

FILE NO. 161224

Petitions and Communications received from October 24, 2016, through November 7, 2016, for reference by the President to Committee considering related matters, or to be ordered filed by the Clerk on November 15, 2016.

Personal information that is provided in communications to the Board of Supervisors is subject to disclosure under the California Public Records Act and the San Francisco Sunshine Ordinance. Personal information will not be redacted.

From Clerk of the Board, reporting Mayor Lee's veto of File No. 160749, Neighborhood Property Crime Units at District Stations. Copy: Each Supervisor. (1)

From Clerk of the Board, submitting report from the Civil Service Commission, regarding Prevailing Wage Certification Legislation. Copy: Each Supervisor. (2)

From Mayor Lee, designating Supervisor Mark Farrell as Acting-Mayor on October 27, 2016. Copy: Each Supervisor. (3)

From Mayor Lee, regarding the following Charter, Section 3.100 (18), appointments to the Human Rights Commission. (4)

Abby Porth - term ending September 2, 2020

Michael Pappas - term ending September 2, 2020

Hala Hijazi - term ending September 2, 2019

Eva Chan - term ending September 2, 2018

Theodore Ellington - term ending August 14, 2018

From Mayor Lee, regarding Charter, Section 3.100 (18), appointment to the Arts Commission. (5).

Lydia So - term ending January 15, 2017

From the Office of the Controller's City Services Auditor Division, reporting the status of the implementation of the Civil Grand Jury Recommendations FY14-15. Copy: Each Supervisor. (6)

From the Office of the Controller, reporting on Projects Transfers in excess of 10%, per Administrative Code, Section 3.18. Copy: Each Supervisor. (7)

From the Office of the Controller's City Services Auditor Division, submitting Summary of Implementation Status of Recommendations Followed up on in FY2016-17, First Quarter. Copy: Each Supervisor. (8)

From the Office of the Controller's City Services Auditor Division, submitting a memorandum on its audit of the payroll and disbursements processes at the Fine Arts

Museums of San Francisco and Corporation of the Fine Arts Museums. Copy: Each Supervisor. (9)

From the Office of the Controller's City Services Auditor Division, regarding Street and Sidewalk Maintenance Standards Annual Report for FY2015-16. Copy: Each Supervisor. (10)

From the Office of the Controller's City Services Auditor Division, submitting Park Maintenance Standards Annual Report, FY2015-16. Copy: Each Supervisor. (11)

From the Office of the Controller's City Services Auditor Division, regarding Airport Commission: Audits of Transportation Network Company Operating Permits of Rasier-CA, Lyft, & Tickengo dba Wingz. Copy: Each Supervisor. (12)

From Contract Monitoring Division, City Administrator's Office, per Admin. Code Chapter 14B.15(A), submitting Local Business Enterprise ("LBE") Contracting Report for FY2015-16. Copy: Each Supervisor. (13)

From Capital Planning Committee, regarding, (1) Public Health and Safety General Obligation (G.O.) Bond Sale, (2) Animal Care and Control Shelter Certificates of Participation (COPs), (3) 2017 Annual Infrastructure Construction Cost Inflation Estimate (AICCIE). Copy: Each Supervisor. (14)

From Clerk of the Board, submitting response to Civil Grand Jury Report, Drinking Water Safety in San Francisco: A Reservoir of Good Practice. File No. 160812. Copy: Each Supervisor. (15)

From Clerk of the Board, submitting response to Civil Grand Jury Report, San Francisco County Jails: Our Largest Mental Health Facility Needs Attention. File No. 160620. Copy: Each Supervisor. (16)

From Clerk of the Board, submitting response to Civil Grand Jury Report, San Francisco's Crime Lab - Promoting Confidence and Building Credibility. File No. 160609. Copy: Each Supervisor. (17)

From San Francisco Department of the Environment, submitting Urban Forestry Council's 2016 Annual Urban Forest Report. Copy: Each Supervisor. (18)

From San Francisco Recreation and Park Department, submitting Annual Park Maintenance Standards Report for FY2015-16. Copy: Each Supervisor. (19)

From Office of the Sheriff, submitting San Francisco Sheriff's Department 96A Third Quarter Report. Copy: Each Supervisor. (20)

From Department of Building Inspection, regarding settlement issues at 301 Mission Street. Copy: Each Supervisor. (21)

From Department on the Status of Women, submitting 2016 Human Trafficking in San Francisco Report. Copy: Each Supervisor. (22)

From California Highway Patrol, pursuant to Health and Safety Code, Section 25180.7, submitting report on the discharge of hazardous materials which could cause injury to the public's health or safety. Copy: Each Supervisor. (23)

From Sierra Club, San Francisco Bay Chapter, regarding Prohibition on Leasing for the Extraction of Fossil Fuels. File No. 160222. Copy: Each Supervisor. (24)

From Miller Starr Regalia, regarding Amendments to the Planning Code regarding General Advertising Signs. File No. 160553. Copy: Each Supervisor. (25)

From Michael Krasnobrod, regarding MUNI comment-feedback. Copy: Each Supervisor. (26)

From Christine Lynn Harris, regarding Animal Care and Control - Safety for ACC Staff, Volunteers, Dogs, Cats, Animals. Copy: Each Supervisor. (27)

From concerned citizens, regarding petition for Permanent Alex Nieto Memorial. Copy: Each Supervisor. (28)

From Alice Xavier, regarding Rental Car Disclosure Requirements. Copy: Each Supervisor. (29)

From San Francisco Child Care Planning and Advisory Council, regarding Infant and Toddler Early Learning Scholarship Fund. Copy: Each Supervisor. (30)

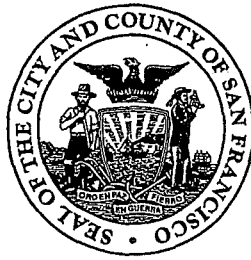
From David Romano, regarding review of the athletic field lights at the Beach Chalet Athletic Field. Copy: Each Supervisor. (31)

From Allen Jones, regarding correction on Juvenile Hall. Copy: Each Supervisor. (32)

From David Lee, regarding tax breaks or donations or city money to any organization that will build housing. Copy: Each Supervisor. (33)

From concerned citizens, regarding Rincon Hill construction. 5 letters. Copy: Each Supervisor. (34)

BOARD of SUPERVISORS



BOS-11, Leg Aides
Deputies, Dep City Attny
At file, cpagis
City Hall

1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco 94102-4689
Tel. No. 554-5184
Fax No. 554-5163
TDD/TTY No. 544-5227

MEMORANDUM

Date: October 26, 2016
To: Members, Board of Supervisors
From: *ACC* Angela Calvillo, Clerk of the Board
Subject: Mayor's Veto -File No.160749 - Neighborhood Property Crime Units at District Stations

Today, October 26, 2016, the Mayor communicated his veto of File No. 160749, Neighborhood Property Crime Units at District Stations.

Pursuant to Charter Section 2.106, the Board of Supervisors may override said veto if, within 30 days after such veto, not less than two-thirds of the Board of Supervisors shall vote in favor of such measure.

In order to meet the 30 day deadline for possible Board action and due to the Board's 2016 meeting schedule, the last regular scheduled meeting for consideration of this veto override is November 15, 2016.

I will communicate the Mayor's veto letter on the November 1, 2016, Board meeting.

Please let me know in writing by Friday, November 4, 2016, 5:00 pm, if you would like to schedule the veto override for the meeting of November 15, 2016. Otherwise, in 30 days the veto stands.

Attachment

OFFICE OF THE MAYOR
SAN FRANCISCO



EDWIN M. LEE
MAYOR

October 26, 2016

President London Breed
Members, Board of Supervisors
San Francisco City Hall
1 Dr. Carlton B. Goodlett Pl
San Francisco, CA 94102

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2016 OCT 26 PM 12:00
BY: [Signature]

Dear President Breed & Members of the Board of Supervisors:

This letter communicates my veto of File No. 160749

At a time when we need the San Francisco Police Department to focus holistically on preventing and investigating quality of life crimes, this measure ties the hands of our police officers. This ordinance, motivated by ballot politics and not public safety, restricts the department's ability to coordinate internally and with other City departments to address neighborhood crime.

I share the sponsor's desire to reduce property crime, but to suggest that auto burglaries and theft are the only public safety challenges in our neighborhoods is simply inaccurate. We also need to focus on assault, aggressive behavior, and other types of crimes that make our constituents feel unsafe. In this regard, this ordinance is simply too narrow.

Furthermore, the San Francisco Civil Grand Jury warns us about the perils of segmenting the City into small policing units, as this ordinance would have us do: "Organizing primarily for community policing works to the benefit of career criminals. This is because career criminals move around the City without regard for precinct boundaries, or cross the city limits as they speed out a town," says a recent Civil Grand Jury report from June 2016.

Finally, if the voters of San Francisco approve Proposition R in a few short weeks, and this measure were also to become law, we'd be left with conflicting and duplicative policing rules which would further strain our resources and diminish accountability.

For all of the above reasons, I am vetoing this ordinance.

Sincerely,

A handwritten signature in black ink, appearing to read "Edwin M. Lee".

Edwin M. Lee
Mayor, City & County of San Francisco

[Administrative Code - Neighborhood Property Crime Units in Police Department]

Ordinance amending the Administrative Code to create the Neighborhood Property Crime Units at District stations Unit in the Police Department, ~~to be activated when the Controller certifies that the Department is at the full staffing level mandated in the City Charter, and to set minimum staffing levels for and assign duties to the Unit.~~

NOTE: Unchanged Code text and uncodified text are in plain Arial font.
Additions to Codes are in *single-underline italics Times New Roman font*.
Deletions to Codes are in *strikethrough italics Times New Roman font*.
Board amendment additions are in double-underlined Arial font.
Board amendment deletions are in ~~strikethrough Arial font~~.
Asterisks (* * * *) indicate the omission of unchanged Code subsections or parts of tables.

Be it ordained by the People of the City and County of San Francisco:

Section 1. The Administrative Code is hereby amended by adding Sections 2A.84-1 through 2A.84-65, to read as follows:

~~SEC. 2A.84 1. TITLE.~~

~~Sections 2A.84 1 through 2A.84 7 shall be known and cited as the "The Safe Neighborhoods Ordinance."~~

~~SEC. 2A.84 2. FINDINGS.~~

~~Violent crime in San Francisco is at an historic low, but the City's neighborhoods have seen a significant increase in crimes such as home burglaries, automobile break-ins, and automobile thefts. These kinds of crimes make residents feel unsafe in their homes and vehicles and on City streets and reduce the quality of life in San Francisco.~~

1 ~~As a result of an accelerated police hiring plan that includes recent and immediately~~
2 ~~upcoming police academy classes in fiscal year 2016-2017, the City is on track to meet the~~
3 ~~Charter-mandated minimum staffing level of not less than 1,971 full-duty sworn officers~~
4 ~~(Charter Section 4.127) by the end of 2017. This increase in law enforcement presence is~~
5 ~~expected to help deter some of this neighborhood crime, as well as lead to more and faster~~
6 ~~investigations and prosecutions.~~

7 ~~The Police Department has several different units, all of which share partial~~
8 ~~responsibility for preventing, investigating, and making arrests related to neighborhood crime.~~
9 ~~The purpose of this reorganization and setting of a minimum staffing level is to ensure that~~
10 ~~this important police work is consolidated within one command structure, and receives the~~
11 ~~staffing necessary to accomplish its mission.~~

12 ~~By creating one consolidated unit with dedicated staffing—the Neighborhood Crime~~
13 ~~Unit—the Police Department will be better able to respond to 311 and 911 calls, to~~
14 ~~proactively police areas of the City in which neighborhood crime is prevalent, and to work with~~
15 ~~the Department of Public Health, the Department of Homelessness and Supportive Housing,~~
16 ~~and the Human Services Agency to ensure that homeless people have access to critical~~
17 ~~services, such as shelter, housing, and mental health and drug addiction services.~~

18 ~~As the Neighborhood Crime Unit conducts its work, it should develop sophisticated~~
19 ~~metrics to not only proactively police the neighborhoods through foot patrols, but also to~~
20 ~~ensure that their law enforcement actions are not having disproportionate negative impacts on~~
21 ~~any one community, specifically communities of color. The Police Commission should hold the~~
22 ~~Unit accountable in this regard, and set policy to remedy disproportionate impacts, should any~~
23 ~~exist.~~

24
25 **SEC. 2A.84-13. PURPOSE AND INTENT.**

1 The purpose of the Safe Neighborhoods Ordinance Section 2A.84-1 et seq. is to do all of
2 the following:

3 (a) Strengthen existing structures within the Police Department to bolster the
4 Department's efforts to combat neighborhood property crime and improve coordination among
5 different divisions of the Department, including, but not limited to the Patrol Bureau Task
6 Force and the Crime Analysis Unit. Create the Neighborhood Crime Unit within the Police
7 Department.

8 (b) Require minimum staffing levels for the Unit.

9 (c) Create Neighborhood Property Crime Units in each police district, and Task
10 those Units with proactively and comprehensively investigating neighborhood property crime and
11 enforcing laws to deter neighborhood property crime, and when deployed to specific police districts, to
12 assist with responding to 911 and 311 calls for service related to neighborhood property crime.

13 (d) Create transparency and accountability data metrics for neighborhood property crime
14 and the Unit's Police Department's efforts to combat such crime, with required reports to the Police
15 Commission and the Board of Supervisors.

16
17 **SEC. 2A.84-24. CREATION OF NEIGHBORHOOD PROPERTY CRIME UNITS;**
18 **SPECIFICATION OF DUTIES OF UNITS.**

19 (a) There shall be a Neighborhood Property Crime Unit within each District in the Police
20 Department, as prescribed by Sections 2A.84-5 and 2A.84-6.

21 (b) The Units shall be responsible for proactive and comprehensive deterrence and
22 investigation of property crime and quality of life violations throughout the various respective
23 neighborhoods within their District boundaries City through the use of neighborhood foot patrols and
24 community crime prevention, among other tactics.

1 (c) The Units may encompass several existing Police functions, at the discretion of the Chief of
2 Police and District Captains as delegated by the Chief, including but not limited to: the Patrol
3 Bureau Task Force, the Crime Analysis Unit, School Resource Officers, and the Special Projects Unit.
4 This Section 2A.84-42 is not intended to preclude officers who are not in the a Unit from performing
5 necessary or appropriate law enforcement functions not inconsistent with this Section in accordance
6 with the policies of the Chief of Police and the Police Department.

7 (d) The Units shall actively coordinate with each other and with other City departments
8 under the direction of the Chief of Police. police-district captains, the 311 program, and the
9 Department of Emergency Management to respond to reports from witnesses or victims of
10 actual or suspected crime, including calls for help or service through 311, in the most prompt
11 and comprehensive manner possible, including through neighborhood foot patrols, which shall
12 be coordinated with district captains.

13 (e) Officers assigned to the Neighborhood Property Crime Units shall curtail and
14 investigate neighborhood property crimes whose nature, frequency, or pervasiveness impairs
15 the sense of security and quality of life of those who live or work in affected neighborhoods.
16 Such crimes include but are not limited to California Penal Code Sections 211 (Robbery), 459
17 (Auto Burglary and Residential/Commercial Burglary), 484, 487, and 488 (Theft of Property,
18 including bicycle thefts). All activities of each Unit shall be consistent with the Comprehensive
19 Property Crime Plan adopted by the Police Commission under Section 2A.84-4(a) and the
20 strategic plan for the Unit adopted by the Police Department under Section 2A.84-4(b).

21 (ef) The Safe Neighborhoods Ordinance is Section 2A.84-1 et seq. are not intended to
22 affect the existing discretion of the Chief of Police to establish a neighborhood crime unit even if
23 the make decisions regarding staffing levels of the Police Department do not reach the number of
24 full duty sworn officers mandated by the Charter. Rather, in accordance with subsection (a) of
25

1 Section 2A.84-5, the intent of this ordinance is to require the Chief of Police to establish such
2 a unit if the Charter mandated staffing levels are met or exceeded.

3
4 **SEC. 2A.84-3. STAFFING OF NEIGHBORHOOD PROPERTY CRIME UNITS.**

5 Each year with the proposed annual budget for the Police Department, the Chief of
6 Police shall propose to the Police Commission a budget and level of staffing for each
7 Neighborhood Property Crime Unit.

8
9 **SEC. 2A.84-4. COMPREHENSIVE NEIGHBORHOOD PROPERTY CRIME PLAN**
10 **AND NEIGHBORHOOD UNIT STRATEGIC PLANS.**

11 (a) Within six months of the effective date of the ordinance in Board File No.
12 160749, the Police Commission shall adopt a Comprehensive Property Crime Plan to address
13 property crime in neighborhoods throughout the City. The pPlan shall include, at a minimum,
14 strategies for (1) encouraging full and open communication and collaboration among officers
15 in Neighborhood Property Crime Units and community members; (2) development of
16 neighborhood-specific priorities and strategies to reduce property crimes, (3) the assignment
17 of officers in Neighborhood Property Crime Units to foot patrols, and (4) ensuring productive
18 coordination among the Units in different Districts in the City, and (5) streamlining the sharing
19 of information and data with other City departments responsible for law enforcement, including
20 the District Attorney's Office, to help investigate property crimes in a timely manner. In
21 addition, the Plan may set or recommend minimum dedicated staffing levels for specific Units,
22 and may include strategies for increasing staffing in those Units to recommended levels. The
23 Commission shall review the Plan at least once each fiscal year and may make changes that
24 the Commission deems appropriate.

1 (b) The Police Department shall develop and adopt a strategic plan for each
2 Neighborhood Property Crime Unit in the City. Each plan shall be consistent with the
3 Comprehensive Neighborhood Property Crime Plan, and shall, at a minimum, identify:

4 (1) Procedures for officers assigned to the Unit.

5 (2) A list of Penal Code and Police Code sections on which the Unit will focus.
6 With input from community members and organizations, the Department shall update the list
7 from time to time so that it remains consistent with the purpose of the Unit.

8 (3) A plan to monitor training and tactics related to enforcement strategy.

9 Within 12 months of the effective date of the ordinance in Board File No. 160749, the
10 Police Department shall submit these plans to the Police Commission for the Commission's
11 consideration and approval.

12
13 **SEC. 2A.84-5. ANNUAL REPORTS.**

14 Between June 1 and July 1 each year, the Police Department shall submit to the Board
15 of Supervisors and the Police Commission a report on the Department's progress preventing
16 and enforcing property crime in the City, including data and metrics stemming from each
17 Neighborhood Property Crime Unit's work including work coordinated with other City
18 departments, with a particular focus on the disparate impacts in approaches, citations, and
19 arrests in terms of race, ethnicity, gender, age, and neighborhood or other geographic
20 measures.

21
22 **SEC. 2A.84-5. CONTROLLER'S CERTIFICATION OF STAFFING AND CHIEF'S**
23 **ASSIGNMENTS.**

24 ~~(a) On or before December 31, 2016, and no less frequently than by December 31 of~~
25 ~~each subsequent year, the Controller shall deliver to the Mayor, the Board of Supervisors, and~~

1 the Chief of Police a report certifying the number of full duty sworn officers employed by the
2 Police Department. If the Controller's certification finds that on the date of certification there
3 are at least 1,971 full duty sworn officers, as required by Charter Section 4.127, the Chief of
4 Police shall, in accordance with the provisions of this Section 2A.84-5 and Section 2A.84-6,
5 assign no fewer than 3% of all sworn personnel to the Neighborhood Crime Unit. This
6 assignment shall occur no later than 120 days after the Controller's certification that the
7 number of full duty sworn officers meets or exceeds the Charter requirement.

8 (b) Before the reassignment of sworn personnel to the Neighborhood Crime Unit
9 mandated by subsection (a) occurs, the Chief of Police, within 60 days of the Controller's
10 certification, shall deliver to the Police Commission a Neighborhood Crime Unit Deployment
11 Plan, which shall specify the number of personnel at the distinct ranks of officer, sergeant, and
12 lieutenant or above, and the number of civilian personnel, deployed to the Unit.

13 (c) Notwithstanding subsections (a) and (b), the Chief of Police may reassign on a
14 temporary basis any officers assigned to the Unit, in order to address an emergency or other
15 urgent law enforcement matter. The Chief of Police shall report such reassignments to the
16 Police Commission at its next regularly scheduled meeting, consistent with open meeting
17 noticing requirements, including the reason for the reassignment, which district stations are
18 affected, and an estimate of when reassigned officers are expected to return to regular
19 assignments.

20 (d) If the number of full duty sworn officers in the Police Department certified by the
21 Controller as specified in subsection (a) is initially less than the Charter required number, the
22 Chief of Police is not required to establish the Neighborhood Crime Unit. If the Unit is
23 established but a later certification by the Controller falls below the Charter required number,
24 the Chief of Police is not required to maintain the Unit. But in either event the Chief of Police
25 would retain the discretion to have such a unit within the Police Department.

1
2 ~~SEC. 2A.84-6. DUTIES OF OFFICERS IN NEIGHBORHOOD CRIME UNIT.~~

3 ~~(a) Officers assigned to the Neighborhood Property Crime Units shall curtail and~~
4 ~~investigate neighborhood property crimes whose nature, frequency, or pervasiveness impairs~~
5 ~~the sense of security and quality of life of those who live or work in affected neighborhoods.~~
6 ~~Such crimes include but are not limited to California Penal Code Sections 211 (Robbery), 459~~
7 ~~(Auto Burglary and Residential/Commercial Burglary), 484, 487, and 488 (Theft of Property,~~
8 ~~including bicycle thefts), 594 (Vandalism), and aggressive/harassing behavior such as Police~~
9 ~~Code Section 122 (Aggressive Pursuit).~~

10 ~~(b) In addition to their policing responsibilities, officers assigned to the Neighborhood~~
11 ~~Crime Unit shall also coordinate with the Department of Public Health, Department of~~
12 ~~Homelessness and Supportive Housing, Human Services Agency, and other departments to~~
13 ~~address violations of law relating to unlawful street behavior, including but not limited to~~
14 ~~violations of Police Code Sections 22 (Obstructing the Sidewalk), 120-2 (Aggressive~~
15 ~~Solicitation/Panhandling), and 168 (Promotion of Civil Sidewalks), with a focus on transitioning~~
16 ~~people off the streets and into shelter, housing, and critical health services.~~

17 ~~(c) The Unit shall conduct recurring meetings with or among police district captains,~~
18 ~~community members and organizations, and Unit officers to develop policing priorities and~~
19 ~~strategies that include, among other things, (1) a plan for encouraging full and open~~
20 ~~communication and collaboration among Unit officers and community members, (2)~~
21 ~~development and implementation of neighborhood specific priorities and strategies to combat~~
22 ~~criminal activity, and (3) assignment of Unit officers to foot patrols.~~

23 ~~(d) No later than 120 days after the Controller's initial certification under subsection (a)~~
24 ~~of Section 2A.84-5 that the number of full duty sworn officers meets or exceeds the Charter~~
25 ~~requirement, the Police Department shall adopt a comprehensive written policy governing the~~

1 assignment and conduct of the Unit. The Police Commission shall review the policy at least
2 annually, but may review the policy or any of its specific aspects more frequently, at the
3 Commission's discretion. At a minimum, the policy shall include:

- 4 —— (1) Procedures for officers assigned to the Unit.
- 5 —— (2) A list of Penal Code and Police Code sections on which the Unit will focus.
- 6 With input from police district captains, community members and organizations, and/or Unit
7 officers, the Police Department shall update the list from time to time so that it remains
8 consistent with the purpose and intent of the Safe Neighborhoods Ordinance, and shall be
9 responsible for defining and monitoring training and tactics related to the enforcement
10 strategy.
- 11 —— (3) An annual report to the Police Commission on data and metrics stemming
12 from the Unit's work, with a particular focus on disparate impacts in approaches, citations, and
13 arrests in terms of race, ethnicity, gender, age, and neighborhood or other geographic
14 measures.

15

16 Section 2. Effective Date. This ordinance shall become effective 30 days after
17 enactment. Enactment occurs when the Mayor signs the ordinance, the Mayor returns the
18 ordinance unsigned or does not sign the ordinance within ten days of receiving it, or the Board
19 of Supervisors overrides the Mayor's veto of the ordinance.

20

21 APPROVED AS TO FORM:
22 DENNIS J. HERRERA, City Attorney

23 By:

24 
JON GIVNER
Deputy City Attorney

25 n:\legana\as2016\1600855\01143991.docx



City and County of San Francisco

Tails
Ordinance

City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4689

File Number: 160749

Date Passed: October 25, 2016

Ordinance amending the Administrative Code to create Neighborhood Property Crime Units at District stations in the Police Department.

September 29, 2016 Government Audit and Oversight Committee - AMENDED, AN AMENDMENT OF THE WHOLE BEARING NEW TITLE

September 29, 2016 Government Audit and Oversight Committee - RECOMMENDED AS AMENDED

October 18, 2016 Board of Supervisors - AMENDED, AN AMENDMENT OF THE WHOLE BEARING SAME TITLE

Ayes: 11 - Avalos, Breed, Campos, Cohen, Farrell, Kim, Mar, Peskin, Tang, Wiener and Yee

October 18, 2016 Board of Supervisors - PASSED ON FIRST READING AS AMENDED

Ayes: 7 - Avalos, Breed, Campos, Kim, Mar, Peskin and Yee
Noes: 4 - Cohen, Farrell, Tang and Wiener

October 25, 2016 Board of Supervisors - FINALLY PASSED

Ayes: 7 - Avalos, Breed, Campos, Kim, Mar, Peskin and Yee
Noes: 4 - Cohen, Farrell, Tang and Wiener

File No. 160749

I hereby certify that the foregoing
Ordinance was FINALLY PASSED on
10/25/2016 by the Board of Supervisors of
the City and County of San Francisco.

Peggy Nevin

pc

Angela Calvillo
Clerk of the Board

Mayor

Date Approved

BOARD of SUPERVISORS



City Hall
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco 94102-4689
Tel. No. 554-5184
Fax No. 554-5163
TDD/TTY No. 544-5227

MEMORANDUM

Date: October 27, 2016
To: Members, Board of Supervisors
From: *ACC* Angela Calvillo, Clerk of the Board
Subject: Prevailing Wage Certification Legislation

The Office of the Clerk of the Board received the attached document from the Civil Service Commission dated October 18, 2016, along with a copy of the report from the Office of Labor Standards entitled "Certification of the Highest Prevailing Rate of Wages of the Various Crafts and Kinds of Labor Paid in Private Employment in the City and County of San Francisco."

The Civil Service Commission, at their October 17, 2016 meeting, adopted the report from the Office of Labor Standards Enforcement, in accordance with Charter Section A7.204 and Administrative Code Section 6.22.

The 490 page report from the Office of Labor Standards is available for viewing in the Clerk's Office and will be included on the Communications page. If you would like to receive a scanned copy, please contact Rachel Gosiengfiao at 554-7703.

To: Board of Supervisors, (BOS)
Subject: FW: Prevailing Wage Certification Legislation
Attachments: PrevailingWage Certification to ACalvillo.pdf; #8 Cert of the Highest Prevailing Wage Part I.pdf; #8 Cert of the Highest Prevailing Wage Part II.pdf; Prevailing Wages - Notice of Action.pdf

From: Calvillo, Angela (BOS)
Sent: Friday, October 21, 2016 11:31 AM
To: Somera, Alisa (BOS) <alisa.somera@sfgov.org>; Gosiengfiao, Rachel (BOS) <rachel.gosiengfiao@sfgov.org>
Subject: FW: Prevailing Wage Certification Legislation

For proper routing please.
Thank you.
Angela

From: Eng, Sandra (CSC)
Sent: Tuesday, October 18, 2016 12:23 PM
To: Calvillo, Angela (BOS) <angela.calvillo@sfgov.org>
Cc: Brown, Michael (CSC) <michael.brown@sfgov.org>; Lee, Matthew (CAT) <matthew.s.lee@sfgov.org>; Aldana, Elizabeth (CSC) <elizabeth.aldana@sfgov.org>
Subject: Prevailing Wage Certification Legislation

Good Afternoon Angela,

The Civil Service Commission requested the City Attorney to draft legislation to accompany the report being forwarded to the Board of Supervisors as required by the Administrative Code. The draft legislation prepared by the City Attorney will be forwarded to you shortly. Please see the attached letter.

Sincerely,

Sandra Eng

Sandra Eng
Assistant Executive Officer
Civil Service Commission
City and County of San Francisco
25 Van Ness Avenue, Suite 720
San Francisco, CA 94102
Direct (415) 252-3254
Main (415) 252-3247
Fax (415) 252-3260



CIVIL SERVICE COMMISSION CITY AND COUNTY OF SAN FRANCISCO

EDWIN M. LEE
MAYOR

October 18, 2016

GINA M. ROCCANOVA
PRESIDENT

KATE FAVETTI
VICE PRESIDENT

DOUGLAS S. CHAN
COMMISSIONER

SCOTT R. HELDFOND
COMMISSIONER

MICHAEL L. BROWN
EXECUTIVE OFFICER

Angela Calvillo, Clerk of the Board
Board of Supervisors
City Hall, Room 244
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

SUBJECT: Prevailing Wage Certification Legislation

Dear Ms. Calvillo:

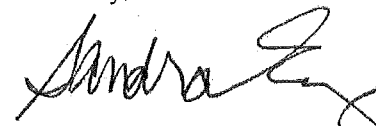
At its meeting of October 17, 2016 the Civil Service Commission had for its consideration the certification of the highest prevailing rate of wages of the various crafts and kinds of labor paid in private employment in the City and County of San Francisco (CSC File No. 0333-16-8). A copy of the report prepared by the Office of Labor Standards is attached.

It was the decision of the Civil Service Commission, in accordance with Charter Section A7.204 and Administrative Code Section 6.22, to adopt the Office of Labor Standards Enforcement's report.

The Civil Service Commission requested the City Attorney to draft legislation to accompany the report being forwarded to the Board of Supervisors as required by the Administrative Code. The draft legislation prepared by the City Attorney will be forwarded to you shortly.

Please call me at (415) 252-3250, if there are questions or if further information is needed related to the action of the Civil Service Commission.

Sincerely,


MICHAEL L. BROWN FOR
Executive Officer

Attachment

Cc: Matthew S. Lee, Deputy City Attorney



CIVIL SERVICE COMMISSION CITY AND COUNTY OF SAN FRANCISCO

EDWIN M. LEE
MAYOR

Sent via Electronic Mail

October 6, 2016

GINA M. ROCCANOVA
PRESIDENT

KATE FAVETTI
VICE PRESIDENT

DOUGLAS S. CHAN
COMMISSIONER

SCOTT R. HELDFOND
COMMISSIONER

MICHAEL L. BROWN
EXECUTIVE OFFICER

NOTICE OF CIVIL SERVICE COMMISSION MEETING

SUBJECT: CERTIFICATION OF THE HIGHEST PREVAILING RATE OF WAGES OF THE VARIOUS CRAFTS AND KINDS OF LABOR PAID IN PRIVATE EMPLOYMENT IN THE CITY AND COUNTY OF SAN FRANCISCO.

The above matter will be considered by the Civil Service Commission at a meeting to be held on **October 17, 2016** at 2:00 p.m. in Room 400, Fourth Floor, City Hall, 1 Dr. Carlton B. Goodlett Place.

This item will appear on the Consent Agenda. Please refer to the attached Notice for procedural and other information about Commission hearings.

Attendance by you or an authorized representative is welcome. Should you or your representative not attend, the Commission will rule on the information previously submitted and testimony provided at its meeting. All calendared items will be heard and resolved at this time unless good reasons are presented for a continuance.

All non-privileged materials being considered by the Civil Service Commission for this item are available for public inspection and copying at the Civil Service Commission office Monday through Friday from 8:00 a.m. to 5:00 p.m.

CIVIL SERVICE COMMISSION

MICHAEL L. BROWN
Executive Officer

Attachment

Cc: Toks Ajike, Recreation and Park Department
Emylene Aspillá, San Francisco International Airport
Donald Ellison, San Francisco Municipal Transportation Agency
Jaci Fong, Office of Contract Administration
Lavena Holmes, Port Commission
Shamica Jackson, Public Utilities Commission
Frank Lee, Department of Public Works
Matthew Lee, City Attorney's Office
Suzanne Mason, Department of Human Resources
Sean McFadden, Recreation and Park Department
Patrick Mulligan, Office of Labor Standards Enforcement
John Noguchi, Convention Facilities
Masood Ordikhani, Public Utilities Commission
Steve Ponder, Department of Human Resources
Bill Wong, San Francisco International Airport
Commission File
Commissioners' Binder
Chron



STANDARD
EXTENDED 10-10-10
SMALL SERIALS CO. INC.
55 W. 17TH ST.
NEW YORK, N.Y.

Notifications:

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GENERAL SERVICES AGENCY
OFFICE OF LABOR STANDARDS ENFORCEMENT
PATRICK MULLIGAN, DIRECTOR



DATE: October 6, 2016

TO: The Honorable Civil Service Commission

SUBJECT: CERTIFICATION OF THE HIGHEST PREVAILING RATE OF WAGES OF THE VARIOUS CRAFTS AND KINDS OF LABOR PAID IN PRIVATE EMPLOYMENT IN THE CITY AND COUNTY OF SAN FRANCISCO

RECOMMENDATION: ADOPT REPORT; FORWARD TO BOARD OF SUPERVISORS

Section 6.22 of the Administrative Code requires that the Civil Service Commission furnish the Board of Supervisors data as to the highest general prevailing rate of wages of the various crafts and kinds of labor as paid in private employment in the City and County of San Francisco. The attached General Prevailing Wage Determinations made by the Director of Industrial Relations, State of California pursuant to the California Labor Code reports the highest prevailing rate of wages of the various crafts paid in private employment in the City and County of San Francisco (please see **Attachments 1-4**).

In addition to the classifications and crafts addressed by the Director of Industrial Relations' General Prevailing Wage Determinations, the San Francisco Administrative Code Section 21C requires that prevailing wages be paid for other crafts and classifications, described below.

Janitorial Services: In May 1999, the Board of Supervisors amended the Administrative Code to require that contracts for janitorial services to be performed at any facility owned or leased by the City, "where such work is to be done directly under the contract awarded (a 'prime contract') must require that any individual performing Janitorial Services thereunder be paid not less than the Prevailing Rate of Wages." **Attachment 5** contains the Collective Bargaining Agreement between the San Francisco Maintenance Contractors Association and Service Employees International Union, Building Service Employees Union, Local 1877 Division 87, in effect from August 1, 2012 through July 31, 2016, reflecting the prevailing wage rates for individuals performing janitorial services. **Attachment 6** contains the Collective Bargaining Agreement between the San Francisco Window Cleaning Contractors Association and Window Cleaners Union – SEIU Local 1877, AFL-CIO in effect from April 1, 2014 to March 31, 2017, reflecting the wage and benefits levels for individuals performing window cleaning services.

Workers in Public Parking Lots and Garages: In January 2003, the Board of Supervisors amended the Administrative Code to require workers employed in public off-street parking lots, garages, or storage facilities for automobiles on property owned or leased by the City and County of San Francisco be paid the prevailing wage rate. **Attachment 7** is the Garage and Parking Lot Agreement between Parking Employers and Teamsters Automotive Employees, Local 665 in effect from December 1, 2012 to November 30, 2015.

Theatrical Workers: In April 2004, the Board of Supervisors amended the Administrative Code to require that workers engaged in rigging, sound, projection, theatrical lighting, videos, computers, draping, carpentry, special effects, and motion picture services for shows on property owned by the City and County of San Francisco be paid the prevailing wage rate. **Attachment 8** is the Project Collective Bargaining Agreement between the City and County of San Francisco and the International Alliance of Theatrical Stage Employees Bargaining Agreement, Local 16 for January 1, 2016 through June 30, 2016.

Moving Services: In July 2004, the Board of Supervisors amended the Administrative Code to require that “any individual performing moving services...be paid not less than the Prevailing Rate of Wages.” **Attachment 9** is the Agreement between the Service West and the Northern California Regional Council of Carpenters and the Carpenters 46 Northern California Counties Conference Board regarding furniture movers and related classifications, in effect from September 1, 2015 to August 31, 2017.

Hauling of Solid Waste: In December 2006, the Board of Supervisors amended the Administrative Code to require that for every contract awarded by the City for the hauling of solid waste generated in the course of City operations, “any Individual engaged in the hauling of solid waste be paid not less than the Prevailing Rate of Wages.” **Attachment 10** is the Collective Bargaining Agreement between Recology Sunset & Recology Golden Gate and Sanitary Truck Drivers and Helpers Union Local 350, IBT, in effect from January 1, 2012 until December 31, 2016.

Trade Show and Special Event Work: In June, 2014, the Board of Supervisors amended the Administrative Code to require that prevailing wages be paid for work involving the on-site installation, set-up, assembly, and dismantling of temporary exhibits, displays, booths, modular systems, signage, drapery, specialty furniture, floor coverings, and decorative materials in connection with trade shows, conventions, expositions, and other special events on City property. **Attachment 11** is the current Collective Bargaining Agreement between Convention Services Employer and Allied Trades District Council 36, on behalf of Sign Display and Allied Crafts Local Union 510, in effect from April 1st 2015 to March 31, 2018.

Broadcast Services: In February, 2016 the Board of Supervisors amended the Administrative Code to require that prevailing wages be paid for work involving electronic capture and/or live transmission on-site of video, digital, and/or audio content for commercial purposes through the use of a remote production or satellite truck on-site. **Attachment 12** is the current Collective Bargaining Agreement between Mira Mobile Television, Inc. and KELLEYCORE, and the International Alliance of Theatrical Stage Employees, Moving Picture Technicians, Artists, and Allied Crafts of the United States, Its Territories and Canada, AFL-CIO, CLC and its Local 119 / Bay Area Freelance Association in effect from April 1st 2014 to March 31, 2017.

The Board of Supervisors amended Administrative Code Section 21C.7 in 2012 to require that the Civil Service Commission provide data on two components for each craft, classification, and type of work: (1) the basic hourly wage rate and (2) the hourly rate of each fringe benefit, which together equal the hourly prevailing rate of wages. The Office of Labor Standards Enforcement (OLSE) has summarized these rates based on the Collective Bargaining Agreements included in

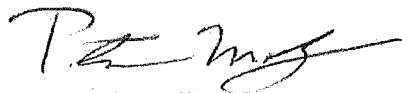
Attachments 5-10 for the crafts and classifications added at the request of the Board of Supervisors discussed above (please see **Attachment 13**). These tables are for reference only and may not include all of the information on prevailing basic hourly wages and fringe benefits required by the Collective Bargaining Agreements.

For ease of reference, also included is an alphabetical list of the occupations covered in these various Wage Determinations (please see **Attachment 14**).

It is recommended that the Civil Service Commission certify the State Department of Industrial Relations Director's General Prevailing Wage Determination Report and Collective Bargaining Agreements, which reflect the highest prevailing rate of wages paid various crafts and kinds of labor paid in private employment in the City and County of San Francisco.

If the Civil Service Commission certifies these rates, companion legislation effectuating such proposed changes should be drafted by the City Attorney and transmitted to the Board of Supervisors concurrently with the certification.

Respectfully Submitted,

A handwritten signature in dark ink, appearing to read "Patrick Mulligan", with a stylized flourish at the end.

Patrick Mulligan
Director
Office of Labor Standards Enforcement

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Attachment 1

Prevailing Wage Determination

California – Statewide Rates

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: # BOILERMAKER-BLACKSMITH

DETERMINATION: C-14-X-2-2016-1

ISSUE DATE: February 22, 2016

EXPIRATION DATE OF DETERMINATION: September 30, 2016** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within the State of California

CLASSIFICATION (Journeyperson)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension ^d	Vacation/ Holiday	Training	Other Payments	Hours	Total Hourly Rate	Daily 1 1/2X	Saturday 1 1/2X	Sunday/ Holiday/ 2X
^a AREA 1 Boilermaker-Blacksmith	\$41.91	\$8.57	^b \$17.26	^b \$3.50	\$2.90	\$0.44	8	\$74.58	^c \$105.915	^c \$105.915	\$137.25
^a AREA 2 Boilermaker-Blacksmith	\$43.03	\$8.57	^b \$20.94	^b \$4.00	\$3.40	\$0.44	8	\$80.38	^c \$114.365	^c \$114.365	\$148.35
^a AREA 3 Boilermaker-Blacksmith	\$39.43	\$8.57	^b \$19.24	^b \$3.50	\$3.40	\$0.44	8	\$74.58	^c \$105.665	^c \$105.665	\$136.75

DETERMINATION: C-14-X-2-2016-1

ISSUE DATE: February 22, 2016

EXPIRATION DATE OF DETERMINATION: September 30, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY: All localities within the State of California

^a AREA 1 Boilermaker-Blacksmith Helper ^f	\$23.05	c	^b \$0.69	-	\$2.90	\$0.44	8	\$27.08	^c \$38.95	^c \$38.95	\$50.82
^a AREA 2 Boilermaker-Blacksmith Helper ^f	\$23.67	c	^b \$0.69	-	\$3.40	\$0.44	8	\$28.20	^c \$40.38	^c \$40.38	\$52.56
^a AREA 3 Boilermaker-Blacksmith Helper ^f	\$21.69	c	^b \$0.69	-	\$3.40	\$0.44	8	\$26.22	^c \$37.41	^c \$37.41	\$48.60

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @ <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Area 1 - Imperial, Inyo, Kern, Los Angeles, Orange, Riverside, San Bernardino, San Diego, Santa Barbara, San Luis Obispo (only that portion that is within a 25-mile radius of the city of Santa Maria), and Ventura Counties.

Area 2 - Alameda, Contra Costa, Marin, San Francisco, San Mateo, Santa Clara, and Solano Counties.

Area 3 - All other remaining counties.

^b Contribution is factored at the applicable overtime multiplier for each overtime hour worked.

^c Rate applies to the first 2 daily overtime hours and the first 10 hours worked on Saturday. All other overtime is paid at the Sunday/Holiday rate.

^d Includes amount for Annuity Trust Fund.

^e Helpers will be eligible for Health & Welfare benefits after completing 2000 hours.

^f One Helper shall be employed on each job of 5 to 10 employees.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: # IRON WORKER

DETERMINATION: C-20-X-1-2016-2

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: December 31, 2016** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within the State of California

CLASSIFICATION (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation/ Holiday	Training	Other Payments	Hours	Total Hourly Rate	^b Daily 1 1/2X	^b Saturday 1 1/2X	Sunday/ Holiday
Iron Worker (Ornamental, Reinforcing, Structural)	\$34.75	9.42	13.32	^a 3.92	0.72	2.425	8	64.555	81.930	81.930	99.305
Fence Erector	\$28.33	7.25	8.99	^a 2.62	0.51	1.515	8	49.215	63.380	63.380	77.545

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes supplemental dues.

^b Rate applies to the first 2 daily overtime hours and the first 8 hours on Saturday. All other overtime is at the Sunday/Holiday rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

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GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: ELECTRICAL UTILITY LINEMAN

DETERMINATION: C-61-X-3-2016-2

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: December 31, 2016** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within the State of California, except Del Norte, Modoc, and Siskiyou Counties. (For Del Norte, Modoc and Siskiyou - see page 2I)

CLASSIFICATION (Journeyman)	Basic Hourly Rate	Health and Welfare	Employer Payments			Straight-Time		Overtime Hourly Rate		
			Pension	Training	Other Payments	Hours	Total Hourly Rate	Daily 2X	Saturday 2X	Sunday and Holiday
# Lineman, Cable Splicer	\$54.44	5.75	^a 8.18	^b 0.27	^c 0.60	8	70.87	127.83	127.83	127.83
## Powderman	48.61	5.75	^a 7.44	^b 0.24	^c 0.54	8	64.04	114.89	114.89	114.89
## Groundman	33.25	5.75	^a 7.40	^b 0.17	^c 0.38	8	47.95	82.72	82.72	82.72

DETERMINATION: C-61-X-4-2016-1

ISSUE DATE: February 22, 2016

EXPIRATION DATE OF DETERMINATION: December 31, 2016** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within the State of California, except Del Norte, Imperial, Inyo, Kern, Kings, Los Angeles, Modoc, Mono, Orange, Riverside, San Bernardino, San Diego, San Luis Obispo, Santa Barbara, Siskiyou, Tulare, and Ventura Counties. (For Del Norte, Modoc, and Siskiyou - see page 2I. For Imperial, Inyo, Kern, Kings, Los Angeles, Mono, Orange, Riverside, San Bernardino, San Diego, San Luis Obispo, Santa Barbara, Tulare, and Ventura Counties - see page 2A-1.

CLASSIFICATION (Journeyman)	Basic Hourly Rate	Health and Welfare	Employer Payments			Straight-Time		Overtime Hourly Rate		
			Pension	Vacation/ Holiday		Hours	Total Hourly Rate	Daily 1½X	Saturday 1½X	Sunday/ Holiday 1½X
## Pole Restoration Journeyman	\$28.61	5.25	^a 0.60	0.77		8	36.09	50.82	^c 50.82	50.82
After 1 year	28.61	5.25	^a 0.60	1.32		8	36.64	51.37	^c 51.37	51.37
After 3 years	28.61	5.25	^a 0.60	1.87		8	37.19	51.92	^c 51.92	51.92
After 6 years	28.61	5.25	^a 0.60	2.42		8	37.74	52.47	^c 52.47	52.47
## Senior Technician ^d	18.51	5.25	^a 0.60	0.50		8	25.42	34.95	^c 34.95	34.95
After 1 year	18.51	5.25	^a 0.60	0.86		8	25.78	35.31	^c 35.31	35.31
After 3 years	18.51	5.25	^a 0.60	1.21		8	26.13	35.66	^c 35.66	35.66
After 6 years	18.51	5.25	^a 0.60	1.57		8	26.49	36.02	^c 36.02	36.02
## Pole Treatment Journeyman	25.56	5.25	^a 0.60	0.69		8	32.87	46.03	^c 46.03	46.03
After 1 year	25.56	5.25	^a 0.60	1.18		8	33.36	46.52	^c 46.52	46.52
After 3 years	25.56	5.25	^a 0.60	1.67		8	33.85	47.01	^c 47.01	47.01
After 6 years	25.56	5.25	^a 0.60	2.17		8	34.35	47.51	^c 47.51	47.51
## Pole Restoration and Treatment ^d										
Technician (First 6 months)	14.32	5.25	^a 0.60	0.39		8	20.99	28.36	^c 28.36	28.36
Technician (After 6 months)	14.66	5.25	^a 0.60	0.40		8	21.35	28.90	^c 28.90	28.90

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at

<http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

Indicates a non-apprenticeable craft.

^a In addition, an amount equal to 3% of the Basic Hourly Rate is added to the Total Hourly Rate and overtime hourly rates for the National Employees Benefit Board.

^b This amount is factored at the applicable overtime rate.

^c Saturdays may be scheduled as a make-up day at the regular straight time rate.

^d The Ratio of Technicians to Journeymen may not exceed 4 to 1. However, if the Journeyman is assisted by a maximum of two Senior Technicians, three additional Technicians may be added per Senior Technician.

^e Includes \$0.01 to LMCC; the remaining amount is factored at the applicable overtime rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: ELECTRICAL UTILITY LINEMAN

DETERMINATION: C-61-X-5-2013-1

ISSUE DATE: February 22, 2013

EXPIRATION DATE OF DETERMINATION: December 31, 2013* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY: All localities within Imperial, Inyo, Kern, Kings, Los Angeles, Mono, Orange, Riverside, San Bernardino, San Diego, San Luis Obispo, Santa Barbara, Tulare, and Ventura Counties.

CLASSIFICATION (Journeyman)	Basic Hourly Rate	Employer Payments			Straight-Time		Overtime Hourly Rate		
		Health and Welfare	Pension	Vacation/ Holiday	Hours	Total Hourly Rate	Daily 1½X	Saturday 1½X	Sunday/ Holiday 1½X
## Pole Restoration Journeyman	\$26.11	5.00	^a 0.60	-	8	32.49	45.94	^c 45.94	45.94
After 6 Months	\$26.11	5.00	^a 0.60	1.21	8	33.70	47.145	^c 47.145	47.145
After 3 years	\$26.11	5.00	^a 0.60	1.86	8	34.35	47.795	^c 47.795	47.795
After 6 years	\$26.11	5.00	^a 0.60	2.21	8	34.70	48.145	^c 48.145	48.145
## Senior Technician ^d	16.89	5.00	^a 0.60	-	8	23.00	31.70	^c 31.70	31.70
After 6 Months	16.89	5.00	^a 0.60	0.78	8	23.78	32.48	^c 32.48	32.48
After 3 years	16.89	5.00	^a 0.60	1.20	8	24.20	32.90	^c 32.90	32.90
After 6 years	16.89	5.00	^a 0.60	1.43	8	24.43	33.13	^c 33.13	33.13
## Pole Treatment Journeyman	23.33	5.00	^a 0.60	-	8	29.63	41.645	^c 41.645	41.645
After 6 Months	23.33	5.00	^a 0.60	1.08	8	30.71	42.725	^c 42.725	42.725
After 3 years	23.33	5.00	^a 0.60	1.66	8	31.29	43.305	^c 43.305	43.305
After 6 years	23.33	5.00	^a 0.60	1.97	8	31.60	43.615	^c 43.615	43.615
## Pole Restoration and Treatment ^d									
Technician (First 6 months)	13.07	5.00	^a 0.60	0.60	8	19.66	26.39	^c 26.39	26.39
Technician (After 6 months)	13.38	5.00	^a 0.60	0.62	8	20.00	26.89	^c 26.89	26.89
Technician (After 3 Years)	13.38	5.00	^a 0.60	0.95	8	20.33	27.22	^c 27.22	27.22
Technician (After 6 Years)	13.38	5.00	^a 0.60	1.13	8	20.51	27.40	^c 27.40	27.40

Indicates a non-apprenticeable craft.

^a In addition, an amount equal to 3% of the Basic Hourly Rate is added to the Total Hourly Rate and overtime hourly rates for the National Employees Benefit Board.

^b This amount is factored at the applicable overtime rate.

^c Saturdays may be scheduled as a make-up day at the regular straight time rate.

^d The Ratio of Technicians to Journeymen may not exceed 4 to 1. However, if the Journeyman is assisted by a maximum of two Senior Technicians, three additional Technicians may be added per Senior Technician.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773, AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: TELECOMMUNICATIONS TECHNICIAN

DETERMINATION: C-422-X-1-2003-2

ISSUE DATE: August 22, 2003

EXPIRATION DATE OF DETERMINATION: June 1, 2004* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics & Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY: All localities within Alameda, Los Angeles, San Francisco, San Mateo, and Santa Clara Counties.

Classification (Journey person)	Basic Hourly Rate	Employer Payments				Straight-Time		Overtime Hourly Rate	
		Health and Welfare	Pension	Vacation and Holidays	Training	Hours	Total Hourly Rate	Holiday	
								1 1/2X ^a	2 1/2X
Telecommunications Technician	28.50	2.79	0.93	3.28	-	8	35.50	49.75	78.25

Rate applies to work in excess of eight hours daily and for all hours over 40. Rate applies to all hours worked on Sunday.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773, AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: TELECOMMUNICATIONS TECHNICIAN

DETERMINATION: C-422-X-1-2003-2A

ISSUE DATE: August 22, 2003

EXPIRATION DATE OF DETERMINATION: June 1, 2004* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics & Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY: All localities within Contra Costa, Marin, Orange, and San Diego counties.

Classification (Journey person)	Basic Hourly Rate	Employer Payments				Straight-Time		Overtime Hourly Rate	
		Health and Welfare	Pension	Vacation and Holidays	Training	Hours	Total Hourly Rate	Holiday	
Telecommunications Technician	27.93	2.79	0.93	3.21	-	8	34.86	1 1/2X ^a	2 1/2X

^a Rate applies to work in excess of eight hours daily and for all hours over 40. Rate applies to all hours worked on Sunday.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773, AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: TELECOMMUNICATIONS TECHNICIAN

DETERMINATION: C-422-X-1-2003-2B

ISSUE DATE: August 22, 2003

EXPIRATION DATE OF DETERMINATION: June 1, 2004* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics & Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY: All localities within the Alpine, Amador, Butte, Calaveras, Colusa, El Dorado, Fresno, Glenn, Humboldt, Imperial, Kern, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Riverside, Sacramento, San Benito, San Joaquin, San Luis Obispo, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Ventura, Yolo and Yuba counties.

Classification (Journey person)	Basic Hourly Rate	<u>Employer Payments</u>				<u>Straight-Time</u>		<u>Overtime Hourly Rate</u>	
		Health and Welfare	Pension	Vacation and Holidays	Training	Hours	Total Hourly Rate	Holiday	
								1 1/2X ^a	2 1/2X
Telecommunications Technician	27.18	2.79	0.93	3.13	-	8	34.03	47.62	74.80

^a Rate applies to work in excess of eight hours daily and for all hours over 40. Rate applies to all hours worked on Sunday.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773, AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: TELEPHONE INSTALLATION WORKER AND RELATED CLASSIFICATIONS

DETERMINATION: C-422-X-10-2016-1

ISSUE DATE: February 22, 2016

EXPIRATION DATE OF DETERMINATION: March 31, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY: All localities within Del Norte, Inyo, Mono and San Bernardino, and Santa Barbara Counties.

Classification (Journey person)	Step ^a	Basic Hourly Rate	Employer Payments				Straight-Time		Overtime Hourly Rate	
			Health and Welfare ^c	Pension	Vacation ^b and Holiday	Training	Hours	Total Hourly Rate	1 1/2X ^c	2X ^d
Telephone Installation Worker	1	\$10.00	\$0.06	-	\$0.84	-	8	\$10.90	\$15.90	\$20.90
	2	10.79	0.06	-	0.91	-	8	11.76	17.155	22.55
	3	11.73	0.07	-	0.99	-	8	12.79	18.655	24.52
	4	12.78	0.07	-	1.08	-	8	13.93	20.32	26.71
	5	14.05	0.08	-	1.19	-	8	15.32	22.345	29.37
	6	15.50	0.09	-	1.31	-	8	16.90	24.65	32.40
	7	17.20	0.10	-	1.46	-	8	18.76	27.36	35.96
	8	19.36	0.11	-	1.64	-	8	21.11	30.79	40.47
	9	22.13	0.13	-	1.87	-	8	24.13	35.195	46.26

^aThe time interval between steps is six months.

^bRates apply to the first eight years of employment only: for employment over eight years, \$2.30 per hour worked; for employment over fifteen years, \$ 2.72 per hour worked; for employment over twenty-five years, \$3.15 per hour worked.

^cRate applies to work in excess of a regular shift. Rate applies to all hours worked on Sunday, except those hours which exceed 55 hours weekly.

^dRate applies to all hours which exceed 55 hours weekly.

^eIncludes an amount for sick leave. Benefit is paid until 270 sick leave workdays are accumulated.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: ##TREE TRIMMER (HIGH VOLTAGE LINE CLEARANCE)

DETERMINATION: C-TT-2016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: July 29, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: Alpine, Amador, Calaveras, Del Norte, Fresno, Humboldt, Kern, Kings, Lake, Madera, Mariposa, Mendocino, Merced, Sacramento, San Joaquin, Sonoma, Stanislaus, Tulare, and Tuolumne Counties (REF: 61-1245-12)

CRAFT/CLASSIFICATION	Basic Hourly Rate	Employer Payments				Straight-Time		Overtime	
		Health and Welfare	Pension	Vacation	Holiday	Hours	Total Hourly Rate	Daily ^a 1 1/2X	Daily 2X
Climber	23.46	5.00	0.74 ^b	0.45 ^c	0.63	8	30.28	36.25 ^z	48.33
Groundperson First 6 months	15.00	5.00	0.47	0.29	0.41	8	21.17	23.175 ^z	30.90
Groundperson After 6 months	16.08	5.00	0.50 ^d	0.31 ^e	0.43	8	22.32	24.84 ^z	33.12

DETERMINATION: C-TT-2016-1A

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: July 29, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: Butte, Colusa, Glenn, Lassen, Modoc, Shasta, Siskiyou, Sutter, Tehama, Trinity, and Yuba Counties (REF: 61-1245-12)

Climber	23.78	5.00	0.75 ^f	0.46 ^g	0.64	8	30.63	36.74 ^z	48.99
Groundperson First 6 months	13.47	5.00	0.42	0.26	0.36	8	19.51	20.81 ^z	27.75
Groundperson After 6 months	16.19	5.00	0.51 ^h	0.31 ⁱ	0.44	8	22.45	25.01 ^z	33.35

DETERMINATION: C-TT-2016-1B

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: July 29, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: Alameda, Contra Costa, El Dorado, Nevada, Placer, Plumas, Sierra, Solano, and Yolo Counties (REF: 61-1245-12)

Climber	23.88	5.00	0.75 ^j	0.46 ^k	0.64	8	30.73	36.89 ^z	49.19
Groundperson First 6 months	15.28	5.00	0.48	0.29	0.41	8	21.46	23.61 ^z	31.48
Groundperson After 6 months	16.40	5.00	0.51 ^l	0.32 ^m	0.44	8	22.67	25.34 ^z	33.78

DETERMINATION: C-TT-2016-1C

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: July 29, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: Marin and Napa Counties (REF: 61-1245-12)

Climber	23.12	5.00	0.73 ⁿ	0.45 ^o	0.62	8	29.92	35.72 ^z	47.63
Groundperson First 6 months	14.79	5.00	0.46	0.28	0.40	8	20.93	22.85 ^z	30.47
Groundperson After 6 months	15.87	5.00	0.50 ^p	0.31 ^q	0.43	8	22.11	24.52 ^z	32.69

Footnotes listed on page 2E

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: ##TREE TRIMMER (HIGH VOLTAGE LINE CLEARANCE)

DETERMINATION: C-TT-2016-1D

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: July 29, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: San Francisco, San Mateo, and Santa Clara Counties (REF: 61-1245-12)

CRAFT/CLASSIFICATION		Basic Hourly Rate	Employer Payments				Straight-Time		Overtime	
			Health and Welfare	Pension	Vacation	Holiday	Hours	Total Hourly Rate	Daily ^a 1 1/2X	Daily 2X
Climber		24.45	5.00	0.77 ^f	0.47 ^s	0.66	8	31.35	37.78 ^z	50.37
Groundperson	First 6 months	15.68	5.00	0.49	0.30	0.42	8	21.89	24.23 ^z	32.30
Groundperson	After 6 months	16.77	5.00	0.53 ^t	0.32 ^u	0.45	8	23.07	25.91 ^z	34.55

DETERMINATION: C-TT-2016-1E

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: July 29, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: Monterey, San Benito, San Luis Obispo, and Santa Cruz Counties (REF: 61-1245-12)

Climber		24.84	5.00	0.78 ^v	0.48 ^w	0.67	8	31.77	38.38 ^z	51.17
Groundperson	First 6 months	15.95	5.00	0.50	0.31	0.43	8	22.19	24.64 ^z	32.86
Groundperson	After 6 months	17.08	5.00	0.54 ^x	0.33 ^y	0.46	8	23.41	26.39 ^z	35.18

Not an apprenticeable craft.

