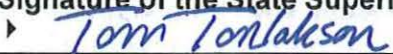
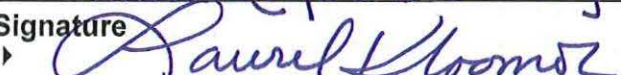


## Grant Award Notification

<b>GRANTEE NAME AND ADDRESS</b> First 5 San Francisco County 1390 Market Street San Francisco CA 94102				<b>CDE GRANT NUMBER</b>			
				<b>FY</b>	<b>PCA</b>	<b>Vendor Number</b>	<b>Suffix</b>
				2012	15181	2563	00
<b>Attention</b> Laurel Kloomok, Executive Director				<b>STANDARDIZED ACCOUNT CODE STRUCTURE</b>			<b>COUNTY</b>
<b>Program Office</b>				<b>Resource Code</b>	<b>Revenue Object Code</b>		38
<b>Telephone</b> 415-934-4849				5037	8290		<b>INDEX</b>
<b>Name of Grant Program</b> Race to the Top - Early Learning Challenge							0656
<b>GRANT DETAILS</b>	<b>Original/Prior Amendments</b>	<b>Amendment Amount</b>	<b>Total</b>	<b>Amend. No.</b>	<b>Award Starting Date</b>	<b>Award Ending Date</b>	
	\$1,269,400		\$1,269,400		7/1/2012	12/31/2015	
<b>CFDA Number</b>	<b>Federal Grant Number</b>	<b>Federal Grant Name</b>			<b>Federal Agency</b>		
84.412A	S412A120003	Race to the Top - Early Learning Challenge			U.S. Department of Education		
<p>I am pleased to inform you that you have been funded for the Race to the Top – Early Learning Challenge. This award is made contingent upon the availability of funds. If the Legislature takes action to reduce or defer the funding upon which this award is based, then this award will be amended accordingly.</p> <p>Please return the original, signed Grant Award Notification (AO-400) within 10 days of receipt to:</p> <p style="text-align: center;">Simon Marquez Child Development Division California Department of Education 1430 N Street, Suite 3410 Sacramento, CA 95814-5901</p>							
<b>California Department of Education Contact</b>				<b>Job Title</b>			
Simon Marquez				Staff Services Analyst			
<b>E-mail Address</b>					<b>Telephone</b>		
<a href="mailto:smarquez@cde.ca.gov">smarquez@cde.ca.gov</a>					916-319-0625		
<b>Signature of the State Superintendent of Public Instruction or Designee</b>					<b>Date</b>		
					9/6/2012		
<b>CERTIFICATION OF ACCEPTANCE OF GRANT REQUIREMENTS</b>							
On behalf of the grantee named above, I accept this grant award. I have read the applicable certifications, assurances, terms, and conditions identified on the grant application (for grants with an application process) or in this document or both; and I agree to comply with all requirements as a condition of funding.							
<b>Printed Name of Authorized Agent</b>				<b>Title</b>			
Laurel Kloomok				Ex. Director First5 SF			
<b>E-mail Address</b>					<b>Telephone</b>		
Laurel@first5sf.org					415 554-9250		
<b>Signature</b>					<b>Date</b>		
					9-30-12		



