File No. <u>160911</u>

Committee Item No. <u>4</u> Board Item No. <u>4</u>

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Rules Committee

Date September 8, 2016

Board of Supervisors Meeting

Date _____

Cmte Board

	Motion Resolution Ordinance Legislative Digest Budget and Legislative Analyst Report Legislative Analyst Report Youth Commission Report Introduction Form (for hearings) Department/Agency Cover Letter and/or Report MOU Grant Information Form Grant Budget Subcontract Budget Contract/Agreement Form 126 – Ethics Commission Award Letter Application Public Correspondence
OTHER	(Use back side if additional space is needed)
	Information Sheet

Completed by:	Derek Evans	Date	September 2, 2016
Completed by:		Date	

An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document can be found in the file. FILE NO. 160911

MOTION NO.

[Confirming Reappointment, Public Utilities Commission - Ann Moller Caen]

Motion confirming the mayoral reappointment of Ann Moller Caen, to the Public Utilities Commission, Seat No. 3, for the unexpired portion of a four-year term ending August 1, 2020.

WHEREAS, Pursuant to Charter, Section 4.112, the Mayor has submitted a communication notifying the Board of Supervisors of the reappointment of Ann Moller Caen to the Public Utilities Commission, received by the Clerk of the Board on August 8, 2016; and now, therefore, be it

MOVED, That the Board of Supervisors hereby confirms the mayoral reappointment of Ann Moller Caen to the Public Utilities Commission, Seat No. 3, must be a member with experience in project finance, for an unexpired portion of a four-year term ending August 1, 2020. OFFICE OF THE MAYOR SAN FRANCISCO



EDWIN M. LEE MAYOR

Notice of Appointment

August 8, 2016

Angela Calvillo Clerk of the Board, Board of Supervisors San Francisco City Hall 1 Carlton B. Goodlett Place San Francisco, CA 94102

Dear Ms. Calvillo,

Pursuant to Section 4.112 of the Charter of the City and County of San Francisco, I hereby make the following nominations:

Francesca Vietor, to the Public Utilities Commission, Seat 1, for a term ending August 1, 2020;

Ann Moller Caen, to the Public Utilities Commission, Seat 3, for a term ending August 1, 2020; and

Vince Courtney, Jr., to the Public Utilities Commission, Seat 5, for a term ending August 1, 2020.

I am confident Ms. Vietor, Ms. Caen, and Mr. Courtney Jr., electors of San Francisco, will continue to serve the City and County well. Attached herein for your reference are their qualifications to serve.

Should you have any questions related to these nominations, please contact my Director of Legislative and Government Affairs, Nicole Elliott, at (415) 554-7940.

Sincerely,

Edwin M Mayor

Ann Moller Caen

Commissioner Caen is President of her own company, Moller & Associates, a consulting firm. She also serves on the Board of Governors of the San Francisco Symphony, the Board of Trustees of Golden Gate University, as well as the UCSF Foundation. She has served on Boards of the San Francisco Convention and Visitors Bureau, the Fisherman's Wharf Merchant's Association, and the San Francisco Museum of Modern Art. Commissioner Caen was also a Director of Pier 39, Siwel, Inc., and Sico, Inc. Additionally, she was President of the Nob Hill Capital and Publisher of the San Francisco Visitor News. She is also involved with the Audubon Canyon Ranch, the International Hospitality Center, KQED, the California Pacific Medical Center, the San Francisco Junior League, and the Northern California Cancer Center.