^a Rate applies to the first 4 daily overtime hours. All other overtime is at the double time rate. A normal non-work day in the same workweek may be worked at straight time if job is shut down during the normal workweek due to inclement weather.

^b \$0.75 after 3 years of service; \$0.76 after 10 years.

^c \$0.90 after 3 years of service; \$1.36 after 10 years.

^d \$0.51 after 3 years of service; \$0.52 after 10 years.

^e \$0.62 after 3 years of service; \$0.93 after 10 years.

^f \$0.76 after 3 years of service; \$0.77 after 10 years.

^g \$0.92 after 3 years of service; \$1.37 after 10 years.

^h \$0.52 after 3 years of service; \$0.53 after 10 years.

ⁱ \$0.62 after 3 years of service; \$0.94 after 10 years.

^j \$0.76 after 3 years of service; \$0.78 after 10 years.

^k \$0.92 after 3 years of service; \$1.38 after 10 years.

^l \$0.52 after 3 years of service; \$0.53 after 10 years.

^m \$0.63 after 3 years of service; \$0.95 after 10 years.

ⁿ \$0.74 after 3 years of service; \$0.75 after 10 years.

^o \$0.89 after 3 years of service; \$1.34 after 10 years.

^p \$0.51 after 3 years of service; \$0.52 after 10 years.

^q \$0.61 after 3 years of service; \$0.92 after 10 years.

^r \$0.78 after 3 years of service; \$0.80 after 10 years.

^s \$0.94 after 3 years of service; \$1.41 after 10 years.

^t \$0.54 after 3 years of service; \$0.55 after 10 years.

^u \$0.65 after 3 years of service; \$0.97 after 10 years.

^v \$0.79 after 3 years of service; \$0.81 after 10 years.

^w \$0.96 after 3 years of service; \$1.44 after 10 years.

^x \$0.55 after 3 years of service; \$0.56 after 10 years.

^y \$0.66 after 3 years of service; \$0.99 after 10 years.

^z Rate also applies to holidays.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: ##TREE TRIMMER (LINE CLEARANCE)

DETERMINATION: C-TT-61-465-5-2010-1

ISSUE DATE: August 22, 2010

EXPIRATION DATE OF DETERMINATION: September 3, 2011* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY: All localities within San Diego County.

CRAFT/CLASSIFICATION	Employer Payments					Straight-Time		Overtime	
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday	Training	Hours	Total Hourly Rate	Daily ^{aa} 1 1/2X	Daily ^{bb} 2X
Tree Trimmer									
Trainee (0-18 Months)	16.18	0.89	-	1.06	-	8	18.13	26.22	34.31
1st year Climber	18.26	0.89	-	1.19	-	8	20.34	29.47	38.60
2nd year Climber	20.76	0.89	-	1.76	-	8	23.41	33.79	44.17
Thereafter Climber	23.28	0.89	-	1.97 ^{cc}	-	8	26.14	37.78	49.42
Groundman									
1st year	13.18	0.89	-	0.86	-	8	14.93	21.52	28.11
Thereafter	14.23	0.89	-	1.20 ^{dd}	-	8	16.32	23.435	30.55

DETERMINATION: C-TT-61-465-5A-2016-2

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: January 2, 2017* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY: All localities within Imperial County

Tree Trimmer									
1st year Climber	15.80	1.32	-	0.97	-	8	18.09	25.99	33.89
2nd year Climber	18.80	1.32	-	1.52	-	8	21.64	31.04	40.44
3rd year Climber	20.71	1.32	-	1.67	-	8	23.70	34.055	44.41
Thereafter Climber	21.42	1.32	-	1.73 ^{ee}	-	8	24.47	35.18	45.89
Trimmer Trainee									
Step 1 (0-6 Months)	12.98	1.32	-	0.50	-	8	14.80	21.29	27.78
Step 2 (7-18 Months)	13.57	1.32	-	0.52 ^{ff}	-	8	15.41	22.195	28.98
Groundman	12.14	1.32	-	0.47 ^{ff}	-	8	13.93	20.00	26.07

DETERMINATION: C-TT-61-47-3-2016-2

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: December 31, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY: All localities within Inyo, Los Angeles, Mono, Orange, Riverside, San Bernardino, Santa Barbara, and Ventura Counties.

Tree Trimmer	Step 1 ^{hh}	14.24	3.51	0.42	0.66	-	8	18.83	25.95 ⁱⁱ	33.07
	Step 2	15.15	3.51	0.45	0.70	-	8	19.81	27.385 ⁱⁱ	34.96
	Step 3	15.76	3.51	0.47	0.73 ^{jj}	-	8	20.47	28.35 ⁱⁱ	36.23
	Step 4	16.47	3.51	0.49	0.76 ^{kk}	-	8	21.23	29.465 ⁱⁱ	37.70
Tree Trimmer Trainee										
	Step 1 (0-6 Months)	12.65	3.51	0.37	0.58	-	8	17.11	23.435 ⁱⁱ	29.76
	Step 2 (7-18 Months)	13.53	3.51	0.40	0.62	-	8	18.06	24.825 ⁱⁱ	31.59
Groundman		12.18	3.51	0.36	0.56 ^{ll}	-	8	16.61	22.70 ⁱⁱ	28.79

Footnotes listed on page 2G

(Recognized Holidays and Travel and Subsistence Payment footnotes listed on page 2G)

Not an apprenticeable craft.

^{mm} Rates apply to work in excess of 40 hours in a week, 8 hours in a day, and any time on a non-work day or holiday. A normal non-work day in the same workweek may be worked at the straight time if job was shut down during the normal workweek due to inclement weather.

^{hh} Rates apply to work in excess of 12 hours in a day.

^{cc} \$2.42 after 7 years of service at this level.

^{dd} \$1.48 after 8 years at this level.

^{ee} \$2.14 after 10 years of service at this level.

^{ff} \$0.75 after 1 year; \$0.98 after 2 years; \$1.21 after 10 years at this level.

ⁿⁿ \$0.84 after 1 year at this level.

^{hh} Procession from one step to another will begin upon completion of a minimum of 12 months of service.

ⁱⁱ Rates apply to the first 4 daily overtime hours in the regular workweek and the first 12 hours on any non-work day. All other overtime is at the double time rate. A normal non-work day in the same workweek may be worked at the straight-time if job was shut down during the normal workweek due to inclement weather.

^{jj} \$1.03 after 2 years of service with the company; \$1.33 after 10 years of service with the company

^{kk} \$1.08 after 2 years of service with the company; \$1.39 after 10 years of service.

^{ll} \$0.80 after 2 year of service with the company; \$1.03 after 10 years of service with the company.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773, AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: # STATOR REWINDER

DETERMINATION: C-738-1412-7-2008-1

ISSUE DATE: August 22, 2008

EXPIRATION DATE OF DETERMINATION: September 30, 2008* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY: All localities within the State of California.

CLASSIFICATION (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate			
	Basic Hourly Rate	Health and Welfare	Pension	Vacation	Holiday	Training	Hours	Total ^e Hourly Rate	Daily ^{be} 1 1/2X	Saturday ^e 1 1/2X	Sunday ^e 2X	Holiday ^e 2 1/2X
Stator Rewinder	\$15.20	^a 1.36	^a 2.18	^{ac} .29	.58	^a .29	8	19.90	29.56	29.56	39.22	48.88
Stator Rewinder Helper (First 6 Months)	11.74	^a 1.05	^a 1.69	^a .23	.45	^a .23	8	15.39	22.86	22.86	30.33	37.80
Stator Rewinder Helper (After 6 Months)	11.95	^a 1.07	^a 1.72	^{ad} .23	.46	^a .23	8	15.66	23.26	23.26	30.86	38.46

icates an apprenticeable craft. Effective as of July 1, 2008, the issuance and publication of the prevailing wage apprentice schedules/apprentice wage rates have been reassigned by the Department of Industrial Relations from the Division of Labor Statistics and Research to the Division of Apprenticeship Standards. To obtain any apprentice schedules/apprentice wage rates, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Contributions are factored at the appropriate overtime multiplier.

^b Rate applies to the first 4 daily overtime hours and the first 12 hours on Saturday. After 12 hours daily, the Sunday double-time rate applies.

^c Rate applies to the first two years of employment only: for employment over two years, \$.58 per hour worked; for employment over five years, \$.73 per hour worked; for employment over seven years, \$.88 per hour worked; for employment over fifteen years, \$1.17 per hour worked; for employment over twenty years, \$1.46 per hour worked; for employment over thirty years, \$1.75 per hour worked.

^d Rates apply to the first two years of employment only: for employment over two years, \$.46 per hour worked; for employment over five years, \$.57 per hour worked; for employment over seven years, \$.69 per hour worked; for employment over fifteen years, \$.92 per hour worked; for employment over twenty years, \$1.15 per hour worked; for employment over thirty years, \$1.38 per hour worked.

^e Does not include any additional amount that may be required for vacation pay.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: # ELECTRICAL UTILITY LINEMAN

DETERMINATION: C-61-X-8-2014-1

ISSUE DATE: February 22, 2014

EXPIRATION DATE OF DETERMINATION: January 31, 2015* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after ten days after the expiration date if no subsequent determination is issued.

LOCALITY: All localities within Del Norte, Modoc and Siskiyou counties.

CLASSIFICATION (Journey person)	Employer Payments					Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Training	Other Payments	Hours	Total Hourly Rate	Daily 1 1/2X	Saturday 1 1/2X	Sunday and Holiday 2X
Lineman, Heavy Line Equipment man, Certified Lineman Welder, Pole Sprayer	\$46.87	5.40	^a 9.76	^b 0.47	^c 0.13	8	62.63	^d 87.065	^e 87.065	111.50
Cable Splicer	52.49	5.40	^a 9.92	^b 0.52	^c 0.14	8	68.47	^d 95.825	^e 95.825	123.18
Line Equipment Man	40.31	5.40	^a 6.36	^b 0.40	^c 0.11	8	52.58	^d 73.59	^e 73.59	94.60
Powderman	35.15	5.30	^a 5.75	^b 0.35	^c 0.10	8	46.65	^d 64.97	^e 64.97	83.29
Groundman	31.31	5.30	^a 5.64	^b 0.31	^c 0.09	8	42.65	^d 58.97	^e 58.97	75.29
Pole Sprayer Trainee										
First six months	40.17	5.30	^a 5.91	^b 0.40	^c 0.11	8	51.89	^d 72.83	^e 72.83	93.77
Second six months	42.09	5.30	^a 5.96	^b 0.42	^c 0.12	8	53.89	^d 75.83	^e 75.83	97.77
Third six months	43.50	5.30	^a 6.01	^b 0.44	^c 0.12	8	55.37	^d 78.05	^e 78.05	100.73

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @ <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes an amount equal to 3% of the Basic Hourly Rate for the National Employees Benefit Board. This amount is factored at the applicable overtime rate. Pursuant to Labor Code Sections 1773.1 and 1773.8, the amount paid for this employer payment may vary resulting in a lower taxable basic hourly wage rate, but the total hourly rates for straight time and overtime may not be less than the general prevailing rate of per diem wages.

^b This amount is factored at the applicable overtime rate.

^c This amount includes \$0.01 for the National Labor-Management Cooperation Committee, and the remainder of the amount is for the Administrative Maintenance Fund. This amount (AMF) is factored at the applicable overtime rate.

^d Applies to the first 2 hours of overtime on a regular workday. All hours in excess of 10 hours will be paid at the double time rate.

^e Applies to the first 8 hours on Saturday. All hours in excess of 8 hours on Saturday will be paid the Sunday and Holiday double time rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

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GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

Determination: C-MR-2016-1

Issue Date: August 22, 2016

Expiration date of determination: July 31, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

Localities: All localities within Alameda, Contra Costa, Mendocino, and Solano Counties. (REF: 232-81-1)

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
		Health and Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
# Metal Roofing Systems Installer	\$33.26	\$9.33	\$5.93	\$3.61	\$0.85	\$0.64	8.0 ^a	\$53.62	\$70.25 ^(b)	\$70.25 ^(b)	\$86.88

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at

<http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a In the event that conditions over which the roofing contractor has no control (i.e. adverse weather, project delays, logistical problems, general contractor or building owner requirements, etc.) prevent employees from working on one or more days during the regular work week, work performed on Saturday may be paid at the straight time rates.

^b Rate applies to the first 4 daily overtime hours and first 12 hours worked on Saturday; all other time is paid at the Sunday/Holiday overtime hourly rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

Determination: C-MR-2012-1A

Issue Date: August 22, 2012

Expiration date of determination: September 30, 2012* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Amador and El Dorado Counties. (REF: 830-232-15)

Classification	Basic Hourly Rate	Employer Payments ²					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
		Health And Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
Amador County:											
# Metal Roofing Systems Installer	\$20.41	\$5.79	\$2.80	\$3.74	\$0.20	\$0.05	8.0	\$32.99	\$43.19	\$43.19 ^b	\$53.40
El Dorado County:											
# Metal Roofing Systems Installer	\$18.81	\$5.35	\$2.80	\$3.48	\$0.20	-	8.0	\$30.64	\$40.045	\$40.045 ^b	\$49.45

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 1, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a The credit for employer payments do not have to be computed on an annualized basis where the employer seeks credit for employer payments that are higher for public works projects than private construction performed by the same employer. The director determined that annualization would not serve the purpose of this chapter pursuant to California Labor Code Section 1773.1(d)(4).

^b Saturdays in the same workweek may be worked at straight-time if job is shut down for 2 or more days during the normal workweek due to wind, rain, snow or ice, fog, frost, dew or extreme heat.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

Determination: C-MR-2014-1B

Issue Date: August 22, 2014

Expiration date of determination: September 30, 2014* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Butte, Lassen, Marin, Placer, Sacramento, San Joaquin, Sonoma, Yolo and Yuba Counties. (REF: 830-232-16)

Classification	Basic Hourly Rate ^a	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate			
		Health And Welfare ^a	Pension ^a	Vacation And Holiday ^a	Training ^a	Other ^a			Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (1½ X)	
Butte, Lassen, Placer, Sacramento, Yolo and Yuba Counties:												
# Metal Roofing Systems Installer	\$32.33	\$7.25	\$4.40	^b	\$0.32	-	8.0	\$44.30	\$60.465 ^c	\$60.465 ^c	\$60.465 ^c	
San Joaquin County:												
# Metal Roofing Systems Installer	\$29.99	\$7.25	\$4.25	^b	\$0.32	-	8.0	\$41.81	\$56.805 ^c	\$56.805 ^c	\$56.805 ^c	
Marin and Sonoma Counties:												
# Metal Roofing Systems Installer	\$33.16	-	-	^b	-	\$10.90	8.0	\$44.06	\$60.64 ^c	\$60.64 ^c	\$60.64 ^c	

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Basic Hourly Rate and Employer Payments are based on the Davis-Bacon Wage Determination.

^b Included in straight-time hourly rate.

^c Rate applies to all hours work in excess of 8 hours per day and 40 hours during any one week.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

Determination: C-MR-2008-1C

Issue Date: August 22, 2008

Expiration date of determination: September 30, 2008* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Calaveras County. (REF: 830-166-4)

Classification	Basic Hourly Rate	Employer Payments ^a					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
		Health And Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
# Metal Roofing Systems Installer	\$47.59 ^b	-	-	-	\$0.45	-	8.0	\$48.04	\$71.835 ^c	\$71.835 ^c	\$71.835 ^c

Indicates an apprenticeable craft. Effective as of July 1, 2008, the issuance and publication of the prevailing wage apprentice schedules/apprentice wage rates have been reassigned by the Department of Industrial Relations from the Division of Labor Statistics and Research to the Division of Apprenticeship Standards. To obtain any apprentice schedules/apprentice wage rates, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a The credit for employer payments do not have to be computed on an annualized basis where the employer seeks credit for employer payments that are higher for public works projects than private construction performed by the same employer. The director determined that annualization would not serve the purpose of this chapter pursuant to California Labor Code Section 1773.1(d)(4).

^b Includes an amount for Health and Welfare, Pension, Vacation/Holiday, Dues Check Off, and Other Payments.

^c Rate applies to all hours work in excess of 8 hours per day and 40 hours during any one week.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLRS/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

Determination: C-MR-2012-1D

Issue Date: August 22, 2012

Expiration date of determination: September 30, 2012* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Fresno County. (REF: 830-232-18)

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
		Health And Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
Fresno County: # Metal Roofing Systems Installer	\$23.05	\$3.60	\$3.60	^a	\$0.10	-	8.0	\$30.35	\$41.875	\$41.875	\$53.40

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 1, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Included in straight-time hourly rate.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

Determination: C-MR-2008-1E

Issue Date: August 22, 2008

Expiration date of determination: September 30, 2008* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Humboldt, Madera, Napa, and Shasta Counties. (REF: 830-232-17)

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
		Health And Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (1½ X)
Humboldt County:											
## Metal Roofing Systems Installer	\$16.00	-	-	-	-	\$2.00	8.0	\$18.00	\$26.00 ^a	\$26.00 ^a	\$26.00 ^a
Madera County:											
# Metal Roofing Systems Installer	\$26.75	\$2.00	\$2.00	-	\$0.15	-	8.0	\$30.90	\$44.275 ^a	\$44.275 ^a	\$44.275 ^a
Napa County:											
## Metal Roofing Systems Installer	\$18.00	-	-	\$0.35	-	-	8.0	\$18.35	\$27.35 ^a	\$27.35 ^a	\$27.35 ^a
Shasta County:											
## Metal Roofing Systems Installer	\$19.83	-	-	-	\$0.20	-	8.0	\$20.03	\$29.945 ^a	\$29.945 ^a	\$29.945 ^a

Indicates an apprenticeable craft. Effective as of July 1, 2008, the issuance and publication of the prevailing wage apprentice schedules/apprentice wage rates have been reassigned by the Department of Industrial Relations from the Division of Labor Statistics and Research to the Division of Apprenticeship Standards. To obtain any apprentice schedules/apprentice wage rates, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

Rates for apprentices are not available in the General Prevailing Wage Apprentice Schedule.

^aRate applies to all hours work in excess of 8 hours per day and 40 hours during any one week.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

DETERMINATION: C-MR-2016-1F

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITIES: All localities within Los Angeles, Orange, Riverside and San Bernardino Counties. (REF: 166-102-1)

Classification	Basic Hourly Rate ^a	Employer Payments					Straight-Time		Overtime Hourly Rate		
		Health and Welfare	Pension ^c	Vacation And Holiday	Training	Other	Hours	Total Hourly Rate	Daily ^b (1½ X)	Saturday ^b (1½ X)	Sunday/ Holiday (2 X)
# Metal Roofing Systems Installer	\$41.86	\$9.87	\$16.21	-	\$0.82	\$0.65	8.0	\$69.41	\$90.34	\$90.34	\$111.27

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes amount withheld for Working Dues.

^b Rate applies for the first 4 overtime hours Monday through Friday and the first 12 hours worked on Saturday. All other time is paid at the Sunday/Holiday overtime rate. Saturdays in the same workweek may be worked at straight-time if job is shut down during the normal workweek due to inclement weather.

^c Pursuant to Labor Code Sections 1773.1 and 1773.8, the amount paid for this employer payment may vary resulting in a lower taxable basic hourly wage rate, but the total hourly rates for straight time and overtime may not be less than the general prevailing rate of per diem wages.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1.
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

DETERMINATION: C-MR-2016-2G

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: December 31, 2016**. The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITIES: All localities within Monterey County^f. (REF: 166-104-10)

Classification	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday	Training	Other	Hours	Total Hourly Rate	Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
# Metal Roofing Systems Installer	\$44.14 ^a	\$13.38 ^e	\$18.83 ^b	c	\$1.42	\$0.42	8.0	\$78.19	\$101.42 ^d	\$101.42 ^d	\$124.65

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes amount for Vacation/Holiday and Dues Check Off.

^b Includes an amount for PSP that is factored at the applicable overtime multiplier. Includes an amount equal to 3% of wages and employee benefits (excluding training and other payment) for National SASMI Fund (Wage Stabilization Plan). Pursuant to Labor Code Sections 1773.1 and 1773.8, the amount paid for this employer payment may vary resulting in a lower taxable basic hourly wage rate, but the total hourly rates for straight time and overtime may not be less than the general prevailing rate of per diem wages.

^c Included in Straight-Time hourly rate.

^d Rate applies to the first 2 daily overtime hours and the first 8 hours on Saturday only; All other time is paid at the Sunday and Holiday overtime hourly rate.

^e Pursuant to Labor Code Sections 1773.1 and 1773.8, the amount paid for this employer payment may vary resulting in a lower taxable basic hourly wage rate, but the total hourly rates for straight time and overtime may not be less than the general prevailing rate of per diem wages.

^f Rate applies to jobsites under 20 miles from Market and Main Streets in Salinas, CA. For rates outside that zone refer to the Travel and Subsistence provisions applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

Determination: C-MR-2016-II

Issue Date: August 22, 2016

Expiration date of determination: June 30, 2017**The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

Localities: All localities within San Diego County. (REF: 166-206-1)

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
		Health and Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
# Metal Roofing Systems Installer	\$36.86 ^a	\$8.22 ^b	\$15.55 ^c	-	\$0.78 ^d	\$0.54 ^e	8.0 ^f	\$61.95	\$80.38 ^g	\$80.38 ^g	\$98.81 ^g

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @ <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes amount withheld for Working Dues.

^b Includes an amount for the Sheet Metal Occupational Health Institute Trust.

^c Includes amount for 401(a) Plan. PURSUANT TO LABOR CODE SECTIONS 1773.1 AND 1773.8, THE AMOUNT PAID FOR THIS EMPLOYER PAYMENT MAY VARY RESULTING IN A LOWER TAXABLE BASIC HOURLY WAGE RATE, BUT THE TOTAL HOURLY RATES FOR STRAIGHT TIME AND OVERTIME MAY NOT BE LESS THAN THE GENERAL PREVAILING RATE OF PER DIEM WAGES

^d Includes an amount for International Training Institute.

^e Includes amounts for National Energy Management Institute (NEMI) Fund, Sheet Metal Workers' International Scholarship Fund (SMWSF) and Industry Fund.

^f Saturdays in the same work week may be worked at straight-time if job is shut down during the normal workweek due to inclement weather.

^g Rate applies to the first 2 Daily overtime hours and the first 10 hours on Saturday; All other time is paid at the Sunday and Holiday overtime rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

DETERMINATION: C-MR-2015-2J

ISSUE DATE: August 22, 2015

EXPIRATION DATE OF DETERMINATION: June 26, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITIES: All localities within San Francisco, San Mateo and Santa Clara Counties. (REF: 166-104-1)

Classification	Employer Payments						Straight-Time Total Hourly Rate	Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation And Holiday	Training	Other		Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
# Metal Roofing Systems Installer	\$52.20 ^a	\$13.46 ^a	\$26.42 ^f	^b	\$1.41	\$1.15	8.0 ^c	\$94.64	\$123.64 ^d	\$123.64 ^d \$152.64

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes amount for Vacation/Holiday and Dues Check Off.

^b Included in Straight-Time Hourly Rate.

^c For San Francisco County, the Straight-Time Hours is 7 hours.

^d For San Francisco County: Rate applies to the first 2 daily overtime hours and the first 7 hours on Saturday only. All other time is paid at the Sunday and Holiday overtime hourly rate.
For San Mateo and Santa Clara Counties: Rate applies to the first 2 daily overtime hours and the first 8 hours on Saturday only. All other time is paid at the Sunday and Holiday overtime hourly rate.

^e Includes SMOHIT and SHC. Effective 1/1/2013, pursuant to Labor Code Sections 1773.1 and 1773.8, the amount paid for this employer payment may vary resulting in a lower taxable basic hourly wage rate, but the total hourly rates for straight time and overtime may not be less than the general prevailing rate of per diem wages.

^f Includes an amount for Pension which is factored at the applicable overtime multiplier. Pursuant to Labor Code Sections 1773.1 and 1773.8, the amount paid for this employer payment may vary resulting in a lower taxable basic hourly wage rate, but the total hourly rates for straight time and overtime may not be less than the general prevailing rate of per diem wages.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

DETERMINATION: C-MR-2016-2K

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: December 31, 2016** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITIES: All localities within Santa Barbara County. (REF: 20-X-1)

Classification	Basic Hourly Rate	Health and Welfare	Employer Payments				Straight-Time Total Hourly Rate	Overtime Hourly Rate		
			Pension	Vacation And Holiday	Training	Other		Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
# Metal Roofing Systems Installer	\$34.75	\$9.42	\$13.32	\$3.92 ^a	\$0.72	\$2.425	8.0	\$64.555	\$81.930 ^b	\$81.930 ^b \$99.305

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at

<http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes supplemental dues.

^b Rate applies to the first 2 daily overtime hours and the first 8 hours on Saturday. All other time is at the Sunday/Holiday rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

Determination: C-MR-2016-1L

Issue Date: August 22, 2016

Expiration date of determination: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

Localities: All localities within Siskiyou County. (REF: 23-31-1)

Classification	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate				
		Health and Welfare	Pension	Vacation And Holiday	Training	Other	Hours	Total Hourly Rate	Daily (1½ X)	(2 X)	Saturday ^d (1½ X)	(2X)	Sunday/ Holiday (2 X)
# Metal Roofing Systems Installer	\$37.17	\$11.20 ^a	\$9.50	\$4.32 ^a	\$0.83	\$2.54 ^b	8.0	\$65.56	\$84.145 ^c	\$102.73	\$84.145 ^c	\$102.73	\$102.73 ^f

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes an amount per hour worked for Work Fees. The vacation amount is \$2.45 per hour worked.

^b Includes amounts for Annuity Trust Fund, Industry Promotion, Carpenters International Training Fund, Carpenter Employers Contract Administration, and Contract Work Preservation.

^c For building construction, rate applies to the first 4 hours daily overtime. All heavy, highway and engineering construction overtime worked, Monday through Friday, rate applies to the first 4 hours daily overtime.

^d Saturdays in the same work week may be worked at straight-time if job is shut down during the normal work week due to inclement weather or major mechanical breakdown.

^e Rate applies to the first 8 hours for building construction and for the first 10 hours worked on heavy, highway and engineering construction.

^f Time and one-half shall be paid for the first eight (8) hours worked on the four (4) days of each year selected by the Union as designated off/holidays listed in the Holiday Provision.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

Determination: C-MR-2008-1M

Issue Date: August 22, 2008

Expiration date of determination: September 30, 2008* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Stanislaus County. (REF: 830-166-5)

Classification	Basic Hourly Rate	Employer Payments ^a					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
		Health And Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
# Metal Roofing Systems Installer	\$32.84 ^b	\$7.43	\$7.22	°	\$0.45	\$0.10	8.0	\$48.04	\$64.46 ^d	\$64.46 ^d	\$80.88

Indicates an apprenticesable craft. Effective as of July 1, 2008, the issuance and publication of the prevailing wage apprentice schedules/apprentice wage rates have been reassigned by the Department of Industrial Relations from the Division of Labor Statistics and Research to the Division of Apprenticeship Standards. To obtain any apprentice schedules/apprentice wage rates, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a The credit for employer payments do not have to be computed on an annualized basis where the employer seeks credit for employer payments that are higher for public works projects than private construction performed by the same employer. The director determined that annualization would not serve the purpose of this chapter pursuant to California Labor Code Section 1773.1(d)(4).

^b Includes amount for Vacation/Holiday and Dues Check Off.

^c Included in straight-time hourly rate.

^d Rate applies to the first 2 daily overtime hours and the first 8 hours on Saturday only; All other time is paid at the Sunday and Holiday overtime hourly rate.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

Determination: C-MR-2016-1N

Issue Date: February 22, 2016

Expiration date of determination: August 31, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after ten days after the expiration date if no subsequent determination is issued.

Localities: All localities within Tulare County. (REF: 232-27-1)

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
		Health And Welfare	Pension	Vacation And Holiday	Training	Other ^c			Daily (1½ X)	Saturday ^d (1½ X)	Sunday/ Holiday (2 X)
# Metal Roofing Systems Installer	\$26.77 ^a	\$6.48	\$6.65	^b	\$0.30	\$0.02	8.0	\$40.22	\$53.60	\$53.60	\$66.99

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes amount for Vacation/Holiday and Dues Check Off.

^b Included in Basic Hourly Rate.

^c Includes an amount for the Roofers and Waterproofers Research and Education Joint Trust Fund.

^d When adverse weather or job scheduling problems exist causing an employee to work less than forty (40) hours in a week Saturday may be used as a make-up day at straight time wage rates.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

METAL ROOFING SYSTEMS INSTALLER

Determination: C-MR-2008-10

Issue Date: August 22, 2008

Expiration date of determination: September 30, 2008* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Ventura County. (REF: 830-166-6)

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
		Health And Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
# Metal Roofing Systems Installer	\$30.29 ^a	\$6.60	\$5.75 ^b	°	\$0.80	\$0.54	8.0	\$43.98	\$59.13 ^d	\$59.13 ^d	\$74.27 ^e

Indicates an apprenticeable craft. Effective as of July 1, 2008, the issuance and publication of the prevailing wage apprentice schedules/apprentice wage rates have been reassigned by the Department of Industrial Relations from the Division of Labor Statistics and Research to the Division of Apprenticeship Standards. To obtain any apprentice schedules/apprentice wage rates, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes amount withheld for Dues Check Off.

^b Includes an amount per hour for COLA Fund.

^c Included in straight-time hourly rate.

^d Rate applies to the first 4 overtime hours Monday through Friday and the first 8 hours on Saturday & Sunday. All other overtime is paid at the Double time and Holiday rate.

^e Rate applies after 4 overtime hours Monday through Friday, after 8 hours Saturday and Sunday and all hours worked on Holidays.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLRS/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-261-X-265-2016-2

Issue Date: August 22, 2016

Expiration date of determination: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

Localities: All localities within Alameda, Contra Costa, Marin, Napa, Solano and Sonoma Counties.

Classification	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation And Holiday	Training	Other	Hours	Total Hourly Rate	Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
Ready Mix Driver	\$25.90	\$13.67	\$6.20	\$2.85	-	-	8.0	\$48.62	\$61.57	\$61.57	\$74.52

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-830-261-5-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Alpine, Amador, Calaveras, San Joaquin and Tuolumne Counties

Classification	Employer Payments						Straight-Time		Overtime Hourly Rate	
	Basic Hourly Rate	Health And Welfare	Pension	Vacation And Holiday	Training	Other	Hours	Total Hourly Rate	Daily (1½ X)	Sunday/ Holiday (1½ X)
Driver: Mixer Truck	\$20.10	\$3.09 ^a	-	\$1.005 ^b	-	-	8.0	\$24.195	\$34.245 ^c	\$34.245

^aThe contribution applies to all hours until \$535.26 is paid for the month.

^b\$1.39 after 3 years of service

\$1.78 after 10 years of service

\$2.16 after 20 years of service

^cRate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLRS/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-261-150-53-2016-2

Issue Date: August 22, 2016

Expiration date of determination: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

Localities: All localities within Butte, Colusa, El Dorado, Placer, Sacramento, Sutter, Yolo and Yuba Counties.

Classification	Employer Payments						Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
	Basic Hourly Rate	Health And Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Saturday (1½ X)	Sunday/ Holiday (2 X)
Driver Mixer Truck	\$26.50	\$13.25 ^a	\$9.89	\$3.37	-	-	8.0	\$53.01	\$66.26	\$66.26	\$79.51

^a Contribution shall be paid for all hours worked up to 173 hours per month.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-261-624-17-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Del Norte, Humboldt and Mendocino Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate	
		Health And Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Sunday/ Holiday (1½ X)
Driver: Mixer Truck	\$22.50	\$4.81 ^a	\$5.60	\$2.00	-	-	8.0	\$34.91	\$46.16 ^b	\$46.16

^a The contribution applies to all hours until \$833.00 is paid for the month.

^b Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-830-261-4-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for new rates after 10 days from expiration date, if no subsequent determination is issued.

Localities: All localities within Fresno, Madera, Mariposa, Merced and Stanislaus Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate	
		Health And Welfare	Pension	Vacation And Holiday	Training	Other	Hours	Total Hourly Rate	Daily (1½ X)	Sunday/ Holiday (1½ X)
Driver: Mixer Truck	\$18.50	\$5.44 ^a	-	\$0.71 ^b	-	-	8.0	\$24.65	\$33.90 ^c	\$33.90

^a The contribution applies to all hours until \$943.38 is paid for the month.

^b \$1.42 after 1 year of service for the employer

\$1.78 after 5 years of service for the employer

\$2.13 after 15 years of service for the employer

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-830-261-2-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Glenn, Lassen, Modoc, Plumas, Shasta, Siskiyou, Tehama, and Trinity Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate	
		Health And Welfare	Pension	Vacation And Holiday	Training	Other	Hours	Total Hourly Rate	Daily (1½ X)	Sunday/ Holiday (1½ X)
Driver: Mixer Truck	\$14.80	\$3.46 ^a	-	\$0.68 ^b	-	-	8.0	\$18.94	\$26.34 ^c	\$26.34

^a The contribution applies to all hours until \$600 is paid for the month.

^b \$0.97 after 2 years of service

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLRS/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-261-36-95-2016-2

Issue Date: August 22, 2016

Expiration date of determination: September 30, 2016** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

Localities: All localities within Imperial and San Diego Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate	
		Health And Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Sunday/ Holiday (2 X)
Mixer Driver	\$27.90	\$6.87 ^a	\$4.40	\$1.61 ^b	-	-	8.0	\$40.78	\$54.73 ^c	\$68.68

^a The contribution applies to all hours until \$1,190.00 is paid for the month.

^b \$2.15 after one year of service

\$2.68 after 7 years of service.

\$3.22 after 14 years of service.

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly. All work in excess of 12 hours daily shall be paid the Sunday/Holiday (2X) rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-830-261-12-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Inyo, Mono and San Bernardino Counties.

Classification	Basic Hourly Rate	Health And Welfare	Employer Payments				Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate	
			Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Sunday/ Holiday (1½ X)
Driver: Mixer Truck	\$19.05	\$6.66 ^a	\$1.71	\$1.17 ^b	-	-	8.0	\$28.59	\$38.115 ^c	\$38.115

^a The contribution applies to all hours until \$1155.24 is paid for the month.

^b \$1.54 after 7 years of service

\$1.91 after 14 years of service

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLRS/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-261-87-119-2011-1

Issue Date: February 22, 2011

Expiration date of determination: January 15, 2012* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Kern, Kings and Tulare Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
		Health And Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Saturday/ Holiday (1½ X)	Sunday (2 X)
Driver: Mixer Truck	\$20.11	\$4.89 ^a	\$3.05	\$0.70 ^b	-	-	8.0	\$28.75	\$38.11 ^c	\$38.11 ^c	\$48.16

^a The contribution applies to all hours until \$847.50 is paid for the month.

^b Applies to workers who have been on payroll for thirty (30) days. After 1 year of employment, Vacation and Holiday increases to \$1.08. After 2 years of employment, Vacation and Holiday increases to \$1.47. After 8 years of employment, Vacation and Holiday increases to \$1.86.

^c Overtime is paid at two times (2x) the basic hourly rate for work performed in excess of twelve (12) hours in any work day.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holiday's upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLRS/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-261-624-18-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for new rates after 10 days from expiration date, if no subsequent determination is issued.

Localities: All localities within Lake County.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate	
		Health And Welfare ^a	Pension	Vacation And Holiday	Training	Other			Daily/ Holiday ^b (1½ X)	Sunday (2X)
Driver: Mixer Truck	\$20.60	\$4.81	\$6.00	\$2.00	-	-	8.0	\$33.41	\$43.71	\$54.01

^a The contribution applies to all hours until \$833.00 is paid for the month.

^b Rate applies to work in excess of eight (8) hours daily, forty (40) hours weekly and all hours worked on holidays.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLRS/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-261-X-258-2016-1

Issue Date: February 22, 2016

Expiration date of determination: June 30, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director - Research Unit at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Los Angeles, Orange and Ventura Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
		Health And Welfare	Pension	Vacation And Holiday ^d	Training	Other			Daily (1½ X)	Saturday/ Holiday (1½ X)	Sunday ^e (2 X)
Ready Mix Driver ^a	\$23.60	\$6.00 ^b	\$3.44	\$0.45 ^c	-	-	8.0	\$33.49	\$45.29	\$45.29	\$57.09

^a New hires will be subject to employment at hourly rates that are four dollars (\$4.00) less, three dollars (\$3.00) less, two dollars (\$2.00) less, and one dollar (\$1.00) less than the straight time hourly rate for time periods of twelve (12) months each until they reach the Journeyman basic hourly rate.

^b The contribution applies to all hours until \$1040.50 is paid for the month.

^c \$1.09 after 4 months of service

\$1.54 after 1 year of service

\$2.00 after 7 years of service

\$2.45 after 14 years of service

^d Includes \$0.64 for Holidays after four (4) months, which would be deducted from the Vacation/Holiday rate if you choose to adopt the paid days off enumerated in the Holiday Provisions.

^e Emergency work and breakdown on Sundays shall be paid at time and one-half (1½x) the straight time rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-830-261-3-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Monterey, San Benito, San Francisco, San Mateo, Santa Clara, and Santa Cruz Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate	
		Health And Welfare	Pension	Vacation And Holiday	Training	Other	Hours	Total Hourly Rate	Daily (1½ X)	Sunday/ Holiday (1½ X)
Driver: Mixer Truck	\$21.50	\$9.64	\$1.72 ^a	\$0.99 ^b	-	-	8.0	\$33.85	\$45.46 ^c	\$45.46

^a This amount is factored at the applicable overtime rate.

^b \$1.41 after 2 years of service
\$1.82 after 10 years of service
\$2.23 after 20 years of service

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-830-261-1-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Nevada and Sierra Counties.

Classification	Employer Payments						Straight-Time		Overtime Hourly Rate	
	Basic Hourly Rate	Health And Welfare ^a	Pension	Vacation And Holiday ^b	Training	Other	Hours	Total Hourly Rate	Daily (1½ X) ^c	Sunday/ Holiday (1½ X)
Driver: Mixer Truck	\$19.25	\$2.96	-	\$0.22	-	-	8.0	\$22.43	\$32.06	\$32.06

^a The contribution applies to all hours until \$513.04 is paid for the month.

^b \$0.59 after 2 years of service

\$0.96 after 5 years of service

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

*There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLRS/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-830-261-11-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Riverside County.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Total Hourly Rate	Overtime Hourly Rate		
		Health And Welfare	Pension	Vacation And Holiday	Training	Other		Daily (1½ X)	Sunday/ Holiday (1½ X)	
Driver: Mixer Truck	\$15.00	\$6.33 ^a	\$1.80	\$1.04 ^b	-	-	8.0	\$24.17	\$31.67 ^c	\$31.67

^a The contribution applies to all hours until \$1097.30 is paid for the month.

^b \$1.33 after 4 years of service
\$1.61 after 14 years of service
\$1.90 after 24 years of service

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLRS/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-830-261-6-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within San Luis Obispo County.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate	
		Health And Welfare	Pension	Vacation And Holiday	Training	Other	Hours	Total Hourly Rate	Daily (1½ X)	Sunday/ Holiday (1½ X)
Driver: Mixer Truck	\$19.14	\$3.04 ^a	\$3.42	\$1.03 ^b	\$0.64	-	8.0	\$27.27	\$36.84 ^c	\$36.84

^a The contribution applies to all hours until \$526.19 is paid for the month.

^b \$1.40 after 2 years of service,
\$1.70 after 10 years of service.

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLRS/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-MT-261-186-15-2010-1

Issue Date: February 22, 2010

Expiration date of determination: March 27, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Santa Barbara County.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate	
		Health And Welfare	Pension	Vacation And Holiday ^d	Training	Other	Hours	Total Hourly Rate	Daily (1½ X) ^e	Sunday/ Holiday (2 X)
Mixer Driver	\$21.15 ^a	\$4.91 ^b	\$3.44	\$0.41 ^c	-	-	8.0	\$29.91	\$40.485	\$51.06

^aIncludes an amount (\$0.03) for supplemental dues check off.

^bThe contribution applies to all hours until \$850.00 is paid for the month.

^c \$1.06 after 1 month of service

\$1.46 after 1 year of service

\$1.87 after 7 years of service

\$2.28 after 16 years of service.

^d Includes, after one month, \$0.65 for Holidays, which can be deducted from the Vacation/Holiday rate if you choose to adopt the paid days off enumerated in the Holiday Provisions.

^eRate applies to work in excess of eight (8) hours daily and forty (40) hours weekly. All work in excess of twelve (12) hours daily shall be paid the Sunday/Holiday (2X) rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-DT-830-261-7-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for new rates after 10 days from expiration date, if no subsequent determination is issued.

Localities: All localities within Alameda, Contra Costa, Del Norte, Humboldt, Lassen, Modoc, San Francisco, San Mateo, Santa Clara, Shasta, Siskiyou and Trinity Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate	
		Health And Welfare	Pension	Vacation And Holiday	Training	Other	Hours	Total Hourly Rate	Daily (1½ X)	Sunday/ Holiday (1½ X)
Driver: Dump Truck	\$22.50	^a	-	\$0.43 ^b	-	-	8.0	\$22.93	\$34.18 ^c	\$34.18

^a Health and Welfare will increase from \$0.00 to \$1.16 after 90 days of service, which will be seen as an increase to the Total Hourly Rate as well.

^b \$0.78 after 90 days of service with the employer

\$1.21 after 5 years of service with the employer

\$1.65 after 10 years of service with the employer

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-DT-830-261-5-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Alpine, Amador, Calaveras, El Dorado, Fresno, Kings, Madera, Mariposa, Merced, Nevada, Placer, Sacramento, San Joaquin, Sierra, Stanislaus, Sutter, Tulare, Tuolumne and Yuba Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate	
		Health And Welfare	Pension	Vacation And Holiday	Training	Other	Hours	Total Hourly Rate	Daily (1½ X)	Sunday/ Holiday (1½ X)
Driver: Dump Truck	\$17.00	\$3.09 ^a	-	\$0.85 ^b	-	-	8.0	\$20.94	\$29.44 ^c	\$29.44

^a The contribution applies to all hours until \$535.26 is paid for the month.

^b \$1.18 after 3 years of service
\$1.50 after 10 years of service
\$1.83 after 20 years of service

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-DT-830-261-8-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Butte, Colusa, Glenn, Lake, Mendocino, Plumas and Tehama Counties.

Classification	Employer Payments						Straight-Time	Overtime Hourly Rate	
	Basic Hourly Rate	Health And Welfare	Pension	Vacation And Holiday	Training	Other	Total Hours Rate	Daily (1½ X)	Sunday/ Holiday (1½ X)
Driver: Dump Truck	\$21.00	\$2.81 ^a	-	\$0.10 ^b	-	-	8.0 \$23.91	\$34.41 ^c	\$34.41

^aThe contribution applies to hours until \$487.07 is paid for the month.

^b\$0.20 after 1 year of service,
\$0.50 after 2 years of service,

Add \$0.10 for every additional year of service to a maximum of \$1.50 per hour for over 13 years of service.

^cRate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-DT-830-261-10-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Imperial, Inyo, Los Angeles, Mono, Orange, Riverside, San Bernardino and San Diego Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate	
		Health And Welfare ^a	Pension	Vacation And Holiday ^b	Training	Other	Hours	Total Hourly Rate	Daily (1½ X) ^c	Sunday/ Holiday (1½ X)
Driver: Dump Truck	\$17.00	\$2.05	\$0.085	\$0.33	-	-	8.0	\$19.465	\$27.965	\$27.965

^a The contribution applies to all work up to \$355.00 per month.

^b \$0.65 after 2 years of service

\$0.98 after 5 years of service

\$1.31 after 9 years of service

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

*There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLRS/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-DT-830-261-6-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within Kern, Monterey, San Luis Obispo, Santa Barbara, and Ventura Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate	
		Health And Welfare	Pension	Vacation And Holiday	Training	Other			Daily (1½ X)	Sunday/ Holiday (1½ X)
Driver: Dump Truck	\$16.76	\$3.04 ^a	\$2.75	\$0.90 ^b	\$0.64	-	8.0	\$24.09	\$32.47 ^c	\$32.47

^a The contribution applies to all hours until \$526.19 is paid for the month.

^b \$1.22 after 2 years of service,
\$1.55 after 10 years of service.

^c Rate applies to work in excess of eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)

Determination: C-DT-830-261-9-2009-1

Issue Date: February 22, 2009

Expiration date of determination: March 3, 2010* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Division of Labor Statistics and Research at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

Localities: All localities within San Benito and Santa Cruz Counties.

Classification	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate	
		Health And Welfare	Pension	Vacation And Holiday	Training	Other	Hours	Total Hourly Rate	Daily ^b (1½ X)	Sunday/ Holiday (1½ X)
Driver: Dump Truck	\$16.25	\$9.64	\$5.20	\$0.56 ^a	\$0.70	\$0.48	8.0	\$32.83	\$40.955	\$40.955

^a \$0.875 after 1 year of service

\$1.19 after 7 years of service

\$1.50 after 19 years of service

^b Overtime rate applies to all work exceeding eight (8) hours daily and forty (40) hours weekly.

* There is no predetermined increase applicable to this determination.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence requirements for the current determinations on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

Attachment 2

Prevailing Wage Determination

Northern California Rates

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: # ASBESTOS WORKER, HEAT AND FROST INSULATOR

DETERMINATION: NC-3-16-1-2016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: December 31, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after ten days after the expiration date if no subsequent determination is issued.

Classification (Journey person)	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate	
		Health and Welfare	Pension	Vacation/ Holiday	Training	Other Payments	Hours	Total Hourly Rate	1-1/2X	2X
AREA 1 Mechanic	\$62.36	\$14.50	\$7.61 ^b	c	\$0.85	^d \$0.40	8	\$85.72	\$116.90	\$148.08
AREA 2 Mechanic	\$46.96	\$14.50	\$7.61 ^b	c	\$0.85	^d \$0.40	8	\$70.32	\$93.80	\$117.28

AREA 1 – Alameda, Contra Costa, Marin, Napa, San Benito, San Francisco, San Mateo, Santa Clara, Solano, and Sonoma.

AREA 2 – Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Mono, Monterey, Nevada, Placer, Plumas, Sacramento, San Joaquin, Santa Cruz, Shasta, Sierra, Siskiyou, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba.

DETERMINATION: NC-3-16-3-2016-1

ISSUE DATE: February 22, 2016

EXPIRATION DATE OF DETERMINATION: December 31, 2016** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: Mono and all Northern California Counties

Hazardous Material Handler Mechanic	\$32.13	7.56	1.25	c	0.30	^b 0.08	8	41.32	¹ 57.385	² 73.45
Hazardous Material Handler Worker ^k	\$22.91	7.56	-	-	0.30	¹ 0.06	8	30.83	¹ 42.29	² 53.74

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes amount withheld for dues check off and for vacation.

^b Pursuant to Labor Code sections 1773.1 and 1773.8, the amount paid for this employer payment may vary resulting in a lower taxable basic hourly wage rate, but the total hourly rates for straight time and overtime may not be less than the general prevailing rate of per diem wages.

^c Included in the straight-time hourly rate.

^d \$0.02 per hour worked for vacation/holiday administration; \$0.12 per hour worked for occupational health and research; \$0.08 per hour worked for Industry Promotion; \$0.01 per hour worked for Preservation Trust.

^e 1 1/2 times the basic straight-time hourly rate for the first 2 hours of overtime, Monday through Friday and for the first 10 hours on Saturdays. All other overtime is paid at the double time rate.

^f \$210.44 (Area 1) and \$164.24 (Area 2) per hour for work on Labor Day.

^g Includes amount withheld for dues check off.

^h Includes amount for vacation/holiday administration and industry promotion.

ⁱ Rate applies to the first 4 overtime hours in any workday or 40 hours in a workweek, and for the first 8 hours worked on the 7th consecutive day of work in a workweek.

^j Rate applies to work on any recognized holiday, all hours worked in excess of 12 hours in any workday, and for all hours worked in excess of 8 hours on the 7th consecutive day of work in a workweek.

^k A maximum of fourteen (14) Hazardous Material Handler Workers is allowed for each Hazardous Material Handler Mechanic.

^l Includes amount for industry promotion.

NOTE: Asbestos Removal Workers must be trained and the work conducted according to the Code of Federal Regulations 29 CFR 1926.58, the California Labor Code 6501.5 and the California Code of Regulations, Title 8, Section 5208. Contractors must be certified by the Contractors' State License Board and registered with the Division of Occupational Safety and Health (DOSH). For further information, contact the Asbestos Contractors Abatement Registration Unit, DOSH at (510) 286-7362.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: #CARPENTER AND RELATED TRADES

DETERMINATION: NC-23-31-1-2016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

CLASSIFICATION (Journey person)	Basic Hourly Rate	Employer Payments					Straight - Time		Daily	Overtime Hourly Rate ^a				Sunday and Holiday ^j
		Health and Welfare	Pension	Vacation/ Holiday ^d	Training	Other Payments ^e	Hours	Total Hourly Rate		1 1/2X ^f	2X	1 1/2X ^f	2X	
^b Area 1														
Carpenter	\$44.40	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	8	\$72.79	\$94.99	\$117.19	\$94.99	\$117.19	\$117.19	
Hardwood Floorlayer, Power Saw Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$44.55	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	8	\$72.94	\$95.215	\$117.49	\$95.215	\$117.49	\$117.49	
^b Area 2														
Carpenter	\$38.52	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	8	\$66.91	\$86.17	\$105.43	\$86.17	\$105.43	\$105.43	
Hardwood Floorlayer, Power Saw Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$38.67	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	8	\$67.06	\$86.395	\$105.73	\$86.395	\$105.73	\$105.73	
^b Area 3^l														
Carpenter	\$38.52	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	8	\$66.91	\$86.17	\$105.43	\$86.17	\$105.43	\$105.43	
Hardwood Floorlayer, Power Saw Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$38.67	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	8	\$67.06	\$86.395	\$105.73	\$86.395	\$105.73	\$105.73	
^b Area 4^l														
Carpenter	\$37.17	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	8	\$65.56	\$84.145	\$102.73	\$84.145	\$102.73	\$102.73	
Hardwood Floorlayer, Power Saw Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$37.32	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	8	\$65.71	\$84.37	\$103.03	\$84.37	\$103.03	\$103.03	

DETERMINATION: NC-23-31-1-2016-1A

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

CLASSIFICATION (Journey person)	Basic Hourly Rate	Employer Payments					Straight - Time		Daily	Overtime Hourly Rate ^a				Sunday and Holiday ^j
		Health and Welfare ^a	Pension	Vacation/ Holiday ^d	Training	Other Payments ^e	Hours	Total Hourly Rate		1 1/2X ^f	2X	1 1/2X ^f	2X	
Bridge Builder/Highway Carpenter	\$44.40	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	8.0	\$72.79	\$94.99	\$117.19	\$94.99	\$117.19	\$117.19	
Bridge Builder/Highway Carpenter (Special Single Shift)	\$49.95	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	8.0	\$78.34	\$103.315	\$128.29	\$103.315	\$128.29	\$128.29	

Footnote and Millwright listed on page 34A

(Recognized Holidays and Subsistence Payment footnotes also listed on page 34A)

DETERMINATION: NC-23-31-1-2016-1B

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

CLASSIFICATION (Journey person)	Basic Hourly Rate	Health and Welfare ^a	Employer Payments				Straight - Time		Daily	Overtime Hourly Rate ^c				Sunday and Holiday ^d
			Pension	Vacation/ Holiday ^d	Training	Other Payments ^e	Hours	Total Hourly Rate		1 1/2X ^f	2X	1 1/2X ^g	2X	
^b Area 1 Millwright	\$44.50	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	8	\$74.49	\$96.74	\$118.99	\$96.74	\$118.99	\$118.99	
^b Area 2 Millwright	\$41.02	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	8	\$71.01	\$91.52	\$112.03	\$91.52	\$112.03	\$112.03	
^b Area 3 ^h Millwright	\$41.02	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	8	\$71.01	\$91.52	\$112.03	\$91.52	\$112.03	\$112.03	
^b Area 4 ⁱ Millwright	\$39.67	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	8	\$69.66	\$89.495	\$109.33	\$89.495	\$109.33	\$109.33	

DETERMINATION: NC-23-31-1-2016-1, NC-23-31-1-2016-1A and NC-23-31-1-2016-1B

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a In the event that work cannot be performed Monday through Friday because of inclement weather or major mechanical breakdown, employees may voluntarily make up such day on Saturday and shall be paid at the applicable straight time rates.

^b AREA 1 - Alameda, Contra Costa, Marin, Napa, San Francisco, San Mateo, Santa Clara, Solano, and Sonoma Counties.

AREA 2 - Monterey, San Benito, and Santa Cruz Counties.

AREA 3 - El Dorado, Placer, Sacramento, San Joaquin and Yolo Counties.

AREA 4 - Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, and Yuba Counties.

^c The overtime rates for shift work are based on the non-shift overtime rates.

^d Includes an amount per hour worked for Work Fees. The Vacation amount is \$2.45 per hour worked for Carpenter; \$2.35 per hour worked for Millwright.

^e Includes Annuity Trust Fund, Industry Promotion, Carpenters International Training Fund, Work Preservation, and Carpenter Employers Contract Administration.

^f For building construction, rate applies to the first 4 hours daily overtime. For all heavy, highway and engineering construction overtime worked, Monday through Friday, rate applies to the first 4 hours daily overtime.

^g Rate applies to the first 8 hours for building construction and for the first 10 hours worked on heavy, highway and engineering construction.

^h Millwright Annuity Trust Fund, Industry Promotion, Work Preservation, and Carpenters International Training Fund.

ⁱ Time and one-half shall be paid for the first eight (8) hours worked on the four (4) days of each year selected by the Union as designated off/holidays listed in the Holiday Provision.

^j Area 3 includes the portion of Placer County west of and including Highway 49 and the portion of El Dorado County west of and including Highway 49 and the territory inside the city limits of Placerville. Area 4 includes the portions of Placer and El Dorado Counties not covered in Area 3.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: CARPENTER AND RELATED TRADES (SECOND SHIFT)*

DETERMINATION: NC-23-31-1-2016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

CLASSIFICATION (Journey person)	Basic Hourly Rate	Employer Payments					Straight - Time		Daily	Overtime Hourly Rate ^e				
		Health and Welfare ^d	Pension	Vacation/ Holiday ^g	Training	Other Payments ^f	Hours ^b	Total Hourly Rate		1 1/2X ^h	2X	1 1/2X ⁱ	2X	Sunday and Holiday ^h
* Area 1														
Carpenter	\$47.36	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7.5	\$75.75	\$94.99	\$117.19	\$94.99	\$117.19	\$117.19	
Hardwood Floorlayer, Power Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$47.52	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7.5	\$75.91	\$95.22	\$117.49	\$95.22	\$117.49	\$117.49	
* Area 2														
Carpenter	\$41.09	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7.5	\$69.48	\$86.17	\$105.43	\$86.17	\$105.43	\$105.43	
Hardwood Floorlayer, Power Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$41.25	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7.5	\$69.64	\$86.40	\$105.73	\$86.40	\$105.73	\$105.73	
* Area 3 ^j														
Carpenter	\$41.09	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7.5	\$69.48	\$86.17	\$105.43	\$86.17	\$105.43	\$105.43	
Hardwood Floorlayer, Power Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$41.25	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7.5	\$69.64	\$86.40	\$105.73	\$86.40	\$105.73	\$105.73	
* Area 4 ^k														
Carpenter	\$39.65	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7.5	\$68.04	\$84.15	\$102.73	\$84.15	\$102.73	\$102.73	
Hardwood Floorlayer, Power Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$39.81	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7.5	\$68.20	\$84.37	\$103.03	\$84.37	\$103.03	\$103.03	

DETERMINATION: NC-23-31-1-2016-1A

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

CLASSIFICATION (Journey person)	Basic Hourly Rate	Employer Payments					Straight – Time		Daily	Overtime Hourly Rate ^a				
		Health and Welfare ^d	Pension	Vacation/ Holiday ^e	Training	Other Payments ^f	Hours ^g	Total Hourly Rate		1 1/2X ^h	2X	1 1/2X ⁱ	2X	Sunday and Holiday ^k
Bridge Builder/Highway Carpenter	\$47.36	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7.5	\$75.75	\$94.99	\$117.19	\$94.99	\$117.19	\$117.19	

Continued on page 34C

(Recognized Holidays and Subsistence Payment footnotes also listed on page 34C)

DETERMINATION: NC-23-31-1-2016-1B

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

CLASSIFICATION (Journey person)	Basic Hourly Rate	Employer Payments					Straight - Time		Overtime Hourly Rate ^a				
		Health and Welfare ^d	Pension	Vacation/ Holiday ^e	Training	Other Payments ^f	Hours ^g	Total Hourly Rate	Daily	Saturday ^h	Sunday and Holiday ^k		
^c Area 1 Millwright	\$47.47	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	7.5	\$77.46	\$96.74	\$118.99	\$96.74	\$118.99	\$118.99
^c Area 2 Millwright	\$43.75	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	7.5	\$73.74	\$91.52	\$112.03	\$91.52	\$112.03	\$112.03
^c Area 3 ^d Millwright	\$43.75	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	7.5	\$73.74	\$91.52	\$112.03	\$91.52	\$112.03	\$112.03
^c Area 4 ^d Millwright	\$42.31	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	7.5	\$72.30	\$89.495	\$109.33	\$89.495	\$109.33	\$109.33

DETERMINATION: NC-23-31-1-2016-1, NC-23-31-1-2016-1A and NC-23-31-1-2016-1B (FOR SECOND AND THIRD SHIFTS)

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/dns.html>.

* Does not apply to tenant improvement or renovation projects in occupied buildings with a total contract value of \$5 million or less.

^a The overtime rates for shift work are based on the non-shift overtime rates on page 34.

^b In the event that work cannot be performed Monday through Friday because of inclement weather or major mechanical breakdown, employees may voluntarily make up such day on Saturday and shall be paid at the applicable straight time rates.

^c AREA 1 - Alameda, Contra Costa, Marin, Napa, San Francisco, San Mateo, Santa Clara, Solano, and Sonoma Counties.

AREA 2 - Monterey, San Benito, and Santa Cruz Counties.

AREA 3 - El Dorado, Placer, Sacramento, San Joaquin and Yolo Counties.

AREA 4 - Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, and Yuba Counties.

^d Area 3 includes the portion of Placer County west of and including Highway 49 and the portion of El Dorado County west of and including Highway 49 and the territory inside the city limits of Placerville. Area 4 includes the portions of Placer and El Dorado Counties not covered in Area 3.

