

San Francisco Ethics Commission
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San Francisco, CA 94102
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Fax: (415) 252-3112
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For SFEC use

FORM SFEC-126:
NOTIFICATION OF CONTRACT APPROVAL
(S.F. Campaign and Governmental Conduct Code § 1.126)

a. Instructions:

Each City elective officer who approves a contract that has a value of \$50,000 or more in a fiscal year must file this form with the Ethics Commission within five business days of approval. This filing requirement applies if the contract is approved by:

- the City elective officer,
- any board on which the City elective officer serves, or
- the board of any state agency on which an appointee of the City elective officer serves, as described in (d) below.

b. Who files this notice?

The City elective officer who approved the contract, whose board approved the contract, or who has an appointee on the board of a state agency that approved the contract, must file this form. However, the City elective officer is not required to file this form if the clerk or secretary of the board on which the officer or appointee serves has filed this form on behalf of the board.

c. Who is a City elective officer?

A City Elective Officer is any of the following: Mayor, member of the Board of Supervisors, City Attorney, District Attorney, Treasurer, Sheriff, Assessor, Public Defender, member of the Board of Education of the San Francisco Unified School District, or member of the Governing Board of the San Francisco Community College District.

d. What is a "board of a state agency" that is covered by this filing requirement?

For the purposes of this report, the board of a state agency on which an appointee of a City elective officer serves is limited to the following agencies: Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority, and Local Workforce Investment Board.

e. Is this form required for all contracts?

No. This form is required if the contract has a total anticipated or actual value of \$50,000 or more, or a combination or series of such contracts, amendments or modifications approved by the same City elective officer or board has a value of \$50,000 or more in a fiscal year.

f. What happens after this form is filed?

For a period of six months after the contract is approved, neither the City elective officer nor any political committee that he or she controls may solicit or accept a campaign contribution from the following persons or entities: the party whose contract was approved; the party's board of directors; the party's chairperson, chief executive officer, chief financial officer, or chief operating officer; any person with an ownership interest of more than 20 percent in the party; any subcontractor listed in the bid or contract; or any political committee sponsored or controlled by the contracting party. Nor may any of these persons make a campaign contribution to the City elective officer, a candidate for the office held by such officer, or a political committee controlled by such officer or candidate.

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City Elective Officer Information <i>(Please print clearly.)</i>	
Name of City elective officer(s): Members of the Board of Supervisors	City elective office(s) held: Board of Supervisors

Contractor Information <i>(Please print clearly.)</i>
Name of contractor: Natoma Family Housing, LP, for which 474 Natoma, LLC, a California limited liability company, is the general partner; BRIDGE Homes, Inc., a California nonprofit public benefit corporation, is the sole member of 474 Natoma LLC

Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.

1) BOARD OF DIRECTORS

Richard Holliday - Board Chair, President, Holliday Development
Douglas D. Abbey, Founder, AMB Property Corporation
Richard Bender, Dean, Professor Emeritus, Center for Environmental Design Research, University of California at Berkeley
Ray Carlisle, President, Carlisle Companies
Kent Colwell, CRE, Principal, Parthenon Associates
Harry M. Haigood, Chairman & CEO, One California Bank, FSB
Ron Nahas, Partner, Rafanelli & Nahas
Dennis O'Brien, President, The O'Brien Group
Peter Palmisano, Partner, Pacific Union Development Co.
Lynn Sedway, CRE, President, Sedway Consulting
Alan L. Stein - Chairman Emeritus, Managing Director, JMP Securities LLC
Paul Stein, Managing Partner, SKS Investments LLC
Ernesto Vasquez, Partner, McLarand Vasquez Emsiek & Partners
Clark Wallace, Principal, Clark Wallace Realtor & Associates
Susanne B. Wilson, Principal, Solutions by Wilson
Jim Wunderson, President and CEO, Bay Area Council

2) KEY STAFF

Cynthia A. Parker, President and CEO
Rebecca Clark, Executive Vice President
Kimberly McKay, Executive Vice President
Susan M. Johnson, Executive Vice President
D. Kemp Valentine, Executive Vice President and Chief Financial Officer
Rebecca V. Hlebascko, Vice President and General Counsel

Contractor address: BRIDGE Housing Corporation, 345 Spear Street, Suite 700, San Francisco, CA 94105

Date that contract was approved: Loan Agreement between the City, US Bank and Trustee, and 474 Natoma LLC to be signed on December 16, 2011.	Amount of contract: \$17,260,000
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Describe the nature of the contract that was approved: Board of Supervisors to consider a resolution authorizing the issuance and delivery of \$17,260,000 in multifamily housing revenue bonds for the purpose of providing financing for the acquisition and construction of a 60-unit multifamily rental housing project known as Natoma Family Apartments. Bank of America, N.A., intends to purchase or cause its affiliate to purchase the Bonds and, through its Trustee, US Bank, to enter into a construction loan agreement and to execute associated documents with Natoma Family Housing, LP, for which 474 Natoma, LLC, a California limited liability company, is the general partner; BRIDGE Homes, Inc., a California nonprofit public benefit corporation, is the sole member of 474 Natoma LLC.

Comments: The Board of Supervisors Budget and Finance Committee will consider the bond issuance resolution on December 7, 2011; the full Board of Supervisors will consider the resolution on December 13, 2011. Pending approval by the Board and the Mayor, loan documents and associated agreements between the City and all parties will be executed on December 16, 2011, or thereabouts.

This contract was approved by (check applicable):

☒ the City elective officer(s) identified on this form

☐ a board on which the City elective officer(s) serves _____

Print Name of Board

☐ the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits

Print Name of Board

Filer Information <i>(Please print clearly.)</i>	
Name of filer: Lydia Ely, Project Manager	Contact telephone number: (415) 701 5519
Address: Mayor's Office of Housing, 1 South Van Ness Avenue	E-mail: Lydia.Ely@sfgov.org

Signature of City Elective Officer (if submitted by City elective officer)

Date Signed

Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

Date Signed

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