

FILE

Grant Award Notification

GRANTEE NAME AND ADDRESS First 5 San Francisco County 1390 Market Street, Suite 318 San Francisco CA 94102				CDE GRANT NUMBER			
				FY	PCA	Vendor Number	Suffix
				2012	15181	2563	00
Attention Laurel Kloomok, Executive Director				STANDARDIZED ACCOUNT CODE STRUCTURE			COUNTY
Program Office				Resource Code	Revenue Object Code		38
Telephone 415-934-4849				5037	8290		INDEX
Name of Grant Program Race to the Top - Early Learning Challenge							0656
GRANT DETAILS	Original/Prior Amendments	Amendment Amount	Total	Amend. No.	Award Starting Date	Award Ending Date	
	\$1,269,400	\$451,160	\$1,720,560	1	7/1/2012	12/31/2015	
CFDA Number	Federal Grant Number	Federal Grant Name			Federal Agency		
84.412A	S412A120003	Race to the Top - Early Learning Challenge			U.S. Department of Education		

I am pleased to inform you that you have been awarded additional funding for the Race to the Top – Early Learning Challenge. This award is made contingent upon the availability of funds. If the Legislature takes action to reduce or defer the funding upon which this award is based, then this award will be amended accordingly. Please return the original, signed Grant Award Notification (AO-400) within 10 days of receipt to:

Simon Marquez
Child Development Division
California Department of Education
1430 N Street, Suite 3410
Sacramento, CA 95814-5901

California Department of Education Contact Simon Marquez		Job Title Associate Governmental Program Analyst	
E-mail Address smarquez@cde.ca.gov		Telephone 916-319-0625	
Signature of the State Superintendent of Public Instruction or Designee <i>Tom Torlakson</i>		Date January 16, 2014	
CERTIFICATION OF ACCEPTANCE OF GRANT REQUIREMENTS			
On behalf of the grantee named above, I accept this grant award. I have read the applicable certifications, assurances, terms, and conditions identified on the grant application (for grants with an application process) or in this document or both; and I agree to comply with all requirements as a condition of funding.			
Printed Name of Authorized Agent Laurel Kloomok		Title Executive Director	
E-mail Address Laurel.Kloomok@first5SF.org		Telephone 415 554 9250	
Signature <i>Laurel Kloomok</i>		Date 2-6-14	

First 5 San Francisco County Funding Information:

FY 2012-13: \$347,100

FY 2013-14: \$440,940

FY 2014-15: \$606,380

FY 2015-16: \$326,140

- 1) The grantee must use Race to the Top – Early Learning Challenge (RTT-ELC) funds in accordance with the following: 1) activities described in the Action Plans submitted by each consortium to the California Department of Education (CDE); 2) RTT-ELC Consortia Requirements (Enclosure 1); and 3) State and Federal regulations. The misuse of funds could result in discontinued funding, temporary suspension of payments, and/or withholding of payments. In addition, the misuse of funds could result in repayment of funds and termination of the grant award.
- 2) The federal budget period for the RTT-ELC grant is January 1, 2012, to December 31, 2015. Provision 2 of Item 6110-200-0890 of the 2012 Budget Act provides for the reimbursement of costs incurred by the regional leadership consortia in fiscal year 2011 –12. The grantee must expend all RTT-ELC funds by December 31, 2015. The grantee must liquidate all legal obligations before it submits the final expenditure report due to CDE by January 25, 2016.
- 3) The CDE will distribute funds to the grantee on a quarterly basis. The CDE will release the first disbursement once the grantee has returned the signed Grant Award Notification (Form AO-400). All disbursements will be issued in accordance with the Reporting and Disbursement Schedule.
- 4) While it is expected that grant funds will be spent in the year awarded, the grantee may use any unexpended funds in the following fiscal year.
- 5) The grantee must submit quarterly expenditure reports. Failure to submit the completed reports by the due dates may result in a reduction of any subsequent payments. The schedule for submitting these reports can be found on the Reporting and Disbursement Schedule.
- 6) The grantee must submit an Annual Performance Report for each calendar year. The schedule for submitting these reports can be found on the Reporting and Disbursement Schedule.
- 7) The grantee will have the opportunity to amend the budget twice each fiscal year. At that time, amendments can be made to the line items and to the amounts allocated for each fiscal year. However, the total grant award amount cannot be changed. A budget amendment is required for any change of more than 10 percent to any line item in any fiscal year or a change of more than 10 percent to the total amount allocated for each fiscal year. The budget amendment shall include a revised budget narrative and a revised Action Plan.
- 8) The Single Audit Act requires that grantees obtain a non-federal audit of their expenditures under their federal grants if the grantee expends more than \$500,000 in federal funds in one fiscal year. The Office of Management and Budget (OMB) Circular A-133 contains the requirements imposed on grantees for audits done in connection with the law. The full text of the most recent version of the OMB circular can be found on the White House Web page at http://www.whitehouse.gov/sites/default/files/omb/assets/a133/a133_revised_2007.pdf.

The U.S. Department of Education recommends hiring auditors who have specific experience

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in auditing Federal awards under the circular and the Compliance Supplement, which can be found on the White House Office of Management and Budget Web page at http://www.whitehouse.gov/omb/circulars/a133_compliance_supplement_2011. Grantees must submit audits to CDE within 30 days after the receipt of the auditor's report(s) or within nine months after the close of their fiscal year.

Grantees are also required to obtain a financial and compliance state audit each year that is performed by an independent auditor. The audit shall be performed in accordance with *Government Auditing Standards* issued by the Comptroller General of the United States. If the grantee is subject to the Single Audit requirements, the financial and compliance state audit shall build upon the Single Audit work already done. Grantees must submit audits to CDE within 30 days after the receipt of the auditor's report(s) or within nine months after the close of their fiscal year.

- 9) The grantee must comply with the requirements that pertain to sub-recipients in Title 34 *Code of Federal Regulations*, Part 80. In addition, Section 80.21 requires the grantee to promptly, but at least quarterly, remit to the Federal Agency any interest earned on payments greater than \$100 per year. When reporting and remitting federal interest to the CDE, a grantee should specify the grantee's Data Universal Numbering System number, the time period associated with the interest earned, and the federal program resource codes. Please send interest on federal cash balances to the CDE at the following address:

Cashier's Office
California Department of Education
P.O. Box 515006
Sacramento, CA 95851

Please see the CDE Accounting Correspondence Web page at <http://www.cde.ca.gov/fq/ac/co/interestearnedltr.asp> for additional information regarding the administrative requirements for interest earned on federal funds.

- 10) The grantee must comply with the attached CDE General Assurances and Certifications (Enclosure 2).