^e Includes an amount per hour worked for Work Fees. The Vacation amount is \$2.45 per hour worked for Carpenter; \$2.35 per hour worked for Millwright.

^f Annuity Trust Fund, Industry Promotion, Carpenters International Training Fund, and Carpenter Employers Contract Administration.

^g Daily overtime applies after 7 1/2 hours worked at the straight-time rate for second shift and after 7 hours worked at the straight-time rate for third shift.

^h For building construction, rate applies to the first 2 hours prior to the start of the regular or approved day, or the first 4 hours after the end of the approved or regular work day, not to exceed a total of 4 hours in any 1 work day. For heavy, highway and engineering construction rate applies to the first 4 hours prior to the start of the regular or approved day, or the first 4 hours after the end of the approved or regular work day, not to exceed a total of 4 hours in any 1 work day.

ⁱ Rate applies to the first 8 hours for building construction and for the first 10 hours worked on heavy, highway and engineering construction.

^j Millwright Annuity Trust Fund, Industry Promotion, Carpenters International Training Fund, and Work Preservation.

^k Time and one-half shall be paid for the first eight (8) hours worked on the four (4) days of each year selected by the Union as designated off/holidays listed in the Holiday Provision.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

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GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: #CARPENTER AND RELATED TRADES (THIRD SHIFT)*

DETERMINATION: NC-23-31-1-2016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

CLASSIFICATION (Journey person)	Basic Hourly Rate	Employer Payments					Straight – Time		Daily 1 1/2X ^h	Overtime Hourly Rate ^a			
		Health and Welfare ^d	Pension	Vacation/ Holiday ^e	Training	Other Payments ^f	Hours ^g	Total Hourly Rate		2X	Saturday ^h 1 1/2X ⁱ	2X	Sunday and Holiday ^k
* Area 1													
Carpenter Hardwood Floorlayer, Power Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$50.74	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7	\$79.13	\$94.99	\$117.19	\$94.99	\$117.19	\$117.19
	\$50.91	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7	\$79.30	\$95.22	\$117.49	\$95.22	\$117.49	\$117.49
* Area 2													
Carpenter Hardwood Floorlayer, Power Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$44.02	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7	\$72.41	\$86.17	\$105.43	\$86.17	\$105.43	\$105.43
	\$44.19	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7	\$72.58	\$86.40	\$105.73	\$86.40	\$105.73	\$105.73
* Area 3 ^l													
Carpenter Hardwood Floorlayer, Power Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$44.02	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7	\$72.41	\$86.17	\$105.43	\$86.17	\$105.43	\$105.43
	\$44.19	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7	\$72.58	\$86.40	\$105.73	\$86.40	\$105.73	\$105.73
* Area 4 ^l													
Carpenter Hardwood Floorlayer, Power Operator, Saw Filer, Shingler, Scaffold and Steel Shoring Erector	\$42.48	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7	\$70.87	\$84.15	\$102.73	\$84.15	\$102.73	\$102.73
	\$42.65	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7	\$71.04	\$84.37	\$103.03	\$84.37	\$103.03	\$103.03

Footnotes listed on page 34C

(Recognized Holidays and Subsistence Payment footnotes also listed on page 34C)

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DETERMINATION: NC-23-31-1-2016-1A

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

CLASSIFICATION (Journeyman)	Basic Hourly Rate	Employer Payments					Straight - Time		Overtime Hourly Rate ^a				Sunday and Holiday ^b
		Health and Welfare ^d	Pension	Vacation/ Holiday ^e	Training	Other Payments ^f	Hours ^g	Total Hourly Rate	Daily 1 1/2X ^h	2X	Saturday ^b 1 1/2X ⁱ	2X	
Bridge Builder/Highway Carpenter	\$50.74	\$11.20	\$9.50	\$4.32	\$0.83	\$2.54	7	\$79.13	\$94.99	\$117.19	\$94.99	\$117.19	\$117.19

DETERMINATION: NC-23-31-1-2016-1B

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

CLASSIFICATION (Journeyman)	Basic Hourly Rate	Employer Payments					Straight - Time		Overtime Hourly Rate ^a				Sunday and Holiday ^b
		Health and Welfare ^d	Pension	Vacation/ Holiday ^e	Training	Other Payments ^f	Hours ^g	Total Hourly Rate	Daily 1 1/2X ^h	2X	Saturday ^b 1 1/2X ⁱ	2X	
^c Area 1 Millwright	\$50.86	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	7	\$80.85	\$96.74	\$118.99	\$96.74	\$118.99	\$118.99
^c Area 2 Millwright	\$46.88	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	7	\$76.87	\$91.52	\$112.03	\$91.52	\$112.03	\$112.03
^c Area 3 ^d Millwright	\$46.88	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	7	\$76.87	\$91.52	\$112.03	\$91.52	\$112.03	\$112.03
^c Area 4 ^d Millwright	\$45.34	\$11.20	\$9.50	\$4.41	\$0.83	\$4.05	7	\$75.33	\$89.50	\$109.33	\$89.50	\$109.33	\$109.33

Footnotes listed on page 34C

(Recognized Holidays and Subsistence Payment footnotes also listed on page 34C)

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: MODULAR FURNITURE INSTALLER (CARPENTER)

DETERMINATION: NC-23-31-15-2016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

Classification (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension ^b	Vacation/ Holiday ^c	Training	Other Payments	Hours	Total Hourly Rate	Daily 1 1/2X	Saturday ^d 1 1/2X	Sunday/ Holiday 2X
^a AREA 1											
Master Installer	\$32.58	\$10.05	\$6.32	\$3.66	\$0.10	\$0.22	8	\$52.93	\$69.220	\$69.220	\$85.51
Lead Installer	28.36	10.05	6.32	3.66	0.10	0.22	8	48.71	62.890	62.890	77.07
Installer I	24.91	10.05	5.82	3.66	0.10	0.22	8	44.76	57.215	57.215	69.67
Installer II	21.48	10.05	5.82	3.66	0.10	0.22	8	41.33	52.070	52.070	62.81
^a AREA 2											
Master Installer	28.86	10.05	6.32	3.66	0.10	0.22	8	49.21	63.640	63.640	78.07
Lead Installer	25.23	10.05	6.32	3.66	0.10	0.22	8	45.58	58.195	58.195	70.81
Installer I	22.26	10.05	5.82	3.66	0.10	0.22	8	42.11	53.240	53.240	64.37
Installer II	19.31	10.05	5.82	3.66	0.10	0.22	8	39.16	48.815	48.815	58.47
^a AREA 3											
Master Installer	27.53	10.05	6.32	3.66	0.10	0.22	8	47.88	61.645	61.645	75.41
Lead Installer	24.11	10.05	6.32	3.66	0.10	0.22	8	44.46	56.515	56.515	68.57
Installer I	21.31	10.05	5.82	3.66	0.10	0.22	8	41.16	51.815	51.815	62.47
Installer II	18.54	10.05	5.82	3.66	0.10	0.22	8	38.39	47.660	47.660	56.93

^aAREA 1: Alameda, Contra Costa, Marin, Napa, San Francisco, San Mateo, Santa Clara, Solano, and Sonoma Counties.

AREA 2: Monterey, San Benito, and Santa Cruz Counties.

AREA 3: Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Nevada, Placer, Plumas, Sacramento, San Joaquin, Shasta, Sierra, Siskiyou, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

^b Includes an amount for Annuity Trust Fund.

^c Includes an amount for Work Fee.

^d Rate applies for the first 10 hours only. All hours worked in excess of ten hours on Saturdays shall be paid at double time (2X).

RATIO: The ratio of employees shall be based on the increments of ten (10) employees. It is understood that the employee ratio shall apply on a company-wide basis. For every ten (10) employees, the employer shall employ one (1) Master Installer, three (3) Lead Installer, three (3) Installer I, and three (3) Installer II. For crew size of less than 10 employees, the employer shall employ a Master Installer, followed by a Lead Installer, then an Installer I, and lastly an Installer II. For crew size of over 10 employees, please contact the Office of the Director – Research Unit at 415-703-4774.

All drapery installation shall be performed by employees at the Installer I level or above. Employers employing three (3) or more Drapery Installers at the Installer I level or above may employ one (1) Installer II. For each additional three (3) Installer I level or above Drapery Installers then in his/her employ, the employer may employ one (1) additional Installer II.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payment[†] each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/P>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: #DRYWALL INSTALLER/LATHER (CARPENTER)

TERMINATION: NC-31-X-16-2016-1

EFF DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo and Yuba counties.

CLASSIFICATION (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation/ Holiday ^d	Training	Other Payments	Hours	Total Hourly Rate	Daily 1 1/2X	Saturday 1 1/2X	Sunday and Holiday
^a Area 1											
Drywall Installer/ Lather	\$44.40	\$11.20	^f \$12.25	\$4.32	\$0.77	\$0.92	8	\$73.86	^h \$96.06	^h \$96.06	\$118.26
Stocker, Scrapper ^e	22.20	11.20	^g \$5.45	4.27	-	-	8	43.12	^h \$54.22	^h \$54.22	65.32
Stocker, Scrapper	22.20	11.20	1.10	4.27	-	-	8	38.77	^h \$49.87	^h \$49.87	60.97
^b Area 2											
Drywall Installer/ Lather	38.52	11.20	^f \$12.25	4.32	0.77	0.92	8	67.98	^h \$87.24	^h \$87.24	106.50
Stocker, Scrapper ^e	19.26	11.20	^g \$5.45	4.27	-	-	8	40.18	^h \$49.81	^h \$49.81	59.44
Stocker, Scrapper	19.26	11.20	1.10	4.27	-	-	8	35.83	^h \$45.46	^h \$45.46	55.09
^c Area 3											
Drywall Installer/ Lather	39.02	11.20	^f \$12.25	4.32	0.77	0.92	8	68.48	^h \$87.990	^h \$87.990	107.50
Stocker, Scrapper ^e	19.51	11.20	^g \$5.45	4.27	-	-	8	40.43	^h \$50.185	^h \$50.185	59.94
Stocker, Scrapper	19.51	11.20	1.10	4.27	-	-	8	36.08	^h \$45.835	^h \$45.835	55.59
^d Area 4											
Drywall Installer/ Lather	37.67	11.20	^f \$12.25	4.32	0.77	0.92	8	67.13	^h \$85.965	^h \$85.965	104.80
Stocker, Scrapper ^e	18.84	11.20	^g \$5.45	4.27	-	-	8	39.76	^h \$49.18	^h \$49.18	58.60
Stocker, Scrapper	18.84	11.20	1.10	4.27	-	-	8	35.41	^h \$44.83	^h \$44.83	54.25

#Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @ <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Area 1 - Alameda, Contra Costa, Marin, Napa, San Francisco, San Mateo, Santa Clara, Solano and Sonoma counties.

^b Area 2 - Monterey, San Benito, and Santa Cruz Counties.

^c Area 3 - El Dorado, Placer, Sacramento, San Joaquin, and Yolo Counties.

^d Area 4 - Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, and Yuba Counties.

^e Employed by the same contractor for 2000 hours (consecutively or cumulatively).

^f Includes an amount for Annuity Trust Fund.

^g Includes an amount for Work Fees.

^h Rate applies to the first 4 overtime hours Monday through Friday and the first 8 hours on Saturday. All other time is paid at the Sunday and Holiday overtime rate.

Saturdays may be worked at straight time if job is shut down during Monday through Friday due to inclement weather or major mechanical breakdown.

¹ Area 3 includes the portion of Placer County west of and including Highway 49 and the portion of El Dorado County west of and including Highway 49 and the territory inside the city limits of Placerville. Area 4 includes the portions of Placer and El Dorado Counties not covered in Area 3.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at

<http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

XXX

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: # PILE DRIVER (CARPENTER)

DETERMINATION: NC-23-31-11-2016-3

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

Classification (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation/ Holiday	Training	Other Payments	Hours	Total Hourly Rate	Daily 1 1/2X	Saturday 1 1/2X	Sunday and Holiday
Pile Driver, Wharf, and Dock Builder	\$43.65 ^b	11.20	^b 13.70	^c 5.52	0.88	^a 0.25	8	75.20	^d 97.025	^d 97.025	118.85
Diver (wet) up to 50 ft depth ^{e, f}	93.17	11.20	^b 13.70	^c 5.52	0.88	^a 0.25	8	124.72	^d 171.305	^d 171.305	217.89
Diver's Tender ^e	47.82	11.20	^b 13.70	^c 5.52	0.88	^a 0.25	8	79.37	^d 103.280	^d 103.280	127.19
Assistant Tender	43.65	11.20	^b 13.70	^c 5.52	0.88	^a 0.25	8	75.20	^d 97.025	^d 97.025	118.85
Diver (stand-by)	48.61	11.20	^b 13.70	^c 5.52	0.88	^a 0.25	8	80.16	^d 104.465	^d 104.465	128.77

FOR "PILE DRIVER-BRIDGE BUILDER" - SEE NORTHERN CALIFORNIA CARPENTER PAGE 34.

PLEASE NOTE: To obtain wage rate information for Saturation Diver, Manned Submersible, Manifold Operator/Life Support Technician, Remote Controlled/Operated Vehicle (RCV/ROV) Pilot/Technician, Navigator Surveyor, Bell Winch Operator & Diving Equipment Technician, please contact the Office of the Director - Research Unit at (415) 703-4774.

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @ <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes Industry Promotion, Carpenters International Training Fund, Pile Drivers Employers Contract Administration, and LMCC.

^b Includes an amount per hour for Annuity Trust Fund. Pursuant to Labor Code Sections 1773.1 and 1773.8, the amount paid for this employer payment may vary resulting in a lower taxable basic hourly wage rate, but the total hourly rates for straight time and overtime may not be less than the general prevailing rate of per diem wages.

^c Includes an amount per hour for work fees.

^d Rate applies to the first 2 daily overtime hours and the first 8 hours worked on Saturdays. All other time is paid at the Sunday/Holiday overtime rate. For work associated with cast-in-place piles, drill shaft, Tubex piles, Tubex grout injection piles, geo piles, soil improvement piles, sand piles, augured cast in place piles, CISS and CIDH: Rate applies to all hours worked after 8 hours Monday-Friday and all hours worked on Saturday.

^e Shall receive a minimum of 8 hours pay for any day or part thereof worked.

^f For specific rates over 50 ft depth, contact the Office of the Director – Research Unit.

^g On bridges, powerhouses and dams, men working from bosun's chairs or swinging scaffolds or suspended from rope, cable, safety belts, or any device used as a substitute for or in lieu thereof (excluding piledriving rigs) shall receive \$0.15 per hour above this rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: #ELEVATOR CONSTRUCTOR

DETERMINATION: NC-62-X-1-2016-1

ISSUE DATE: February 22, 2016

EXPIRATION DATE OF DETERMINATION: December 31, 2016** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Inyo, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Mono, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo and Yuba Counties. Portions^a of Kern, San Bernardino and San Luis Obispo are detailed below.

Classification (Journey person)	Basic Hourly Rate	Employer Payments				Straight-Time			Overtime Hourly Rate		
		Health and Welfare	Pension ^e	Vacation/ Holiday	Training	Other Payments	Hours	Total Hourly Rate	Daily 1 1/2X ^d	Saturday 1 1/2X ^d	Sunday and Holiday
Mechanic	\$61.86	14.425	14.96	3.71	0.60	0.30	8	95.855	126.785	126.785	157.715 ^b
Mechanic (Employed in industry more than 5 years)	61.86	14.425	14.96	4.95	0.60	0.30	8	97.095	128.025	128.025	158.955 ^b
Helper ^c	43.30	14.425	14.96	2.60	0.60	0.30	8	76.185	97.835	97.835	119.485 ^b
Helper (Employed in industry more than 5 years)	43.30	14.425	14.96	3.46	0.60	0.30	8	77.045	98.695	98.695	120.345 ^b

#Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @

<http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Applies to that portion of these counties north of the Tehachapi Line. For more information contact the Office of the Director - Research Unit.

^b For paid holidays recognized in the collective bargaining agreement employees are paid for 8 hours at straight time in addition to the Holiday rate for all hours worked.

^c Ratio: The total number of Helpers employed shall not exceed the number of Mechanics on any one job. For more information on the use of Helpers contact the Office of the Director - Research Unit.

^d For Contract Service work only. All other overtime is paid at the Sunday/Holiday rate.

^e Includes an amount for Annuity Trust Fund.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: OPERATING ENGINEER (HEAVY AND HIGHWAY WORK)

DETERMINATION: NC-23-63-1-2016-2

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification (Journey person)	Employer Payments							Straight-Time		Overtime Hourly Rate				
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^e	Training	Other Payments	Hours ^f	Total Hourly Rate	Daily/ Saturday ^d 1 1/2X		Sunday and Holiday 2X			
Classification Group ^a	Area 1 ^b	Area 2 ^c						Area 1 ^b	Area 2 ^c	Area 1 ^b	Area 2 ^c	Area 1 ^b	Area 2 ^c	
Group 1	\$42.67	\$44.67	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$73.10	\$75.10	\$84.44	\$97.44	\$115.77	\$119.77
Group 2	\$41.14	\$43.14	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.57	\$73.57	\$82.14	\$95.14	\$112.71	\$116.71
Group 3	\$39.66	\$41.66	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.09	\$72.09	\$80.92	\$92.92	\$109.75	\$113.75
Group 4	\$38.28	\$40.28	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.71	\$70.71	\$78.85	\$90.85	\$106.99	\$110.99
Group 5	\$37.01	\$39.01	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.44	\$69.44	\$76.95	\$88.95	\$104.45	\$108.45
Group 6	\$35.69	\$37.69	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.12	\$68.12	\$75.97	\$86.97	\$101.81	\$105.81
Group 7	\$34.55	\$36.55	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.98	\$66.98	\$74.26	\$85.26	\$99.53	\$103.53
Group 8	\$33.41	\$35.41	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$63.84	\$65.84	\$72.55	\$83.55	\$97.25	\$101.25
Group 8-A	\$31.20	\$33.20	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$61.63	\$63.63	\$72.23	\$80.23	\$92.83	\$96.83
ALL CRANES AND ATTACHMENTS:														
Group 1	\$44.30	\$46.30	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$74.73	\$76.73	\$86.88	\$99.88	\$119.03	\$123.03
Group 1-A	\$43.55	\$45.55	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$73.98	\$75.98	\$85.76	\$98.76	\$117.53	\$121.53
Truck Crane Assistant to Engineer	\$36.58	\$38.58	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.01	\$69.01	\$78.30	\$88.30	\$103.59	\$107.59
Assistant to Engineer	\$34.29	\$36.29	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.72	\$66.72	\$74.87	\$84.87	\$99.01	\$103.01
Group 2-A	\$41.79	\$43.79	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$72.22	\$74.22	\$83.12	\$96.12	\$114.01	\$118.01
Truck Crane Assistant to Engineer	\$36.32	\$38.32	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.75	\$68.75	\$78.91	\$87.91	\$103.07	\$107.07
Assistant to Engineer	\$34.08	\$36.08	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.51	\$66.51	\$76.55	\$84.55	\$98.59	\$102.59
Group 3-A	\$40.05	\$42.05	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.48	\$72.48	\$80.51	\$93.51	\$110.53	\$114.53
Truck Crane Assistant to Engineer	\$36.08	\$38.08	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.51	\$68.51	\$78.55	\$87.55	\$102.59	\$106.59
Hydraulic	\$35.69	\$37.69	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.12	\$68.12	\$78.97	\$86.97	\$101.81	\$105.81
Assistant to Engineer	\$33.80	\$35.80	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.23	\$66.23	\$76.13	\$84.13	\$98.03	\$102.03
Group 4-A	\$37.01	\$39.01	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.44	\$69.44	\$79.95	\$88.95	\$104.45	\$108.45

Indicates an apprenticeshipable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a For classifications within each group, see pages 39B-40.

^b **AREA 1** - Alameda, Butte, Contra Costa, Kings, Marin, Merced, Napa, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Solano, Stanislaus, Sutter, Yolo and Yuba counties; and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity counties.

^c **AREA 2** - Modoc, and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity counties. (Portions of counties falling in each area detailed on page 41).

^d Saturday in the same work week may be worked at straight-time if a job is shut down during the normal work week due to inclement weather.

^e Includes an amount for supplemental dues.

^f When three shifts are employed for five (5) or more consecutive days, seven and one-half (7 1/2) consecutive hours (exclusive of meal period), shall constitute a day of work, for which eight (8) times the straight time hourly rate shall be paid at the non-shift wage rate for the second shift. The third shift shall be seven (7) hours of work for eight (8) hours of pay at the non-shift wage rate.

NOTE: For Special Single and Second Shift rates, please see page 39A.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

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DETERMINATION: NC-23-63-1-2016-2

CLASSIFICATIONS

GROUP 1

Drill Equipment, over 200,000 lbs
Operator of Helicopter (when used in erection work)
Hydraulic Excavator 7 cu yds and over
Power Shovels, over 7 cu yds

GROUP 2

Highline Cableway
Hydraulic Excavator 3 1/2 cu yds up to 7 cu yds
Licensed Construction Work Boat Operator, On Site
Microtunneling Machine
Power Blade Operator (finish)
Power Shovels, (over 1 cu yd and up to and including 7 cu yds m.r.c.)

GROUP 3

Asphalt Milling Machine
Cable Backhoe
Combination Backhoe and Loader over 3/4 cu yds
Continuous Flight Tie Back Machine
Crane Mounted Continuous Flight Tie Back Machine, tonnage to apply
Crane Mounted Drill Attachments, Tonnage to apply
Dozer, Slope Board
Drill Equipment, over 100,000 lbs up to and including 200,000 lbs
Gradall
Hydraulic Excavator up to 3 1/2 cu yds
Loader 4 cu yds and over
Long Reach Excavator
Multiple Engine Scrapers (when used as push pull)
Power Shovels, up to and including 1 cu yd
Pre-Stress Wire Wrapping machine
Side Boom Cat, 572 or larger
Track Loader 4 cu yds and over
Wheel Excavator (up to and including 750 cu yds per hour)

GROUP 4

Asphalt Plant Engineer/Boxman
Chicago Boom
Combination Backhoe and Loader up to and including 3/4 cu yds
Concrete Batch Plants (wet or dry)
Dozer and/or Push Cat
Drill Equipment, over 50,000 lbs up to and including 100,000 lbs
Pull-Type Elevating Loader
Gradesetter, Grade Checker (GPS, mechanical or otherwise)
Grooving and Grinding Machine
Heading Shield Operator
Heavy Duty Drilling Equipment, Hughes, LDH, Watson 3000 or similar
Heavy Duty Repairman and/or Welder
Lime Spreader
Loader under 4 cu yds
Lubrication and Service Engineer (mobile and grease rack)
Mechanical Finishers or Spreader Machine (asphalt, Barber-Greene and similar)
Miller Formless M-9000 Slope Paver or similar
Portable Crushing and Screening plants
Power Blade Support
Roller Operator, Asphalt
Rubber-Tired Scraper, Self-Loading (paddle-wheels, etc)
Rubber-Tired Earthmoving Equipment (Scrapers)
Slip Form Paver (concrete)
Small Tractor with Drag
Soil Stabilizer (P&H or equal)
Spider Plow and Spider Puller
Timber Skidder
Track Loader up to 4 yards
Tractor Drawn Scraper
Tractor, Compressor Drill Combination
Tubex Pile Rig
Unlicensed Construction Work Boat Operator, On Site
Welder
Woods-Mixer (and other similar Pugmill equipment)

GROUP 5

Cast-In Place Pipe Laying Machine
Combination Slusher and Motor Operator
Concrete Conveyor or Concrete Pump, Truck or Equipment Mounted
Concrete Conveyor, Building Site
Concrete Pump or Pumpcrete Guns
Drilling Equipment, Watson 2000, Texoma 700 or similar
Drilling and Boring Machinery, Horizontal (not to apply to waterlines, wagon drills or jackhammers)
Concrete Mixers/all
Man and/or Material Hoist
Mechanical Finishers (concrete) (Clary, Johnson, Bidwell
Bridge Deck or similar types)
Mechanical Burn, Curb and/or Curb and Gutter Machine, Concrete or Asphalt
Mine or Shaft Hoist
Portable Crushers
Power Jumbo Operator (setting slip-forms, etc., in tunnels)
Screedman (automatic or manual)
Self Propelled Compactor with Dozer
Tractor with boom, D6 or smaller
Trenching Machine, maximum digging capacity over 5 ft. depth
Vermeer T-600B Rock Cutter or similar

GROUP 6

Armor-Coater (or similar)
Ballast Jack Tamper
Boom-Type Backfilling Machine
Asst. Plant Engineer
Bridge and/or Gantry Crane
Chemical Grouting Machine, truck mounted
Chip Spreading Machine Operator
Concrete Barrier Moving Machine
Concrete Saws (self-propelled unit on streets, highways, airports, and canals)
Deck Engineer
Drill Doctor
Drill Equipment, over 25,000 lbs up to and including 50,000 lbs
Drilling Equipment Texoma 600, Hughes 200 series or similar up to and including 30 ft. m.r.c.
Helicopter Radioman
Hydro-Hammer or similar
Line Master
Skidsteer Loader, Bobcat larger than 743 series or similar (with attachments)
Locomotive
Rotating Extendable Forklift, Lull HI-Lift or similar
Assistant to Engineer, Truck Mounted Equipment
Pavement Breaker, Truck Mounted, with compressor combination
Paving Fabric Installation and/or Laying Machine
Pipe Bending Machine (pipelines only)
Pipe Wrapping Machine (Tractor propelled and supported)
Screedman, (except asphaltic concrete paving)
Self-Loading Chipper
Self Propelled Pipeline Wrapping Machine
Tractor

GROUP 7

Ballast Regulator
Cary Lift or similar
Combination Slurry Mixer and/or Cleaner
Coolant/Slurry Tanker Operator (hooked to Grooving/Grinding Machine)
Drilling Equipment, 20 ft and under m.r.c.
Drill Equipment, over 1,000 lbs up to and including 25,000 lbs
Fireman Hot Plant

Grouting Machine Operator
Highline Cableway Signalman
Stationary Belt Loader (Kolman or similar)
Lift Slab Machine (Vagtborg and similar types)
Maginnes Internal Full Slab Vibrator
Material Hoist (1 Drum)
Mechanical Trench Shield
Partsmen (heavy duty repair shop parts room)
Pavement Breaker with or without Compressor Combination
Pipe Cleaning Machine (tractor propelled and supported)
Post Driver
Roller (except Asphalt), Chip Seal
Self Propelled Automatically Applied Concrete Curing Machine (on streets, highways, airports and canals)
Self Propelled Compactor (without dozer)
Signalman
Slip-Form Pumps (lifting device for concrete forms)
Super Sucker Vacuum Truck
Tie Spacer
Trenching Machine (maximum digging capacity up to and including 5 ft depth)
Truck-Mounted Rotating Telescopic Boom Type Lifting Device, Manitex or similar
(Boom Truck) - Under 15 tons
Truck Type Loader

GROUP

Bit Sharpener
Boiler Tender
Box Operator
Brakeman
Combination Mixer and Compressor (shotcrete/gunite)
Compressor Operator
Deckhand
Fireman
Generators
Gunite/Shotcrete Equipment Operator
Heavy Duty Repairman Helper
Hydraulic Monitor
Ken Seal Machine (or similar)
Mast Type Forklift
Mixermobile
Assistant to Engineer
Pump Operator
Refrigerator Plant
Reservoir-Debris Tug (Self-Propelled Floating)
Ross Carrier (Construction site)
Rotomist Operator
Self Propelled Tape Machine
Shuttlecar
Self Propelled Power Sweeper Operator (includes Vacuum Sweeper)
Slusher Operator
Surface Heater
Switchman
Tar Pot Fireman
Tugger Hoist, Single Drum
Vacuum Cooling Plant
Welding Machine (powered other than by electricity)

DETERMINATION: NC-23-63-1-2016-2

GROUP -A

Articulated Dump Truck Operator
Elevator Operator
Mini Excavator under 25 H.P. (Backhoe-Trencher)
Skidsteer Loader, Bobcat 743 series or
Smaller and similar (without attachments)

ALL CRANES AND ATTACHMENTS:

GROUP 1

Cranes over 350 tons
Derrick over 350 tons
Self Propelled Boom Type Lifting Device over 350 tons

GROUP 1-A

Clamshells and Draglines over 7 cu yds
Cranes over 100 tons
Derrick, over 100 tons
Derrick Barge Pedestal mounted over 100 tons
Self Propelled Boom Type Lifting Device Over 100 tons

GROUP 2-A

Clamshells and Draglines over 1 cu yds up to and
including 7 cu yds
Cranes over 45 tons up to and including 100 tons
Derrick Barge 100 tons and under
Mobile Self-Erecting Tower Crane (Potain) over 3 stories
Self Propelled Boom Type Lifting Device over 45 tons
Tower Cranes

GROUP 3-A

Clamshells and Draglines up to and including 1 cu yd
Cranes 45 tons and under
Mobile Self-Erecting Tower Crane (Potain), 3 stories
and under
Self Propelled Boom Type Lifting Device 45 tons
and under

GROUP 4-A

Boom Truck or dual-purpose A-Frame Truck,
Non-Rotating over 15 tons.
Truck Mounted Rotating Telescopic Boom
Type Lifting Device, Manitex or similar
(Boom Truck -over 15 tons)
Truck-Mounted Rotating Telescopic Boom Type
Lifting Device, Manitex or Similar (Boom Truck),
under 15 tons

DESCRIPTION FOR AREAS 1 AND 2:

Area 1 is all of Northern California within the following Township, State and/or county Boundaries:

Commencing in the Pacific Ocean on the extension of the Southerly line of Township 19S, of the Mount Diablo Base and Meridian,
Thence Easterly along the Southerly line of Township 19S, to the Northwest corner of Township 20S, Range 6E,
Thence Southerly to the Southwest corner of Township 20S, Range 6E,
Thence Easterly to the Northwest corner of Township 21S, Range 7E Thence Southerly to the Southwest corner of Township 21S, Range 7E
Thence Easterly to the Northwest corner of Township 22S, Range 9E,
Thence Southerly to the Southwest corner of Township 22S, Range 9E,
Thence Easterly to the Northwest corner of Township 23S, Range 10E,
Thence Southerly to the Southwest corner of Township 24S, Range 10E,
Thence Easterly to the Southwest corner of Township 24S, Range 31E,
Thence Northerly to the Northeast corner of Township 20S, Range 31E
Thence Westerly to the Southeast corner of Township 19S, Range 29E,
Thence Northerly to the Northeast corner of Township 17S, Range 29E,
Thence Westerly to the Southeast corner of Township 16S, Range 28E,
Thence Northerly to the Northeast corner of Township 13S, Range 28E,
Thence Westerly to the Southeast corner Township 12S, Range 27E,
Thence Northerly to the Northeast corner of Township 12S, Range 27E,
Thence Westerly to the Southeast corner of Township 11S, Range 26E,
Thence Northerly to the Northeast corner of Township 11S, Range 26E,
Thence Westerly to the Southeast corner of Township 10S, Range 25E,
Thence Northerly to the Northeast corner of Township 9S, Range 25E,
Thence Westerly to the Southeast corner of Township 8S, Range 24E,
Thence Northerly to the Northeast corner of Township 8S, Range 24E,
Thence Westerly to the Southeast corner of Township 7S, Range 23E,
Thence Northerly to the Northeast corner of Township 6S, Range 23E,
Thence Westerly to the Southeast corner of Township 5S, Range 20E,
Thence Northerly to the Northeast corner of Township 5S, Range 20E,
Thence Westerly to the Southeast corner of Township 4S, Range 19E,
Thence Northerly to the Northeast corner of Township 1S, Range 19E,
Thence Westerly to the Southeast corner of Township 1N, Range 18E,
Thence Northerly to the Northeast corner of Township 3N, Range 18E,
Thence Westerly to the Southeast corner of Township 4N, Range 17E,
Thence Northerly to the Northeast corner of Township 4N, Range 17E,
Thence Westerly to the Southeast corner of Township 5N, Range 16E,
Thence Northerly to the Northeast corner of Township 5N, Range 15E,
Thence Westerly to the Southeast corner of Township 6N, Range 14E,
Thence Northerly to the Northeast corner of Township 10N, Range 14E,
Thence Easterly along the Southern line of Township 11N, to the California / Nevada State Border,
Thence Northerly along the California / Nevada State Border to the Northerly line of Township 17N,
Thence Westerly to the Southeast corner of Township 18N, Range 10E,
Thence Northerly to the Northeast corner of Township 20N, Range 10E,
Thence Westerly to the Southeast corner of Township 21N, Range 9E,
Thence Northerly to the Northeast corner of Township 21N, Range 9E,
Thence Westerly to the Southeast corner of Township 22N, Range 8E,
Thence Northerly to the Northeast corner of Township 22N, Range 8E,
Thence Westerly to the Northwest corner of Township 22N, Range 8E,
Thence Northerly to the Southwest corner of Township 27N, Range 8E,
Thence Easterly to the Southeast corner of Township 27N, Range 8E,
Thence Northerly to the Northeast corner of Township 28N, Range 8E,
Thence Westerly to the Southeast corner of Township 29N, Range 6E,
Thence Northerly to the Northeast corner of Township 32N, Range 6E,
Thence Westerly to the Northwest corner of Township 32 N, Range 6E,
Thence Northerly to the Northeast corner of Township 35N, Range 5E,
Thence Westerly to the Southeast corner of Township 36N, Range 3E,
Thence Northerly to the Northeast corner of township 36N, Range 3E,
Thence Westerly to the Southeast corner of Township 37N, Range 1W,
Thence Northerly to the Northeast corner of Township 38N, Range 1W,
Thence Westerly to the Southeast corner of Township 39N, Range 2W,
Thence Northerly to the Northeast corner of Township 40N, Range 2W,
Thence Westerly to the Southeast corner of Township 41N, Range 4W,
Thence Northerly to the Northeast corner of Township 42N, Range 4W,
Thence Westerly to the Southeast corner of Township 43N, Range 5W,
Thence Northerly to the California / Oregon State Border,

Thence Westerly along the California / Oregon State Border to the Westerly Boundary of Township Range 8W,
Thence Southerly to the Southwest corner of Township 43N, Range 8W,
Thence Easterly to the Southeast corner of Township 43N, Range 8W,
Thence Southerly to the Southwest corner of Township 42N, Range 7W,
Thence Easterly to the Southeast corner of Township 42N, Range 7W,
Thence Southerly to the Southwest corner of Township 41N, Range 6W,
Thence Easterly to the Northwest corner of Township 40N, Range 5W,
Thence Southerly to the Southwest corner of Township 38N, Range 5W,
Thence Westerly to the Northwest corner of Township 37N, Range 6W,
Thence Southerly to the Southwest corner of Township 35N, Range 6W,
Thence Westerly to the Northwest corner of Township 34N, Range 10W,
Thence Southerly to the Southwest corner of Township 31N, Range 10W,
Thence Easterly to the Northwest corner of Township 30N, Range 9W,
Thence Southerly to the Southwest corner of Township 30N, Range 9W,
Thence Easterly to the Northwest corner of Township 29N, Range 8W,
Thence Southerly to the Southwest corner of Township 23N, Range 6W,
Thence Easterly to the Northwest corner of Township 22N, Range 6W,
Thence Southerly to the Southwest corner of Township 16N, Range 6W,
Thence Westerly to the Southeast corner of Township 16N, Range 9W,
Thence Northerly to the Northeast corner of Township 16N, Range 9W,
Thence Westerly to the Southeast corner of Township 17N, Range 12W,
Thence Northerly to the Northeast corner of Township 18N, Range 12W,
Thence Westerly to the Northwest corner of Township 18N, Range 15W,
Thence Southerly to the Southwest corner of Township 14N, Range 15W,
Thence Easterly to the Northwest corner of Township 13N, Range 14W,
Thence Southerly to the Southwest corner of Township 13N, Range 14W,
Thence Easterly to the Northwest corner of Township 12N, Range 13W,
Thence Southerly to the Southwest corner of Township 12N, Range 13W,
Thence Easterly to the Northwest corner of Township 11N, Range 12W,
Thence Southerly into the Pacific Ocean
and Commencing in the Pacific Ocean on the extension of the Humboldt Base Line,
Thence Easterly to the Northwest corner of Township 1S, Range 2E,
Thence Southerly to the Southwest corner of Township 2S, Range 2E,
Thence Easterly to the Northwest corner of Township 3S, Range 3E,
Thence Southerly to the Southwest corner of Township 5S, Range 3E,
Thence Easterly to the Southeast corner of Township 5S, Range 4E,
Thence Northerly to the Northeast corner of Township 4S, Range 4E,
Thence Westerly to the Southeast corner of Township 3S, Range 3E,
Thence Northerly to the Northeast corner of Township 5N, Range 3E,
Thence Easterly to the Southeast corner of Township 6N, Range 5E,
Thence Northerly to the Northeast corner of Township 7N, Range 5E,
Thence Westerly to the Southeast corner of Township 8N, Range 3E,
Thence Northerly to the Northeast corner of Township 9N, Range 3E,
Thence Westerly to the Southeast corner of Township 10N, Range 1E,
Thence Northerly to the Northeast corner of Township 13N, Range 1E,
Thence Westerly into the Pacific Ocean,
excluding that portion of Northern California contained within the following lines:
Commencing at the Southwest corner of Township 12N, Range 11E, of the Mount Diablo Base and Meridian,
Thence Easterly to the Southeast corner of Township 12N, Range 16E,
Thence Northerly to the Northeast corner of Township 12N, Range 16E,
Thence Westerly to the Southeast corner of Township 13N, Range 15E,
Thence Northerly to the Northeast corner of Township 13N, Range 15E,
Thence Westerly to the Southeast corner of Township 14N, Range 14E,
Thence Northerly to the Northeast corner of Township 16N, Range 14E,
Thence Westerly to the Northwest corner of Township 16N, Range 12E,
Thence Southerly to the Southwest corner of Township 16N, Range 12E,
Thence Westerly to the Northwest corner of Township 15N, Range 11E,
Thence Southerly to the point of beginning at the Southwest corner of Township 12N, Range 11E,

Area 2 shall be all areas not part of Area 1 described above.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

**CRAFT: OPERATING ENGINEER (HEAVY AND HIGHWAY WORK)
(SPECIAL SINGLE AND SECOND SHIFT)**

DETERMINATION: NC-23-63-1-2016-2

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification (Journey person)	Employer Payments							Straight-Time		Overtime Hourly Rate			
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^a	Training	Other Payments	Hours	Total Hourly Rate		Daily/ Saturday ^d 1 1/2X	Sunday and Holiday 2X		
Classification Group ^a	Area 1 ^b	Area 2 ^c						Area 1 ^b	Area 2 ^c	Area 1 ^b	Area 2 ^c	Area 1 ^b	Area 2 ^c
Group 1	\$47.00	\$49.00	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$77.43	\$79.43	\$103.93	\$103.93	\$124.43
Group 2	\$45.27	\$47.27	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$75.70	\$77.70	\$98.34	\$101.34	\$120.87
Group 3	\$43.61	\$45.61	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$74.04	\$76.04	\$95.85	\$98.85	\$117.65
Group 4	\$42.05	\$44.05	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$72.48	\$74.48	\$93.51	\$96.51	\$114.53
Group 5	\$40.63	\$42.63	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.06	\$73.06	\$91.38	\$94.38	\$111.69
Group 6	\$39.13	\$41.13	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.56	\$71.56	\$89.13	\$92.13	\$106.69
Group 7	\$37.85	\$39.85	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.28	\$70.28	\$87.21	\$90.21	\$106.13
Group 8	\$36.58	\$38.58	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.01	\$69.01	\$85.30	\$88.30	\$103.59
Group 8-A	\$34.07	\$36.07	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.50	\$66.50	\$81.54	\$84.54	\$98.57
ALL CRANES AND ATTACHMENTS:													
Group 1	\$48.73	\$50.73	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$79.16	\$81.16	\$103.53	\$108.53	\$127.89
Group 1-A	\$47.98	\$49.98	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$78.41	\$80.41	\$102.40	\$105.40	\$126.39
Truck Crane Assistant to Engineer	\$40.15	\$42.15	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.58	\$72.58	\$90.66	\$93.66	\$110.73
Assistant to Engineer	\$37.50	\$39.50	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.99	\$69.99	\$86.77	\$89.77	\$105.55
Group 2-A	\$45.69	\$47.69	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$76.42	\$78.42	\$99.42	\$102.42	\$122.41
Truck Crane Assistant to Engineer	\$39.86	\$41.86	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.28	\$72.28	\$90.22	\$93.22	\$110.15
Assistant to Engineer	\$37.33	\$39.33	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.76	\$69.76	\$86.43	\$89.43	\$105.09
Group 3-A	\$44.03	\$46.03	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$74.46	\$76.46	\$96.48	\$99.48	\$118.49
Truck Crane Assistant to Engineer	\$39.59	\$41.59	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.02	\$72.02	\$88.82	\$91.82	\$109.61
Hydraulic	\$39.13	\$41.13	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.56	\$71.56	\$89.13	\$92.13	\$108.69
Assistant to Engineer	\$37.02	\$39.02	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.45	\$69.45	\$85.96	\$88.96	\$104.47
Group 4-A	\$40.63	\$42.63	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.06	\$73.06	\$91.38	\$94.38	\$111.69

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a For classifications within each group, see pages 39B-40.

^b AREA 1 - Alameda, Butte, Contra Costa, Kings, Marin, Merced, Napa, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Solano, Stanislaus, Sutter, Yolo and Yuba counties; and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity counties.

^c AREA 2 - Modoc, and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity counties. (Portions of counties falling in each area detailed on page 41).

^d Saturday in the same work week may be worked at straight-time if a job is shut down during the normal work week due to inclement weather.

^e Includes an amount for supplemental dues.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: OPERATING ENGINEER (BUILDING CONSTRUCTION)

DETERMINATION: NC-23-63-1-2016-2A

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Joaquin, Santa Cruz, Shasta, Sierra, Siskiyou, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification (Journey person)	Employer Payments							Straight-Time		Overtime Hourly Rate				
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^a	Training	Other Payments	Hours ^f	Total Hourly Rate	Daily/ Saturday ^d 1 1/2X	Sunday and Holiday 2X				
	Classification Group ^a													
	Area 1 ^b	Area 2 ^c						Area 1 ^b	Area 2 ^c	Area 1 ^b	Area 2 ^c	Area 1 ^b	Area 2 ^c	
Group 1	\$41.25	\$43.25	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.68	\$73.68	\$92.31	\$95.31	\$112.93	\$116.93
Group 2	\$39.80	\$41.80	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.23	\$72.23	\$90.13	\$93.13	\$110.03	\$114.03
Group 3	\$38.40	\$40.40	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.83	\$70.83	\$88.03	\$91.03	\$107.23	\$111.23
Group 4	\$37.07	\$39.07	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.50	\$69.50	\$86.04	\$89.04	\$104.57	\$108.57
Group 5	\$35.66	\$37.86	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.29	\$68.29	\$84.22	\$87.22	\$102.15	\$106.15
Group 6	\$34.59	\$36.59	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.02	\$67.02	\$82.32	\$85.32	\$99.61	\$103.61
Group 7	\$33.50	\$35.50	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$63.93	\$65.93	\$80.68	\$83.68	\$97.43	\$101.43
Group 8	\$32.42	\$34.42	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$62.85	\$64.85	\$79.06	\$82.06	\$95.27	\$99.27
Group 8-A	\$30.30	\$32.30	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$60.73	\$62.73	\$75.88	\$78.88	\$91.03	\$95.03
ALL CRANES AND ATTACHMENTS:														
Group 1	\$42.85	\$44.85	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$73.28	\$75.28	\$94.71	\$97.71	\$116.13	\$120.13
Group 1-A	\$42.10	\$44.10	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$72.53	\$74.53	\$93.58	\$96.58	\$114.63	\$118.63
Truck Crane Assistant to Engineer	\$35.44	\$37.44	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.87	\$67.87	\$83.59	\$86.59	\$101.31	\$105.31
Assistant to Engineer	\$33.27	\$35.27	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$63.70	\$65.70	\$80.34	\$83.34	\$96.97	\$100.97
up 2-A	\$40.41	\$42.41	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.84	\$72.84	\$91.05	\$94.05	\$111.25	\$115.25
Truck Crane Assistant to Engineer	\$35.20	\$37.20	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.63	\$67.63	\$83.23	\$86.23	\$100.83	\$104.83
Assistant to Engineer	\$33.05	\$35.05	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$63.48	\$65.48	\$80.01	\$83.01	\$96.53	\$100.53
Group 3-A	\$38.77	\$40.77	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.20	\$71.20	\$88.59	\$91.59	\$107.97	\$111.97
Truck Crane Assistant to Engineer	\$34.96	\$36.96	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.39	\$67.39	\$82.87	\$85.87	\$100.35	\$104.35
Hydraulic	\$34.59	\$36.59	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.02	\$67.02	\$82.32	\$85.32	\$99.61	\$103.61
Assistant to Engineer	\$32.80	\$34.80	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$63.23	\$65.23	\$79.63	\$82.63	\$96.03	\$100.03
Group 4-A	\$35.86	\$37.86	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.29	\$68.29	\$84.22	\$87.22	\$102.15	\$106.15

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a For classifications within each group, see pages 39B-40.

^b AREA 1 - Butte, Kings, Merced, Napa, Sacramento, San Benito, San Joaquin, Santa Cruz, Stanislaus, Sutter, Yolo, and Yuba counties; and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tuolumne and Trinity counties.

^c AREA 2 - Modoc, and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity counties. (Portions of counties falling in each area detailed on page 41).

^d Saturday in the same work week may be worked at straight-time if a job is shut down during the normal work week due to inclement weather.

^e Includes an amount for supplemental dues.

^f When three shifts are employed for five (5) or more consecutive days, seven and one-half (7 1/2) consecutive hours (exclusive of meal period), shall constitute a day of work, for which eight (8) times the straight time hourly rate shall be paid at the non-shift wage rate for the second shift. The third shift shall be seven (7) hours of work for eight (8) hours of pay at the non-shift wage rate.

NOTE: For Special Single and Second Shift rates, please see page 40C.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

**CRAFT: OPERATING ENGINEER (BUILDING CONSTRUCTION)
(SPECIAL SINGLE AND SECOND SHIFT)**

DETERMINATION: NC-23-63-1-2016-2A

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Joaquin, Santa Cruz, Shasta, Sierra, Siskiyou, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification (Journey person)	Employer Payments							Straight-Time		Overtime Hourly Rate				
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^a	Training	Other Payments	Hours	Total Hourly Rate	Daily/ Saturday ^d 1 1/2X	Sunday and Holiday 2X				
	Area 1 ^b	Area 2 ^c						Area 1 ^b	Area 2 ^c	Area 1 ^b	Area 2 ^c	Area 1 ^b	Area 2 ^c	
Group 1	\$45.40	\$47.40	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$75.83	\$77.83	\$98.63	\$101.53	\$121.23	\$125.23
Group 2	\$43.76	\$45.76	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$74.19	\$76.19	\$96.07	\$99.07	\$117.95	\$121.95
Group 3	\$42.20	\$44.20	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$72.63	\$74.63	\$93.73	\$96.73	\$114.83	\$118.83
Group 4	\$40.68	\$42.68	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.11	\$73.11	\$91.45	\$94.45	\$111.79	\$115.79
Group 5	\$39.33	\$41.33	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.76	\$71.76	\$89.43	\$92.43	\$109.09	\$113.09
Group 6	\$37.89	\$39.89	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.32	\$70.32	\$87.27	\$90.27	\$106.21	\$110.21
Group 7	\$36.68	\$38.68	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.11	\$69.11	\$85.45	\$88.45	\$103.79	\$107.79
Group 8	\$35.47	\$37.47	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.90	\$67.90	\$83.64	\$86.64	\$101.37	\$105.37
Group 8-A	\$33.08	\$35.08	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$63.51	\$65.51	\$80.05	\$83.05	\$96.59	\$100.59
ALL CRANES AND ATTACHMENTS:														
Group 1	\$47.11	\$49.11	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$77.54	\$79.54	\$101.10	\$104.10	\$124.65	\$128.65
Group 1-A	\$46.36	\$48.36	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$76.79	\$78.79	\$99.97	\$102.97	\$123.15	\$127.15
Truck Crane Assistant to Engineer	\$38.87	\$40.87	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.30	\$71.30	\$88.74	\$91.74	\$108.17	\$112.17
Assistant to Engineer	\$36.41	\$38.41	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.84	\$68.84	\$85.05	\$88.05	\$103.25	\$107.25
Group 2-A	\$44.45	\$46.45	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$74.88	\$76.88	\$97.11	\$100.11	\$119.33	\$123.33
Truck Crane Assistant to Engineer	\$38.60	\$40.60	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.03	\$71.03	\$88.33	\$91.33	\$107.63	\$111.63
Assistant to Engineer	\$36.17	\$38.17	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.60	\$68.60	\$84.69	\$87.69	\$102.77	\$106.77
Group 3-A	\$42.59	\$44.59	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$73.02	\$75.02	\$94.32	\$97.32	\$115.61	\$119.61
Truck Crane Assistant to Engineer	\$38.33	\$40.33	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.76	\$70.76	\$87.93	\$90.93	\$107.09	\$111.09
Hydraulic	\$37.89	\$39.89	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.32	\$70.32	\$87.27	\$90.27	\$106.21	\$110.21
Assistant to Engineer	\$35.89	\$37.89	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.32	\$68.32	\$84.27	\$87.27	\$102.21	\$106.21
Group 4-A	\$39.33	\$41.33	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.76	\$71.76	\$89.43	\$92.43	\$109.09	\$113.09

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a For classifications within each group, see pages 39B-40.

^b **AREA 1** - Butte, Kings, Merced, Napa, Sacramento, San Benito, San Joaquin, Santa Cruz, Stanislaus, Sutter, Yolo, and Yuba counties; and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity counties.

^c **AREA 2** - Modoc, and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity counties. (Portions of counties falling in each area detailed on page 41).

^d Saturday in the same work week may be worked at straight-time if a job is shut down during the normal work week due to inclement weather.

^e Includes an amount for supplemental dues.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: STEEL ERECTOR AND FABRICATOR (OPERATING ENGINEER-HEAVY AND HIGHWAY WORK)^o

DETERMINATION: NC-23-63-1-2016-2D

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^d	Training	Other Payments	Hours ^a	Total Hourly Rate	Daily ^b 1 1/2X	Saturday ^{a,b} 1 1/2X	Sunday and Holiday 2X
Group A-1	\$45.27	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$75.70	\$98.34	\$98.34	\$120.97
Group 1	\$44.52	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$74.95	\$97.21	\$97.21	\$119.47
Truck Crane Assistant to Engineer	\$37.20	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.63	\$86.23	\$86.23	\$104.83
Assistant to Engineer	\$34.97	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.40	\$82.89	\$82.89	\$100.37
Group 2	\$42.75	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$73.18	\$94.56	\$94.56	\$115.93
Truck Crane Assistant to Engineer	\$36.98	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.41	\$85.90	\$85.90	\$104.39
Assistant to Engineer	\$34.70	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.13	\$82.48	\$82.48	\$99.83
Group 3	\$41.27	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.70	\$92.34	\$92.34	\$112.97
Truck Crane Assistant to Engineer	\$36.71	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.14	\$85.50	\$85.50	\$103.85
Hydraulic	\$36.32	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.75	\$84.91	\$84.91	\$103.07
Assistant to Engineer	\$34.48	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.91	\$82.15	\$82.15	\$99.39
Group 4	\$39.25	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.68	\$89.31	\$89.31	\$108.93
Group 5	\$37.95	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.38	\$87.36	\$87.36	\$106.33

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Saturday in the same work week may be worked at straight-time rates if a job is shut down during the normal work week due to inclement weather.

^b Rate applies to the first 2 daily overtime hours and the first 8 hours on Saturday only. All other time is paid at the Sunday/Holiday overtime rate.

^c For Building Construction, see page 40B

^d Includes an amount for supplemental dues.

^e When three shifts are employed for five (5) or more consecutive days, seven and one-half (7 1/2) consecutive hours (exclusive of meal period), shall constitute a day of work, for which eight (8) times the straight time hourly rate shall be paid at the non-shift wage rate for the second shift. The third shift shall be seven (7) hours of work for eight (8) hours of pay at the non-shift wage rate.

GROUP A-1

Cranes over 350 Tons
Derrick over 350 Tons
Self Propelled Boom Type Lifting Devices over 350 Tons

GROUP 1

Cranes over 100 tons
Derrick over 100 tons
Self Propelled Boom Type Lifting Device over 100 tons

GROUP 2

Cranes over 45 tons up to and including 100 tons
Derrick, 100 tons and under
Self Propelled Boom Type Lifting Device, over 45 tons
Tower Crane

GROUP 3

Cranes, 45 tons and under
Self Propelled Boom Type Lifting Device, 45 tons and under

GROUP 4

Chicago Boom
Forklift, 10 tons and over
Heavy Duty Repairman/Welder

GROUP 5

Boom Cal

NOTE: For Special Single and Second Shift rates, please see page 45A.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

**CRAFT: STEEL ERECTOR AND FABRICATOR (OPERATING ENGINEER-HEAVY AND HIGHWAY WORK)^c
(SPECIAL SINGLE AND SECOND SHIFT)**

DETERMINATION: NC-23-63-1-2016-2D

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^d	Training	Other Payments	Hours	Total Hourly Rate	Daily ^b 1 1/2X	Saturday ^{a,b} 1 1/2X	Sunday and Holiday 2X
Group A-1	\$49.82	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$80.25	\$105.16	\$105.16	\$130.07
Group 1	\$49.07	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$79.50	\$104.04	\$104.04	\$128.57
Truck Crane Assistant to Engineer	\$40.84	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.27	\$91.69	\$91.69	\$112.11
Assistant to Engineer	\$38.32	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.75	\$87.91	\$87.91	\$107.07
Group 2	\$47.09	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$77.52	\$101.07	\$101.07	\$124.61
Truck Crane Assistant to Engineer	\$40.59	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.02	\$91.32	\$91.32	\$111.61
Assistant to Engineer	\$38.04	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.47	\$87.49	\$87.49	\$108.51
Group 3	\$45.41	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$75.84	\$98.55	\$98.55	\$121.25
Truck Crane Assistant to Engineer	\$40.29	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.72	\$90.87	\$90.87	\$111.01
Hydraulic	\$39.86	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.29	\$90.22	\$90.22	\$110.15
Assistant to Engineer	\$37.78	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.21	\$87.10	\$87.10	\$105.99
Group 4	\$43.15	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$73.58	\$95.16	\$95.16	\$116.73
Group 5	\$41.68	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$72.11	\$92.95	\$92.95	\$113.79

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Saturday in the same work week may be worked at straight-time rates if a job is shut down during the normal work week due to inclement weather.

^b Rate applies to the first 2 daily overtime hours and the first 8 hours on Saturday only. All other time is paid at the Sunday/Holiday overtime rate.

^c For Building Construction, see page 40B

^d Includes an amount for supplemental dues.

GROUP A-1

Cranes over 350 Tons
Derrick over 350 Tons
Self Propelled Boom Type Lifting Devices over 350 Tons

GROUP 1

Cranes over 100 tons
Derrick over 100 tons
Self Propelled Boom Type Lifting Device over 100 tons

GROUP 2

Cranes over 45 tons up to and including 100 tons
Derrick, 100 tons and under
Self Propelled Boom Type Lifting Device, over 45 tons
Tower Crane

GROUP 3

Cranes, 45 tons and under
Self Propelled Boom Type Lifting Device, 45 tons and under

GROUP 4

Chicago Boom
Forklift, 10 tons and over
Heavy Duty Repairman/Welder

GROUP 5

Boom Cat

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

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GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR LANDSCAPE CONSTRUCTION PROJECTS

CRAFT: # OPERATING ENGINEER

TERMINATION: NC-63-3-75-2016-1

ISSUE DATE: February 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after 10 days after the expiration date if no subsequent determination is issued.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

Classification (Journey person)	Basic Hourly Rate	Employer Payments						Straight-Time		Overtime Hourly Rate			
		Health and Welfare	Pension and Holiday ^d	Vacation	Training	Other Payments	Hours	Total Hourly Rate	Daily 1 1/2X	Saturday ^e 1 1/2X	Sunday and Holiday 2X		
Classification Group ^a	Area 1 ^b Area 2 ^c							Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c
Group I	\$31.03 33.03	13.28	10.35	3.57	0.71	0.88	8	59.82 61.82	75.335 78.335	75.335 78.335	90.85 94.85		
Group II	27.43 29.43	13.28	10.35	3.57	0.71	0.88	8	56.22 58.22	69.935 72.935	69.935 72.935	83.65 87.65		
Group III	22.82 24.82	13.28	10.35	3.57	0.71	0.88	8	51.61 53.61	63.02 66.02	63.02 66.02	74.43 78.43		
Group IV	20.11 22.11	13.28	10.35	3.57	0.71	0.88	8	48.90 50.90	58.955 61.955	58.955 61.955	69.01 73.01		

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a For classifications within each group, see below.

^b **AREA 1** - Alameda, Butte, Contra Costa, Kings, Marin, Merced, Napa, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Solano, Stanislaus, Sutter, Yolo and Yuba Counties; and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity Counties.

^c **AREA 2** - Modoc, and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity Counties. (Portions of counties falling in each area detailed on page 41).

^d Includes an amount for Supplemental Dues.

^e Saturdays in the same work week may be worked at straight-time if a job is shut down during the normal work week due to inclement weather, major mechanical breakdown or shortage of materials beyond the control of the Individual Employer.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

CLASSIFICATIONS

Group I

Landscape Finish Grade Operator. All finish grade work regardless of the equipment used, and all equipment with a horsepower rating of more than 65.

Group II

Landscape Operator up to 65 H.P. All equipment with a manufacturer's horsepower rating of 65 or less except equipment covered by Group I or Group III. The following equipment shall be included in Group II except when used for finish work so long as its manufacturer's horsepower rating is 65 or less.

