvements	
9	2016A Bond Fund		Improvements		
10	Subtotal - SSIP Treatment Facilities Program				\$270,861,000
12	Functional Improvement Area - SSIP Sewer/Collection System Program:				
14	5C CPF 16A - WWE-	CWPX5CCPF16A /	06700 Buildings,	Central Bayside	\$24,800,000
15	Capital Projects-	CWWSIPCT00	Structures, and	System	
16	2016A Bond Fund		Improvements	Improvements	
18	5C CPF 16A - WWE-	CWPX5CCPF16A /	06700 Buildings,	Collection System	\$41,100,000
19	Capital Projects-	CWWSIPCS00	Structures, and	Improvements	
20	2016A Bond Fund		Improvements		
22	5C CPF 16A - WWE-	CWPX5CCPF16A /	06700 Buildings,	Pump Stations /	\$8,600,000
23	Capital Projects-	CWWSIPCSPS00	Structures, and	Bayside & Westside	
24	2016A Bond Fund		Improvements		
25	Subtotal - SSIP Sewer/Collection System Program				\$74,500,000

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	Functional Improvement Area - SSIP Storm Water/Flood Control Program:				
4					
5	5C CPF 16A - WWE-	CWPX5CCPF16A /	06700 Buildings,	Storm Water	\$23,670,000
6	Capital Projects-	CWWSIPFC00	Structures, and	Management	
7	2016A Bond Fund		Improvements		
8	Subtotal - SSIP Storm Water/Flood Control Program				\$23,670,000
9					
10	Functional Improvement Area – Non SSIP WASTEWATER:				
11					
12	5C CPF 16A - WWE-	CWPX5CCPF16A /	06700 Buildings,	Renewal &	\$40,351,000
13	Capital Projects-	CWWRNRCS00	Structures, and	Replacement –	
14	2016A Bond Fund		Improvements	Collection System	
15					
16	5C CPF R&R – WWE	VWECS5CPFRNR /	06R00 Capital	Renewal &	\$24,637,000
17	Renewal &	CWWRNRCS00	Renewal Projects	Replacement –	
18	Replacement Fund			Collection System	
19					
20	5C CPF CAP –	CWPX5CCPFCAP /	06R00 Capital	Renewal &	\$13,000,000
21	Capacity Fee	CWWRNRCS00	Renewal Projects	Replacement –	
22				Collection System	
23					
24					
25					

	Fund	Index Code / Project Code	Subobject	Description	Amount
1					
2					
3	5C CPF R&R – WWE	WWETF5CPFRNR /	06R00 Capital	Renewal &	\$13,063,000
4	Renewal &	CWWRNRTF00	Renewal Projects	Replacement –	
5	Replacement Fund			Treatment Facilities	
6					
7	5C CPF R&R – WWE	WWECS5CPFRNR /	06R00 Capital	Ocean Beach	\$3,300,000
8	Renewal &	CWWFAC01	Renewal Projects	Visioning Process	
9	Replacement Fund				
10					
11	5C CPF 16A - WWE-	CWPX5CCPF16A /	06700 Buildings,	Collection Division	\$20,000,000
12	Capital Projects-	CWWFAC02	Structures, and	Consolidation	
13	2016A Bond Fund		Improvements		
14	Subtotal - Non SSIP WASTEWATER				\$114,351,000
15					
16	5C CPF 16A - WWE-	CWPX5CCPF16A /	06700 Buildings,	Financing Costs	\$70,145,665
17	Capital Projects-	CWW30001	Structures, and		
18	2016A Bond Fund		Improvements		
19					
20	5C CPF 16A - WWE-	CWPX5CCPF16A /	081C4 Internal	City Service Auditor	\$1,073,270
21	Capital Projects-	CWW30001	Audits		
22	2016A Bond Fund				
23					
24					
25					

1	Fund	Index Code /	Subobject	Description	Amount
2		Project Code			
3	5C CPF 16A - WWE-	CWPX5CCPF16A /	081XX	Revenue Bond	\$277,065
4	Capital Projects-	CWW30001		Oversight	
5	2016A Bond Fund			Committee	
6					
7	Total USES Appropriation				\$608,131,000

8

9 Section 9: Of the above appropriated amount, \$1,073,270, representing 0.2% of the

10 expenditure budget net of bond financing and audit costs, is to be allocated and available to

11 support the Controller's Audit Fund, pursuant to Charter Appendix F1.113; and \$277,065,

12 representing 0.05% of gross bond proceeds is to be allocated and available to support the

13 Public Utilities Commission Revenue Bond Oversight Committee, pursuant to Administrative

14 Code Section 5A.31. These appropriations may be increased or decreased by the Controller

15 based on changes to expenditure appropriations or actual gross bond proceeds to conform to

16 the applicable Charter and Administrative Code formulas.

17

18 Section 10: \$554,131,000 of the total appropriation is hereby placed on Controller's

19 Appropriation Reserve by program for the SSIP at \$422,284,000 and for non-SSIP projects at

20 \$131,847,000. Release of appropriation reserves by the Controller is subject to the prior

21 occurrence of: 1) the SFPUC's and the Board of Supervisors' adoption of CEQA findings for

22 projects, following review and consideration of completed project-related environmental

23 analysis, where required, pursuant to CEQA, the State CEQA Guidelines, and Chapter 31 of

24 the San Francisco Administrative Code, and 2) the Controller's certification of funds

25 availability, including proceeds of indebtedness including Commercial Paper capacity.

