

File No. 160886

Committee Item No. 7
Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Budget & Finance Committee

Date September 28, 2016

Board of Supervisors Meeting

Date _____

Cmte Board

<input type="checkbox"/>	<input type="checkbox"/>	Motion
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Resolution
<input type="checkbox"/>	<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	<input type="checkbox"/>	Legislative Digest
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Budget and Legislative Analyst Report
<input type="checkbox"/>	<input type="checkbox"/>	Youth Commission Report
<input type="checkbox"/>	<input type="checkbox"/>	Introduction Form
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Department/Agency Cover Letter and/or Report
<input type="checkbox"/>	<input type="checkbox"/>	MOU
<input type="checkbox"/>	<input type="checkbox"/>	Grant Information Form
<input type="checkbox"/>	<input type="checkbox"/>	Grant Budget
<input type="checkbox"/>	<input type="checkbox"/>	Subcontract Budget
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Contract/Agreement
<input type="checkbox"/>	<input type="checkbox"/>	Form 126 – Ethics Commission
<input type="checkbox"/>	<input type="checkbox"/>	Award Letter
<input type="checkbox"/>	<input type="checkbox"/>	Application
<input type="checkbox"/>	<input type="checkbox"/>	Public Correspondence

OTHER

(Use back side if additional space is needed)

[illegible]

Completed by: Linda Wong

Date September 23, 2016

Completed by: Linda Wong

Date _____

1 [Contract - California Department of Corrections and Rehabilitation - Secure Reentry Program
2 Facility - \$1,573,880]

3 **Resolution authorizing the Sheriff's Department to enter into a contract with the**
4 **California Department of Corrections and Rehabilitation to contract for, accept, and**
5 **expend up to \$1,573,880 to house state inmates who are within 60 days or less of**
6 **release from state prison in the San Francisco County Jail Secure Reentry Program**
7 **Facility, for a term to commence following Board approval, through June 30, 2017.**

8
9 WHEREAS, California State Assembly Bills 109 (AB 109) and 17 (AB 17), the Public
10 Safety Realignment Act of 2011, signed into law by Governor Edmund Brown Jr., realign
11 inmates of non-serious, non-violent and non-sex-related crimes from state to local
12 jurisdictions; and

13 WHEREAS, AB 17, amended the California Penal Code, Section 4115.56 to authorize
14 a Board of Supervisors, upon agreement with the Sheriff, to enter into a contract with the
15 California Department of Corrections and Rehabilitation (CDCR) to house inmates who are
16 within 60 days or less of release from the state prison to a county jail facility for the purpose of
17 reentry and community transition purposes; and

18 WHEREAS, On February 26, 2014, the Board of Supervisors approved Resolution
19 No. 58-14 authorizing the Sheriff to enter into a contract, on file with the Clerk of the Board of
20 Supervisors in File No. 131229 ("February 26, 2014 contract"), between the City and County
21 of San Francisco ("City") and CDCR to house state inmates who are within 60 days or less of
22 release from state prison in the San Francisco County Jail Secure Reentry Program Facility
23 (SRPF); and

1 WHEREAS, The February 26, 2014, contract approved by the Board has expired and
2 the Sheriff and CDCR desire to enter into a new contract ("Contract") to house state inmates
3 who are within 60 days or less of release from state prison in the SRPF through
4 June 30, 2017; and

5 WHEREAS, CDCR has agreed to reimburse the City for daily bed space in the SRPF
6 pursuant to the terms of the proposed Contract for an amount up to \$1,573,880; and

7 WHEREAS, Charter Section 9.118 (b) of the Charter of the City and County of San
8 Francisco requires that any contract which when entered into had anticipated revenue of one
9 million dollars or more, shall be subject to approval of the Board of Supervisors by resolution;
10 and

11 WHEREAS, The proposed Contract is on file with the Clerk of the Board of Supervisors
12 in File No. 160886, which is hereby declared to be a part of this resolution as if set forth fully
13 herein; now, therefore, be it

14 RESOLVED, That the Board of Supervisors authorizes the Sheriff, or her designee, to
15 enter into the Contract with CDCR on file with the Clerk of the Board in File No. 160886; and,
16 be it

17 FURTHER RESOLVED, That within thirty (30) days of the contract being full executed
18 by all parties, the San Francisco Sheriff shall provide the contract to the Clerk of the Board for
19 inclusion into the official file.
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Item 7 File 16-0886	Department: Sheriff's Department (Sheriff)
EXECUTIVE SUMMARY	
<p style="text-align: center;">Legislative Objectives</p> <ul style="list-style-type: none"> The proposed resolution would authorize the Sheriff's Department to enter into a contract with the California Department of Corrections and Rehabilitation (CDCR) through June 30, 2017 to contract for, accept, and expend up to \$1,573,880 to house State inmates who are within 60 days or less of release from State prison in the Reentry Pod in San Francisco County Jail #2. 	
<p style="text-align: center;">Key Points</p> <ul style="list-style-type: none"> California's Public Safety Realignment Act of 2011 (Assembly Bills 109 and 117) authorized a board of supervisors to enter into a contract with CDCR to house inmates who are transferred to the county from state prison and are within 60 days or less of release for the purpose of reentry and community transition. On February 26, 2014 the Board of Supervisors approved a resolution authorizing the Sheriff's Department to enter into a three-year contract with CDCR in an amount not to exceed \$4,195,576 to house eligible State inmates in the Reentry Pod (File No. 13-1229). CDCR has reimbursed the Sheriff's Department at a rate of \$77 per inmate per day. During the three-year contract, the actual reimbursements received by the Sheriff's Department from CDCR totaled \$48,048. The 2014 contract has expired and the Sheriff's Department and CDCR have proposed a new contract to house eligible state inmates in the Reentry Pod through June 30, 2017. 	
<p style="text-align: center;">Fiscal Impact</p> <ul style="list-style-type: none"> Under the terms of the contract, CDCR would reimburse the Sheriff's Department for daily bed space in the Reentry Pod at a rate of \$77 per inmate per day. The maximum number of beds available for this program is 56, for a total contract maximum of \$1,573,880 for one year. Based on a projected average daily population of one to two state inmates in the Reentry Pod and a contract term of nine months starting October 1, 2016, the Budget and Legislative Analyst estimates an actual reimbursement by CDCR to the Sheriff's Department of \$26,276. 	
<p style="text-align: center;">Recommendation</p> <ul style="list-style-type: none"> Approve the proposed resolution. 	

MANDATE STATEMENT

City Charter Section 9.118(a) states that contracts entered into by a department, board, or commission that (i) have anticipated revenues of \$1 million or more, or (ii) have anticipated revenues of \$1 million or more and require modifications, are subject to Board of Supervisors approval.

BACKGROUND

In 2011 the Governor signed into law California Assembly Bill (AB) 117 as part of the Public Safety Realignment Act of 2011. AB 117 authorized a board of supervisors, upon agreement with the sheriff, to enter into a contract with the California Department of Corrections and Rehabilitation (CDCR) to house inmates who are transferred to the county from State prison and are within 60 days or less of release for the purpose of reentry and community transition. When housed in county facilities, inmates are under the legal custody and jurisdiction of local county facilities and not under the jurisdiction of the State.

On February 26, 2014 the Board of Supervisors approved a resolution authorizing the Sheriff's Department to enter into a two-year, four-month contract with CDCR from March 2014 through June 2016 in which CDCR reimburses the Sheriff's Department for housing inmates transferred from State prison to the County in an amount not to exceed \$4,195,576. The inmates transferred from State prison to the County would be housed in the Reentry Pod in County Jail #2, adjacent to the Hall of Justice (File No. 13-1229). County Jail #2 is a "New Generation" direct supervision facility that uses pod architecture for inmate housing areas, and one pod was designated for the Reentry Pod.¹ Under the contract, the Sheriff's Department has housed eligible state inmates in the Reentry Pod, and CDCR has reimbursed the Sheriff's Department at a rate of \$77 per inmate per day.

During the two-year, four-month contract, the actual reimbursements received by the Sheriff's Department from CDCR totaled \$48,048. On average, the number of inmates who transferred from State prison to the Reentry Pod was less than one per day, which was well below the maximum daily bed capacity of 56. Table 1 below summarizes the actual reimbursements received by the Sheriff's Department for the duration of the contract.

Table 1: Actual CDCR Reimbursements to the Sheriff's Department

Contract Year	Per Diem Rate per Inmate	Total Days Reimbursed	Amount
FY 2013-14	\$77	0	\$0
FY 2014-15	\$77	274	21,098
FY 2015-16	\$77	350	26,950
Total reimbursements:			\$48,048

Source: Sheriff's Department

¹ In a facility with pod architecture, a semi-circle of housing units surrounds a shared day area and a central deputy station.

The Reentry Pod is a voluntary program, and a large number of State inmates who are within 60 days or less of release elect to remain in State prison to complete their reentry and community transition programs.

DETAILS OF PROPOSED LEGISLATION

The proposed resolution would authorize the Sheriff's Department to enter into a new contract with the State for an amount not to exceed \$1,573,880 to house State inmates in the City's Reentry Pod in County Jail #2. The term of the contract is from the date the Board of Supervisors approves the proposed resolution through June 30, 2017, or approximately 9 months.

Under the proposed contract, the San Francisco Sheriff's Department will provide for the care, confinement, and rehabilitative programming of State inmates in the Reentry Pod. These inmates are under the legal custody and jurisdiction of the Sheriff's Department. The contract gives the Sheriff's Department the authority to co-mingle State inmates with the Sheriff's general inmate population, and provides the State reasonable access to State inmates when necessary.

The proposed contract provisions are largely the same as the provisions of the previous contract with the State. Under the proposed contract, the County is responsible to provide:

1. Adequate medical, dental, and mental health care for State inmates housed in the Reentry Pod;
2. Transportation once a week of State inmates from San Quentin;
3. Programming and services to develop reentry plans for State inmates housed in the Reentry Pod, including education, pre-employment training, and cognitive behavioral therapy programs; and
4. Other provisions, including meals, clothing, telephone access, inmate property maintenance, court access, and religious programs, to State inmates housed in the Reentry Pod in the same manner as they are provided to other County inmates.

Prior to transfer, the Sheriff's Department may review all classification, medical, and disciplinary records of inmates to be housed in the Reentry Pod. If the Sheriff's Department determines that an individual inmate may require supervision or services that cannot be provided in the Reentry Pod, then the Sheriff's Department will notify CDCR within 15 days of receiving the eligibility review documents.

Under the previous contract with the State, the Sheriff's Department had the option to request a one-time increase in the daily reimbursement rate paid by the State during the term of the contract for the housing of State inmates. The proposed contract does not include this option.

FISCAL IMPACT

Under the proposed contract between the State and the Sheriff's Department, CDCR will reimburse the Sheriff's Department for the costs of housing up to 56 State inmates per day in

the Reentry Pod at the existing rate of \$77 per inmate per day, in an amount not to exceed \$1,573,880 from the date of Board of Supervisors approval through June 30, 2017, a term of approximately nine months. This amount accounts for 56 inmates for the full 365-day year, but according to Mr. Henry Gong of the Sheriff's Department, CDCR will not transfer State inmates to San Francisco until the contract is fully executed and approved by the Board of Supervisors. Therefore, the not-to-exceed reimbursement amount for the nine-month period from approximately October 1, 2016 through June 30, 2017 is \$1,117,176, as shown in Table 2 below.

Table 2: Maximum Reimbursements for Full and Partial Year

Contract Length	Per Diem Rate per Inmate	Maximum Daily Population	Total Days	Amount
Full year	\$77	56	365	\$1,573,880
Nine months	\$77	56	273	\$1,117,176

Source: Budget and Legislative Analyst calculations

Although the State will reimburse the County for 56 inmates per day, the Sheriff's Department estimates that the average number of inmates transferred from the State to the Reentry Pod will be one to two per day. Therefore, over the nine-month period from October 1, 2016 through June 30, 2017, estimated reimbursements by the State to the Sheriff's Department will be approximately \$26,276.

According to Mr. Gong, the Reentry Pod in County Jail #2 is staffed to house 56 inmates without increasing Sheriff's Department staffing and programming costs. Therefore, the per diem rate of \$77 charged by the Sheriff's Department to CDCR, which is unchanged from the existing per diem rate initially charged in 2013, is sufficient to cover the Sheriff's Department's costs for housing State inmates.² Remaining beds in the Reentry Pod not filled by State inmates will be filled by other County inmates.

RECOMMENDATION

Approve the proposed resolution.

² The Budget and Legislative Analyst calculates that the Sheriff's Department's average cost per inmate per day (not counting food and programming costs) in FY 2015-16 was \$42.40, based on estimated operating costs of \$19,655,922 and an average daily jail population of 1,270 (or 463,550 inmates over 365 days).

DIVISION OF ADMINISTRATIVE SERVICES
OFFICE OF BUSINESS SERVICES

9838 Old Placerville Road, Suite B-2
Sacramento, CA 95827



July 12, 2016

Vicki Hennessy, Sheriff
City and County of San Francisco- Sheriff Department
1 Dr. Carlton B. Goodlett Place, Room #456
San Francisco, CA 94102

Dear Sheriff Hennessy:

AGREEMENT NUMBER: C5606457
SERVICE: SECURE REENTRY PROGRAM FACILITY

Enclosed for your signature is the above-referenced Standard Agreement and related exhibits. **This agreement is not valid unless, and until, approved by the DGS, or under its authority, CDCR.** The State has no legal obligation, unless and until the Agreement is approved. When this Agreement is fully approved, an original will be forwarded to you.

Please sign, date and return by overnight mail the following documentation:

- 1) Three (3) STD 213 Standard Agreements with **original signatures**.
- 2) Board Resolution - Please provide a certified copy of the board resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into this Agreement, authorizing execution of the Agreement as required in the Contractor Certification Clauses.

All documentation must be returned to CDCR, OBS, at the following address:

California Department of Corrections and Rehabilitation
Office of Business Services
Attention: Jeff Frazier
9838 Old Placerville Road, Suite B-2
Sacramento, CA 95827

If you have any questions or need assistance, please do not hesitate to contact me at (916) 255-6139.

Sincerely,

Jeff Frazier

Jeff Frazier
Associate Contract Analyst
Headquarters Contracts Unit IV
Contracts Management Branch

STATE OF CALIFORNIA
STANDARD AGREEMENT
STD 213 (Rev 06/03)

AGREEMENT NUMBER

C5606457

REGISTRATION NUMBER

1. This Agreement is entered into between the State Agency and the Contractor named below:

STATE AGENCY'S NAME

California Department of Corrections and Rehabilitation

CONTRACTOR'S NAME

City and County of San Francisco-Sheriff Department

2. The term of this Agreement is: Upon Approval through June 30, 2017

3. The maximum amount of this Agreement is: \$ 1,573,880.00
One Million Five Hundred Seventy-Three Thousand, Eight Hundred Eighty Dollars and Zero Cents.

4. The parties agree to comply with the terms and conditions of the following exhibits which are by this reference made a part of the Agreement.

Exhibit A - Scope of Work	11 pages
Exhibit B - Budget Detail and Payment Provisions	2 pages
Exhibit B-1- Rate Sheet	1 page
Exhibit C* - General Terms and Conditions	GTC 610
Exhibit D - CDCR Special Terms and Conditions	14 pages
Exhibit E- Business Associate Agreement (HIPPA)	12 pages
Exhibit F- Prison Rape Elimination Policy (PREA)	2 pages
Attachment 1- San Francisco Secure Reentry Program Determination	1 page
Attachment 2- Medical/Mental Health Information Summary	2 page

Items shown with an Asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto.

These documents can be viewed at www.dcs.ca.gov/ols/Resources/StandardContractLanguage.aspx


IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR

CONTRACTOR'S NAME (if other than an individual, state whether a corporation, partnership, etc.)

City and County of San Francisco-Sheriff Department

BY (Authorized Signature)



DATE SIGNED (Do not type)

29 July 2016

PRINTED NAME AND TITLE OF PERSON SIGNING

VICKI HENNESSY, SHERIFF

1 Drive Carlton B. Goodlett Pl. Room # 456
San Francisco, CA 94102

STATE OF CALIFORNIA

AGENCY NAME

California Department of Corrections and Rehabilitation

BY (Authorized Signature)



DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

BEDETH VICTORIOSO, Chief, Service Contracts Section

ADDRESS

9838 Old Placerville Road, Suite B-2
Sacramento, CA 95827

California Department of General Services
Use Only

☐ Exempt per:

Secure Reentry Program Facility

I. OBJECTIVE

The County of San Francisco, herein referred to as "County", shall provide for the care, confinement, and rehabilitative programming of the California Department of Corrections and Rehabilitation's (CDCR) inmates in a Secure Reentry Program Facility (SRPF) pod. Services shall be provided at the San Francisco Jail Facilities. California Penal Code (PC) section 4115.56 allows for the following:

- (a) Upon agreement with the sheriff or director of the county department of corrections, a board of supervisors may enter into a contract with CDCR to house inmates who are within 60 days or less of release from the state prison to a county jail facility for the purpose of reentry and community transition purposes.
- (b) When housed in county facilities, inmates shall be under the legal custody and jurisdiction of local county facilities and not under the jurisdiction of CDCR.

II. AGREEMENT PROVISIONS

The County agrees to provide up to 56 beds per day. For the remaining term of this Agreement, the County agrees to provide the necessary beds as identified in Exhibit B-1, Budget Rate Sheet, subject to bed availability as determined by the County, for each subsequent fiscal year through the end of the Agreement term.

The County agrees to staff the facility to ensure that state inmates are supervised and provided program services as provided herein. The County has the authority, when deemed necessary, to co-mingle state inmates with the county general population. Costs for such supervision and services are detailed in attached Exhibit B, Budget Details and Payment Provisions and Exhibit B-1, Rate Sheet.

III. PROGRAM PROVISIONS

The County agrees to allow CDCR reasonable access to state inmates when necessary.

A. CDCR Staff

All CDCR staff shall comply with policies and procedures for County Facility operations. The County agrees to facilitate security clearances and access as required for CDCR staff and/or state representatives to designated space and accommodations.

The County will provide CDCR staff with Hold and Warrant information upon completion of query.

B. Selection of State Inmates

CDCR and the County shall mutually agree upon inmates to be housed by the County in the SRPF. Potentially eligible inmates are those who will be released to Post Release Community Supervision (PRCS) in San Francisco County. Prior to the arrival of any CDCR inmate to San Francisco County, CDCR shall provide to the San Francisco Adult Probation Department copies of all classification data that is

routinely included as part of CDCR 611, *Release Program Study*, packets, including commitment or other judicial orders, medical, mental health and dental clearance records. All CDCR inmate information shall be subject to statutory limitations on disclosure, including, but not limited to, State privacy laws and provisions of the federal requirements imposed by the Health Insurance Portability and Accountability Act (HIPAA) or other Federal privacy laws.

Prior to transfer, the County will review the classification, medical and disciplinary records of those inmates to be housed at the facility. Upon request of the County, CDCR shall provide Rules Violation Reports (CDCR Form 115) and/or Crime/Incident Reports (CDCR Form 837) on eligible state inmates. CDCR will provide contact information for Classification and Parole Representatives (C&PR) at each institution to access these documents.

The inmates will be reviewed on a case-by-case basis to determine eligibility. If the County determines, based on a review of the records, that an individual inmate may require a level of care that cannot be provided in this programmatic-intensive setting, the County will notify CDCR of the decision to not accept the inmate into the program. The County shall provide to CDCR, within 15 days of receiving the eligibility review documents, the San Francisco Secure Reentry Program Determination (Attachment 1).

The State will allow access to the electronic Unit Health Records (UHR). The County will provide the name(s) and classification(s) of the County staff authorized to access this information. San Francisco County medical staff may case conference with the inmate's CDCR clinician on an as-needed basis in accordance with Exhibit E. CDCR will provide mental health and health care contact information for all institutions. All medication costs will be incurred by the County and accounted for in the per diem rate. Inmates released on parole or PRCS will receive a two week supply of prescribed medication. This two week supply will be transferred with the inmate to the SRPF.

CDCR reserves the right to include, with the approval of the San Francisco Sheriff, low level inmates who will be paroled or released without supervision to San Francisco County. If this right is exercised, CDCR will provide the County with parole procedures and the facility will complete audits in accordance with San Francisco policy and American Correctional Association (ACA).

C. Offender Funds

When a state inmate is placed in the SRPF, CDCR shall provide that offender's current available Trust balance, in the form of a check payable to the offender, but addressed to the County, in the amount due the offender within seven (7) business days of the transfer, unless an alternate location is directed by the County.

D. Transportation

The County agrees to pick up state inmates (excluding medical transportation) once a week from San Quentin. CDCR agrees to deliver and receive all other state inmates to and from the designated San Francisco Jail Facility.

The County shall provide all medical transportation for state inmates between County and medical facilities. All normal transportations will occur Monday through Friday, 8:00 a.m. to 5:00 p.m. This Agreement shall not preclude delivery and pickup of individual state inmates prior to or after normal hours by CDCR Transportation Unit staff or other law enforcement personnel. If this need occurs, CDCR transportation staff will notify the County as soon as possible of estimated time of arrival.

E. Limitations on Authority to Release

The County agrees that no State inmate assigned to the County Facility by CDCR shall be released on his or her own recognizance, on bail, on completion of local sentence, or for any other reason, until CDCR staff orders release in writing based on removal of the CDCR hold, or completion of state prison term. In the event of a release over the CDCR Detainer, the County shall attempt to apprehend the inmate and notify CDCR in accordance with Article III, Section X, Escapes, of this Agreement.

F. Inmate Discipline

State inmates assigned to the SRPF pursuant to this Agreement will be required to follow all applicable rules established by the Sheriff. The administration of discipline to state inmates and any resultant appeals by state inmates will be handled in accordance with the provisions in place for County inmates. The County will provide CDCR with a copy of pending and adjudicated reports for all disciplinary actions related to State inmates, which shall be forwarded to the sending institutions, as noted on the CDCR Detainer, C&PR.

G. Operation Review

The Facility Commander and designated CDCR staff will meet, as needed, to discuss and resolve ongoing mutual administrative concerns and operational problems. An agenda will be prepared and items discussed will be promptly noted in meeting minutes with copies distributed as directed by the Sheriff and CDCR. If the County and CDCR cannot resolve mutual disagreements related to direct state inmate operational problems, CDCR will remove the inmate(s) in accordance with article III, M, Return of State Inmates, of this Agreement.

H. Gun Storage

The County shall provide storage space at the San Francisco County Jail for firearms brought to the facility by any CDCR peace officer who is on official CDCR business.

I. Visiting Programs

Visitation will be provided to state inmates, but only to the extent and in the same manner as it is with County inmates.

J. Canteen/Trust Accounts

State inmates will have the right to access such accounts and make canteen purchases, but only to the extent and in the same manner as the County inmates. Net proceeds from canteen purchases will remain in the County Inmate Welfare Fund.

K. State Inmate's Property

The County will maintain state inmates' personal property, but only to the extent and in the same manner as County inmates. Perishable items and non-legal property in excess of 6 cubic feet are not allowed. (Legal material is not included in the property restriction.) Property will be inventoried and sealed by CDCR and CDCR will process non-allowable county inmate property per the Department Operations Manual. Legal material will be inventoried and sealed separately from personal property. Inmates will not have access to their non-legal personal property while they are housed in the SRPF. (Inmates will be permitted access to their legal materials while in the County Facility.)

L. Recreational and Religious Programs

The County will provide recreational and religious program services for State inmates, but only to the extent and in the same manner as provided to County inmates.

M. Return of Inmates to CDCR

Within 72 hours (excluding weekends and holidays) of receiving a good faith request (based on the diagnosis of a serious medical or mental health condition, on-going or serious disciplinary reasons, or inability to provide a level of custody consistent with the safety and security of the inmate and/or staff), CDCR will accept custody of any state inmate which the County requests returned to CDCR custody. The 72-hour threshold does not preclude CDCR, or the County, from moving an inmate prior to 72 hours, given a mutually agreed upon alternative on a case-by-case basis.

State inmates whose behavior demonstrates unmanageable conduct will be removed upon request of the Facility Commander when such request is accompanied by a Sheriff's Request for Discipline form. CDCR agrees to remove the subject state inmate within 72 hours (with the exception of weekends and holidays) in accordance with this subsection of the Agreement.

When a state inmate returns to CDCR, the County shall provide that inmate's funds, in the form of a check payable to CDCR, in the amount due the inmate for credit to the inmate's account within seven (7) business days of the inmate's transfer, unless an alternate location is directed by CDCR.