A-Frame and Winch Truck
Backhoe
Forklift (Jobsite)

HDR Welder - Landscape - Operating Engineer's Equipment
Hydro Seeder Machine
Roller
Rubber-Tired and Track Earthmoving Equipment
Skiploader
Straw Blowers
Trencher - 35 Horsepower up to 65 Horsepower

Group III

Landscape Utility Operator
Small Rubber-Tired Tractor
Trencher - Under 35 Horsepower

Group IV

Assistant Landscape Utility Operator

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR LANDSCAPE CONSTRUCTION PROJECTS

CRAFT: # OPERATING ENGINEER (SPECIAL SINGLE AND SECOND SHIFT)

DETERMINATION: NC-63-3-75-2016-1

ISSUE DATE: February 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after 10 days after the expiration date if no subsequent determination is issued.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

Classification (Journey person)	Basic Hourly Rate	Employer Payments						Straight-Time		Overtime Hourly Rate				
		Health and Welfare	Pension	Vacation and Holiday ^d	Training	Other Payments		Hours	Total Hourly Rate	Daily 1 1/2X	Saturday ^e 1 1/2X	Sunday & Holiday 2X		
Classification Group [#]	Area 1 ^b Area 2 ^c							Area 1 ^b Area 2 ^c		Area 1 Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c		
Group I	\$34.44 36.44	13.28	10.35	3.57	0.71	0.88	8	63.23 65.23	80.45 83.45	80.45 83.45	80.45 83.45	97.67 101.67		
Group II	30.39 32.39	13.28	10.35	3.57	0.71	0.88	8	59.18 61.18	74.375 77.375	74.375 77.375	74.375 77.375	89.57 93.57		
Group III	25.20 27.20	13.28	10.35	3.57	0.71	0.88	8	53.99 55.99	66.59 69.59	66.59 69.59	66.59 69.59	79.19 83.19		
Group IV	22.30 24.30	13.28	10.35	3.57	0.71	0.88	8	51.09 53.09	62.24 65.24	62.24 65.24	62.24 65.24	73.39 77.39		

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a For classifications within each group, see below.

^b **AREA 1** - Alameda, Butte, Contra Costa, Kings, Marin, Merced, Napa, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Solano, Stanislaus, Sutter, Yolo and Yuba Counties; and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Ti Tuolumne and Trinity Counties.

^c **AREA 2** - Modoc, and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity Counties. (Portions of counties falling in each area detailed on page 41).

^d Includes an amount for Supplemental Dues.

^e Saturdays in the same work week may be worked at straight-time if a job is shut down during the normal work week due to inclement weather, major mechanical breakdown or shortage of materials beyond the control of the Individual Employer.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

CLASSIFICATIONS

Group I

Landscape Finish Grade Operator. All finish grade work regardless of the equipment used, and all equipment with a horsepower rating of more than 65.

Group II

Landscape Operator up to 65 H.P. All equipment with a manufacturer's horsepower rating of 65 or less except equipment covered by Group I or Group III. The following equipment shall be included in Group II except when used for finish work so long as its manufacturer's horsepower rating is 65 or less.

A-Frame and Winch Truck
Backhoe
Forklift (Jobsite)

HDR Welder - Landscape - Operating Engineer's Equipment
Hydro Seeder Machine
Roller
Rubber-Tired and Track Earthmoving Equipment
Skiploader
Straw Blowers
Trencher - 35 Horsepower up to 65 Horsepower

Group III

Landscape Utility Operator
Small Rubber-Tired Tractor
Trencher - Under 35 Horsepower

Group IV

Assistant Landscape Utility Operator

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: # DREDGER OPERATING ENGINEER

(CLAMSHELL AND DIPPER DREDGING AND HYDRAULIC SUCTION DREDGING)

DETERMINATION: NC-63-3-12-2015-1

ISSUE DATE: August 22, 2015

EXPIRATION DATE OF DETERMINATION: June 30, 2016*. Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after ten days after the expiration date if no subsequent determination is issued.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification (Journey person)	Employer Payments							Straight-Time		Overtime Hourly Rate					
	Basic Hourly Rate	Health and Welfare	Pension ^e	Vacation and Holiday ^d	Training	Other Payments		Hours	Total Hourly Rate	Daily ^g 1 1/2X	Saturday ^h 1 1/2X	Sunday and Holiday 2X			
Classification Group ^a															
First Shift	Area 1 ^b	Area 2 ^c							Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c
Group 1	\$42.17	44.17	13.28	12.29	4.70	0.13	0.25	8	72.82 74.82	93.905 96.905	93.905 96.905	114.99 118.99			
Group 2	37.21	39.21	13.28	12.29	4.70	0.13	0.25	8	67.86 69.86	86.465 89.465	86.465 89.465	105.07 109.07			
Group 3	36.09	38.09	13.28	12.29	4.70	0.13	0.25	8	66.74 68.74	84.785 87.785	84.785 87.785	102.83 106.83			
Group 4	32.79	34.79	13.28	12.29	4.70	0.13	0.25	8	63.44 65.44	79.835 82.835	79.835 82.835	96.23 100.23			
Special Single & Second Shift	Area 1 ^b	Area 2 ^c							Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c	Area 1 ^b Area 2 ^c
Group 1	\$46.48	48.48	13.28	12.29	4.70	0.13	0.25	8	77.13 79.13	100.370 103.370	100.370 103.370	123.61 127.61			
Group 2	40.90	42.90	13.28	12.29	4.70	0.13	0.25	8	71.55 73.55	92.000 95.000	92.000 95.000	112.45 116.45			
Group 3	39.64	41.64	13.28	12.29	4.70	0.13	0.25	8	70.29 72.29	90.110 93.110	90.110 93.110	109.93 113.93			
Group 4	35.93	37.93	13.28	12.29	4.70	0.13	0.25	8	66.58 68.58	84.545 87.545	84.545 87.545	102.51 106.51			

indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @ <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a For classifications within each group, see below.

^b **AREA 1** - Alameda, Butte, Contra Costa, Kings, Marin, Merced, Napa, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Solano, Stanislaus, Sutter, Yolo and Yuba counties; and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Trinity, Tulare, and Tuolumne counties.

^c **AREA 2** - Modoc, and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Trinity, Tulare, and Tuolumne counties (Portions of counties falling in each area detailed on page 41).

^d Includes an amount for Supplemental Dues.

^e Includes an amount for Annuity Trust Fund.

^f Saturday in the same workweek may be worked at straight-time if a job is shut down during the normal workweek due to inclement weather.

^g Rate applies to the first 4 daily overtime hours Monday thru Friday and the first 12 hours on Saturday. All other time worked is paid at the Sunday and Holiday overtime rate.

GROUP 1

Chief Engineer
Day Mate (Captain)
Leverman/Operator

GROUP 2

Dredge Dozer
HDR/Welder

GROUP 3

Booster Pump Operator
Deck Engineer
Deck Mate
Dredge Tender
Watch Engineer
Welder
Winch Man

GROUP 4

Bargeman
Deckhand
Fireman
Leveehand
Oiler

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is set on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

**CRAFT: TRAFFIC CONTROL/LANE CLOSURE (LABORER)^h
AND
PARKING AND HIGHWAY IMPROVEMENT PAINTER (LABORER)**

DETERMINATION: NC-23-102-13-2016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

Classification (Journey person)	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate		
		Health and Welfare ^o	Pension ^a	Vacation and Holiday ^b	Training	Other Payments	Hours	Total Hourly Rate	Daily ^f 1 1/2X	Saturday ^{e,f} 1 1/2X	Sunday and Holiday ^g

TRAFFIC CONTROL AND RELATED CLASSIFICATIONS

AREA 1^d

Traffic Control Person I	29.34	7.84	11.06	2.63	0.45	0.22	8	51.54	66.21	66.21	80.88
Traffic Control Person II	26.84	7.84	11.06	2.63	0.45	0.22	8	49.04	62.46	62.46	75.88
Flag Person	29.04	7.84	11.06	2.63	0.45	0.22	8	51.24	65.76	65.76	80.28

AREA 2^d

Traffic Control Person I	28.34	7.84	11.06	2.63	0.45	0.22	8	50.54	64.71	64.71	78.88
Traffic Control Person II	25.84	7.84	11.06	2.63	0.45	0.22	8	48.04	60.96	60.96	73.88
Flag Person	28.04	7.84	11.06	2.63	0.45	0.22	8	50.24	64.26	64.26	78.28

DETERMINATION: NC-23-102-13-2016-2A

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, and Yuba Counties.

STRIPER AND RELATED CLASSIFICATIONS

Classification (Journey person)	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate		
		Health ^o and Welfare	Pension ^a	Vacation and Holiday ^b	Training	Other Payments	Hours	Total Hourly Rate	Daily ^f 1 1/2X	Saturday ^{e,f} 1 1/2X	Sunday and Holiday ^g
Group 1	32.58	7.84	10.25	2.48	0.45	0.19	8	53.79	70.08	70.08	86.37
Group 2	31.08	7.84	10.25	2.48	0.45	0.19	8	52.29	67.83	67.83	83.37
Group 3	29.33	7.84	10.25	2.48	0.45	0.19	8	50.54	65.205	65.205	79.87
Group 4	27.23	7.84	10.25	2.48	0.45	0.19	8	48.44	62.055	62.055	75.67

Group 1

Traffic Striping Applicator

Group 2

Traffic Delineating Device Applicator
Traffic Protective System Installer
Pavement Markings Applicator
Decorative Asphalt Surfacing Applicator

Group 3

Traffic Surface Abrasive Blaster
Pot Tender

Group 4

Parking Lots, Game Courts & Playground
Striping Applicator
Decorative Asphalt Surfacing Laborer

Footnotes are listed on page 44A

Determination: NC-23-102-13-2016-1 and NC-23-102-13-2016-2A

- # Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRI/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.
- a Includes an amount for the Annuity Trust Fund.
- b Includes an amount for Supplemental Dues.
- c Saturdays or scheduled sixth (6th) consecutive work day in the same work week may be worked at straight-time if the job is shut down during the normal work week due to inclement weather, major mechanical breakdown or lack of materials beyond the control of the employer.
- d **AREA 1** - Alameda, Contra Costa, Marin, San Francisco, San Mateo and Santa Clara Counties.
AREA 2 - Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Joaquin, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo and Yuba Counties.
- e Includes an amount for Retiree Health & Welfare
- f One and one-half (1-1/2) the straight time hourly rate of pay shall be paid for all work performed in excess of forty hours (40) a week or eight hours (8) a day and the sixth (6th) consecutive day worked or Saturdays.
- g Two times (2x) the straight time hourly rate of pay shall be paid for all work performed on the seventh (7th) consecutive day worked, or Sundays and holidays.
- h The rates of the Laborer classifications for the craft of Traffic Control/Lane Closure (Laborer) do not apply to traffic control work associated with parking and highway improvement projects in San Joaquin, Tuolumne, and Yolo Counties. For traffic control work associated with parking and highway improvement projects in these three counties, the minimum rate of pay is that of the Painter classifications for the craft of Parking and Highway Improvement Painter (Painter).

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRI/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRI/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Prevailing Wage Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: STEEL ERECTOR AND FABRICATOR (OPERATING ENGINEER-BUILDING CONSTRUCTION)

DETERMINATION: NC-23-63-1-2016-2D1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Joaquin, Santa Cruz, Shasta, Sierra, Siskiyou, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification ^b (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^c	Training	Other Payments	Hours ^d	Total Hourly Rate	Daily 1 1/2X	Saturday ^a 1 1/2X	Sunday and Holiday 2X
Group A-1	\$43.79	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$74.22	\$96.12	\$96.12	\$118.01
Group 1	\$43.04	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$73.47	\$94.99	\$94.99	\$116.51
Truck Crane Assistant to Engineer	\$36.05	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.48	\$84.51	\$84.51	\$102.53
Assistant to Engineer	\$33.91	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.34	\$81.30	\$81.30	\$98.25
Group 2	\$41.33	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.76	\$92.43	\$92.43	\$113.09
Truck Crane Assistant to Engineer	\$35.83	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.26	\$84.18	\$84.18	\$102.09
Assistant to Engineer	\$33.66	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.09	\$80.92	\$80.92	\$97.75
Group 3	\$39.94	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.37	\$90.34	\$90.34	\$110.31
Truck Crane Assistant to Engineer	\$35.58	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.01	\$83.80	\$83.80	\$101.59
Hydraulic	\$35.20	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.63	\$83.23	\$83.23	\$100.83
Assistant to Engineer	\$33.43	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$63.86	\$80.58	\$80.58	\$97.29
Group 4	\$38.01	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.44	\$87.45	\$87.45	\$106.45
Group 5	\$36.76	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.19	\$85.57	\$85.57	\$103.95

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Saturday in the same work week may be worked at straight-time rates if a job is shut down during the normal work week due to inclement weather.

^b For classifications within each group, see page 45.

^c Includes an amount for supplemental dues.

^d When three shifts are employed for five (5) or more consecutive days, seven and one-half (7 1/2) consecutive hours (exclusive of meal period), shall constitute a day of work, for which eight (8) times the straight time hourly rate shall be paid at the non-shift wage rate for the second shift. The third shift shall be seven (7) hours of work for eight (8) hours of pay at the non-shift wage rate.

NOTE: For Special Single and Second Shift rates, please see page 40D.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

**CRAFT: STEEL ERECTOR AND FABRICATOR (OPERATING ENGINEER-BUILDING CONSTRUCTION)
(SPECIAL SINGLE AND SECOND SHIFT)**

DETERMINATION: NC-23-63-1-2016-2D1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Joaquin, Santa Cruz, Shasta, Sierra, Siskiyou, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification ^b (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^c	Training	Other Payments	Hours	Total Hourly Rate	Daily 1 1/2X	Saturday ^a 1 1/2X	Sunday and Holiday 2X
Group A-1	\$48.16	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$78.50	\$102.67	\$102.67	\$126.75
Group 1	\$47.41	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$77.84	\$101.55	\$101.55	\$125.25
Truck Crane Assistant to Engineer	\$39.55	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.98	\$89.78	\$89.78	\$109.53
Assistant to Engineer	\$37.13	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.56	\$86.13	\$86.13	\$104.69
Group 2	\$45.49	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$75.92	\$98.67	\$98.67	\$121.41
Truck Crane Assistant to Engineer	\$39.29	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.72	\$89.37	\$89.37	\$109.01
Assistant to Engineer	\$36.86	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.29	\$85.72	\$85.72	\$104.15
Group 3	\$43.91	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$74.34	\$96.30	\$96.30	\$118.25
Truck Crane Assistant to Engineer	\$39.01	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.44	\$88.95	\$88.95	\$108.45
Hydraulic	\$38.60	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.03	\$88.33	\$88.33	\$107.63
Assistant to Engineer	\$36.58	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.01	\$85.30	\$85.30	\$103.59
Group 4	\$41.76	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$72.19	\$93.07	\$93.07	\$113.95
Group 5	\$40.34	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.77	\$90.94	\$90.94	\$111.11

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Saturday in the same work week may be worked at straight-time rates if a job is shut down during the normal work week due to inclement weather.

^b For classifications within each group, see page 45.

^c Includes an amount for supplemental dues.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: PILE DRIVER (OPERATING ENGINEER-HEAVY AND HIGHWAY WORK)

DETERMINATION: NC-23-63-1-2016-2B

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^b	Training	Other Payments	Hours ^d	Total Hourly Rate	Daily ^c 1 1/2X	Saturday ^a 1 1/2X	Sunday and Holiday 2X
Group A-1	\$44.64	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$75.07	\$97.39	\$97.39	\$119.71
Group 1	\$43.89	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$74.32	\$96.27	\$96.27	\$118.21
Truck Crane Assistant to Engineer	\$36.91	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.34	\$85.80	\$85.80	\$104.26
Assistant to Engineer	\$34.63	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.06	\$82.38	\$82.38	\$99.69
Group 2	\$42.07	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$72.50	\$93.54	\$93.54	\$114.57
Truck Crane Assistant to Engineer	\$38.66	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.09	\$85.42	\$85.42	\$103.75
Assistant to Engineer	\$34.36	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.79	\$81.97	\$81.97	\$99.15
Group 3	\$40.39	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.82	\$91.02	\$91.02	\$111.21
Truck Crane Assistant to Engineer	\$36.37	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.80	\$84.99	\$84.99	\$103.17
Assistant to Engineer	\$34.14	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.57	\$81.64	\$81.64	\$98.71
Group 4	\$38.62	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.05	\$88.36	\$88.36	\$107.67
Group 6	\$35.98	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.41	\$84.40	\$84.40	\$102.39
Group 8	\$33.75	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.18	\$81.06	\$81.06	\$97.93

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Saturday in the same work week may be worked at straight-time rates if a job is shut down during the normal work week due to inclement weather.

^b Includes an amount for supplemental dues.

^c Rate applies to the first 2 daily overtime hours only. All other time is paid at the double time rate.

^d When three shifts are employed for five (5) or more consecutive days, seven and one-half (7 1/2) consecutive hours (exclusive of meal period), shall constitute a day of work, for which eight (8) times the straight time hourly rate shall be paid at the non-shift wage rate for the second shift. The third shift shall be seven (7) hours of work for eight (8) hours of pay at the non-shift wage rate.

GROUP A-1

Cranes over 350 Tons
Derrick over 350 Tons
Self Propelled Boom Type Lifting Devices over 350 Tons

GROUP 1

Clamshells Over 7 Cu Yds
Derrick Barge Pedestal Mounted Over 100 Tons
Self Propelled Boom Type Lifting Device Over 100 Tons
Truck Crane Or Crawler, Land Or Barge Mounted Over 100 Tons

GROUP 2

Clamshells Up To And Including 7 Cu Yds
Derrick Barge Pedestal Mounted 45 Tons Up To And Including 100 Tons
Fundex F-12 Hydraulic Pile Rig
Self Propelled Boom Type Lifting Device Over 45 Tons
Truck Crane Or Crawler, Land Or Barge Mounted, Over 45 Tons
Up To And Including 100 Tons

GROUP 3

Derrick Barge Pedestal Mounted Under 45 Tons
Self Propelled Boom Type Lifting Device 45 Tons And Under
Shid/Scow Piledriver, Any Tonnage
Truck Crane Or Crawler, Land Or Barge Mounted 45 Tons And Under

GROUP 4

Assistant Operator
Forklift, 10 Tons And Over
Heavy Duty Repairman/Welder

GROUP 6

Deck Engineer

GROUP

Deckhand
Fireman

NOTE: For Special Single and Second Shift rates, please see page 47B.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 8700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: PILE DRIVER (OPERATING ENGINEER-HEAVY AND HIGHWAY WORK)
(SPECIAL SINGLE AND SECOND SHIFT)

DETERMINATION: NC-23-63-1-2016-2B

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^b	Training	Other Payments	Hours	Total Hourly Rate	Daily ^c 1 1/2X	Saturday ^a 1 1/2X	Sunday and Holiday 2X
Group A-1	\$49.11	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$79.54	\$104.10	\$104.10	\$128.65
Group 1	\$48.36	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$78.79	\$102.97	\$102.97	\$127.15
Truck Crane Assistant to Engineer	\$40.51	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.94	\$91.20	\$91.20	\$111.45
Assistant to Engineer	\$37.94	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.37	\$87.34	\$87.34	\$106.31
Group 2	\$46.31	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$76.74	\$99.90	\$99.90	\$123.05
Truck Crane Assistant to Engineer	\$40.24	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.67	\$90.79	\$90.79	\$110.91
Assistant to Engineer	\$37.64	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.07	\$86.89	\$86.89	\$105.71
Group 3	\$44.43	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$74.86	\$97.08	\$97.08	\$119.29
Truck Crane Assistant to Engineer	\$39.91	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.34	\$90.30	\$90.30	\$110.25
Assistant to Engineer	\$37.40	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.83	\$86.53	\$86.53	\$105.23
Group 4	\$42.43	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$72.86	\$94.08	\$94.08	\$115.29
Group 6	\$39.46	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.89	\$89.62	\$89.62	\$109.35
Group 8	\$36.96	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.39	\$85.87	\$85.87	\$104.35

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Saturday in the same work week may be worked at straight-line rates if a job is shut down during the normal work week due to inclement weather.

^b Includes an amount for supplemental dues.

^c Rate applies to the first 2 daily overtime hours only. All other time is paid at the double time rate.

GROUP A-1

Cranes over 350 Tons
Derrick over 350 Tons
Self Propelled Boom Type Lifting Devices over 350 Tons

GROUP 1

Clamshells Over 7 Cu Yds
Derrick Barge Pedestal Mounted Over 100 Tons
Self Propelled Boom Type Lifting Device Over 100 Tons
Truck Crane Or Crawler, Land Or Barge Mounted Over 100 Tons

GROUP 2

Clamshells Up To And Including 7 Cu Yds
Derrick Barge Pedestal Mounted 45 Tons Up To And Including 100 Tons
Fundex F-12 Hydraulic Pile Rig
Self Propelled Boom Type Lifting Device Over 45 Tons
Truck Crane Or Crawler, Land Or Barge Mounted, Over 45 Tons
Up To And Including 100 Tons

GROUP 3

Derrick Barge Pedestal Mounted Under 45 Tons
Self Propelled Boom Type Lifting Device 45 Tons And Under
Shid/Scow Piledriver, Any Tonnage
Truck Crane Or Crawler, Land Or Barge Mounted 45 Tons And Under

GROUP 4

Assistant Operator
Forklift, 10 Tons And Over
Heavy Duty Repairman/Welder

GROUP 5

Deck Engineer

GROUP

Deckhand
Fireman

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: PILE DRIVER (OPERATING ENGINEER-BUILDING CONSTRUCTION)

DETERMINATION: NC-23-63-1-2016-2B1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Joaquin, Santa Cruz, Shasta, Sierra, Siskiyou, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification ^b (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^c	Training	Other Payments	Hours ^d	Total Hourly Rate	Daily 1 1/2X	Saturday ^a 1 1/2X	Sunday and Holiday 2X
Group A-1	\$43.16	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$73.59	\$95.17	\$95.17	\$116.75
Group 1	\$42.41	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$72.84	\$94.05	\$94.05	\$115.25
Truck Crane Assistant to Engineer	\$35.76	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.19	\$84.07	\$84.07	\$101.95
Assistant to Engineer	\$33.69	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.02	\$80.82	\$80.82	\$97.61
Group 2	\$40.70	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.13	\$91.48	\$91.48	\$111.83
Truck Crane Assistant to Engineer	\$35.53	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.98	\$83.73	\$83.73	\$101.49
Assistant to Engineer	\$33.34	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$63.77	\$80.44	\$80.44	\$97.11
Group 3	\$39.09	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.52	\$89.07	\$89.07	\$108.61
Truck Crane Assistant to Engineer	\$35.26	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.69	\$83.32	\$83.32	\$100.95
Assistant to Engineer	\$33.11	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$63.54	\$80.10	\$80.10	\$96.65
Group 4	\$37.39	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.82	\$86.52	\$86.52	\$105.21
Group 6	\$34.89	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.32	\$82.77	\$82.77	\$100.21
Group 8	\$32.75	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$63.18	\$79.56	\$79.56	\$95.93

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Saturday in the same work week may be worked at straight-time rates if a job is shut down during the normal work week due to inclement weather.

^b For classifications within each group, see page 47.

^c Includes an amount for supplemental dues.

^d When three shifts are employed for five (5) or more consecutive days, seven and one-half (7 1/2) consecutive hours (exclusive of meal period), shall constitute a day of work, for which eight (8) times the straight time hourly rate shall be paid at the non-shift wage rate for the second shift. The third shift shall be seven (7) hours of work for eight (8) hours of pay at the non-shift wage rate.

NOTE: For Special Single and Second Shift rates, please see page 47C.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

**CRAFT: PILE DRIVER (OPERATING ENGINEER-BUILDING CONSTRUCTION)
(SPECIAL SINGLE AND SECOND SHIFT)**

DETERMINATION: NC-23-63-1-2016-2B1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Joaquin, Santa Cruz, Shasta, Sierra, Siskiyou, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification ^b (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^c	Training	Other Payments	Hours	Total Hourly Rate	Daily 1 1/2X	Saturday ^a 1 1/2X	Sunday and Holiday 2X
Group A-1	\$47.45	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$77.88	\$101.61	\$101.61	\$125.33
Group 1	\$46.70	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$77.13	\$100.48	\$100.48	\$123.83
Truck Crane Assistant to Engineer	\$39.22	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.65	\$89.28	\$89.28	\$108.87
Assistant to Engineer	\$36.78	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.21	\$85.60	\$85.60	\$103.99
Group 2	\$44.78	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$75.19	\$97.57	\$97.57	\$119.95
Truck Crane Assistant to Engineer	\$38.97	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.40	\$88.89	\$88.89	\$108.37
Assistant to Engineer	\$36.50	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.93	\$85.18	\$85.18	\$103.43
Group 3	\$42.97	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$73.40	\$94.89	\$94.89	\$116.37
Truck Crane Assistant to Engineer	\$38.66	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.09	\$88.42	\$88.42	\$107.75
Assistant to Engineer	\$36.23	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.66	\$84.78	\$84.78	\$102.89
Group 4	\$41.04	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.47	\$91.99	\$91.99	\$112.51
Group 6	\$38.23	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.66	\$87.78	\$87.78	\$106.89
Group 8	\$35.84	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.27	\$84.19	\$84.19	\$102.11

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Saturday in the same work week may be worked at straight-time rates if a job is shut down during the normal work week due to inclement weather.

^b For classifications within each group, see page 47.

^c Includes an amount for supplemental dues.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

XXX

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL, BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: #LABORER AND RELATED CLASSIFICATIONS

DETERMINATION: NC-23-102-1-2016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: JUNE 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: ALL LOCALITIES WITHIN ALAMEDA, ALPINE, AMADOR, BUTTE, CALAVERAS, COLUSA, CONTRA COSTA, DEL NORTE, EL DORADO, FRESNO, GLENN, HUMBOLDT, KINGS, LAKE, LASSEN, MADERA, MARIPOSA, MARIN, MENDOCINO, MERCED, MODOC, MONTEREY, NAPA, NEVADA, PLACER, PLUMAS, SACRAMENTO, SAN BENITO, SAN FRANCISCO, SAN JOAQUIN, SAN MATEO, SANTA CLARA, SANTA CRUZ, SHASTA, SIERRA, SISKIYOU, SOLANO, SONOMA, STANISLAUS, SUTTER, TEHAMA, TRINITY, TULARE, TUOLUMNE, YOLO, AND YUBA COUNTIES.

Classification ^a (Journey person)	Basic Hourly Rate ^b	Employer Payments						Straight-Time		Overtime Hourly Rate		
		Health and Welfare	Pension	Vacation and Holiday	Training	Other Payments	Hours	Total Hourly Rate		Daily 1 1/2X	Saturday ^c 1 1/2X	Sunday/ Holiday 2X
AREA 1^e												
Construction Specialist	29.99	7.84	11.06	2.63	0.45	0.22	8	52.19		67.185	67.185	82.18
Group 1; Group 1(B) ^e	29.29	7.84	11.06	2.63	0.45	0.22	8	51.49		66.135	66.135	80.78
Group 1 (A)	29.51	7.84	11.06	2.63	0.45	0.22	8	51.71		66.465	66.465	81.22
Group 1 (C)	29.34	7.84	11.06	2.63	0.45	0.22	8	51.54		66.21	66.21	80.88
Group 1 (E)	29.84	7.84	11.06	2.63	0.45	0.22	8	52.04		66.96	66.96	81.88
Group 1 (F-1)	29.87	7.84	11.06	2.63	0.45	0.22	8	52.07		67.005	67.005	81.94
Group 1 (F-2)	28.89	7.84	11.06	2.63	0.45	0.22	8	51.09		65.535	65.535	79.98
Group 1 (G)	29.49	7.84	11.06	2.63	0.45	0.22	8	51.69		66.435	66.435	81.18
Group 2	29.14	7.84	11.06	2.63	0.45	0.22	8	51.34		65.91	65.91	80.48
Group 3; Group 3(A)	29.04	7.84	11.06	2.63	0.45	0.22	8	51.24		65.76	65.76	80.28
Group 4; Group 6(B)	22.73	7.84	11.06	2.63	0.45	0.22	8	44.93		56.295 ^d	56.295 ^d	67.66 ^d
Group 6	30.25	7.84	11.06	2.63	0.45	0.22	8	52.45		67.575	67.575	82.70
Group 6 (A)	29.75	7.84	11.06	2.63	0.45	0.22	8	51.95		66.825	66.825	81.70
Group 6 (C)	29.16	7.84	11.06	2.63	0.45	0.22	8	51.36		65.94	65.94	80.52
Group 7 - Stage 1 (1 st 6 months)	20.33	7.84	11.06	2.63	0.45	0.22	8	42.53		52.695	52.695	62.86
Stage 2 (2 nd 6 months)	23.23	7.84	11.06	2.63	0.45	0.22	8	45.43		57.045	57.045	68.66
Stage 3 (3 rd 6 months)	26.14	7.84	11.06	2.63	0.45	0.22	8	48.34		61.41	61.41	74.48
AREA 2^e												
Construction Specialist	28.99	7.84	11.06	2.63	0.45	0.22	8	51.19		65.685	65.685	80.18
Group 1; Group 1(B) ^e	28.29	7.84	11.06	2.63	0.45	0.22	8	50.49		64.635	64.635	78.78
Group 1 (A)	28.51	7.84	11.06	2.63	0.45	0.22	8	50.71		64.965	64.965	79.22
Group 1 (C)	28.34	7.84	11.06	2.63	0.45	0.22	8	50.54		64.71	64.71	78.88
Group 1 (E)	28.84	7.84	11.06	2.63	0.45	0.22	8	51.04		65.46	65.46	79.88
Group 1 (F-1)	28.87	7.84	11.06	2.63	0.45	0.22	8	51.07		65.505	65.505	79.94
Group 1 (F-2)	27.89	7.84	11.06	2.63	0.45	0.22	8	50.09		64.035	64.035	77.98
Group 2	28.14	7.84	11.06	2.63	0.45	0.22	8	50.34		64.41	64.41	78.48
Group 3; Group 3(A)	28.04	7.84	11.06	2.63	0.45	0.22	8	50.24		64.26	64.26	78.28
Group 4; Group 6(B)	21.73	7.84	11.06	2.63	0.45	0.22	8	43.93		54.795 ^d	54.795 ^d	65.66 ^d
Group 6	29.25	7.84	11.06	2.63	0.45	0.22	8	51.45		66.075	66.075	80.70
Group 6 (A)	28.75	7.84	11.06	2.63	0.45	0.22	8	50.95		65.325	65.325	79.70
Group 6 (C)	28.16	7.84	11.06	2.63	0.45	0.22	8	50.36		64.440	64.440	78.52
Group 7 - Stage 1 (1 st 6 months)	19.63	7.84	11.06	2.63	0.45	0.22	8	41.83		51.645	51.645	61.46
Stage 2 (2 nd 6 months)	22.43	7.84	11.06	2.63	0.45	0.22	8	44.63		55.845	55.845	67.06
Stage 3 (3 rd 6 months)	25.24	7.84	11.06	2.63	0.45	0.22	8	47.44		60.06	60.06	72.68

PLEASE GO TO PAGE 50 FOR CLASSIFICATIONS WITHIN EACH GROUP

INDICATES AN APPRENTICEABLE CRAFT. THE CURRENT APPRENTICE WAGE RATES ARE AVAILABLE ON THE INTERNET AT

[HTTP://WWW.DIR.CA.GOV/OPRL/PWAPPWAGE/PWAPPWAGESTART.HTM](http://www.dir.ca.gov/OPRL/PWAPPWAGE/PWAPPWAGESTART.HTM). TO OBTAIN ANY APPRENTICE WAGE RATES AS OF JULY 1, 2008 AND PRIOR TO SEPTEMBER 27, 2012, PLEASE CONTACT THE DIVISION OF APPRENTICESHIP STANDARDS OR REFER TO THE DIVISION OF APPRENTICESHIP STANDARDS' WEBSITE AT [HTTP://WWW.DIR.CA.GOV/DAS/DAS.HTM](http://www.dir.ca.gov/DAS/DAS.HTM).

a GROUP 1(D) - MAINTENANCE OR REPAIR TRACKMEN AND ROAD BEDS AND ALL EMPLOYEES PERFORMING WORK COVERED BY THIS CLASSIFICATION SHALL RECEIVE \$0.25 PER HOUR ABOVE THEIR REGULAR RATE FOR ALL WORK PERFORMED ON UNDERGROUND STRUCTURES NOT SPECIFICALLY COVERED HEREIN. THIS SHALL NOT APPLY TO WORK BELOW GROUND LEVEL IN OPEN CUT. THIS SHALL APPLY TO CUT AND COVER WORK OF SUBWAY CONSTRUCTION AFTER TEMPORARY COVER HAS BEEN PLACED.

GROUP 1(H) - ALL LABORERS WORKING OFF OR WITH OR FROM BOS'N CHAIRS, SWINGING SCAFFOLDS, BELTS RECEIVE \$0.25 PER HOUR ABOVE THEIR APPLICABLE WAGE RATE. THIS SHALL NOT APPLY TO LABORERS ENTITLED TO RECEIVE THE WAGE RATE SET FORTH IN GROUP 1(A).

b SATURDAYS IN THE SAME WORK WEEK MAY BE WORKED AT STRAIGHT-TIME IF JOB IS SHUT DOWN DURING THE NORMAL WORK WEEK DUE TO INCLEMENT WEATHER, MAJOR MECHANICAL BREAKDOWN OR LACK OF MATERIALS BEYOND THE CONTROL OF THE EMPLOYER.

c AREA 1 - ALAMEDA, CONTRA COSTA, MARIN, SAN FRANCISCO, SAN MATEO, AND SANTA CLARA COUNTIES.

AREA 2 - ALPINE, AMADOR, BUTTE, CALAVERAS, COLUSA, DEL NORTE, EL DORADO, FRESNO, GLENN, HUMBOLDT, KINGS, LAKE, LASSEN, MADERA, MARIPOSA, MENDOCINO, MERCED, MODOC, MONTEREY, NAPA, NEVADA, PLACER, PLUMAS, SACRAMENTO, SAN BENITO, SAN JOAQUIN, SANTA CRUZ, SHASTA, SIERRA, SISKIYOU, SOLANO, SONOMA, STANISLAUS, SUTTER, TEHAMA, TRINITY, TULARE, TUOLUMNE, YOLO AND YUBA COUNTIES.

d SERVICE LANDSCAPE LABORER ON NEW CONSTRUCTION MAY WORK ANY FIVE (5) DAYS WITHIN A WEEK.

e GROUP 1(B) RECEIVES AN ADDITIONAL AMOUNT EACH DAY. SEE PAGE 50 FOR DETAILS.

f WHEN THREE SHIFTS ARE EMPLOYED FOR FIVE (5) OR MORE CONSECUTIVE DAYS, SEVEN AND ONE-HALF (7 1/2) CONSECUTIVE HOURS (EXCLUSIVE OF MEAL PERIOD), SHALL CONSTITUTE A DAY OF WORK, FOR WHICH EIGHT (8) TIMES THE STRAIGHT TIME HOURLY RATE SHALL BE PAID AT THE NON-SHIFT WAGE RATE FOR THE SECOND SHIFT. THE THIRD SHIFT SHALL BE SEVEN (7) HOURS OF WORK FOR EIGHT (8) HOURS PAY AT THE NON-SHIFT WAGE RATE.

g ZONE PAY AT THREE DOLLARS (\$3.00) PER HOUR, FACTORED AT THE APPLICABLE OVERTIME MULTIPLE, WILL BE ADDED TO THE BASE RATE FOR WORK PERFORMED OUTSIDE THE FREE ZONE DESCRIBED BY THE BOUNDARIES ALONG TOWNSHIP AND RANGE LINES. PLEASE SEE TRAVEL AND SUBSISTENCE PROVISION FOR MAP DESCRIPTION AND EXCEPTIONS.

RECOGNIZED HOLIDAYS: HOLIDAYS UPON WHICH THE GENERAL PREVAILING HOURLY WAGE RATE FOR HOLIDAY WORK SHALL BE PAID, SHALL BE ALL HOLIDAYS IN THE COLLECTIVE BARGAINING AGREEMENT, APPLICABLE TO THE PARTICULAR CRAFT, CLASSIFICATION, OR TYPE OF WORKER EMPLOYED ON THE PROJECT, WHICH IS ON FILE WITH THE DIRECTOR OF INDUSTRIAL RELATIONS. IF THE PREVAILING RATE IS NOT BASED ON A COLLECTIVELY BARGAINED RATE, THE HOLIDAYS UPON WHICH THE PREVAILING RATE SHALL BE PAID SHALL BE AS PROVIDED IN SECTION 6700 OF THE GOVERNMENT CODE. YOU MAY OBTAIN THE HOLIDAY PROVISIONS FOR THE CURRENT DETERMINATIONS ON THE INTERNET AT [HTTP://WWW.DIR.CA.GOV/OPRL/PWD](http://www.dir.ca.gov/OPRL/PWD). HOLIDAY PROVISIONS FOR CURRENT OR SUPERSEDED DETERMINATIONS MAY BE OBTAINED BY CONTACTING THE OFFICE OF THE DIRECTOR - RESEARCH UNIT AT (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: IN ACCORDANCE WITH LABOR CODE SECTIONS 1773.1 AND 1773.9, CONTRACTORS SHALL MAKE TRAVEL AND/OR SUBSISTENCE PAYMENTS TO EACH WORKER TO EXECUTE THE WORK. YOU MAY OBTAIN THE TRAVEL AND/OR SUBSISTENCE PROVISIONS FOR THE CURRENT DETERMINATIONS ON THE INTERNET AT [HTTP://WWW.DIR.CA.GOV/OPRL/PWD](http://www.dir.ca.gov/OPRL/PWD). TRAVEL AND/OR SUBSISTENCE REQUIREMENTS FOR CURRENT OR SUPERSEDED DETERMINATIONS MAY BE OBTAINED BY CONTACTING THE OFFICE OF THE DIRECTOR - RESEARCH UNIT AT (415) 703-4774.

DETERMINATION: NC-23-102-1-2016-1 and NC-23-102-1-2016-1A

CONSTRUCTION SPECIALIST

ASPHALT IRONERS AND RAKERS
CHAINSAW
CONCRETE DIAMOND CHAINSAW
LASER BEAM IN CONNECTION WITH LABORER'S WORK
MASONRY AND PLASTER TENDER
CAST IN PLACE MANHOLE FORM SETTERS
PRESSURE PIPELAYERS
DAVIS TRENCHER - 300 OR SIMILAR TYPE (AND ALL SMALL TRENCHERS)
STATE LICENSED BLASTERS AS DESIGNATED
DIAMOND DRILLERS
DIAMOND CORE DRILLER
MULTIPLE UNIT DRILLS
HIGH SCALERS (INCLUDING DRILLING OF SAME)
HYDRAULIC DRILLS
CERTIFIED WELDER

GROUP 1 (FOR CONTRA COSTA COUNTY ONLY, USE GROUP 1 (G) FOR SOME OF THE FOLLOWING CLASSIFICATIONS)

ASPHALT SPREADER BOXES (ALL TYPES)
BARROW, WACKER AND SIMILAR TYPE TAMPERS
BUGGYMOBILE
CAULKERS, BANDERS, PIPEWRAPPERS, CONDUIT LAYERS, PLASTIC PIPELAYERS
CERTIFIED ASBESTOS AND MOLD REMOVAL WORKER
CERTIFIED HAZARDOUS WASTE WORKER (INCLUDING LEAD ABATEMENT)
COMPACTORS OF ALL TYPES
CONCRETE AND MAGNESITE MIXER AND 1/4 YARD
CONCRETE PAN WORK
CONCRETE SANDERS, CONCRETE SAW
CRIBBERS AND/OR SHORING
CUT GRANITE CURB SETTER
DRI PAK-IT MACHINE
FALLER, LOGLOADER AND BUCKER
FORM RAISERS, SLIP FORMS
GREEN CUTTERS
HEADERBOARD MEN, HUBSETTERS, ALIGNERS BY ANY METHOD
HIGH PRESSURE BLOW PIPE (1-1/2" OR OVER, 100 LBS. PRESSURE/OVER)
HYDRO SEEDER AND SIMILAR TYPE
JACKHAMMER OPERATORS
JACKING OF PIPE OVER 12 INCHES
JACKSON AND SIMILAR TYPE COMPACTORS
KETTLEMEN, POTMEN, AND MEN APPLYING ASPHALT, LAY-KOLD, CREOSOTE, LIME, CAUSTIC AND SIMILAR TYPE MATERIALS (APPLYING MEANS APPLYING DIPPING, OR HANDLING OF SUCH MATERIALS)
LAGGING, SHEETING, WHALING, BRACING, TRENCH-JACKING, LAGGING HAMMER
MAGNESITE, EPOXY RESIN, FIBER GLASS AND MASTIC WORKERS (WET/DRY)
NO JOINT PIPE AND STRIPPING OF SAME, INCLUDING REPAIR OF VOIDS
PAVEMENT BREAKERS AND SPADERS, INCLUDING TOOL GRINDER
PERMA CURBS
PRECAST-MANHOLE SETTERS
PIPELAYERS (INCLUDING GRADE CHECKING IN CONNECTION WITH PIPELAYING)
PRESSURE PIPE TESTER
POST HOLE DIGGERS-AIR, GAS, AND ELECTRIC POWER BROOM SWEEPERS
POWER TAMPERS OF ALL TYPES, EXCEPT AS SHOWN IN GROUP 2
RAM SET GUN AND STUD GUN
RIPRAP-STONEPAVER AND ROCK-SLINGER, INCLUDING PLACING OF SACKED CONCRETE AND/OR SAND (WET OR DRY) AND GABIONS AND SIMILAR TYPE
ROTARY SCARIFIER OR MULTIPLE HEAD CONCRETE CHIPPING SCARIFIER
ROTO AND DITCH WITCH
ROTOTILLER
SAND BLASTERS, POTMEN, GUNMEN, AND NOZZLEMAN
SIGNALING AND RIGGING
SKILLED WRECKER (REMOVING AND SALVAGING OF SASH, WINDOWS, DOORS, PLUMBING AND ELECTRIC FIXTURES)
TANK CLEANERS
TREE CLIMBERS
TRENCHLESS TECHNOLOGY LABORER- PIPE INSTALLATION, BURSTING, RELINING, OR SIMILAR
TRENCHLESS LABORER'S WORK, CAMERA CONTROLLER
TURBO BLASTER
VIBRA-SCREED-BULL FLOAT IN CONNECTION WITH LABORER'S WORK
VIBRATORS

GROUP 1 (A)

ALL WORK OF LOADING, PLACING AND BLASTING OF ALL POWDER & EXPLOSIVES OF WHATEVER TYPE, REGARDLESS OF METHOD USED FOR LOADING AND PLACING
JOY DRILL MODEL TWM-2A
GARDNER-DUNNIE MODEL DH 143 AND SIMILAR TYPE DRILLS
TRACK DRILLERS
JACK LEG DRILLERS
WAGON DRILLERS
MECHANICAL DRILLERS-ALL TYPES REGARDLESS OF TYPE OR METHOD OF POWER
MECHANICAL PIPE LAYER-ALL TYPES REGARDLESS OF TYPE OR METHOD OF POWER
BLASTERS AND POWDERMAN
TREE TOPPER
BIT GRINDER

GROUP 1 (B) -- SEE GROUP 1 RATES

SEWER CLEANERS (ANY WORKMEN WHO HANDLE OR COME IN CONTACT WITH RAW SEWAGE IN SMALL DIAMETER SEWERS) SHALL RECEIVE \$4.00 PER DAY ABOVE GROUP 1 WAGE RATES. THOSE WHO WORK INSIDE RECENTLY ACTIVE, LARGE DIAMETER SEWERS, AND ALL RECENTLY ACTIVE SEWER MANHOLES SHALL RECEIVE \$5.00 PER DAY ABOVE GROUP 1 WAGE RATES.

GROUP 1 (C)

BURNING AND WELDING IN CONNECTION WITH LABORER'S WORK
SYNTHETIC THERMOPLASTICS AND SIMILAR TYPE WELDING

GROUP 1 (D)

SEE FOOTNOTE A ON PAGE 49

GROUP 1 (E)

WORK ON AND/OR IN BELL HOLE FOOTINGS AND SHAFTS THEREOF, AND WORK ON AND IN DEEP FOOTINGS (DEEP FOOTINGS IS A HOLE 15 FEET OR MORE IN DEPTH) SHAFT IS AN EXCAVATION OVER FIFTEEN (15) FEET DEEP OF ANY TYPE

GROUP 1 (F-1)

ALIGNER OF WIRE WINDING MACHINE IN CONNECTION WITH GUNITING OR SHOT CRETE

GROUP 1 (F-2)

ALIGNER HELPER OF WIRE WINDING MACHINE IN CONNECTION WITH GUNITING OR SHOT CRETE

GROUP 1 (G) APPLIES ONLY TO WORK IN CONTRA COSTA COUNTY

PIPELAYERS (INCLUDING GRADE CHECKING IN CONNECTION WITH PIPELAYING), CAULKERS, BANDERS, PIPEWRAPPERS, CONDUIT LAYERS, PLASTIC PIPE LAYER, PRESSURE PIPE TESTER, NO JOINT PIPE AND STRIPPING OF SAME, INCLUDING REPAIR OF VOIDS, PRECAST MANHOLE SETTERS, CAST IN PLACE MANHOLE FORM SETTERS IN CONTRA COSTA COUNTY ONLY

GROUP 1 (H)

SEE FOOTNOTE A ON PAGE 49

GROUP 2

ASPHALT SHOVELERS
CEMENT DUMPERS AND HANDLING DRY CEMENT OR GYPSUM
CHOKE-SETTER AND RIGGER (CLEANING WORK)
CONCRETE BUCKET DUMPER AND CHUTEMAN
CONCRETE CHIPPING AND GRINDING
CONCRETE LABORERS (WET OR DRY)
DRILLERS HELPER, CHUCK TENDER, NIPPER (ONE CHUCKTENDER ON SINGLE MACHINE OPERATION WITH MINIMUM OF ONE CHUCKTENDER FOR EACH TWO MACHINES ON MULTIPLE MACHINE OPERATION. JACKHAMMERS IN NO WAY INVOLVED IN THIS ITEM.)
GUINEA CHASER (STAKEMAN), GROUT CREW
HIGH PRESSURE NOZZLEMAN, ADDUCTORS
HYDRAULIC MONITOR (OVER 100 LBS. PRESSURE)
LOADING AND UNLOADING, CARRYING AND HANDLING OF ALL RODS AND MATERIALS FOR USE IN REINFORCING CONCRETE CONSTRUCTION
PITTSBURGH CHIPPER, AND SIMILAR TYPE BRUSH SHREDDERS
SEMI-SKILLED WRECKER (SALVAGING OF OTHER BUILDING MATERIALS) - SEE ALSO SKILLED WRECKER (GROUP 1)
SLOPER
SINGLEFOOT, HAND HELD, PNEUMATIC TAMPER
ALL PNEUMATIC, AIR, GAS AND ELECTRIC TOOLS NOT LISTED IN GROUPS 1 THROUGH 1 (F)
JACKING OF PIPE UNDER 12 INCHES

GROUP 3

CONSTRUCTION LABORERS INCLUDING BRIDGE LABORERS, GENERAL LABORERS AND CLEANUP LABORERS
DEMOLITION WORKER
DUMPMAN, LOAD SPOTTER
FLAGPERSON/PEDESTRIAN MONITOR
FIRE WATCHER
FENCE ERECTORS, INCLUDING TEMPORARY FENCING
GUARDRAIL ERECTORS
GARDENER, HORTICULTURAL AND LANDSCAPE LABORERS (SEE GROUP 4, FOR LANDSCAPE MAINTENANCE ON NEW CONSTRUCTION DURING PLANT ESTABLISHMENT PERIOD)
JETTING
LIMBERS, BRUSH LOADERS, AND FILERS
PAVEMENT MARKERS (BUTTON SETTERS)
PAVERS/INTERLOCKING PAVERS (ALL TYPES) AND INTERLOCKING PAVEMENT MACHINES
MAINTENANCE, REPAIR TRACKMEN AND ROAD BEDS
STREETCAR AND RAILROAD CONSTRUCTION TRACK LABORERS
TEMPORARY AIR AND WATER LINES, VICTAULIC OR SIMILAR
TOOL ROOM ATTENDANT (JOBSITE ONLY)
WHEELBARROW, INCLUDING POWER DRIVEN

GROUP 3 (A) -- SEE GROUP 3 RATES

COMPOSITE CREW PERSON (OPERATION OF VEHICLES, WHEN IN CONJUNCTION WITH LABORER'S DUTIES)

GROUP 4

ALL FINAL CLEANUP OF DEBRIS, GROUNDS AND BUILDINGS NEAR THE COMPLETION OF THE PROJECT INCLUDING BUT NOT LIMITED TO STREET CLEANERS (NOT APPLICABLE TO ENGINEERING OR HEAVY HIGHWAY PROJECTS)
CLEANING AND WASHING WINDOWS (NEW CONSTRUCTION ONLY), SERVICE LANDSCAPE LABORERS (SUCH AS GARDENER, HORTICULTURE, MOWING, TRIMMING, REPLANTING, WATERING DURING PLANT ESTABLISHMENT PERIOD) ON NEW CONSTRUCTION
BRICK CLEANERS (JOB SITE ONLY)
MATERIAL CLEANERS (JOB SITE ONLY)

NOTE: AN ADDITIONAL DETERMINATION FOR LANDSCAPE MAINTENANCE WORK AFTER THE PLANT ESTABLISHMENT PERIOD OR WARRANTY PERIOD IS PUBLISHED ON PAGE 57 OF THESE GENERAL DETERMINATIONS.

GROUP 6

STRUCTURAL NOZZLEMAN

GROUP 6 (A)

NOZZLEMAN (INCLUDING GUNMAN, POTMAN)
RODMAN
GROUNDMAN

GROUP 6 (B) -- SEE GROUP 4 RATES

GUNITER TRAINEE (ONE GUNITER LABORER SHALL BE ALLOWED FOR EACH THREE (3) JOURNEYMAN (GROUP 6, 6A, 6C, OR GENERAL LABORER) ON A CREW. IN THE ABSENCE OF THE JOURNEYMAN, THE GUNITER TRAINEE RECEIVES THE JOURNEYMAN SCALE.).
NOTE: THIS RATIO APPLIES ONLY TO WORK ON THE SAME JOB SITE.

GROUP 6 (C)

REBOUNDMAN

GROUP 7

LANDSCAPE LABORER TRAINEE (RATIO FOR TRAINEES IS ONE IN THREE. AT LEAST ONE SECOND PERIOD TRAINEE AND AT LEAST ONE THIRD PERIOD TRAINEE MUST BE EMPLOYED BEFORE EMPLOYING ANOTHER FIRST PERIOD TRAINEE).
NOTE: THIS RATIO APPLIES ONLY TO WORK ON THE SAME JOB SITE.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: #LABORER AND RELATED CLASSIFICATIONS (Special Single and Second Shift)

DETERMINATION: NC-23-102-1-2016-1A

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: JUNE 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director-Research Unit for specific rates at (415) 703-4774.

LOCALITY: ALL LOCALITIES WITHIN ALAMEDA, ALPINE, AMADOR, BUTTE, CALAVERAS, COLUSA, CONTRA COSTA, DEL NORTE, EL DORADO, FRESNO, GLENN, HUMBOLDT, KINGS, LAKE, LASSEN, MADERA, MARIPOSA, MARIN, MENDOCINO, MERCED, MODOC, MONTEREY, NAPA, NEVADA, PLACER, PLUMAS, SACRAMENTO, SAN BENITO, SAN FRANCISCO, SAN JOAQUIN, SAN MATEO, SANTA CLARA, SANTA CRUZ, SHASTA, SIERRA, SISKIYOU, SOLANO, SONOMA, STANISLAUS, SUTTER, TEHAMA, TRINITY, TULARE, TUOLUMNE, YOLO, AND YUBA COUNTIES.

Classification ^a (Journey person)	Basic Hourly Rate ^f	Employer Payments					Straight-Time		Overtime Hourly Rate			
		Health and Welfare	Pension	Vacation and Holiday	Training	Other Payments	Hours	Total Hourly Rate	Daily	Saturday ^b	Sunday/ Holiday	
									1 1/2X	1 1/2X	2X	
AREA 1 ^c												
Construction Specialist	32.99	7.84	11.06	2.63	0.45	0.22	8	55.19	71.685	71.685	88.18	
Group 1; Group 1(B) ^e	32.29	7.84	11.06	2.63	0.45	0.22	8	54.49	70.635	70.635	86.78	
Group 1 (A)	32.51	7.84	11.06	2.63	0.45	0.22	8	54.71	70.965	70.965	87.22	
Group 1 (C)	32.34	7.84	11.06	2.63	0.45	0.22	8	54.54	70.71	70.71	86.88	
Group 1 (E)	32.84	7.84	11.06	2.63	0.45	0.22	8	55.04	71.46	71.46	87.88	
Group 1 (F-1)	32.87	7.84	11.06	2.63	0.45	0.22	8	55.07	71.505	71.505	87.94	
Group 1 (F-2)	31.89	7.84	11.06	2.63	0.45	0.22	8	54.09	70.035	70.035	85.98	
Group 1 (G)	32.49	7.84	11.06	2.63	0.45	0.22	8	54.69	70.935	70.935	87.18	
Group 2	32.14	7.84	11.06	2.63	0.45	0.22	8	54.34	70.41	70.41	86.48	
Group 3; Group 3(A)	32.04	7.84	11.06	2.63	0.45	0.22	8	54.24	70.26	70.26	86.28	
Group 4; Group 6(B)	25.73	7.84	11.06	2.63	0.45	0.22	8	47.93	60.795 ^d	60.795 ^d	73.66 ^d	
Group 6	33.25	7.84	11.06	2.63	0.45	0.22	8	55.45	72.075	72.075	88.70	
Group 6 (A)	32.75	7.84	11.06	2.63	0.45	0.22	8	54.95	71.325	71.325	87.70	
Group 6 (C)	32.16	7.84	11.06	2.63	0.45	0.22	8	54.36	70.44	70.44	86.52	
Group 7 – Stage 1 (1 st 6 months)	23.33	7.84	11.06	2.63	0.45	0.22	8	45.53	57.195	57.195	68.86	
Stage 2 (2 nd 6 months)	26.23	7.84	11.06	2.63	0.45	0.22	8	48.43	61.545	61.545	74.66	
Stage 3 (3 rd 6 months)	29.14	7.84	11.06	2.63	0.45	0.22	8	51.34	65.91	65.91	80.48	
AREA 2 ^c												
Construction Specialist	31.84	7.84	11.06	2.63	0.45	0.22	8	54.04	69.96	69.96	85.88	
Group 1; Group 1(B) ^e	31.14	7.84	11.06	2.63	0.45	0.22	8	53.34	68.91	68.91	84.48	
Group 1 (A)	31.36	7.84	11.06	2.63	0.45	0.22	8	53.56	69.24	69.24	84.92	
Group 1 (C)	31.19	7.84	11.06	2.63	0.45	0.22	8	53.39	68.985	68.985	84.58	
Group 1 (E)	31.69	7.84	11.06	2.63	0.45	0.22	8	53.89	69.735	69.735	85.58	
Group 1 (F-1)	31.72	7.84	11.06	2.63	0.45	0.22	8	53.92	69.78	69.78	85.64	
Group 1 (F-2)	30.74	7.84	11.06	2.63	0.45	0.22	8	52.94	68.31	68.31	83.68	
Group 2	30.99	7.84	11.06	2.63	0.45	0.22	8	53.19	68.685	68.685	84.18	
Group 3; Group 3(A)	30.89	7.84	11.06	2.63	0.45	0.22	8	53.09	68.535	68.535	83.98	
Group 4; Group 6(B)	24.58	7.84	11.06	2.63	0.45	0.22	8	46.78	59.07 ^d	59.07 ^d	71.36 ^d	
Group 6	32.10	7.84	11.06	2.63	0.45	0.22	8	54.30	70.35	70.35	86.40	
Group 6 (A)	31.60	7.84	11.06	2.63	0.45	0.22	8	53.80	69.60	69.60	85.40	
Group 6 (C)	31.01	7.84	11.06	2.63	0.45	0.22	8	53.21	68.715	68.715	84.22	
Group 7 – Stage 1 (1 st 6 months)	22.48	7.84	11.06	2.63	0.45	0.22	8	44.68	55.92	55.92	67.16	
Stage 2 (2 nd 6 months)	25.28	7.84	11.06	2.63	0.45	0.22	8	47.48	60.12	60.12	72.76	
Stage 3 (3 rd 6 months)	28.09	7.84	11.06	2.63	0.45	0.22	8	50.29	64.335	64.335	78.38	

PLEASE GO TO PAGE 50 FOR CLASSIFICATIONS WITHIN EACH GROUP

INDICATES AN APPRENTICEABLE CRAFT. THE CURRENT APPRENTICE WAGE RATES ARE AVAILABLE ON THE INTERNET AT

[HTTP://WWW.DIR.CA.GOV/OPRL/PWAPPWAGE/PWAPPWAGESTART.ASP](http://www.dir.ca.gov/OPRL/PWAPPWAGE/PWAPPWAGESTART.ASP). TO OBTAIN ANY APPRENTICE WAGE RATES AS OF JULY 1, 2008 AND PRIOR TO SEPTEMBER 27, 2012, PLEASE CONTACT THE DIVISION OF APPRENTICESHIP STANDARDS OR REFER TO THE DIVISION OF APPRENTICESHIP STANDARDS' WEBSITE AT [HTTP://WWW.DIR.CA.GOV/DAS/DAS.HTML](http://www.dir.ca.gov/DAS/DAS.HTML).

a GROUP 1(D) - MAINTENANCE OR REPAIR TRACKMEN AND ROAD BEDS AND ALL EMPLOYEES PERFORMING WORK COVERED BY THIS CLASSIFICATION SHALL RECEIVE \$0.25 PER HOUR ABOVE THEIR REGULAR RATE FOR ALL WORK PERFORMED ON UNDERGROUND STRUCTURES NOT SPECIFICALLY COVERED HEREIN. THIS SHALL NOT APPLY TO WORK BELOW GROUND LEVEL IN OPEN CUT. THIS SHALL APPLY TO CUT AND COVER WORK OF SUBWAY CONSTRUCTION AFTER TEMPORARY COVER HAS BEEN PLACED.

GROUP 1(H) - ALL LABORERS WORKING OFF OR WITH OR FROM BOS'N CHAIRS, SWINGING SCAFFOLDS, BELTS RECEIVE \$0.25 PER HOUR ABOVE THEIR APPLICABLE WAGE RATE. THIS SHALL NOT APPLY TO LABORERS ENTITLED TO RECEIVE THE WAGE RATE SET FORTH IN GROUP 1(A).

b SATURDAYS IN THE SAME WORK WEEK MAY BE WORKED AT STRAIGHT-TIME IF JOB IS SHUT DOWN DURING THE NORMAL WORK WEEK DUE TO INCLEMENT WEATHER, MAJOR MECHANICAL BREAKDOWN OR LACK OF MATERIALS BEYOND THE CONTROL OF THE EMPLOYER.

c AREA 1 - ALAMEDA, CONTRA COSTA, MARIN, SAN FRANCISCO, SAN MATEO, AND SANTA CLARA COUNTIES.