Commissioner Caen holds a Bachelor in Science in Biology and Education and an MBA in Finance. She is the widow of Pulitzer Prize-winning columnist, Herb Caen. She was first appointed to the Public Utilities Commission by Mayor Willie L. Brown, Jr. in March 1997, and reappointed for a second term in November 2001. Mayor Gavin Newsom reappointed her to a third term in 2005, Mayor Edwin Lee reappointed her to a fourth term in September 2012. She served as President of the Commission from July 1998 to January 200; September 2001 until January 2003; January 2008 until September 2009; and October 2014 until October 2015. CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

Date Initial Filing	
Received	
Official Use Only	
E-Filed	
03/31/2016	
18:42:15	

Please type or print in ink.					Filing ID: 160022057
NAME OF FILER (LAST)		(FIRST)		(MIDDLE)
Caen, Ann Moller					
1. Office, Agency, or Court					
Agency Name (Do not use acronyms)					
City and County of San Franc	isco				
Division, Board, Department, District, if a	ipplicable		Your Position		
Public Utilities Commission			Commissio	ner	
► If filing for multiple positions, list below	v or on an attachmer	nt. (Do not us	e acronyms)		
Agency:			Position:		
2. Jurisdiction of Office (Check a	at least one box)				
State .	,		Judge or Co	urt Commissioner (Sta	tewide Jurisdiction)
Multi-County			X County of	San Francisco	
X City of San Francisco			Other		
3. Type of Statement (Check at le	ant and have				
_				ffice, Data Laft	
X Annual: The period covered is Ja December 31, 2015	nuary 1, 2015, thro	bugn	(Check one	ffice: Date Left	_11
-or- The period covered is	/ ť	hrouah			ary 1, 2015, through the date of
December 31, 2015	, .		leaving		
Assuming Office: Date assumed	//	_		iod covered is	/, through the date
Candidate: Election Year	and of	fice sought, if	different than Part 1:		
 4. Schedule Summary (must cor Schedules attached Schedule A-1 - Investments - 1 Schedule A-2 - Investments - 1 Schedule B - Real Property - 1 -Or- 	schedule attached	al number	Schedule D - Inc	come, Loans, & Busine come – Gifts – schedu	ess Positions – schedule attached
None - No reportable interes	sts on any schedu	ule			
5. Verification					
MAILING ADDRESS STREET (Business or Agency Address Recommended - Pub	lic Document)	CITY		STATE	ZIP CODE
	10 200a	San	Francisco	CA	94115
DAYTIME TELEPHONE NUMBER			E-MAIL ADDRESS		
I have used all reasonable diligence in pl herein and in any attached schedules is	true and complete.	I acknowledg	e this is a public docun	nent.	
I certify under penalty of perjury under	er the laws of the St	tate of Califo	rnia that the foregoin	g is true and correct.	
Date Signed 03/31/2016 (month, day, yea	ır)		Signature Ann Mol	ler Caen	ent with your filing official.)

SCHEDULE A-1 Investments Stocks, Bonds, and Other Interests

CALIFORNIA FORM

FAIR POLITICAL PRACTICES COMMISSION

Name

(Ownership Interest is	s Less Than 10%) <u>Caen, Ann Moller</u>
Do not attach brokerage o	or financial statements.
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
General Electric	Wal-Mart GENERAL DESCRIPTION OF THIS BUSINESS
GE	Retail
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000\$10,000	\$2,000 - \$10,000
X \$100,001 - \$1,000,000 Over \$1,000,000	X \$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock X Other Bonds (Describe)	Stock X Other Bond (Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Walt Disney Co	DUKE ENERGY
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Entertainment	ENERGEY
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 X \$10,001 - \$100,000	\$2,000 - \$10,000 X \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other (Describe)	X Stock Other (Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
GENERAL ELECTRIC	HEWLETT PACKARD
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
MULTINATIONAL CONGLOMERATE	COMPUTER
FAIR MARKET VALUE	FAIR MARKET VALUE
□ \$2,000 - \$10,000	\$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other (Describe)	X Stock Other(Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:

ACQUIRED

ACQUIRED

DISPOSED

DISPOSED

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
HUMANA	IBM
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
HEALTHCARE	COMPUTER
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	□ \$2,000 - \$10,000
X \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
X Stock Other (Describe)	X Stock (Describe)
□ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)	Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (<i>Report on Schedule C</i>)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
EXCELON CORP	LIFEPOINT HOSPITAL
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
ENERGY	HEALTHCARE
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	X \$2,000 - \$10,000 \$10,001 - \$100,000
X \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT
X Stock Other (Describe)	Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	▼ Partnership
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
PFIZER	SIGMA ALDRICH CORP
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
PHARMACEUTICAL	LIFE SCIENCE
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 X \$10,001 - \$100,000	\$2,000 - \$10,000 X \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other(Describe)	X Stock Other(Describe)
Partnership () Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
////	
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Comments: _

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY
	SPECTRA ENERGY CORP		FIDELITY NATIONAL FINANCIAL
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	ENERGY		FINANCIAL
	FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT X Stock Other (Describe) Partnership Income Received of \$0 - \$499 (Describe) Income Received of \$500 or More (Report on Schedule C)		NATURE OF INVESTMENT X Stock Other Other Image: Partnership O Income Received of \$0 - \$499 Image: Oncome Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
	//// ACQUIRED DISPOSED		///// ACQUIRED DISPOSED
	NAME OF BUSINESS ENTITY	►	NAME OF BUSINESS ENTITY
	GOLDMAN SACHS GENERAL DESCRIPTION OF THIS BUSINESS		MASCO CORP GENERAL DESCRIPTION OF THIS BUSINESS
	INVESTMENTS		MANUFACTURER OF HOME IMPROVEMENT ITEMS
	FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT Stock X Other BONDS (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)		NATURE OF INVESTMENT Stock X Other BONDS (Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
	//// ACQUIRED DISPOSED		ACQUIRED / / / / / ACQUIRED
►	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY
	PRINCIPAL LIFE		SEALED AIR CORP
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	FINANCIAL SERVICES		FOOD CARE
	FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT Stock S Other (Describe)		NATURE OF INVESTMENT Stock Other BONDS (Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)		Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
	ACQUIRED / / / / / / / / / / / / / / / / / / /		ACQUIRED DISPOSED

Comments: _

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Caen, Ann Moller

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
TELEFONICA EMI	ACCENTURE PLC CLA
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
COMMUNICATIONS	CONSULTING
FAIR MARKET VALUE	FAIR MARKET VALUE
x \$10,000 x \$10,000	X \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	
Stock X Other (Describe)	X Stock (Describe)
□ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)	☐ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/////	/////
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
ACE LIMITED	AMERICAN EXPRESS
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
INSURANCE	FINANCIAL SERVICES
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 X \$10,001 - \$100,000	∑ \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other (Describe)	X Stock Other
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
AMERN TOWER CORP	APACHE CORP
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
COMMUNICATION	OIL AND GAS
FAIR MARKET VALUE	FAIR MARKET VALUE
x \$10,000 - \$10,000 x \$10,001 - \$100,000	x \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other (Describe)	X Stock Other
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
Income Received of \$500 or More (Report on Schedule C)	Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
////	
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Caen, Ann Moller

	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY
	APPLE INC		AUTO DATA PROCESSING
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	COMPUTER		COMPUTING SERVICES
	FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT Image: Stock Other (Describe) Image: Partnership O Income Received of \$0 - \$499 Image: Oncome Received of \$500 or More (Report on Schedule C)		NATURE OF INVESTMENT Image: Stock Other (Describe) Image: Partnership Oncome Received of \$0 - \$499 Image: Oncome Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
	//// ACQUIRED DISPOSED		///// ACQUIRED DISPOSED
►	NAME OF BUSINESS ENTITY	►	NAME OF BUSINESS ENTITY
	BERKSHIRE HATHAWAY GENERAL DESCRIPTION OF THIS BUSINESS		CELANESE CORP GENERAL DESCRIPTION OF THIS BUSINESS
	INVESTMENT		GLOBAL TECHNOLOGY
	FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT Image: Stock Other (Describe) Image: Partnership O Income Received of \$0 - \$499 Image: Oncome Received of \$500 or More (Report on Schedule C)		NATURE OF INVESTMENT Stock Other (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
	//// ACQUIRED DISPOSED		//// ACQUIRED DISPOSED
►	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY
	CENTURY LINK INC.		DIAGEO PLC
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	COMMUNICATION AND DATA SERVICES		BEVERAGE
	FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$100,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT X Other Other		NATURE OF INVESTMENT X Other Other
	□ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)		□ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
	//// ACQUIRED DISPOSED		///// ACQUIRED DISPOSED