When a state inmate returns to CDCR, the County shall provide a transfer summary of each inmate's program activities (work, education, etc.), infraction history, and other items deemed necessary by CDCR and/or the County staff within ten (10) business days of the state inmate's transfer. In addition to such transfer summary, CDCR will require written medical clearance for suitability for transport and a written summary of any medical concerns which may affect said transport.

N. Medical Care

The County agrees that it shall be responsible to provide constitutionally adequate medical, dental, and mental health care to all state inmates, in conformity with the City and County of San Francisco's Jail Health Services medical policies currently in existence and which have been previously provided to CDCR. The County shall notify the CDCR of any changes to those policies.

The County expressly acknowledges and agrees that it:

1. Shall provide for all routine, non-routine and emergency medical care for State inmates housed at the SRPF in the same manner as to County inmates, regardless of cost. Long-term, non-routine medical services are the responsibility of CDCR, as described in the paragraph below, "Medical Need," and
2. Will cooperate fully with the Federal Receiver appointed by the Court and will provide the Federal Receiver access to the County Facilities and to documents, personnel, and the state inmates in the county facilities. The Federal Receiver's access to documents and personnel shall relate only to such documents and personnel as are directly related to the delivery of medical care to the state inmates in the County Facilities.

Medical Need: If a state inmate requires non-routine medical services while in the care of the County, excluding injuries sustained at the SRPF, CDCR retains the right to release the State's hold and/or remove said state inmate from the care of the County.

Should any cases arise that require extraordinary, medically necessary care that is outside the capability of the providers at the San Francisco County jails, including, but not limited to, specialty care, emergency care, in-patient care and/or special diagnostic testing, existing arrangements with local health care providers shall be utilized to obtain the required services. For non-emergent treatment, the Chief Medical Officer (CMO) of the sending institution shall be notified for evaluation of transfer back to CDCR for care. If emergency services are required, the County shall notify the sending institution CMO and the Office of Offender Services Program Analyst (PA) of the required emergency treatment at the earliest opportunity, but no later than 24 hours after the treatment.

At the time of transfer to the County, an original or copy of the inmate's Health Records shall be provided to the County, along with a Medical/Mental Health Information Summary (see Attachment 2). UHR access should take the place of any hard copies. In addition, state inmates shall be evaluated for, and CDCR shall provide, records documenting any mental health diagnoses, ADA issues, special treatment needs or medication, pending appointments for laboratory or diagnostic tests, PPD status, and records from any recent hospitalizations or consultations. All records transferred to County are the property of CDCR and shall be returned upon an inmate's transfer from the SRPF. Release of information shall be conducted in accordance with CDCR policy and only upon approval of CDCR.

CDCR shall not be responsible for the payment of elective or experimental medical procedures or for medical care required as a result of negligence or intentional misconduct on the part of the County, its employees or subcontractors, or for care which could have foreseeably been prevented.

O. Inmate Programs

The state inmates will be assessed, upon arrival at the SRPF, by a multidisciplinary team of case workers. The assessment will include the inmate's substance abuse, educational and vocational needs. Based on the assessment, staff will coordinate with each inmate to develop and implement an individual reentry plan to address their offense-related behavior and criminogenic needs. In-custody programming will be conducted through the County. The programming shall include, but is not limited to:

- Education: Delivered by the Sheriff's Five Keys Charter School, a year round accredited charter high school.
- Pre-Employment Training: Vocational and pre-employment training provided through contracted service providers.
- Religious Services: Provided in the same manner as provided to County inmates.
- Cognitive Behavioral Therapy (CBT) Programs (i.e., Criminal Thinking, Anger Management, and Family Relationships): Provided through contracted service providers. The County shall provide CDCR's Office of Offender Services with a copy of the curriculum for each CBT program provided to the State inmates.
- Victim Offender Education: Provided through contracted service providers.

Note: Substance Abuse Education/Treatment may be provided through the Department of Public Health or contracted service providers. The County shall provide CDCR's Office of Offender Services with a copy of the approved curriculum. The duration of the program will vary based on the assessed level of care and aftercare will be coordinated based on indications through the assessment.

The County shall report to the State Legislature and CDCR on the implementation of this SRPF after the period of one, two, and three years, as part of this pilot program. Each report shall include: (1) number of inmates who participated in the program; (2) number of inmates who received a risk and needs assessment; (3) criminogenic risk levels of participants; (4) criminogenic needs of participants; (5) services offered and provided to the participants; (6) the percentage of participants who had all their criminogenic needs addressed at the SRPF; and (7) outcome results, including re-arrest rates of new crimes during the first 12 months post release, 24 and 36 months post release for participants.

P. Telephone

Access to telephone service shall be provided to the state inmates and will be handled only to the same extent and in the same manner as it is with the County inmates.

Q. Clothing

Clothing will be provided to the state inmates, but only to the same extent and in the same manner as it is to County inmates.

R. Meals

The County will provide all the state inmates with nutritional meals in the same manner as it is to County inmates.

S. Mail

The County will provide all the state inmates with mail services in the same manner as it is to County inmates.

T. Inmate Appeals

Inmates appealing County decisions and actions shall be remedied via the County appeals process. The County shall retain final authority on all issues of appeal related to County decisions and actions.

The CDCR will address inmate appeals/grievances related to CDCR decisions while the inmate is housed with the County. CDCR shall retain final authority on all issues of appeal related to CDCR decisions and actions. The County will forward any CDCR related appeal or grievance to the sending institution, as noted on detainer, for response.

U. Access to Courts

The County will provide all state inmates with court access in the same manner as it is to County inmates.

V. Inmate Records and Progress Reports

The County will maintain all CDCR inmate records in a secured location, non-accessible by other state inmates, county offenders and unauthorized personnel.

All warrants/holds/detainers received by the County for a state inmate shall be forwarded to the C&PR at the CDCR-sending institution, as noted on the detainer, within 24 hours.

W. Use of Force

The County's use of force policy and training program for security staff shall be reviewed and approved by CDCR prior to state inmates being transferred to the County. Following any use of force resulting in injuries to the state inmate or staff, an incident report shall be prepared. All reports will be submitted to CDCR.

X. Escapes

In the event of an escape by a CDCR inmate(s) from the SRPF or release over CDCR's Detainer, the County shall, in addition to efforts to apprehend such CDCR inmate, within 24 hours, notify the sending institution, as noted on the detainer; the CDCR Administrator of the Day (AOD); and the CDCR I.D./Warrants Unit as required by State statute in the same manner it uses for any other Facility escapees.

Y. Notification of Inmate Incidents, Emergencies, Escapes, and Discipline

The County will handle all state inmate related incidents, emergencies, and escapes. For serious incidents involving any CDCR inmate, the County will send the CDCR staff reports on the incident within 7 days.

The County will notify the sending institution, as noted on the detainer, and the CDCR AOD immediately (within 24 hours of the incident) by telephone for any:

1. CDCR inmate escape;
2. Use of deadly force involving a CDCR inmate;
3. Sexual assault, by an employee, inmate, or civilian involving a CDCR inmate;
4. Death of a CDCR inmate;
5. Rape of a CDCR inmate;
6. Hostage situation involving a CDCR inmate;
7. Felony behavior by staff involving CDCR inmates; or
8. Attempted suicide of a CDCR inmate.

Z. Public Information

The County will notify CDCR of any public information requests or media inquiries or media waiver requests involving CDCR inmates to the Office of Public and Employee Communications' Public Information Officer at 916-445-4950 or, after business hours, (916) 207-8085 or by e-mail at OPEC.AOD@cdcr.ca.gov.

AA. Offender Account Deductions (Restitution) Collection and Accounting

The County shall be responsible for collecting restitution from the wages and account deposits of inmates who owe restitution, pursuant to PC section 2085.5, as further detailed in the California Code of Regulations, Title 15, section 3097. The current restitution amount deducted is 50%, plus an administrative fee of 10% of the restitution deduction, for a maximum deduction of 55% of the inmate's wages and deposits, taking into consideration Title 15, subsection 3097(j) exemptions from the above deductions.

A Direct Restitution payment from outside the facility received as a "Restitution Only" payment will be applied 100% to the Victim's Direct Order &/or Fine waiving the Admin Fee. An inmate's request for a Voluntary payment from his Trust Account shall have the Admin Fee waived. County shall accept checks from CDCR to apply to inmate's Restitution Victim Direct Order, Fine &/or Trust Account as instructed by CDCR Inmate Account Branch (IAB) staff.

By entering into this agreement, the County acknowledges the County is responsible for satisfying CDCR's restitution obligations under such regulations as they currently exist and as they may be amended in the future. The County shall ensure sufficient staffing for carrying out these obligations and shall provide a computer with programming sufficient to perform all of the requirements specified for restitution account, collection and submission. The cost for the staff position, computer and software shall be covered by the Per Diem Rate.

CDCR shall provide the County the Inmate First & Last names, Inmate CDCR Numbers, Restitution Victim Direct Order & Restitution Fine Numbers, individual court assigned debt amount for each case assigned and individual balances of each inmate restitution debt. The County shall collect restitution debts beginning with the oldest dated Victim Direct Order first and resume collections until all Victim Direct Orders are paid in full as expressed in AB1505 (January 1, 2007). After all Victim Direct Orders have been satisfied, the County shall begin collection on the oldest Restitution Fine first and resume collection until all Restitution Fines are satisfied. The County shall have a means set in the computer for update purposes for collections that CDCR collects & informs the County to update the inmate's balance owing. This transaction will not be a monetary exchange.

The County shall hold such funds in trust for CDCR for the purposes set forth in said statute and regulations, and shall not commingle such funds with the County's own funds or with any other funds. The County shall submit one check to CDCR for restitution collections and administrative fees for the prior month attached to an itemized statement reflecting individual collection amounts. Note- Victim Direct Orders & Fines may have the same case number but must be accounted for separately.

The County shall at all times keep an accurate and up-to-date accounting of all such funds and restitution information, and shall remit fund collections and associated inmate case information to CDCR as directed. By the 10th of each month following collections, the County shall forward the amount of restitution and administrative fees to:

CDCR - Inmate Account Branch Headquarters
Attn: Restitution Collections,
P O Box 276088,
Sacramento CA 95827

The remittance shall include an itemized statement which includes the CDCR Number, Inmate First & Last names, designated Victim Direct Order(s) or Fine case number(s), individual collection date(s), individual restitution collection amount(s), and balance still owing shown by the County. In addition, the County shall provide an accounting of all such funds to CDCR at any time upon request. The County will send a copy of the itemized statement by electronic mail to CDCR's designated group of recipients' and the County will furnish an Accounting/Restitution Contact person.

CDCR Inmate Accounting Branch Contacts:

Inmate Restitution:

Christyne Mills (916) 255- 1028

Christyne.Mills@cdcr.ca.gov

Any such restitution funds remaining in the County's possession at the end of the contract shall be remitted to the CDCR for proper disposition pursuant to said statute and regulations.

BB. Performance Measures

The CDCR and/or Federal Receiver shall have the right to inspect and/or audit the SRPF at its discretion. The County reserves the right to deny access during off hours (defined as the period before 8 a.m. and after 5 p.m.) to individuals not identified previously to them either in this Agreement or otherwise in writing. In such event, prior to denying authorization, the County shall first contact the CDCR staff for direction and/or approval authority. The County reserves the right to request proper identification prior to admission in all cases. The County requires 48 hour notice prior to an inspection.

CDCR may conduct audits, and a copy of any such audit shall be provided to the County. If CDCR identifies deficiencies or non-compliance, the County shall be required to complete and return a Corrective Action Plan (CAP) and monitor timely compliance with required corrective actions. The County shall respond to the CAP within 30 days.

CC. Failure to Perform Services

Should the County fail to adequately perform the services under the terms of this Agreement, the County may not be permitted to continue to perform services. CDCR shall state in writing the reasons the County does not meet the Agreement standards. The County is required to comply with any CAP issued as a result of a performance evaluation.

Continued failure to provide and/or improve services within the time frame(s) established in the CAP(s) may result in a termination of the Agreement.

DD. Release Funds

In accordance with PC section 2713.1 and Title 15, section 3075.2, inmates are entitled to funds to assist them with necessary expenses upon their release from prison. San Quentin (SQ) State Prison will provide release fund checks and the original CDC Form 102, *Release Statement*, to the San Francisco County Jail (SFCJ) for distribution. The original CDC Form 102 shall be signed by the CDCR representative authorizing the transaction, the releasing inmate and a SFCJ staff shall sign as the witness. The CDC Form 102 shall then be returned to SQ.

IV. AGREEMENT COMPENSATION

The State agrees to reimburse the County in accordance with Exhibit B-1

of this Agreement.

V. AMERICANS WITH DISABILITIES ACT OF 1990

By signing this contract, the County assures the State that it complies with the Americans with Disabilities Act of 1990 (ADA), 42 U.S.C. section 12101 et seq., which prohibits discrimination on the basis of disability and with applicable regulations and guidelines issued pursuant to the ADA.

VI. CDCR CONTACT INFORMATION

Should questions or issues arise during the term of this Agreement, the County should contact the following CDCR offices for assistance:

Billing/Payment Issues:

Division of Rehabilitative Programs
ATTN: INVOICE UNIT – Alfredo Rosales
1515 S Street Room 410-S
Sacramento, CA 95811
Phone Number: (916) 327-8102
FAX Number: (916) 322-1453

Scope of Services/Performance Issues:

Division of Rehabilitative Programs\Office of Offender Services
Tyrone Williams, Staff Services Manager II
Phone Number: (916) 324-1284
FAX Number: (916) 323-1162

General Contract Issues:

Office of Business Services
Phone Number: (916) 255-5624
FAX Number: (916) 255-6187

1. Invoicing and Payment

- a. For services satisfactorily rendered, and upon receipt and approval of Contractor's invoices, the State agrees to compensate the Contractor in accordance with the rates specified herein on Exhibit B-1 Rate Sheet, and made a part of this Agreement. Exhibit B-1 Rate Sheet shall remain in force for the stated term of this Agreement and shall include every item of expense, direct and indirect, including taxes incidental to the specified rates.
- b. Invoices shall include the Agreement Number, Purchase Order Number and shall be submitted in triplicate not more frequently than monthly in arrears to the address provided below.
- c. The Contractor also has the option to submit their invoices electronically to the appropriate email address listed below. The Contractor must use the name on the Agreement and the Agreement Number on the subject line of the email. The email must include an attached PDF file of the invoice, in accordance with the information above, and must reference the institution acronym and invoice number. Separate emails shall be sent for contracts with more than one participating institution, facility, office and/or site with the invoice information as stated above.

1) To submit invoices for all Headquarters contracts (DAPO, DAI, DRP, Legal, Office of Offender Services, etc):

California Department of Corrections and Rehabilitation (CDCR)
ASB - Sacramento
Attention: **Accounts Payable A**
P.O. Box 187015
Sacramento, CA 95818-7015

For electronic submission, send invoices to:
APACContractInvoice@cdcr.ca.gov

2. Budget Contingency Clause

- a. It is mutually agreed that if the California State Budget Act for the current fiscal year and/or any subsequent fiscal years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, the State shall have no liability to pay any funds whatsoever to Contractor, or to furnish any other considerations under this Agreement, and Contractor shall not be obligated to perform any provisions of this Agreement.
- b. If funding for the purposes of this program is reduced or deleted for any fiscal year by the California State Budget Act, the State shall have the option to either cancel this Agreement with no liability occurring to the State, or offer an Agreement amendment to Contractor to reflect the reduced amount.

3. **Prompt Payment Clause**

Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with Section 927. Payment to small/micro businesses shall be made in accordance with and within the time specified in Chapter 4.5, Government Code 927 et seq.

4. **Subcontractors**

Nothing contained in this Agreement, or otherwise, shall create any contractual relation between the State and any subcontractors, and no subcontract shall relieve the Contractor of Contractor's responsibilities and obligations hereunder. The Contractor agrees to be as fully responsible to the State for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Contractor. The Contractor's obligation to pay its subcontractors is an independent obligation from the State's obligation to make payments to the Contractor. As a result, the State shall have no obligation to pay or to enforce the payment of any moneys to any subcontractor.

5. **Advanced Payment for Non-Profit Organizations**

Pursuant to Government Code Section (GC) 11019, upon review and approval of CDCR, the Contractor may request an advance payment for the fiscal year(s) covered by this agreement, which shall not exceed twenty five percent (25%) of the annual budget for each fiscal year. The CDCR will review and determine the need for an advance payment using the criteria contained in the department's procedures for advance payments to Community-Based, Private, Non-Profit Organizations, CDCR shall recover one-twelfth (1/12) of the advance payment each month by the reduction of monthly invoices submitted for payment by the Contractor in accordance with the project budget amount for each fiscal year of the agreement.

SECURE REENTRY PROGRAM FACILITY

Fiscal Year	Per Diem Rate Per Inmate	x	Maximum Daily Bed Use	x	Estimated Total Days	=	Budget Amount
16/17	\$77.00*	x	56*	x	365	=	<u>\$1,573,880.00</u>
Total Budget Amount							\$1,573,880.00

The California Department of Corrections and Rehabilitation (CDCR), is allocated \$1,573,880.00 for the life of this agreement, upon approval through June 30, 2017, and agrees to reimburse the County of San Francisco for daily bed space in the Secure Reentry Program Facility. Any fraction thereof shall be computed at Seventy-Seven Dollars and Zero Cents (\$77.00) per day or any part of a day, such costs having been determined by CDCR to reimburse the County of San Francisco for the costs incurred.

CDCR is prohibited from reimbursing the County of San Francisco more than the amount authorized within the Governor's Budget Act for the one (1) year contract term.

*Maximum Daily Bed Use is the maximum amount of beds available for this program in San Francisco County.

1. Contract Disputes with Public Entities (Supersedes provision number 6, Disputes, of Exhibit C)

As a condition precedent to Contractor's right to institute and pursue litigation or other legally available dispute resolution process, if any, Contractor agrees that all disputes and/or claims of Contractor arising under or related to the Agreement shall be resolved pursuant to the following processes. Contractor's failure to comply with said dispute resolution procedures shall constitute a failure to exhaust administrative remedies.

Pending the final resolution of any such disputes and/or claims, Contractor agrees to diligently proceed with the performance of the Agreement, including the delivering of goods or providing of services. Contractor's failure to diligently proceed shall constitute a material breach of the Agreement.

The Agreement shall be interpreted, administered, and enforced according to the laws of the State of California. The parties agree that any suit brought hereunder shall have venue in Sacramento, California, the parties hereby waiving any claim or defense that such venue is not convenient or proper.

A county, city, district or other local public body, state board or state commission, another state or federal agency, or joint-powers authority shall resolve a dispute with CDCR, if any, through a meeting of representatives from the entities affected. If the dispute cannot be resolved to the satisfaction of the parties, each entity may thereafter pursue its right to institute litigation or other dispute resolution process, if any, available under the laws of the State of California.

2. Confidentiality of Data

All financial, statistical, personal, technical and other data and information relating to State's operation, which are designated confidential by the State and made available to carry out this Agreement, or which become available to the Contractor in order to carry out this Agreement, shall be protected by the Contractor from unauthorized use and disclosure.

If the methods and procedures employed by the Contractor for the protection of the Contractor's data and information are deemed by the State to be adequate for the protection of the State's confidential information, such methods and procedures may be used with the written consent of the State. The Contractor shall not be required under the provisions of this paragraph to keep confidential any data already rightfully in the Contractor's possession that is independently developed by the Contractor outside the scope of the Agreement or is rightfully obtained from third parties.

No reports, information, inventions, improvements, discoveries, or data obtained, repaired, assembled, or developed by the Contractor pursuant to this Agreement shall be released, published, or made available to any person (except to the State) without prior written approval from the State.

Contractor by acceptance of this Agreement is subject to all of the requirements of California Government Code Section 11019.9 and California Civil Code Sections 1798, et seq., regarding the collection, maintenance, and disclosure of personal and confidential information about individuals.

3. Accounting Principles

The Contractor will adhere to generally accepted accounting principles as outlined by the American Institute of Certified Public Accountants. Dual compensation is not allowed; a contractor cannot receive simultaneous compensation from two or more funding sources for the same services performed even though both funding sources could benefit.

4. Taxes

Unless required by law, the State of California is exempt from federal excise taxes.

5. Right to Terminate (Supersedes provision number 7, Termination for Cause, of Exhibit C)

The parties hereto agree that either party may cancel this Agreement by giving the other party written notice thirty (30) days in advance of the effective date of such cancellation. In the event of such termination, the State agrees to pay Contractor for actual services rendered up to and including the date of termination.

The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.

6. Contract Suspension

Notwithstanding any other provisions of this Agreement, pursuant to a Governor's Executive Order or equivalent directive, such as a court order or an order from a federal or state regulatory agency, mandating the suspension of state contracts, the State may issue a Suspension of Work Notice. The Notice shall identify the specific Executive Order or directive and the Agreement number(s) subject to suspension. Unless specifically stated otherwise, all performance under the Agreement(s) must stop immediately upon receipt of the Notice. During the period of contract suspension, Contractor is not entitled to any payment for the suspended work. Once the order suspending state contracts has been lifted, a formal letter from the Department will be issued to the Contractor to resume work.

7. Extension of Term

If it is determined to be in the best interest of the State, upon agreement, the State may extend this contract, with no increase in service cost, for a period of one (1) year or less.

8. Contractor Employee Misconduct

During the performance of this Agreement, it shall be the responsibility of the Contractor whenever there is an incident of use of force or allegation(s) of employee misconduct associated with and directly impacting inmate and/or parolee rights, to immediately notify the CDCR of the incident(s), to cause an investigation to be conducted, and to provide CDCR with all relevant information pertaining to the incident(s). All relevant information includes, but is not limited to: a) investigative reports; b) access to inmates/parolees and the

associated staff; c) access to employee personnel records; d) that information reasonably necessary to assure CDCR that inmates and/or parolees are not or have not been deprived of any legal rights as required by law, regulation, policy and procedures; and e) written evidence that the Contractor has taken such remedial action, in the event of unnecessary or excessive force, or employee misconduct with inmates and/or parolees, as will assure against a repetition of incident(s) or retaliation. To the extent that the information provided by the Contractor fails to so assure CDCR, CDCR may require that any implicated Contractor staff be denied access to and the supervision of CDCR inmates and/or parolees at the facility and access to inmate and/or parolee records. Notwithstanding the foregoing, and without waiving any obligation of the Contractor, CDCR retains the power to conduct an independent investigation of any incident(s). Furthermore, it is the responsibility of the Contractor to include the foregoing terms within any and all subcontracts, requiring that subcontractor(s) agree to the jurisdiction of CDCR to conduct an investigation of their facility and staff, including review of subcontractor employee personnel records, as a condition of the Agreement.

9. Subcontracting

Services provided are to be performed primarily with the staff of the public entity or, in the case of educational institutions, auxiliaries or foundations, by the faculty, staff or students associated with the particular institution. Agreements are not to be used by state agencies to circumvent the competitive bidding requirements of Public Contract Code Section 10340.

If more than twenty-five (25) percent of the total contract amount or \$50,000.00, whichever is less, is subcontracted, non-competitive bid approval must be obtained from the Secretary of CDCR and the Department of General Services prior to the commencement of services, unless the subcontract was competitively bid or the subcontractor(s) also qualifies as a state agency, governmental agency, or joint power.

10. Subcontractor/Consultant Information

Contractor is required to identify all subcontractors and consultants who will perform labor or render services in the performance of this Agreement. Additionally, the Contractor shall notify the Department of Corrections and Rehabilitation, Office of Business Services, in writing, within ten (10) working days, of any changes to the subcontractor and/or consultant information.

11. Liability for Nonconforming Work

The Contractor will be fully responsible for ensuring that the completed work conforms to the agreed upon terms. If nonconformity is discovered prior to the Contractor's deadline, the Contractor will be given a reasonable opportunity to cure the nonconformity. If the nonconformity is discovered after the deadline for the completion of the project, CDCR, in its sole discretion, may use any reasonable means to cure the nonconformity. The Contractor shall be responsible for reimbursing CDCR for any additional expenses incurred to cure such defects.

12. Temporary Nonperformance

If, because of mechanical failure or for any other reason, the Contractor shall be temporarily unable to perform the work as required, the State, during the period of the Contractor's inability to perform, reserves the right to accomplish the work by other means and shall be reimbursed by the Contractor for any additional costs above the Agreement price.

13. Contract Violations

The Contractor acknowledges that any violation of Chapter 2, or any other chaptered provision of the Public Contract Code (PCC), is subject to the remedies and penalties contained in PCC Sections 10420 through 10425.