AREA 2 - ALPINE, AMADOR, BUTTE, CALAVERAS, COLUSA, DEL NORTE, EL DORADO, FRESNO, GLENN, HUMBOLDT, KINGS, LAKE, LASSEN, MADERA, MARIPOSA, MENDOCINO, MERCED, MODOC, MONTEREY, NAPA, NEVADA, PLACER, PLUMAS, SACRAMENTO, SAN BENITO, SAN JOAQUIN, SANTA CRUZ, SHASTA, SIERRA, SISKIYOU, SOLANO, SONOMA, STANISLAUS, SUTTER, TEHAMA, TRINITY, TULARE, TUOLUMNE, YOLO AND YUBA COUNTIES.

d SERVICE LANDSCAPE LABORER ON NEW CONSTRUCTION MAY WORK ANY FIVE (5) DAYS WITHIN A WEEK.

e GROUP 1(B) RECEIVES AN ADDITIONAL AMOUNT EACH DAY. SEE PAGE 50 FOR DETAILS.

f ZONE PAY AT THREE DOLLARS (\$3.00) PER HOUR, FACTORED AT THE APPLICABLE OVERTIME MULTIPLE, WILL BE ADDED TO THE BASE RATE FOR WORK PERFORMED OUTSIDE THE FREE ZONE DESCRIBED BY THE BOUNDARIES ALONG TOWNSHIP AND RANGE LINES. PLEASE SEE TRAVEL AND SUBSISTENCE PROVISIONS FOR MAP DESCRIPTION AND EXCEPTIONS.

RECOGNIZED HOLIDAYS: HOLIDAYS UPON WHICH THE GENERAL PREVAILING HOURLY WAGE RATE FOR HOLIDAY WORK SHALL BE PAID, SHALL BE ALL HOLIDAYS IN THE COLLECTIVE BARGAINING AGREEMENT, APPLICABLE TO THE PARTICULAR CRAFT, CLASSIFICATION, OR TYPE OF WORKER EMPLOYED ON THE PROJECT, WHICH IS ON FILE WITH THE DIRECTOR OF INDUSTRIAL RELATIONS. IF THE PREVAILING RATE IS NOT BASED ON A COLLECTIVELY BARGAINED RATE, THE HOLIDAYS UPON WHICH THE PREVAILING RATE SHALL BE PAID SHALL BE AS PROVIDED IN SECTION 6700 OF THE GOVERNMENT CODE. YOU MAY OBTAIN THE HOLIDAY PROVISIONS FOR THE CURRENT DETERMINATIONS ON THE INTERNET AT [HTTP://WWW.DIR.CA.GOV/OPRL/PWD](http://www.dir.ca.gov/OPRL/PWD). HOLIDAY PROVISIONS FOR CURRENT OR SUPERSEDED DETERMINATIONS MAY BE OBTAINED BY CONTACTING THE OFFICE OF THE DIRECTOR - RESEARCH UNIT AT (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: IN ACCORDANCE WITH LABOR CODE SECTIONS 1773.1 AND 1773.9, CONTRACTORS SHALL MAKE TRAVEL AND/OR SUBSISTENCE PAYMENTS TO EACH WORKER TO EXECUTE THE WORK. YOU MAY OBTAIN THE TRAVEL AND/OR SUBSISTENCE PROVISIONS FOR THE CURRENT DETERMINATIONS ON THE INTERNET AT [HTTP://WWW.DIR.CA.GOV/OPRL/PWD](http://www.dir.ca.gov/OPRL/PWD). TRAVEL AND/OR SUBSISTENCE REQUIREMENTS FOR CURRENT OR SUPERSEDED DETERMINATIONS MAY BE OBTAINED BY CONTACTING THE OFFICE OF THE DIRECTOR - RESEARCH UNIT AT (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: #TUNNEL WORKER (LABORER)

TERMINATION: NC-23-102-11-2012016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

Classification (Journey person)	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate		
		Health and Welfare	Pension	Vacation/ Holiday ^a	Training	Other Payments	Hours ^b	Total Hourly Rate	Daily 1 1/2X	Saturday ^c 1 1/2X	Sunday and Holiday
Diamond driller, groundman, gunite or shotcrete nozzleman	\$35.89	7.84	11.06	2.63	0.91	0.22	8	58.55	76.495	76.495	94.44
Rodman, shaft work and raise (below actual or excavated ground level)	\$35.66	7.84	11.06	2.63	0.91	0.22	8	58.32	76.15	76.15	93.98
Bit grinder, blaster, driller, powderman-heading, cherry pickerman-where car is lifted, concrete finisher in tunnel, concrete/screed man, grout pumpman and potman, gunite and shotcrete gunman and potman, headerman, high pressure nozzleman, miner-tunnel, including top and bottom man on shaft and raise work, nipper, nozzleman on slick line, sandblaster-potman (work assignment interchangeable)	\$35.41	7.84	11.06	2.63	0.91	0.22	8	58.07	75.775	75.775	93.48
Steel form raiser and setter, timberman, retimberman (wood or steel or substitute materials), tugger, cabletender, chucktender, powderman-primer house	\$35.41	7.84	11.06	2.63	0.91	0.22	8	58.07	75.775	75.775	93.48
Draborman, pavement breaker, bull gang-mucker, trackman, concrete crew-including rodding and spreading	\$34.96	7.84	11.06	2.63	0.91	0.22	8	57.62	75.10	75.10	92.58
Dumpman (any method), grout crew, reboundman, swamper/brakeman, watchman	\$34.42	7.84	11.06	2.63	0.91	0.22	8	57.08	74.29	74.29	91.50

When designated by an employer, state licensed blaster receives \$1.00 per hour above miner's rate.

Note: Rates for tunnel workers working in compressed air as well as their support classifications are available by request. Please contact the Office of the Director - Research Unit at (415) 703-4774.

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @

<http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes an amount for supplemental dues.

^b Saturdays in the same work week may be worked at straight-time if job is shut down during the normal workweek due to inclement weather. Excludes Alameda, Contra Costa, and San Francisco Counties.

^c All work performed on Saturdays, Sundays and Holidays shall be paid for at double (2x) the regular time hourly rate, except maintenance work, in the counties of Alameda, Contra Costa, and San Francisco.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: #TUNNEL WORKER (LABORER) (Special Single and Second Shift)

DETERMINATION: NC-23-102-11-2016-1A

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

Classification (Journey person)	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate		
		Health and Welfare	Pension	Vacation/ Holiday ^a	Training	Other Payments	Hours ^b	Total Hourly Rate	Daily 1 1/2X	Saturday ^c 1 1/2X	Sunday and Holiday
Diamond driller, groundman, gunite or shotcrete nozzleman	\$38.89	7.84	11.06	2.63	0.91	0.22	8	61.55	80.995	80.995	100.44
Rodman, shaft work and raise (below actual or excavated ground level)	\$38.66	7.84	11.06	2.63	0.91	0.22	8	61.32	80.65	80.65	99.98
Bit grinder, blaster, driller, powderman-heading, cherry pickerman-where car is lifted, concrete finisher in tunnel, concrete/screed man, grout pumpman and potman, gunite and shotcrete gunman and potman, headerman, high pressure nozzleman, miner-tunnel, including top and bottom man on shaft and raise work, nipper, nozzleman on slick line, sandblaster-potman (work assignment interchangeable)	\$38.41	7.84	11.06	2.63	0.91	0.22	8	61.07	80.275	80.275	99.48
Steel form raiser and setter, timberman, retimberman (wood or steel or substitute materials), tugger, cabletender, chucktender, powderman-primer house	\$38.41	7.84	11.06	2.63	0.91	0.22	8	61.07	80.275	80.275	99.48
Vibratorman, pavement breaker, bull gang-mucker, trackman, concrete crew-including rodding and spreading	\$37.96	7.84	11.06	2.63	0.91	0.22	8	60.62	79.60	79.60	98.58
Dumptman (any method), grout crew, reboundman, swamper/brakeman, watchman	\$37.42	7.84	11.06	2.63	0.91	0.22	8	60.08	78.79	78.79	97.50

When designated by an employer, state licensed blaster receives \$.50 per hour above miner's rate.

Note: Rates for tunnel workers working in compressed air as well as their support classifications are available by request. Please contact the Office of the Director - Research Unit at (415) 703-4774.

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @

<http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes an amount for supplemental dues.

^b Saturdays in the same work week may be worked at straight-time if job is shut down during the normal workweek due to inclement weather. Excludes Alameda, Contra Costa, and San Francisco Counties.

^c All work performed on Saturdays, Sundays and Holidays shall be paid for at double (2x) the regular time hourly rate, except maintenance work, in the counties of Alameda, Contra Costa, and San Francisco.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: # PARKING AND HIGHWAY IMPROVEMENT PAINTER (PAINTER) ^a

DETERMINATION: NC-200-X-17-2014-2

ISSUE DATE: August 22, 2014

EXPIRATION DATE OF DETERMINATION: June 30, 2015* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after ten days after the expiration date if no subsequent determination is issued.

LOCALITY: All localities within San Joaquin, Tuolumne, and Yolo counties.

CLASSIFICATION	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation/ Holiday ^c	Training	Other	Hours	Total Hourly Rate	Daily		Holiday
									1 1/2X	2X	
Striper; Layout and application of painted traffic stripes; hot thermo plastic; tape traffic stripes	^b 34.26	7.50	4.05	-	0.10	-	8	45.91	^d 63.04	80.17	80.17
Parking Lots, Gamecourts, playgrounds	^b 29.12	7.50	4.05	-	0.10	-	8	40.77	^d 55.33	69.89	69.89
Protective Coating, Resurfacing, Pavement Sealing, Including Repair When Done in Conjunction With Pavement Sealing	^b 29.46	7.50	4.05	-	0.10	-	8	41.11	^d 55.84	70.57	70.57

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a The minimum rate of pay for traffic control work associated with parking and highway improvement projects is that of the Painter classifications for the craft of Parking and Highway Improvement Painter (Painter) in San Joaquin, Tuolumne, and Yolo Counties.

^b Includes an amount withheld for Dues Check-Off.

^c Included in Basic Hourly Rate (\$1.91). Rate applies to the first 9 years of employment only; \$2.30 per hour worked for 10 years or more.

^d Rate applies to first 4 overtime hours in any one day and for work in excess of 40 hours in any one designated work week. All other overtime is paid at the double time rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: SLURRY SEAL WORKER

DETERMINATION: NC-830-X-69-2016-1

ISSUE DATE: February 22, 2016

EXPIRATION DATE OF DETERMINATION: March 31, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Glenn, Humboldt, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tuolumne, Yolo and Yuba Counties.

Classification (Journey person)	Employer Payments					Straight-Time		Overtime Hourly Rates		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation/ Holiday	Training	Hours	Total Hourly Rate	Daily 1 1/2X	2X	Holiday 2X
Sealer/Mixer	\$14.89	1.72	.90	^a .91	-	8	\$18.42	^b \$25.865	\$33.31	\$33.31
Shuttleperson, Applicator Operator, Squeegeeperson	13.18	1.72	.90	^a .91	-	8	16.71	^b 23.30	29.89	29.89
Traffic Surface Protective Coating Applicator	15.51	1.72	.90	^a .91	-	8	19.04	^b 26.795	34.55	34.55
Traffic Controlperson	10.00	1.72	.90	^a .91	-	8	13.53	^b 18.53	23.53	23.53

^a Rate applies to first year of employment only; \$1.28 per hour worked for employment over one year but less than 5 years; \$1.71 per hour worked for over 5 years but less than 10 years; \$2.08 per hour worked for 10 years or more. The overtime computations should be increased by any applicable increase in Vacation/Holiday pay.

^b Rate applies to first 4 overtime hours in any one day and for work in excess of 40 hours in any one designated work week. All other overtime is paid at the double time rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: ASBESTOS REMOVAL WORKER (LABORER)

DETERMINATION: NC-102-67-1-2016-1

ISSUE DATE: February 22, 2016

EXPIRATION DATE OF DETERMINATION: November 30, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after ten days after the expiration date if no subsequent determination is issued.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

Classification (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate	
	Basic Hourly Rate	Health and Welfare	Pension	Vacation/ Holiday ^a	Training	Other Payments	Hours	Total Hourly Rate	1-1/2X ^b	Holiday ^c 2X
Asbestos Removal Specialist II	26.71	5.74	5.64	2.64	0.44	0.15	8	41.32	54.675	68.03
Asbestos Removal Specialist I	23.77	5.74	1.71	2.64	0.44	0.15	8	34.45	46.335	58.22
Asbestos Removal Worker	20.66	5.74	1.20	2.64	0.44	0.15	8	30.83	41.16	51.49

TERMINATION: NC-102-67-1-2016-1A

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: November 30, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after ten days after the expiration date if no subsequent determination is issued.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

Lead Removal Worker ^d	30.00	7.84	10.31	2.73	0.46	0.15	8	51.49	66.49	81.49
Lead Removal Worker ^e	29.00	7.84	10.31	2.73	0.46	0.15	8	50.49	64.99	79.49

^a Includes an amount for Supplemental Dues.

^b Rate applies to the first 4 overtime hours in any workday or 40 hours in a workweek, and for the first 8 hours worked on the 7th consecutive day of work in a workweek.

^c Rate applies to Holidays and to all hours worked in excess of 12 hours in any workday and for all hours worked in excess of 8 hours on the 7th consecutive day of work in a workweek.

^d Rate applies to all localities within Alameda, Contra Costa, Marin, Napa, San Francisco, San Mateo and Santa Clara Counties.

^e Rate applies to all localities within Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Modoc, Monterey, Nevada, Placer, Plumas, Sacramento, San Benito, San Joaquin, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo and Yuba Counties.

(Recognized Holidays and Subsistence Payment footnotes listed on page 52C)

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NOTE: Asbestos Removal Workers must be trained and the work conducted according to the Code of Federal Regulations 29 CFR 1926.58, the California Labor Code 6501.5 and the California Code of Regulations, Title 8, Section 5208. Contractors must be certified by the Contractors' State License Board and registered with the Division of Occupational Safety and Health (DOSH). For further information, contact the Asbestos Contractors Abatement Registration Unit, DOSH at (510) 286-7362.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

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GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: # CEMENT MASON

DETERMINATION: NC-23-203-1-2016-2

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

CLASSIFICATION (JOURNEYPERSON)	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate		
		Health and Welfare	Pension	Vacation and Holiday	Training	Other	Hours ^b	Total Hourly Rate	Daily 1 1/2X	Saturday ^c 1 1/2X	Sunday and Holiday
Cement Mason	\$32.15	8.28	10.55	5.59 ^a	0.54	0.10	8	57.21	73.285	73.285 ^d	89.36
Mastic Magnesite Gypsum, Epoxy, Polyester, Resin and all composition masons, swing or slip form scaffolds	\$33.15	8.28	10.55	5.59 ^b	0.54	0.10	8	58.21	74.785	74.785 ^d	91.36

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @ <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes an amount for supplemental dues.

^b Where multiple shifts are worked, the day shift shall work eight (8) hours and for such work they shall be paid the regular straight time rate for eight (8) hours; the second (2nd) shift shall work seven and one-half (7 ½) hours, and for such work they shall be paid the regular straight time rate for eight (8) hours; if a third (3rd) shift is worked, they shall work seven (7) hours and for such work they shall be paid eight (8) hours regular straight time pay. No multiple shift shall be started for less than five (5) consecutive days.

^c Saturdays in the same work week may be worked at straight time if a job is shut down during the normal work week due to inclement weather or major mechanical breakdown (limited to curb and gutter machine, concrete pump, and concrete plant).

^d Rate applies to the first 8 hours of work on Saturday. All other hours worked on Saturday are paid at the Sunday/Holiday rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

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GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: # CEMENT MASON (SPECIAL SINGLE SHIFT)

DETERMINATION: NC-23-203-1A-2016-2

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

CLASSIFICATION (JOURNEYPERSON)	Employer Payments						Straight-Time		Overtime Hourly Rate		
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday	Training	Other	Hours	Total Hourly Rate	Daily 1 1/2X	Saturday ^b 1 1/2X	Sunday and Holiday
Cement Mason	\$35.15	8.28	10.55	5.59 ^a	0.54	0.10	8	60.21	77.785	77.785 ^c	95.36
Mastic Magnesite Gypsum, Epoxy, Polyester, Resin and all composition masons, swing or slip form scaffolds	\$36.15	8.28	10.55	5.59 ^a	0.54	0.10	8	61.21	79.285	79.285 ^c	97.36

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @ <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Includes an amount for supplemental dues.

^b Saturdays in the same work week may be worked at straight time if a job is shut down during the normal work week due to inclement weather or major mechanical breakdown (limited to curb and gutter machine, concrete pump, and concrete plant).

^c Rate applies to the first 8 hours of work on Saturday. All other hours worked on Saturday are paid at the Sunday/Holiday rate.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

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**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: #BUILDING/CONSTRUCTION INSPECTOR AND FIELD SOILS AND MATERIAL TESTER

DETERMINATION: NC-63-3-9-2016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo and Yuba counties.

CLASSIFICATION (JOURNEYPERSON)	Basic Hourly Rate	Health and Welfare ^a	Employer Payments				Straight-Time		Overtime Hourly Rate		
			Pension	Vacation and Holiday	Training	Other Payment	Hours	Total Hourly Rate	Daily ^b 1 1/2X	Saturday ^b 1 1/2X	Sunday/ Holiday 2X
Group 1	\$43.56	13.63	9.44	5.94	0.78	0.19	8	73.54	95.32	95.32	117.10
Group 2	41.56	13.63	9.44	5.94	0.78	0.19	8	71.54	92.32	92.32	113.10
Group 3	35.42	13.63	9.44	5.94	0.78	0.19	8	65.40	83.11	83.11	100.82
Group 4	30.19	13.63	9.44	5.94	0.78	0.19	8	60.17	75.265	72.265	90.36

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @ <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Amount shall be paid for all hours worked up to 173 hours per month.

^b Rate applies to the first 4 daily overtime hours, Monday through Friday, and the first 8 hours on Saturday. All other overtime is paid at the Sunday/Holiday overtime rate.

CLASSIFICATIONS:

<u>Group 1</u>	<u>Group 2</u>	<u>Group 3</u>	<u>Group 4</u>
ASNT Level II-III	AWS-CWI	Geotechnical Driller	ACI
DSA Masonry	ICC Certified Structural Inspector	Soils/Asphalt	Drillers Helper
DSA Shotcrete	NICET Level III	Earthwork Grading	ICC Fireproofing
Lead Inspector	Shear Wall/Floor System Inspector	Excavation and Backfill	NICET Level I
NICET Level IV	Building/Construction Inspector	NICET Level II	Proofload Testing
NDT Level Two			Torque Testing
			NACE
			NDT Level One

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: #BUILDING/CONSTRUCTION INSPECTOR AND FIELD SOILS AND MATERIAL TESTER (SECOND SHIFT)

DETERMINATION: NC-63-3-9-2016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo and Yuba counties.

CLASSIFICATION (JOURNEYPERSON)	Basic Hourly Rate	Health and Welfare ^a	Employer Payments				Other Payment	Straight-Time Hours	Total Hourly Rate	Overtime Hourly Rate		
			Pension	Vacation and Holiday	Training					Daily ^b 1 1/2X	Saturday ^b 1 1/2X	Sunday/ Holiday 2X
Group 1	\$49.01	13.63	9.44	5.94	0.78	0.19	8	78.99	103.495	103.495	128.00	
Group 2	46.76	13.63	9.44	5.94	0.78	0.19	8	76.74	100.12	100.12	123.50	
Group 3	39.85	13.63	9.44	5.94	0.78	0.19	8	69.83	89.755	89.755	109.68	
Group 4	33.96	13.63	9.44	5.94	0.78	0.19	8	63.94	80.92	80.92	97.90	

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @

<http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a Amount shall be paid for all hours worked up to 173 hours per month.

^b Rate applies to the first 4 daily overtime hours, Monday through Friday, and the first 8 hours on Saturday. All other overtime is paid at the Sunday/Holiday overtime rate.

CLASSIFICATIONS:

<u>Group 1</u>	<u>Group 2</u>	<u>Group 3</u>	<u>Group 4</u>
ASNT Level II-III	AWS-CWI	Geotechnical Driller	ACI
DSA Masonry	ICC Certified Structural Inspector	Soils/Asphalt	Drillers Helper
DSA Shotcrete	NICET Level III	Earthwork Grading	ICC Fireproofing
Lead Inspector	Shear Wall/Floor System Inspector	Excavation and Backfill	NICET Level I
NICET Level IV	Building/Construction Inspector	NICET Level II	Proofload Testing
NDT Level Two			Torque Testing
			NACE
			NDT Level One

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: TEAMSTER (APPLIES ONLY TO WORK ON THE CONSTRUCTION SITE)

DETERMINATION: NC-23-261-1-2015-1

ISSUE DATE: August 22, 2015

EXPIRATION DATE OF DETERMINATION: June 30, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after ten days after the expiration date if no subsequent determination is issued.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

Classification ^g (Journey person)	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate		
		Health and Welfare	Pension	Vacation/ Holiday	Training	Other Payments	Hours	Total Hourly Rate	Daily 1 1/2X	Saturday ^b 1 1/2X	Sunday/ Holiday 2X
Group 1	\$28.57	\$16.22	\$6.00	\$2.15	\$0.85	^a \$0.58	8	\$54.37	\$68.655	\$68.655	\$82.94
Group 2	28.87	16.22	6.00	2.15	0.85	^a 0.58	8	54.67	69.105	69.105	83.54
Group 3	29.17	16.22	6.00	2.15	0.85	^a 0.58	8	54.97	69.555	69.555	84.14
Group 4	29.52	16.22	6.00	2.15	0.85	^a 0.58	8	55.32	70.08	70.08	84.84
Group 5	29.87	16.22	6.00	2.15	0.85	^a 0.58	8	55.67	70.605	70.605	85.54
Group 6	USE DUMP TRUCK YARDAGE RATE										
Group 7	USE APPROPRIATE RATE FOR THE POWER UNIT OR THE EQUIPMENT UTILIZED										
Group 8 (Trainee) ^c											
^d Step I – 1 st 1000 Hours											
^e Step II – 2 nd 1000 Hours											
^f Step III – 3 rd 1000 Hours											

^a Supplemental Dues and Contract Administration.

^b Saturday in the same work week may be worked at straight-time hourly rate if a job is shut down during the normal work week due to inclement weather or major mechanical breakdown, or lack of materials beyond the control of the Employer.

^c An individual employer may employ one (1) trainee for every four (4) journey level Teamsters actively employed. Individual employers with less than four (4) journey level Teamsters may utilize one (1) trainee; thereafter, one (1) for every four (4) journey level Teamsters.

^d Sixty-five percent (65%) of the Journey level wage for the type of equipment operated, plus full fringes without Vacation/Holiday.

^e Seventy-five percent (75%) of the Journey level wage for the type of equipment operated, plus full fringes without Vacation/Holiday.

^f Eighty-five percent (85%) of the Journey level wage for the type of equipment operated, plus full fringes without Vacation/Holiday.

^g For classifications within each group, see page 56.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

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DETERMINATION: NC-23-261-1-2015-1 and NC-23-261-1-2015-1A

CLASSIFICATIONS:

GROUP 1

Dump Trucks under 6 yards
Single Unit Flat Rack (2 axle unit)
Nipper Truck (When Flat Rack Truck is used appropriate Flat Rack shall apply)
Concrete pump truck (When Flat Rack Truck is used appropriate Flat Rack shall apply)
Concrete pump machine
Snow Buggy
Steam Cleaning
Bus or Manhaul Driver
Escort or Pilot Car Driver
Pickup Truck
Teamster Oiler/Greaser/and or Serviceman
Hook Tenders
Team Drivers
Warehouseman
Tool Room Attendant (Refineries)
Fork Lift and Lift Jitneys
Warehouse Clerk/Parts Man
Fuel and/or Grease Truck Driver or Fuelman
Truck Repair Helper
Fuel Island Attendant, or Combination Pit and/or Grease Rack and Fuel Island Attendant

GROUP 2

Dump Trucks 6 yards Under 8 yards
Transit Mixers through 10 yards
Water Trucks Under 7000 gals.
Jetting Trucks Under 7000 gals.
Single Unit flat rack (3 axle unit)
Highbed Heavy Duty Transport
Scissor Truck
Rubber Tired Muck Car (not self-loaded)
Rubber Tired Truck Jumbo
Winch Truck and "A" Frame Drivers
Combination Winch Truck With Hoist
Road Oil Truck or Bootman
Buggymobile
Ross, Hyster and similar Straddle Carrier
Small Rubber Tired Tractor
Truck Dispatcher

GROUP 3

Dump Trucks 8 yards and including 24 yards
Transit Mixers Over 10 yards
Water Trucks 7000 gals and over
Jetting Trucks 7000 gals and over
Vacuum Trucks under 7500 gals
Trucks Towing Tilt Bed or Flat Bed Pull Trailers
Heavy Duty Transport Tiller Man
Tire Repairman

GROUP 3 (continued)

Truck Mounted Self Propelled Street Sweeper with or without Self-Contained Refuse Bin and or Vacuum Unit
Boom Truck - Hydro-Lift or Swedish Type Extension or Retracting Crane
P.B. or Similar Type Self Loading Truck
Combination Bootman and Road Oiler
Dry Distribution Truck (A Bootman when employed on such equipment, shall receive the rate specified for the classification of Road Oil Trucks or Bootman)
Ammonia Nitrate Distributor, Driver and Mixer
Snow Go and/or Plow

GROUP 4

Dump Trucks over 25 yards and under 65 yards
Vacuum Trucks 7500 gals and over.
Truck Repairman
Water Pulls - DW 10s, 20s, 21s and other similar equipment when pulling Aqua/pak or Water Tank Trailers
Helicopter Pilots
Lowbed Heavy Duty Transport (up to and including 7 axles)
DW 10s, 20s, 21s and other similar Cat type, Terra Cobra, LeTourneau Pulls, Tournorocker, Euclid and similar type Equipment when pulling fuel and/or grease tank trailers or other miscellaneous trailers

GROUP 5

Dump Truck 65 yards and over
Holland Hauler
Lowbed Heavy Duty Transport (over 7 axles)

GROUP 6 (Use dump truck yardage rate)

Articulated Dump Truck
Bulk Cement Spreader (w/ or w/o Auger)
Dumpcrete Truck
Skid Truck (Debris Box)
Dry Pre-Batch Concrete Mix Trucks
Dumpster or Similar Type
Slurry Truck

GROUP 7 (Use appropriate Rate for the Power Unit or the Equipment Utilized)

Heater Planer
Asphalt Burner
Scarifier Burner
Fire Guard
Industrial Lift Truck (mechanical tailgate)
Utility and Clean-up Truck
Composite Crewman

GROUP 8

Trainee

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: TEAMSTER (SPECIAL SINGLE SHIFT RATE)
(APPLIES ONLY TO WORK ON THE CONSTRUCTION SITE)

DETERMINATION: NC-23-261-1-2015-1A

ISSUE DATE: August 22, 2015

EXPIRATION DATE OF DETERMINATION: June 30, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit at (415) 703-4774 for the new rates after ten days after the expiration date if no subsequent determination is issued.

LOCALITY: All Localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

Classification ^g (Journey person)	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate		
		Health and Welfare	Pension	Vacation/ Holiday	Training Holiday	Other Payments	Hours	Total Hourly Rate	Daily 1 1/2X	Saturday ^b 1 1/2X	Sunday/ Holiday 2X
Group 1	\$30.57	\$16.22	\$6.00	\$2.15	\$0.85	^a \$0.58	8	\$56.37	\$71.655	\$71.655	\$86.94
Group 2	30.87	16.22	6.00	2.15	0.85	^a 0.58	8	56.67	72.105	72.105	87.54
Group 3	31.17	16.22	6.00	2.15	0.85	^a 0.58	8	56.97	72.555	72.555	88.14
Group 4	31.52	16.22	6.00	2.15	0.85	^a 0.58	8	57.32	73.08	73.08	88.84
Group 5	31.87	16.22	6.00	2.15	0.85	^a 0.58	8	57.67	73.605	73.605	89.54
Group 6	USE DUMP TRUCK YARDAGE RATE										
Group 7	USE APPROPRIATE RATE FOR THE POWER UNIT OR THE EQUIPMENT UTILIZED										
Group 8 (Trainee) ^c											
^d Step I – 1 st 1000 Hours											
^e Step II – 2 nd 1000 Hours											
^f Step III – 3 rd 1000 Hours											

^a Supplemental Dues and Contract Administration.

^b Saturday in the same work week may be worked at straight-time hourly rate if a job is shut down during the normal work week due to inclement weather or major mechanical breakdown, or lack of materials beyond the control of the Employer.

^c An individual employer may employ one (1) trainee for every four (4) journey level Teamsters actively employed. Individual employers with less than four (4) journey level Teamsters may utilize one (1) trainee; thereafter, one (1) for every four (4) journey level Teamsters.

^d Sixty-five percent (65%) of the Journey level wage for the type of equipment operated, plus full fringes without Vacation/Holiday.

^e Seventy-five percent (75%) of the Journey level wage for the type of equipment operated, plus full fringes without Vacation/Holiday.

^f Eighty-five percent (85%) of the Journey level wage for the type of equipment operated, plus full fringes without Vacation/Holiday.

^g For classifications within each group, see page 56.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

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GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

CRAFT: ## LANDSCAPE MAINTENANCE LABORER

(APPLIES ONLY TO ROUTINE LANDSCAPE MAINTENANCE WORK NOT NEW LANDSCAPE CONSTRUCTION)¹

DETERMINATION: NC-LML-2016-1

ISSUE DATE: February 22, 2016

EXPIRATION DATE OF DETERMINATION: March 31, 2016* Effective until superseded by a new determination issued by the Director of Industrial Relations. Contact the Office of the Director – Research Unit (415) 703-4774 for the new rates after 10 days from the expiration date, if no subsequent determination is issued.

LOCALITY:	Employer Payments						Straight-Time		Overtime
	Basic Hourly Rate	Health and Welfare	Pension	Vacation	Holiday	Training	Hours	Total Hourly Rate	1 1/2x
Alameda.....	10.00	0.43	-	^a 0.14	0.24	-	8	^b 10.81	^b 15.81
Alpine, El Dorado.....	10.00	-	-	0.12	0.14	-	8	10.26	15.26
	10.00	-	-	0.14	0.16	-	8	10.30	15.30
Amador.....	10.00	-	-	0.16	0.06	-	8	10.22	15.22
Butte, Glenn, and Plumas.....	10.00	0.16	-	^c 0.13	0.05	-	8	^b 10.34	^b 15.34
Calaveras.....	10.00	-	-	0.10	0.12	-	8	10.22	15.22
Colusa and Sutter.....	10.00	-	-	0.12	0.14	-	8	10.26	15.26
	10.00	-	-	0.14	0.16	-	8	10.30	15.30
Contra Costa.....	10.00	-	-	-	0.12	-	8	10.12	15.12
Del Norte and Humboldt.....	10.00	-	-	0.25	0.07	-	8	10.32	15.32
Fresno.....	10.00	-	-	0.11	-	-	8	10.11	15.11
	10.00	-	-	^d 0.19	0.19	-	8	^b 10.38	^b 15.38
Kings.....	10.00	-	-	^e 0.25	0.25	-	8	^b 10.50	^b 15.50
Lake and Mendocino.....	10.00	-	-	^f 0.13	0.03	-	8	^b 10.16	^b 15.16
	10.00	-	-	^g 0.14	0.03	-	8	^b 10.17	^b 15.17
Lassen, Modoc, Shasta, Siskiyou and Trinity	10.00	-	-	0.31	0.09	-	8	10.40	15.40
Madera, Mariposa and Merced....	10.00	-	-	0.115	0.115	-	8	10.23	15.23
Marin.....	10.00	-	-	-	0.12	-	8	10.12	15.12
Monterey.....	10.00	-	-	0.14	0.22	-	8	10.36	15.36
	10.00	-	-	0.16	0.25	-	8	10.41	15.41
Napa.....	10.00	-	-	^h 0.11	0.14	-	8	10.25	15.25
Nevada and Sierra.....	10.00	-	-	0.16	0.19	-	8	10.35	15.35
Placer.....	10.00	-	-	0.12	0.14	-	8	10.26	15.26
Sacramento.....	10.00	-	-	0.16	-	-	8	10.16	15.16
	10.00	-	-	0.15	-	-	8	10.15	15.15
San Benito.....	10.00	-	-	ⁱ 0.15	0.18	-	8	^b 10.33	^b 15.33
San Francisco.....	10.00	-	-	0.17	0.17	-	8	10.34	15.34
San Joaquin.....	10.00	0.37	-	^j 0.12	0.12	-	8	^b 10.61	^b 15.61
San Mateo.....	10.00	0.43	-	^k 0.12	0.14	-	8	^b 10.69	^b 15.69
	10.00	-	-	^l 0.13	0.17	-	8	^b 10.30	^b 15.30
Santa Clara.....	10.00	0.03	-	^m 0.13	0.18	-	8	^b 10.34	^b 15.34
Santa Cruz.....	10.00	-	-	0.16	-	-	8	10.16	15.16
	10.00	-	-	0.19	-	-	8	10.19	15.19
Solano.....	10.00	-	-	-	0.07	-	8	10.07	15.07
Sonoma.....	10.00	-	-	ⁿ 0.13	0.16	-	8	^b 10.29	^b 15.29
	10.00	0.38	-	^o 0.15	0.19	-	8	^b 10.72	^b 15.72
Stanislaus and Tuolumne.....	10.00	-	-	0.115	0.14	-	8	10.255	15.255
	10.00	-	-	^p 0.13	0.11	-	8	^b 10.24	^b 15.24
Tehama.....	10.00	-	-	0.12	0.19	-	8	10.31	15.31
Tulare.....	10.00	0.69	-	^q 0.12	-	-	8	^b 10.81	^b 15.81
Yolo.....	10.00	-	-	-	0.14	-	8	10.14	15.14
	10.00	-	-	-	0.19	-	8	10.19	15.19
Yuba.....	10.00	-	-	0.14	0.16	-	8	10.30	15.30

Craft is not apprenticeable

NOTE: If there are two rates, the first rate is for routine work, the second rate is for complex work.

DETERMINATION: NC-LML-2016-1

- a. \$0.20 after 3 years of service; \$0.27 after 5 years of service.
- b. Computation is based on first years of employment. This rate should be increased by any applicable vacation increase as stated in other footnotes.
- c. \$0.25 after 7 years of service.
\$0.38 after 3 years of service.
\$0.37 after 5 years of service; \$0.49 after 15 years of service.
- d. \$0.19 after 1 year of service; \$0.25 after 2 years of service.
- e. \$0.22 after 1 year of service; \$0.29 after 2 years of service.
- f. \$0.31 after 5 years of service.
- g. \$0.24 after 5 years of service.
- h. \$0.23 after 2 years of service; \$0.35 after 6 years of service.
- i. \$0.26 after 1 year of service; \$0.39 after 5 years of service.
- j. \$0.27 after 1 year of service; \$0.40 after 5 years of service.
- k. \$0.26 after 7 years of service.
- l. \$0.31 after 3 years of service; \$0.46 after 7 years of service.
- m. \$0.27 after 3 years of service; \$0.40 after 5 years of service.
- n. \$0.23 after 2 years of service.
- o. \$0.23 after 7 years of service.

¹ This determination does not apply to work of a landscape laborer employed on landscape construction (work incidental to construction or post-construction maintenance during the plant installation and establishment period). The following is a description of the landscape work cover under this determination:

ROUTINE – mowing, watering, pruning, trimming, weeding, spraying, occasional planting and replacement of plants and janitorial work incidental to such landscape maintenance.

COMPLEX – servicing of irrigation and sprinkler systems, repairing of equipment use in such landscape maintenance.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

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**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: TUNNEL/UNDERGROUND (OPERATING ENGINEER-HEAVY AND HIGHWAY WORK)

DETERMINATION: NC-23-63-1-2016-2C

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification (Journey person)	Employer Payments							Straight-Time		Overtime Hourly Rate			
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^a	Training	Other Payments	Hours ^a	Total Hourly Rate		Daily/ Saturday ^d 1 1/2X		Sunday and Holiday 2X	
Classification Group	Area 1 ^a	Area 2 ^b						Area 1 ^a	Area 2 ^b	Area 1 ^a	Area 2 ^b	Area 1 ^a	Area 2 ^b
<u>Underground Rate</u>													
Group 1-A	\$41.44	\$43.14	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.87	\$73.57	\$92.59	\$95.14	\$113.31
Group 1	\$38.67	\$40.67	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.10	\$71.10	\$88.44	\$91.44	\$107.77
Group 2	\$37.41	\$39.41	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.84	\$69.84	\$86.55	\$89.55	\$105.25
Group 3	\$36.08	\$38.08	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.51	\$68.51	\$84.55	\$87.55	\$102.59
Group 4	\$34.94	\$36.94	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.37	\$67.37	\$82.84	\$85.84	\$100.31
Group 5	\$33.80	\$35.80	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.23	\$66.23	\$81.13	\$84.13	\$98.03
<u>Shafts Stopes & Raises</u>													
Group 1-A	\$41.24	\$43.24	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.67	\$73.67	\$92.29	\$95.29	\$112.91
Group 1	\$38.77	\$40.77	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$69.20	\$71.20	\$88.59	\$91.59	\$107.97
Group 2	\$37.51	\$39.51	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.94	\$69.94	\$86.70	\$89.70	\$105.45
Group 3	\$36.18	\$38.18	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$66.61	\$68.61	\$84.70	\$87.70	\$102.79
Group 4	\$35.04	\$37.04	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$65.47	\$67.47	\$82.99	\$85.99	\$100.51
Group 5	\$33.90	\$35.90	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$64.33	\$66.33	\$81.28	\$84.28	\$98.23

CLASSIFICATIONS:

GROUP 1-A

Tunnel Bore Machine Operator - 20 feet in diameter or more

GROUP 1

Heading Shield Operator
Heavy Duty Repairman/Welder
Mucking Machine
Raised Bore Operator
Tunnel Mole Bore Operator
Tunnel Boring Machine Operator 10 ft up to 20 ft

GROUP 2

Combination Slusher and Motor Operator
Concrete Pump or Pumpcrete Guns
Power Jumbo Operator

GROUP 3

Drill Doctor
Mine or Shaft Hoist

GROUP 4

Combination Slurry Mixer Cleaner
Grouting Machine Operator
Motorman

GROUP 5

Bit Sharpener
Brakeman
Combination Mixer and Compressor (Gunitex)
Compressor Operator
Assistant to Engineer
Pump Operator
Slusher Operator

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a AREA 1 - Alameda, Butte, Contra Costa, Kings, Marin, Merced, Napa, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Solano, Stanislaus, Sutter, Yolo and Yuba counties; and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity counties.

^b AREA 2 - Modoc, and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity counties. (Portions of counties falling in each area detailed on page 41).

^c Includes an amount for supplemental dues.

^d Saturday in the same work week may be worked at straight-time if a job is shut down during the normal work week due to inclement weather.

^e When three shifts are employed for five (5) or more consecutive days, seven and one-half (7 1/2) consecutive hours (exclusive of meal period), shall constitute a day of work, for which eight (8) times the straight time hourly rate shall be paid at the non-shift wage rate for the second shift. The third shift shall be seven (7) hours of work for eight (8) hours of pay at the non-shift wage rate.

NOTE: For Special Single and Second Shift rates, please see page 58A.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

**GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1**

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

**CRAFT: TUNNEL/UNDERGROUND (OPERATING ENGINEER-HEAVY AND HIGHWAY WORK)
(SPECIAL SINGLE AND SECOND SHIFT)**

DETERMINATION: NC-23-63-1-2016-2C

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 25, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba counties.

Classification (Journey/Person)	Employer Payments							Straight-Time		Overtime Hourly Rate				
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday ^a	Training	Other Payments	Hours	Total Hourly Rate	Daily/ Saturday ^d 1 1/2X		Sunday and Holiday 2X			
									Area 1 ^a	Area 2 ^b	Area 1 ^a	Area 2 ^b		
Classification Group	Area 1 ^a	Area 2 ^b						Area 1 ^a	Area 2 ^b	Area 1 ^a	Area 2 ^b	Area 1 ^a	Area 2 ^b	
<u>Underground Rate</u>														
Group 1-A	\$45.27	\$47.27	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$75.70	\$77.70	\$98.34	\$101.34	\$120.97	\$124.97
Group 1	\$42.48	\$44.48	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$72.91	\$74.91	\$94.15	\$97.15	\$115.39	\$119.39
Group 2	\$41.07	\$43.07	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.50	\$73.50	\$92.04	\$95.04	\$112.57	\$116.57
Group 3	\$39.59	\$41.59	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.02	\$72.02	\$89.82	\$92.82	\$109.61	\$113.61
Group 4	\$38.29	\$40.29	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.72	\$70.72	\$87.87	\$90.87	\$107.01	\$111.01
Group 5	\$37.02	\$39.02	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.45	\$69.45	\$85.96	\$88.96	\$104.47	\$108.47
<u>Shafts Slopes & Raises</u>														
Group 1-A	\$45.38	\$47.38	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$75.81	\$77.81	\$98.50	\$101.50	\$121.19	\$125.19
Group 1	\$42.59	\$44.59	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$73.02	\$75.02	\$94.32	\$97.32	\$115.61	\$119.61
Group 2	\$41.18	\$43.18	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$71.61	\$73.61	\$92.20	\$95.20	\$112.79	\$116.79
Group 3	\$39.70	\$41.70	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$70.13	\$72.13	\$89.98	\$92.98	\$109.83	\$113.83
Group 4	\$38.40	\$40.40	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$68.83	\$70.83	\$88.03	\$91.03	\$107.23	\$111.23
Group 5	\$37.13	\$39.13	\$13.63	\$10.78	\$4.51	\$0.77	\$0.74	8	\$67.56	\$69.56	\$86.13	\$89.13	\$104.69	\$108.69

CLASSIFICATIONS:

GROUP 1-A

Tunnel Bore Machine Operator - 20 feet in diameter or more

GROUP 1

Heading Shield Operator
Heavy Duty Repairman/Welder
Mucking Machine
Raised Bore Operator
Tunnel Mole Bore Operator
Tunnel Boring Machine Operator 10 ft up to 20 ft

GROUP 2

Combination Slusher and Motor Operator
Concrete Pump or Pumpcrete Guns
Power Jumbo Operator

GROUP 3

Drill Doctor
Mine or Shaft Hoist

GROUP 4

Combination Slurry Mixer Cleaner
Grouting Machine Operator
Motorman

GROUP 5

Bit Sharpener
Brakeman
Combination Mixer and Compressor (Gunite)
Compressor Operator
Assistant to Engineer
Pump Operator
Slusher Operator

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRL/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^a **AREA 1** - Alameda, Butte, Contra Costa, Kings, Marin, Merced, Napa, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Solano, Stanislaus, Sutter, Yolo and Yuba counties; and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity counties.

^b **AREA 2** - Modoc, and portions of Alpine, Amador, Calaveras, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Lake, Lassen, Madera, Mariposa, Mendocino, Monterey, Nevada, Placer, Plumas, Shasta, Sierra, Siskiyou, Sonoma, Tehama, Tulare, Tuolumne and Trinity counties. (Portions of counties falling in each area detailed on page 41).

^c Includes an amount for supplemental dues.

^d Saturday in the same work week may be worked at straight-time if a job is shut down during the normal work week due to inclement weather.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/OPRL/PWD>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director - Research Unit at (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

CRAFT: TREE MAINTENANCE¹ (LABORER)

DETERMINATION: NC-102-X-21-2016-1

ISSUE DATE: August 22, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

Locality: All localities within Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Kings, Lake, Lassen, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Monterey, Napa, Nevada, Placer, Plumas, Sacramento, San Benito, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Yolo, and Yuba Counties.

CLASSIFICATION(s) ^a (Journey person)	Employer Payments						Straight-Time		Overtime Hourly Rate	
	Basic Hourly Rate	Health and Welfare	Pension	Vacation and Holiday	Training	Other	Hours	Total Hourly Rate	Daily 1 1/2X ^b	Sunday/ Holiday 2X
Senior Tree Trimmer	\$18.00	\$3.50	\$0.50	\$1.57	-	\$0.01	8	\$23.58	\$32.58	\$41.58
Tree Trimmer	\$16.00	\$3.50	\$0.50	\$1.37	-	\$0.01	8	\$21.38	\$29.38	\$37.38
Grounds person	\$13.25	\$3.50	\$0.50	\$1.22	-	\$0.01	8	\$18.48	\$25.105	\$31.73

^a There shall be at least one Senior Tree Trimmer on crews of three or more.

^b Monday thru Saturday shall constitute a workweek. Rate applies to first 4 overtime hours Monday thru Saturday, and all time worked in excess of forty (40) hours per workweek. All other time is paid at the Sunday and Holiday double-time rate.

¹ This determination does not apply to the work of a landscape laborer employed on landscape construction (work incidental to construction or post-construction maintenance during the plant installation and establishment period) or to tree trimming work involving line clearance.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the internet at <http://www.dir.ca.gov/oprl/pwd>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the internet at <http://www.dir.ca.gov/oprl/pwd>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

Attachment 3

Prevailing Wage Determination

San Francisco – Subtrades

GENERAL PREVAILING WAGE DETERMINATION BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

LOCALITY: SAN FRANCISCO COUNTY
DETERMINATION: SFR-2016-2

DETERMINATION: SFR-2016-2			EMPLOYER PAYMENTS							STRAIGHT-TIME		OVERTIME HOURLY RATE		
CRAFT (JOURNEY LEVEL)	ISSUE DATE	EXPIRATION DATE	BASIC HOURLY RATE	HEALTH AND WELFARE	PENSION	VACATION/HOLIDAY	TRAINING	OTHER PAYMENTS	HOURS	TOTAL HOURLY RATE	DAILY	SATURDAY	SUNDAY AND HOLIDAY	
# BRICKLAYER, BLOCKLAYER: BRICKLAYER, BLOCKLAYER, STONEMASON POINTER, CLEANER, CAULKER, WATERPROOFER	8/22/2016	04/30/2017**	A 37.890	9.850	14.160	B 3.000	0.800	C 1.300	D 8.0	67.000	E 87.440	E 87.440	107.890	
	8/22/2016	06/30/2017**	A 44.730	9.850	11.320	F -	1.480	0.400	D 8.0	67.780	90.150	G 90.150	112.510	
# BRICK TENDER	8/22/2016	04/30/2017**	A 32.180	10.000	10.840	F -	0.450	-	8.0	53.470	H 69.560	H 69.560	85.650	
# CARPET, LINOLEUM, SOFT FLOOR LAYER FLOOR COVERING HANDLER AFTER 3 YEARS	2/22/2016	12/31/2016**	A 46.820	9.950	12.580	I -	0.630	0.340	8.0	70.320	J 93.730	J 93.730	117.140	
	2/22/2016	12/31/2016**	A 23.390	9.950	6.290	I -	0.050	0.340	8.0	40.020	J 51.710	J 51.710	63.410	
FLOOR COVERING HANDLER LESS THAN 3 YEARS	2/22/2016	12/31/2016**	A 18.700	9.950	5.030	I -	0.050	0.340	8.0	34.070	J 43.420	J 43.420	52.770	
FLOOR COVERING HANDLER TRAINEE, FIRST 3 MONTHS	2/22/2016	12/31/2016**	A 14.950	9.950	4.020	I -	0.050	0.340	8.0	29.310	J 36.780	J 36.780	44.260	
FLOOR COVERING HANDLER TRAINEE, SECOND 3 MONTHS	2/22/2016	12/31/2016**	A 16.830	9.950	4.530	I -	0.050	0.340	8.0	31.700	J 40.110	J 40.110	48.530	
# ELECTRICIAN: COMM & SYSTEM INSTALLER	2/22/2016	11/30/2016**	34.820	10.050	K 5.650	-	1.100	L 0.210	8.0	53.040	M 71.060	M 71.060	89.080	
COMM & SYSTEM TECH.	2/22/2016	11/30/2016**	39.650	10.050	K 5.650	-	1.100	L 0.210	8.0	58.050	M 78.570	M 78.570	99.090	
INSIDE WIREMAN	8/22/2016	05/31/2017**	64.000	13.980	N 13.020	F -	1.085	0.380	O 7.0	94.870	P 128.070	Q 161.260	161.260	
CABLE SPLICER	8/22/2016	05/31/2017**	72.000	13.980	N 13.020	F -	1.085	0.380	O 7.0	103.170	P 140.510	Q 177.870	177.870	
# FIELD SURVEYOR: R CHIEF OF PARTY	2/22/2016	02/28/2017**	38.750	13.380	S 11.280	T 3.690	0.820	0.160	8.0	68.080	U 87.460	V 87.460	106.830	
R INSTRUMENTMAN	2/22/2016	02/28/2017**	35.660	13.380	S 11.280	T 3.690	0.820	0.160	8.0	64.990	U 82.820	V 82.820	100.650	
R CHAINMAN/RODMAN	2/22/2016	02/28/2017**	32.780	13.380	S 11.280	T 3.690	0.820	0.160	8.0	62.110	U 78.500	V 78.500	94.890	
# GLA IER	2/22/2016	12/31/2016**	A 44.530	9.950	W 15.390	-	0.550	X 0.380	8.0	70.800	Y 93.070	115.330	115.330	
# Z MARBLE FINISHER	8/22/2016	01/31/2017**	AA 31.170	9.850	4.180	I -	0.450	0.590	8.0	46.240	AB 61.830	77.410	77.410	
# Z MARBLE MASON	8/22/2016	01/31/2017**	AA 41.770	9.850	15.020	I -	0.800	0.820	8.0	68.260	AB 89.140	110.030	110.030	
# PAINTER: BRUSH AND SPRAY	2/22/2016	12/31/2016**	AC 41.370	9.950	S 11.640	I -	0.550	0.380	D 8.0	63.890	84.570	AD 84.570	105.260	
INDUSTRIAL PAINTER	2/22/2016	12/31/2016**	AC 41.870	9.950	S 11.640	I -	0.550	0.380	D 8.0	64.390	85.320	AD 85.320	106.260	
SANDBLASTER, STEAM CLEANER, WATERBLASTER	2/22/2016	12/31/2016**	AC 41.870	9.950	S 11.640	I -	0.550	0.380	D 8.0	64.390	85.320	AD 85.320	106.260	
EXOTIC MATERIALS	2/22/2016	12/31/2016**	AC 42.120	9.950	S 11.640	I -	0.550	0.380	D 8.0	64.840	85.700	AD 85.700	106.760	
PAPERHANGER/WALLCOVERING	2/22/2016	12/31/2016**	AC 42.370	9.950	S 11.640	I -	0.550	0.380	D 8.0	64.890	86.070	AD 86.070	107.260	
TAPER	8/22/2016	12/31/2016**	AE 43.740	9.950	13.500	I -	0.560	0.540	8.0	68.290	90.160	D 90.160	AD 112.030	
AF TAPER CLEAN-UP	8/22/2016	12/31/2016*	AG 17.470	9.950	-	-	-	-	8.0	27.420	36.150	D 36.150	AD 44.890	
# PLASTERER	8/22/2016	06/30/2017*	AH 39.520	13.030	11.200	F -	1.140	1.100	D 8.0	65.990	AI 82.860	AI 82.860	99.740	
# AJ PLASTER TENDER	8/22/2016	06/30/2017**	AK 33.120	7.840	14.800	F -	0.450	0.450	8.0	56.680	AL 71.510	AL 71.510	86.370	
# PLUMBER: PLUMBER, STEAMFITTER, REFRIGERATION FITTER (HVAC)	8/22/2016	06/30/2017*	AM 68.000	16.010	22.830	F -	3.650	AN 3.450	7.0	113.940	AO 147.940	AP 147.940	181.940	
PLUMBING SERVICE AND REPAIR AIR CONDITIONING & REFRIGERATION/HVAC - SERVICE WORK	8/22/2016	06/30/2017*	AM 57.800	14.870	20.520	F -	2.100	AN 1.180	8.0	96.470	AQ 125.370	G 125.370	154.270	
	8/22/2016	06/30/2017*	AM 68.000	16.010	22.830	F -	3.650	AN 3.450	8.0	113.940	AQ 147.940	G 147.940	181.940	
LANDSCAPE/IRRIGATION PIPEFITTER	8/22/2016	06/30/2017*	A 57.800	14.470	AR 15.990	F -	1.810	1.290	8.0	91.360	120.260	AS 120.260	149.160	

LOCALITY: SAN FRANCISCO COUNTY
DETERMINATION: SFR-2016-2

DETERMINATION: SFR-2016-2			EMPLOYER PAYMENTS							STRAIGHT-TIME		OVERTIME HOURLY RATE		
CRAFT (JOURNEY LEVEL)	ISSUE DATE	EXPIRATION DATE	BASIC HOURLY RATE	HEALTH AND WELFARE	PENSION	VACATION/HOLIDAY	TRAINING	OTHER PAYMENTS	HOURS	TOTAL HOURLY RATE	DAILY	SATURDAY	SUNDAY AND HOLIDAY	
UNDERGROUND/UTILITY PIPEFITTER	8/22/2016	06/30/2017*	A 57.800	14.470	AR 15.990	F -	1.810	1.290	8.0	91.360	120.260	AS 120.260	149.160	
SPRINKLER FITTER (FIRE PROTECTION AND FIRE CONTROL SYSTEMS)	8/22/2016	12/31/2016**	A 59.120	10.020	16.550	F -	1.100	0.400	8.0	87.190	116.750	116.750	146.310	
# ROOFER	8/22/2016	07/31/2017**	33.120	9.390	6.680	3.530	0.850	AT 0.550	8.0	54.120	AU 70.680	AU 70.680	87.240	
BITUMASTIC, ENAMELER, PIPE WRAPPER, COAL TAR PITCH BUILD-UP	8/22/2016	07/31/2017**	35.120	9.390	6.680	3.530	0.850	AT 0.550	8.0	56.120	AU 73.680	AU 73.680	91.240	
# MASTIC WORKER, KETTLEMAN (2 KETTLES WITHOUT PUMPS)	8/22/2016	07/31/2017**	33.370	9.390	6.680	3.530	0.850	AT 0.550	8.0	54.370	AU 71.060	AU 71.060	87.740	
SHEET METAL WORKER	8/22/2016	07/02/2017**	AC 54.580	AV 13.200	AW 27.180	F -	1.420	1.510	7.0	97.890	AX 128.210	AX 128.210	158.530	
TOTAL SHEET METAL CONTRACT OF \$200,000 OR LESS	8/22/2016	07/02/2017**	AC 48.230	AV 13.200	AW 25.850	F -	1.420	1.510	8.0	90.210	AY 117.010	AY 117.010	143.800	
SERVICE MECHANIC (TOTAL SHEET METAL CONTRACT OF \$200,000 OR LESS)	8/22/2016	07/02/2017**	AC 37.460	AZ 13.050	AW 14.880	F -	1.320	1.160	8.0	67.870	BA 87.850	BA 87.850	107.830	
SERVICE TECHNICIAN (TOTAL SHEET METAL CONTRACT OF \$200,000 OR LESS)	8/22/2016	07/02/2017**	AC 33.860	AZ 13.050	AW 9.610	F -	1.320	1.160	8.0	59.000	BA 76.730	BA 76.730	94.460	
AIR CONDITIONING SPECIALIST (TOTAL SHEET METAL CONTRACT OF \$200,000 OR LESS)	8/22/2016	07/02/2017**	AC 30.100	AZ 13.050	AW 4.430	F -	1.300	1.160	8.0	50.040	AY 65.540	AY 65.540	81.040	
AIR CONDITIONING JOURNEYMAN (TOTAL SHEET METAL CONTRACT OF \$200,000 OR LESS)	8/22/2016	07/02/2017**	AC 35.050	AV 13.050	AW 9.890	F -	1.300	1.160	8.0	60.450	AY 78.720	AY 78.720	97.000	
METAL DECK & SIDING	8/22/2016	06/30/2017*	AC 35.640	AR 13.980	BB 19.200	F -	BC 0.310	-	8.0	69.130	AY 87.950	AY 87.950	106.770	
# BD TERRA O FINISHER	8/22/2016	06/30/2017**	BE 34.430	9.850	5.420	F -	0.800	0.840	8.0	51.340	AY 66.430	AY 66.430	81.530	
# BD TERRA O WORKER	8/22/2016	06/30/2017**	BE 42.410	9.850	14.970	F -	0.800	1.020	8.0	69.050	AY 87.560	AY 87.560	106.060	
# TILE FINISHER	8/22/2016	09/30/2016**	BF 24.760	8.830	3.490	0.700	0.520	1.460	8.0	39.760	52.140	D 52.140	64.520	
RED CIRCLED FINISHER	8/22/2016	09/30/2016**	BF 30.440	8.830	3.720	1.300	0.520	1.460	8.0	46.270	61.490	D 61.490	76.710	
# TILE SETTER	8/22/2016	09/30/2016**	BF 41.660	8.830	4.720	2.350	0.790	2.100	8.0	60.340	81.110	D 81.110	101.890	
WATER WELL DRILLER	8/22/1998	12/31/1998*	12.700	3.200	0.780	BG 0.630	-	-	8.0	17.310	BH 23.660	BH 23.660	BH 23.660	
PUMP INSTALLER	8/22/1998	12/31/1998*	12.700	3.200	0.780	BG 0.630	-	-	8.0	17.310	BH 23.660	BH 23.660	BH 23.660	
HELPER	8/22/1998	12/31/1998*	10.380	3.200	0.780	BI 0.520	-	-	8.0	14.880	BH 20.070	BH 20.070	BH 20.070	
FOOTNOTES														