SCHEDULE A-1 Investments Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
DU PONT	EMC CORP
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
CHEMICAL	TECHNOLOGY
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	□ \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT
X Stock Other (Describe)	X Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (<i>Report on Schedule C</i>)	Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (<i>Report on Schedule C</i>)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
EXXON MOBILE	LAS VEGAS SANDS CORP
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
OIL	ENTERTAINMENT
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 X \$10,001 - \$100,000	□ \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)	□ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
MASTERCARD INC	MCKESSON CORP
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
FINANCIAL SERVICE	PHARMACEUTICAL DISTRICUTION
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 X \$10,001 - \$100,000	\$2,000 - \$10,000 X \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other (Describe)	X Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)	□ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Comments: _

SCHEDULE A-1 Investments Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

	NAME OF BUSINESS ENTITY	►	NAME OF BUSINESS ENTITY
	METLIFE INC		MICROSOFT CORP
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	INSURANCE		SOFTWARE
	FAIR MARKET VALUE \$2,000 - \$10,000 X \$100,001 - \$1,000,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT Image: Stock Other (Describe) Image: Partnership O Income Received of \$0 - \$499 Image: Oncome Received of \$500 or More (Report on Schedule C)		NATURE OF INVESTMENT Image: Stock Other
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
	//// ACQUIRED DISPOSED		//// ACQUIRED DISPOSED
►	NAME OF BUSINESS ENTITY	►	NAME OF BUSINESS ENTITY
	NIKE INC GENERAL DESCRIPTION OF THIS BUSINESS		NOVARTIS AG GENERAL DESCRIPTION OF THIS BUSINESS
	SHOES		PHARMACEUTICAL
	FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT Image: Stock Other (Describe) Image: Partnership O Income Received of \$0 - \$499 Image: Oncome Received of \$500 or More (Report on Schedule C)		NATURE OF INVESTMENT Image: Stock Other (Describe) Image: Partnership O Income Received of \$0 - \$499 Image: Oncome Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
	//// ACQUIRED DISPOSED		ACQUIRED DISPOSED
►	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY
	ORACLE CORP		PEPSICO INC
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	SOFTWARE		BEVERAGE
	FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$100,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT X Other (Describe)		NATURE OF INVESTMENT X Stock Other (Describe)
	Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (<i>Report on Schedule C</i>)		□ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
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Comments: _

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Caen, Ann Moller

PRODUCTION OILFIED SERVICES	ON OF THIS BUSINESS
PRODUCTION OILFIED SERVICES	3
	X \$10,001 - \$100,000
FAIR MARKET VALUE FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	000 Over \$1,000,000
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	ome Received of \$500 or More (Report on Schedule C)
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► NAME OF BUSINESS ENTITY ► NAME OF BUSINESS F	ENTITY
SHERWIN WILLIAMS TJX COS INC. GENERAL DESCRIPTION OF THIS BUSINESS GENERAL DESCRIPTION	ON OF THIS BUSINESS
PAINT APPAREL	
FAIR MARKET VALUE FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT NATURE OF INVESTMENT	
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► NAME OF BUSINESS ENTITY ► NAME OF BUSINESS F	ENTITY
UNION PACIFIC CORP UNITED TECHNOLOG	GIES CORP
GENERAL DESCRIPTION OF THIS BUSINESS GENERAL DESCRIPTIO	ON OF THIS BUSINESS
RAILS AEROSPACE	
FAIR MARKET VALUE FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	∑ \$10,001 - \$100,000 ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○
NATURE OF INVESTMENT NATURE OF INVESTMENT Image: Stock in the st	ENT ner (Describe)
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Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Caen, Ann Moller

	NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
	YUM BRANDS INC	DOUBLELINE TOTAL
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
	FOOD	INVESTMENT
	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,000	
	↓ \$2,000 - \$10,000 ⊥ \$100,001 - \$100,000 ↓ \$100,001 - \$1,000,000 Over \$1,000,000	\$2,000 - \$10,000 X \$100,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT	NATURE OF INVESTMENT
	X Stock Other (Describe)	Stock X Other (Describe)
	Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
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	ACQUIRED DISPOSED	ACQUIRED DISPOSED
►	NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
	RIDGEWORTH	EVERCORE WEALTH MANAGEMENT
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
	INVESTMENTS	INVESTMENT
	FAIR MARKET VALUE	FAIR MARKET VALUE
	\$2,000 - \$10,000 X \$10,001 - \$100,000	\$2,000 - \$10,000 X \$10,001 - \$100,000
	\$100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
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	ACQUIRED DISPOSED	ACQUIRED DISPOSED
►	NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
	ENBRIDGE ENERGY	ishares trust
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
	ENERGY	ETF
	FAIR MARKET VALUE	FAIR MARKET VALUE
	\$2,000 - \$10,000 X \$10,001 - \$100,000	\$2,000 - \$10,000 X \$10,001 - \$100,000
	\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
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Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Caen, Ann Moller

	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY
	GUGGENHEIM EXCHANGE		PLUM CREEK TIMBER
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	FINANCIAL SERVICES		LANDOWNER
	FAIR MARKET VALUE \$2,000 - \$10,000 X \$100,001 - \$1,000,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT Stock I Other ETF (Describe)		NATURE OF INVESTMENT
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	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
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	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY
	SPDR GOLD TRUST GENERAL DESCRIPTION OF THIS BUSINESS		WISDOM TREE EMERGING MARKET GENERAL DESCRIPTION OF THIS BUSINESS
	ETF		ETF
	FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT Stock Stock Other ETF Describe Descr		NATURE OF INVESTMENT Stock x Other ETF (Describe) Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
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►	NAME OF BUSINESS ENTITY	►	NAME OF BUSINESS ENTITY
	BANK OF AMERICA		BANK OF AMERICA
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	BANKING		BANKING
	FAIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
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Ed Harrington 4075 A 19th Street San Francisco, CA 94114

August 30, 2016

Rules Committee San Francisco Board of Supervisors 1 Dr. Carlton B Goodlett Pl., Room 244 San Francisco, CA 94102

Dear Members of the San Francisco Board of Supervisors Rules Committee:

I am writing in support of Commissioner Ann Caen's reappointment to the San Francisco Public Utilities Commission (SFPUC).

Ms. Caen has been on the Commission for 19 years. As Commissioner, she prioritized the rebuild of our City's core water and wastewater infrastructure. Through our Water System Improvement Program (WSIP), we have rebuilt our dams, our major pipelines and other key infrastructure points to help ensure we can continue to deliver water after a major seismic event. As Commissioner, Ann approved the master plan and funding program for these projects. She then held staff accountable to make sure ratepayers were getting value for their investments. Thanks to the work of Commissioner Caen, SFPUC staff and many others, the WSIP is more than 90% complete and has become a model for infrastructure improvement.

With the WSIP winding down, the SFPUC has launched its Sewer System Improvement Program (SSIP) to rebuild and upgrade our City's aging sewer infrastructure. With her experience from the "birth" of WSIP through its near completion, Commissioner Caen is uniquely qualified to help ensure the SFPUC delivers the SSIP in a manner that meets the needs of the City's residents and businesses.