14. Employment of Ex-Offenders

Contractor cannot and will not either directly, or on a subcontract basis, employ in connection with this Agreement:

- a. Ex-Offenders on active parole or probation, who have been on active parole or probation during the last three years preceding their employment;
 1. Contractor shall only employ ex-offenders who can provide written evidence of having satisfactorily completed parole or probation, and who have remained off parole or probation, and have had no arrests or convictions within the past three years.
- b. Ex-offenders convicted of drug trafficking in a prison/jail; escape or aiding/abetting escape; battery on a Peace Officer or Public Official; arson offenses; or, any violations of Penal Code Sections 4570-4574 (unauthorized Communications with Prisons and Prisoners Offenses).
- c. Ex-Offenders are required to register as a sex offender pursuant to Penal Code Section 290.
- d. Any ex-offender who has an offense history involving a "violent felony" as defined in subparagraph (c) of Penal Code Section 667.5; or
- e. Any ex-offender in a position which provides direct supervision of parolees.

An ex-offender whose assigned duties involve administrative or policy decision-making, accounting, procurement, cashiering, auditing, or any other business-related administrative function shall be fully bonded to cover any potential loss to the State or contractor. Evidence of such bond shall be supplied to CDCR prior to employment of the ex-offender.

15. Conflict of Interest

The Contractor and their employees shall abide by the provisions of Government Code (GC) Sections 1090, 81000 et seq., 82000 et seq., 87100 et seq., and 87300 et seq., Public Contract Code (PCC) Sections 10335 et seq. and 10410 et seq., California Code of Regulations (CCR), Title 2, Section 18700 et seq. and Title 15, Section 3409, and the Department Operations Manual (DOM) Section 31100 et seq. regarding conflicts of interest.

a. Contractors and Their Employees

Consultant contractors shall file a Statement of Economic Interests, Fair Political Practices Commission (FPPC) Form 700 prior to commencing services under the Agreement, annually during the life of the Agreement, and within thirty (30) days after the expiration of the Agreement. Other service contractors and/or certain of their employees may be required to file a Form 700 if so requested by the CDCR or whenever it appears that a conflict of interest may be at issue. Generally, service contractors (other than consultant contractors required to file as above) and their employees shall be required to file an FPPC Form 700 if one of the following exists:

- (1) The Agreement service has been identified by the CDCR as one where there is a greater likelihood that a conflict of interest may occur;
- (2) The Contractor and/or Contractor's employee(s), pursuant to the Agreement, makes or influences a governmental decision; or
- (3) The Contractor and/or Contractor's employee(s) serves in a staff capacity with the CDCR and in that capacity participates in making a governmental decision or performs the same or substantially all the same duties for the CDCR that would otherwise be performed by an individual holding a position specified in the CDCR's Conflict of Interest Code.

b. Current State Employees

- (1) No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.
- (2) No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.
- (3) In addition to the above, CDCR officials and employees shall also avoid actions resulting in or creating an appearance of:
 - (a) Using an official position for private gain;
 - (b) Giving preferential treatment to any particular person;
 - (c) Losing independence or impartiality;
 - (d) Making a decision outside of official channels; and
 - (e) Affecting adversely the confidence of the public or local officials in the integrity of the program.
- (4) Officers and employees of the Department must not solicit, accept or receive, directly or indirectly, any fee, commission, gratuity or gift from any person or business organization doing or seeking to do business with the State.

c. Former State Employees

- (1) For the two year (2-year) period from the date he or she left state employment, no former state officer or employee may enter into an Agreement in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the Agreement while employed in any capacity by any state agency.
- (2) For the twelve-month (12-month) period from the date he or she left state employment, no former state officer or employee may enter into an Agreement with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed Agreement within the 12-month period prior to his or her leaving state service.

In addition to the above, the Contractor shall avoid any conflict of interest whatsoever with respect to any financial dealings, employment services, or opportunities offered to inmates or parolees. The Contractor shall not itself employ or offer to employ inmates or parolees either directly or indirectly through an affiliated company, person or business unless specifically authorized in writing by CDCR. In addition, the Contractor shall not (either directly, or indirectly through an affiliated company, person or business) engage in financial dealings with inmates or parolees, except to the extent that such financial dealings create no actual or potential conflict of interest, are available on the same terms to the general public, and have been approved in advance in writing by CDCR. For the purposes of this paragraph, "affiliated company, person or business" means any company, business, corporation, nonprofit corporation, partnership, limited partnership, sole proprietorship, or other person or business entity of any kind which has any ownership or control interest whatsoever in the Contractor, or which is wholly or partially owned (more than 5% ownership) or controlled (any percentage) by the Contractor or by the Contractor's owners, officers, principals, directors and/or shareholders, either directly or indirectly. "Affiliated companies, persons or businesses" include, but are not limited to, subsidiary, parent, or sister companies or corporations, and any company, corporation, nonprofit corporation, partnership, limited partnership, sole proprietorship, or other person or business entity of any kind that is wholly or partially owned or controlled, either directly or indirectly, by the Contractor or by the Contractor's owners, officers, principals, directors and/or shareholders.

The Contractor shall have a continuing duty to disclose to the State, in writing, all interests and activities that create an actual or potential conflict of interest in performance of the Agreement.

The Contractor shall have a continuing duty to keep the State timely and fully apprised in writing of any material changes in the Contractor's business structure and/or status. This includes any changes in business form, such as a change from sole proprietorship or partnership into a corporation or vice-versa; any changes in company ownership; any dissolution of the business; any change of the name of the business; any filing in bankruptcy; any revocation of corporate status by the Secretary of State; and any other material changes in the Contractor's business status or structure that could affect the performance of the Contractor's duties under the Agreement.

If the Contractor violates any provision of the above paragraphs, such action by the Contractor shall render this Agreement void.

Members of boards and commissions are exempt from this section if they do not receive payment other than payment for each meeting of the board or commission, payment for preparatory time and payment for per diem.

16. Travel

Contractor's rates shall include all travel expenses required to perform services in accordance with this contract.

17. Notification of Personnel Changes

Contractor must notify the State, in writing, of any changes of those personnel allowed access to State premises for the purpose of providing services under this Agreement. In addition, Contractor must recover and return any State-issued identification card provided to Contractor's employee(s) upon their departure or termination.

18. Security Clearance/Fingerprinting

The State reserves the right to conduct fingerprinting and/or security clearance—through the Department of Justice, Bureau of Criminal Identification and Information (BCII)—prior to award and at any time during the term of the Agreement, in order to permit Contractor (and/or Contractor employee) access to State premises. The State further reserves the right to terminate the Agreement should a threat to security be determined.

19. Computer Software

Contractor certifies that it has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this Agreement for the acquisition, operation or maintenance of computer software in violation of copyright laws.

20. Expendable Equipment

Expendable equipment is defined as expendable items which change with use and have a unit acquisition cost of less than \$5,000 per unit (i.e. fax machines, computers, printers, etc.). Title to any expendable equipment purchased or built with State funds as part of this agreement will vest in the State. The Contractor must retain a listing of expendable equipment purchases that are considered "theft-sensitive" items, such as cameras, calculators, two-way radios, computer equipment, etc., for audit purposes. Upon completion or termination of the agreement, Contractors are required to leave all expendable equipment for use by subsequent contractors or for the State to dispose of accordingly. The State may authorize the continued use of such equipment for work to be performed under a different agreement.

The cost of expendable equipment purchased should be comparable to the prevailing price for similar items in the surrounding area.

21. Electronic Waste Recycling

The Contractor certifies that it complies with the requirements of the Electronic Waste Recycling Act of 2003, Chapter 8.5, Part 3 of Division 30, commencing with Section 42460 of the Public Resources Code, relating to hazardous and solid waste. Contractor shall maintain documentation and provide reasonable access to its records and documents that evidence compliance.

22. Audit Reports

Local governmental entities shall submit, to the CDCR two (2) copies of the required audit report within thirty (30) calendar days after the completion of the audit, but no later than nine (9) months after the end of the audit period.

Local governmental entities shall submit the required number of copies of the audit report in accordance with the guidelines set by the Division of Audits of the State Controller's Office. Said reports are to be submitted to the following address:

State Controller
Division of Audits
300 Capitol Mall, Fifth Floor
Sacramento, CA 95814

23. Liability for Loss and Damages

Any damages by the Contractor to the State's facility including equipment, furniture, materials or other State property, will be repaired or replaced by the Contractor to the satisfaction of the State at no cost to the State. The State may, at its option, repair any such damage and deduct the cost thereof from any sum due Contractor under this Agreement.

24. Disclosure

Neither the State nor any State employee will be liable to the Contractor or its staff for injuries inflicted by inmates or parolees of the State. The State agrees to disclose to the Contractor any statement(s) known made by any inmate or parolee which indicate violence may result in any specific situation, and the same responsibility will be shared by the Contractor in disclosing such statement(s) to the State.

25. Workers' Compensation

Contractor hereby represents and warrants that Contractor is currently and shall, for the duration of this agreement, carry workers' compensation insurance, at Contractor's expense, or that it is self-insured through a policy acceptable to CDCR, for all of its employees who will be engaged in the performance of this agreement. Such coverage will be a condition of CDCR's obligation to pay for services provided under this agreement.

Prior to approval of this agreement and before performing any work, Contractor shall furnish to the State evidence of valid workers' compensation coverage. Contractor agrees that the workers' compensation insurance shall be in effect at all times during the term of this agreement. In the event said insurance coverage expires or is canceled at any time during the term of this agreement, Contractor agrees to give at least thirty (30) days prior notice to CDCR before said expiration date or immediate notice of cancellation. Evidence of coverage shall not be for less than the remainder of the term of the agreement or for a period of not less than one year. The State reserves the right to verify the Contractor's evidence of coverage. In the event the Contractor fails to keep workers' compensation insurance coverage in effect at all times, the State reserves the right to terminate this agreement and seek any other remedies afforded by the laws of this State.

Contractor also agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all of Contractor's workers' compensation claims and losses by Contractor's officers, agents and employees related to the performance of this agreement.

26. Insurance Requirements

Insurance as required herein shall be a condition of the State's obligation to pay for services provided under this Agreement. Prior to approval of this Agreement and before performing any work, Contractor and any subcontractor shall furnish to the State evidence of valid coverage. The following shall be considered evidence of coverage: A certificate of insurance, a "true and certified" copy of the policy, or any other proof of coverage issued by Contractor's insurance carrier. Binders are not acceptable as evidence of coverage. Providing evidence of coverage to the State conveys no rights or privileges to the State, nor does it insure any State employee or insure any premises owned, leased, used by or otherwise or under the control of the State. It does, however, serve to provide the State with proof that the Contractor and any subcontractors are insured at the minimum levels required by the State of California.

Contractor agrees that any liability insurance required in the performance of this Agreement shall be in effect at all times during the term of this Agreement. In the event said insurance coverage expires or is canceled during the term of this Agreement, Contractor shall provide the State within five (5) business days of receipt by contractor a copy of any notice of cancellation or non-renewal of insurance required by the contract. Evidence of coverage required in the performance of this Agreement shall not be for less than the remainder of the term of this Agreement or for a period of not less than one year. The State and the Department of General Services (DGS) reserve the right to verify the Contractor's evidence of coverage; evidence of coverage is subject to the approval of the DGS. In the event the Contractor fails to keep insurance coverage as required herein in effect at all times, the State reserves the right to terminate this Agreement and to seek any other remedies afforded by the laws of the State of California.

Contractor hereby represents and warrants they (and any subcontractors) are currently and shall for the duration of this Agreement be insured. Contractor shall provide proof of self-insurance against:

Commercial General Liability - \$1,000,000 per occurrence for bodily injury and property damage liability combined.

Auto Liability - \$1,000,000 per occurrence for bodily injury and property damage liability combined.

27. Tuberculosis (TB) Testing

In the event that the services required under this Agreement will be performed within a CDCR institution/parole office/community-based program, prior to the performance of contracted duties, Contractors and their employees who are assigned to work with inmates/parolees on a regular basis shall be required to be examined or tested or medically evaluated for TB in an infectious or contagious stage, and at least once a year thereafter or more often as directed by CDCR. Regular basis is defined as having contact with inmates/parolees in confined quarters more than once a week.

Contractors and their employees shall be required to furnish to CDCR, at no cost to CDCR, a form CDCR 7336, "Employee Tuberculin Skin Test (TST) and Evaluation," prior to assuming their contracted duties and annually thereafter, showing that the Contractor and their employees have been examined and found free of TB in an infectious stage. The form CDCR 7336 will be provided by CDCR upon Contractor's request.

The following provisions apply to services provided on departmental and/or institution grounds:

28. Blood borne Pathogens

Provider shall adhere to California Division of Occupational Safety and Health (CAL-OSHA) regulations and guidelines pertaining to blood borne pathogens.

29. Primary Laws, Rules, and Regulations Regarding Conduct and Association with State Prison Inmates and Division of Juvenile Justice Wards

Individuals who are not employees of the California Department of Corrections and Rehabilitation (CDCR), but who are working in and around inmates who are incarcerated, or wards who are housed within California's institutions/facilities or camps, are to be apprised of the laws, rules and regulations governing conduct in associating with prison inmates or wards. The following is a summation of pertinent information when non-departmental employees come in contact with prison inmates or wards.

By signing this contract, the Contractor agrees that if the provisions of the contract require the Contractor to enter an institution/facility or camp, the Contractor and any employee(s) and/or subcontractor(s) shall be made aware of and shall abide by the following laws, rules and regulations governing conduct in associating with prison inmates or wards:

- a. Persons who are not employed by CDCR, but are engaged in work at any institution/facility or camp must observe and abide by all laws, rules and regulations governing the conduct of their behavior in associating with prison inmates or wards. Failure to comply with these guidelines may lead to expulsion from CDCR institutions/facilities or camps.

SOURCE: California Penal Code (PC) Sections 5054 and 5058; California Code of Regulations (CCR), Title 15, Sections 3285 and 3415, and California Welfare and Institutions Code (WIC) Section 1712.

- b. CDCR does not recognize hostages for bargaining purposes. CDCR has a "NO HOSTAGE" policy and all prison inmates, wards, visitors, and employees shall be made aware of this.

SOURCE: PC Sections 5054 and 5058; CCR, Title 15, Section 3304 and 4603; WIC Section 1712.

- c. All persons entering onto institution/facility or camp grounds consent to search of their person, property or vehicle at any time. Refusal by individuals to submit to a search of their person, property, or vehicle may be cause for denial of access to the premises.

SOURCE: PC Sections 2601, 5054 and 5058; CCR, Title 15, Sections 3173, 3177, 3288, 4696, and 4697; WIC 1712.

- d. Persons normally permitted to enter an institution/facility or camp may be barred, for cause, by the CDCR Director, Warden, and/or Regional Parole Administrator.

SOURCE: PC Sections 5054 and 5058; CCR, Title 15, Section 3176 (a) and 4696; WIC Section 1712.

- e. It is illegal for an individual who has been previously convicted of a felony offense to enter into CDCR adult institutions/facilities or camps, or youth institutions/facilities or camps in the nighttime, without the prior approval of the Warden or officer in charge. It is also illegal for an individual to enter onto these premises for unauthorized purposes or to refuse to leave said premises when requested to do so. Failure to comply with this provision could lead to prosecution.

SOURCE: PC Sections 602, 4570.5 and 4571; CCR, Title 15, Sections 3173 and 3289; WIC Section 1001.7.

- f. Encouraging and/or assisting prison inmates to escape, is a crime. It is illegal to bring firearms, deadly weapons, explosives, tear gas, drugs or drug paraphernalia on CDCR institutions/facilities or camp premises. It is illegal to give prison inmates or wards firearms, explosives, alcoholic beverages, narcotics, or any drug or drug paraphernalia, including cocaine or marijuana. It is illegal to give wards sex oriented objects or devices, and written materials and pictures whose sale is prohibited to minors.

SOURCE: PC Sections 2772, 2790, 4533, 4535, 4550, 4573, 4573.5, 4573.6 and 4574; WIC Section 1152, CRR, Title 15, sections 4681 and 4710; WIC Section 1001.5.

- g. It is illegal to give or take letters from inmates or wards without the authorization of the Warden or officer in charge. It is also illegal to give or receive any type of gift and/or gratuities from prison inmates or wards.

SOURCE: PC Sections 2540, 2541 and 4570; CCR, Title 15, Sections 3010, 3399, 3401, 3424, 3425 and 4045; WIC Section 1712.

- h. In an emergency situation the visiting program and other program activities may be suspended.

SOURCE: PC Section 2601; CCR, Title 15, Section 3383, 4002.5 and 4696.

- i. For security reasons, visitors must not wear clothing that in any way resembles state issued prison inmate or ward clothing (blue denim shirts, blue denim pants).

SOURCE: CCR, Title 15, Section 3174 (b) (1) and 4696.

- j. Interviews with SPECIFIC INMATES are not permitted. Conspiring with an inmate to circumvent policy and/or regulations constitutes a rule violation that may result in appropriate legal action. Interviews with individual wards are permitted with written consent of each ward if he is 18 years of age or older, or with written consent of a parent, legal guardian, or committing court, if 17 years of age or younger.

SOURCE: CCR, Title 15, Sections 3261.5, 3315 (a) (3) (X), and 3177 and 4700(a)(1).

30. Clothing Restrictions

While on institution grounds, Contractor and all its agents, employees, and/or representatives shall be professionally and appropriately dressed in clothing distinct from that worn by inmates at the institution. Specifically, blue denim pants and blue chambray shirts, orange/red/yellow/white/chartreuse jumpsuits and/or yellow rainwear shall not be worn onto institution grounds, as this is inmate attire. Contractor should contact the institution regarding clothing restrictions prior to requiring access to the institution to assure the Contractor and their employees are in compliance.

31. Tobacco-Free Environment

Pursuant to Penal Code Section 5030.1, the use of tobacco products by any person on the grounds of any institution or facility under the jurisdiction of the Department of Corrections and Rehabilitation is prohibited.

32. Prison Rape Elimination Policy

CDCR maintains a zero tolerance for sexual misconduct in its institutions, community correctional facilities, conservation camps and for all offenders under its jurisdiction. All sexual misconduct is strictly prohibited.

CDCR is committed to providing a safe, humane, secure environment, free from sexual misconduct. This will be accomplished by maintaining a program to ensure education/prevention, detection, response, investigation and tracking of sexual misconduct and to address successful community re-entry of the victim.

All Contractors and their employees are expected to ensure compliance with this policy as described in Department Operations Manual, Chapter 5, Article 44.

If you are providing services for the confinement of our inmates, you and your staff are required to adopt and comply with the PREA standards, 28 Code of Federal Regulations (CFR) Part 115 and with CDCR's Department Operations Manual, Chapter 5, Article 44, including updates to this policy. This will include CDCR staff and outside audit personnel (who also conduct PREA audits of state prisons) conducting audits to ensure compliance with the standards.

As a Contractor with CDCR, you shall not assign an employee to a CDCR facility or assign an employee to duties if that employee will have contact with CDCR inmates, if that employee has 1) engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997); 2) been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or 3) has been civilly or administratively adjudicated to have engaged in the activity described in this section.

The Contractor shall conduct a criminal background records check for each contract employee who will have contact with CDCR inmates and retain the results for audit purposes. By signing this contract the Contractor agrees to ensure that all of the mandates of this Section 5: Prison Rape Elimination Policy are complied with. Material omissions, by the contract employee, regarding such misconduct or the provision of materially false

information, shall be grounds for removal from institutional grounds.

Contract employees, who have contact with inmates, shall be provided training via the Exhibit titled; "PRISON RAPE ELIMINATION POLICY, Volunteer/Contractor Informational Sheet" to learn their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures. A copy of this signed informational sheet will be provided to the institution before a contract employee may have contact with inmates.

Any contract employee who appears to have engaged in sexual misconduct of an inmate shall be prohibited from contact with inmates and shall be subject to administrative and/or criminal investigation. Referral shall be made to the District Attorney unless the activity was clearly not criminal. Reportable information shall be sent to relevant licensing bodies.

33. Security Regulations

- a. Unless otherwise directed by the entrance gate officer and/or Contract Manager, the Contractor, Contractor's employees and subcontractors shall enter the institution through the main entrance gate and park private and nonessential vehicles in the designated visitor's parking lot. Contractor, Contractor's employees and subcontractors shall remove the keys from the ignition when outside the vehicle and all unattended vehicles shall be locked and secured while on institution grounds.
- b. Any State- and Contractor-owned equipment used by the Contractor for the provision of contract services, shall be rendered temporarily inoperative by the Contractor when not in use, by locking or other means unless specified otherwise.
- c. In order to maintain institution safety and security, periodic fire prevention inspections and site searches may become necessary and Contractor must furnish keys to institutional authorities to access all locked areas on the worksite. The State shall in no way be responsible for Contractor's loss due to fire.
- d. Due to security procedures, the Contractor, Contractor's employees and subcontractors may be delayed at the institution vehicle/pedestrian gates and sally ports. Any loss of time checking in and out of the institution gates and sally ports shall be borne by the Contractor.
- e. Contractor, Contractor's employees and subcontractors shall observe all security rules and regulations and comply with all instructions given by institutional authorities.
- f. Electronic and communicative devices such as pagers, cell phones and cameras/microcameras are not permitted on institution grounds.
- g. Contractor, Contractor's employees and subcontractors shall not cause undue interference with the operations of the institution.
- h. No picketing is allowed on State property.

34. Gate Clearance

Contractor and Contractor's employee(s) and/or subcontractor(s) must be cleared prior to providing services. The Contractor will be required to complete a Request for Gate Clearance for all persons entering the facility a minimum of ten (10) working days prior to commencement of service. The Request for Gate Clearance must include the person's name, social security number, valid state driver's license number or state identification card number and date of birth. Information shall be submitted to the Contract Liaison or his/her designee. CDCR uses the Request for Gate Clearance to run a California Law Enforcement Telecommunications System (CLETS) check. The check will include Department of Motor Vehicles check, Wants and Warrants check, and Criminal History check.

Gate clearance may be denied for the following reasons: Individual's presence in the institution presents a serious threat to security, individual has been charged with a serious crime committed on institution property, inadequate information is available to establish positive identity of prospective individual, and/or individual has deliberately falsified his/her identity.

All persons entering the facilities must have a valid state driver's license or photo identification card on their person.

BUSINESS ASSOCIATES AGREEMENT (HIPAA)

Secure Reentry Program

WHEREAS, Provider, hereinafter referred to in this Exhibit as "Business Associate," acknowledges that the CDCR, hereinafter referred to in this Exhibit as "Covered Entity," has in its possession data that contains individual identifiable health information as defined by Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191 ("HIPAA") and the regulations promulgated thereunder;

WHEREAS, Business Associate and Covered Entity acknowledge that the fulfillment of the Parties' obligations under this Service Agreement necessitates the exchange of, or access to, data including individual identifiable health information; and,

WHEREAS, the parties desire to comply with federal and California laws regarding the use and disclosure of individually identifiable health information, and in particular with the provisions of the federal Health Insurance Portability and Accountability Act of 1996 (HIPAA) and the regulations promulgated thereunder.

NOW, THEREFORE, in consideration of the mutual promises and covenants hereinafter contained, the Parties agree as follows:

ARTICLE 1 DEFINITIONS

Terms used, but not otherwise defined, in this Exhibit shall have the meanings set forth below.

- 1.1 "HHS Transaction Standard Regulation" means the Code of Federal Regulations ("CFR") at Title 45, Sections 160 and 162.
- 1.2 "Individual" means the subject of protected health information (PHI) or, if deceased, his or her personal representative.
- 1.3 "Parties" shall mean the Covered Entity and Business Associate. (Covered Entity and Business Associate, individually, may be referred to as a "Party".)
- 1.4 "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 CFR Part 160 and Part 164, Subparts A and E.
- 1.5 "PHI" shall have the same meaning as the term "protected health information" in 45 CFR §164.501, limited to the information created or received by Business Associate from or on behalf of the Covered Entity.
- 1.6 "Required By Law" shall have the same meaning as "required by law" in 45 CFR §164.501.

- 1.7 "Secretary" shall mean the Secretary of the Department of Health and Human Services or his designee.

Any other terms used, but not otherwise defined, in this Exhibit shall have the same meaning as those terms in the Privacy Rule.