LOCALITY: SAN FRANCISCO COUNTY

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- EFFECTIVE UNTIL SUPERSEDED BY A NEW DETERMINATION ISSUED BY THE DIRECTOR OF INDUSTRIAL RELATIONS. CONTACT THE OFFICE OF THE DIRECTOR - RESEARCH UNIT AT (415) 703-4774 FOR THE NEW RATES AFTER TEN DAYS AFTER THE EXPIRATION DATE IF NO SUBSEQUENT DETERMINATION IS ISSUED.
- THE RATE TO BE PAID FOR WORK PERFORMED AFTER THIS DATE HAS BEEN DETERMINED. IF WORK WILL EXTEND PAST THIS DATE, THE NEW RATE MUST BE PAID AND SHOULD BE INCORPORATED IN CONTRACTS ENTERED INTO NOW. CONTACT THE OFFICE OF THE DIRECTOR - RESEARCH UNIT FOR SPECIFIC RATES AT (415) 703-4774.
- INDICATES AN APPRENTICEABLE CRAFT. THE CURRENT APPRENTICE WAGE RATES ARE AVAILABLE ON THE INTERNET @ [HTTP://WWW.DIR.CA.GOV/OPRL/PWAPPWAGE/PWAPPWAGESTART.ASP](http://www.dir.ca.gov/OPRL/PWAPPWAGE/PWAPPWAGESTART.ASP). TO OBTAIN ANY APPRENTICE WAGE RATES AS OF JULY 1, 2008 AND PRIOR TO SEPTEMBER 27, 2012, PLEASE CONTACT THE DIVISION OF APPRENTICESHIP STANDARDS OR REFER TO THE DIVISION OF APPRENTICESHIP STANDARDS' WEBSITE AT [HTTP://WWW.DIR.CA.GOV/DAS/DAS.HTML](http://www.dir.ca.gov/DAS/DAS.HTML).
- THE BASIC HOURLY RATE AND EMPLOYER PAYMENTS ARE NOT TAKEN FROM A COLLECTIVE BARGAINING AGREEMENT FOR THIS CRAFT OR CLASSIFICATION.
- A INCLUDES AMOUNT WITHHELD FOR DUES CHECK OFF.
- B VACATION IS FACTORED AT THE APPLICABLE OVERTIME MULTIPLIER
- C INCLUDES AMOUNT FOR INDUSTRY PROMOTION FUND, INTERNATIONAL MASONRY INSTITUTE, LABOR MANAGEMENT COOPERATION COMMITTEE, AND VACATION TRUST FUND.
- D SATURDAYS IN THE SAME WORK WEEK MAY BE WORKED AT STRAIGHT-TIME IF JOB IS SHUT DOWN DURING THE NORMAL WORKWEEK DUE TO INCLEMENT WEATHER.
- E RATE APPLIES TO THE FIRST 2 DAILY OVERTIME HOURS AND THE FIRST 10 HOURS ON SATURDAY; ALL OTHER TIME IS PAID AT THE SUNDAY AND HOLIDAY OVERTIME HOURLY RATE.
- F INCLUDED IN STRAIGHT-TIME HOURLY RATE.
- G RATE APPLIES TO THE FIRST 10 HOURS WORKED ON SATURDAY. ALL OTHER HOURS ARE PAID AT THE SUNDAY/HOLIDAY RATE.
- H RATE APPLIES TO THE FIRST 2 DAILY OVERTIME HOURS AND THE FIRST 8 HOURS ON SATURDAY ONLY. ALL OTHER TIME IS PAID AT THE SUNDAY AND HOLIDAY OVERTIME HOURLY RATE. SATURDAYS IN THE SAME WORK WEEK MAY BE WORKED AT STRAIGHT-TIME IF JOB IS SHUT DOWN DURING THE NORMAL WORKWEEK DUE TO INCLEMENT WEATHER.
- I INCLUDED IN BASIC HOURLY RATE.
- J RATE APPLIES TO THE FIRST 4 DAILY OVERTIME HOURS AND THE FIRST 12 HOURS WORKED ON SATURDAY; ALL OTHER TIME IS PAID AT THE SUNDAY AND HOLIDAY OVERTIME HOURLY RATE.
- K IN ADDITION, AN AMOUNT EQUAL TO 3% OF THE BASIC HOURLY RATE IS ADDED TO THE TOTAL HOURLY RATE AND OVERTIME HOURLY RATES FOR THE NATIONAL EMPLOYEES BENEFIT BOARD.
- L IN ADDITION, AN AMOUNT EQUAL TO 0.5% OF THE BASIC HOURLY RATE, WHICH IS FACTORED AT THE APPLICABLE OVERTIME MULTIPLIER, IS ADDED TO THE TOTAL HOURLY RATE AND OVERTIME HOURLY RATES.
- M RATE APPLIES TO THE FIRST 4 DAILY OVERTIME HOURS AND THE FIRST 8 HOURS WORKED ON SATURDAY. ALL OTHER TIME IS PAID AT THE SUNDAY AND HOLIDAY OVERTIME RATE.
- N IN ADDITION, AN AMOUNT EQUAL TO 3% OF THE BASIC HOURLY RATE IS ADDED TO THE TOTAL HOURLY RATE AND OVERTIME HOURLY RATES FOR THE NATIONAL EMPLOYEES BENEFIT BOARD. PURSUANT TO LABOR CODE SECTIONS 1773.1 AND 1773.8, THE AMOUNT PAID FOR THIS EMPLOYER PAYMENT MAY VARY RESULTING IN A LOWER TAXABLE BASIC HOURLY WAGE RATE, BUT THE TOTAL HOURLY RATES FOR STRAIGHT TIME AND OVERTIME MAY NOT BE LESS THAN THE GENERAL PREVAILING RATE OF PER DIEM WAGES.
- O 8 HOURS OF WORK IF MULTIPLE SHIFT IS WORKED.
- P RATE APPLIES TO THE FIRST 2 OVERTIME HOURS; ALL OTHER TIME IS PAID AT THE SATURDAY OVERTIME HOURLY RATE.
- Q SEVEN HOURS ON SATURDAY MAY BE WORKED AT THE DAILY OVERTIME RATE PROVIDED NO OVERTIME HOUR IS WORKED DURING THE WEEK.
- R ALL CREWS, WITHOUT CONSIDERATION TO THE NUMBER OF INDIVIDUALS IN THE CREW, SHALL INCLUDE A CHIEF OF PARTY. A CREW CONSISTS OF ONE (1) OR MORE EMPLOYEES PERFORMING FIELD AND CONSTRUCTION SURVEY WORK.
- S INCLUDES AN AMOUNT PER HOUR WORKED FOR ANNUITY TRUST FUND.
- T INCLUDES AN AMOUNT PER HOUR WORKED FOR SUPPLEMENTAL DUES.
- U RATE APPLIES TO THE FIRST 4 DAILY OVERTIME HOURS, MONDAY THROUGH FRIDAY. ALL OTHER OVERTIME IS PAID AT THE SUNDAY/HOLIDAY RATE.
- V RATE APPLIES TO THE FIRST 12 OVERTIME HOURS WORKED. ALL OTHER OVERTIME IS PAID AT THE SUNDAY/HOLIDAY RATE. IN THE EVENT IT IS NOT REASONABLY POSSIBLE TO COMPLETE 40 HOURS OF WORK ON AN 8 HOUR DAY, MONDAY THROUGH FRIDAY, THEN THE BALANCE OF THE 40 HOURS, UP TO 8 HOURS, MAY BE WORKED ON SATURDAY AT THE STRAIGHT-TIME RATE.
- W INCLUDES AN AMOUNT PER HOUR WORKED FOR IUPAT, IARP, AND RETIREE PENSION.
- X INCLUDES AMOUNTS FOR INDUSTRY FUND, WORK PRESERVATION FUND, AND LABOR MANAGEMENT COOPERATION INITIATIVE
- Y RATE APPLIES TO THE FIRST 2 DAILY OVERTIME HOURS AND FIRST 8 HOURS WORKED ON DESIGNATED DAYS OFF; ALL OTHER TIME IS PAID AT THE SATURDAY, SUNDAY AND HOLIDAY OVERTIME RATE.
- Z EMPLOYEES WORKING ON ANY SUSPENDED PLATFORM/SCAFFOLD SHALL BE PAID AN ADDITIONAL \$20.00 PER DAY ABOVE THE WAGE RATE.
- AA INCLUDES AN AMOUNT FOR DUES CHECK-OFF AND VACATION/HOLIDAY WHICH ARE FACTORED INTO OVERTIME RATES.
- AB RATE APPLIES TO FIRST 2 OVERTIME HOURS MONDAY THROUGH FRIDAY; ALL OTHER OVERTIME IS PAID AT THE DOUBLE TIME RATE.
- AC INCLUDES AMOUNT FOR VAC/HOL AND DUES CHECK OFF.
- AD DESIGNATED DAYS OFF SHALL BE PAID AT THE SATURDAY OVERTIME RATE; PLEASE REFER TO THE HOLIDAY PROVISIONS FOR A LIST OF DESIGNATED DAYS OFF.
- AE INCLUDES AMOUNTS FOR VACATION AND DUES CHECK OFF
- AF PLEASE NOTE THAT THE TAPER CLEAN-UP APPLIES ONLY TO THE TAPER CLASSIFICATION.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

LOCALITY: SAN FRANCISCO COUNTY
DETERMINATION: SFR-2016-2

- AG INCLUDES AN AMOUNT FOR DUES CHECK OFF
- AH INCLUDE AMOUNTS WITHHELD FOR DUES CHECK OFF AND VACATION WHICH IS NOT FACTORED INTO OVERTIME. EMPLOYEES OPERATING AND WORKING BEHIND PLASTER GUNS SHALL RECEIVE AN ADDITIONAL \$5.00 PER DAY ABOVE THE WAGE RATE. EMPLOYEES WORKING ON AN EXTERIOR SUSPENDED SCAFFOLD SHALL BE PAID AN ADDITIONAL \$10.00 PER DAY ABOVE THE WAGE RATE.
- AI RATE APPLIES TO THE FIRST 4 OVERTIME HOURS MONDAY THROUGH FRIDAY AND THE FIRST 8 HOURS WORKED ON SATURDAYS AND DESIGNATED DAYS OFF. ALL OTHER TIME IS PAID AT THE SUNDAY AND HOLIDAY OVERTIME RATE.
- AJ ALL HOD CARRIERS WORKING ON THE ROSE SHALL RECEIVE \$5.00 PER DAY OVER SCALE.
- AK INCLUDES AN AMOUNT FOR VACATION, DUES CHECK-OFF AND ORGANIZING DUES WHICH ARE NOT FACTORED INTO OVERTIME.
- AL RATE APPLIES TO THE FIRST 4 OVERTIME HOURS MONDAY THROUGH FRIDAY AND THE FIRST 8 HOURS WORKED ON SATURDAY. ALL OTHER TIME IS PAID AT THE SUNDAY AND HOLIDAY OVERTIME RATE.
- AM INCLUDES AN AMOUNT FOR VACATION/HOLIDAY.
- AN INCLUDES FUNDS FOR SUB/JURY DUTY, CRAFT, CONTRACT ADMINISTRATION/HIRING HALL, JURISDICTIONAL PROTECTION AND SCHOLARSHIP.
- AO RATE APPLIES TO THE FIRST 2 DAILY OVERTIME HOURS AND THE FIRST 9 HOURS ON SATURDAY ONLY. ALL OTHER TIME IS PAID AT THE SUNDAY AND HOLIDAY OVERTIME HOURLY RATE.
- AP THE FIRST 9 HOURS WORKED ON SATURDAY SHALL BE PAID AT TIME AND ONE-HALF.
- AQ RATE APPLIES TO THE FIRST 2 OVERTIME HOURS ONLY; ALL OTHER TIME IS PAID AT THE SUNDAY AND HOLIDAY OVERTIME HOURLY RATE.
- AR PURSUANT TO LABOR CODE SECTIONS 1773.1 AND 1773.8, THE AMOUNT PAID FOR THIS EMPLOYER PAYMENT MAY VARY RESULTING IN A LOWER TAXABLE BASIC HOURLY WAGE RATE, BUT THE TOTAL HOURLY RATES FOR STRAIGHT TIME AND OVERTIME MAY NOT BE LESS THAN THE GENERAL PREVAILING RATE OF PER DIEM WAGES.
- AS RATE APPLIES TO THE FIRST 8 HOURS WORKED; ALL OTHER TIME IS PAID AT THE SUNDAY AND HOLIDAY OVERTIME HOURLY RATE.
- AT INCLUDES AMOUNTS FOR LABOR MANAGEMENT AND PROMOTION FUND
- RA RATE APPLIES FOR THE FIRST 4 OVERTIME HOURS MONDAY THROUGH FRIDAY AND THE FIRST 12 HOURS WORKED ON SATURDAY. ALL OTHER TIME IS PAID AT THE SUNDAY/HOLIDAY RATE. IN THE EVENT THAT CONDITIONS OVER WHICH THE ROOFING CONTRACTOR HAS NO CONTROL (I.E. ADVERSE WEATHER, PROJECT DELAYS, LOGISTICAL PROBLEMS, GENERAL CONTRACTOR OR BUILDING OWNER REQUIREMENTS, ETC.) PREVENT EMPLOYEES FROM WORKING ON ONE OR MORE DAYS DURING THE REGULAR WORK WEEK, WORK PERFORMED ON SATURDAY MAY BE PAID AT THE STRAIGHT TIME RATES.
- AV INCLUDES SMOKE AND SHC. EFFECTIVE 1/1/2013, PURSUANT TO LABOR CODE SECTIONS 1773.1 AND 1773.8, THE AMOUNT PAID FOR THIS EMPLOYER PAYMENT MAY VARY RESULTING IN A LOWER TAXABLE BASIC HOURLY WAGE RATE, BUT THE TOTAL HOURLY RATES FOR STRAIGHT TIME AND OVERTIME MAY NOT BE LESS THAN THE GENERAL PREVAILING RATE OF PER DIEM WAGES.
- AW INCLUDES AN AMOUNT FOR PENSION WHICH IS FACTORED AT THE APPLICABLE OVERTIME MULTIPLIER. PURSUANT TO LABOR CODE SECTIONS 1773.1 AND 1773.8, THE AMOUNT PAID FOR THIS EMPLOYER PAYMENT MAY VARY RESULTING IN A LOWER TAXABLE BASIC HOURLY WAGE RATE, BUT THE TOTAL HOURLY RATES FOR STRAIGHT TIME AND OVERTIME MAY NOT BE LESS THAN THE GENERAL PREVAILING RATE OF PER DIEM WAGES.
- AX RATE APPLIES TO THE FIRST 2 DAILY OVERTIME HOURS AND THE FIRST 7 HOURS ON SATURDAY ONLY; ALL OTHER TIME IS PAID AT THE SUNDAY AND HOLIDAY OVERTIME HOURLY RATE.
- AY RATE APPLIES TO THE FIRST 2 DAILY OVERTIME HOURS AND THE FIRST 8 HOURS ON SATURDAY ONLY; ALL OTHER TIME IS PAID AT THE SUNDAY AND HOLIDAY OVERTIME HOURLY RATE.
- AZ INCLUDES SHC. EFFECTIVE 1/1/2013, PURSUANT TO LABOR CODE SECTIONS 1773.1 AND 1773.8, THE AMOUNT PAID FOR THIS EMPLOYER PAYMENT MAY VARY RESULTING IN A LOWER TAXABLE BASIC HOURLY WAGE RATE, BUT THE TOTAL HOURLY RATES FOR STRAIGHT TIME AND OVERTIME MAY NOT BE LESS THAN THE GENERAL PREVAILING RATE OF PER DIEM WAGES.
- BA RATE APPLIES TO FIRST 4 DAILY OVERTIME HOURS AND THE FIRST 8 HOURS WORKED ON SATURDAY DURING THE EMPLOYEES NORMAL WORKING HOURS. ALL OTHER OVERTIME HOURS SHALL BE PAID AT THE SUNDAY AND HOLIDAY DOUBLE TIME RATE.
- BB INCLUDES AN AMOUNT FOR PENSION FACTORED AT THE OVERTIME MULTIPLIER RATE.
- BC INCLUDES \$0.04 FOR SCHOLAR FUND.
- BD THE RATIO OF TERRAZZO FINISHER HOURS TO TERRAZZO WORKER HOURS SHALL NOT EXCEED TWO (2) TO ONE (1).
- BE INCLUDES AN AMOUNT FOR VACATION/DUES CHECK OFF WHICH IS NOT FACTORED IN THE OVERTIME RATES.
- BF INCLUDES AMOUNT WITHHELD FOR DUES CHECK OFF, WHICH IS FACTORED IN THE OVERTIME RATES. ANY EMPLOYEE WORKING UNDERGROUND SHALL RECEIVE \$1.00 PER HOUR IN ADDITION TO REGULAR WAGES.
- BG RATE APPLIES TO FIRST YEAR OF EMPLOYMENT ONLY; \$0.88 AFTER 2 YEARS OF EMPLOYMENT.
- BH RATE APPLIES TO ALL TIME WORKED IN EXCESS OF 8 HOURS PER DAY OR 40 HOURS PER WEEK AND FOR ALL HOURS ON SUNDAYS AND HOLIDAYS. RATE DOES NOT INCLUDE ANY ADDITIONAL AMOUNT THAT MAY BE REQUIRED FOR VACATION/HOLIDAY PAYMENT.
- BI RATE APPLIES TO FIRST YEAR OF EMPLOYMENT ONLY; \$0.72 AFTER 2 YEARS OF EMPLOYMENT.

RECOGNIZED HOLIDAYS: HOLIDAYS UPON WHICH THE GENERAL PREVAILING HOURLY WAGE RATE FOR HOLIDAY WORK SHALL BE PAID, SHALL BE ALL HOLIDAYS IN THE COLLECTIVE BARGAINING AGREEMENT, APPLICABLE TO THE PARTICULAR CRAFT, CLASSIFICATION, OR TYPE OF WORKER EMPLOYED ON THE PROJECT, WHICH IS ON FILE WITH THE DIRECTOR OF INDUSTRIAL RELATIONS. IF THE PREVAILING RATE IS NOT BASED ON A COLLECTIVELY BARGAINED RATE, THE HOLIDAYS UPON WHICH THE PREVAILING RATE SHALL BE PAID SHALL BE AS PROVIDED IN SECTION 6700 OF THE GOVERNMENT CODE. YOU MAY OBTAIN THE HOLIDAY PROVISIONS FOR THE CURRENT DETERMINATIONS ON THE INTERNET AT [HTTP://WWW.DIR.CA.GOV/OPRL/PWD](http://www.dir.ca.gov/OPRL/PWD). HOLIDAY PROVISIONS FOR CURRENT COUNTERPENDED DETERMINATIONS MAY BE OBTAINED BY CONTACTING THE OFFICE OF THE DIRECTOR - RESEARCH UNIT AT (415) 703-4774.

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

LOCALITY: SAN FRANCISCO COUNTY
DETERMINATION: SFR-2016-2

CRAFT (JOURNEY LEVEL)	ISSUE DATE	EXPIRATION DATE	DATE OF NEXT CHANGE	INCREASE 1		INCREASE 2		INCREASE 3		INCREASE 4		INCREASE 5		INCREASE 6		INCREASE 7	
				AMOUNT OF INCREASE		DATE OF NEXT CHANGE	AMOUNT OF INCREASE	DATE OF NEXT CHANGE	AMOUNT OF INCREASE	DATE OF NEXT CHANGE	AMOUNT OF INCREASE	DATE OF NEXT CHANGE	AMOUNT OF INCREASE	DATE OF NEXT CHANGE	AMOUNT OF INCREASE	DATE OF NEXT CHANGE	AMOUNT OF INCREASE
BRICKLAYER, BLOCKLAYER: BRICKLAYER, BLOCKLAYER, STONEMASON POINTER, CLEANER, CAULKER, WATERPROOFER	8/22/2016	04/30/2017**	5/1/2017	\$1.50	A	5/1/2018	\$1.75	A									
	8/22/2016	06/30/2017**	7/1/2017	\$1.70	A												
BRICK TENDER	8/22/2016	04/30/2017**	5/1/2017	\$1.20	A	5/1/2018	\$1.40	A									
CARPET, LINOLEUM, SOFT FLOOR LAYER FLOOR COVERING HANDLER AFTER 3 YEARS	2/22/2016	12/31/2016**	1/1/2017	\$2.00	A	1/1/2018	\$2.00	A	1/1/2019	\$2.00	A						
FLOOR COVERING HANDLER LESS THAN 3 YEARS	2/22/2016	12/31/2016**	1/1/2017	\$1.00	A	1/1/2018	\$1.00	A	1/1/2019	\$1.00	A						
FLOOR COVERING HANDLER TRAINEE, FIRST 3 MONTHS	2/22/2016	12/31/2016**	1/1/2017	\$0.80	A	1/1/2018	\$0.80	A	1/1/2019	\$0.80	A						
FLOOR COVERING HANDLER TRAINEE, SECOND 3 MONTHS	2/22/2016	12/31/2016**	1/1/2017	\$0.64	A	1/1/2018	\$0.64	A	1/1/2019	\$0.64	A						
ELECTRICIAN: COMM & SYSTEM INSTALLER	2/22/2016	11/30/2016**	12/1/2016	\$2.00	A												
COMM & SYSTEM TECH.	2/22/2016	11/30/2016**	12/1/2016	\$2.00	A												
INSIDE WIREMAN	8/22/2016	05/31/2017**	6/1/2017	\$3.75	A												
CABLE SPICER	8/22/2016	05/31/2017**	6/1/2017	\$3.75	A												
FIELD SURVEYOR: B CHIEF OF PARTY	2/22/2016	02/28/2017**	3/1/2017	\$2.00	A												
B INSTRUMENTMAN	2/22/2016	02/28/2017**	3/1/2017	\$2.00	A												
B CHAINMAN/RODMAN	2/22/2016	02/28/2017**	3/1/2017	\$2.00	A												
GLA IER	2/22/2016	12/31/2016**	1/1/2017	\$2.00	C	1/1/2018	\$1.75	D									
E MARBLE FINISHER	8/22/2016	01/31/2017**	2/1/2017	\$0.05	A	8/1/2017	\$1.85	A	8/1/2018	\$2.20	A						
E MARBLE MASON	8/22/2016	01/31/2017**	2/1/2017	\$0.40	A	8/1/2017	\$3.00	A	8/1/2018	\$3.25	A						
PAINTER: BRUSH AND SPRAY	2/22/2016	12/31/2016**	1/1/2017	\$1.75	F												
INDUSTRIAL PAINTER	2/22/2016	12/31/2016**	1/1/2017	\$1.75	F												
SANDBLASTER, STEAM CLEANER, WATERBLASTER	2/22/2016	12/31/2016**	1/1/2017	\$1.75	F												
EXOTIC MATERIALS	2/22/2016	12/31/2016**	1/1/2017	\$1.75	F												
PAPERHANGER/WALLCOVERING	2/22/2016	12/31/2016**	1/1/2017	\$1.75	F												
TAPER	8/22/2016	12/31/2016**	1/1/2017	\$2.05	G	1/1/2018	\$2.05	G									
H PLASTER TENDER	8/22/2016	06/30/2017**	7/1/2017	\$1.60	A	7/1/2018	\$1.65	A									
PLUMBER: SPRINKLER FITTER (FIRE PROTECTION AND FIRE CONTROL SYSTEMS)	8/22/2016	12/31/2016**	1/1/2017		I	7/31/2017	\$3.75	A									
ROOFER BITUMAS (IC, ENAMELER, PIPE WRAPPER, COAL TAR PITCH BUILD- UP	8/22/2016	07/31/2017**	8/1/2017	\$2.50	A												
MASTIC WORKER, KETTLEMAN (2 KETTLES WITHOUT PUMPS)	8/22/2016	07/31/2017**	8/1/2017	\$2.50	A												
SHEET METAL WORKER	8/22/2016	07/02/2017**	7/3/2017	\$3.50	A												

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1
FOR COMMERCIAL BUILDING, HIGHWAY, HEAVY CONSTRUCTION AND DREDGING PROJECTS

LOCALITY: SAN FRANCISCO COUNTY
DETERMINATION: SFR-2016-2

GRAFT (JOURNEY LEVEL)	ISSUE DATE	EXPIRATION DATE	INCREASE 1		INCREASE 2		INCREASE 3		INCREASE 4		INCREASE 5		INCREASE 6		INCREASE 7	
			DATE OF NEXT CHANGE	AMOUNT OF INCREASE	DATE OF NEXT CHANGE	AMOUNT OF INCREASE	DATE OF NEXT CHANGE	AMOUNT OF INCREASE	DATE OF NEXT CHANGE	AMOUNT OF INCREASE	DATE OF NEXT CHANGE	AMOUNT OF INCREASE	DATE OF NEXT CHANGE	AMOUNT OF INCREASE	DATE OF NEXT CHANGE	AMOUNT OF INCREASE
TOTAL SHEET METAL CONTRACT OF \$200,000 OR LESS	8/22/2016	07/02/2017**	7/3/2017	\$3.00 A												
SERVICE MECHANIC (TOTAL SHEET METAL CONTRACT OF \$200,000 OR LESS)	8/22/2016	07/02/2017**	7/3/2017	\$2.25 A												
SERVICE TECHNICIAN (TOTAL SHEET METAL CONTRACT OF \$200,000 OR LESS)	8/22/2016	07/02/2017**	7/3/2017	\$1.95 A												
AIR CONDITIONING SPECIALIST (TOTAL SHEET METAL CONTRACT OF \$200,000 OR LESS)	8/22/2016	07/02/2017**	7/3/2017	\$1.65 A												
AIR CONDITIONING JOURNEYMAN (TOTAL SHEET METAL CONTRACT OF \$200,000 OR LESS)	8/22/2016	07/02/2017**	7/3/2017	\$2.00 A												
J TERRA O FINISHER	8/22/2016	06/30/2017**	7/1/2017	\$1.00 A	7/1/2018	\$1.25 A										
J TERRA O WORKER	8/22/2016	06/30/2017**	7/1/2017	\$1.75 A	7/1/2018	\$2.00 A										
TILE FINISHER	8/22/2016	09/30/2016**	10/1/2016	\$0.70 K												
RED CIRCLED FINISHER	8/22/2016	09/30/2016**	10/1/2016	\$0.70 K												
TILE SETTER	8/22/2016	09/30/2016**	10/1/2016	\$0.70 K	4/1/2017	\$3.25 A	4/1/2018	\$3.40 A								

GENERAL PREVAILING WAGE DETERMINATION MADE BY THE DIRECTOR OF INDUSTRIAL RELATIONS
PURSUANT TO CALIFORNIA LABOR CODE PART 7, CHAPTER 1, ARTICLE 2, SECTIONS 1770, 1773 AND 1773.1

LOCALITY: SAN FRANCISCO COUNTY

DETERMINATION: SFR-2016-2

- THE PREDETERMINED INCREASE SHOWN IS TO BE ALLOCATED TO WAGES AND/OR EMPLOYER PAYMENTS. PLEASE CONTACT THE OFFICE OF THE DIRECTOR - RESEARCH UNIT AT (415) 703-4774 WHEN THE PREDETERMINED INCREASE BECOMES DUE TO CONFIRM THE DISTRIBUTION. PLEASE ALSO EXAMINE THE IMPORTANT NOTICES TO SEE IF ANY MODIFICATIONS HAVE BEEN ISSUED, AS THERE MAY BE REDUCTIONS TO PREDETERMINED INCREASES.
- A ALL CREWS, WITHOUT CONSIDERATION TO THE NUMBER OF INDIVIDUALS IN THE CREW, SHALL INCLUDE A CHIEF OF PARTY. A CREW CONSISTS OF ONE (1) OR MORE EMPLOYEES PERFORMING FIELD AND CONSTRUCTION SURVEY WORK.
 - B \$0.75 TO PENSION AND \$1.25 TO WAGES AND/OR EMPLOYER PAYMENTS.
 - C \$0.75 TO PENSION AND \$1.00 TO WAGES AND/OR EMPLOYER PAYMENTS.
 - E EMPLOYEES WORKING ON ANY SUSPENDED PLATFORM/SCAFFOLD SHALL BE PAID AN ADDITIONAL \$20.00 PER DAY ABOVE THE WAGE RATE.
 - F \$0.01 TO TRAINING, \$1.75 TO WAGES AND/OR FRINGES.
 - G \$0.48 TO PENSION AND \$1.57 TO BE ALLOCATED TO WAGES AND/OR EMPLOYER PAYMENTS. PLEASE CONTACT THE OFFICE OF THE DIRECTOR - RESEARCH UNIT AT (415) 703-4774 WHEN THE PREDETERMINED INCREASE BECOMES DUE TO CONFIRM THE DISTRIBUTION. PLEASE ALSO EXAMINE THE IMPORTANT NOTICES TO SEE IF ANY MODIFICATIONS HAVE BEEN ISSUED, AS THERE MAY BE REDUCTIONS TO PREDETERMINED INCREASES.
 - H ALL HOD CARRIERS WORKING ON THE HOSE SHALL RECEIVE \$5.00 PER DAY OVER SCALE.
 - I \$0.40 DECREASE TO THE BASIC HOURLY RATE TO BE ALLOCATED TO \$0.25 TO HEALTH AND WELFARE AND \$0.15 TO PENSION.
 - J THE RATIO OF TERRAZZO FINISHER HOURS TO TERRAZZO WORKER HOURS SHALL NOT EXCEED TWO (2) TO ONE (1).
 - K \$0.15 TO HEALTH & WELFARE AND \$0.55 TO PENSION.

SFR-2016-2-INC

Attachment 4

Prevailing Wage Determination

Important Notices from
The State of California
Department of Industrial Relations

**DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR**455 Golden Gate Avenue, 10th Floor
San Francisco, CA 94102

ADDRESS REPLY TO:

P.O. Box 420603

San Francisco

CA 94142-0603



November 5, 2001

**IMPORTANT NOTICE TO AWARDING BODIES AND
OTHER INTERESTED PARTIES CONCERNING THE NEW
AMENDMENTS TO LABOR CODE SECTION 1720(a)**

The passage of Senate Bill 975 (Chapter 938), effective January 1, 2002, codifies existing Department of Industrial Relations' administrative decisions on appeal and determinations regarding the above referenced statute. This statute, among other things, also expands the definition of "public funds" for purposes of the Prevailing Wage Law and adds "installation" to the definition of construction. Chapter 938 also provides for certain specified exemptions to the new definition of "public funds."

In accordance with SB 975, its terms will be strictly enforced for all public works projects advertised for bids on or after January 1, 2002, except for those projects that that would have been covered under the Department's public works coverage determinations or decisions on appeal made precedential prior January 1, 2002, in which case there is an independent basis for enforcement of projects advertised for bid prior to January 1, 2002.

DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR
455 Golden Gate Avenue, 10th Floor
San Francisco, CA 94102

ADDRESS REPLY TO:

P.O. Box 420603
San Francisco CA 94142-0603



February 8, 2002

**IMPORTANT NOTICE TO AWARDING BODIES AND OTHER INTERESTED PARTIES
CONCERNING INSPECTION AND SOILS AND MATERIALS TESTING**

Dear Public Official/Other Interested Party:

This notice provides clarification to many questions from the public regarding the scope of work of the testing and inspection determinations. In addition, it answers many questions from the public regarding work performed by architects and engineers.

Attached please find letters from Operating Engineers Local Union No. 3 dated February 4, 2002, and Operating Engineers Local Union No. 12 dated December 6, 2001, clarifying the scope of work for the following determinations:

SOUTHERN CALIFORNIA

BUILDING/CONSTRUCTION INSPECTOR AND FIELD SOILS AND MATERIAL TESTER, page 10E

SAN DIEGO COUNTY

BUILDING CONSTRUCTION INSPECTOR AND FIELD SOILS AND MATERIAL TESTER, page 27C

NORTHERN CALIFORNIA

OPERATING ENGINEER (Heavy and Highway Work): Group 6 (Soils and Materials Tester), page 39

OPERATING ENGINEER (Building Construction): Group 6 (Soils and Materials Tester), page 40A

Scope of work for each of these classifications has been posted on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. This information may also be requested from the Division of Labor Statistics and Research, Prevailing Wage Unit by calling (415) 703-4774, by faxing a request to (415) 703-4771 or by writing to:

California Department of Industrial Relations
Division of Labor Statistics and Research
Prevailing Wage Unit
P.O. Box 420603
San Francisco, CA 94142

When referring to questions 7 and 8 in the letters from Operating Engineers Local No. 3 and Local No. 12 respectively, please note that testing and inspection is covered at off-site manufacturing and/or fabrication facilities only if the off-site facility is determined covered under prevailing wage laws. If there are any questions pertaining to this area please contact the Division of Labor Statistics and Research at the above address. Please include all relevant documents including but not limited to the contract, financial documents, plans, specifications, as well as contact information for the Awarding Body.

Please refer to an Important Notice dated December 29, 2000 for additional information pertaining to testing, inspection, and field surveying.

Sincerely,

Chuck Cake
Chief Deputy Director

DEPARTMENT OF INDUSTRIAL RELATIONS
Office of the Director - Research Unit
455 Golden Gate Avenue, 9th Floor
San Francisco, CA 94102

MAILING ADDRESS:
P. O. Box 420603
San Francisco, CA 94142-0603



December 6, 2012

**IMPORTANT NOTICE TO AWARDING BODIES
AND OTHER INTERESTED PARTIES
REGARDING MODIFICATIONS OF THE PREDETERMINED INCREASES
IN THE DIRECTOR'S GENERAL PREVAILING WAGE DETERMINATIONS**

Dear Public Official/ Other Interested Parties:

The following is the modification of the predetermined increases for the following craft and classifications listed below:

CRAFT: Electrician

CLASSIFICATION(S): Sound Installer (All Shifts) and Sound Technician (All Shifts)

LOCALITY: Kern County

DETERMINATION: KER-2012-1 and KER-2012-2

The effective dates for the predetermined wage increases applicable to the classification(s) listed above have been **modified** as follows:

Instead of December 1, 2013, the new effective date is November 25, 2013.

Instead of June 1, 2014, the new effective date is May 26, 2014.

With the exception of the modifications stated above, predetermined increases, wage rates, and other conditions found in the above referenced prevailing wage determinations remain unchanged.



OPERATING ENGINEERS LOCAL UNION No. 3

1620 SOUTH LOOP ROAD, ALAMEDA, CA 94502-7090 • (510) 748-7400 • FAX (510) 748-7401

Jurisdiction: Northern California, Northern Nevada, Utah, Wyoming, South Dakota, Hawaii and Mid-Pacific Islands

February 4, 2002

Ms. Maria Y. Robbins, Deputy Chief
California State Department of Industrial Relations
Division of Labor Statistics and Research
455 Golden Gate Avenue, 8th Floor
San Francisco, CA 94102

RE: Prevailing Wage Determination - Soils and Materials Tester (SMT) On Site

Dear Ms. Robbins:

Please find enclosed the response of Operating Engineers Local Union No. 3 in support of a Prevailing Wage Determination for a Soils and Materials Tester as set forth in the Master Construction Agreement for Northern California.

The data enclosed, we believe, justifies and establishes the Scope of Work Conforming to SB1999 for a Soils and Materials Tester performing on-site work in Northern California.

We respectfully request that a conclusive determination be made that the wage rates and fringe benefit rates applicable to this classification are the prevailing wage for this type of work within the geographical jurisdiction established in the Master Agreement and are consistent with the requirements of SB1999.

Your continuing assistance is appreciated. If there are any further questions, do not hesitate to contact myself or Dean Dye at 510/748-7400.

Sincerely,

Robert E. Clark,
Director/Contracts Department

cc: Don Doser, Local 3 Business Manager
Dean Dye, Director - Testing & Inspection Division
and Technical Engineers Division

RECEIVED
Department of Industrial Relations

FEB 06 2002

Div. of Labor Statistics & Research
Chief's Office

**DEPARTMENT OF INDUSTRIAL RELATIONS
STATE OF CALIFORNIA
CLARIFICATION REQUEST - SOILS & MATERIALS TESTER (SMT)
WITH
OPERATING ENGINEERS LOCAL 3'S RESPONSES**

1. Summarize the intent of the coverage of the SMT classification in your No. CA Master Agreement, which serves as the basis for the prevailing wage determination.

All visual, physical and non-destructive testing that is done at a jobsite, on-site lab, fabrication site (yard), or off-site lab used exclusively for covered work.

2. Define the following and indicate if done by the SMT classification:

- a) Magnetic particle testing -- used for welding, laminations and other steel inspections; done by SMT
- b) Non-destructive inspection -- used for welding, laminations and other steel inspections; done by SMT
- c) Ultrasonic testing -- used for welding, laminations and other steel inspections; done by SMT
- d) Keying -- excavation at the toe of a slope; done by equipment operator
- e) Benching -- process of removing noncompacted or "soft" soil in order to properly place the compacted soil on unyielding materials; done by equipment operator
- f) Scarifying -- process of ripping or otherwise preparing the existing surface; done by equipment operator
- g) "Rolling of slopes" -- process of compacting the slope to the required density (also called "back rolling"); done by equipment operator
- h) One-pointer -- test made to roughly determine the weight and maximum density of the soil being used as fill material; done by SMT
- i) "Correction for rock" -- used during a compaction test to mathematically remove all oversize rock from the equation; done by SMT

3. Does lab work fall within the jurisdiction of the SMT? Does the on/off site location of the lab make a difference?

Lab work done offsite normally does not fall under the Construction Inspectors jurisdiction. If a field lab is set up at the project site in the field, it then falls under the Construction Inspectors jurisdiction.

4. What is the civil engineer's job when working with the SMT? Is the civil engineer covered under the scope of the SMT sections of the collective bargaining agreement (CBA)?

A Civil Engineer generally provides direction, plan interpretation and engineering type decisions. They may be either on- or off-site (depends on the nature of the project). They generally do not do the Construction Inspector type work; but if they do, then it is covered work.

- 5. The MLA (p.5) lists employees excluded from coverage. Does this imply that engineers and architects, project managers, off-site laboratory workers are excluded?**

Engineers, Architects, Project Managers, off-site Lab Workers, as long as they do not perform the Construction Inspector work, would not be covered.

- 6. Some signatories perform mechanical & electrical inspections. Are these jobs covered under the Operating Engineers Agreement? If so, how is work performed?**

We (OE3) consider Mechanical Inspectors to be covered by our CBA, therefore the prevailing wage (at the SMT rate) would be paid. Electrical should be covered work by the electrical craft.

- 7. Regarding manufacturing sites, if inspectors visit plants, is that inspection of the plant and its processes covered under the Agreement, is that the intent of SB1999?**

Steel fab shops, concrete and asphalt batch plants, prestressed yard and fabrication shop, etc. (such as those for piles & girders, gul lam beams) is covered work under the intent of SB1999, including mechanical and electric.

- 8. Are the following inspectors included in the scope of the agreement: Geotechnical, Concrete, Painting, Steel and Electrical?**

Concrete, Steel, Painting and Electrical Inspectors are covered work. Also Inspectors such as Roofing, Mechanical (HVAC), Suspended Ceiling, Plumbing, Geotechnical (unless registered Geologists/Engineer is specifically required by the plans & specs) Masonry, Fireproofing, Gul lam beams, Shotcrete, etc. In essence all inspection as required by the Uniform Building Code (UBC) is considered covered work.

- 9. Is visual observation inspection covered? For example: Using tape measures...**

The Construction/Special Inspector shall observe the work for conformance....." is part of the UBC requirements. The majority of inspection work is visually by nature. Slump of concrete, length of weld, depth of footings, pile caps, width of footings, pile caps etc. are but a short list of work that a Construction Inspector would use a tape measure for.

- 10. What is the difference between a Geotechnical Inspector and a Geotechnical Engineer? Are they covered under the Operating Engineer Agreement?**

A Geotechnical Engineer normally would be a registered person with a college degree. They may be a Geologist/Engineer in training also. Geotechnical Engineer performs/supervises the analyses, design and documents preparation associated with the geotechnical aspects of the project. They would not normally be covered, unless doing Construction Inspector work.

A Geotechnical Inspector ascertains through inspection and/or testing that the Geotechnical Engineer's requirements/recommendations are complied with. A Geotechnical Inspector would normally be covered.

- 11. Is a Project Manager whose duties include weekly meetings, approving, contracts, managing engineers, managing subcontracts and preparing monthly reports be covered under the CBA?**

A Project Manager, (who would normally not be doing construction inspection work) would not be covered.

- 12. Is a Resident Engineer whose duties include logging correspondence amongst contractors and subcontractors, performing soil, concrete, masonry, and HVAC tests, and acting as a liaison between contractors and engineers be covered?**

Resident Engineers, normally a professional registered person is not covered unless doing Construction Inspector work (such as performing soils, concrete, masonry & HVAC tests).

- 13. Is the SMT an apprenticeable classification?**

Yes.

- 14. Is inspection of bridges and piers covered under the SMT classification under Operating Engineers Local #3's agreement?**

Yes.

The following, in addition to the above, are also considered covered inspection work: high strength bolting; shearwall & diaphragms; metal connectors, anchors or fasteners for wood construction; piling; drilled piers; caissons; bolts installed in concrete; post tensioning steel; pre-stressed steel. Also includes, but not limited to, underground construction (sewers, gas lines, drainage devices, water lines, backfilling, welding, bedding). SMT rates apply.



INTERNATIONAL UNION OF OPERATING ENGINEERS

WM. C. WAGGONER
Business Manager
and
General Vice-President

December 6, 2001

RECEIVED
Department of Industrial Relations

DEC 11 2001

Div. of Labor Statistics & Research
Chief's Office

Via Fax & U.S. Postal Service
Maria Y. Robbins, Deputy Chief
State of California Department of Industrial Relations
Division of Labor Statistics & Research
455 Golden Gate Avenue, Eighth Floor
San Francisco, CA 94102

Re: Building Construction Inspector (BCI) and Field Soils and Material Tester (FSMT) Classifications

Dear Ms. Robbins:

Pursuant to your request for clarification contained in your November 20, 2001 correspondence we submit the following:

1. *What is the difference between the Building Construction Inspector (BCI) and the Field Soils and Materials Tester (FSMT) classifications? There appears to be some overlap of duties. Could you summarize the intent of the coverage between Southern California Contractors Association, Inc. and the International Union of Operating Engineers Local No. 12, which serves as the basis for the prevailing wage determination?*

As stated in our June 5, 2001 correspondence to your office, a Building Construction Inspector (BCI) is a licensed inspector who generally works under the direction of a registered civil engineer. The BCI is used when higher stresses are involved, e.g., welding, reinforced concrete, masonry, non-destructive testing and other related disciplines. The term "building inspector" or "construction inspector" has the same meaning as "special inspector." The BCI classification is meant to include inspection of all structures, including but not limited to, residential and commercial buildings, bridges, piers, warehouses, oil/water tanks, docks, refineries, heavy highway construction, underground construction, water works, sewers, water reclamation, flood control, dams, dredge, etc.

A field soils and material tester (FSMT) performs a variety of duties. They include special grading, excavation filling, soils used in construction, concrete sampling, density testing and various types of verification tests.

Occasional overlap of duties may occur between the BCI and FSMT, such as taking concrete specimens in the field, however, one must look at the overall scope of work/duties to determine the proper prevailing wage/rate classification.

2. *How is grading inspection different when performed by a BCI or by a FSMT?*

"Grading inspection is generally the work of a FSMT. The City of Los Angeles certifies/licenses grading inspectors whose duties are similar, but more stringent than those of the FSMT. The grading inspector in the Los Angeles area is covered under the BCI classification/wage rate.

3. *Please define the following and identify which classification performs this work:*

- a) *Magnetic particle testing*
- b) *Nondestructive inspection*
- c) *Ultrasonic testing*
- d) *Keying*
- e) *Benching*
- f) *Scarifying*
- g) *"Rolling of slopes"*
- h) *One-pointer*
- i) *"Correction for rock"*

a) b) c) Magnetic and ultrasonic testing are two different forms of non-destructive testing (NDT). They use mechanical devices to check defects in structures such as welds. The use of magnetic and ultrasonic waves in the evaluation process does not cause any damage to the structure, hence, the term non-destructive testing. Other forms of non-destructive testing include radiography (x-rays) and penetrant testing. All of this work is that of the BCI.

d) Keying in is benching into existing material while filling up an adjacent fill, to bind the two areas (materials) together, eliminating the chance of a soft or uncompacted area in between the two materials or areas. A "stair-step" procedure is usually used.

e) Benching is using a piece of equipment (usually a dozer) to cut into existing material while filling up an adjacent fill, to bind the two areas (materials) together. This eliminates the chance of soft or uncompacted area in-between the two materials or areas. A "stair-step" procedure is usually used.

f) Scarifying is a procedure performed by equipment that rips up existing material approximately one foot deep, then processing that material by watering and mixing it.

g) Rolling of Slopes is a compaction technique used on the slopes of a new fill area. The time required for compaction on the slope of a fill is the same as the required compaction on the top of the fill.

h) A One-Pointer is one test made on the soil by a field soils and material tester (or FSMT).

i) Correction for Rock is a calculation made for oversized rock in soil, done by a field soils and material tester (FSMT).

Items a, b and c are performed by the BCI. Items d, e, f, g, h and i are performed by the FSMT.

To perform items a, b, and c, the individual would have to obtain certification as required by the agency. If certified, for example, in "Ultrasonic Testing," one could perform FSMT work and then move to BCI work if certified to do so.

4. *Does lab work fall within the jurisdiction of the BCI? Does the on/off site location of the lab make a difference?*

No, lab work is not covered. If a lab is located on-site and the individual stays "inside" the lab, there is no coverage. However, if the individual goes on-site and performs "field work," then he or she is covered for all hours worked.

5. *What is the civil engineer's job when working with the BCI or FSMT? Is the civil engineer covered under the scope of the BCI or FSMT sections of the collective bargaining agreement (CBA)?*

The civil engineer usually acts in a supervisory role, directing the BCI or FSMT activities. The civil engineer work would not be covered unless he or she performs "field work."

6. *The Master Labor Agreement (page 5) lists employees excluded from coverage. Does this imply that engineers, architects, project managers and off-site laboratory workers are excluded?*

Yes.

7. *Some signatories perform mechanical & electrical inspections. Are these jobs covered under the Operating Engineers agreement? If so, how is work performed?*

Not covered.

8. *When inspectors visit manufacturing sites, is the inspection of the plant and its processes covered under the agreement? Is that the intent of SB 1999?*

Yes, pursuant to the agreement. The intent of SB1999 was to further define coverage in the public work arena.

9. *Are the following inspectors included in the scope of the agreement:
Geotechnical, Concrete, Painting, Steel and Electrical?*

Geotechnical, yes. Concrete, yes. Painting, no. Steel, yes. Electrical, no.

10. *Is visual observation inspection (e.g., using tape measures) covered?*

Yes. Visual inspection is a component of the Inspector's duties.

11. *What is the difference between a Geotechnical Inspector and a Geotechnical Engineer? Are they covered under the Operating Engineer agreement?*

A Geotechnical Inspector is "on-site" performing the "field work" and is covered. The

Geotechnical Engineer is usually "in-house," a supervisory position, "off-site."

12. *Is a project manager whose duties include weekly meetings, approving contracts, managing engineers, managing subcontracts and preparing monthly reports covered under the CBA?*

No.

13. *Is a resident engineer whose duties include logging correspondence amongst contractors and subcontractors, performing soil, concrete, masonry and HVAC tests, and acting as a liaison between contractors and engineers covered?*

When a Resident Engineer logs correspondence among contractors and subcontractors, the work is not covered. When the Resident Engineer acts as a liaison between contractors and engineers, the work is not covered. When performing soil, concrete or masonry tests, the work is covered. HVAC tests are not covered.

14. *Is the BCI an apprenticeable classification?*

Yes.

15. *Is the FSMT an apprenticeable classification?*

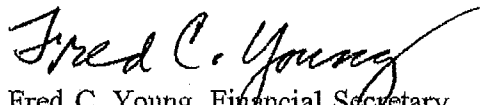
Yes.

16. *Is inspection of bridges and piers covered under the BCI and/or FSMT classifications under your agreement?*

Yes. Please see the coverage language in the CBA. Both classifications are covered pertaining to bridge work.

We hope the information provided herein is beneficial to you. Please call this office if you should have any questions.

Sincerely,


Fred C. Young, Financial Secretary
I. U. O. E., Local Union No. 12

FCY:smc

cc: David Lanham, Contract Compliance

STATE OF CALIFORNIA

GRAY DAVIS, GOVERNOR

DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR
455 Golden Gate Avenue, 10th Floor
San Francisco, CA 94102

ADDRESS REPLY TO:

P.O. Box 420603
San Francisco CA 94142-0603



December 29, 2000

**IMPORTANT NOTICE TO AWARDING BODIES AND OTHER INTERESTED PARTIES
CONCERNING INSPECTION, FIELD SURVEYING AND SOILS TESTING**

The passage of Senate Bill 1999 (Chapter 881), effective January 1, 2001, codifies existing Department of Industrial Relations administrative decisions, determinations and regulations concerning the above referenced work. This work when done on or in the execution of a "Public Works" project requires the payment of prevailing wages. In accordance with SB 1999, Inspection and Testing determinations will be strictly enforced for all public works projects advertised for bids on or after January 1, 2001. Field surveying determinations have been and will continue to be enforced for all public works projects.

The classifications that perform this work have been published in the Director's General Prevailing Wage Determinations for over 20 years and can be found on the Basic Trades pages (Building Inspection, Soils Testing) and on the individual county sheets (Field Surveying). For the basic trades, please use the following determinations:

SOUTHERN CALIFORNIA

OPERATING ENGINEER, Group 2 (Soils Field Technician), page 7
BUILDING CONSTRUCTION INSPECTOR (OPERATING ENGINEER), page 10E

SAN DIEGO COUNTY

OPERATING ENGINEER, Group 2 (Soils Field Technician), page 25
BUILDING CONSTRUCTION INSPECTOR (OPERATING ENGINEER), page 10E

NORTHERN CALIFORNIA

OPERATING ENGINEER (Heavy and Highway Work): Group 6 (Soils and Materials Tester), page 39
OPERATING ENGINEER (Building Construction): Group 6 (Soils and Materials Tester), page 40A

Advisory scope of work covered by each of these classifications has been posted on the Internet at <http://www.dir.ca.gov/DLSR/PWD>. This information may also be requested from the Division of Labor Statistics and Research, Prevailing Wage Unit by calling (415) 703-4774, by faxing a request to (415) 703-4771 or by writing to:

California Department of Industrial Relations
Division of Labor Statistics and Research
Prevailing Wage Unit
P.O. Box 420603
San Francisco, CA 94142

In addition, Director's precedential Public Works coverage determinations concerning inspection and testing work will be enforced for all public works projects advertised for bids on or after the dates the precedential decisions were designated as such. For determinations of the applicability of prevailing wage requirements to other work covered by SB 1999, please contact the Division either via fax number or by mailing your request to the address indicated above. Requests of this nature should include all of the relevant documents including, but not limited to, the contract for the work and a detailed description of the work to be performed. Future clarifications regarding the scope and application of Senate Bill 1999, if needed, will be posted on the DLSR website and mailed to those on the Prevailing Wage mailing list.

DEPARTMENT OF INDUSTRIAL RELATIONS

OFFICE OF THE DIRECTOR

San Francisco, CA 94102

455 Golden Gate Avenue, 10th Floor

ADDRESS REPLY TO:

P.O. Box 420603

San Francisco

CA 94142-0603



March 4, 2002

**Important Notice to Awarding Bodies and Other Interested Parties
Regarding Shift Differential Pay in the Director's General Prevailing Wage Determinations**

Dear Public Official/Other Interested Parties:

The Director's General Prevailing Wage Determinations includes shift differential pay for various crafts used on public works projects. This notice is to clarify the worker's eligibility to receive the shift differential pay when working on a public works project. Please note that not all crafts have shift differential pay published in the Director's General Prevailing Wage Determinations.

When a worker is required to work a regular shift, he/she must be paid the applicable craft rate from the Director's General Prevailing Wage Determinations for the construction activity he/she is performing. However, when a worker is required to work a shift outside of normal working hours, he/she must be paid the shift differential pay according to the shift he/she is working. For example, if only one shift is utilized for the day, and the work being performed is during the hours typically considered to be a swing (second) shift or graveyard (third) shift, the worker employed during the hours typically considered to be a swing shift or graveyard shift must be paid the shift differential pay for the shift he/she is working. If multiple shifts are used for the day, the worker working on the second or third shift must be paid according to the shift he/she is working.

Please refer to the contract provisions posted on the Internet at www.dir.ca.gov/DLSR/PWD for the working hours applicable to the craft/classification published in the Director's General Prevailing Wage Determinations, which has a swing shift and/or a graveyard shift.

Sincerely,


Chuck Cake
Chief Deputy Director

DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

455 Golden Gate Avenue, 10th Floor
San Francisco, CA 94102

ADDRESS REPLY TO:

P.O. Box 420603

San Francisco

CA 94142-0603



March 11, 2003

**IMPORTANT NOTICE
TO AWARDING BODIES AND INTERESTED PARTIES
REGARDING THE
TELEPHONE INSTALLATION WORKER**

Based upon the attached communications dated February 20, 2002, from Bill Quirk of the Communications Workers of America to Chuck Cake, Acting Director of the Department of Industrial Relations, the Office of the Director has determined the following:

Effective March 21, 2003, for all projects advertised for bids as of this date and prospectively which involve voice, data, and video communications work, the scope of work for this determination is clarified to exclude conduit work except in cases involving conduit runs of less than or equal to ten (10) feet within telecommunications rooms/closets.

ID:

FEB 20 '02 17:56 No.004 P.0
Page 1 of 1

Bill Quirk - Conduit issues

From: Bill Quirk
To: ccake
Date: 02/20/2002 5:10 PM
Subject: Conduit issues

>>> Bill Quirk Wednesday, February 20, 2002 3:27:57 PM >>>

Dear Chuck,

I hope the information below will help you.

For C7 licensed contractors with whom CWA holds a collective bargaining agreement in the State of California, I believe the following statement covers 95 % of work performed by our members.

The scope of work that CWA members perform requires the running of conduit from time to time. This conduit work is typically incidental to Telecommunications work and normally in telecommunications rooms / closets. Usually the conduit run is not longer than 10 feet.

Sincerely,

Bill Quirk
Assistant to Vice President
Communications Workers of America, District 9

DEPARTMENT OF INDUSTRIAL RELATIONS

OFFICE OF THE DIRECTOR

455 Golden Gate Avenue, Tenth Floor

San Francisco, CA 94102

(415) 703-5050



April 13, 2005

RE: Enforcement of Prevailing Wage Obligations for On-Haul and Off-Haul Trucking By Owner-Operators Not Employed by Material Suppliers

Dear Interested Parties:

This notice is in response to the various letters I have received regarding enforcement of prevailing wage obligations for owner-operator truck drivers hauling material and equipment to and from public works sites.

It has been this Department's policy that owner-operators, including owner-operator truckers, performing public work must be paid prevailing wages.¹ It appears, however, and as some of you have acknowledged, the majority of the Department's enforcement of prevailing wage obligations has concerned owner-operators (of any kind) performing work within a public works site.²

In light of the Department's enforcement experience and its current consideration of appropriate wage rates for owner-operators performing on-haul and off-haul trucking, enforcement of prevailing wage obligations for such work is stayed pending DLSR's establishment of the appropriate rates, including a formula for applying them to the unique circumstances of owner-operator compensation.³

In addition, the rates established by DLSR for owner-operator truckers performing off-haul and on-haul deemed to be public work will be applicable to all work advertised for bid on or after the date of the rates issued by DLSR.⁴

Sincerely,

/s/John M. Rea
Acting Director

¹ Under *O. G. Sansone Co. v. Department of Transportation* (1976) 55 Cal.App.3d 434, 458, 127 Cal.Rptr. 799, this excludes owner-operators employed by material suppliers.

² Almost all prevailing wage complaints involving owner-operators performing on-haul and off-haul trucking appear to have come in after the request for a determination or after the issuance of the determination.

³ Labor Compliance Programs are required to enforce prevailing wage obligations in a manner consistent with the enforcement policies of DLSE (title 8, California Code of Regulations, section 16434). Thus, Labor Compliance Programs are directed to observe the same stay policy as described herein.

⁴ Consistent with the Department's enforcement policy, if an awarding body does not advertise the public works project for bid, other benchmarks events, including the first written memorialization of the agreement concerning the public works elements of project or the contract governing the award of public funds will be utilized instead. See e.g., Baldwin Park Market Place, City of Baldwin Park, Public Works Case No. 2003-028, October 16, 2003.

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
Division of Labor Statistics and Research
455 Golden Gate Avenue, 9th Floor
San Francisco, CA 94102

Arnold Schwarzenegger, Governor

MAILING ADDRESS:
P. O. Box 420603
San Francisco, CA 94142-0603



February 22, 2007

**IMPORTANT NOTICE TO AWARDING BODIES
AND OTHER INTERESTED PARTIES
REGARDING THE DETERMINATIONS ISSUED ON FEBRUARY 22, 2007 FOR
METAL ROOFING SYSTEMS INSTALLER (PAGES 2J - 2J-15)**

Dear Public Official/Other Interested Party:

The Division of Labor Statistics and Research (DLSR) found through the Metal Roofing Systems (Commercial Construction) Statewide Wage and Benefits Survey that there is insufficient or no data to establish a mode for metal roofing in **Alpine, Colusa, Del Norte, Glenn, Imperial, Inyo, Kern, Kings, Lake, Mariposa, Merced, Modoc, Mono, Nevada, Plumas, San Benito, San Luis Obispo, Santa Cruz, Sierra, Sutter, Tehama, Trinity, and Tuolumne** counties. The Director of Industrial Relations determined that the minimum acceptable rate for metal roofing in these counties would be one of the four rates which DLSR publishes as prevailing through broad areas of California (i.e. the Carpenter, Iron Worker, Roofer and Sheet Metal Worker rates published in the General Prevailing Wage Determinations).

These will remain the minimum rates unless and until the rate is successfully challenged, in the context of a specific job with payroll evidence that another rate prevails, under Labor Code Section 1773.4 (for a specific project) or should another party submit payroll data showing that there is a single rate prevailing in a broad labor market which includes these counties or for one of these counties, via petition meeting the requirements of under Title 8, California Code of Regulations section 16302. We will require, as the survey did, actual payroll data linked to a project on which a metal roof was installed by the worker paid that rate. Please note that in the successfully challenged county(ies), a wage and benefits survey will be conducted to determine the prevailing wage rate for this type of work.

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May 10, 2007

**IMPORTANT NOTICE
TO AWARDING BODIES AND INTERESTED PARTIES
REGARDING OVERTIME ON PUBLIC WORKS**

The Department has received several inquiries regarding whether it would be appropriate to refer to the contract provisions for a craft, classification, or type of worker in cases where the prevailing wage is based on a collective bargaining agreement to determine the overtime requirements for public works.

Contract provisions that allow for employees to work alternative workweek schedules in which they may work more than 8 hours per day without overtime pay do not apply to work performed on public works. The laws and regulations governing prevailing wages require that employees of contractors on public works be paid not less than 1-1/2 times the basic rate of pay for all hours worked in excess of 8 hours per day and 40 hours during any one week. In addition, overtime compensation may be required at a higher rate than 1-1/2 times the basic rate of pay, for less than 40 hours in a standard workweek, or for less than 8 hours in a calendar workday as specified in the prevailing wage determination. Contractors are required to pay overtime pursuant to Labor Code sections 1810-1815 and as indicated in the prevailing wage determination. Therefore, please refer to Labor Code sections 1810-1815 and the prevailing wage determination and not the contract provisions for each particular craft, classification, or type of worker to obtain the applicable requirements for overtime hours and rates of pay.