1 Section 11: Associated Bond financing costs up to \$70,145,665 is also hereby
2 appropriated, including but not limited to, issuance costs, debt service reserve, capitalized
3 interest, rating agency, and disclosure costs, all on Controller's reserve pending receipt of
4 bond proceeds. To the extent that net available bond proceeds after financing costs are more
5 than budgeted, the Controller may approve the use of such surplus bond proceeds as a
6 substitute for other sources budgeted in this appropriation

7
8 Section 12: The Controller is authorized to record transfers between funds and adjust
9 the accounting treatment of sources and uses appropriated in this ordinance, including but not
10 limited to recategorization of projects within functional improvement areas, as necessary to
11 conform to Generally Accepted Accounting Principles.

12
13 Section 13. The funding below was previously appropriated and no additional funding is
14 requested in this supplemental appropriation ordinance.

15
16 Section 14. The uses of funding outlined below are herein re-appropriated in Subobject
17 06700 (Buildings, Structures, and Improvement Project-Budget) and reflects a reallocation of
18 funding previously approved in the FY 2013-14 Wastewater Supplemental Appropriation
19 Ordinance 108-12.

1 **Uses De-appropriation**

Fund	Index Code /	Subobject	Description	Amount
	Project Code			
5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	SSIP -Biosolids /	\$35,000,000
Capital Projects-	CWWSIPDP00	Structures, and	Digester Project	
2013A Bond Fund		Improvements		
Total USES De-Appropriation				\$35,000,000

11 **Uses Re-Appropriation**

Fund	Index Code /	Subobject	Description	Amount
	Project Code			
5C CPF 13A - WWE-	CWPX5CCPF13A /	06700 Buildings,	SSIP – Land Reuse	\$35,000,000
Capital Projects-	CWWSIPPR00	Structures, and		
2013A Bond Fund		Improvements		
Total USES Re-Appropriation				\$35,000,000

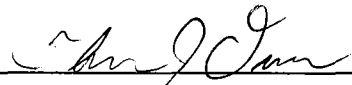
20 Section 15. PUC capital improvements for the Sewer System Improvement Program
 21 shall be budgeted and approved by functional improvement area (Program Wide
 22 Management, Treatment Facilities, Sewer/Collection System, Storm Water/Flood Control
 23 Management), and may be defined based on functional improvements. The Controller may
 24 reallocate funds between projects within functional improvement areas, and is authorized to
 25 recategorize projects in the functional improvement areas as necessary to conform to

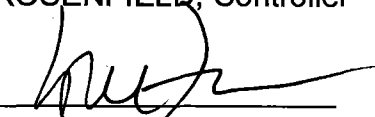
1 Generally Accepted Accounting Principles. The Controller shall report to the Board of
 2 Supervisors on any transfers of appropriation authority between projects within functional
 3 program areas that exceed the receiving project appropriation by more than 10%.

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APPROVED AS TO FORM:
 DENNIS J. HERRERA, City Attorney

FUNDS AVAILABLE
 BEN ROSENFELD, Controller

By: 
 THOMAS OWEN
 Deputy City Attorney

By: 
 MONIQUE ZMUDA
 Deputy Controller



City and County of San Francisco

Tails
Ordinance

City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4689

File Number: 140481

Date Passed: June 24, 2014

Ordinance appropriating \$950,906,000 of proceeds from revenue bonds, wastewater revenue and capacity fees for the Public Utilities Commission (PUC) Wastewater Enterprise's Capital Improvement Program for FY2014-2015 at \$342,775,000 and FY2015-2016 at \$608,131,000; and placing \$846,906,000 by functional improvement area for the Sewer System Improvement Program (SSIP) at \$629,504,000 and the non-SSIP project at \$217,402,000 on Controller's reserve subject to PUC's and the Board of Supervisors' approval following completion of project-related analysis pursuant to the California Environmental Quality Act, where required; receipt of proceeds of indebtedness; and amending Ordinance No. 108-12.

May 21, 2014 Budget and Finance Committee - CONTINUED TO CALL OF THE CHAIR

June 11, 2014 Budget and Finance Committee - RECOMMENDED

June 17, 2014 Board of Supervisors - PASSED, ON FIRST READING

Ayes: 11 - Avalos, Breed, Campos, Chiu, Cohen, Farrell, Kim, Mar, Tang, Wiener and Yee

June 24, 2014 Board of Supervisors - FINALLY PASSED

Ayes: 11 - Avalos, Breed, Campos, Chiu, Cohen, Farrell, Kim, Mar, Tang, Wiener and Yee

File No. 140481

I hereby certify that the foregoing Ordinance was FINALLY PASSED on 6/24/2014 by the Board of Supervisors of the City and County of San Francisco.

Angela Calvillo
Clerk of the Board

Mayor

7/2/2014

Date Approved