ARTICLE 2 CONFIDENTIALITY

2.1 Obligations and Activities of Business Associate. Business Associate agrees as follows:

- (a) not to use or further disclose PHI other than as permitted or required by this Agreement or as Required By Law;
- (b) to establish, maintain, and use appropriate safeguards to prevent use or disclosure of the PHI other than as permitted herein;
- (c) to report to Covered Entity any use, access or disclosure of the PHI not provided for by this Agreement, or any misuse of the PHI, including but not limited to systems compromises of which it becomes aware and to mitigate, to the extent practicable, any harmful effect that is known to Business Associate as a result thereof. Business Associate shall be responsible for any and all costs (including the costs of Covered Entity) associated with mitigating or remedying any violation of this Agreement;
- (d) to enforce and maintain appropriate policies, procedures, and access control mechanisms to ensure that any agent, including a subcontractor, to whom it provides PHI received from, or created or received by Business Associate on behalf of Covered Entity agrees to the same restrictions and conditions that apply through this Agreement to Business Associate with respect to such information. The access and privileges granted to any such agent shall be the minimum necessary to perform the assigned functions;
- (e) to provide access, at the request of Covered Entity, and in the time and manner reasonable designated by Covered Entity, to PHI in a Designated Record Set (as defined in the Privacy Rule), to Covered Entity or, as directed by Covered Entity, to an Individual in order to meet the requirements under 45 CFR §164.524;
- (f) to make any amendment(s) to PHI in a Designated Record Set that the Covered Entity directs or agrees to pursuant to 45 CFR §164.526 at the request of Covered Entity or an Individual, and in the time and manner reasonably requested by Covered Entity.
- (g) to make internal practices, books, and records relating to the use and disclosure of PHI received from, or created or received by Business Associate on behalf of, Covered Entity available to the Covered Entity, or at the request of the Covered Entity to the Secretary, in a time and manner reasonably requested by Covered Entity or designated by the Secretary, for purposes of the Secretary determining Covered Entity's compliance with the Privacy Rule.
- (h) to document such disclosures of PHI, and information related to such disclosures, as would be required for Covered Entity to respond to a request by an Individual for an accounting of disclosures of PHI in accordance with 45 CFR

§164.528. Said documentation shall include, but not be limited to, the date of the disclosure, the name and, if known, the address of the recipient of the PHI, a brief description of the PHI disclosed, and the purpose of the disclosure. Said documentation shall be made available to Covered Entity upon request.

- (i) to provide to Covered Entity or an Individual, in a time and manner reasonably requested by Covered Entity, information collected in accordance with Section 2.1(h) above to permit Covered Entity to respond to a request by an Individual for an accounting of disclosures of PHI in accordance with 45 CFR §164.528.
- (j) to promptly notify Covered Entity of all actual or suspected instances of deliberate unauthorized attempts (both successful and unsuccessful) to access PHI. Such notice shall be made to Covered Entity by telephone as soon as Business Associate becomes aware of the unauthorized attempt, and this telephone notification shall be followed within two (2) calendar days of the discovery of the unauthorized attempt by a written report to Covered Entity from Business Associate. Business Associate shall, at the same time, report to Covered Entity any remedial action taken, or proposed to be taken, with respect to such unauthorized attempt. Covered Entity shall have the discretion to determine whether or not any such remedial action is sufficient, and all such remedial action shall be at Business Associate's expense.
- (k) to maintain and enforce policies, procedures and processes to protect physical access to hardware, software and/or media containing PHI (e.g., hardcopy, tapes, removable media, etc.) against unauthorized physical access during use, storage, transportation, disposition and /or destruction.
- (l) to ensure that access controls in place to protect PHI and processing resources from unauthorized access are controlled by two-factor identification and authentication: a user ID and a Token, Password or Biometrics.
- (m) to implement, use and monitor its compliance with appropriate technological, administrative and physical safeguards to prevent the use or disclosure of PHI other than as permitted by this Agreement. Business Associate shall provide Covered Entity with evidence of such safeguards upon Covered Entities request. Covered Entity has the right to determine, in its sole discretion, whether such safeguards are appropriate, and to require any additional safeguards it deems necessary.
- (n) In the event that Business Associate is served with legal process (e.g. a subpoena) or request from a governmental agency (e.g. the Secretary) that potentially could require the disclosure of PHI, Business Associate shall provide prompt (i.e., within twenty-four (24) hours) written notice of such legal process (including a copy of the legal process served) to the designated person at the Covered Entity. In addition, Business Associate shall not disclose the PHI without the consent of Covered Entity unless pursuant to a valid and specific court order or to comply with a requirement for review of documents by a governmental regulatory agency under its statutory or regulatory authority to regulate the activities of either party.

- (o) to submit to periodic audits by Covered Entity verifying Business Associate's compliance with appropriate technological, administrative and physical safeguards to prevent the use or disclosure of PHI other than as permitted by this Agreement, as well as compliance with the terms and conditions pursuant to this Agreement and compliance with state and federal laws and regulations. Audit review may be undertaken directly by the Covered Entity or by third parties engaged by the Covered Entity. Business Associate shall cooperate fully with Covered Entity or any such third party in connection with such audits.

2.2 Disclosures Required By Law.

In the event that Business Associate is required by law to disclose PHI, Business Associate will immediately provide Covered Entity with written notice and provide Covered Entity an opportunity to oppose any request for such PHI or to take whatever action Covered Entity deems appropriate.

2.3 Specific Use and Disclosure Provisions.

- (a) Except as otherwise limited in this Agreement, Business Associate may use PHI only to carry out the legal responsibilities of the Business Associate under this Service Agreement.
- (b) Except as otherwise limited in this Agreement, Business Associate may only disclose PHI (i) as Required By Law, or (ii) in the fulfillment of its obligations under the Service Agreement and provided that Business Associate has first obtained (A) the consent of Covered Entity for such disclosure, (B) reasonable assurances from the person to whom the information is disclosed that the PHI will remain confidential and used or further disclosed only as Required By Law or for the purpose for which it was disclosed to the person, and (C) reasonable assurances from the person to whom the information is disclosed that such person will notify the Business Associate of any instances of which it is aware in which the confidentiality of the information has been breached.

2.4 Obligations of Covered Entity.

- (a) Covered Entity shall notify Business Associate of any limitations in its notice of privacy practices of Covered Entity in accordance with 45 CFR §164.520, to the extent that such limitation may affect Business Associate's use or disclosure of PHI.
- (b) Covered Entity shall notify Business Associate of any changes in, or revocation of, permission by an Individual to use or disclose PHI, to the extent that such changes may affect Business Associate's use or disclosures of PHI.
- (c) Covered Entity shall notify Business Associate of any restriction to the use or disclosure of PHI that Covered Entity has agreed to in accordance with 45 CFR §164.522, to the extent that such restriction may affect Business Associate's use or disclosure of PHI.
- (d) For any PHI received by Covered Entity from Business Associate on behalf of a third party or another covered entity, Covered Entity agrees to be bound to the obligations and activities of Business Associate enumerated in Section 2.1 as if and to the same extent Covered Entity was the named Business Associate hereunder.

2.5 Permissible Requests by Covered Entity.

Covered Entity shall not request Business Associate to use or disclose PHI in any manner that would not be permissible under the Privacy Rule if done by the Covered Entity.

2.6 Policy and Procedure Review.

Upon request, Business Associate shall make available to Covered Entity any and all documentation relevant to the safeguarding of PHI including but not limited to current policies and procedures, operational manuals and/or instructions, and/or employment and/or third party agreements.

**ARTICLE 3
SECURITY**

3.1 Government Healthcare Program Representations.

Business Associate hereby represents and warrants to Covered Entity, its shareholders, members, directors, officers, agents, or employees have not been excluded or served a notice of exclusion or have been served with a notice of proposed exclusion, or have committed any acts which are cause for exclusion, from participation in, or had any sanctions, or civil or criminal penalties imposed under, any federal or state healthcare program, including but not limited to Medicare or Medicaid, or have been convicted, under federal or state law (including without limitation a plea of nolo contendere or participation in a first offender deterred adjudication or other arrangement whereby a judgment of conviction has been withheld), of a criminal offense related to (a) the neglect or abuse of a patient, (b) the delivery of an item or service, including the performance of management or administrative services related to the delivery of an item or service, under a federal or state healthcare program, (c) fraud, theft, embezzlement, breach of fiduciary responsibility, or other financial misconduct in connection with the delivery of a healthcare item or service or with respect to any act or omission in any program operated by or financed in whole or in part by any federal, state or local government agency, (d) the unlawful, manufacture, distribution, prescription, or dispensing of a controlled substance, or (e) interference with or obstruction of any investigation into any criminal offense described in (a) through (d) above. Business Associate further agrees to notify Covered Entity immediately after Business Associate becomes aware that the foregoing representation and warranty may be inaccurate or may be incorrect.

3.2 Security Procedures.

Each Party shall employ security procedures that comply with HIPAA and all other applicable state and federal laws and regulations (collectively, the "Law") and that are commercially reasonable, to ensure that transactions, notices, and other information that are electronically created, communicated, processed, stored, retained or retrieved are authentic, accurate, reliable, complete and confidential. Moreover, each Party shall, and shall require any agent or subcontractor involved in the electronic exchange of data to:

- (a) require its agents and subcontractors to provide security for all data that is electronically exchanged between Covered Entity and Business Associate;

- (b) provide, utilize, and maintain equipment, software, services and testing necessary to assure the secure and reliable transmission and receipt of data containing PHI;
- (c) maintain and enforce security management policies and procedures and utilize mechanisms and processes to prevent, detect, record, analyze, contain and resolve unauthorized access attempts to PHI or processing resources;
- (d) maintain and enforce policies and guidelines for workstation use that delineate appropriate use of workstations to maximize the security of data containing PHI;
- (e) maintain and enforce policies, procedures and a formal program for periodically reviewing its processing infrastructure for potential security vulnerabilities;
- (f) implement and maintain, and require its agents and subcontractors to implement and maintain, appropriate and effective administrative, technical and physical safeguards to protect the security, integrity and confidentiality of data electronically exchanged between Business Associate and Covered Entity, including access to data as provided herein. Each Party and its agents and subcontractors shall keep all security measures current and shall document its security measures implemented in written policies, procedures or guidelines, which it will provide to the other Party upon the other Party's request.

ARTICLE 4 EXCHANGE OF STANDARD TRANSMISSIONS

4.1 Obligations of the Parties. Each of the Parties agrees that for the PHI,

- (a) it will not change any definition, data condition or use of a data element or segment as proscribed in the HHS Transaction Standard Regulation.
- (b) it will not add any data elements or segments to the maximum denied data set as proscribed in the HHS Transaction Standard Regulation.
- (c) it will not use any code or data elements that are either marked "not used" in the HHS Standard's implementation specifications or are not in the HHS Transaction Standard's implementation specifications.
- (d) it will not change the meaning or intent of any of the HHS Transaction Standard's implementation specifications.

4.2 Incorporation of Modifications to HHS Transaction Standards.

Each of the Parties agrees and understands that from time-to-time, HHS may modify and set compliance dates for the HHS Transaction Standards. Each of the Parties agrees to incorporate by reference into this Agreement any such modifications or changes.

4.3 Code Set Retention.

If applicable, both parties understand and agree to keep open code sets being processed or used in this Agreement for at least the current billing period or any appeal period, whichever is longer.

4.4 Business Associate Obligations.

- (a) Business Associate shall not submit duplicate transmissions unless so requested by Covered Entity.
- (b) Business Associate shall only perform those transactions, which are authorized by Covered Entity. Furthermore, Business Associate assumes all liability for any damage, whether direct or indirect, to the electronic data or to Covered Entity's systems caused by Business Associate's unauthorized use of such transactions.
- (c) Business Associate shall hold Covered Entity harmless from any claim, loss or damage of any kind, whether direct or indirect, whether to person or property, arising out of or related to (1) Business Associate's use or unauthorized disclosure of the electronic data; or (2) Business Associate's submission of data, including but not limited to the submission of incorrect, misleading, incomplete or fraudulent data.
- (d) Business Associate agrees to maintain adequate back-up files to recreate transmissions in the event that such recreations become necessary. Back-up tapes shall be subject to this Agreement to the same extent as original data.
- (e) Business Associate agrees to trace lost or indecipherable transmissions and make reasonable efforts to locate and translate the same. Business Associate shall bear all costs associated with the recreation of incomplete, lost or indecipherable transmissions if such loss is the result of an act or omission of Business Associate.
- (f) Business Associate shall maintain, for seven (7) years, true copies of any source documents from which it produces electronic data.
- (g) Except encounter data furnished by Business Associate to Covered Entity, Business Associate shall not (other than to correct errors) modify any data to which it is granted access under this Agreement or derive new data from such existing data. Any modification of data is to be recorded, and a record of such modification is to be retained by Business Associate for a period of seven (7) years.
- (h) Business Associate shall not disclose security access codes to any third party in any manner without the express written consent of Covered Entity. Business Associate furthermore acknowledges that Covered Entity may change such codes at any time without notice. Business Associate shall assume responsibility for any damages arising from its disclosure of the security access codes or its failure to prevent any third party use of the system without the express written consent of Covered Entity.

- (i) Business Associate shall maintain general liability coverage, including coverage for general commercial liability, for a limit of not less than one million dollars, as well as other coverage as Covered Entity may require to compensate any parties damaged by Business Associate's negligence. Business Associate shall provide evidence of such coverage in the form of a certificate of insurance and agrees to notify Covered Entity and/or HOI immediately of any reduction or cancellation of such coverage.
- (j) Business Associate agrees to conduct testing with Covered Entity to ensure delivery of files that are HIPAA-AS Compliant and to accommodate Covered Entity's specific business requirements.

4.5 Confidential And Proprietary Information

(a) Proprietary Information

Business Associate acknowledges that it will have access to certain proprietary information used in Covered Entity's business. Covered Entity's proprietary information derives its commercial value from the fact that it is not available to competitors or any third parties, and the disclosure of this information would or could impair Covered Entity's competitive position or otherwise prejudice its ongoing business. Business Associate agrees to treat as confidential, and shall not use for its own commercial purpose or any other purpose, Covered Entity's proprietary information. Business Associate shall safeguard Covered Entity's proprietary information against disclosure except as may be expressly permitted herein. Such proprietary information includes, but is not limited to, confidential information concerning the business operations or practices of Covered Entity, including specific technology processes or capabilities.

ARTICLE 5 MISCELLANEOUS

5.1 Indemnification

Business Associate shall indemnify, defend, and save harmless the State, CDCR, and CDCR's officers, employees and agents, against any and all losses, liabilities, settlements, claims, demands, damages, or deficiencies (including interest) and expenses of any kind (including, but not limited to, attorneys' fees) arising out of or due to a breach of the terms of this Exhibit to the Service Agreement, and arising out of Business Associate's acts or omissions in regard to the terms of this Exhibit to the Service Agreement. The foregoing indemnity is in addition to any other save harmless or indemnification set forth in this entire Agreement.

5.2 Term and Termination.

- (a) Term. The Term of this Agreement shall be effective as of the first date of commencement of services under this entire agreement, and shall terminate when all of the PHI provided by Covered Entity to Business Associate, or created or received by Business Associate on behalf of Covered Entity is destroyed or returned to Covered Entity, or, if it is infeasible to return or destroy PHI, protections are extended to such information, in accordance with the termination provisions in this Section.
- (b) Termination for Cause. Upon a material breach by Business Associate of its obligation hereunder, Covered Entity may (i) terminate this Agreement and the Service Agreement; (ii) permit Business Associate to cure the breach; (iii) report the violation to the Secretary; and/or (iv) require Business Associate to take such other action as Covered Entity may request, at Business Associate's expense.

Covered Entity's remedies under this paragraph shall be cumulative, and the exercise of any remedy shall not preclude the exercise of any other. If Covered Entity elects to terminate the Agreement pursuant to a breach of terms and conditions of this Exhibit, Covered Entity shall be relieved of any further obligations under the entire Agreement, and shall be immediately entitled to a refund of any amounts prepaid from the date of the termination through the end of the payment period, on a pro rata basis.

The foregoing termination language is in addition to any other termination language set forth in the entire agreement.

- (c) Effect of Termination.
 - (i) Except as provided in paragraph 5.2(c)(ii), upon termination of this Agreement, for any reason, Business Associate shall return or destroy all PHI received from Covered Entity, or created or received by Business Associate on behalf of Covered Entity. This provision shall apply to PHI that is in the possession of subcontractors or agents of Business Associate. Business Associate shall retain no copies of the PHI.
 - (ii) In the event that Business Associate determines that returning the PHI is infeasible, Business Associate shall provide to Covered Entity notification of the conditions that make return or destruction infeasible. Upon Covered Entity's agreement that return or destruction of PHI is infeasible, Business Associate shall extend the protections of this Agreement to such PHI and limit further uses and disclosures of such PHI to those purposes that make the return or destruction infeasible, for so long as Business Associate maintains such PHI.

5.3 Disputes.

HIPAA Appeal Procedures

CDCR has established and shall maintain an appeal procedure in accordance with CDCR Department Operations Manual, Section 22040.16. Business Associate agrees that disputes arising under the terms of this Exhibit shall be resolved in accordance with the following:

1. Verbal Appeal

Business Associate and CDCR's Privacy Officer, shall first attempt to resolve the problem by informal discussion. Business Associate agrees that CDCR's Division of Correctional Health Care Services shall be used as a resource in solving potential disputes.

2. Informal Appeal

If the issue is not resolved at the verbal appeal level, Business Associate shall file, within thirty (30) working days, an informal written appeal specifying: the issue(s) of dispute, legal authority or other basis for Business Associate's position, supporting evidence, and remedy sought, with the CDCR Chief, Licensing and Information Systems, and provide a photocopy to the CDCR Assistant Deputy Director, Office of Business Services. The CDCR Chief, Licensing and Information Systems, shall make a determination on the issue and respond in writing within thirty (30) working days of receipt of the informal appeal, indicating the decision reached.

3. Formal Appeal

Should Business Associate disagree with the informal appeal decision, Business Associate shall submit, within ten (10) working days after Business Associate's receipt of the decision of the informal appeal, to the CDCR Deputy Director, Division of Correctional Health Care Services, and a photo copy to the CDCR, Assistant Deputy Director, Office of Business Services, written notification indicating why the informal appeal decision is unacceptable, along with a copy of the original statement of dispute and a copy of CDCR's response. The CDCR Deputy Director, Division of Correctional Health Care Services, or his/her designee may meet with Business Associate to review the issues within twenty (20) working days of the receipt of Business Associate's notification and shall provide Business Associate with written notification of the decision within forty-five (45) working days from the receipt of the formal appeal.

The foregoing dispute process is solely for the purpose of disputes arising from the terms and conditions of this Exhibit. Disputes in relation to the scope of work and other terms and conditions shall be in accordance with any other dispute language set forth in the entire Agreement.

5.4 Injunctive Relief.

Notwithstanding any rights or remedies provided for in Section 5.3, Covered Entity retains all rights to seek injunctive relief to prevent the unauthorized use of disclosure of PHI by Business Associate or any agent, contractor or third party that received PHI from Business Associate.

5.5 Regulatory References.

A reference in this Agreement to a section in the Privacy Rule means the section as in effect or as amended.

5.6 Amendment.

The Parties agree to take such action as is necessary to amend this Agreement from time to time to the extent necessary for Covered Entity to comply with the requirements of HIPAA and its regulations. All amendments to this Exhibit shall be in writing and signed by both parties through a formal amendment to the entire agreement.

5.7 Survival.

The respective rights and obligations of Business Associate and Covered Entity under Sections 4.5, 5.1 and 5.2(c) of this Agreement shall survive the termination of this Agreement.

5.8 Limitation of Damages.

Other than liabilities under Section 5.1, neither party shall be liable to the other for any special, incidental, exemplary, punitive or consequential damages arising from or as a result of any delay, omission, or error in the electronic transmission or receipt of any information pursuant to this Agreement, even if the other Party has been advised of the possibility of such damages.

5.9 Interpretation.

Any ambiguity in this Agreement shall be resolved to permit Covered Entity to comply with the Privacy Rule.

5.10 Third Party Beneficiary

Unless otherwise set forth herein, nothing contained herein is intended, nor shall it be construed, to create rights running of the benefit of third parties.

5.11 Notices

Any HIPAA related notice required hereunder shall be deemed to be sufficient if mailed to the parties at the addresses below. In order to avoid unreasonable delay in the provision of the services to be rendered pursuant to this Agreement, Business Associate and Covered Entity shall each designate a specific "HIPAA" representative(s) for the purpose of communication between the parties. Such representative(s) may be changed upon written notice to the other party.

Business Associate:

Vicki Hennessy- Sheriff
City and County of San Francisco- Sheriff Department
1 Dr. Carlton B. Goodlett Place, Room # 456
San Francisco, CA 94102

Telephone: 415-554-7225

Covered Entity:

California Department of Corrections and Rehabilitation
Privacy Officer
HIPAA Compliance Unit
Division of Correctional Health Care Services
P.O. Box 942883
Sacramento, CA 94283-0001

Telephone: (916) 327-1842
Facsimile: (916) 327-0545

CALIFORNIA DEPARTMENT OF CORRECTIONS AND REHABILITATION
PRISON RAPE ELIMINATION POLICY
Volunteer/Contractor Informational Sheet

The Prison Rape Elimination Policy for the California Department of Corrections and Rehabilitation (CDCR) is explained on this informational sheet. As a volunteer or private contractor who has contact with CDCR offenders, it is your responsibility to do what you can, within the parameters of your current assignment, to reduce incidents of sexual violence, staff sexual misconduct, and sexual harassment and to report information appropriately when they are reported to you or when you observe such an incident.

Historical Information

Both the Congress and State Legislature passed laws, the Federal Prison Rape Elimination Act (PREA) of 2003, the Sexual Abuse in Detention Elimination Act, Chapter 303, Statutes of 2005, and most recently the United States, Department of Justice Final Rule; National Standards of 2012 to help prevent, detect and respond to sexual violence, staff sexual misconduct and sexual harassment behind bars. It is important that we, as professionals, understand all aspects of these laws and our responsibilities to help prevent, detect, and respond to instances by offenders and staff.

The CDCR policy is found in Department Operations Manual (DOM), Chapter 5, Article 44. PREA addresses five types of sexual offenses. Sexual violence committed by offenders will encompass: Abusive Sexual Contact, Nonconsensual Sex Acts, or Sexual Harassment by an Offender (towards an offender). The two remaining types of sexual offenses covered by PREA are Staff Sexual Misconduct and Staff Sexual Harassment (towards an offender).

CDCR's policy provides for the following:

- CDCR is committed to continuing to provide a safe, humane, secure environment, free from offender on offender sexual violence, staff sexual misconduct, and sexual harassment.
- CDCR maintains zero tolerance for sexual violence, staff sexual misconduct, and sexual harassment in its institutions, community correctional facilities, conservation camps, and for all offenders under its jurisdiction.
- All sexual violence, staff sexual misconduct, and sexual harassment is strictly prohibited.
- This policy applies to all offenders and persons employed by the CDCR, including volunteers and independent contractors assigned to an institution, community correctional facility, conservation camp, or parole.

Retaliatory measures against employees or offenders who report incidents of sexual violence, staff sexual misconduct, or sexual harassment as well as retaliatory measures taken against those who cooperate with investigations shall not be tolerated and shall result in disciplinary action and/or criminal prosecution.

Retaliatory measures include, but are not limited to:

- Coercion.
- Threats of punishment.
- Any other activities intended to discourage or prevent staff or offenders from reporting incident(s).

Professional Behavior

Staff, including volunteers and private contractors are expected to act in a professional manner while on the grounds of a CDCR institution and while interacting with other staff and offenders.

Key elements of professional behavior include:

- Treating everyone, staff and offenders alike, with respect
- Speaking without judging, blaming, or being demeaning
- Listening to others with an objective ear and trying to understand their point of view
- Avoiding gossip, name calling, and what may be perceived as offensive or "off-color" humor
- Taking responsibility for your own behavior

Preventative Measures

You can help reduce sexual violence, staff sexual misconduct, and sexual harassment by taking various actions during the performance of your duties as a volunteer or private contractor.

The following are ways in which you can help:

- Know and enforce the rules regarding the sexual conduct of offenders.
- Be professional at all times.
- Make it clear that sexual activity is not acceptable.
- Treat any suggestion or allegation of sexual violence, staff sexual misconduct, and sexual harassment as serious.
- Follow appropriate reporting procedures and assure that the alleged victim is separated from the alleged predator.
- Never advise an offender to use force to repel sexual advances.