**First 5 San Francisco County Funding Information:**

FY 2012-13: \$347,100

FY 2013-14: \$328,150

FY 2014-15: \$380,800

FY 2015-16: \$213,350

- 1) The grantee must use Race to the Top – Early Learning Challenge (RTT-ELC) funds in accordance with the following: 1) activities described in the Action Plans submitted by each consortium to the California Department of Education (CDE); 2) RTT-ELC Consortia Requirements (Enclosure 1); and 3) State and Federal regulations. The misuse of funds could result in discontinued funding, temporary suspension of payments, and/or withholding of payments. In addition, the misuse of funds could result in repayment of funds and termination of the grant award.
- 2) The federal budget period for the RTT-ELC grant is January 1, 2012, to December 31, 2015. Provision 2 of Item 6110-200-0890 of the 2012 Budget Act provides for the reimbursement of costs incurred by the regional leadership consortia in fiscal year 2011–12. The grantee must expend all RTT-ELC funds by December 31, 2015. The grantee must liquidate all legal obligations before it submits the final expenditure report due to CDE by January 25, 2016.
- 3) The CDE will distribute funds to the grantee on a quarterly basis with a final payment of 10 percent after the end of the grant period. The CDE will release the first disbursement once the grantee has returned the signed Grant Award Notification (Form AO-400). All disbursements will be issued in accordance with the Reporting and Disbursement Schedule available on the CDE Race to the Top – Early Learning Challenge Web page at <http://www.cde.ca.gov/sp/cd/rt/>. The final payment of 10 percent will be issued after the grantee has submitted the final expenditure report, progress report, and evaluation information/input, which is due on January 25, 2016.
- 4) While it is expected that grant funds will be spent in the year awarded, the grantee may use any unexpended funds in the following fiscal year.
- 5) The grantee must submit quarterly expenditure reports. Failure to submit the completed reports by the due dates may result in a reduction of any subsequent payments. The grantee may access the schedule for submitting the quarterly expenditure reports and the expenditure report forms on the CDE Race to the Top – Early Learning Challenge Web page at <http://www.cde.ca.gov/sp/cd/rt/>.
- 6) The grantee must submit bi-annual program progress reports each fiscal year. The schedule for submitting these reports can be found on the CDE Race to the Top – Early Learning Challenge Web page at <http://www.cde.ca.gov/sp/cd/rt/>.
- 7) The grantee will have the opportunity to amend the budget twice each fiscal year. At that time, amendments can be made to the line items and to the amounts allocated for each fiscal year. However, the total grant award amount cannot be changed. A budget amendment is required for any change of more than 10 percent to any line item in any fiscal year or a change of more than 10 percent to the total amount allocated for each fiscal year. The budget amendment shall include a revised budget narrative and a revised Action Plan. The budget amendment template and the schedule for submitting amendments are on the CDE Race to the Top – Early Learning Challenge Web page at <http://www.cde.ca.gov/sp/cd/rt/>.



- 8) The Single Audit Act requires that grantees obtain a non-federal audit of their expenditures under their federal grants if the grantee expends more than \$500,000 in federal funds in one fiscal year. The Office of Management and Budget (OMB) Circular A-133 contains the requirements imposed on grantees for audits done in connection with the law. The full text of the most recent version of the OMB circular can be found on the White House Web page at [http://www.whitehouse.gov/sites/default/files/omb/assets/a133/a133\\_revised\\_2007.pdf](http://www.whitehouse.gov/sites/default/files/omb/assets/a133/a133_revised_2007.pdf).

The U.S. Department of Education recommends hiring auditors who have specific experience in auditing Federal awards under the circular and the Compliance Supplement, which can be found on the White House Office of Management and Budget Web page at [http://www.whitehouse.gov/omb/circulars/a133\\_compliance\\_supplement\\_2011](http://www.whitehouse.gov/omb/circulars/a133_compliance_supplement_2011). Grantees must submit audits to CDE within 30 days after the receipt of the auditor's report(s) or within nine months after the close of their fiscal year.

Grantees are also required to obtain a financial and compliance state audit each year that is performed by an independent auditor. The audit shall be performed in accordance with *Government Auditing Standards* issued by the Comptroller General of the United States. If the grantee is subject to the Single Audit requirements, the financial and compliance state audit shall build upon the Single Audit work already done. Grantees must submit audits to CDE within 30 days after the receipt of the auditor's report(s) or within nine months after the close of their fiscal year.

- 9) The grantee must comply with the requirements that pertain to sub-recipients in Title 34 *Code of Federal Regulations*, Part 80. In addition, Section 80.21 requires the grantee to promptly, but at least quarterly, remit to the Federal Agency any interest earned on payments greater than \$100 per year. When reporting and remitting federal interest to the CDE, a grantee should specify the grantee's Data Universal Numbering System number, the time period associated with the interest earned, and the federal program resource codes. Please send interest on federal cash balances to the CDE at the following address:

Cashier's Office  
California Department of Education  
P.O. Box 515006  
Sacramento, CA 95851

Please see the CDE Accounting Correspondence Web page at <http://www.cde.ca.gov/fg/ac/co/interestearnedltr.asp> for additional information regarding the administrative requirements for interest earned on federal funds.