DEPARTMENT OF INDUSTRIAL RELATIONS

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**IMPORTANT NOTICE TO
AWARDING BODIES AND INTERESTED PARTIES
REGARDING
THE DEPARTMENT'S DECISION TO DISCONTINUE THE USE
OF PRECEDENT DETERMINATIONS**

"As part of the Department of Industrial Relations' ("DIR") continuing review of Office of Administrative Law determinations and Governor Schwarzenegger's Executive Order S-2-03, the Division of Labor Statistics and Research ("DLSR") will no longer rely on Government Code section 11425.60 and will no longer designate public works coverage determinations as "precedential." The determinations should be considered advice letters directed to specific individuals or entities about whether a specific project or type of work is public work subject to prevailing wage requirements. DLSR is in the process of redesigning the web page for public works coverage determinations but, in the interim, will leave previously posted determinations on the website as a source of information for the public until the replacement web page is available.

Posted public works coverage determination letters provide an ongoing advisory service only. The letters present the Director of DIR's interpretation of statutes, regulations and court decisions on public works and prevailing wage coverage issues and provide advice current only as of the date each letter is issued. In attempting to relate this advice to your own matter, care must be taken to ensure that the advice has not been superseded by subsequent legislative or administrative action or court decisions. Where there is an inconsistency between a statute, regulation or court decision and a public works coverage determination letter, statutory, regulatory or case law is controlling."

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**CORRECTION OF THE
IMPORTANT NOTICE TO
AWARDING BODIES AND INTERESTED PARTIES
REGARDING
THE DEPARTMENT'S DECISION TO DISCONTINUE THE USE
OF PRECEDENT DETERMINATIONS**

"As part of the Department of Industrial Relations' ("DIR") continuing review of Office of Administrative Law determinations and Governor Schwarzenegger's Executive Order S-2-03, DIR will no longer rely on Government Code section 11425.60 and will no longer designate public works coverage determinations as "precedential." The determinations should be considered advice letters directed to specific individuals or entities about whether a specific project or type of work is public work subject to prevailing wage requirements. DLSR is in the process of redesigning the web page for public works coverage determinations but, in the interim, will leave previously posted determinations on the website as a source of information for the public until the replacement web page is available.

Posted public works coverage determination letters provide an ongoing advisory service only. The letters present the Director of DIR's interpretation of statutes, regulations and court decisions on public works and prevailing wage coverage issues and provide advice current only as of the date each letter is issued. In attempting to relate this advice to your own matter, care must be taken to ensure that the advice has not been superseded by subsequent legislative or administrative action or court decisions. Where there is an inconsistency between a statute, regulation or court decision and a public works coverage determination letter, statutory, regulatory or case law is controlling."

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July 1, 2008

**IMPORTANT NOTICE TO AWARDING BODIES AND INTERESTED PARTIES
REGARDING THE PREVAILING WAGE
APPRENTICE SCHEDULES/APPRENTICE WAGE RATES**

Effective July 1, 2008, the determination, issuance and publication of the prevailing wage apprentice schedules/apprentice wage rates have been reassigned by the Department of Industrial Relations from the Division of Labor Statistics and Research to the Division of Apprenticeship Standards.

To obtain any apprentice schedules/apprentice wage rates, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

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January 26, 2009

**IMPORTANT NOTICE TO AWARDING BODIES AND INTERESTED PARTIES
REGARDING PREVAILING WAGE DETERMINATIONS
FOR RESIDENTIAL PROJECTS**

The Division of Labor Statistics and Research (DLSR) will no longer issue residential wage rates as special prevailing wage determinations pursuant to the California Code of Regulations Section 16202. Effective January 26, 2009, the DLSR will make available on demand residential prevailing wage determinations for those crafts/classifications which are on file with the DLSR to any interested party upon request. This does not alter the basis for determination, it only improves the availability of these determinations to the regulated public.

As defined under the California Code of Regulations Section 16001(d), residential projects consist of single-family homes and apartments up to and including four stories. The residential determinations will apply only to the residential portion of the project meeting this definition. Construction of any structures or ancillary facilities on the project that does not meet this definition requires the payment of the general prevailing wage rates found in the Director's General Prevailing Wage Determinations.

To obtain residential determinations, please fax a request to (415) 703-4771 or send to the following address:

Department of Industrial Relations
Division of Labor Statistics and Research
P.O. Box 420603
San Francisco, CA 94142-0603

It is anticipated that residential determinations will be updated semi-annually as are the Director's General Prevailing Wage Determinations. An important notice will go up on DLSR's website when residential determinations are updated. If you are obtaining residential determinations and your project is not immediately advertised for bids, please refer to these important notices to make sure you are using the residential determinations in effect at the time a project is advertised for bids.

If you have any questions, please contact the Division of Labor Statistics and Research at the aforementioned address or call (415) 703-4780 and ask for the Prevailing Wage Analyst of the Week.

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February 22, 2009

**IMPORTANT NOTICE TO AWARDING BODIES,
OTHER INTERESTED PARTIES, AND CD RECIPIENTS
REGARDING THE GENERAL PREVAILING WAGE DETERMINATIONS FOR THE
CRAFT OF DRIVER (ON/OFF-HAULING TO/FROM CONSTRUCTION SITE)**

The Department of Industrial Relations ("Department") conducted a wage investigation to determine the prevailing wage rate(s) for the craft of Driver (On/Off-Hauling to/from a Construction Site). Based on the results of this investigation, the Department has issued statewide prevailing wage determinations for the classifications of Dump Truck Driver and Mixer Truck Driver (see pages 2L-1 through 2L-6 and pages 2K-1 through 2K-16, respectively). These determinations will be applicable to public works projects advertised for bids on or after March 4, 2009.

The Department determined that the Dump Truck Driver rates found in the Teamsters Master Labor Agreement for on-site construction also set the prevailing rate for On/Off-Hauling to/from a Construction Site for Marin, Napa, Solano, Sonoma, and Yolo Counties. Based on the results of this investigation, this on-site determination does not apply to any other counties for On/Off-Hauling to/from a Construction Site. To find the applicable rate(s) for the Dump Truck Driver classification in Marin, Napa, Solano, Sonoma, and Yolo Counties, please refer to the prevailing wage determination for the craft of Teamster (Applies only to Work on the Construction Site) found on pages 55, 56, and 56A of the Director's General Prevailing Wage Determinations.

For CD recipients, please note the correction that determination NC-23-261-4-2005-1 for the craft of Driver (On/Off-Hauling to/from a Construction Site), page 59, is no longer applicable to public works projects advertised for bids on or after March 4, 2009. To obtain the current determinations for this craft, please visit our website at <http://www.dir.ca.gov/DLSR/PWD/Statewide.html> on or after March 4, 2009, or contact the Prevailing Wage Unit at (415) 703-4774.

DEPARTMENT OF INDUSTRIAL RELATIONS
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August 22, 2009

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SOUTHERN CALIFORNIA LABORERS'
GENERAL PREVAILING WAGE DETERMINATION**

The classifications and type of work listed below, as identified in the Laborers 2006-2009 Master Labor Agreement, Memorandum of Agreement by and between Southern California District Council of Laborers and Associated General Contractors of California, Inc., Building Industry Association of Southern California, Inc. and Southern California Contractors Association, were not published or recognized by the Department of Industrial Relations in the **August 22, 2009** issuance of the Southern California Laborers' general determination, **SC-23-102-2-2009-1**. The rates associated with these unrecognized classifications **SHALL NOT** be applied or used on public works projects for the associated type of work.

The Department of Industrial Relations has not recognized the amendments under Article 1 in the Memorandum of Agreement. The following classifications have not been adopted for public works projects:

Group 1

Concrete Curb and Gutter Laborer
Environmental, Remediation, Monitoring Well, Toxic waste, Geotechnical Drill Helper
Expansion Joint Caulking by any method (including preparation and clean-up)
Laborer, Concrete
Traffic Control Pilot Truck, Vehicle Operator in connection with all Laborers' work

Group 2

Grout Man (including forming, pouring, handling, mixing, finishing and cleanup of all types of grout)
Irrigation Laborer

Group 3

Bushing Hammer
Guardrail Erector/Guardrail Builder
Shot Blast Equipment Operator (8 to 48 inches)
Small Skid Steer Loader

Group 4

Concrete Handworking by any method or means
Industrial Pipefitter
Installer of Subsurface Instrumentation, Monitoring Wells, or Points, Remediation Systems Installer

Group 5

Environmental, Remediation, Monitoring Well, Toxic Waste and Geotechnical Driller
Directional Boring Drill Operator/Horizontal Directional Boring Driller

Group 6

Boring System Electronic Tracking Locator/Horizontal Directional Drill Locator

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August 22, 2009

**IMPORTANT NOTICE REGARDING
THE SAN DIEGO LABORERS' (ENGINEERING CONSTRUCTION)
GENERAL PREVAILING WAGE DETERMINATION**

The classifications of work listed below, as identified in the 2007-2011 San Diego Laborers' (Engineering Construction) Master Labor Agreement by and between Associated General Contractors of America San Diego Chapter and Laborers' International Union of North America Local No. 89 were not published or recognized by the Department of Industrial Relations in the **August 22, 2009** issuance of the San Diego Laborers' (Engineering Construction) general determination, **SD-23-102-3-2009-1**. The rates associated with these unrecognized classifications **SHALL NOT** be applied or used on public works projects for the associated type of work.

The following classifications have not been adopted for public works projects:

Group 1

Concrete Curb and Gutter Laborer

Environmental, Remediation, Monitoring Well, Toxic waste, Geotechnical Drill Helper

Expansion Joint Caulking by any method (including preparation and clean-up)

Laborer, Concrete

Group 2

Grout Man (including forming, pouring, handling, mixing, finishing and cleanup of all types of grout)

Irrigation Laborer

Group 3

Bushing Hammer

Guardrail Erector

Shot Blast Equipment Operator (8 to 48 inches)

Group 4

Installer of Subsurface Instrumentation, Monitoring Wells, or Points, Remediation Systems Installer

Group 5

Environmental, Remediation, Monitoring Well, Toxic Waste and Geotechnical Driller

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August 22, 2009

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SOUTHERN CALIFORNIA AND SAN DIEGO
LANDSCAPE/IRRIGATION LABORER/TENDERS'
GENERAL PREVAILING WAGE DETERMINATIONS**

The classifications and types of work listed below, as identified in the Laborers' 2008-2012 Landscape Master Agreement by and between the Southern California District Council of Laborers and Valley Crest Landscape Development, Inc., have not been published or recognized by the Department of Industrial Relations in the August 22, 2009 issuance of the Southern California and San Diego Landscape/Irrigation Laborer/Tenders' general determinations, SC-102-X-14-2009-2 and SD-102-X-14-2009-2. The rates associated with these unrecognized classifications and types of work **SHALL NOT** be applied or used on public works projects for the associated type of work.

The following classifications and types of work have not been adopted for public works

projects: Classifications

- Landscape/Irrigation Equipment Operator
- Landscape/Irrigation Truck Driver

Types of Work

- The operation of horizontal directional drills, including operation of drill and electronic tracking device (locator) and related work.
- Installation and cutting of pavers and paving stones.
- Operation of pilot trucks.
- *The operation of all landscape/irrigation equipment and landscape/irrigation trucks.

* This shall include all of the classifications listed in the prevailing wage determinations for Landscape Operating Engineer (SC-63-12-33-2009-1), Operating Engineers (SC-23-63-2-2009-2 and SD-23-63-3-2009-2), and Teamster (SC-23-261-2-2009-1 and SD-23-261-3-2009-2) in all the Southern California counties, including San Diego County.

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February 22, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SOUTHERN CALIFORNIA IRON WORKERS'
GENERAL PREVAILING WAGE DETERMINATION**

The California Labor Code requires the Director of the Department of Industrial Relations to determine the prevailing rate of per diem wages for all workers employed upon public works projects. The Division of Labor Statistics and Research ("DLSR") undertook an investigation in 2009 to determine the prevailing wage rates for the **installation of solar and photovoltaic systems in Los Angeles, San Diego and Imperial Counties**. The results of the questionnaire have been compiled and based on them the minimum rate of pay for the work in question is performed by **Electricians: Inside Wiremen** for the Los Angeles, San Diego, and Imperial Counties General Prevailing Wage Determinations.

The type of work listed below, as identified in the Iron Workers 2007-2010 Master Labor Agreement, between District Council of Iron Workers of the State of California and vicinity and Iron Worker Employers State of California and a portion of Nevada, were not published or recognized for the **Los Angeles, San Diego, and Imperial Counties** by the Department of Industrial Relations starting with the **August 22, 2009** issuance of the Southern California Iron Workers' general determination, **C-20-X-1-2009-1** and continuing with any subsequent Southern California Iron Workers' general determinations until superseded by the Director. The rates associated with this unrecognized type of work (solar energy systems) **SHALL NOT** be applied or used on public works projects for the associated type of work.

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February 22, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SOUTHERN CALIFORNIA LABORERS'
GENERAL PREVAILING WAGE DETERMINATION**

The California Labor Code requires the Director of the Department of Industrial Relations to determine the prevailing rate of per diem wages for all workers employed upon public works projects. The Division of Labor Statistics and Research ("DLSR") undertook an investigation in 2009 to determine the prevailing wage rates for the **installation of solar and photovoltaic systems in Los Angeles, San Diego and Imperial Counties**. The results of the questionnaire have been compiled and based on them the minimum rate of pay for the work in question is -- **Electricians: Inside Wiremen** for the Los Angeles, San Diego, and Imperial Counties General Prevailing Wage Determinations.

The type of work listed below, as identified in the Laborers 2006-2009 Master Labor Agreement, Memorandum of Agreement by and between Southern California District Council of Laborers and Associated General Contractors of California, Inc., Building Industry Association of Southern California, Inc. and Southern California Contractors Association, were not published or recognized for the **Los Angeles and Imperial Counties** by the Department of Industrial Relations starting with the **August 22, 2009** issuance of the Southern California Laborers' general determination, **SC-23-102-2-2009-1** and continuing with any subsequent Southern California Laborers' general determinations until superseded by the Director. The rates associated with this unrecognized type of work (solar energy installations and appurtenances thereto) **SHALL NOT** be applied or used on public works projects for the associated type of work.

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February 22, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SOUTHERN CALIFORNIA CARPENTERS'
GENERAL PREVAILING WAGE DETERMINATION**

The California Labor Code requires the Director of the Department of Industrial Relations to determine the prevailing rate of per diem wages for all workers employed upon public works projects. The Division of Labor Statistics and Research ("DLSR") undertook an investigation in 2009 to determine the prevailing wage rates for the **installation of solar and photovoltaic systems in Los Angeles, San Diego and Imperial Counties**. The results of the questionnaire have been compiled and based on them the minimum rate of pay for the work in question is performed by **Electricians: Inside Wiremen** for the Los Angeles, San Diego, and Imperial Counties General Prevailing Wage Determinations.

The type of work listed below, as identified in the Carpenters 1998-2011 Master Labor Agreement, between Southern California Conference of Carpenters and Associated General Contractors of California, Inc., Building Industry Association of Southern California, Inc., Southern California Contractors Association and Millwright Employers Association, were not published or recognized for the **Los Angeles and Imperial Counties** by the Department of Industrial Relations starting with the **August 22, 2009** issuance of the Southern California Carpenters' general determination, **SC-23-31-2-2009-1** and continuing with any subsequent Southern California Carpenters' general determinations until superseded by the Director. The rates associated with this unrecognized type of work (solar energy installations and appurtenances thereto) **SHALL NOT** be applied or used on public works projects for the associated type of work.

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February 22, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SOUTHERN CALIFORNIA TEAMSTERS'
GENERAL PREVAILING WAGE DETERMINATION**

The California Labor Code requires the Director of the Department of Industrial Relations to determine the prevailing rate of per diem wages for all workers employed upon public works projects. The Division of Labor Statistics and Research ("DLSR") undertook an investigation in 2009 to determine the prevailing wage rates for the **installation of solar and photovoltaic systems in Los Angeles, San Diego and Imperial Counties**. The results of the questionnaire have been compiled and based on them the minimum rate of pay for the work in question is -- **Electricians: Inside Wiremen** for the Los Angeles, San Diego, and Imperial Counties General Prevailing Wage Determinations.

The type of work listed below, as identified in the Teamsters 2009-2010 Southern California Construction Master Labor Agreement between Southern California General Contractors and Teamsters Joint Council #42 and Teamsters Local Union #87, Affiliated with the International Brotherhood of Teamsters were not published or recognized for **Los Angeles and Imperial Counties** by the Department of Industrial Relations starting with the **August 22, 2009** issuance of the Southern California Teamsters' general determination, **SC-23-261-2-2009-1** and continuing with any subsequent Southern California Teamsters' general determinations until superseded by the Director. The rates associated with this unrecognized type of work (solar energy installations and appurtenances thereto) **SHALL NOT** be applied or used on public works projects for the associated type of work.

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February 22, 2010

NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SAN DIEGO LABORERS'
GENERAL PREVAILING WAGE DETERMINATION

The California Labor Code requires the Director of the Department of Industrial Relations to determine the prevailing rate of per diem wages for all workers employed upon public works projects. The Division of Labor Statistics and Research ("DLSR") undertook an investigation in 2009 to determine the prevailing wage rates for the **installation of solar and photovoltaic systems in Los Angeles, San Diego and Imperial Counties**. The results of the questionnaire have been compiled and based on them the minimum rate of pay for the work in question is -- **Electricians: Inside Wiremen** for the Los Angeles, San Diego, and Imperial Counties General Prevailing Wage Determinations.

The type of work listed below, as identified in the 2007-2011 San Diego Laborers' (Engineering Construction) Master Labor Agreement by and between Associated General Contractors of America San Diego Chapter and Laborers' International Union of North America Local No. 89, were not published or recognized for the **San Diego County** by the Department of Industrial Relations starting with the **August 22, 2009** issuance of the San Diego Laborers' (Engineering Construction) general determination, **SD-23-102-3-2009-1** and continuing with any subsequent San Diego Laborers' (Engineering Construction) general determinations until superseded by the Director. The rates associated with this unrecognized type of work (solar energy installations and appurtenances thereto) **SHALL NOT** be applied or used on public works projects for the associated type of work.

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February 22, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SAN DIEGO LABORERS'
GENERAL PREVAILING WAGE DETERMINATION**

The California Labor Code requires the Director of the Department of Industrial Relations to determine the prevailing rate of per diem wages for all workers employed upon public works projects. The Division of Labor Statistics and Research ("DLSR") undertook an investigation in 2009 to determine the prevailing wage rates for the **installation of solar and photovoltaic systems in Los Angeles, San Diego and Imperial Counties**. The results of the questionnaire have been compiled and based on them the minimum rate of pay for the work in question is -- **Electricians: Inside Wiremen** for the Los Angeles, San Diego, and Imperial Counties General Prevailing Wage Determinations.

The type of work listed below, as identified in the 2009-2012 San Diego Laborers' (Building Construction) Master Labor Agreement by and between Associated General Contractors of America San Diego Chapter and Laborers' International Union of North America Local No. 89, were not published or recognized for the **San Diego County** by the Department of Industrial Relations starting with the **August 22, 2009** issuance of the San Diego Laborers' (Building Construction) general determination, **SD-23-102-4-2009-1** and continuing with any subsequent San Diego Laborers' (Building Construction) general determinations until superseded by the Director. The rates associated with this unrecognized type of work (solar energy installations and appurtenances thereto) **SHALL NOT** be applied or used on public works projects for the associated type of work.

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February 22, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SOUTHERN CALIFORNIA PLUMBERS'
GENERAL PREVAILING WAGE DETERMINATION**

The California Labor Code requires the Director of the Department of Industrial Relations to determine the prevailing rate of per diem wages for all workers employed upon public works projects. The Division of Labor Statistics and Research ("DLSR") undertook an investigation in 2009 to determine the prevailing wage rates for the **installation of solar and photovoltaic systems in Los Angeles, San Diego and Imperial Counties**. The results of the questionnaire have been compiled and based on them the minimum rate of pay for the work in question is -- **Electricians: Inside Wiremen** for the LOS-2009-2, SDI-2009-2, and IMP-2009-2 General Prevailing Wage Determinations.

The type of work listed below, as identified in the Plumbers 2006-2011 Master Labor Agreement for the Plumbing and Piping Industry of Southern California, between Southern California Pipe Trades District Council No.16 of the United Association and California Plumbing and Mechanical Contractors Association, were not published or recognized for the **Los Angeles, San Diego, and Imperial Counties** by the Department of Industrial Relations starting with the **August 22, 2009** issuance of the Southern California Plumbers' general determination, **LOS-2009-2, SDI-2009-2 and IMP-2009-2** and continuing with any subsequent Southern California Plumbers' general determinations until superseded by the Director. The rates associated with this unrecognized type of work (solar systems; all solar systems and components thereof) **SHALL NOT** be applied or used on public works projects for the associated type of work.

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February 22, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE LOS ANGELES GLAZIERS'
GENERAL PREVAILING WAGE DETERMINATION**

The California Labor Code requires the Director of the Department of Industrial Relations to determine the prevailing rate of per diem wages for all workers employed upon public works projects. The Division of Labor Statistics and Research ("DLSR") undertook an investigation in 2009 to determine the prevailing wage rates for the **installation of solar and photovoltaic systems in Los Angeles, San Diego and Imperial Counties**. The results of the questionnaire have been compiled and based on them the minimum rate of pay for the work in question is -- **Electricians: Inside Wiremen** for the Los Angeles, San Diego, and Imperial Counties General Prevailing Wage Determinations.

The type of work listed below, as identified in the 2008-2011 Los Angeles Glaziers' Memorandum of Agreement and the 2005-2010 Los Angeles Glaziers Master Labor Agreement by and between Painters and Allied Trades District Council No. 36 and Southern California Glass Management Association, were not published or recognized for the **Los Angeles County** by the Department of Industrial Relations starting with the **August 22, 2009** issuance of the Los Angeles Glaziers' general determinations, **LOS-2009-2** and continuing with any subsequent Los Angeles Glaziers' general determinations until superseded by the Director. The rates associated with this unrecognized type of work (solar heat collectors containing glass or glass substitutes) **SHALL NOT** be applied or used on public works projects for the associated type of work.

DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR455 Golden Gate Avenue, 10th Floor
San Francisco, CA 94102

ADDRESS REPLY TO:

P.O. Box 420603

San Francisco

CA 94142-0603



February 22, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SAN DIEGO AND IMPERIAL GLAZIERS'
GENERAL PREVAILING WAGE DETERMINATION**

The California Labor Code requires the Director of the Department of Industrial Relations to determine the prevailing rate of per diem wages for all workers employed upon public works projects. The Division of Labor Statistics and Research ("DLSR") undertook an investigation in 2009 to determine the prevailing wage rates for the **installation of solar and photovoltaic systems in Los Angeles, San Diego and Imperial Counties**. The results of the questionnaire have been compiled and based on them the minimum rate of pay for the work in question is -- **Electricians: Inside Wiremen** for the Los Angeles, San Diego, and Imperial Counties General Prevailing Wage Determinations.

The type of work listed below, as identified in the 2008-2011 San Diego Glaziers' Memorandum of Agreement and the 2005-2010 San Diego Glaziers Master Labor Agreement by and between Painters and Allied Trades District Council No. 36 and Tower Glass, Inc., were not published or recognized for the **San Diego and Imperial Counties** by the Department of Industrial Relations starting with the **August 22, 2009** issuance of the San Diego and Imperial Glaziers' general determinations, **SDI-2009-2 and IMP-2009-2** and continuing with any subsequent San Diego and Imperial Glaziers' general determinations until superseded by the Director. The rates associated with this unrecognized type of work (solar heat collectors containing glass or glass substitutes) **SHALL NOT** be applied or used on public works projects for the associated type of work.

DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR
455 Golden Gate Avenue, 10th Floor
San Francisco, CA 94102

ADDRESS REPLY TO:

P.O. Box 420603

San Francisco

CA 94142-0603



February 22, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SAN DIEGO AND IMPERIAL SHEET METAL WORKERS'
GENERAL PREVAILING WAGE DETERMINATION**

The California Labor Code requires the Director of the Department of Industrial Relations to determine the prevailing rate of per diem wages for all workers employed upon public works projects. The Division of Labor Statistics and Research ("DLSR") undertook an investigation in 2009 to determine the prevailing wage rates for the **installation of solar and photovoltaic systems in Los Angeles, San Diego and Imperial Counties**. The results of the questionnaire have been compiled and based on them the minimum rate of pay for the work in question is -- **Electricians: Inside Wiremen** for the Los Angeles, San Diego, and Imperial Counties General Prevailing Wage Determinations.

The type of work listed below, as identified in the Sheet Metal Workers Addendum to the Standard Form of Union Agreement A-01-05 by and between Sheet Metal Workers International Association, Local Union 206 and the San Diego Chapter of the Sheet Metal and Air Conditioning Contractors National Association, were not published or recognized for the **San Diego and Imperial Counties** by the Department of Industrial Relations starting with the **August 22, 2009** issuance of the San Diego and Imperial Sheet Metal Workers' general determinations, **SDI-2009-2 and IMP-2009-2** and continuing with any subsequent San Diego and Imperial Sheet Metal Workers' general determinations until superseded by the Director. The rates associated with this unrecognized type of work (solar systems) **SHALL NOT** be applied or used on public works projects for the associated type of work.

DEPARTMENT OF INDUSTRIAL RELATIONS

Division of Labor Statistics and Research
455 Golden Gate Avenue, 9th Floor
San Francisco, CA 94102

MAILING ADDRESS:
P. O. Box 420603
San Francisco, CA 94142-0603



June 15, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR THE RACEWAYS AND CONDUIT
SYSTEM WORK IN SAN FRANCISCO COUNTY**

Dear Public Official/Other Interested Parties:

The San Francisco Superior Court in *Northern California District Council of Laborers v. California Department of Industrial Relations*, Case No. CPF-10-510339, has ordered the Department of Industrial Relations to rescind the "Notice Regarding Advisory Scope of Work for Electrician: Inside Wireman General Prevailing Wage Determination in San Francisco County" and the "Notice Regarding Advisory Scope of Work for the Northern California Laborers' General Prevailing Wage Determination."

These notices have been rescinded and were removed from the Department's website on May 27, 2010.

DEPARTMENT OF INDUSTRIAL RELATIONS

Division of Labor Statistics and Research
455 Golden Gate Avenue, 9th Floor
San Francisco, CA 94102

MAILING ADDRESS:
P. O. Box 420603
San Francisco, CA 94142-0603



July 26, 2010

**IMPORTANT NOTICE TO AWARDING BODIES AND OTHER INTERESTED PARTIES
REGARDING A CORRECTION IN THE FEBRUARY 22, 2010
“NOTICE REGARDING ADVISORY SCOPE OF WORK FOR THE SOUTHERN CALIFORNIA
CARPENTERS’ GENERAL PREVAILING WAGE DETERMINATION”**

On February 22, 2010, the Division of Labor Statistics and Research issued the “Notice Regarding Advisory Scope of Work for the Southern California Carpenters’ General Prevailing Wage Determination.”

In reviewing the notice on our website, the carpenters notice contains a typographical error in the last sentence of paragraph 1, “the minimum rate of pay for work in question is performed by Electricians: Inside Wiremen...”

The correct wording should be as follows, “*the minimum rate of pay for the work in question is — Electricians: Inside Wiremen...*”

Attached is the corrected notice.

DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR455 Golden Gate Avenue, 10th Floor
San Francisco, CA 94102

ADDRESS REPLY TO:

P.O. Box 420603

San Francisco

CA 94142-0603



July 26, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SOUTHERN CALIFORNIA CARPENTERS'
GENERAL PREVAILING WAGE DETERMINATION**

The California Labor Code requires the Director of the Department of Industrial Relations to determine the prevailing rate of per diem wages for all workers employed upon public works projects. The Division of Labor Statistics and Research ("DLSR") undertook an investigation in 2009 to determine the prevailing wage rates for the **installation of solar and photovoltaic systems in Los Angeles, San Diego and Imperial Counties**. The results of the questionnaire have been compiled and based on them the minimum rate of pay for the work in question is -- **Electricians: Inside Wiremen** for the Los Angeles, San Diego, and Imperial Counties General Prevailing Wage Determinations.

The type of work listed below, as identified in the Carpenters 1998-2011 Master Labor Agreement, between Southern California Conference of Carpenters and Associated General Contractors of California, Inc., Building Industry Association of Southern California, Inc., Southern California Contractors Association and Millwright Employers Association, were not published or recognized for the **Los Angeles and Imperial Counties** by the Department of Industrial Relations starting with the **August 22, 2009** issuance of the Southern California Carpenters' general determination, **SC-23-31-2-2009-1** and continuing with any subsequent Southern California Carpenters' general determinations until superseded by the Director. The rates associated with this unrecognized type of work (solar energy installations and appurtenances thereto) **SHALL NOT** be applied or used on public works projects for the associated type of work.

DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR455 Golden Gate Avenue, 10th Floor
San Francisco, CA 94102

ADDRESS REPLY TO:

San Francisco P.O. Box 420603
CA 94142-0603

August 22, 2010

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SHEET METAL WORKERS'
GENERAL PREVAILING WAGE DETERMINATIONS**

Please note that the gutters, downspouts, and metal flashing work listed in the Labor Agreement between the Sheet Metal Workers International Association Local 162 and Sheet Metal and Air Conditioning Contractors National Association, were not recognized for the **Alpine, Calaveras, Fresno, Kings, Madera, Merced, San Joaquin, Stanislaus, and Tuolumne Counties** by the Department of Industrial Relations starting with the **August 22, 2010** issuance of the Sheet Metal Workers' general determinations, **ALP-2010-2, CAL-2010-2, FRE-2010-2, KIN-2010-2, MAD-2010-2, MER-2010-2, SJO-2010-2, STA-2010-2, and TUO-2010-2** and continuing with any subsequent Alpine, Calaveras, Fresno, Kings, Madera, Merced, San Joaquin, Stanislaus, and Tuolumne Sheet Metal Workers' general determinations until superseded by the Director. The rates associated with this unrecognized type of work (gutters, downspouts, and metal flashing) **SHALL NOT** be applied or used on public works projects for the associated type of work.

DEPARTMENT OF INDUSTRIAL RELATIONS

Office of the Director

455 Golden Gate Avenue, 10th Floor

San Francisco, CA 94102

MAILING ADDRESS:

P. O. Box 420603

San Francisco, CA 94142-0603



March 4, 2011

**NOTICE REGARDING ADVISORY SCOPE OF WORK FOR
THE SOUTHERN CALIFORNIA AND SAN DIEGO
LANDSCAPE/IRRIGATION LABORER/TENDERS'
GENERAL PREVAILING WAGE DETERMINATIONS**

The classifications and types of work listed below, as identified in the Laborers' 2008-2012 Landscape Master Agreement by and between the Southern California District Council of Laborers and Valley Crest Landscape Development, Inc., have not been published or recognized by the Department of Industrial Relations in the **August 22, 2010** issuance of the Southern California and San Diego Landscape/Irrigation Laborer/Tender general determinations, **SC-102-X-14-2010-1 and SD-102-X-14-2010-1** and continuing with any subsequent Southern California (including San Diego) Counties Landscape/Irrigation Laborers/Tender general determinations until superseded by the Director. The rates associated with these unrecognized classifications and types of work **SHALL NOT** be applied or used on public works projects for the associated type of work.

The following classifications and types of work have not been adopted for public works

projects: Classifications

- Landscape/Irrigation Equipment Operator
- Landscape/Irrigation Truck Driver

Types of Work

- The operation of horizontal directional drills, including operation of drill and electronic tracking device (locator) and related work.
- Installation and cutting of pavers and paving stones.
- Operation of pilot trucks.
- *The operation of all landscape/irrigation equipment and landscape/irrigation trucks.

* This shall include all of the classifications listed in the prevailing wage determinations for Landscape Operating Engineer (SC-63-12-33), Operating Engineers (SC-23-63-2 and SD-23-63-3), and Teamster (SC-23-261-2 and SD-23-261-3) in all the Southern California counties, including San Diego County.

DEPARTMENT OF INDUSTRIAL RELATIONS
Office of the Director
455 Golden Gate Avenue, 10th Floor
San Francisco, CA 94102

MAILING ADDRESS:
P. O. Box 420603
San Francisco, CA 94142-0603



March 4, 2011

**IMPORTANT NOTICE REGARDING
THE SAN DIEGO LABORERS' (ENGINEERING CONSTRUCTION)
GENERAL PREVAILING WAGE DETERMINATION**

The classifications of work listed below, as identified in the 2007-2011 San Diego Laborers' (Engineering Construction) Master Labor Agreement by and between Associated General Contractors of America San Diego Chapter and Laborers' International Union of North America Local No. 89 were not published or recognized by the Department of Industrial Relations in the **August 22, 2010** issuance of the San Diego Laborers' (Engineering Construction) general determination, **SD-23-102-3-2010-1** and continuing with any subsequent San Diego Laborers' (Engineering Construction) general determination until superseded by the Director. The rates associated with these unrecognized classifications **SHALL NOT** be applied or used on public works projects for the associated type of work.

The following classifications have not been adopted for public works projects:

Group 1

Concrete Curb and Gutter Laborer
Environmental, Remediation, Monitoring Well, Toxic waste, Geotechnical Drill Helper
Expansion Joint Caulking by any method (including preparation and clean-up)
Laborer, Concrete

Group 2

Grout Man (including forming, pouring, handling, mixing, finishing and cleanup of all types of grout)
Irrigation Laborer

Group 3

Bushing Hammer
Guardrail Erector
Shot Blast Equipment Operator (8 to 48 inches)

Group 4

Installer of Subsurface Instrumentation, Monitoring Wells, or Points, Remediation Systems Installer

Group 5

Environmental, Remediation, Monitoring Well, Toxic Waste and Geotechnical Driller

DEPARTMENT OF INDUSTRIAL RELATIONS

Office of the Director
455 Golden Gate Avenue, 10th Floor
San Francisco, CA 94102

MAILING ADDRESS:
P. O. Box 420603
San Francisco, CA 94142-0603



September 1, 2011

**IMPORTANT NOTICE REGARDING
THE SAN DIEGO TUNNEL WORKER (LABORER-ENGINEERING CONSTRUCTION)
GENERAL PREVAILING WAGE DETERMINATION**

The classifications of work listed below, as identified in the 2011-2012 San Diego Tunnel Master Labor Agreement by and between Associated General Contractors of America San Diego Chapter and Laborers' International Union of North America Local No. 89 were not published or recognized by the Department of Industrial Relations in the **August 22, 2011** issuance of the San Diego Tunnel Worker (Laborer) general determination, **SD-23-102-5-2011-1** and continuing with any subsequent San Diego Tunnel Worker (Laborer-Engineering Construction) general determination until superseded by the Director. The rates associated with these unrecognized classifications **SHALL NOT** be applied or used on public works projects for the associated type of work.

The following classifications have not been adopted for public works projects:

Group I

Batch Plant Laborer

Group III

Tunnel Concrete Finisher

DEPARTMENT OF INDUSTRIAL RELATIONS

Office of the Director - Research Unit
455 Golden Gate Avenue, 9th Floor
San Francisco, CA 94102

MAILING ADDRESS:
P. O. Box 420603
San Francisco, CA 94142-0603



September 1, 2012

**IMPORTANT NOTICE TO AWARDING BODIES AND INTERESTED PARTIES
REGARDING THE APPRENTICE PREVAILING WAGE RATES**

Effective September 1, 2012, the determination, issuance and publication of the apprentice prevailing wage rates have been reassigned by the Department of Industrial Relations from the Division of Apprenticeship Standards to the Office of the Director – Research Unit.

The apprentice rates will be posted online on September 17, 2012. Until this time, please use the Division of Apprenticeship Standards apprentice rates at <http://www.dir.ca.gov/DAS/PWAppWage/PWAppWageStart.asp>.

DEPARTMENT OF INDUSTRIAL RELATIONS

Office of the Director

1515 Clay Street, 17th Floor

Oakland, CA 94612

Tel: (415) 703-5050 Fax: (415) 703-5059/8

MAILING ADDRESS:

P. O. Box 420603

San Francisco, CA 94142-0603



December 24, 2012

**IMPORTANT NOTICE TO AWARDING BODIES AND
OTHER INTERESTED PARTIES CONCERNING A NEW
AMENDMENT TO LABOR CODE SECTION 1720(a)(1)**

The passage of Assembly Bill 1598 (Chapter 810), effective January 1, 2013, modifies the definition of installation to include the assembly and disassembly of freestanding and affixed modular office systems.

Labor Code section 1720, subdivision (a)(1) now includes the following language:

“For purposes of this paragraph, "installation" includes, but is not limited to, the assembly and disassembly of freestanding and affixed modular office systems.”

In accordance with Assembly Bill 1598, its terms will be strictly enforced for all public works projects advertised for bids on or after January 1, 2013.

September 1, 2015

**SUMMARY OF IMPORTANT NOTICES CONCERNING BURGLAR ALARM AND FIRE
ALARM INSTALLATION**

Dear Public Officials/Other Interested Parties:

The Department has issued several important notices between June 27, 2002, and June 29, 2015, specifying the prevailing rate of pay for the installation of burglar and fire alarms. The tables on the following two pages provide a summary of the applicable rates of pay for burglar and fire alarm installation by county as of September 1, 2015. The information in these tables summarizes but **does not** alter the applicable rates of pay issued in the aforementioned important notices.

Please note that minimum rate of pay determinations are issued on a "project-by-project basis." If you have a public works project in one of the counties listed in the tables that indicates "project-by-project basis," you may request a minimum rate of pay determination prior to the bid advertisement date of the project by sending a written request to the address below. Each request should include all the relevant documents that would assist the Department in issuing a determination. These documents include but are not limited to the contract, financial documents, plans, specifications, as well as contact information for the Awarding Body.

Please refer to the county determinations to find the rates associated with the craft(s)/classification(s) referenced in the tables. The scope of work for each classification is posted on the Internet at <http://www.dir.ca.gov/oprl/DPreWageDetermination.htm>. This information may also be requested from the Office of the Director – Research Unit by calling (415) 703-4774, by faxing a request to (415) 703-4771 or by writing to:

California Department of Industrial Relations
Office of the Director – Research Unit
P.O. Box 420603
San Francisco, CA 94142

COUNTY	CRAFT/CLASSIFICATION
Alameda	Project-by-Project Basis
Alpine	Electrician: Inside Wireman
Amador	Electrician: Inside Wireman
Butte	Electrician: Inside Wireman
Calaveras	Project-by-Project Basis
Colusa	Electrician: Inside Wireman
Contra Costa	Electrician: Comm & System Installer
Del Norte	Electrician: Comm & System Installer
El Dorado	Electrician: Inside Wireman
Fresno	Electrician: Comm & System Installer
Glenn	Electrician: Inside Wireman
Humboldt	Electrician: Comm & System Installer
Imperial	Electrician: Sound and Signal Technician
Inyo	Electrician: Comm & System Installer
Kern	Project-by-Project Basis
Kings	Electrician: Comm & System Installer
Lake	Electrician: Comm & System Installer
Lassen	Electrician: Inside Wireman
Los Angeles	Electrician: Comm & System Installer
Madera	Electrician: Comm & System Installer
Marin	Electrician: Comm & System Installer
Mariposa	Electrician: Inside Wireman
Mendocino	Electrician: Comm & System Installer
Merced	Electrician: Inside Wireman
Modoc	Project-by-Project Basis
Mono	Electrician: Comm & System Installer
Monterey	Electrician: Comm & System Installer ^a
Napa	Project-by-Project Basis
Nevada	Electrician: Inside Wireman
Orange	Electrician: Sound Installer
Placer	Electrician: Inside Wireman
Plumas	Electrician: Inside Wireman
Riverside	Project-by-Project Basis
Sacramento	Electrician: Inside Wireman
San Benito	Electrician: Comm & System Installer ^a
San Bernardino	Electrician: Comm & System Installer
San Diego	Electrician: Sound and Signal Technician
San Francisco	Electrician: Comm & System Installer
San Joaquin	Project-by-Project Basis
San Luis Obispo	Electrician: Inside Wireman
San Mateo	Project-by-Project Basis
Santa Barbara	Electrician: Sound Installer
Santa Clara	Electrician: Comm & System Installer
Santa Cruz	Electrician: Comm & System Installer ^a
Shasta	Electrician: Inside Wireman
Sierra	Electrician: Inside Wireman
Siskiyou	Project-by-Project Basis
Solano	Project-by-Project Basis
Sonoma	Electrician: Comm & System Installer
Stanislaus	Electrician: Inside Wireman
Sutter	Electrician: Inside Wireman
Tehama	Electrician: Inside Wireman
Trinity	Electrician: Inside Wireman
Tulare	Electrician: Comm & System Installer
Tuolumne	Electrician: Inside Wireman
Ventura	Electrician: Comm & System Installer
Yolo	Electrician: Inside Wireman
Yuba	Electrician: Inside Wireman

Notes:

^a Installation of conduit, boxes, cables, and devices is performed at the Inside Wireman rate, and the final connection and programming is performed at the Communication and System Installer rate.

Last updated: September 1, 2015

COUNTY	CRAFT/CLASSIFICATION
Alameda	Project-by-Project Basis
Alpine	Electrician: Inside Wireman
Amador	Electrician: Inside Wireman
Butte	Electrician: Inside Wireman
Calaveras	Project-by-Project Basis
Colusa	Electrician: Inside Wireman
Contra Costa	Electrician: Comm & System Installer
Del Norte	Electrician: Inside Wireman
El Dorado	Electrician: Inside Wireman
Fresno	Electrician: Inside Wireman ^a
Glenn	Electrician: Inside Wireman
Humboldt	Electrician: Inside Wireman
Imperial	Electrician: Sound and Signal Technician
Inyo	Electrician: Comm & System Installer
Kern	Electrician: Inside Wireman
Kings	Electrician: Inside Wireman ^a
Lake	Electrician: Inside Wireman
Lassen	Electrician: Inside Wireman
Los Angeles	Electrician: Comm & System Installer ^c
Madera	Electrician: Inside Wireman ^a
Marin	Electrician: Inside Wireman
Mariposa	Electrician: Inside Wireman
Mendocino	Electrician: Inside Wireman
Merced	Electrician: Inside Wireman
Modoc	Project-by-Project Basis
Mono	Electrician: Comm & System Installer
Monterey	Electrician: Inside Wireman ^b
Napa	Project-by-Project Basis
Nevada	Electrician: Inside Wireman
Orange	Electrician: Sound Installer
Placer	Electrician: Inside Wireman
Plumas	Electrician: Inside Wireman
Riverside	Electrician: Inside Wireman
Sacramento	Electrician: Inside Wireman
San Benito	Electrician: Inside Wireman ^b
San Bernardino	Electrician: Comm & System Installer
San Diego	Electrician: Sound and Signal Technician
San Francisco	Electrician: Inside Wireman
San Joaquin	Project-by-Project Basis
San Luis Obispo	Electrician: Inside Wireman
San Mateo	Project-by-Project Basis
Santa Barbara	Electrician: Inside Wireman
Santa Clara	Electrician: Comm & System Installer
Santa Cruz	Electrician: Inside Wireman ^b
Shasta	Electrician: Inside Wireman
Sierra	Electrician: Inside Wireman
Siskiyou	Project-by-Project Basis
Solano	Project-by-Project Basis
Sonoma	Electrician: Inside Wireman
Stanislaus	Electrician: Inside Wireman
Sutter	Electrician: Inside Wireman
Tehama	Electrician: Inside Wireman
Trinity	Electrician: Inside Wireman
Tulare	Electrician: Inside Wireman ^a
Tuolumne	Electrician: Inside Wireman
Ventura	Electrician: Inside Wireman
Yolo	Electrician: Inside Wireman
Yuba	Electrician: Inside Wireman

Notes:

^a Conduit installation is performed at the Inside Wireman rate, and the termination, setting of devices, wiring of control panel and system performance checks are performed at the Comm & System Installer rate.

^b Installation of conduit, boxes, cables, and devices is performed at the Inside Wireman rate, and the final connection and programming is performed at the Comm and System Installer rate.

^c The rates for the craft(s)/classification(s) of Electrician: Sound Electrician also apply.

Last updated: September 1, 2015

October 27, 2015

**IMPORTANT NOTICE TO AWARDING BODIES AND OTHER INTERESTED PARTIES
CONCERNING FIRE ALARM INSTALLATION**

Dear Public Official/Other Interested Parties:

Based on a recent investigation conducted by the Department, it has been determined that the minimum rate of pay for fire alarm installation work in **Riverside County** would be that of the craft(s)/classification(s) Electrician/Communication and System Installer.

These changes apply only prospectively to public works projects advertised for bid on or after November 6, 2015.

This notice also updates the table that was issued with the Important Notice dated September 1, 2015 and Important Notice dated June 27, 2002, regarding the applicable rate of pay for work involving the installation of fire alarms. Please note that the minimum rate of pay for all conduit installation associated with fire alarm system installation would be that of the craft/classification of Electrician/Inside Wireman.

Please refer to the county determinations to find the rates for the craft(s)/classification(s) referenced above. The scope of work for each classification is posted on the Internet at <http://www.dir.ca.gov/oprl/DPreWageDetermination.htm>. This information may also be requested from the Office of the Director – Research Unit by calling (415) 703-4774, by faxing a request to (415) 703-4771 or by writing to:

California Department of Industrial Relations
Office of the Director – Research Unit
P.O. Box 420603
San Francisco, CA 94142

December 22, 2015

**IMPORTANT NOTICE
TO AWARDING BODIES AND INTERESTED PARTIES REGARDING
THE PREVAILING WAGE RATES BELOW THE CALIFORNIA MINIMUM WAGE**

In accordance with Labor Code Sections 1770, 1773, and 1773.1, the Director of the Department of Industrial Relations is responsible for determining the prevailing wage rates for each worker employed on public works projects of more than one thousand dollars (\$1,000).

Effective January 1, 2016, the minimum wage in California will increase to ten dollars (\$10.00) per hour. The Director's prevailing wage determinations shall not be below the California minimum wage. Each employer is required to pay at least the California minimum wage for the basic hourly rate in all cases where the published prevailing wage rate is below the California minimum wage. Any and all employer payments required by these determinations must also be paid.

If the California minimum wage is increased in the future to an amount above that shown in a prevailing wage determination, the basic hourly rate in that determination automatically increases to the new minimum wage.

IMPORTANT NOTICE

RE: Electronic Certified Payroll (eCPR) Reporting On Public Works Projects

Déar Interested Parties:

This notice is in response to the numerous inquiries DIR has received regarding the difficulty that many contractors and subcontractors are having with the eCPR requirements effective January 1, 2016. See Labor Code § 1771.4(a)(3).

Effective immediately, enforcement of the eCPR requirement is hereby temporarily stayed pending outreach, education and upgrades to the eCPR system that will allow contractors and subcontractors to more easily submit CPRs into DIR's system. DIR is currently exploring an alternative reporting format that will facilitate compliance with the eCPR requirements for contractors and subcontractors that are having difficulty. DIR anticipates that the upgrades should be completed by June 2016.

This notice **only** applies to the obligation to submit CPRs into DIR's eCPR system. Awarding bodies must still ensure that contractors and subcontractors are registered under DIR's contractor registration system and in compliance with all other prevailing wage laws including, but not limited to, Labor Code section 1776 (Reporting of Certified Payroll Records).

This notice also does not affect the ability or obligation of labor compliance personnel, or rights of other interested parties, to request and obtain certified payroll records (hard copies in the absence of electronic records).

September 1, 2016

**SUMMARY OF IMPORTANT NOTICES CONCERNING BURGLAR ALARM AND FIRE
ALARM INSTALLATION**

Dear Public Officials/Other Interested Parties:

The Department has issued several important notices between June 27, 2002, and June 29, 2015, specifying the prevailing rate of pay for the installation of burglar and fire alarms. The tables on the following two pages provide a summary of the applicable rates of pay for burglar and fire alarm installation by county as of September 1, 2016. The information in these tables summarizes but **does not** alter the applicable rates of pay issued in the aforementioned important notices.

Please note that minimum rate of pay determinations are issued on a "project-by-project basis." If you have a public works project in one of the counties listed in the tables that indicates "project-by-project basis," you may request a minimum rate of pay determination prior to the bid advertisement date of the project by sending a written request to the address below. Each request should include all the relevant documents that would assist the Department in issuing a determination. These documents include but are not limited to the contract, financial documents, plans, specifications, as well as contact information for the Awarding Body.

Please refer to the county determinations to find the rates associated with the craft(s)/classification(s) referenced in the tables. The scope of work for each classification is posted on the Internet at <http://www.dir.ca.gov/oprl/DPreWageDetermination.htm>. This information may also be requested from the Office of the Director – Research Unit by calling (415) 703-4774, by faxing a request to (415) 703-4771 or by writing to:

California Department of Industrial Relations
Office of the Director – Research Unit
P.O. Box 420603
San Francisco, CA 94142

COUNTY	CRAFT/CLASSIFICATION
Alameda	Project-by-Project Basis
Alpine	Electrician: Inside Wireman
Amador	Electrician: Inside Wireman
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Calaveras	Project-by-Project Basis
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El Dorado	Electrician: Inside Wireman
Fresno	Electrician: Comm & System Installer
Glenn	Electrician: Inside Wireman
Humboldt	Electrician: Comm & System Installer
Imperial	Electrician: Sound and Signal Technician
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Placer	Electrician: Inside Wireman
Plumas	Electrician: Inside Wireman
Riverside	Project-by-Project Basis
Sacramento	Electrician: Inside Wireman
San Benito	Electrician: Comm & System Installer ^a
San Bernardino	Electrician: Comm & System Installer
San Diego	Electrician: Sound and Signal Technician
San Francisco	Electrician: Comm & System Installer
San Joaquin	Project-by-Project Basis
San Luis Obispo	Electrician: Inside Wireman
San Mateo	Project-by-Project Basis
Santa Barbara	Electrician: Sound Installer
Santa Clara	Electrician: Comm & System Installer
Santa Cruz	Electrician: Comm & System Installer ^a
Shasta	Electrician: Inside Wireman
Sierra	Electrician: Inside Wireman
Siskiyou	Project-by-Project Basis
Solano	Project-by-Project Basis
Sonoma	Electrician: Comm & System Installer
Stanislaus	Electrician: Inside Wireman
Sutter	Electrician: Inside Wireman
Tehama	Electrician: Inside Wireman
Trinity	Electrician: Inside Wireman
Tulare	Electrician: Comm & System Installer
Tuolumne	Electrician: Inside Wireman
Ventura	Electrician: Comm & System Installer
Yolo	Electrician: Inside Wireman
Yuba	Electrician: Inside Wireman

Notes: ^a Installation of conduit, boxes, cables, and devices is performed at the Inside Wireman rate, and the final connection and programming is performed at the Communication and System Installer rate.

Last updated: September 1, 2016

COUNTY	CRAFT/CLASSIFICATION
Alameda	Project-by-Project Basis
Alpine	Electrician: Inside Wireman
Amador	Electrician: Inside Wireman
Butte	Electrician: Inside Wireman
Calaveras	Project-by-Project Basis
Colusa	Electrician: Inside Wireman
Contra Costa	Electrician: Comm & System Installer
Del Norte	Electrician: Inside Wireman
El Dorado	Electrician: Inside Wireman
Fresno	Electrician: Inside Wireman ^a
Glenn	Electrician: Inside Wireman
Humboldt	Electrician: Inside Wireman
Imperial	Electrician: Sound and Signal Technician
Inyo	Electrician: Comm & System Installer
Kern	Electrician: Inside Wireman
Kings	Electrician: Inside Wireman ^a
Lake	Electrician: Inside Wireman
Lassen	Electrician: Inside Wireman
Los Angeles	Electrician: Comm & System Installer
Madera	Electrician: Inside Wireman ^a
Marin	Electrician: Inside Wireman
Mariposa	Electrician: Inside Wireman
Mendocino	Electrician: Inside Wireman
Merced	Electrician: Inside Wireman
Modoc	Project-by-Project Basis
Mono	Electrician: Comm & System Installer
Monterey	Electrician: Inside Wireman ^b
Napa	Project-by-Project Basis
Nevada	Electrician: Inside Wireman
Orange	Electrician: Sound Installer
Placer	Electrician: Inside Wireman
Plumas	Electrician: Inside Wireman
Riverside	Electrician: Inside Wireman
Sacramento	Electrician: Inside Wireman
San Benito	Electrician: Inside Wireman ^b
San Bernardino	Electrician: Comm & System Installer
San Diego	Electrician: Sound and Signal Technician
San Francisco	Electrician: Inside Wireman
San Joaquin	Project-by-Project Basis
San Luis Obispo	Electrician: Inside Wireman
San Mateo	Project-by-Project Basis
Santa Barbara	Electrician: Inside Wireman
Santa Clara	Electrician: Comm & System Installer
Santa Cruz	Electrician: Inside Wireman ^b
Shasta	Electrician: Inside Wireman
Sierra	Electrician: Inside Wireman
Siskiyou	Project-by-Project Basis
Solano	Project-by-Project Basis
Sonoma	Electrician: Inside Wireman
Stanislaus	Electrician: Inside Wireman
Sutter	Electrician: Inside Wireman
Tehama	Electrician: Inside Wireman
Trinity	Electrician: Inside Wireman
Tulare	Electrician: Inside Wireman ^a
Tuolumne	Electrician: Inside Wireman
Ventura	Electrician: Inside Wireman
Yolo	Electrician: Inside Wireman
Yuba	Electrician: Inside Wireman

Notes:

^a Conduit installation is performed at the Inside Wireman rate, and the termination, setting of devices, wiring of control panel and system performance checks are performed at the Comm & System Installer rate.

^b Installation of conduit, boxes, cables, and devices is performed at the Inside Wireman rate, and the final connection and programming is performed at the Comm and System Installer rate.

Last updated: September 1, 2016

DEPARTMENT OF INDUSTRIAL RELATIONS

Office of the Director – Research Unit
455 Golden Gate Avenue, 9th Floor
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MAILING ADDRESS:
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San Francisco, CA 94142-0603



IMPORTANT NOTICE TO AWARDING BODIES & ALL INTERESTED PARTIES REGARDING CHANGES TO THE
DIRECTOR'S GENERAL PREVAILING WAGE DETERMINATIONS

INTERIM DETERMINATION FOR THE CRAFT OF: # CARPENTER AND RELATED TRADES

DETERMINATION: SC-23-31-2-2016-1

ISSUE DATE: September 6, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director - Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within Imperial, Inyo, Kern, Los Angeles, Mono, Orange, Riverside, San Bernardino, San Luis Obispo, Santa Barbara, and Ventura counties.

Classification (Journey person)	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate		
		Health and Welfare	Pension	Vacation/ Holiday	Training	Other	Total Hourly Rate	Hours	Daily ^a 1 1/2X	Saturday ^b 1 1/2X	Sunday and Holiday
" AREA 1											
Carpenter ^{a, b} , Cabinet Installer, Insulation Installer, Hardwood Floor Worker, Acoustical Installer	\$40.40	\$6.85	\$4.66	\$4.95 ^f	\$0.57	\$0.39	8	\$57.82	\$78.02	\$78.02	\$98.22
Pile Driverman ^c , Derrick Bargeman, Rockslinger, Bridge or Dock Carpenter, Cable Splicer	40.53	6.85	4.66	4.95 ^f	0.57	0.39	8	57.95	78.215	78.215	98.48
Bridge Carpenter ^e	40.53	6.85	4.66	4.95 ^f	0.57	0.39	8	57.95	78.215	78.215	98.48
Shingler ^a	40.53	6.85	4.66	4.95 ^f	0.57	0.39	8	57.95	78.215	78.215	98.48
Saw Filer	40.49	6.85	4.66	4.95 ^f	0.57	0.39	8	57.91	78.155	78.155	98.40
Table Power Saw Operator	40.50	6.85	4.66	4.95 ^f	0.57	0.39	8	57.92	78.17	78.17	98.42
Pneumatic Nailer or Power Stapler	40.65	6.85	4.66	4.95 ^f	0.57	0.39	8	58.07	78.395	78.395	98.72
Roof Loader of Shingles	28.37	6.85	4.66	4.95 ^f	0.57	0.39	8	45.79	59.975	59.975	74.16
Scaffold Builder	31.60	6.85	4.66	4.95 ^f	0.57	0.39	8	49.02	64.82	64.82	80.62
Millwright ^c	40.90	6.85	4.66	4.95 ^f	0.57	0.39	8	58.52	78.97	78.97	99.42
Head Rockslinger	40.63	6.85	4.66	4.95 ^f	0.57	0.39	8	58.05	78.365	78.365	98.68
Rock Bargeman or Scowman	40.43	6.85	4.66	4.95 ^f	0.57	0.39	8	57.85	78.065	78.065	98.28
Diver, Wet (Up To 50 Ft. Depth) ^d	\$89.06	6.85	4.66	4.95 ^f	0.57	0.39	8	106.48	151.01	151.01	195.54
Diver, (Stand-By) ^d	\$44.53	6.85	4.66	4.95 ^f	0.57	0.39	8	61.95	84.215	84.215	106.48
Diver's Tender ^d	43.53	6.85	4.66	4.95 ^f	0.57	0.39	8	60.95	82.715	82.715	104.48
Assistant Tender (Diver's) ^d	40.53	6.85	4.66	4.95 ^f	0.57	0.39	8	57.95	78.215	78.215	98.48
AREA 2											
Carpenter ^{a, b} , Cabinet Installer, Insulation Installer, Hardwood Floor Worker, Acoustical Installer	39.83	6.85	4.66	4.95 ^f	0.57	0.39	8	57.25	77.165	77.165	97.08
Shingler ^a	39.97	6.85	4.66	4.95 ^f	0.57	0.39	8	57.39	77.375	77.375	97.36
Saw Filer	39.83	6.85	4.66	4.95 ^f	0.57	0.39	8	57.25	77.165	77.165	97.08
Table Power Saw Operator	40.93	6.85	4.66	4.95 ^f	0.57	0.39	8	58.35	78.815	78.815	99.28
Pneumatic Nailer or Power Stapler	40.09	6.85	4.66	4.95 ^f	0.57	0.39	8	57.51	77.555	77.555	97.60
Roof Loader of Shingles	27.98	6.85	4.66	4.95 ^f	0.57	0.39	8	45.40	59.39	59.39	73.38

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet @ <http://www.dir.ca.gov/OPRI/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

a. AREA 1 - Imperial, Los Angeles, Orange, Riverside, San Bernardino, San Luis Obispo, Santa Barbara and Ventura counties.