Detection

All staff, including volunteers and private contractors, is responsible for reporting immediately and confidentially to the appropriate supervisor any information that indicates an offender is being, or has been, the victim of sexual violence, staff sexual misconduct, or sexual harassment.

After immediately reporting to the appropriate supervisor, you are required to document the information you reported. You will be instructed by the supervisor regarding the appropriate form to be used for documentation.

You will take necessary action (i.e., give direction or press your alarm) to prevent further harm to the victim.

I have read the information above and understand my responsibility to immediately report any information that indicates an offender is being, or has been, the victim of sexual violence, staff sexual misconduct, or sexual harassment.

Volunteer/Contractor Name (Printed)

Date Signed

Signature of Volunteer/Contractor

Current Assignment within Institution

Contact Telephone Number

Supervisor in Current Assignment

City and County of San Francisco-Sheriff Department
California Department of Corrections and Rehabilitation
San Francisco Secure Reentry Program Determination

Agreement Number: C5606457
Attachment 1

NAME AND NUMBER _____

CCCR-128-B

On _____, this Inmate was: Date

- ☐ **ACCEPTED** for referral to the San Francisco Secure Reentry Program. Name to be added to the SRPF
Waiting List and submitted to CSR for endorsement.
- ☐ **REJECTED** for referral in the San Francisco Secure Reentry Program.

COMPLETED BY: _____ TITLE: _____

SIGNATURE: _____

Original: C-file
cc: SRPF
Inmate

DATE: _____ **SF SECURE REENTRY PROGRAM DETERMINATION**

GENERAL CHRONO

Secure Reentry Program

Medical/Mental Health Information

Offender:

Name: _____

CDCR # _____

Institution Chief Medical Executive: _____

Phone # w/Extension: _____

Email address _____

Institution Chief Psychologist: _____

Phone # w/Extension: _____

Email address _____

Special Housing: __CTC __OHU __EOP __PSU

Acute Medical Problems:

Chronic Medical Problems and Degree of Control:

Psychiatric Diagnoses:

Medications: (attach medication list)

Current Chronos:

Pending Specialty Appointments:

Hospitalizations in last 6 months (with reason for hospitalization):

DIVISION OF ADMINISTRATIVE SERVICES
OFFICE OF BUSINESS SERVICES
9838 Old Placerville Road, Suite B-2
Sacramento, CA 95827



April 24, 2014

Ross Mirkarimi, Sheriff
County of San Francisco
San Francisco Sheriff's Department
City Hall, Room 456
1 Dr. Carlton B. Goodlett Place
San Francisco, California 94102

Dear Sheriff Mirkarimi:

AGREEMENT NUMBER: 5600004123
SERVICE: SECURE REENTRY PROGRAM FACILITY SERVICES

Enclosed for your files is a fully executed Agreement Number: 5600004123 regarding the service(s) described above with the California Department of Corrections and Rehabilitation for the period of April 11, 2014 through June 30, 2016.

A copy of this Agreement has been forwarded to the Division of Rehabilitative Programs (DRP) and the Accounting Office, which is responsible for the payment of approved invoices. In order to expedite the payment process, please ensure all invoices submitted to the State are submitted in accordance with the payment provisions of this Agreement. Invoices must be accurate; reasonable for the services performed and costs incurred, and include all applicable receipts and necessary supporting documentation as stated in this Agreement. Every invoice must also clearly state the Agreement Number and the Purchase Order Number. If invoices are submitted electronically via email; email must include the name on the Agreement and Agreement Number in the subject line of the email. The email must include an attached PDF of the invoice(s) with reference to the institution name and invoice number.

<u>Fiscal Year</u>	<u>Work Completed During</u>	<u>Purchase Order Number</u>
2013/14	04/11/2014 to 06/30/2014	4400003391
2014/15	07/01/2014 to 06/30/2015	4400003392
2015/16	07/01/2015 to 06/30/2016	4400003393

If you have any questions or need assistance, do not hesitate to contact me at (916) 255-6138.

Sincerely,

Rosalind Scott

Associate Governmental Program Analyst
Headquarters Contract Unit #3
Contracts Management Branch

Enclosure(s)

STATE OF CALIFORNIA
STANDARD AGREEMENT
STD 213 (Rev 06/03)

AGREEMENT NUMBER

5600004123

REGISTRATION NUMBER

ep 1343772

1. This Agreement is entered into between the State Agency and the Contractor named below:

STATE AGENCY'S NAME

California Department of Corrections and Rehabilitation

CONTRACTOR'S NAME

County of San Francisco

2. The term of this Agreement is: November 1, 2013 through June 30, 2016

Agreement is:

OR UPON APPROVAL

3. The maximum amount of this Agreement is: \$4,195,576.00
Four Million, One Hundred Thousand Ninety-Five, Five Hundred Seventy-Six and Zero Cents

4. The parties agree to comply with the terms and conditions of the following exhibits which are by this reference made a part of the Agreement.

Exhibit A – Scope of Work	11 pages
Exhibit A-1 – Secure Reentry Program Facility Determination (CDCR 128B)	1 page
Exhibit A-2 – Secure Reentry Program Medical/Mental Health Information Form	2 pages
Exhibit B – Budget Detail and Payment Provisions	2 pages
Exhibit B-1 – Rate Sheet	1 page
Exhibit C* – General Terms and Conditions	GTC-610
Exhibit D – Special Terms and Conditions for Public Entity Agreements	13 pages
Exhibit E – Business Associates Agreement (HIPAA)	15 pages

Items shown with an Asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto.

These documents can be viewed at www.ols.dgs.ca.gov/Standard+Language

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR

CONTRACTOR'S NAME (If other than an Individual, state whether a corporation, partnership, etc.)

County of San Francisco

BY (Authorized Signature)

DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

Ross Mirkarimi, Sheriff

ADDRESS

San Francisco Sheriff's Department
City Hall, Room 456
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

STATE OF CALIFORNIA

AGENCY NAME

California Department of Corrections and Rehabilitation

BY (Authorized Signature)

DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

Bedeth Victorioso, Chief, Service Contracts Section

ADDRESS

9838 Old Placerville Road, Suite B-2
Sacramento, CA 95827

California Department of General Services
Use Only

I hereby certify that all conditions for exemption have been complied with and this contract is exempt from the Department of General Services Approval. Exempt from DGS approval per DGS Exemption letter #

By:

Date:

Exempt per: 56105/35P

SECURE REENTRY PROGRAM FACILITY

1. INTRODUCTION

The County of San Francisco, herein referred to as "County", shall provide for the care, confinement, and rehabilitative programming of the California Department of Corrections and Rehabilitation's (CDCR) state inmates in a Secure Reentry Program Facility (SRPF) pod. The services shall be provided at the San Francisco Jail Facilities throughout the term of this Agreement.

The California Penal Code (PC) section 4115.56 allows for the following:

- (a) Upon agreement with the sheriff or director of the county department of corrections, a board of supervisors may enter into a contract with the Department of Corrections and Rehabilitation to house inmates who are within 60 days or less of release from the state prison to a county jail facility for the purpose of reentry and community transition purposes.
- (b) When housed in county facilities, inmates shall be under the legal custody and jurisdiction of local county facilities and not under the jurisdiction of the Department of Corrections and Rehabilitation.

The County and the CDCR shall mutually agree upon the housing of state inmates by the County in the SRPF pursuant to PC Section 4115.56. Potentially eligible inmates are those who will be released to Post Release Community Supervision (PRCS) in San Francisco County.

2. CONTRACTOR RESPONSIBILITIES

The County agrees to be responsible for ensuring the terms conditions and provisions of this Agreement. The County shall provide up to 56 beds per day as identified in Exhibit B-1. The County agrees to provide the necessary beds, subject to bed availability as determined by the County, for each subsequent fiscal year.

The County agrees to staff the facility to ensure supervision of state inmates and make available program services as provided herein. The County has the authority, when deemed necessary, to co-mingle state inmates with the County general population.

The County agrees to allow the CDCR reasonable access to state inmates when necessary. CDCR staff shall comply with policy and procedures for County Facility operations. The County agrees to facilitate security clearances and access as required for CDCR staff and/or state representatives to designated space and accommodations. The County will provide CDCR staff with Hold and Warrant Information upon completion of query.

The County and CDCR mutually agree to the following provisions:

a. Selection of State Inmates

Prior to the arrival of any CDCR Inmate to San Francisco County, the CDCR shall provide to the San Francisco Adult Probation Department, copies of all classification data that is routinely included as part of CDCR 611, *Release Program Study*, packets, including commitment or other judicial orders, medical, mental health and dental clearance records. All CDCR Inmate information shall be subject to statutory limitations on disclosure, including but not limited to State privacy laws, and provisions of the federal requirements per Exhibit E (HIPAA).

The County will review the classification, medical and disciplinary records of those inmates to be housed at the facility prior to transfer. The inmates will be reviewed on a case-by-case basis to determine eligibility. If the County determines, based on a review of the records, that an individual inmate may require a level of care than cannot be provided in this programmatic-intensive setting, the County will notify CDCR of the decision not accept the inmate into the program. The County shall provide to CDCR, within 15 days of receiving the eligibility review documents, the San Francisco Secure Reentry Program Determination form per Exhibit A-1 (Attachment 1).

Upon request of the County, CDCR shall provide Rules Violation Reports (CDCR Form 115) and/or Crime/Incident Reports (CDCR Form 837) on eligible state inmates. The CDCR will provide contact information for Classification and Parole Representatives (C&PR) at each institution to access these documents.

The County will be allowed access to the electronic Unit Health Records (UHR). The County will provide the name(s) and classification(s) of the County staff authorized to access this information. San Francisco County medical staff may case conference with the inmate's CDCR clinician on an as-needed basis in accordance with Exhibit E (HIPAA). CDCR will provide mental health and health care contact information for all institutions. All medication costs will be incurred by the County and is accounted for in the per diem rate per Exhibit B-1. Inmates released on parole or PRCS will receive a two weeks supply of prescribed medication. This two weeks supply will be transferred with the inmate to the SRPF.

b. Offender Funds

When a state inmate is placed in the SRPF, CDCR shall provide an Offender's current available Trust balance in the form of a check payable to the offender. CDCR will send the state inmates check to the County, in the amount due the offender within seven (7) business days of the transfer unless the County directs an alternate location.

c. Transportation

The County agrees to pick up state inmates (excluding medical transportation) once a week from San Quentin. CDCR agrees to deliver and receive all other state inmates to and from the designated San Francisco Jail Facility.

The County shall provide all medical transportation for state inmates between County and medical facilities. All normal transportations will occur Monday through Friday, 8:00 a.m. to 5:00 p.m. This Agreement shall not preclude delivery and pickup of individual state inmates prior to or after normal hours by the CDCR Transportation Unit staff or other law enforcement personnel. If this need occurs, the CDCR transportation staff will notify the County as soon as possible of estimated time of arrival.

d. Limitations on Authority to Release

The County agrees that no State Inmate assigned to the County Facility by the CDCR shall be released on his or her own recognizance, on bail, on completion of local sentence, or for any other reason until the CDCR staff orders release in writing based on removal of the CDCR hold, or completion of state prison term. In the event of a release over the CDCR Detainer, the County shall attempt to apprehend the inmate and notify CDCR in accordance with subsection III X, Escapes, of this Agreement.

e. Inmate Discipline

State inmates assigned to the SRPF pursuant to this Agreement will be required to follow all applicable rules established by the Sheriff. The administration of discipline to state inmates and any resultant appeals by state inmates will be handled in accordance with the provisions in place for County inmates. The County will provide the CDCR with a copy of pending and adjudicated reports for all disciplinary actions related to State inmates, which shall be forwarded to the sending institution's, as noted on the CDCR Detainer, C&PR.

f. Gun Storage

The County shall provide storage space at the San Francisco County Jail for firearms brought to the facility by any CDCR peace officer who is on any official CDCR business.

g. Visiting Programs

Visitation will be provided to state inmates, but only to the extent and in the same manner as it is with County inmates.

h. Canteen/Trust Accounts

State inmates will have the right to access such accounts and make canteen purchases, but only to the extent and in the same manner as the County inmates. Net proceeds from canteen purchases will remain in the County Inmate Welfare Fund.

i. State Inmate's Property

The County will maintain state inmates' personal property, but only to the extent and in the same manner as County inmates. Perishable items and non-legal property in excess of 6 cubic feet are not allowed. (Legal material is not included in the property restriction.) Property will be inventoried and sealed by the CDCR; the CDCR will process non-allowable county inmate property per the Department Operations Manual. Legal material will be inventoried and sealed separately from personal property. Inmates will not have access to their non-legal personal

property while they are housed in the SRPF. (Inmates will be permitted access to their legal materials while in the County Facility.)

J. Recreational and Religious Programs

The County will provide recreational and religious program services for State Inmates, but only to the extent and in the same manner as provided to County Inmates.

k. Return of Inmates to the CDCR

Within 72 hours (excluding weekends and holidays) of receiving a good faith request (based on the diagnosis of a serious medical or mental health condition, on-going or serious disciplinary reasons, or inability to provide a level of custody consistent with the safety and security of the inmate and/or staff), the CDCR will accept custody of any state inmate which the County requests returned to the CDCR custody. The 72-hour threshold does not preclude CDCR nor the County from moving an inmate prior to 72 hours, given a mutually agreed upon alternative on a case-by-case basis.

State inmates whose behavior demonstrates unmanageable conduct will be removed upon request of the Facility Commander when such request is accompanied by a Sheriff's Request for Discipline form. The CDCR agrees to remove the subject state inmate within 72 hours (with the exception of weekends and holidays) in accordance with this subsection of the Agreement.

When a state inmate returns to the CDCR, the County shall provide that inmate's funds, in the form of a check payable to the CDCR, in the amount due the inmate for credit to the inmate's account within seven (7) business days of the inmate's transfer unless an alternate location is directed by the CDCR.

When a state inmate returns to the CDCR, the County shall provide a transfer summary of each inmate's program activities (work, education, etc.), infraction history, and other items deemed necessary by the CDCR and/or the County staff within ten (10) business days of the state inmate's transfer. In addition to such transfer summary, the CDCR will require written medical clearance for suitability for transport and a written summary of any medical concerns, which may affect said transport.

l. Medical Care

The County agrees that it shall be responsible to provide constitutionally adequate medical, dental, and mental health care to all state inmates, in conformity with the City and County of San Francisco Jail Health Services medical policies currently in existence and which have been previously provided to the CDCR. The County shall notify the CDCR of any changes to those policies.

The County expressly acknowledges and agrees that it:

1. Shall provide for all routine, non-routine and emergency medical care for State inmates housed at the SRPF in the same manner as to County inmates, regardless

of cost. Long-term, non-routine medical services are the responsibility of the CDCR as described in the below paragraph, "Medical Need"; and

2. Will cooperate fully with the Federal Receiver appointed by the Court and will provide the Federal Receiver access to the County Facilities and to documents, personnel, and the state inmates in the county facilities. The Federal Receiver's access to documents and personnel shall relate only to such documents and personnel as are directly related to the delivery of medical care to the state inmates in the County Facilities.

Medical Need: If a state inmate requires non-routine medical services while in the care of the County, excluding injuries sustained at the SRPF, the CDCR retains the right to release the State's hold and/or remove said state inmate from the care of the County.

Should any cases arise that require extraordinary, medically necessary care that is outside the capability of the providers at the San Francisco County jails, including, but not limited to, specialty care, emergency care, in-patient care and/or special diagnostic testing, existing arrangements with local health care providers shall be utilized to obtain the required services. For non-emergent treatment, the Chief Medical Officer (CMO) of the sending institution shall be notified for evaluation of transfer back to CDCR for care. If emergency services are required, the County shall notify the sending institution CMO and the Office of Offender Services Program Analyst (PA) of the required emergency treatment at the earliest opportunity, but no later than 24 hours after the treatment.

At the time of transfer to the County, an original or copy of the Inmate's Health Records shall be provided to the County, along with a Medical/Mental Health Information Summary per Exhibit A-2 (Attachment 2). UHR access should take the place of any hard copies. In addition, state inmates shall be evaluated for, and the CDCR shall provide, records documenting any mental health diagnoses, Americans with Disabilities Act (ADA) issues, special treatment needs or medication, pending appointments for laboratory or diagnostic tests, Purified Protein Derivative (PPD) status, and records from any recent hospitalizations or consultations. All records transferred to County are the property of the CDCR and shall be returned upon an inmate's transfer from the SRPF. Release of information shall be conducted in accordance with CDCR policy and only upon approval of the CDCR.

CDCR shall not be responsible for the payment of elective or experimental medical procedures or for medical care required as a result of negligence or intentional misconduct on the part of the County, its employees, or subcontractors or for care which could have foreseeably been prevented.

m. Inmate Programs

The County will conduct in-custody programming. The state inmates will be assessed, upon arrival at the SRPF, by a multidisciplinary team of caseworkers. The assessment will include the inmate's substance abuse, educational and vocational needs. Based on the assessment, staff will coordinate with each inmate to develop and implement an individual reentry plan to

address their offense related behavior and criminogenic needs. The programming shall include, but is not limited to:

- **Education:** Delivered by the Sheriff's Five Keys Charter School, a year round accredited charter high school.
- **Pre-Employment Training:** Vocational and pre-employment training provided through contracted service providers.
- **Religious Services:** Provided in the same manner as provided to County inmates
- **Cognitive Behavioral Therapy (CBT) Programs** (i.e., Criminal Thinking, Anger Management, and Family Relationships) provided through contracted service providers. The County shall provide the CDCR's Office of Offender Services with a copy of the curriculum for each CBT program provided to the State inmates.
- **Victim Offender Education:** Provided through contracted service providers

Note: Substance Abuse Education/Treatment may be provided through the Department of Public Health or contracted service providers. The County shall provide the CDCR's Office of Offender Services with a copy of the approved curriculum. The duration of the program will vary based on the assessed level of care and aftercare will be coordinated based on indications through the assessment.

The County shall report to the State Legislature and the CDCR on the implementation of this SRPF after the period of one, two, and three years, as part of this pilot program. Each report shall include: (1) number of inmates who participated in the program; (2) number of inmates who received a risk and needs assessment; (3) criminogenic risk levels of participants; (4) criminogenic needs of participants; (5) services offered and provided to the participants; (6) the percentage of participants who had all their criminogenic needs addressed at the SRPF; and (7) outcome results including re-arrest rates of new crimes during the first 12 months post release, 24 and 36 months post release for participants.

n. Telephone

Access to telephone service shall be provided to the state inmates and will be handled only to the same extent and in the same manner as it is with the County inmates.

o. Clothing

Clothing will be provided to the state inmates, but only to the same extent and in the same manner as it is to County inmates.

p. Meals

The County will provide all the state inmates with nutritional meals in the same manner as it is to County inmates.

q. Mall

The County will provide all the state inmates with mail services in the same manner as it is to County inmates.

r. Inmate Appeals

Inmates appealing County decisions and actions shall be remedied via the County appeals process. The County shall retain final authority on all issues of appeal related to County decisions and actions.

The County will forward any CDCR related appeal or grievance to the sending institution, as noted on detainer, for response. CDCR will address inmate appeals/grievances related to the CDCR decisions while the inmate is housed with the County. CDCR shall retain final authority on all issues of appeal related to the CDCR decisions and actions.

s. Access to Courts

The County will provide all state inmates with court access in the same manner as it is to County inmates.

t. Inmate Records and Progress Reports

The County will maintain all CDCR inmate records in a secured location, non-accessible by other state inmates, county offenders, and unauthorized personnel.

All warrants/holds/detainers received by the County for a state inmate shall be forwarded to the C&PR at the CDCR-sending institution, as noted on the detainer, within 24 hours.

u. Use of Force

The County's use of force policy and training program for security staff shall be reviewed and approved by the CDCR prior to state inmates being transferred to the County. Following any use of force resulting in injuries to the state inmate or staff, an incident report shall be prepared. All reports will be submitted to CDCR.

v. Escapes

In the event of an escape by a CDCR inmate(s) from the SRPF or release over CDCR's Detainer, the County shall, in addition to efforts to apprehend such CDCR inmate, within 24 hours, notify the sending institution, as noted on the detainer; the CDCR Administrator of the Day (AOD); and the CDCR I.D./Warrants Unit as required by State statute in the same manner it uses for any other Facility escapes.

w. Notification of Inmate Incidents, Emergencies, Escapes, and Discipline

The County will handle all state inmate related incidents, emergencies, and escapes. For serious incidents involving any CDCR inmate, the County will send the CDCR staff reports on the incident within 7 days.

The County will notify the sending institution, as noted on the detainer and the CDCR AOD immediately, by telephone for any:

1. CDCR Inmate escape;
2. Use of deadly force involving a CDCR Inmate;
3. Sexual assault, by an employee, inmate, or civilian involving a CDCR Inmate;
4. Death of a CDCR Inmate;
5. Rape of a CDCR Inmate;
6. Hostage situation involving a CDCR Inmate;
7. Felony behavior by staff involving CDCR Inmates; or
8. Attempted suicide of a CDCR Inmate

x. Public Information

The County will notify the CDCR of any public information requests or media inquiries or media waiver requests involving CDCR inmates to the Office of Public and Employee Communications' Public Information Officer at (916) 445-4950 or, after business hours, (916) 207-8085 or by e-mail at OPEC.AOD@cdcr.ca.gov.

y. Offender Account Deductions (Restitution) Collection and Accounting

The County shall be responsible for collecting restitution from the wages and account deposits of inmates who owe restitution, pursuant to PC section 2085.5, as further detailed in the California Code of Regulations, Title 15, section 3097. The current restitution amount deducted is 50%, plus an administrative fee of 10% of the restitution deduction, for a maximum deduction of 55% of the Inmate's wages and deposits, taking into consideration Title 15, subsection 3097(j) exemptions from the above deductions.

A Direct Restitution payment from outside the facility received as a "Restitution Only" payment will be applied 100% to the Victim's Direct Order &/or Fine waiving the Admin Fee. An Inmate's request for a Voluntary payment from his Trust Account shall have the Admin Fee waived. County shall accept checks from CDCR to apply to Inmate's Restitution Victim Direct Order, Fine &/or Trust Account as instructed by CDCR Inmate Account Branch (IAB) staff.

By entering into this agreement, the County acknowledges that the County is responsible for satisfying CDCR's restitution obligations under such regulations as they currently exist and as they may be amended in the future. The County shall ensure sufficient staffing for carrying out these obligations and shall provide a computer with programming sufficient to perform all of the requirements specified for restitution account, collection and submission. The cost for staff position, computer and software shall be covered under the Per Diem Rate per Exhibit B-1.

CDCR shall provide the County the Inmate First & Last names, Inmate CDCR Numbers, Restitution Victim Direct Order & Restitution Fine Numbers, individual court assigned debt

amount for each case assigned and individual balances of each inmate restitution debt. The County shall collect restitution debts beginning with the oldest dated Victim Direct Order first and resume collections until all Victim Direct Orders are paid in full as expressed in AB1505 (January 1, 2007). After all Victim Direct Orders have been satisfied, the County shall begin collection on the oldest Restitution Fine first and resume collection until all Restitution Fines are satisfied. The County shall have a means set in the computer for update purposes for collections that CDCR collects & informs the County to update the Inmate's balance owing. This transaction will not be a monetary exchanged.

The County shall hold such funds in trust for CDCR for the purposes set forth in said statute and regulations, and shall not commingle such funds with the County's own funds or with any other funds. The County shall submit one check to CDCR for restitution collections and administrative fees for the prior month attached to an Itemized statement reflecting individual collection amounts. Not: Victim Direct Orders & Fines may have the same case number but must be accounted for separately.

The County shall at all times keep an accurate and up-to-date accounting of all such funds and restitution information, and shall remit fund collections and associated inmate case information to CDCR as directed. By the 10th of each month following collections, the County shall forward the amount of restitution and administrative fees to:

CDCR - Inmate Account Branch Headquarters
Attn: Restitution Collections,
P O Box 276088,
Sacramento CA 95827

The remittance shall include an Itemized statement which includes the CDCR Number, Inmate First & Last names, designated Victim Direct Order(s) or Fine case number(s), individual collection date(s), individual restitution collection amount(s), and balance still owing shown by the County. In addition, the County shall provide an accounting of all such funds to CDCR at any time upon request. The County will send a copy of the Itemized statement by electronic mail to CDCR's designated group of recipients' and the County will furnish an Accounting/Restitution Contact person.

