

## Grant Agreement

September 29, 2015

Ken Epstein, PhD, LCSW  
Director, Child, Youth & Family System of Care  
San Francisco Department of Public Health  
1380 Howard Street  
San Francisco, CA 94103

**Reference ID#: G1000089**

Dear Dr. Epstein:

I am pleased to inform you that the Center for Health Care Strategies, Inc. (CHCS) has approved a Grant (the "Grant") in the amount of \$279,816 to the San Francisco Department of Public Health (the "Grantee") for the purposes set forth in Section I, below. For purposes of this Grant Agreement, the term "Grant" shall include the income, if any arising therefrom, unless the context otherwise requires. The Grant will be made in accordance with the following terms and conditions:

**I. Purpose of the Grant.**

CHCS is making the Grant to the San Francisco Department of Public Health for a project entitled "Advancing Adoption of Trauma-Informed Approaches to Care" (the "Project") as outlined in its proposal dated July 6, 2015, as approved by CHCS, the attached Exhibit A, and hereby incorporated into this Grant Agreement. Specific costs to be paid from the Grant are itemized in the Grant budget, attached as Exhibit B (with *CHCS Budget Revision Guidelines* included), and hereby incorporated into this Grant Agreement.

**II. Conditions and Terms of the Grant.**

The Grant shall be available for draw down for a period beginning on October 10, 2015 (the "Commencement Date") and ending September 30, 2017 (the "Expiration Date"). No additional funds will be awarded above the original approved amount for this period.

**III. Disbursement of the Grant.**

Disbursement of the Grant will begin upon receipt by CHCS of this letter, counter-signed in accordance with Section IX below.

Ken Epstein, PhD, LCSW  
San Francisco Department of Public Health  
Ref ID# G1000089  
September 29, 2015

CHCS will disburse Grant payments up until the Expiration Date in accordance with the Schedule of Payments and Requirements set forth in Exhibit C, attached hereto and hereby incorporated into this Grant Agreement.

The funds for this Grant were received by CHCS pursuant to a grant from the Robert Wood Johnson Foundation (RWJF).

**IV. Additional Restrictions and Conditions on the Use of the Grant.**

- A. Grant funds shall be used only for the purposes outlined in Exhibit A.
- B. No part of the Grant shall be used to carry on propaganda or otherwise attempt to influence legislation within the meaning of Section 4945(d)(1) of the Internal Revenue Code.
- C. No part of the Grant shall be used to attempt to influence the outcome of any specific public election or to carry on, directly or indirectly, any voter registration drive within the meaning of Section 4945(d)(2) of the Internal Revenue Code.
- D. No part of the Grant shall be used for other than religious, charitable, scientific, literary, or educational purposes or the prevention of cruelty to children or animals within the meaning of Section 170(c)(2)(B) of the Internal Revenue Code.
- E. No part of the Grant shall be used to provide a grant to another organization, except as specifically set forth in the Grant proposal, Exhibit A.
- F. If the Grant is to be used in whole or in part for research involving human subjects, the Grantee hereby certifies that the Grantee, applying the ethical standards and the criteria for approval of grants set forth in Department of Health and Human Services policy for the protection of human research subjects (45 CFR part 46, as amended from time to time), has determined that the human subjects involved in this Grant will not experience risk over and above that involved in the normal process of care and are likely to benefit from the proposed research program.

**V. Tax-Exempt Status.**

The Grantee represents that it is currently either exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code or that it is a governmental entity described in Sections 170(c)(1) or 511(a)(2)(B) of the Internal Revenue Code. Grantee



Ken Epstein, PhD, LCSW  
San Francisco Department of Public Health  
Ref ID# G1000089  
September 29, 2015

shall immediately notify CHCS in writing of any change to its tax-exempt status under Section 501(c)(3) or as a governmental entity under Sections 170(c)(1) or 511(a)(2)(B).

**VI. Publicity.**

CHCS may include information regarding the Grant in its periodic public reports, newsletters, and press releases. Further, the San Francisco Department of Public Health shall acknowledge CHCS' Grantor role in all of its disseminations, press releases, conference presentations and any other public references with the following:

***The Center for Health Care Strategies (CHCS), in Hamilton, NJ, provided funding for [name of Grant project]. This Grant was made possible through a separate Grant to CHCS by the Robert Wood Johnson Foundation.***

The San Francisco Department of Public Health shall provide copies to CHCS of all material that it provides to the general public as it applies to this Grant specifically.

**VII. Jurisdiction.**

This Grant Agreement shall be governed by the laws of the state of New Jersey. Exclusive jurisdiction and venue over any disputes under this Grant Agreement shall be in Mercer County, New Jersey.

**VIII. Validity; Amendment.**

The invalidity in whole or in part of any term or condition of this Grant Agreement shall not affect the validity of the other terms and conditions. This Grant Agreement can be modified or amended only by a writing signed by authorized representatives of CHCS and the Grantee.

**IX. Counter-Signature Required.**

If this Grant Agreement correctly sets forth University of California, San Francisco's understanding of the terms and conditions of the Grant, please indicate acceptance by having this Grant Agreement counter-signed and dated by an authorized officer of the University of California, San Francisco in the spaces provided below and return to:

*Amanda Hinkle  
Contracts and Grants Manager  
Center for Health Care Strategies, Inc.  
200 American Metro Blvd., Suite 119  
Hamilton, NJ 08619*

Ken Epstein, PhD, LCSW  
San Francisco Department of Public Health  
Ref ID# G1000089  
September 29, 2015

**This Grant Agreement shall be null and void unless received by CHCS on or before,  
October 15, 2015 signed and complete.**

On behalf of CHCS, congratulations and I wish you success with your project.

Sincerely,



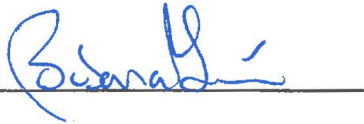
Stephen A. Somers, Ph.D.  
President and Chief Executive Officer

**Terms of the Grant accepted and agreed to:**

San Francisco Department of Public Health

Payment check should be directed to  
the attention of: **David Anabu**  
**San Francisco Department of Public Health**  
**1380 Howard Street, Room 411**  
**San Francisco, CA 94103**

\*By:



Title: Director of Health

Date: October 2, 2015

Tax ID#: 94-60000417

Enclosure

\* Note: Must be official authorized to sign on behalf of organization.

Exhibit C

*Schedule of Payments and Requirements*  
**10/1/15 – 9/30/17**

**Advancing Adoption of Trauma-Informed Approaches to Care**

**San Francisco Department of Public Health**

**ID#: G1000089**

**Please submit reports electronically to the attention of Amanda Hinkle, Contracts and Grants Manager at [ahinkle@chcs.org](mailto:ahinkle@chcs.org)**

<b>Deliverable</b>	<b>Requirement Due Date</b>	<b>Approx. Payment Amount</b>
Counter-signed grant agreement and Grant Information Sheet	10/15/2015	\$138,358
Interim financial report and interim progress report	10/31/2016	\$127,312
Final financial report and final progress report	10/31/2017	\$14,146
<b>TOTAL</b>		<b>\$279,816</b>