San Francisco Ethics Commission 25 Van Ness Avenue, Suite 220 San Francisco, CA 94102

Phone: (415) 252-3100 Fax: (415) 252-3112

Email: ethics.commission@sfgov.org

Web: www.sfgov.org/ethics



	LOI 21	TEC us	e	

# FORM SFEC-126: NOTIFICATION OF CONTRACT APPROVAL

(S.F. Campaign and Governmental Conduct Code § 1.126)

#### a. Instructions:

Each City elective officer who approves a contract that has a value of \$50,000 or more in a fiscal year must file this form with the Ethics Commission within five business days of approval. This filing requirement applies if the contract is approved by:

- the City elective officer,
- any board on which the City elective officer serves, or
- the board of any state agency on which an appointee of the City elective officer serves, as described in (d) below.

### b. Who files this notice?

The City elective officer who approved the contract, whose board approved the contract, or who has an appointee on the board of a state agency that approved the contract, must file this form. However, the City elective officer is not required to file this form if the clerk or secretary of the board on which the officer or appointee serves has filed this form on behalf of the board.

# c. Who is a City elective officer?

A City Elective Officer is any of the following: Mayor, member of the Board of Supervisors, City Attorney, District Attorney, Treasurer, Sheriff, Assessor, Public Defender, member of the Board of Education of the San Francisco Unified School District, or member of the Governing Board of the San Francisco Community College District.

# d. What is a "board of a state agency" that is covered by this filing requirement?

For the purposes of this report, the board of a state agency on which an appointee of a City elective officer serves is limited to the following agencies: Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority, and Local Workforce Investment Board.

# e Is this form required for all contracts?

No. This form is required if the contract has a total anticipated or actual value of \$50,000 or more, or a combination or series of such contracts, amendments or modifications approved by the same City elective officer or board has a value of \$50,000 or more in a fiscal year.

#### f. What happens after this form is filed?

For a period of six months after the contract is approved, neither the City elective officer nor any political committee that he or she controls may solicit or accept a campaign contribution from the following persons or entities: the party whose contract was approved; the party's board of directors; the party's chairperson, chief executive officer, chief financial officer, or chief operating officer; any person with an ownership interest of more than 20 percent in the party; any subcontractor listed in the bid or contract; or any political committee sponsored or controlled by the contracting party. Nor may any of these persons make a campaign contribution to the City elective officer, a candidate for the office held by such officer, or a political committee controlled by such officer or candidate.

# FORM SFEC-126: NOTIFICATION OF CONTRACT APPROVAL

(S.F. Campaign and Governmental Conduct Code § 1.126)

City Elective Officer Information (Please print clearly.)	air Conduct Code § 1.120)				
Name of City elective officer(s):	City elective office(s):				
Members, Board of Supervisors	Members, Board of Supervisors				
Tromovio, Board of Supervisors	Tremovis, Doura of Supervisors				
Contractor Information (Please print clearly.)					
Name of contractor: Regents of the University of California San Fra	nncisco				
Please list the names of (1) members of the contractor's board of direction financial officer and chief operating officer; (3) any person who has any subcontractor listed in the bid or contract; and (5) any political additional pages as necessary.	an ownership of 20 percent or more in the contractor; (4)				
(1) Members of the contractor's board of directors (Board of Regents) <a href="http://regents.universityofcalifornia.edu/about/committees.html">http://regents.universityofcalifornia.edu/about/committees.html</a>					
Appointed Regents: Richard C. Blum, William De La Peña, M.D., Gareth Elliott, Russell Gould, Eddie Island, George Kieffer, Sherry L. Lansing, Monica Lozano, Hadi Makarechian, Eloy Ortiz Oakley, Abraham (Avi) Oved, Norman J. Pattiz, John A. Pérez, Bonnie Reiss, Fred Ruiz, Richard Sherman, Bruce D. Varner, Paul Wachter, and Charlene Zettel					
Ex Officio Regents: Jerry Brown, Gavin Newsom, Toni Atkins, Tom Torlakson, Janet Napolitano, Rodney Davis, Yolanda Gorman					
(2) The contractor's chief executive officer, chief financial officer and chief operating officer					
Janet Napolitano, President, University of California <a href="http://www.ucop.edu/president/about/index.html">http://www.ucop.edu/president/about/index.html</a> Nathan Brostrom, Executive Vice President — Chief Financial Officer <a href="http://www.ucop.edu/finance-office/staff/bios/nathan-brostrom.html">http://www.ucop.edu/finance-office/staff/bios/nathan-brostrom.html</a> Rachael Nava, Executive Vice President — Chief Operating Officer <a href="http://www.ucop.edu/business-operations/staff/bios/rachael-nava.html">http://www.ucop.edu/business-operations/staff/bios/rachael-nava.html</a>					
(3) any person who has an ownership of 20 percent or more in the contractor – No					
(4) any subcontractor listed in the bid or contract - No					
(5) any political committee sponsored or controlled by the contractor - No					
Contractor address: 3333 California, St 315, San Francisco, CA 9	4143				
Date that contract was approved: December 8, 2015	Amount of contract: Not to exceed \$34,343,322				
Describe the nature of the contract that was approved: To provide mental health and substance abuse services					
Comments:					
	int Name of Board				
the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits					

File No. 151044

<b>Filer Information</b> ( <i>Please print clearly</i> .)		
Name of filer:	Contact telephone number:	
Angela Calvillo, Clerk of the Board	(415) 554-5184	
Address:	E-mail:	
City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, CA 94102	Board.of.Supervisors@sfgov.org	
Signature of City Elective Officer (if submitted by City elective officer)	Date Signed	
Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)	Date Signed	
	26 Contractors doing business with the City 11 08 doc	