CDCR Inmate Accounting Branch Contacts	
Inmate Restitution	
Christyne Mills (916) 255- 1028	Email: Christyne.Mills@cdcr.ca.gov
Trishelle Woodfork (916) 255-1020	Email: Trishelle.Woodfork@cdcr.ca.gov

Any such restitution funds remaining in the County's possession at the end of the contract shall be remitted to the CDCR for proper disposition pursuant to said statute and regulations.

z. Release Funds

State inmates are entitled to funds to assist them with necessary expenses upon their release from prison. CDCR has designated San Quentin (SQ) State Prison, Case Records staff to

facilitate inmate release funds in accordance with PC section 2713.1 and Title 15, section 3075.2. SQ will provide release fund checks and the original CDC Form 102, *Release Statement*, to the San Francisco County Jail (SFCJ) for distribution. The CDCR representative authorizing the transaction shall sign the original CDC Form 102 and the releasing inmate and a SFCJ staff shall sign as the witness. The SFCJ staff shall return the fully executed signed CDC Form 102 to SQ.

3. STANDARD CONDITIONS

a. Operation Review

The County Facility Commander and designated CDCR staff will meet, as needed, to discuss and resolve ongoing mutual administrative concerns and operational problems. An agenda will be prepared and the items discussed will be properly noted in meeting minutes with copies distributed as directed by the Sheriff and the CDCR. If the County and the CDCR cannot resolve mutual disagreements related to direct state inmate operational problems, CDCR will remove the inmate(s) in accordance with subsection 2, M, Return of State Inmates, of this Agreement.

b. Performance Measures

The CDCR and/or Federal Receiver shall have the right to inspect and/or audit the SRPF at its discretion. The County reserves the right to deny access during off hours (defined as the period before 8 a.m. and after 5 p.m.) to individuals not identified previously to them either in this Agreement or otherwise in writing. In such event, prior to denying authorization, the County shall first contact the CDCR staff for direction and/or approval authority. The County reserves the right to request proper identification prior to admission in all cases. The County requires 48-hour notice prior to an inspection.

CDCR may conduct audits, and a copy of any such audit shall be provided to the County. If CDCR identifies deficiencies or non-compliance, the County shall be required to complete and return a Corrective Action Plan (CAP) and monitor timely compliance with required corrective actions. The County shall respond to the CAP within 30 days.

c. Failure to Perform Services

Should the County fail to adequately perform the services under the terms of this Agreement, the County may not be permitted to continue to perform services. CDCR shall state in writing the reasons the County does not meet the Agreement standards. The County is required to comply with any CAP issued as a result of a performance evaluation.

Continued failure to provide and/or improve services within the timeframe(s) established in the CAP(s) may result in a termination of the Agreement.

4. AGREEMENT COMPENSATION

The State agrees to reimburse the County in accordance with Exhibit B-1 of this Agreement.

5. AMERICANS WITH DISABILITIES ACT OF 1990

By signing this contract, the County assures the State that it complies with the Americans with Disabilities Act of 1990 (ADA), 42 U.S.C. section 12101 et seq., which prohibits discrimination on the basis of disability and with applicable regulations and guidelines issued pursuant to the ADA.

6. CDCR CONTACT INFORMATION

Should questions or issues arise during the term of this Agreement, the County should contact the following CDCR offices for assistance:

Scope of Services/Performance Issues:

Division of Rehabilitative Programs/Office of Offender Services

Nikki Gunter, Staff Services Manager II

Phone Number: (916) 323-1764

Fax Number: (916) 323-1162

Email: nikki.gunter@cdcr.ca.gov

Billing/Payment Issues:

Division of Rehabilitative Programs

Attention: Invoice Unit – Rosie Lozano-Vasquez

1515 S Street Room 410-S

Sacramento, CA 95811

Phone Number: (916) 322-8374

Fax Number: (916) 322-1453

Email: rosie.lozano-vasquez@cdcr.ca.gov

General Contract Issues:

Office of Business Services

Phone Number: (916) 255-5624

Fax Number: (916) 255-6187

Attachment 1

NAME AND NUMBER _____ # _____		CDCR 128B
On _____, this Inmate was: Date		
<input type="checkbox"/> ACCEPTED for referral to the San Francisco Secure Reentry Program. Name to be added to the SRPP Waiting List and submitted to CSR for endorsement.		
<input type="checkbox"/> REJECTED for referral in the San Francisco Secure Reentry Program.		
COMPLETED BY: _____		TITLE: _____
SIGNATURE: _____		
Original cc:	C-File SRPP Inmate	
DATE: _____		SP SECURE REENTRY PROGRAM DETERMINATION
		ORIGINAL SIGNATURE

7/18/13

Attachment 2

Secure Reentry Program
Medical/Mental Health Information

Offender:

Name: _____

CDCR # _____

Institution Chief Medical Executive: _____

Phone # w/Extension: _____

Email address _____

Institution Chief Psychologist: _____

Phone # w/Extension: _____

Email address _____

Special Housing: ☐ CTC ☐ OHU ☐ EQP ☐ PSU

Acute Medical Problems:

Chronic Medical Problems and Degree of Control:

7/18/13

Psychiatric Diagnoses:

Medications: (attach medication list)

Current Chronos:

Pending Specialty Appointments:

Hospitalizations in last 6 months (with reason for hospitalization):

7/18/13

1. Invoicing and Payment

- a. For services satisfactorily rendered, and upon receipt and approval of Contractor's invoices, the State agrees to compensate the Contractor in accordance with the rates specified herein on Exhibit B-1 Rate Sheet, and made a part of this Agreement. Exhibit B-1 Rate Sheet shall remain in force for the stated term of this Agreement and shall include every item of expense, direct and indirect, including taxes incidental to the specified rates.
- b. Invoices shall include the Agreement Number and a copy of the (name of county report) which shall include the state inmates' names, CDCR numbers, date of placement into the Secure Reentry Program Facility (SRPF), and the date of release from the SRPF, if appropriate. Invoices shall be submitted in triplicate not more frequently than monthly in arrears to Contract Beds Unit (CBU) for review:

Submit Invoices to:

California Department of Corrections and Rehabilitation
Contract Beds Unit
ATTN: Brian Coates
10961 Sun Center Drive
Rancho Cordova, CA 95670
Phone Number: (916) 464-4001
Fax Number: (916) 464-5130

- c. CBU shall forward reviewed invoices to Division of Rehabilitative Programs (DRP). All payments shall be processed by Division of Rehabilitative Programs (DRP)

California Department of Corrections and Rehabilitation
Division of Rehabilitative Programs
Attn: Invoice Unit - Rosie Lozano-Vasquez
1515 S Street Room 410-S
Sacramento, CA 95811
Phone Number: (916) 322-8374
Fax Number: (916) 322-1453

2. Budget Contingency Clause

- a. It is mutually agreed that if the California State Budget Act for the current fiscal year and/or any subsequent fiscal years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, the State shall have no liability to pay any funds whatsoever to Contractor, or to furnish any other considerations under this Agreement, and Contractor shall not be obligated to perform any provisions of this Agreement.

- b. If funding for the purposes of this program is reduced or deleted for any fiscal year by the California State Budget Act, the State shall have the option to either cancel this Agreement with no liability occurring to the State, or offer an Agreement amendment to Contractor to reflect the reduced amount.

3. **Prompt Payment Clause**

Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with Section 927. Payment to small/micro businesses shall be made in accordance with and within the time specified in Chapter 4.5, Government Code 927 et seq.

4. **Subcontractors**

Nothing contained in this Agreement, or otherwise, shall create any contractual relation between the State and any subcontractors, and no subcontract shall relieve the Contractor of Contractor's responsibilities and obligations hereunder. The Contractor agrees to be as fully responsible to the State for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Contractor. The Contractor's obligation to pay its subcontractors is an independent obligation from the State's obligation to make payments to the Contractor. As a result, the State shall have no obligation to pay or to enforce the payment of any moneys to any subcontractor.

5. **City/County Rate Increase**

It is understood that the city/county may regulate some or all of the Contractor's rates for services. In the event the city/county increases the rates that directly affect the services provided in this Agreement, the Contractor may, once during the term of the Agreement, request from the State an increase in the rates stated in this Agreement. The Contractor must submit a written request to the State with a copy of the resolution from the city/county listing the prior rates and new rates and effective date of the new rates.

SECURE REENTRY PROGRAM FACILITY

Fiscal Year	Per Diem Rate Per Inmate	x	Maximum Daily Bed Use	x	Total Days	=	Budget Amount
13/14	\$77.00*	x	56*	x	242	=	\$1,043,504.00
14/15	\$77.00*	x	56*	x	365	=	\$1,573,880.00
15/16	\$77.00*	x	56*	x	366	=	<u>\$1,578,192.00</u>
Total Budget Amount							\$4,195,576.00

The California Department of Corrections and Rehabilitation (CDCR), is allocated \$4,195,576.00 for the life of this agreement, November 1, 2013 through June 30, 2016, and agrees to reimburse the County of San Francisco for daily bed space in the Secure Reentry Program Facility. Any fraction thereof shall be computed at Seventy-Seven Dollars and Zero Cents (\$77.00) per day or any part of a day, such costs having been determined by CDCR to reimburse the County of San Francisco for the costs incurred.

CDCR is prohibited from reimbursing the County of San Francisco more than the amount authorized within the Governor's Budget Act for the three (3) year pilot term.

*Maximum Daily Bed Use is the maximum amount of beds available for this program in San Francisco County.

EXHIBIT C

GENERAL TERMS AND CONDITIONS

1. APPROVAL: This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor may not commence performance until such approval has been obtained.
2. AMENDMENT: No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.
3. ASSIGNMENT: This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.
4. AUDIT: Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).
5. INDEMNIFICATION: Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.
6. DISPUTES: Contractor shall continue with the responsibilities under this Agreement during any dispute.
7. TERMINATION FOR CAUSE: The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.

8. **INDEPENDENT CONTRACTOR**: Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.

9. **RECYCLING CERTIFICATION**: The Contractor shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).

10. **NON-DISCRIMINATION CLAUSE**: During the performance of this Agreement, Contractor and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (e.g., cancer), age (over 40), marital status, and denial of family care leave. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

11. **CERTIFICATION CLAUSES**: The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 307 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.

12. **TIMELINESS**: Time is of the essence in this Agreement.

13. **COMPENSATION**: The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.

14. **GOVERNING LAW**: This contract is governed by and shall be interpreted in accordance with the laws of the State of California.

15. ANTITRUST CLAIMS: The Contractor by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor shall comply with the requirements of the Government Codes Sections set out below.

a. The Government Code Chapter on Antitrust claims contains the following definitions:

1) "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.

2) "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.

b. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.

c. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.

d. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

16. CHILD SUPPORT COMPLIANCE ACT: For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

a. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and

b. The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

17. **UNENFORCEABLE PROVISION:** In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

18. **PRIORITY HIRING CONSIDERATIONS:** If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code §10353.

19. **SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS:**

a. If for this Contract Contractor made a commitment to achieve small business participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)

b. If for this Contract Contractor made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) certify in a report to the awarding department: (1) the total amount the prime Contractor received under the Contract; (2) the name and address of the DVBE(s) that participated in the performance of the Contract; (3) the amount each DVBE received from the prime Contractor; (4) that all payments under the Contract have been made to the DVBE; and (5) the actual percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)

20. **LOSS LEADER:**

If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

1. Contract Disputes with Public Entities (Supersedes provision number 6, Disputes, of Exhibit C)

As a condition precedent to Contractor's right to institute and pursue litigation or other legally available dispute resolution process, if any, Contractor agrees that all disputes and/or claims of Contractor arising under or related to the Agreement shall be resolved pursuant to the following processes. Contractor's failure to comply with said dispute resolution procedures shall constitute a failure to exhaust administrative remedies.

Pending the final resolution of any such disputes and/or claims, Contractor agrees to diligently proceed with the performance of the Agreement, including the delivering of goods or providing of services. Contractor's failure to diligently proceed shall constitute a material breach of the Agreement.

The Agreement shall be interpreted, administered, and enforced according to the laws of the State of California. The parties agree that any suit brought hereunder shall have venue in Sacramento, California, the parties hereby waiving any claim or defense that such venue is not convenient or proper.

A county, city, district or other local public body, state board or state commission, another state or federal agency, or joint-powers authority shall resolve a dispute with CDCR, if any, through a meeting of representatives from the entities affected. If the dispute cannot be resolved to the satisfaction of the parties, each entity may thereafter pursue its right to institute litigation or other dispute resolution process, if any, available under the laws of the State of California.

2. Confidentiality of Information

CDCR and Provider agree that all inmate/patient health information is identified as confidential and shall be held in trust and confidence and shall be used only for the purposes contemplated under this Agreement.

Provider by acceptance of this Agreement is subject to all of the requirements of the federal regulations implementing the Health Insurance Portability and Accountability Act of 1996 (Code of Federal Regulations (CFR), Title 45, Sections 164.501 et seq.); the California Government Code Section 11019.9; California Civil Code Sections 56 et seq.; and California Civil Code Sections 1798, et seq.; regarding the collections, maintenance, and disclosure of personal and confidential information about individuals. Attached as Exhibit "G" and incorporated herein is a Business Associate Agreement which memorializes the parties' duties and obligations with respect to the protection, use, and disclosure of protected health information.

3. Confidentiality of Data

All financial, statistical, personal, technical and other data and information relating to State's operation, which are designated confidential by the State and made available to carry out this Agreement, or which become available to the Contractor in order to carry out this Agreement, shall be protected by the Contractor from unauthorized use and disclosure.

If the methods and procedures employed by the Contractor for the protection of the Contractor's data and information are deemed by the State to be adequate for the protection of the State's confidential information, such methods and procedures may be used with the written consent of the State. The Contractor shall not be required under the provisions of this paragraph to keep confidential any data already rightfully in the Contractor's possession that is independently developed by the Contractor outside the scope of the Agreement or is rightfully obtained from third parties.

No reports, information, inventions, improvements, discoveries, or data obtained, repaired, assembled, or developed by the Contractor pursuant to this Agreement shall be released, published, or made available to any person (except to the State) without prior written approval from the State.

Contractor by acceptance of this Agreement is subject to all of the requirements of California Government Code Section 11019.9 and California Civil Code Sections 1798, et seq., regarding the collection, maintenance, and disclosure of personal and confidential information about individuals.

4. Accounting Principles

The Contractor will adhere to generally accepted accounting principles as outlined by the American Institute of Certified Public Accountants. Dual compensation is not allowed; a contractor cannot receive simultaneous compensation from two or more funding sources for the same services performed even though both funding sources could benefit.

5. Taxes

Unless required by law, the State of California is exempt from federal excise taxes.

6. Right to Terminate (Supersedes provision number 7, Termination for Cause, of Exhibit C)

The parties hereto agree that either party may cancel this Agreement by giving the other party written notice thirty (30) days in advance of the effective date of such cancellation. In the event of such termination, the State agrees to pay Contractor for actual services rendered up to and including the date of termination.

The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.

7. Contract Suspension

Notwithstanding any other provisions of this Agreement, pursuant to a Governor's Executive Order or equivalent directive, such as a court order or an order from a federal or state regulatory agency, mandating the suspension of state contracts, the State may issue a Suspension of Work Notice. The Notice shall identify the specific Executive Order or directive and the Agreement number(s) subject to suspension. Unless specifically stated otherwise, all performance under the Agreement(s) must stop

immediately upon receipt of the Notice. During the period of contract suspension, Contractor is not entitled to any payment for the suspended work. Once the order suspending state contracts has been lifted, a formal letter from the Department will be issued to the Contractor to resume work.

8. Extension of Term

If it is determined to be in the best interest of the State, upon agreement, the State may extend this contract, with no increase in service cost, for a period of one (1) year or less.

9. Contractor Employee Misconduct

During the performance of this Agreement, it shall be the responsibility of the Contractor whenever there is an incident of use of force or allegation(s) of employee misconduct associated with and directly impacting inmate and/or parolee rights, to immediately notify the CDCR of the incident(s), to cause an investigation to be conducted, and to provide CDCR with all relevant information pertaining to the incident(s). All relevant information includes, but is not limited to: a) investigative reports; b) access to inmates/parolees and the associated staff pursuant to state law; c) access to employee personnel records pursuant to state law; d) that information reasonably necessary to assure CDCR that inmates and/or parolees are not or have not been deprived of any legal rights as required by law, regulation, policy and procedures; and e) written evidence that the Contractor has taken such remedial action, in the event of unnecessary or excessive force, or employee misconduct with inmates and/or parolees, as will assure against a repetition of incident(s) or retaliation. To the extent that the information provided by the Contractor fails to so assure CDCR, CDCR may require that any implicated Contractor staff be denied access to and the supervision of CDCR inmates and/or parolees at the facility and access to inmate and/or parolee records. Notwithstanding the foregoing, and without waiving any obligation of the Contractor, CDCR retains the power to conduct an independent investigation of any incident(s). Furthermore, it is the responsibility of the Contractor to include the foregoing terms within any and all subcontracts, requiring that subcontractor(s) agree to the jurisdiction of CDCR to conduct an investigation of their facility and staff, including review of subcontractor employee personnel records, as a condition of the Agreement.

10. Subcontracting

Services provided are to be performed primarily with the staff of the public entity or, in the case of educational institutions, auxiliaries or foundations, by the faculty, staff or students associated with the particular institution. Agreements are not to be used by state agencies to circumvent the competitive bidding requirements of Public Contract Code Section 10340.

If more than twenty-five (25) percent of the total contract amount or \$50,000.00, whichever is less, is subcontracted, non-competitive bid approval must be obtained from the Secretary of CDCR and the Department of General Services prior to the commencement of services, unless the subcontract was competitively bid or the subcontractor(s) also qualifies as a state agency, governmental agency, or joint power.

11. Subcontractor/Consultant Information

Contractor is required to identify all subcontractors and consultants who will perform labor or render services in the performance of this Agreement. Additionally, the Contractor shall notify the Department of Corrections and Rehabilitation, Office of Business Services, in writing, within ten (10) working days, of any changes to the subcontractor and/or consultant information.

12. Liability for Nonconforming Work

The Contractor will be fully responsible for ensuring that the completed work conforms to the agreed upon terms. If nonconformity is discovered prior to the Contractor's deadline, the Contractor will be given a reasonable opportunity to cure the nonconformity. If the nonconformity is discovered after the deadline for the completion of the project, CDCR, in its sole discretion, may use any reasonable means to cure the nonconformity. The Contractor shall be responsible for reimbursing CDCR for any additional expenses incurred to cure such defects.

13. Temporary Nonperformance

If, because of mechanical failure or for any other reason, the Contractor shall be temporarily unable to perform the work as required, the State, during the period of the Contractor's inability to perform, reserves the right to accomplish the work by other means and shall be reimbursed by the Contractor for any additional costs above the Agreement price.

14. Contract Violations

The Contractor acknowledges that any violation of Chapter 2, or any other chaptered provision of the Public Contract Code (PCC), is subject to the remedies and penalties contained in PCC Sections 10420 through 10425.

15. Employment of Ex-Offenders

Contractor cannot and will not either directly, or on a subcontract basis, employ in connection with this Agreement:

- a. Ex-Offenders on active parole or probation, who have been on active parole or probation during the last three years preceding their employment;
 1. Contractor shall only employ ex-offenders who can provide written evidence of having satisfactorily completed parole or probation, and who have remained off parole or probation, and have had no arrests or convictions within the past three years.
- b. Ex-offenders convicted of drug trafficking in a prison/jail; escape or aiding/abetting escape; battery on a Peace Officer or Public Official; arson offenses; or, any violations of Penal Code Sections 4570-4574 (unauthorized Communications with Prisons and Prisoners Offenses).
- c. Ex-Offenders are required to register as a sex offender pursuant to Penal Code Section 290.

- d. Any ex-offender who has an offense history involving a "violent felony" as defined in subparagraph (c) of Penal Code Section 667.5; or
- e. Any ex-offender in a position which provides direct supervision of parolees.

An ex-offender whose assigned duties involve administrative or policy decision-making, accounting, procurement, cashiering, auditing, or any other business-related administrative function shall be fully bonded to cover any potential loss to the State or contractor. Evidence of such bond shall be supplied to CDCR prior to employment of the ex-offender.

16. Conflict of Interest

The Contractor and their employees shall abide by the provisions of Government Code (GC) Sections 1090, 81000 et seq., 82000 et seq., 87100 et seq., and 87300 et seq., Public Contract Code (PCC) Sections 10335 et seq. and 10410 et seq., California Code of Regulations (CCR), Title 2, Section 18700 et seq. and Title 15, Section 3409, and the Department Operations Manual (DOM) Section 31100 et seq. regarding conflicts of interest.

a. Contractors and Their Employees

Consultant contractors shall file a Statement of Economic Interests, Fair Political Practices Commission (FPPC) Form 700 prior to commencing services under the Agreement, annually during the life of the Agreement, and within thirty (30) days after the expiration of the Agreement. Other service contractors and/or certain of their employees may be required to file a Form 700 if so requested by the CDCR or whenever it appears that a conflict of interest may be at issue. Generally, service contractors (other than consultant contractors required to file as above) and their employees shall be required to file an FPPC Form 700 if one of the following exists:

- (1) The Agreement service has been identified by the CDCR as one where there is a greater likelihood that a conflict of interest may occur;
- (2) The Contractor and/or Contractor's employee(s), pursuant to the Agreement, makes or influences a governmental decision; or
- (3) The Contractor and/or Contractor's employee(s) serves in a staff capacity with the CDCR and in that capacity participates in making a governmental decision or performs the same or substantially all the same duties for the CDCR that would otherwise be performed by an individual holding a position specified in the CDCR's Conflict of Interest Code.

b. Current State Employees

- (1) No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.
- (2) No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.
- (3) In addition to the above, CDCR officials and employees shall also avoid actions resulting in or creating an appearance of:
 - (a) Using an official position for private gain;
 - (b) Giving preferential treatment to any particular person;

- (c) Losing independence or impartiality;
 - (d) Making a decision outside of official channels; and
 - (e) Affecting adversely the confidence of the public or local officials in the integrity of the program.
- (4) Officers and employees of the Department must not solicit, accept or receive, directly or indirectly, any fee, commission, gratuity or gift from any person or business organization doing or seeking to do business with the State.

c. Former State Employees

- (1) For the two year (2-year) period from the date he or she left state employment, no former state officer or employee may enter into an Agreement in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the Agreement while employed in any capacity by any state agency.
- (2) For the twelve-month (12-month) period from the date he or she left state employment, no former state officer or employee may enter into an Agreement with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed Agreement within the 12-month period prior to his or her leaving state service.

In addition to the above, the Contractor shall avoid any conflict of interest whatsoever with respect to any financial dealings, employment services, or opportunities offered to inmates or parolees. The Contractor shall not itself employ or offer to employ inmates or parolees either directly or indirectly through an affiliated company, person or business unless specifically authorized in writing by CDCR. In addition, the Contractor shall not (either directly, or indirectly through an affiliated company, person or business) engage in financial dealings with inmates or parolees, except to the extent that such financial dealings create no actual or potential conflict of interest, are available on the same terms to the general public, and have been approved in advance in writing by CDCR. For the purposes of this paragraph, "affiliated company, person or business" means any company, business, corporation, nonprofit corporation, partnership, limited partnership, sole proprietorship, or other person or business entity of any kind which has any ownership or control interest whatsoever in the Contractor, or which is wholly or partially owned (more than 5% ownership) or controlled (any percentage) by the Contractor or by the Contractor's owners, officers, principals, directors and/or shareholders, either directly or indirectly. "Affiliated companies, persons or businesses" include, but are not limited to, subsidiary, parent, or sister companies or corporations, and any company, corporation, nonprofit corporation, partnership, limited partnership, sole proprietorship, or other person or business entity of any kind that is wholly or partially owned or controlled, either directly or indirectly, by the Contractor or by the Contractor's owners, officers, principals, directors and/or shareholders.

The Contractor shall have a continuing duty to disclose to the State, in writing, all interests and activities that create an actual or potential conflict of interest in performance of the Agreement.

The Contractor shall have a continuing duty to keep the State timely and fully apprised in writing of any material changes in the Contractor's business structure and/or status. This includes any changes in business form, such as a change from sole proprietorship or partnership into a corporation or vice-versa; any changes in company ownership; any dissolution of the business; any change of the name of the business; any filing in bankruptcy; any revocation of corporate status by the Secretary of State; and

any other material changes in the Contractor's business status or structure that could affect the performance of the Contractor's duties under the Agreement.

If the Contractor violates any provision of the above paragraphs, such action by the Contractor shall render this Agreement void.

Members of boards and commissions are exempt from this section if they do not receive payment other than payment for each meeting of the board or commission, payment for preparatory time and payment for per diem.