- 10) The grantee must comply with the attached CDE General Assurances and Certifications (Enclosure 2).

## General Assurances

### California Department of Education General Assurances and Certifications

The Assurances and Certifications are requirements of grantees as a condition of receiving funds. Grantees do not need to sign and return the general assurances and certification with the grant award; instead, they must download them and keep them on file to be available for compliance with reviews, complaint investigations, or audits.

1. California Department of Education General Assurances and Certifications for fiscal year 2011-12. Programs and services are and will be in compliance with Title VI and Title VII of the Civil Rights Act of 1964; the California Fair Employment Practices Act, Government Code §11135; and Chapter 4 (commencing with §30) of Division I of Title 5, *California Code of Regulations (CCR)*
2. Programs and services are and will be in compliance with Title IX (nondiscrimination on the basis of sex) of the Education Amendments of 1972. Each program or activity administered by the grantee will be conducted in compliance with the provisions of Chapter 2, (commencing with §200), Prohibition of Discrimination on the Basis of Sex, of Part 1 of Division 1 of Title I of the Education Code, as well as all other applicable provisions of state law prohibiting discrimination on the basis of sex.
3. Programs and services are and will be in compliance with the affirmative action provisions of the Education Amendments of 1972.
4. Programs and services are and will be in compliance with the Age Discrimination Act of 1975.
5. Programs and services for individuals with disabilities are in compliance with the disability laws. (PL 105-17; 34 CFR 300, 303; and Section 504 of the Rehabilitation Act of 1973)
6. When federal funds are made available, they will be used to supplement the amount of state and local funds that would, in the absence of such federal funds, be made available for the uses specified in the state plan, and in no case supplant such state or local funds. (20 USC §6321(b)(1); PL 107-110 §1120A(b)(1))
7. All state and federal statutes, regulations, program plans, and applications appropriate to each program under which federal or state funds are made available through this grant will be met by the grantee in its administration of each program.



8. The grantee will use fiscal control and fund accounting procedures that will ensure proper disbursement for state and federal funds paid to that agency under each program. (CCR T5, §4202)
9. The grantee will make reports to the state agency as may reasonably be necessary to enable the state agency to perform its duties and will maintain such records and provide access to those records as the state agency deems necessary. Such records will include, but will not be limited to, records which fully disclose the amount and disposition by the recipient of those funds, the total cost of the activity for which the funds are used, the share of that cost provided from other sources, and such other records as will facilitate an effective audit. The recipient shall maintain such records for three years after the completion of the activities for which the funds are used. (34 CFR 76.722, 76.730, 76.731, 76.734, 76.760; 34 CFR 80.42)
10. The grantee declares that it neither uses nor will use federal funds for lobbying activities and hereby complies with the certification requirements of 34 CFR Part 82.
11. The grantee has complied with the certification requirements under 34 CFR Part 85 regarding debarment, suspension and other requirements for a drug-free workplace. (34 CFR Part 85)
12. The grantee will provide the certification on constitutionally protected prayer that is required by PL 107-110, §9524 and 20 USC §7904.
13. The grantee will adopt and use proper methods of administering each program including enforcement of any obligations imposed by law on agencies responsible for carrying out programs and correction of deficiencies in program operations identified through audits, monitoring or evaluation. (20 USC §7846 (a)(3)(B))
14. Programs and services are and will be in compliance with Section 8355 of the California Government Code and the Drug-Free Workplace Act of 1988, and implemented at CFR Part 84, Subpart F, for grantees, as defined at 34 CFR Part 84, Sections 84.105 and 84.110.
15. Federal grant recipients, sub recipients and their grant personnel are prohibited from text messaging while driving a government owned vehicle, or while driving their own privately owned vehicle during official grant business, or from using government supplied electronic equipment to text message or email when driving. Recipients must comply with these conditions under Executive Order 13513, "Federal Leadership On Reducing Text Messaging While Driving," October 1, 2009.