This may seem like a small thing, but Commissioner Caen actually reads the packets of information sent to her so that she can be an active participant in Commission meetings. She often asks questions about items based on the reading and her understanding of the history and her grasp of the issues involved. As a former General Manager of the SFPUC, I know that I benefited greatly from Ann's experience and sage advice. I highly recommend her for reappointment to the San Francisco Public Utilities Commission.

Sincero Ed Harrington

Former General Manager, SFPUC

cc: Members, San Francisco Board of Supervisors Nicole Elliot Derek Evans



Statement by Nicole Sandkulla, Chief Executive Officer of the Bay Area Water Supply and Conservation Agency at the Hearing for Ann Caen to be Reappointed as a Member of the San Francisco Public Utilities Commission

September 8, 2016

My name is Nicole Sandkulla and I am the Chief Executive Officer of the Bay Area Water Supply and Conservation Agency. I support enthusiastically the confirmation of Ann Caen for a fifth term as a member of the San Francisco Public Utilities Commission.

I have worked with Ann Caen during her extraordinary four terms as a member of the Commission and the four times she has been its President. She is a strategic executive with the intellect to define issues, the executive ability to sort through details of the water business, and the determination to make prompt decisions, talents that benefit all water users who depend on the San Francisco Regional (Hetch Hetchy) Water System.

Currently, she is designated as the Commission member with special knowledge of finance. This is especially important with the Commission's billion-dollar construction projects, which are paid for by water customers of the System. She is fair, intellectually honest, and open-minded.

Ms. Caen's responsibility is first and foremost to the Commission, of course. But she understands the concept of customer satisfaction and demonstrates a real interest in satisfying the needs of 1.7 million residents and 30,000 customers outside of San Francisco who use two thirds of the System's water and pay two thirds of the cost.

BAWSCA and its 26 member agencies in Alameda, San Mateo, and Santa Clara counties appreciate her interest in their water needs, which was highlighted by her recent participation in a regular BAWSCA Board meeting in Foster City, and interaction with its members.

Above all, Ms. Caen's personal integrity and wide experience in community affairs reassure BAWSCA that she will support its objectives of a reliable supply of high quality water at a fair price.

It is a great pleasure to urge her reappointment to the Public Utilities Commission.

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August 31, 2016

The Hon. London Breed, President City and County of San Francisco Board of Supervisors San Francisco City Hall 1 Dr. Carlton B. Goodlett Place San Francisco, CA 94102-4689

Subject:Recommendation for Ann Caen to be reappointed as a member of the San FranciscoPublic Utilities Commission

Dear Supervisor Breed,

As the former Chief Executive Officer of the Bay Area Water Supply and Conservation Agency, I am writing to support the confirmation of Ann Caen for another term as a member of the San Francisco Public Utilities Commission.

I have had the pleasure of working with Ms. Caen since she was first appointed to the Commission in 1997. Ms. Caen consistently displays the characteristics of an effective public official. She considers matters thoughtfully, listens intently to public testimony, demands staff provide the information necessary to make sound decisions, and truly enjoys the role of commissioner in providing reliable utility service.

As a four-time president, Ms. Caen has led the Commission through major decisions during critical periods. She was president in 2002 when the Commission adopted the first capital improvement program, now called the Water System Improvement Program, for rebuilding the earthquake-prone Regional Water System.

While deeply loyal to the City and County of San Francisco, Ms. Caen demonstrates a genuine interest in the well-being of the entire region. The 26 cities, water districts and water companies that purchase water from the Regional Water System benefit from Ms. Caen's continued determination to see the Water System Improvement Program completed.

I urge the Rules Committee and the Board of Supervisors to confirm her appointment to the Public Utilities Commission.

Sincerely,

Arthur R. Jensen

Former Chief Executive Officer

 Members of the City and County of San Francisco Board of Supervisors, c/o Clerk of the Board The Hon. Edwin M. Lee, Mayor, City and County of San Francisco Ms. Ann Caen, Commissioner, San Francisco Public Utilities Commission