17. Travel

Contractor's rates shall include all travel expenses required to perform services in accordance with this contract.

18. Notification of Personnel Changes

Contractor must notify the State, in writing, of any changes of those personnel allowed access to State premises for the purpose of providing services under this Agreement. In addition, Contractor must recover and return any State-issued identification card provided to Contractor's employee(s) upon their departure or termination.

19. Security Clearance/Fingerprinting

The State reserves the right to conduct fingerprinting and/or security clearance—through the Department of Justice, Bureau of Criminal Identification and Information (BCII)—prior to award and at any time during the term of the Agreement, in order to permit Contractor (and/or Contractor employee) access to State premises. The State further reserves the right to terminate the Agreement should a threat to security be determined.

20. Computer Software

Contractor certifies that it has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this Agreement for the acquisition, operation or maintenance of computer software in violation of copyright laws.

21. Expendable Equipment

Expendable equipment is defined as expendable items which change with use and have a unit acquisition cost of less than \$5,000 per unit (i.e. fax machines, computers, printers, etc.). Title to any expendable equipment purchased or built with State funds as part of this agreement will vest in the State. The Contractor must retain a listing of expendable equipment purchases that are considered "theft-sensitive" items, such as cameras, calculators, two-way radios, computer equipment, etc., for audit purposes. Upon completion or termination of the agreement, Contractors are required to leave all expendable equipment for use by subsequent contractors or for the State to dispose of accordingly. The State may authorize the continued use of such equipment for work to be performed under a different agreement.

The cost of expendable equipment purchased should be comparable to the prevailing price for similar items in the surrounding area.

22. Electronic Waste Recycling

The Contractor certifies that it complies with the requirements of the Electronic Waste Recycling Act of 2003, Chapter 8.5, Part 3 of Division 30, commencing with Section 42460 of the Public Resources Code, relating to hazardous and solid waste. Contractor shall maintain documentation and provide reasonable access to its records and documents that evidence compliance.

23. Liability for Loss and Damages

Any damages by the Contractor to the State's facility including equipment, furniture, materials or other State property, will be repaired or replaced by the Contractor to the satisfaction of the State at no cost to the State. The State may, at its option, repair any such damage and deduct the cost thereof from any sum due Contractor under this Agreement.

24. Disclosure

Neither the State nor any State employee will be liable to the Contractor or its staff for injuries inflicted by inmates or parolees of the State. The State agrees to disclose to the Contractor any statement(s) known made by any inmate or parolee which indicate violence may result in any specific situation, and the same responsibility will be shared by the Contractor in disclosing such statement(s) to the State.

25. Workers' Compensation

Contractor hereby represents and warrants that Contractor is currently and shall, for the duration of this agreement, carry workers' compensation insurance, at Contractor's expense, or that it is self-insured through a policy acceptable to CDCR, for all of its employees who will be engaged in the performance of this agreement. Such coverage will be a condition of CDCR's obligation to pay for services provided under this agreement.

Prior to approval of this agreement and before performing any work, Contractor shall furnish to the State evidence of valid workers' compensation coverage. Contractor agrees that the workers' compensation insurance shall be in effect at all times during the term of this agreement. In the event said insurance coverage expires or is canceled at any time during the term of this agreement, Contractor agrees to give at least thirty (30) days prior notice to CDCR before said expiration date or immediate notice of cancellation. Evidence of coverage shall not be for less than the remainder of the term of the agreement or for a period of not less than one year. The State reserves the right to verify the Contractor's evidence of coverage. In the event the Contractor fails to keep workers' compensation insurance coverage in effect at all times, the State reserves the right to terminate this agreement and seek any other remedies afforded by the laws of this State.

Contractor also agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all of Contractor's workers' compensation claims and losses by Contractor's officers, agents and employees related to the performance of this agreement.

26. Insurance Requirements

Insurance as required herein shall be a condition of the State's obligation to pay for services provided under this Agreement. Prior to approval of this Agreement and before performing any work, Contractor and any subcontractor shall furnish to the State evidence of valid coverage. The following shall be considered evidence of coverage: A certificate of insurance, a "true and certified" copy of the policy, or any other proof of coverage issued by Contractor's insurance carrier. Binders are not acceptable as evidence of coverage. Providing evidence of coverage to the State conveys no rights or privileges to the State, nor does it insure any State employee or insure any premises owned, leased, used by or otherwise or under the control of the State. It does, however, serve to provide the State with proof that the Contractor and any subcontractors are insured at the minimum levels required by the State of California.

Contractor agrees that any liability insurance required in the performance of this Agreement shall be in effect at all times during the term of this Agreement. In the event said insurance coverage expires or is canceled during the term of this Agreement, Contractor shall provide the State within five (5) business days of receipt by contractor a copy of any notice of cancellation or non-renewal of insurance required by the contract. Evidence of coverage required in the performance of this Agreement shall not be for less than the remainder of the term of this Agreement or for a period of not less than one year. The State and the Department of General Services (DGS) reserve the right to verify the Contractor's evidence of coverage; evidence of coverage is subject to the approval of the DGS. In the event the Contractor fails to keep insurance coverage as required herein in effect at all times, the State reserves the right to terminate this Agreement and to seek any other remedies afforded by the laws of the State of California.

Contractor hereby represents and warrants they (and any subcontractors) are currently and shall for the duration of this Agreement be insured. Contractor shall provide proof of self-insurance

27. Tuberculosis (TB) Testing

In the event that the services required under this Agreement will be performed within a CDCR institution/parole office/community-based program, prior to the performance of contracted duties, Contractors and their employees who are assigned to work with inmates/parolees on a regular basis shall be required to be examined or tested or medically evaluated for TB in an infectious or contagious stage, and at least once a year thereafter or more often as directed by CDCR. Regular basis is defined as having contact with inmates/parolees in confined quarters more than once a week.

Contractors and their employees shall be required to furnish to CDCR, at no cost to CDCR, a form CDCR 7336, "Employee Tuberculin Skin Test (TST) and Evaluation," prior to assuming their contracted duties and annually thereafter, showing that the Contractor and their employees have been examined and found free of TB in an infectious stage. The form CDCR 7336 will be provided by CDCR upon Contractor's request.

The following provisions apply to services provided on departmental and/or institution grounds:

28. Blood borne Pathogens

Provider shall adhere to California Division of Occupational Safety and Health (CAL-OSHA) regulations and guidelines pertaining to blood borne pathogens.

29. Primary Laws, Rules, and Regulations Regarding Conduct and Association with State Prison Inmates and Division of Juvenile Justice Wards

Individuals who are not employees of the California Department of Corrections and Rehabilitation (CDCR), but who are working in and around inmates who are incarcerated, or wards who are housed within California's institutions/facilities or camps, are to be apprised of the laws, rules and regulations governing conduct in associating with prison inmates or wards. The following is a summation of pertinent information when non-departmental employees come in contact with prison inmates or wards.

By signing this contract, the Contractor agrees that if the provisions of the contract require the Contractor to enter an institution/facility or camp, the Contractor and any employee(s) and/or subcontractor(s) shall be made aware of and shall abide by the following laws, rules and regulations governing conduct in associating with prison inmates or wards:

- a. Persons who are not employed by CDCR, but are engaged in work at any institution/facility or camp must observe and abide by all laws, rules and regulations governing the conduct of their behavior in associating with prison inmates or wards. Failure to comply with these guidelines may lead to expulsion from CDCR institutions/facilities or camps.

SOURCE: California Penal Code (PC) Sections 5054 and 5058; California Code of Regulations (CCR), Title 15, Sections 3285 and 3415, and California Welfare and Institutions Code (WIC) Section 1712.

- b. CDCR does not recognize hostages for bargaining purposes. CDCR has a "NO HOSTAGE" policy and all prison inmates, wards, visitors, and employees shall be made aware of this.

SOURCE: PC Sections 5054 and 5058; CCR, Title 15, Section 3304 and 4603; WIC Section 1712.

- c. All persons entering onto institution/facility or camp grounds consent to search of their person, property or vehicle at any time. Refusal by individuals to submit to a search of their person, property, or vehicle may be cause for denial of access to the premises.

SOURCE: PC Sections 2601, 5054 and 5058; CCR, Title 15, Sections 3173, 3177, 3288, 4696, and 4697; WIC 1712.

- d. Persons normally permitted to enter an institution/facility or camp may be barred, for cause, by the CDCR Director, Warden, and/or Regional Parole Administrator.

SOURCE: PC Sections 5054 and 5058; CCR, Title 15, Section 3176 (a) and 4696; WIC Section 1712.

- e. It is illegal for an individual who has been previously convicted of a felony offense to enter into CDCR adult institutions/facilities or camps, or youth institutions/facilities or camps in the nighttime, without the prior approval of the Warden or officer in charge. It is also illegal for an individual to enter onto these premises for unauthorized purposes or to refuse to leave said premises when requested to do so. Failure to comply with this provision could lead to prosecution.

SOURCE: PC Sections 602, 4570.5 and 4571; CCR, Title 15, Sections 3173 and 3289; WIC Section 1001.7.

- f. Encouraging and/or assisting prison inmates to escape, is a crime. It is illegal to bring firearms, deadly weapons, explosives, tear gas, drugs or drug paraphernalia on CDCR institutions/facilities or camp premises. It is illegal to give prison inmates or wards firearms, explosives, alcoholic beverages, narcotics, or any drug or drug paraphernalia, including cocaine or marijuana. It is illegal to give wards sex oriented objects or devices, and written materials and pictures whose sale is prohibited to minors.

SOURCE: PC Sections 2772, 2790, 4533, 4535, 4550, 4573, 4573.5, 4573.6 and 4574; WIC Section 1152, CRR, Title 15, sections 4681 and 4710; WIC Section 1001.5.

- g. It is illegal to give or take letters from inmates or wards without the authorization of the Warden or officer in charge. It is also illegal to give or receive any type of gift and/or gratuities from prison inmates or wards.

SOURCE: PC Sections 2540, 2541 and 4570; CCR, Title 15, Sections 3010, 3399, 3401, 3424, 3425 and 4045; WIC Section 1712.

- h. In an emergency situation the visiting program and other program activities may be suspended.

SOURCE: PC Section 2601; CCR, Title 15, Section 3383, 4002.5 and 4696.

- i. For security reasons, visitors must not wear clothing that in any way resembles state issued prison inmate or ward clothing (blue denim shirts, blue denim pants).

SOURCE: CCR, Title 15, Section 3174 (b) (1) and 4696.

- j. Interviews with SPECIFIC INMATES are not permitted. Conspiring with an inmate to circumvent policy and/or regulations constitutes a rule violation that may result in appropriate legal action. Interviews with individual wards are permitted with written consent of each ward if he is 18 years of age or older, or with written consent of a parent, legal guardian, or committing court, if 17 years of age or younger.

SOURCE: CCR, Title 15, Sections 3261.5, 3315 (a) (3) (X), and 3177 and 4700(a)(1).

30. Clothing Restrictions

While on institution grounds, Contractor and all its agents, employees, and/or representatives shall be professionally and appropriately dressed in clothing distinct from that worn by inmates at the institution. Specifically, blue denim pants and blue chambray shirts, orange/red/yellow/white/chartreuse jumpsuits and/or yellow rainwear shall not be worn onto institution grounds, as this is inmate attire. Contractor should contact the institution regarding clothing restrictions prior to requiring access to the institution to assure the Contractor and their employees are in compliance.

31. Tobacco-Free Environment

Pursuant to Penal Code Section 5030.1, the use of tobacco products by any person on the grounds of any institution or facility under the jurisdiction of the Department of Corrections and Rehabilitation is prohibited.

32. Prison Rape Elimination Policy

CDCR is committed to providing a safe, humane, secure environment, free from sexual misconduct. This will be accomplished by maintaining a program to ensure education/prevention, detection, response, investigation and tracking of sexual misconduct and to address successful community re-entry of the victim. The CDCR shall maintain a zero tolerance for sexual misconduct in its institutions, community correctional facilities, conservation camps and for all offenders under its jurisdiction. All sexual misconduct is strictly prohibited.

As a contractor with the CDCR, you and your staff are expected to ensure compliance with this policy as described in Department Operations Manual, Chapter 5, Article 44.

33. Security Regulations

- a. Unless otherwise directed by the entrance gate officer and/or Contract Manager, the Contractor, Contractor's employees and subcontractors shall enter the institution through the main entrance gate and park private and nonessential vehicles in the designated visitor's parking lot. Contractor, Contractor's employees and subcontractors shall remove the keys from the ignition when outside the vehicle and all unattended vehicles shall be locked and secured while on institution grounds.
- b. Any State- and Contractor-owned equipment used by the Contractor for the provision of contract services, shall be rendered temporarily inoperative by the Contractor when not in use, by locking or other means unless specified otherwise.
- c. In order to maintain institution safety and security, periodic fire prevention inspections and site searches may become necessary and Contractor must furnish keys to institutional authorities to access all locked areas on the worksite. The State shall in no way be responsible for Contractor's loss due to fire.

- d. Due to security procedures, the Contractor, Contractor's employees and subcontractors may be delayed at the institution vehicle/pedestrian gates and sally ports. Any loss of time checking in and out of the institution gates and sally ports shall be borne by the Contractor.
- e. Contractor, Contractor's employees and subcontractors shall observe all security rules and regulations and comply with all instructions given by institutional authorities.
- f. Electronic and communicative devices such as pagers, cell phones and cameras/microcameras are not permitted on institution grounds.
- g. Contractor, Contractor's employees and subcontractors shall not cause undue interference with the operations of the institution.
- h. No picketing is allowed on State property.

34. Gate Clearance

Contractor and Contractor's employee(s) and/or subcontractor(s) must be cleared prior to providing services. The Contractor will be required to complete a Request for Gate Clearance for all persons entering the facility a minimum of ten (10) working days prior to commencement of service. The Request for Gate Clearance must include the person's name, social security number, valid state driver's license number or state identification card number and date of birth. Information shall be submitted to the Contract Liaison or his/her designee. CDCR uses the Request for Gate Clearance to run a California Law Enforcement Telecommunications System (CLETS) check. The check will include Department of Motor Vehicles check, Wants and Warrants check, and Criminal History check.

Gate clearance may be denied for the following reasons: Individual's presence in the institution presents a serious threat to security, individual has been charged with a serious crime committed on institution property, inadequate information is available to establish positive identity of prospective individual, and/or individual has deliberately falsified his/her identity.

All persons entering the facilities must have a valid state driver's license or photo identification card on their person.

BUSINESS ASSOCIATES AGREEMENT (HIPAA)

SECURE REENTRY PROGRAM FACILITY

WHEREAS, Provider, hereinafter referred to in this Exhibit as "Business Associate," acknowledges that the CDCR, hereinafter referred to in this Exhibit as "Covered Entity," has in its possession data that contains individual identifiable health information as defined by Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191 ("HIPAA") and the regulations promulgated thereunder;

WHEREAS, Business Associate and Covered Entity acknowledge that the fulfillment of the Parties' obligations under this Service Agreement necessitates the exchange of, or access to, data including individual identifiable health information; and,

WHEREAS, the parties desire to comply with federal and California laws regarding the use and disclosure of individually identifiable health information, and in particular with the provisions of the federal Health Insurance Portability and Accountability Act of 1996 (HIPAA) and the regulations promulgated thereunder.

NOW, THEREFORE, in consideration of the mutual promises and covenants hereinafter contained, the Parties agree as follows:

ARTICLE 1 DEFINITIONS

Terms used, but not otherwise defined, in this Exhibit shall have the meanings set forth below.

- 1.1 "HHS Transaction Standard Regulation" means the Code of Federal Regulations ("CFR") at Title 45, Sections 160 and 162.
- 1.2 "Individual" means the subject of protected health information (PHI) or, if deceased, his or her personal representative.
- 1.3 "Parties" shall mean the Covered Entity and Business Associate. (Covered Entity and Business Associate, individually, may be referred to as a "Party".)
- 1.4 "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 CFR Part 160 and Part 164, Subparts A and E.
- 1.5 "PHI" shall have the same meaning as the term "protected health information" in 45 CFR §164.501, limited to the information created or received by Business Associate from or on behalf of the Covered Entity.
- 1.6 "Required By Law" shall have the same meaning as "required by law" in 45 CFR §164.501.

- 1.7 "Secretary" shall mean the Secretary of the Department of Health and Human Services or his designee.

Any other terms used, but not otherwise defined, in this Exhibit shall have the same meaning as those terms in the Privacy Rule.

ARTICLE 2 CONFIDENTIALITY

2.1 Obligations and Activities of Business Associate. Business Associate agrees as follows:

- (a) not to use or further disclose PHI other than as permitted or required by this Agreement or as Required By Law;
- (b) to establish, maintain, and use appropriate safeguards to prevent use or disclosure of the PHI other than as permitted herein;
- (c) to report to Covered Entity any use, access or disclosure of the PHI not provided for by this Agreement, or any misuse of the PHI, including but not limited to systems compromises of which it becomes aware and to mitigate, to the extent practicable, any harmful effect that is known to Business Associate as a result thereof. Business Associate shall be responsible for any and all costs (including the costs of Covered Entity) associated with mitigating or remedying any violation of this Agreement;
- (d) to enforce and maintain appropriate policies, procedures, and access control mechanisms to ensure that any agent, including a subcontractor, to whom it provides PHI received from, or created or received by Business Associate on behalf of Covered Entity agrees to the same restrictions and conditions that apply through this Agreement to Business Associate with respect to such information. The access and privileges granted to any such agent shall be the minimum necessary to perform the assigned functions;
- (e) to provide access, at the request of Covered Entity, and in the time and manner reasonable designated by Covered Entity, to PHI in a Designated Record Set (as defined in the Privacy Rule), to Covered Entity or, as directed by Covered Entity, to an individual in order to meet the requirements under 45 CFR §164.524;
- (f) to make any amendment(s) to PHI in a Designated Record Set that the Covered Entity directs or agrees to pursuant to 45 CFR §164.526 at the request of Covered Entity or an individual, and in the time and manner reasonably requested by Covered Entity.
- (g) to make internal practices, books, and records relating to the use and disclosure of PHI received from, or created or received by Business Associate on behalf of, Covered Entity available to the Covered Entity, or at the request of the Covered Entity to the Secretary, in a time and manner reasonably requested by Covered Entity or designated by the Secretary, for purposes of the Secretary determining Covered Entity's compliance with the Privacy Rule.

- (h) to document such disclosures of PHI, and information related to such disclosures, as would be required for Covered Entity to respond to a request by an individual for an accounting of disclosures of PHI in accordance with 45 CFR §164.528. Said documentation shall include, but not be limited to, the date of the disclosure, the name and, if known, the address of the recipient of the PHI, a brief description of the PHI disclosed, and the purpose of the disclosure. Said documentation shall be made available to Covered Entity upon request.
- (i) to provide to Covered Entity or an individual, in a time and manner reasonably requested by Covered Entity, information collected in accordance with Section 2.1(h) above to permit Covered Entity to respond to a request by an individual for an accounting of disclosures of PHI in accordance with 45 CFR §164.528.
- (j) to promptly notify Covered Entity of all actual or suspected instances of deliberate unauthorized attempts (both successful and unsuccessful) to access PHI. Such notice shall be made to Covered Entity by telephone as soon as Business Associate becomes aware of the unauthorized attempt, and this telephone notification shall be followed within two (2) calendar days of the discovery of the unauthorized attempt by a written report to Covered Entity from Business Associate. Business Associate shall, at the same time, report to Covered Entity any remedial action taken, or proposed to be taken, with respect to such unauthorized attempt. Covered Entity shall have the discretion to determine whether or not any such remedial action is sufficient, and all such remedial action shall be at Business Associate's expense.
- (k) to maintain and enforce policies, procedures and processes to protect physical access to hardware, software and/or media containing PHI (e.g., hardcopy, tapes, removable media, etc.) against unauthorized physical access during use, storage, transportation, disposition and/or destruction.
- (l) to ensure that access controls in place to protect PHI and processing resources from unauthorized access are controlled by two-factor identification and authentication: a user ID and a Token, Password or Biometrics.
- (m) to implement, use and monitor its compliance with appropriate technological, administrative and physical safeguards to prevent the use or disclosure of PHI other than as permitted by this Agreement. Business Associate shall provide Covered Entity with evidence of such safeguards upon Covered Entity's request. Covered Entity has the right to determine, in its sole discretion, whether such safeguards are appropriate, and to require any additional safeguards it deems necessary.
- (n) In the event that Business Associate is served with legal process (e.g. a subpoena) or request from a governmental agency (e.g. the Secretary) that potentially could require the disclosure of PHI, Business Associate shall provide prompt (i.e., within twenty-four (24) hours) written notice of such legal process (including a copy of the legal process served) to the designated person at the Covered Entity. In addition, Business Associate shall not disclose the PHI without the consent of Covered Entity unless pursuant to a valid and specific court order or to comply with a requirement for review of documents by a

governmental regulatory agency under its statutory or regulatory authority to regulate the activities of either party.

- (o) to submit to periodic audits by Covered Entity verifying Business Associate's compliance with appropriate technological, administrative and physical safeguards to prevent the use or disclosure of PHI other than as permitted by this Agreement, as well as compliance with the terms and conditions pursuant to this Agreement and compliance with state and federal laws and regulations. Audit review may be undertaken directly by the Covered Entity or by third parties engaged by the Covered Entity. Business Associate shall cooperate fully with Covered Entity or any such third party in connection with such audits.

2.2 Disclosures Required By Law.

In the event that Business Associate is required by law to disclose PHI, Business Associate will immediately provide Covered Entity with written notice and provide Covered Entity an opportunity to oppose any request for such PHI or to take whatever action Covered Entity deems appropriate.

2.3 Specific Use and Disclosure Provisions.

- (a) Except as otherwise limited in this Agreement, Business Associate may use PHI only to carry out the legal responsibilities of the Business Associate under this Service Agreement.
- (b) Except as otherwise limited in this Agreement, Business Associate may only disclose PHI (i) as Required By Law, or (ii) in the fulfillment of its obligations under the Service Agreement and provided that Business Associate has first obtained (A) the consent of Covered Entity for such disclosure, (B) reasonable assurances from the person to whom the information is disclosed that the PHI will remain confidential and used or further disclosed only as Required By Law or for the purpose for which it was disclosed to the person, and (C) reasonable assurances from the person to whom the information is disclosed that such person will notify the Business Associate of any instances of which it is aware in which the confidentiality of the information has been breached.

2.4 Obligations of Covered Entity.

- (a) Covered Entity shall notify Business Associate of any limitations in its notice of privacy practices of Covered Entity in accordance with 45 CFR §164.520, to the extent that such limitation may affect Business Associate's use or disclosure of PHI.
- (b) Covered Entity shall notify Business Associate of any changes in, or revocation of, permission by an individual to use or disclose PHI, to the extent that such changes may affect Business Associate's use or disclosures of PHI.
- (c) Covered Entity shall notify Business Associate of any restriction to the use or disclosure of PHI that Covered Entity has agreed to in accordance with 45 CFR §164.522, to the extent that such restriction may affect Business Associate's use or disclosure of PHI.
- (d) For any PHI received by Covered Entity from Business Associate on behalf of a third party or another covered entity, Covered Entity agrees to be bound to the obligations and activities of Business Associate enumerated in Section 2.1 as if and to the same extent Covered Entity was the named Business Associate hereunder.

2.5 Permissible Requests by Covered Entity.

Covered Entity shall not request Business Associate to use or disclose PHI in any manner that would not be permissible under the Privacy Rule if done by the Covered Entity.

2.6 Policy and Procedure Review.

Upon request, Business Associate shall make available to Covered Entity any and all documentation relevant to the safeguarding of PHI including but not limited to current policies and procedures, operational manuals and/or instructions, and/or employment and/or third party agreements.

ARTICLE 3 SECURITY

3.1 Government Healthcare Program Representations.

Business Associate hereby represents and warrants to Covered Entity, its shareholders, members, directors, officers, agents, or employees have not been excluded or served a notice of exclusion or have been served with a notice of proposed exclusion, or have committed any acts which are cause for exclusion, from participation in, or had any sanctions, or civil or criminal penalties imposed under, any federal or state healthcare program, including but not limited to Medicare or Medicaid, or have been convicted, under federal or state law (including without limitation a plea of nolo contendere or participation in a first offender deterred adjudication or other arrangement whereby a judgment of conviction has been withheld), of a criminal offense related to (a) the neglect or abuse of a patient, (b) the delivery of an item or service, including the performance of management or administrative services related to the delivery of an item or service, under a federal or state healthcare program, (c) fraud, theft, embezzlement, breach of fiduciary responsibility, or other financial misconduct in connection with the delivery of a healthcare item or service or with respect to any act or omission in any program operated by or financed in whole or in part by any federal, state or local government agency, (d) the unlawful, manufacture, distribution, prescription, or dispensing of a controlled substance, or (e) interference with or obstruction of any investigation into any criminal offense described in (a) through (d) above. Business Associate further agrees to notify Covered Entity immediately after Business Associate becomes aware that the foregoing representation and warranty may be inaccurate or may be incorrect.

3.2 Security Procedures.

Each Party shall employ security procedures that comply with HIPAA and all other applicable state and federal laws and regulations (collectively, the "Law") and that are commercially reasonable, to ensure that transactions, notices, and other information that are electronically created, communicated, processed, stored, retained or retrieved are authentic, accurate, reliable, complete and confidential. Moreover, each Party shall, and shall require any agent or subcontractor involved in the electronic exchange of data to:

- (a) require its agents and subcontractors to provide security for all data that is electronically exchanged between Covered Entity and Business Associate;
- (b) provide, utilize, and maintain equipment, software, services and testing necessary to assure the secure and reliable transmission and receipt of data containing PHI;
- (c) maintain and enforce security management policies and procedures and utilize mechanisms and processes to prevent, detect, record, analyze, contain and resolve unauthorized access attempts to PHI or processing resources;
- (d) maintain and enforce policies and guidelines for workstation use that delineate appropriate use of workstations to maximize the security of data containing PHI;

- (e) maintain and enforce policies, procedures and a formal program for periodically reviewing its processing infrastructure for potential security vulnerabilities;
- (f) implement and maintain, and require its agents and subcontractors to implement and maintain, appropriate and effective administrative, technical and physical safeguards to protect the security, integrity and confidentiality of data electronically exchanged between Business Associate and Covered Entity, including access to data as provided herein. Each Party and its agents and subcontractors shall keep all security measures current and shall document its security measures implemented in written policies, procedures or guidelines, which it will provide to the other Party upon the other Party's request.

ARTICLE 4
EXCHANGE OF STANDARD TRANSMISSIONS

4.1 Obligations of the Parties. Each of the Parties agrees that for the PHI,

- (a) It will not change any definition, data condition or use of a data element or segment as proscribed in the HHS Transaction Standard Regulation.
- (b) It will not add any data elements or segments to the maximum denied data set as proscribed in the HHS Transaction Standard Regulation.
- (c) It will not use any code or data elements that are either marked "not used" in the HHS Standard's Implementation specifications or are not in the HHS Transaction Standard's Implementation specifications.
- (d) It will not change the meaning or intent of any of the HHS Transaction Standard's Implementation specifications.

4.2 Incorporation of Modifications to HHS Transaction Standards.

Each of the Parties agrees and understands that from time-to-time, HHS may modify and set compliance dates for the HHS Transaction Standards. Each of the Parties agrees to incorporate by reference into this Agreement any such modifications or changes.

4.3 Code Set Retention.

If applicable, both parties understand and agree to keep open code sets being processed or used in this Agreement for at least the current billing period or any appeal period, whichever ever is longer.

4.4 Business Associate Obligations.

- (a) Business Associate shall not submit duplicate transmissions unless so requested by Covered Entity.
- (b) Business Associate shall only perform those transactions, which are authorized by Covered Entity. Furthermore, Business Associate assumes all liability for any damage, whether direct or indirect, to the electronic data or to Covered Entity's systems caused by Business Associate's unauthorized use of such transactions.
- (c) Business Associate shall hold Covered Entity harmless from any claim, loss or damage of any kind, whether direct or indirect, whether to person or property, arising out of or related to (1) Business Associate's use or unauthorized disclosure of the electronic data; or (2) Business Associate's submission of data, including but not limited to the submission of incorrect, misleading, incomplete or fraudulent data.

- (d) Business Associate agrees to maintain adequate back-up files to recreate transmissions in the event that such recreations become necessary. Back-up tapes shall be subject to this Agreement to the same extent as original data.
- (e) Business Associate agrees to trace lost or indecipherable transmissions and make reasonable efforts to locate and translate the same. Business Associate shall bear all costs associated with the recreation of incomplete, lost or indecipherable transmissions if such loss is the result of an act or omission of Business Associate.
- (f) Business Associate shall maintain, for seven (7) years, true copies of any source documents from which it produces electronic data.
- (g) Except encounter data furnished by Business Associate to Covered Entity, Business Associate shall not (other than to correct errors) modify any data to which it is granted access under this Agreement or derive new data from such existing data. Any modification of data is to be recorded, and a record of such modification is to be retained by Business Associate for a period of seven (7) years.
- (h) Business Associate shall not disclose security access codes to any third party in any manner without the express written consent of Covered Entity. Business Associate furthermore acknowledges that Covered Entity may change such codes at any time without notice. Business Associate shall assume responsibility for any damages arising from its disclosure of the security access codes or its failure to prevent any third party use of the system without the express written consent of Covered Entity.
- (i) Business Associate shall maintain general liability coverage, including coverage for general commercial liability, for a limit of not less than one million dollars, as well as other coverage as Covered Entity may require to compensate any parties damaged by Business Associate's negligence. Business Associate shall provide evidence of such coverage in the form of a certificate of insurance and agrees to notify Covered Entity and/or HOI immediately of any reduction or cancellation of such coverage.
- (j) Business Associate agrees to conduct testing with Covered Entity to ensure delivery of files that are HIPAA-AS Compliant and to accommodate Covered Entity's specific business requirements.

4.5 Confidential And Proprietary Information

(a) Proprietary Information

Business Associate acknowledges that it will have access to certain proprietary information used in Covered Entity's business. Covered Entity's proprietary information derives its commercial value from the fact that it is not available to competitors or any third parties, and the disclosure of this information would or could impair Covered Entity's competitive position or otherwise prejudice its ongoing business. Business Associate agrees to treat as confidential, and shall not use for its own commercial purpose or any other purpose,

Covered Entity's proprietary information. Business Associate shall safeguard Covered Entity's proprietary information against disclosure except as may be expressly permitted herein. Such proprietary information includes, but is not limited to, confidential information concerning the business operations or practices of Covered Entity, including specific technology processes or capabilities.

**ARTICLE 5
MISCELLANEOUS**

5.1 Indemnification.

Business Associate shall indemnify, defend, and save harmless the State, CDCR, and CDCR's officers, employees and agents, against any and all losses, liabilities, settlements, claims, demands, damages, or deficiencies (including interest) and expenses of any kind (including, but not limited to, attorneys' fees) arising out of or due to a breach of the terms of this Exhibit to the Service Agreement, and arising out of Business Associate's acts or omissions in regard to the terms of this Exhibit to the Service Agreement. The foregoing indemnity is in addition to any other save harmless or indemnification set forth in this entire Agreement.

5.2 Term and Termination.

- (a) **Term.** The Term of this Agreement shall be effective as of the first date of commencement of services under this entire agreement, and shall terminate when all of the PHI provided by Covered Entity to Business Associate, or created or received by Business Associate on behalf of Covered Entity is destroyed or returned to Covered Entity, or, if it is infeasible to return or destroy PHI, protections are extended to such information, in accordance with the termination provisions in this Section.
- (b) **Termination for Cause.** Upon a material breach by Business Associate of its obligation hereunder, Covered Entity may (i) terminate this Agreement and the Service Agreement; (ii) permit Business Associate to cure the breach; (iii) report the violation to the Secretary; and/or (iv) require Business Associate to take such other action as Covered Entity may request, at Business Associate's expense.

Covered Entity's remedies under this paragraph shall be cumulative, and the exercise of any remedy shall not preclude the exercise of any other. If Covered Entity elects to terminate the Agreement pursuant to a breach of terms and conditions of this Exhibit, Covered Entity shall be relieved of any further obligations under the entire Agreement, and shall be immediately entitled to a refund of any amounts prepaid from the date of the termination through the end of the payment period, on a pro rata basis.

The foregoing termination language is in addition to any other termination language set forth in the entire agreement.

(c) **Effect of Termination.**

(i) Except as provided in paragraph 5.2(c)(ii), upon termination of this Agreement, for any reason, Business Associate shall return or destroy all PHI received from Covered Entity, or created or received by Business Associate on behalf of Covered Entity. This provision shall apply to PHI that is in the possession of subcontractors or agents of Business Associate. Business Associate shall retain no copies of the PHI.

(ii) In the event that Business Associate determines that returning the PHI is infeasible, Business Associate shall provide to Covered Entity notification of the conditions that make return or destruction infeasible. Upon Covered Entity's agreement that return or destruction of PHI is infeasible, Business Associate shall extend the protections of this Agreement to such PHI and limit further uses and disclosures of such PHI to those purposes that make the return or destruction infeasible, for so long as Business Associate maintains such PHI.

5.3 **Disputes.**

HIPAA Appeal Procedures

CDCR has established and shall maintain an appeal procedure in accordance with CDCR Department Operations Manual, Section 22040.16. Business Associate agrees that disputes arising under the terms of this Exhibit shall be resolved in accordance with the following:

1. **Verbal Appeal**

Business Associate and CDCR's Privacy Officer, shall first attempt to resolve the problem by informal discussion. Business Associate agrees that CDCR's Division of Correctional Health Care Services shall be used as a resource in solving potential disputes.

2. **Informal Appeal**

If the issue is not resolved at the verbal appeal level, Business Associate shall file, within thirty (30) working days, an informal written appeal specifying: the issue(s) of dispute, legal authority or other basis for Business Associate's position, supporting evidence, and remedy sought, with the CDCR Chief, Licensing and Information Systems, and provide a photocopy to the CDCR Assistant Deputy Director, Office of Business Services. The CDCR Chief, Licensing and Information Systems, shall make a determination on the issue and respond in writing within thirty (30) working days of receipt of the informal appeal, indicating the decision reached.

3. **Formal Appeal**

Should Business Associate disagree with the informal appeal decision, Business Associate shall submit, within ten (10) working days after Business Associate's receipt of the decision of the informal appeal, to the CDCR Deputy Director, Division of Correctional Health Care Services, and a photo copy to the CDCR, Assistant Deputy Director, Office of Business Services, written notification indicating why the informal appeal decision is unacceptable, along with a copy of the original statement of dispute and a copy of CDCR's response. The CDCR Deputy Director, Division of Correctional Health Care Services, or his/her designee may meet

with Business Associate to review the issues within twenty (20) working days of the receipt of Business Associate's notification and shall provide Business Associate with written notification of the decision within forty-five (45) working days from the receipt of the formal appeal.

The foregoing dispute process is solely for the purpose of disputes arising from the terms and conditions of this Exhibit. Disputes in relation to the scope of work and other terms and conditions shall be in accordance with any other dispute language set forth in the entire Agreement.

5.4 Injunctive Relief.

Notwithstanding any rights or remedies provided for in Section 5.3, Covered Entity retains all rights to seek injunctive relief to prevent the unauthorized use of disclosure of PHI by Business Associate or any agent, contractor or third party that received PHI from Business Associate.

5.5 Regulatory References.

A reference in this Agreement to a section in the Privacy Rule means the section as in effect or as amended.

5.6 Amendment.

The Parties agree to take such action as is necessary to amend this Agreement from time to time to the extent necessary for Covered Entity to comply with the requirements of HIPAA and its regulations. All amendments to this Exhibit shall be in writing and signed by both parties through a formal amendment to the entire agreement.

5.7 Survival.

The respective rights and obligations of Business Associate and Covered Entity under Sections 4.5, 5.1 and 5.2(c) of this Agreement shall survive the termination of this Agreement.

5.8 Limitation of Damages.

Other than liabilities under Section 5.1, neither party shall be liable to the other for any special, incidental, exemplary, punitive or consequential damages arising from or as a result of any delay, omission, or error in the electronic transmission or receipt of any information pursuant to this Agreement, even if the other Party has been advised of the possibility of such damages.

5.9 Interpretation.

Any ambiguity in this Agreement shall be resolved to permit Covered Entity to comply with the Privacy Rule.

5.10 Third Party Beneficiary

Unless otherwise set forth herein, nothing contained herein is intended, nor shall it be construed, to create rights running of the benefit of third parties.

5.11 Notices

Any HIPAA related notice required hereunder shall be deemed to be sufficient if mailed to the parties at the addresses below. In order to avoid unreasonable delay in the provision of the services to be rendered pursuant to this Agreement, Business Associate and Covered Entity shall each designate a specific "HIPAA" representative(s) for the purpose of communication between the parties. Such representative(s) may be changed upon written notice to the other party.

Business Associate:

Ross Mirkarimi, Sheriff
San Francisco Sheriff's Department
City Hall, Room 456
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Telephone: (415) 554-7225
Facsimile: (415) 554-7050

Covered Entity:

California Department of Corrections and Rehabilitation
Privacy Officer
HIPAA Compliance Unit
Division of Correctional Health Care Services
P.O. Box 942883
Sacramento, CA 94283-0001

Telephone: (916) 327-1842
Facsimile: (916) 327-0545



**OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**VICKI L. HENNESSY
SHERIFF**

August 19, 2016
Reference: CFO-2016-12

Angela Calvillo, Clerk of the Board
Board of Supervisors
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco, CA 94102-4689

Dear Ms. Calvillo:

I am submitting this request on the behalf of Sheriff Vicki Hennessy. Attached please find a proposed resolution for approval by the Board of Supervisors.

The resolution authorizes the Sheriff's Department to enter into a contract between the City and County of San Francisco and the California Department of Corrections and Rehabilitation (CDCR) to house state inmates who are within 60 days or less of release from state prison in the San Francisco County Jail Secure Reentry Program Facility (SRPF).

The contract will provide for the care, confinement, and rehabilitative programming for the CDCR's state inmates in a SRPF.

The following is a list of accompanying documents for this resolution:

- Proposed resolution (Redline, 1 hard copy, and 1 electronic copy)
- CDCR Amendment (1 hard copy, and 1 electronic copy)
- CDCR Letter to Sheriff Hennessy (1 hard copy, and 1 electronic copy)
- Original CDCR Secure Reentry Program Contract (1 hard copy, and 1 electronic copy)

Please contact Henry Gong at (415) 554-7241 if you require more information. Thank you.

Sincerely,


Mylan Luong
Acting Deputy Director/ CFO

enclosure

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2016 AUG 22 PM 3:56
AK

LEGISLATION RECEIVED CHECKLIST

Date August 19, 2016 File Number (if applicable) _____

- | | |
|--|-----------------------|
| <input checked="" type="checkbox"/> Legislation for Introduction (NEW) | ▶▶▶ Legislative Clerk |
| <input type="checkbox"/> Legislation Pending in Committee (AMENDED) | ▶▶▶ Committee Clerk |
| <input type="checkbox"/> Legislation for Board Agenda (AMENDED) | ▶▶▶ Deputy Clerk |

Supervisor, Mayor, and Departmental Submittals

Grant Ordinance

- ☐ **Legislation:** Original, 1 hard copy, and 1 electronic copy in **Word** format
- ☐ **Signature:** Department Head, Mayor or the Mayor's designee, plus the Controller
- ☐ **Supporting documents:** 1 full set, and separate **pdf** copies of each in email
 - ☐ Cover letter (original)
 - ☐ Grant budget/application
 - ☐ Grant information form, including signed disability checklist
 - ☐ Letter of Intent or grant award letter from funding agency
 - ☐ Contract, Leases/Agreements (if applicable)
 - ☐ Ethics Form 126 (if applicable) in **Word** format
 - ☐ Other support documents as identified in the cover letter and legislation
- ☐ **E-Copy of legislation/supporting documents: Sent to BOS.Legislation@sfgov.org**

Ordinance

- ☐ **Legislation:** Original, 1 hard copy, and 1 electronic copy in **Word** format
- ☐ **Signature:** City Attorney (For Settlement of Lawsuits - City Attorney, Department Head, Controller, Commission Secretary)
- ☐ **Supporting documents:** 1 full set, and separate **pdf** copies of each in email
 - ☐ Cover letter (original)
 - ☐ Settlement Report/Agreement (for settlements)
 - ☐ Other support documents as identified in the cover letter and legislation
- ☐ **E-Copy of legislation/supporting documents: Sent to BOS.Legislation@sfgov.org**

Grant Resolution

- ☐ **Legislation:** Original, 1 hard copy, and 1 electronic copy in **Word** format
- ☐ **Signature:** Department Head, Mayor or the Mayor's designee, plus the Controller
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Resolution

- ☒ **Legislation:** Original, 1 hard copy, and 1 electronic copy in **Word** format
- ☐ **Signature:** None (Note: Required for Settlement of Claims - City Attorney, Department Head, Controller, Commission Secretary)
- ☒ **Supporting documents:** 1 full set, and separate **pdf** copies of each in email
 - ☒ Cover letter (original)
 - ☐ Settlement Report/Agreement (for settlements)
 - ☒ Other support documents as identified in the cover letter and legislation
- ☒ **E-Copy of legislation/supporting documents: Sent to BOS.Legislation@sfgov.org**

HENRY CHONG (415) 554-7241

Name and Telephone Number

SHERIFF'S DEPARTMENT

Department

RECEIVED
CLERK OF SUPERVISORS
SAN FRANCISCO

2016 AUG 22 PM 3:56

LEGISLATION RECEIVED CHECKLIST

Date AUGUST 1, 2016 File Number (if applicable) _____

- | | |
|--|-----------------------|
| <input type="checkbox"/> Legislation for Introduction (NEW) | ▶▶▶ Legislative Clerk |
| <input type="checkbox"/> Legislation Pending in Committee (AMENDED) | ▶▶▶ Committee Clerk |
| <input type="checkbox"/> Legislation for Board Agenda (AMENDED) | ▶▶▶ Deputy Clerk |

Supervisor, Mayor, and Departmental Submittals

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Ordinance

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- ☐ **Signature:** Department Head, Mayor or the Mayor's designee, plus the Controller
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Resolution

- ☒ **Legislation:** Original, 1 hard copy, and 1 electronic copy in **Word** format
- ☐ **Signature:** None (Note: Required for Settlement of Claims - City Attorney, Department Head, Controller, Commission Secretary)
- ☒ **Supporting documents:** 1 full set, and separate **pdf** copies of each in email
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 - ☒ Other support documents *as identified in the cover letter and legislation*
- ☒ **E-Copy of legislation/supporting documents:** Sent to **BOS.Legislation@sfgov.org**

HENRY GONG (415) 554-7241
Name and Telephone Number

SHERIFF'S DEPARTMENT
Department

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2016 AUG -1 AM 11:09
BY 

Gong, Henry (SHF)

From: Gong, Henry (SHF)
Sent: Monday, August 22, 2016 9:13 AM
To: Carroll, John (BOS)
Subject: Revised BOS Cover letter and Redline Resolution
Attachments: Legislation Checklist SFSD CDCR Contract.pdf; BOS Cover Letter for Secure Reentry Contract Resoluton - revised.pdf; Redline Resolution SFSD CDCR Contract - revised.pdf; Resolution for Amendment to CDCR Secure Reentry - 08 17 16 - FINALDOCX

Good morning John,

The Sheriff's Department submitted a resolution on 8/1/2016 for the Secure Reentry Program Contract with the California Department of Corrections and Rehabilitation (CDCR).

After further review, I found that the resolution submitted requires a revision.

Per our conversation, you suggested that we submit a revised BOS cover letter and resolution to replace the documents submitted on 8/1/2016.

Please find attached the revised BOS cover letter and the Redline Resolution.

I will interoffice a hardcopy of the Legislation Checklist, cover letter, and Redline Resolution to your attention at:
City Hall, Room 244

Thank you for all your help. Please let me know if you have any questions.

Henry

CITY & COUNTY OF SAN FRANCISCO
SHERIFF'S DEPARTMENT

BOARD OF SUPERVISOR LEGISLATION

To: BOS Legislation
Date: August 1, 2016

RE: Attached is proposed resolution approving the Sheriff Department's request to enter into a contract with the California Department of Corrections and Rehabilitation (CDCR) to house state inmates who are within 60 days or less of release from state prison in the San Francisco County Jail Secure Reentry Program Facility (SRPF).

Attachments:

1. Cover Letter
2. Legislation Received Checklist
3. Resolution to approve the Sheriff Department's amendment of the Secure Reentry Program with the CDCR. (pdf of Redline resolution and 1 electronic copy in MS Word)
4. State of California Standard Agreement for the Secure Reentry Program
5. Original approved State of California Standard Agreement for the Secure Reentry Program

Contacts:

SFSD Kathy Gorwood, Deputy Chief
415.554.7223
Mylan Luong, Acting Chief Financial Officer
SFSD 415.554.4316
Henry Gong, Administrative
Analyst 415.554.4686

Henry Gong
SFSD Finance
1 Dr. Carlton B. Goodlett Place
Room 456, City Hall
San Francisco, CA 94102

Email: henry.gong@sfgov.org
Tel: (415) 554-7241

Gong, Henry (SHF)

From: Gong, Henry (SHF)
Sent: Monday, August 01, 2016 11:00 AM
To: BOS Legislation, (BOS)
Cc: Gorwood, Kathy; Luong, Mylan (SHF)
Subject: Proposed resolution for the amendment of the Secure Reentry Program Contract
Attachments: BOS Cover Letter for Proposed Resolution - Amendment to CDCR Contract.pdf; Legislation Received Checklist.pdf; Redline Resolution for Amendment to CDCR Secure Reentry.pdf; Resolution for Amendment to CDCR Secure Reentry - 07 29 16.docx; Amendment to CDCR Secure Reentry FY16 17.pdf; CDCR Letter to Sheriff Hennessy.pdf; Approved Contract SF County - Purchase Orders - 5600004123.pdf

CITY & COUNTY OF SAN FRANCISCO
SHERIFF'S DEPARTMENT

BOARD OF SUPERVISOR LEGISLATION

To: BOS Legislation
Date: August 1, 2016

RE: Attached is proposed resolution approving the Sheriff Department's request to amend the contract with the California Department of Corrections and Rehabilitation (CDCR) to extend the term of the Secure Reentry Program for one year to June 30, 2016.

Attachments:

1. Cover Letter
2. Legislation Received Checklist
3. Resolution to approve the Sheriff Department's amendment of the Secure Reentry Program with the CDCR. (pdf of Redline resolution and 1 electronic copy in MS Word)
4. State of California Standard Agreement to amend the Secure Reentry Program
5. Original approved State of California Standard Agreement for the Secure Reentry Program

Contacts:

Kathy Gorwood, Deputy Chief
SFSD 415.554.7223
Mylan Luong, Acting Chief Financial Officer
SFSD 415.554.4316
Henry Gong, Administrative
Analyst 415.554.4686

Henry Gong
SFSD Finance

1 Dr. Carlton B. Goodlett Place
Room 456, City Hall
San Francisco, CA 94102

Email: henry.gong@sfgov.org
Tel: (415) 554-7241



**OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO**

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



**VICKI L. HENNESSY
SHERIFF**

August 1, 2016
Reference: CFO-2016-11

Angela Calvillo, Clerk of the Board
Board of Supervisors
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco, CA 94102-4689

Dear Ms. Calvillo:

I am submitting this request on the behalf of Sheriff Vicki Hennessy. Attached please find a proposed resolution for approval by the Board of Supervisors.

The resolution authorizes the Sheriff's Department to enter into an amendment to the existing contract between the City and County of San Francisco and the California Department of Corrections and Rehabilitation (CDCR) to extend the term of the Secure Reentry Program Contract for one year to June 30, 2017.

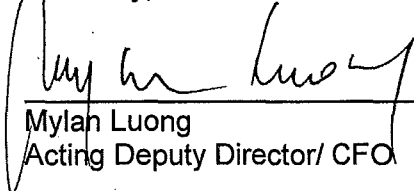
The extension of term will provide for the care, confinement, and rehabilitative programming for the CDCR's state inmates in a Secure Reentry Program Facility (SRPF).

The following is a list of accompanying documents for this resolution:

- Proposed resolution (Redline, 1 hard copy, and 1 electronic copy)
- CDCR Amendment (1 hard copy, and 1 electronic copy)
- CDCR Letter to Sheriff Hennessy (1 hard copy, and 1 electronic copy)
- Original CDCR Secure Reentry Program Contract (1 hard copy, and 1 electronic copy)

Please contact Henry Gong at (415) 554-7241 if you require more information. Thank you.

Sincerely,


Mylan Luong
Acting Deputy Director/ CFO

enclosure