AREA 2 - Inyo, Kern, and Mono counties. For Bridge Carpenter, Scaffold Builder, Pile Driverman, Derrick Bargeman, Rockslinger, Bridge or Dock Carpenter, Cable Splicer, Millwright, Head

Rockslinger, Rock Bargeman or Scowman, Diver, Wet (Up to 50 Ft. Depth), Diver (Stand-By), Diver's Tender, and Assistant Tender (Diver's) rates, please see Area 1 as this rate applies to Area 2 as well. Basic Hourly Rates for Area 2 include an additional amount deducted for vacation/holiday.

b. First eight (8) hours worked paid at 1 1/2 times the straight time rate, all hours after that paid at double (2x) the straight time rate. Saturdays in the same work week may be worked at straight-time rates if a job is shut down during the normal work week due to inclement weather, major mechanical breakdown or lack of materials beyond the control of the Employer.

c. When performing welding work requiring certification, classification will receive an additional \$1.00 per hour.

d. Shall receive a minimum of 8 hours pay for any day or part thereof.

e. For specific rates over 50 ft depth, contact the Office of the Director – Research Unit. Rates for Technicians, Manifold Operators, Pressurized Submersible Operators, Remote Control Vehicle Operators, and Remote Operated Vehicle Operators, as well as rates for Pressurized Bell Diving and Saturation Diving are available upon request.

f. Includes an amount for supplemental dues.

g. All overtime worked Mon - Fri shall be paid at 1 1/2 times the straight time rate for the first four (4) hours and double (2x) the straight time for work performed after twelve (12) hours.

h. A Carpenter who performs work of forming in the construction of open cut sewers or storm drains shall receive a premium of thirteen cents (\$0.13) per hour in addition to his Carpenter's scale. This premium shall apply only on an operation in which horizontal lagging is used in conjunction with Steel H-Beams driven or placed in pre-drilled holes, for that portion of a lagged trench against which concrete is poured, namely, as a substitute for back forms, which work is performed by pile drivers.

i. When performing welding work requiring certification, classification will receive an additional \$1.00 per hour. An additional \$0.50 per hour when handling or working with new pressure-treated creosote piling or timber, or driving of used pressure-treated creosote piling.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/opri/DPreWageDetermination.htm>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/opri/DPreWageDetermination.htm>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

**IMPORTANT NOTICE TO AWARDING BODIES & ALL INTERESTED PARTIES REGARDING CHANGES TO THE
DIRECTOR'S GENERAL PREVAILING WAGE DETERMINATIONS
INTERIM DETERMINATION FOR THE CRAFT OF: CARPENTER**

DETERMINATION: SD-23-31-4-2016-1

ISSUE DATE: September 06, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within San Diego County

CLASSIFICATION (JOURNEYPERSON)	Basic Hourly Rate	Employer Payments					Straight-Time		Overtime Hourly Rate		
		Health and Welfare	Pension	Vacation/ Holiday	Training	Other Payment	Hours	Total Hourly Rate	Daily ^a 1 1/2X	Saturday ^a 1 1/2X	Sunday & Holiday 2X
ENGINEERING CONSTRUCTION											
Carpenter (Heavy and Highway Work)	\$40.20	6.85	4.66	4.95 ^b	.57	.38	8	57.61	77.71	77.71	97.81
Bridge Carpenter (Highway Work)	40.33	6.85	4.66	4.95 ^b	.57	.38	8	57.74	77.905	77.905	98.07
Millwright	40.70	6.85	4.66	4.95 ^b	.57	.58	8	58.31	78.66	78.66	99.01
Pile Driver ^c	40.33	6.85	4.66	4.95 ^b	.57	.38	8	57.74	77.905	77.905	98.07
Diver, Wet (up to 50 ft. depth) ^d	89.06 ^e	6.85	4.66	4.95 ^b	.57	.38	8	106.47	151.00	151.00	195.53
Diver, Standby ^d	44.53 ^e	6.85	4.66	4.95 ^b	.57	.38	8	61.94	84.205	84.205	106.47
Diver's Tender ^d	43.53	6.85	4.66	4.95 ^b	.57	.38	8	60.94	82.705	82.705	104.47
Assistant Tender (Diver's) ^d	40.53	6.85	4.66	4.95 ^b	.57	.38	8	57.94	78.205	78.205	98.47

DETERMINATION: SD-23-31-4-2016-1A

ISSUE DATE: September 06, 2016

EXPIRATION DATE OF DETERMINATION: June 30, 2017** The rate to be paid for work performed after this date has been determined. If work will extend past this date, the new rate must be paid and should be incorporated in contracts entered into now. Contact the Office of the Director – Research Unit for specific rates at (415) 703-4774.

LOCALITY: All localities within San Diego County

BUILDING CONSTRUCTION

Carpenter	\$35.10	6.85	4.66	4.95 ^b	.57	0.05	8	52.18	69.73 ^f	69.73 ^f	87.28
Light Commercial	28.08	6.85	4.66	4.95 ^b	.57	0.05	8	45.16	59.20 ^f	59.20 ^f	73.24

Indicates an apprenticeable craft. The current apprentice wage rates are available on the Internet at <http://www.dir.ca.gov/OPRI/PWAppWage/PWAppWageStart.asp>. To obtain any apprentice wage rates as of July 1, 2008 and prior to September 27, 2012, please contact the Division of Apprenticeship Standards or refer to the Division of Apprenticeship Standards' website at <http://www.dir.ca.gov/das/das.html>.

^aRate only applies to the first 4 daily overtime hours and the first 8 hours on Saturday; all other time is paid at the Sunday/Holiday rate.

Saturday in the same workweek may be worked at straight-time rate for the first 8 hours if the employee was unable to complete the 40 hours during the normal workweek for reasons beyond the control of the Employer, such as inclement weather. In addition, for Building Construction only, reasons can be due to major mechanical breakdown or lack of materials beyond the control of the Employer or because the employee voluntarily chooses to miss a scheduled workday, he may voluntarily work on Saturdays at straight time rate.

^bIncludes Supplemental Dues.

^cAn additional \$0.50 per hour when handling or working with new pressure-treated creosote piling or timber, or driving of used pressure-treated creosote piling.

^dShall receive a minimum of 8 hours pay for any day or part thereof.

^eFor specific rates over 50 ft. depth, contact the Office of the Director – Research Unit. Rates for Technicians, Manifold Operators, Pressurized Submersible Operators, Remote Control Vehicle Operators, and Remote Operated Vehicle Operators, as well as rates for Pressurized Bell Diving and Saturation Diving are available upon request.

^fRate only applies to the first 4 daily overtime hours and the first 8 hours on Saturday; all other time is paid at the Sunday/Holiday rate.

Saturday in the same workweek may be worked at straight-time rate for the first 8 hours if the employee was unable to complete the 40 hours during the normal workweek due to inclement weather, major mechanical breakdown or lack of materials beyond the control of the Employer or because the employee voluntarily chooses to miss a scheduled workday, he may voluntarily work on Saturdays at straight time rate.

DESCRIPTION:

Engineering Construction

Refers to construction which requires a Class A license and includes bridges, highways, dams and also power plants and other heavy industrial type projects.

Building Construction

The light commercial wage rate shall not apply to institutional type buildings such as public or private schools, hospitals, libraries, museums, or post offices or other similar structures.

RECOGNIZED HOLIDAYS: Holidays upon which the general prevailing hourly wage rate for Holiday work shall be paid, shall be all holidays in the collective bargaining agreement, applicable to the particular craft, classification, or type of worker employed on the project, which is on file with the Director of Industrial Relations. If the prevailing rate is not based on a collectively bargained rate, the holidays upon which the prevailing rate shall be paid shall be as provided in Section 6700 of the Government Code. You may obtain the holiday provisions for the current determinations on the Internet at <http://www.dir.ca.gov/opri/DPrWageDetermination.htm>. Holiday provisions for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

TRAVEL AND/OR SUBSISTENCE PAYMENT: In accordance with Labor Code Sections 1773.1 and 1773.9, contractors shall make travel and/or subsistence payments to each worker to execute the work. You may obtain the travel and/or subsistence provisions for the current determinations on the Internet at <http://www.dir.ca.gov/opri/DPrWageDetermination.htm>. Travel and/or subsistence requirements for current or superseded determinations may be obtained by contacting the Office of the Director – Research Unit at (415) 703-4774.

DEPARTMENT OF INDUSTRIAL RELATIONS

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San Francisco, CA 94142-0603



September 12, 2016

**IMPORTANT NOTICE TO AWARDING BODIES AND OTHER INTERESTED PARTIES
REGARDING A CORRECTION TO THE
DIRECTOR'S GENERAL PREVAILING WAGE DETERMINATIONS**

Dear Public Officials/Other Interested Parties:

CRAFT/CLASSIFICATION: Tile Finisher and Tile Layer

DETERMINATIONS: IMP-2016-2, INY-2016-2, KER-2016-2, LOS-2016-2, MON-2016-2, ORA-2016-2,
RIV-2016-2, SBR-2016-2, SDI-2016-2, SLO-2016-2, STB-2016-2 and VEN-2016-2

LOCALITIES: All localities within Imperial, Inyo, Kern, Los Angeles, Mono, Orange, Riverside,
San Bernardino, San Diego, San Luis Obispo, Santa Barbara and Ventura Counties

The expiration date of "May 31, 2016*" as currently stated for the above referenced crafts/classifications and determinations is incorrect. The correct expiration date should be **"May 31, 2017*"**.

With the exception of the correction stated above, all of the wage rates and other conditions found in the above referenced General Prevailing Wage Determinations remain in effect.

September 16, 2016

**IMPORTANT NOTICE TO AWARDING BODIES AND ALL INTERESTED PARTIES
REGARDING A CORRECTION TO
THE DIRECTOR'S GENERAL PREVAILING WAGE DETERMINATIONS**

Dear Public Official/Other Interested Parties:

CRAFT: Electrician:

CLASSIFICATION: Inside Wireman – All Shifts; Cable Splicer – All Shifts

DETERMINATIONS: SFR-2016-2

LOCALITY: San Francisco County

A footnote for “Other Payments” for the Electrician: Inside Wireman and Electrician: Cable Splicer crafts/classifications was inadvertently omitted from the above-listed determination. The footnote that was inadvertently omitted is as follows:

OTHER PAYMENTS: In addition, an amount equal to .75% of the Basic Hourly Rate for the Administrative Maintenance Fund is added to the Total Hourly Rate and is factored into the Overtime Hourly Rate.

With the exception of the above correction, all of the wage rates and other conditions found in the above referenced prevailing wage determinations remain unchanged.

Attachment 5

Prevailing Wage Determination

Agreement between San Francisco
Maintenance Contractors Association
and Service Employees International
Union, Local No. 87

COLLECTIVE BARGAINING AGREEMENT

BETWEEN

SAN FRANCISCO MAINTENANCE CONTRACTORS ASSOCIATION

("Employer")

AND

**SEIU LOCAL #87,
SERVICE EMPLOYEES INTERNATIONAL UNION**

("Union")

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This agreement is made and entered into this 1st day of August, 2012 by and between the San Francisco Maintenance Contractors Association, designated as the "Employer", and SEIU Local 87, Service Employees International Union, hereinafter designated as the "Union". It is understood that "Employer" as used below includes individual employers where appropriate.

SECTION I RECOGNITION

- 1.1 The employer recognizes the Union as the sole collective bargaining agent for all employees under the provisions of this Agreement.

SECTION 2 NO DISCRIMINATION

- 2.1 No employee of job applicant shall be discriminated against by the Employer or by the union because of race, color, creed, age, sex, sexual orientation, national origin, union membership, lawful union activities or for any other reason inconsistent with applicable state or federal law including the laws regarding sexual harassment. There shall be no difference in wages paid janitors because of their sex.
- 2.2 Employee means all persons covered by this Agreement whether male or female and the use of masculine terms or pronouns shall include the feminine.

SECTION 3 UNION MEMBERSHIP, HIRING, CHECK-OFF

- 3.1 The Employer shall, at the time of hire, inform each new employee who comes under the scope of the Agreement, of the existence and terms of the Agreement. Membership in good standing in the Union not later than the thirty first (31st) day following the beginning of employment shall be a condition of employment covered by this Agreement. As a condition of continued employment all Employees employed by an Employer subject to this Agreement shall become and remain members of the Union not later than thirty-one (31) days following the beginning of their employment, or the execution date of this Agreement, whichever is the later. Upon notice from the Union that any employee is not in good standing, the Employer shall discharge said employee within ten (10) days after receiving such notice, unless the employee puts himself/herself in good standing with the Union before the expiration of the ten (10) day period herein mentioned, and before such discharge.

The Union and the employees shall forever hold and save the Employer harmless from any action or cause of action from this Section 3.1 .

- 3.2 For purposes of this section only, tender of the initiation fees not later than the thirty-first (31st) day following the date of employment and tender thereafter of the regular monthly periodic dues uniformly required as condition of retaining membership shall, for the purposes of this Agreement, constitute membership in good standing in the Union.

3.3

(a) There shall be a sixty (60) shift probationary period for a new employee. This probationary period shall be applicable to each Employer for which the employee works until the new employee works more than sixty (60) shifts for one Employer. Thereafter, the new employee's probationary period shall be twenty (20) shifts for every other employer for whom the new employee works. An employer may consider a new employee to be subject to the sixty (60) shift probationary period unless the employee presents written confirmation from an Employer that he worked sixty (60) shifts. An Employer shall also provide a copy of such written confirmation to the Union.

(b) Shifts worked for an Employer prior to the signing of this Agreement shall count toward the fulfillment of the probationary periods set forth above in (a).

© During the probationary period, the Employer may discharge the employee without cause and without recourse to the grievance procedure.

(d) The union shall be entitled to grieve terminations of probationary employees under a just cause standard if the union can establish that the employer is engaged in a pattern or practice of terminating probationary employees in order to prevent such employees from completing their probationary period, contractor shall have to demonstrate good cause before terminating probationary workers. As used herein, the term "pattern or practice" may only be established by the union proving that the employer, in the preceding twelve month period, has terminated probationary employees in a number which equals or exceeds 10 percent of the employer's workforce covered by this Agreement. In any proceeding arising from this section, the threshold issue to be decided is whether above-described pattern or practice exists, and only upon deciding that issue in favor of the union shall the employer be required to establish just cause.

3.4

When a new employee is hired, the Employer shall give such employee a written statement setting forth the union membership obligation stated in Section 3.1 and 3.2 above. The Union shall supply the Employer with forms for this purpose.

3.5

A copy of Exhibit C and a copy of this Section 3 shall be posted in the office of both Union and the employer where notice of employee and job applicants are customarily posted.

3.6

Should any dispute arise concerning the rights of the Employer, the Union, or the employees under this Section, the dispute shall be submitted to a neutral arbitrator in accordance with the arbitration procedure provided in this Agreement. Such decision shall be final and binding on the said Employer, Union, and employees.

- 3.7 Committee on Political Education (COPE) and or American Dream Fund. The Employer shall honor voluntary payroll deduction for COPE and or American Dream Fund for employees who have on record with the Employer current, written and signed authorization Cards for such payroll deductions. Such payroll deductions shall be made the first pay day period in April and the first pay period in September each year and remitted to the Union. The Union and the employees shall forever hold and save the Employer harmless from any action or cause of action resulting from this section 3, or from the Employer's reliance upon the authenticity or effectiveness of such authorization cards.
- 3.8 The Employer agrees to deduct from the pay of each employee the membership dues required to maintain good standing as defined by the Constitution and Bylaws of the Union. The Union shall advise the Employer of any adjustments made in membership dues in accordance with the Constitution and Bylaws of the Union.
- 3.9 Membership dues shall be deducted in the following manner: Monthly dues shall be deducted one (1) month in advance; that is, February dues shall be deducted from the January paycheck and so on in a like manner. Deduction for monthly dues shall be made from the first paycheck of each calendar month commencing with the second month employment.
- 3.10 Deduction for initiation fees, in the cases of new employees not members of the Union, shall be deducted from the first paycheck received by such employees during the second month of their employment.
- 3.11 All sums deducted for monthly dues and initiation fees shall be remitted to the Secretary- Treasurer of the Union not later than the twenty-fifth (25th) day of the calendar month in which such deductions are made, together with a list showing the names and addresses of employees and the amount of deduction made.
- 3.12 It is understood and agreed between the parties that deduction of Union membership dues shall be made only on the basis of written authorizations from the individual affected.
- 3.13 Once written employee authorization is received, the union and employees shall forever hold and save the employer harmless from any action or cause of action resulting from Section 3 herein, or from employer's reliance upon the authenticity or effectiveness of such authorization cards.

SECTION 4 VISITS BY UNION REPRESENTATIVES

- 4.1 The Union Representative shall be allowed to visit the Employer's building for the purpose of ascertaining whether or not this Agreement is being observed. This right shall be exercised reasonably. The Union Representative shall report to the Contractor's representative before proceeding through the building. If prior approval is needed for visitation, the Employer will set up the procedure for visitation. In the event the Union Representative wishes to go through the building, the employer may send a representative to accompany him or her. Said Union Representative shall not interfere with normal course of work in the building. The union will notify the employer via email with a minimum of four (4) hours notice in advance of such visits. It shall be the Employer's responsibility to provide the Union with a list of accounts which require prior approval. The Employer shall notify the Union of any special requirements of entry to a building and will make its best efforts to arrange for such entry for the Union official.
- 4.2 The Union shall be required to inform the Employer whenever a business representative begins or ends employment with the Union. The Union shall make this notification to the Employer's President or to his designee.

SECTION 5 WORKING CONDITIONS

- 5.1 If any employee is required or instructed by the Employer to wear a uniform or any specifically designated article of clothing or footwear (other than standard street shoes), the Employer shall furnish and maintain such apparel.
- 5.2 Employees on their part agree to take good care of such apparel and not to wear same except in the course of their duties during working hours, rest periods, and at lunchtime. The Union insignia may be worn by employees.
- 5.3 Adequate locker space, containing a table and chairs or bench, shall be provided for the employees and shall be adequately heated and ventilated by any method of the Employer's selection. If necessary, the Union and employer shall determine where the employees may have their meal in the building. Employees shall be allowed to keep personal belongings in janitor closets located on the floors. These items will be taken home every day.
- Employees will be allowed to drink water on their floor from any appropriate personal container, subject to client approval. In the event that it becomes an issue that the janitor does not have access to drinking water at a particular site the parties agree to meet and discuss this issue. The parties agree that, subject to existing rules on theft or other misconduct, no employee will be subject to discipline relating to personal drinking water.
- 5.4 No employee under this Agreement shall be required to clean cuspidors. This exemption, however, shall not apply to receptacles regularly used by patients in doctors' or dentists' offices nor sand boxes wherever located.

- 5.5 The employer agrees not to utilize or require any employee to take any lie detector test under any circumstances or for any reason whatsoever.
- 5.6 Bulletin Board. The Employer shall provide a bulletin board at a place designated by the Employer for the purpose of posting notices of official business of the Union. The Employer will provide a receptacle at or near such bulletin board in which the Union may place such notices of official business. The Union agrees that it will not distribute handbills, posters, or other literature on the Employer's property.
- 5.7 The Employer shall be required to furnish to an employee information concerning the amount of that employee's accrued vacation and sick leave under the following conditions:
- (a) The employee must request from the Employer information concerning his accrued vacation and/or sick leave; and
 - (b) The employee may make a request for this vacation information no more than four times per calendar year. The employee may make a request for this sick leave information no more than four times per calendar year and the request must be made at the time an employee takes sick leave.

SECTION 6 SENIORITY

- 6.1 Seniority is the right that has accrued to employees through length of service under the terms of the collective bargaining agreement which entitles them to appropriate preference in layoffs, rehiring and vacation.
- 6.2 Seniority shall be terminated by discharge for cause, resignation, retirement or failure to return from an authorized leave of absence or failure to return from vacation unless good cause for such failure is shown. In addition, seniority with an employer for a temporary employee excluding temporary employees who are paid the top wage rate shall be terminated if that temporary employee fails to work at least three (3) shifts for that Employer during any twelve (12) month period. Seniority with an Employer for an employee (excluding permanent employees regularly assigned to a building making the top wage rate) shall be terminated if that employee turns down a permanent assignment on the third time for which the employee is qualified and which assignment has been offered to the employee. Documentation of the refusal will be verified by the employer to the Union via email. Union will have five business days to verify the rejection of the offer by the employee, and if the union has not responded within that time period, the employee's rejection of the offer shall be deemed final. When a contractor takes over a particular building seniority for permanent employees will transfers to the new Employer.
- 6.3 In a case of layoff, the Employer shall give a minimum of five (5) days' notice to the affected employee(s) or pay the employee an amount equivalent to the employee's wages for five (5) business days, based on the employee's normal wage, in lieu of such notice.

6.4 Employees on layoff shall receive preference over all new hires in the event the Employer hires employees.

6.5 When a permanent position becomes available, the Employer shall follow the provisions set forth in Exhibit C.

SECTION 7 HOURS AND OVERTIME

7.1 Seven and one-half (7 ½) hours within not more than eight and one-half (8 ½) hours shall constitute a day's work. A week's work shall consist of thirty-seven and one-half (37 ½) hours divided into five (5) consecutive seven and one-half (7 ½) hour working days, followed by two (2) consecutive days off. All employees who work in excess of seven and one-half (7 ½) hours per day within eight and one-half (8 ½) hours or thirty-seven and one half (37 ½) hours worked per scheduled work week, or five (5) consecutive days shall be paid at the rate of time and one-half (1 ½) for such excess.

7.2 Employees shall be entitled to a ten (10) minute rest period approximately in the middle of the first half-shift and a ten (10) minute rest period approximately in the middle of the second half-shift.

7.3 The Employer shall endeavor to distribute all scheduled overtime equally in each building insofar as practical among all the employees in a particular job classification. In each building, a schedule shall be maintained and posted, setting forth for a period

8.

of at least a week in advance the days off, starting and quitting times, scheduled overtime and lunch period for each employee. A posted schedule shall not be changed with less than one (1) week's notice, except in circumstances beyond the control of the Employer or a mutual agreement between the parties. The Employer shall notify the Union if it changes the starting times for any shift or changes the days of the week in which the work will be performed.

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SECTION 8 WAGES

8.1 All full-time employees who currently receive \$18.65 per hour shall receive a twenty (\$0.20) cents per hour increase effective August 1, 2012. These rates shall remain in effect until August 1, 2013. On that date the above rate will increase by twenty (\$0.20) cents per hour. These rates shall remain in effect until August 1, 2014. On that date the above rate will increase by another twenty (\$0.20) cents per hour. These rates shall remain in effect until August 1, 2015. On that date the above rate will increase by another twenty (\$0.20) cents per hour. These rates shall remain in effect until August 1, 2016.

8.2 PROGRESSION RATE FOR ALL EMPLOYEES:
The progression rate shall apply to all employees not covered by section 8.1. Employees shall be placed in the following categories based on the amount of hours they worked for an Employer since June 1, 1983. (Hours worked for different Employers may not be totaled to gain higher placement on the progression rate.) As the employee reaches the minimum hours in the next highest category, said employee shall receive the next highest rate. The wages rates shall be as follows:

JANITOR

Effective August 1, 2012

0-1950 hrs	1951-3900 hrs	3901-4850 hrs	over 4850 hrs
\$13.035	\$14.97	\$16.915	\$18.850

Effective August 1, 2013

0-1950 hrs	1951-3900 hrs	3901-4850 hrs	over 4850 hrs
\$13.175	\$15.130	\$17.095	\$19.050

Effective August 1, 2014

0-1950 hrs	1951-3900 hrs	3901-4850 hrs	over 4850 hrs
\$13.315	\$15.290	\$17.275	\$19.250

Effective August 1, 2015

0-1950 hrs	1951-3900 hrs	3901-4850 hrs	over 4850 hrs
\$13.455	\$15.450	\$17.455	\$19.450

FOREPERSON: Fifty (\$0.50) cents or seventy-five (\$0.75) cents see Section 8.11

RESTROOM ATTENDANT: Five (\$0.05) cents effective 8/1/2015 see Section 8.14

RECYCLING COORDINATOR: See Letter of Understanding #2

- 8.3 Part-time employees shall be paid as follows for a call of 2 hours or less...two (2) hours straight time pay. For a call of more than two (2) hours...pay for actual hours worked.
- 8.4 All disbursements for wages shall be made by voucher check, which shall show the total number of hours worked, the rate of pay, and an itemized list of all deductions made there from.
- 8.5 At the Employer's discretion, wages may be paid either weekly or biweekly or semimonthly. However, any Employer which is not now paying on a semi-monthly basis and which wishes to do so must give the Union ninety (90) days notice of its intent to change to paying wages on a semi-monthly basis.
- 8.6 The Employer shall not be prevented from paying in excess "of the minimum rates. Any employee earning a wage higher than their progression rate in the contract will continue to receive the higher rate and including any negotiated wage increase. If a Foreperson is demoted from his/her position for just cause that person forfeits the foreperson pay. If a foreperson is removed from the building for business need and the Employer was not able to prove just cause then that Foreperson being removed or demoted keeps their Premium pay. Forepersons have the right to request the union be present in any meeting with the Employer that might involve disciplinary action."
- 8.7 The Union shall have the right to inspect the paycheck of any employee covered by this Agreement after the same has been returned to the Employer by the bank. The Union shall have the right to inspect all payroll records and time sheets and all other records, papers, or documents of the Employer which relate to the terms and conditions of this Agreement.
- 8.8 In the event the Employer intentionally violates this Agreement by failing to pay the proper wage rate to an employee (except in cases of recognized clerical error), said Employer shall pay the employee an amount equal to double the proper wage rate for the period of violation.
- 8.9 There shall be a twenty (\$.20) cents an hour premium for those employees working in the classifications and performing the duties of carpet and rug cleaning (including Wet Shampooing, Dry Cleaning, Dry Foam Shampooing, Steam Shampooing, Rider Operated Power sweeper and Rider Operated Scrubber).
- 8.10 Forepersons with ten (10) or fewer employees shall receive fifty (\$.50) cents per hour in addition to the wage rate for which they are eligible. Forepersons with more than ten (10) employees shall receive a seventy five (\$.75) cents per hour in addition to the wage rate for which they are eligible.

8.11 A forepersons main responsibility is to direct cleaning operations. The Employer shall not authorize forepersons to impose discipline or perform the following supervisory duties which includes: hiring, assigning permanent schedules or work areas, evaluating employees, adjusting grievances, or determining rates of pay in excess of the levels specified in this Agreement. Forepersons are not allowed to authorize employees who request to work their vacation. Foreperson need not be present when disciplinary action is imposed.

8.12 When the regular foreperson is out on vacation, leave of absence, sick leave or disability for more than 5 days, the Employer's designated replacement, if necessary, to perform the duties of the foreperson shall receive the foreperson pay rate as designated in the contract.

8.13 **Restroom Attendant Pay**

Full-time, fully dedicated restroom attendants will be paid an additional \$.05 per hour effective 8/1/2015

SECTION 9 PAYMENT FOR TRAVEL

9.1 An employee who is required to move from location to location in the course of performing a day's or night's work assignment shall be paid for all time spent in traveling between such locations.

9.2 An employee who is requested or required by the Employer to furnish his/her own vehicle to carry any equipment or supplies between locations shall be reimbursed at the established actual federal rate per mile at the time of reimbursement for use of the vehicle.

9.3 All payments due to reimburse employees for the use of their own vehicles shall be paid at each pay period, either by separate check or together with payroll check, the amount of such payment to be specified on the check stub.

9.4 The Employer shall carry non-ownership (Property Damage) liability insurance on the vehicles of all employees who are requested or required to use their own vehicles in connection with their work. In the event the Employer fails to secure such insurance, they shall assume full responsibility for all legal fees, court costs, or damages incurred by the use of such vehicle during the course of his work.

9.5 Should an employee receive a traffic citation while using his own vehicle at the request of the Employer, the circumstances of the citation will be investigated by the Union and the Employer. Whether the Employer or the employee will be responsible for any payments will be determined by mutual agreement between the Union and the Employer.

SECTION 10 VACATIONS

- 10.1 All employees who have, been in the service of the Employer continuously for one (1) year shall be granted two (2) weeks vacation with pay annually. All employees who have been in the service of the Employer continuously for five (5) years or more shall be granted three (3) weeks vacation with pay annually. All employees who have been in the service of the Employer continuously for twelve (12) years or more shall be granted four (4) weeks vacation with pay annually. Absence from services of not more than sixty (60) days because of illness, temporary layoff or leave of absence shall not interrupt the continuity of service for the purpose of this section. In the event of such an absence of more than sixty (60) days, the first year of employment shall be completed for the purposes of this section by the completion of fifty-two (52) weeks actually worked from the original date of employment. After the first year of service when such absence from service extends beyond sixty (60) days per year, the pay for vacation shall be prorated on the basis of the actual weeks worked.
- 10.2 Any employee who has been in the service of an Employer continuously for more than six (6) months whose employment terminates shall receive the prorata vacation due him. Vacation pay on termination shall not count as hours worked towards contributions to Health & Welfare except when an employee is on a paid vacation at the time the account changes contractors, in which case the prior Employer shall make contribution if hour requirement is satisfied.
- 10.3 If a holiday falls within an employee's vacation period, the employee will receive an additional day of vacation with pay, or and extra day's pay in lieu thereof.
- 10.4 Employees are entitled to paid vacations after each year of service, even though there has been more than one Employer during the year. It is understood and agreed that an employee's vacation credits shall accumulate at the rate of one-twelfth of his annual vacation allowance each month. If the services of a building maintenance contractor are discontinued on any job, the accumulated vacation credits of the employees of such contractor shall immediately become due and payable.
- 10.5 Vacation pay shall be calculated on the basis of the employee's regular straight time hourly rate at the time he/she takes the vacation times the number of hours the employee has coming under this vacation clause.
- 10.6 The Employer reserves the right to limit the number of employees taking vacations at the same time in order to maintain operations.

SECTION 11 HOLIDAYS

- 11.1 The following days shall be observed as holidays:
- | | |
|------------------|-------------------------|
| New Year's Day | Martin Luther King Day |
| President's Day | *Day After Thanksgiving |
| Memorial Day | Thanksgiving Day |
| Independence Day | Christmas Day |
| Labor Day | Employee's Birthday |
- 11.2 Eligibility: The Birthday holiday does not apply to birthdays falling within an employee's first twenty-five consecutive working days of employment with an Employer.
- For all other holidays, the employee must work on both the last regular working day immediately preceding the holiday and on the first regular working day following the holiday and, unless the employee so works, he shall receive no pay for such holiday unless such absence on the regular working days before and after said holidays is due to the express permission of the Employer, or a bona fide illness confirmed by a doctor's certificate, or on vacation.
- 11.3 Floating Holiday: The Employer shall have the right to replace the Day After Thanksgiving with one floating holiday to be determined by the Employer, with the Employer giving notice to the employee and Union at least thirty (30) days prior to the Day After Thanksgiving.
- 11.4 The individual employee shall provide the employer with not less than two (2) calendar weeks advance notice of date upon which the employee's birthday falls. The employee shall be allowed to observe the holiday on the birthday or such other day during the calendar week as may be determined by mutual agreement between the Employer and the individual employee. Where the employee fails to provide said two (2) calendar weeks advance notice of the date on which the birthday falls, the date upon which the holiday shall be observed shall be at the sole option of the Employer.
- 11.5 If a holiday falls on a Sunday, it shall be observed on the following Monday. If a holiday falls on a Saturday it shall be observed on the preceding Friday. No deduction shall be made from the pay of employees for the observance of said holidays.

- 11.6 Holiday pay shall be at time and one-half (1-1/2) hours' straight time pay. Any employee working on a holiday shall be paid, in addition to this straight time rate, a premium rate of time and one-half the straight time rate of pay. A holiday not worked, which falls within an employee's regularly scheduled work week shall be considered as a day worked for the purpose of computing a week's work. If a employee's day off falls on a holiday, he shall receive an additional day of within two (2) weekends with full straight time pay, or an extra day's pay in lieu thereof. If an employee replaces a regular employee who is absent for reasons other than vacation, and worked the regularly scheduled work day before and after a holiday, the replacement shall receive the holiday pay instead of the regular employee. Vacation relief employees who work at least twenty (20) consecutive days shall be conferred by all provisions of this section.
- 11.7 The holidays recognized in the Agreement shall be observed in conformance with the observances of Federal and State holidays; provided in the event of conflict between State and Federal observances, holidays shall be observed on the same date observed by the Federal government.

SECTION 12 SICK LEAVE

- 12.1 Regular employees who work continuously for the same Employer for at least one (1) full year shall thereafter be entitled to six (6) days sick leave with one pay after each year of continuous service and shall accumulate sick leave at the rate of six (6) days per year. Sick leave shall be paid at the rate of seven and one-half (7 ½) straight time hours per day and the annual allowance of six (6) days shall be forty-five (45) straight hours. After the first year of employment, benefits accrue, and may be used based on one-half (1/2) day per month. Effective 8/01/09 regular employees who work continuously for the same Employer for at least one (1) full year shall thereafter be entitled to seven (7) days sick leave with pay after each year of continuous service, and shall accumulate sick leave at rate of seven (7) days per year. Effective 9/01/12 employees with twelve years of service shall begin accruing sick leave pay at eight (8) days per year.
- 12.2 Earned but unused sick leave may be accumulated for five (5) years. A regular employee who has worked continuously for five (5) or more years for the same Employer and has not used sick leave for the five (5) previous years and shall, together with the employee's current year's allowance, be entitled to a maximum of thirty- six (36) days sick leave. Any employee who has used sick leave shall be entitled to a lesser amount determined by deducting the number of days of sick leave used in the five (5) previous years, but in no event deducting more than thirty (30) days.

12.3 Earned sick leave pay shall be granted only in cases of bona fide illness or accident. Any employee found accepting or claiming benefits under this Section by reason of false statements or documents shall be subject to disciplinary action. A doctor's certificate or other reasonable proof of illness may be required by the Employer, provided, however, in cases of bona fide illness requiring no more than three (3) consecutive work days' absence from the job where the illness is of such nature as not to require the employee to be attended by a physician, the doctor's certificate referred to above shall be waived. However, such waiver shall be conditioned upon notification to the Employer by the employee not later than four (4) hours before the employee's regular starting time on the first work day's absence that the employee shall not report to work on that day due to said illness and further notification to the Employer by the employee on the day before he plans to return to work of such intention to return to work. Earned sick leave pay is not convertible to cash bonus.

12.4 Earned sick leave benefits shall be paid in the following manner. First work day's absence, no pay, provided, however, that the sick benefit allowance for bona fide illness or accident shall commence with the first work days' absence if the employee's illness or accident results in his being hospitalized before he returns to work or if the employee has twelve (12) or more days of accumulated sick leave. Succeeding work days' absences, full pay until earned sick leave benefits are exhausted. The waiting periods herein provided before full pay commences shall apply for each illness or accident in case the earned sick benefits allowance has not been exhausted in previous illnesses. For the purpose of this Section, full pay shall mean pay for the regular day or night shift schedule working hours, for those days, which the employee would have worked, had the disability not occurred, calculated at straight time.

12.5 In industrial or disability cases, Worker's Compensation or Unemployment Disability payments and sick benefit allowance shall be paid separately, but in the event Worker's Compensation payments or Unemployment Disability payments cover all or part of the period during which sick benefit allowances are paid, the sum of the two shall not exceed the sick benefit payable for said period, and the unused portion of accumulated sick leave will continue to be credited to the employee. Integration of sick leave benefits with Worker's Compensation or Unemployment Disability payments is to be automatic; the Employer may not waive integration, and any employee entitled to Workers' Compensation or Unemployment Disability payments must apply thereof (in order that the principle of integration may be applied) before sick benefits are payable.

12.6 Explicit waiver language as recommended by the City of San Francisco and to be agreed by the parties as follows:

"WAIVER OF SAN FRANCISCO PAID SICK LEAVE ORDINANCE"

San Francisco Administrative Code, Chapter 12W, Paid Sick Leave Ordinance, is expressly waived in its entirety with respect to employees covered by this agreement.

SECTION 13 BEREAVEMENT LEAVE

- 13.1 In the event of a death in the immediate family of an employee covered by this Agreement, who has at least ninety (90) days of service with his Employer, he shall, upon request, be granted such time off with pay as is necessary to make arrangements for the funeral and attend same, not to exceed three (3) regularly scheduled working days. This provision does not apply if death occurs while the employee is on leave of absence, layoff or sick leave. For the purpose of this provision, the immediate family shall be restricted to Father, Mother, Brother, Sister, Spouse, Child, current Mother-in-Law and Father-in-Law and Legal Guardian and domestic partners. At the request of an Employer, the employees shall furnish a death certificate and proof of relationship. Bereavement leave applies only in instances in which the employee attends the funeral or is required to make funeral arrangements, but is not applicable for other purposes, such as settling the estate of the deceased. The Employee may use vacation days or unpaid leave of absence for the additional time after the first three (3) days off up to six months.

SECTION 14 LEAVE OF ABSENCE

- 14.1 An employee who has worked one (1) or more years for an Employer shall be granted, upon request, an unpaid leave of absence up to six (6) months, provided that he has given the Employer acceptable proof that such leave of absence is necessary to recover from personal disability (including personal physical disability due to pregnancy). Leaves of absence up to twelve (12) months shall be granted in cases of industrial illness or injury. The Employer and/or Union may initially fill the temporary vacancy resulting from the granting of this leave under sub-section 14.1 with a Union member according to seniority, similar classification, and who possesses the similar skills and ability required of the vacant position.
- 14.2 Leaves of absence for reasons other than physical disability shall be granted only by agreement between the individual employee and his Employer, and shall be recognized only after they are reduced to writing and signed by the employee and the Employer. Requests for leaves should be submitted at least two weeks prior to the time being requested. A leave request shall not be denied for a reason which would violate Section 2.1 of this Agreement.
- 14.3 No accrued rights shall be forfeited by reason of a leave of absence. No benefits shall accrue during such leave. Leaves of absence shall not result in adjustment of anniversary date.
- 14.4 Any employee who fails to report to work on expiration of a leave of absence shall be considered as having voluntarily quit, unless the employee notifies the Employer and the Union in writing within 30 days of extenuating circumstances beyond the control of the Employee.

14.5 Upon request a full rate employee shall be entitled to a leave, up to twenty four (24) months, pursuant to this paragraph no more than once every three years. From the date of return from such a leave, an employee shall be required to work an additional three (3) years before requesting another leave. If an employee requests another extended leave within the three-year period due to a different circumstance, he or she shall be granted additional leave according to the situation. The employer may fill the temporary vacancy resulting from the granting of this leave with a Union member of the employers choice during the full duration of the leave as long as the request for leave is twelve (12) months or longer .

14.6 The Employer shall notify the Union when an Employee is granted a leave of absence.

SECTION 15 GROUP INSURANCE

15.1 Group insurance shall be as set forth in Exhibit "B", which is attached hereto and made a part thereof.

SECTION 16 PENSION PLAN

16.1 For the purpose of establishing and maintaining a pension plan, the Employer shall contribute as follows for all employees covered by this Agreement.

Effective October 25, 2008 through July 31, 2009, \$7.50 per shift or one dollar (\$1.00) per hour;

Effective from August 1, 2009 through July 31, 2010; \$7.875 per shift or one dollar and five cents (\$1.05) per hour;

Effective from August 1, 2010 through July 31, 2011, \$8.25 per shift or one dollar and ten cents (\$1.10) per hour;

Effective August 1, 2011 through July 31, 2012, \$8.63 per shift or one dollar and fifteen cents (\$1.15) per hour.

The Employer agreed to increase its contribution to the SEIU National Industry Pension Fund (SEIU-NIPF) to which the 10% Funding Improvement Surcharge was added.

The parties acknowledge that the SEIU National Industry Pension Fund ("Fund") has been certified to be in critical status and has adopted a rehabilitation plan containing two schedules of benefit reductions and supplemental employer contributions for which no benefit credit is given, under the authority of Section 305 (b) of ERISA. The bargaining parties adopt the current Preferred Schedule of the rehabilitation plan of the Fund. Pursuant to that Preferred Schedule, effective August 1, 2012, Employer agrees to make base contributions to the Fund for which benefit credit is given at a rate of \$1.15 per hour worked or paid and, in addition, a supplemental contribution equal to 27.7% of said base rate of contributions per hour worked or paid, for which no benefit credit will be given.

Effective August 1, 2013 Employer agrees to make base contributions to the Fund for which benefit credit is given at the rate of \$1.15 per hour worked or paid and, in addition, a supplemental contribution equal to 37.6% of said base rate of contributions per hour worked or paid, for which no benefit credit will be given.

Effective August 1, 2014 Employer agrees to make base contributions to the Fund for which benefit credit is given at the rate of \$1.15 per hour worked or paid and, in addition, a supplemental contribution equal to 48.3% of said base rate of supplemental contribution equal to 48.3% of said base rate of contributions per hour worked or paid, for which no benefit credit will be given.

Effective August 1, 2015 Employer agrees to make base contributions to the Fund for which benefit credit is given at the rate of \$1.15 per hour worked or paid and, in addition, a supplemental contributions equal to 59.8% of said base rate of contributions per hour worked or paid, for which no benefit credit will be given.

Paid vacations, paid holidays, and paid sick leave, and straight time hours worked, excluding overtime hours, are considered as hours worked in computing pension contributions.

- 16.2 Between the first and tenth day of each month, the Employer shall make irrevocably the required payment for the preceding calendar month to the trustees of the pension plan and shall continue such payments for the term of this Agreement or as required by any subsequent and succeeding Agreement.
- 16.3 The Employer shall comply with all provisions of the Pension Trust Indenture and shall maintain, furnish and make available for audit at Employer's office such data and records as the Trustees may require as provided in the Pension Trust Indenture.
- 16.4 The Employer accepts the terms of that certain trust indenture made and executed in San Francisco, California October 30, 1953 as amended, creating BUILDING SERVICE EMPLOYEES PENSION TRUST and accepts the Terms of BUILDING SERVICE EMPLOYEES PENSION PLAN, and further hereby becomes a party to said trust indenture subject to the terms thereof as indicated in Section 3.01 of Article III of said trust indenture.

- 16.5 The Employer further agrees to be bound by all of the provisions of said trust indenture and said pension plan as amended and hereby acknowledges prior receipt of copies of said trust indenture and said pension plan.
- 16.6 The employer hereby authorizes and directs the Union to deposit with the Pension Plan Trustees a duplicate original of this collective bargaining agreement, which, when so deposited, shall indicate the Employer's acceptance of the terms of said trust indenture and the terms of said pension plan, by virtue of the provision of this section and in accordance with said Section 3.01 of Article III of said trust indenture.
- 16.7 The parties agree to re-open the agreement for the purpose of negotiating Pension Fund contributions at the three year point of this agreement, namely on August 1, 2015.

SECTION 17 SAFETY

- 17.1 The Employer shall comply with all applicable Federal and CAL-OSHA laws and regulations pertaining to occupational health and safety, including the Hazardous Substance Information and Training Act.
- 17.2 In the event of a safety or health hazard is detected, it shall be reported immediately to the Employer and the Union.
- 17.3 The Employer, the Union and all employees shall cooperate fully in all efforts to maintain a safe and sanitary work place.
- 17.4 Training shall not be conducted during the Employee's break or lunch hour.
- 17.5 The employer shall make a good faith effort to provide all training in the employee's primary language.

SECTION 18 MILITARY SERVICE

- 18.1 In the event any employee covered by this Agreement is called for active duty in the Army, Navy, Marine Corps, or any other branch of the United States Military Service, he shall retain, consistent with his physical and mental abilities, the right to his former position or its equivalent for the period of this Agreement or any further agreement, and shall receive his former position or a job of equal rank, provided application for reemployment is made within ninety (90) days after release from military service. Any questions that may arise concerning return to work shall be settled in accordance with Section 20.

SECTION 19 DISCIPLINE

- 19.1 The Employer shall have the right to discharge or discipline any employee for just cause.
- 19.2 At the time that an employee is notified of being discharged, the Union shall normally receive notification in writing of the discharge. However, where circumstances make it impossible or impractical to provide written notification to the Union at the time of the discharge, the Employer shall have until 5:00 PM of the business day following notification to the employee to provide written notification of the discharge to the Union. An employee may request the presence of a Union steward or representative for any meeting or discussion with the employer that may lead to discipline.

SECTION 20 GRIEVANCE PROCEDURE

- 20.1 Any difference between the Employer and the Union involving the meaning or application of the provisions of this Agreement shall constitute a grievance and shall be taken up in the manner set forth in this Section. Before filing a grievance in writing, a grievant and/or his representative may discuss the grievance with a representative of the other party to attempt to resolve the grievance informally.
- 20.2 If the aggrieved party chooses not to attempt to resolve the grievance informally or if the grievance is not resolved through informal meeting, the aggrieved party shall serve upon the other party a written statement setting forth the facts constituting the alleged grievance.
- 20.3 **STEP 1. Grievance** A grievance need not be considered unless the aggrieved party serves upon the other parties a written statement setting forth the facts constituting the alleged grievance. For a discharge case grievance, such notice must be served within ten (10) days from that date of discharge. Such written statement concerning any other type of grievance must be served within fifteen (15) days of its occurrence or the discovery thereof by the aggrieved party. The Employer shall respond to the grievance within ten (10) days. If there is no response within ten (10) days the grievance will automatically advance to the next step.

20.3 **STEP 2 Informal meeting** It is the intent of the parties that reasonable diligence be used in the discovery and reporting of alleged grievances so they may be adjusted or dismissed without undue delay. The Employer and the Union agree to use, their best endeavors by informal conferences between their respective representatives to settle any grievance within ten (10) days after service of such written statement. For a grievance regarding discipline of an Employee, the Employer will make every effort to provide to the Union upon request any document the Employer relied upon to discipline the Employee no later than 48 hours before the Board of Adjustment Hearing. For a grievance regarding monetary issues the Employer will make every effort to provide no later than 48 hours before the Board of Adjustment Hearing to the Union upon request applicable payroll records and timesheets. Discovery that is provided at the Board of Adjustment does not preclude the union or company from providing additional documents at the mediation or arbitration hearing.

20.4 **STEP 3 Board of Adjustment** If the grieving party wishes to take the grievance to the Adjustment Board, the grieving party must submit the request for an Adjustment Board within thirty days from the date the grievance was filed. If the grieving party fails to submit this request within thirty (30) days, from the date the grievance was filed, the grievance shall be deemed waived.

20.5 Within ten (10) days upon receipt of a timely written request, there shall be an Adjustment Board consisting of two (2) representatives designated by the Union who have not participated in earlier steps of the Grievance Procedure and two (2) representatives designated by the Employer who have not participated in earlier steps of the Grievance Procedure. The Adjustment Board shall meet as required and shall consider fully all aspects of the issue presented. If there is no Board of Adjustment held within ten (10) days from the request for an Adjustment Board Hearing and there is no written agreement between the employer and the Union to extend the time limit the grievance shall automatically advance to the next step.

20.6 Any decision by majority of the four (4) members of the Board of Adjustment shall be final and binding upon all parties, subject to limitations of jurisdiction and authority contained in the contract. If during the period that the Adjustment Board can meet, no majority decision can be reached, either party may, within ten (10) days following a such period, request in writing that the matter be referred to Federal Mediation and Conciliation Service

a. **STEP 4 Federal Mediation** The mediator shall meet with the parties including affected employee(s) to assist and offer advisory opinions in an effort to help the parties reach an agreement that resolves the grievance. If there is no decision then either party may advance the grievance to arbitration within twenty (20) days following the mediation.

- 20.7 If the parties cannot agree upon a person to act as an impartial arbitrator within five (5) days after service of such demand, then an impartial arbitrator shall be selected by agreement from the following list of three (3) arbitrators: Tom Angelo, Matthew Goldberg, and Union to submit arbitrator. The union will set dates with each of the arbitrators and the arbitrations will be held when the arbitrators are available on a rotation basis. If an arbitrators date goes unused and is not cancelled by the union then the union shall bear the entire expense. By mutual agreement, the parties can add one more arbitrator to the rotation.

The above procedure will be on a trial basis for two years. If there is no mutual agreement to extend the procedure for the utilization for arbitrators then the following shall apply.

If necessary, an impartial arbitrator shall be named by agreement from the names listed above, if there is no agreement then the parties shall request a list supplied by either the State or Federal Mediation and Conciliation Service. Either party may reject in its entirety any list of arbitrators supplied by the State or Federal Mediation and Conciliation Service, and thereafter request a new list.

- 20.6 The decision of the arbitrator shall be final and binding on both parties hereto. In the event of a willful failure by either party to appear before the Arbitrator, the Arbitrator is hereby authorized to render his decision upon the evidence produced by the party appearing.
- 20.7 Each party shall bear all costs of presenting its case to the Arbitrator. The Arbitrator's fee and all incidental expenses of the arbitration shall be borne equally by the parties hereto.
- 20.8 Proposals to add to or change this Agreement shall not be subject to arbitration. Neither an arbitrator nor a panel of representatives shall have any authority or power to add, alter or amend this Agreement.
- 20.9 The arbitrator shall render a decision in writing within thirty (30) days if possible and in any event no later than sixty (60) days after the close of the hearing. It is understood that a hearing is not "closed" within the meaning of this provision until the post-hearing briefs are filed.
- 20.10 The parties agree that Step Two and Step 3 in the Grievance Procedure herein may be waived in discharge cases, and in cases involving Section 6 and Section 29 of this Agreement may automatically proceed from Step One to Step Four.

SECTION 21 SAVINGS CLAUSE

- 21.1 If any provision of this Agreement or the application of such provision to any person or circumstances be ruled an "Unfair Labor Practice", or in any other way contrary to law, by any Federal or State Court or duly authorized agency, the remainder of this Agreement or the application of such provisions to other persons or circumstances shall not be affected thereby.

SECTION 22 MANAGEMENT RIGHTS

- 22.1 All rights of management not expressly limited by the language of this Agreement are expressly reserved to the Employer, and the express provisions of this Agreement constitute the only limitations upon the Employer's rights. The exercise of any right reserved to management herein in a particular manner or the non-exercise of any such right shall not be deemed a waiver of the Employer's right or preclude the Employer from exercising the right in a different manner.

SECTION 23 IMMIGRANT WORKERS

- 23.1 The Union is obligated to represent all employees without discrimination based upon national or ethnic origin. The Union is therefore obligated to protect employees against violations of their legal rights occurring in the workplace, including unreasonable search and seizure.
- 23.2 The Employer shall notify the Union by phone and give oral notice to the Union steward, as quickly as possible, if any Department of Homeland Security or SSA agent appears on or near the premises to enable a Union representative or attorney to take steps to protect the rights of employees.
- 23.3 The employer shall reinstate any employee who is absent from work due to court or agency proceedings relating to immigration matters and who returns to work within one (1) year of commencement of the absence. If the bargaining unit member does not remedy the issue within one (1) year, the bargaining unit member may be discharged and the Employer shall have no further obligation to hold a bargaining unit member's position.
- 23.4 In the event that an employee is not authorized to work in the United States of America and his or her employment is terminated for this reason, the Employer agrees to immediately reinstate the employee to his or her former position, without loss of prior seniority (i.e., seniority, vacation or other benefits do not continue to accrue during the period of absence) upon the employee providing proper work authorization within one (1) year from the date of termination.
- If the employee needs additional time the Employer will rehire the employee into the next available opening in the employee's former classification. Upon the employee providing proper work authorization within a maximum of one (1) year.
- 23.5 Errors in an employee's documentation may be due to mistake or circumstances beyond an employee's control. Employees shall not be discharged, disciplined or suffer loss of seniority or any other benefit or be otherwise adversely affected by a lawful change of name or Social Security number. These changes shall not be considered new employment or a break in service.

- 23.6 In the event an employee is displaced due to disqualification from employment due to the application by the employer of a e-Verify, or similar employment eligibility verification program, including background check, the incoming replacement employee will be paid at the wage rate and benefit eligibility levels of the employee who is being replaced.

SECTION 24 ASSIGNMENTS

- 24.2 The parties agree that in the event that the ownership or management of any plant or company is changed by sale, merger or in any other manner, this Agreement shall be included as a condition of such change or transfer, and shall run to its conclusion as the contract of the successor company, applicable to the particular plant thus sold, merged or transferred. The Union likewise binds itself to hold this contract in force to its termination, and agrees that no part of this Agreement shall be assigned to any labor organization other than those which are parties hereto, without consent of the parties hereto.

SECTION 25 BIDDING PROCEDURES

- 25.1 Whenever the Employer bids or takes over the servicing of any job location, building or establishment covered by this Agreement, and where the daily work being performed amounts to seven and one-half (7 1/2) hours or more, the Employers agrees to do the following:
- (a) Retain all permanent employees at the job location, building or establishment including those who might be on vacation or off work time because of illness, injury or authorized leave of absence; and recognize that the work time and overall employment service of all such employees shall be considered as continuous, regardless of change of Employers, for all purposes, including seniority, sick leave and vacation benefits, so that no such permanent employee will lose any such benefits because of the change of Employers.
 - (b) Contact the Union for the number of permanent employees, all job classifications, starting and quitting times, the number of daily hours worked, the rates of pay, and the number of hours each such employees is credited with for purposes of the Progression Rate at such location. The Union agrees to supply such requested information within five (5) working days or the Employer is free to bid the job as he sees fit.
- 25.2 Within the 30 days of a building changing contractors, the current contractor will not be allowed to transfer anyone into the building. The outgoing contractor, at its discretion, can transfer out an employee within the 30 days of a building transfer.

SECTION 26 SUBCONTRACTING

- 26.1 The Employer agrees not to subcontract work normally performed by the employees covered by the terms of this Agreement except to persons, firms or companies meeting not less than the terms and conditions of this Agreement relating to wages, hours and conditions of employment.
- 26.2 The Employer shall not contract out to avoid its obligations under this Agreement nor as a means of reducing the scope of the Union. The Employer will notify the Union prior to any subcontracting, and shall include in its notification the name of the subcontractor, nature of the subcontracted work, and location of the work.

SECTION 27 New Work and Contracts

- 27.1 The Employer shall notify the Union, in writing, of any new job where the daily work consists of seven and one-half (7 ½) hours or more, specifying the name of the job and the address of the job location. Such notice shall be given at least two (2) weeks prior to the commencement of the job or if the Employer has less than two (2) weeks notice the Union shall be notified within forty-eight (48) hours after the Employer received notice to start the job.

SECTION 28 WORKING CONDITIONS

- 28.1 When vacancy is verified by the building, staff reduction shall be automatic and the affected employee(s) shall be placed on the temporary list pending placement into an open permanent position, per Exhibit C.
- 28.2 The Employer shall have the right to determine and change the assignment of employees within a building and where, what and how the work is to be performed within a building. Any such decision shall be based on business need and shall not be for punitive, discriminatory or personal favoritism reasons.
- 28.3 At its discretion, the Employer shall have the right to determine and change starting times, provided that the Union shall receive at least five (5) working days notice of any change in starting times. and, provided further, that no shift may begin in any day after 6:00p.m. unless the Union is notified in writing. However, it is understood that the Employer may continue to begin a shift after 6:00p.m. if the Employer is currently beginning a shift after 6:00p.m.
- 28.4 The Employer shall have the right to transfer employees from one building to another. Any such decision shall be based on business need and shall not be for punitive, discriminatory or personal favoritism reasons. The Union and the affected employee shall be given twenty-four (24) hours notice of any transfer.
- 28.5 The Union shall have the right to conduct an investigation, in order to determine whether any provisions of this Section have been violated.
- 28.6 When vacancy is verified by the building, staff reduction shall be automatic and the affected employee(s) shall be placed on the temporary list.

SECTION 29 OTHER AGREEMENTS

- 29.1 In the event the Employer employs employees in industries or locations where there is an agreement involving the Union, the Employer shall pay the wages rates and provide the benefits contained in such agreement. Employees are entitled to paid vacations after each year of service at any location in accordance with the provisions of the appropriate agreement, even though there has been more than one Employer during the year. An employee's vacation credits shall accumulate at the rate of one-twelfth (1/12th) of his annual vacation allowance each month. Employer are discontinued at any location, the accumulated vacation credits of the employee shall immediately become due and payable.
- 29.2 In the event the Employer is discontinued at any location, the accumulated vacation credits of the employee shall immediately become due and payable. However, in those cases where vacation is billed, the client has the option to request vacation accruals to be transferred to the new contractor. The Employee, the outgoing Employer and the new Employer shall mutually agree to the amount of roll over with a printed copy for each employee of accrued sick leave and vacation.
- 29.3 The outgoing contractor must post the employees accumulated vacation and sick leave credit hours, when the building is placed out to bid. Any discrepancies on vacation or sick leave credits must be resolved before the end of the contract for that building. In other cases where vacation is billed, the client may request that employees be cashed out of their accrued vacation prior to assuming a permanent open position.

SECTION 30 NO STRIKE/LOCKOUT

- 30.1 The language and spirit of this Agreement guarantees the prompt and faithful performance by the Employer and the Union of all obligations imposed by the terms of this Agreement. The parties, therefore mutually agree that during the term of this agreement, the Employer shall not lockout it's employees, nor shall the Union or it's members either cause, sanction, or engage in any strike, diminution or interruption of the Employer's business. In the event of a violation of the provisions of this Section, the Union shall upon notice from the Employer, immediately resume normal operations.
- 30.2 It is understood that the observance by an individual member of the Union of a lawful picket line of another labor organization, which picket line has been sanctioned by the San Francisco Labor Council, shall not constitute a breach of this agreement.
- 30.3 Neither the offer nor the withdrawal of any proposal during the negotiations preceding the execution of this agreement which proposal, was not incorporated therein, shall be used in the construction of this agreement.

SECTION 31 SHOP STEWARDS

- 31.1 The Employer recognizes the right of the Union to designate or elect shop stewards and alternates.
- 31.2 The Employer recognizes the shop stewards or alternates, so designated or elected, as the representatives of the Union.
- 31.3 Upon oral request, Shop Stewards will be provided copies of dispatches, the SEIU card and or names in the event of any emergency basis replacement.
- 31.4 Upon employees request, Shop Stewards, when available, will be present, if there is no Shop Steward then the Employer will call the Union to send a representative, when disciplinary action is being imposed on an employee. If no representative from the union is available the employer may proceed with the disciplinary action.
- 31.5 When an Employee or Employer requests a shop steward to be present at a meeting called by the Employer and the meeting lasts longer than twenty (20) minutes the employer will provide assistance from the building staff to assist the shop steward at his or her station.
- 31.6 Shop Stewards shall be allowed twenty (20) minutes per month to perform any needed Shop Steward responsibilities when necessary.

SECTION 32 ENTIRE AGREEMENT

- 32.1 The Employer shall not be bound by any requirement which is not clearly, explicitly and specifically stated in this Agreement. Specifically, but exclusively, the Employer is not bound by any past practices of the Employer or understandings with any labor organization, unless such practices of the Employer or understandings are specifically stated in this Agreement. The foregoing does not eliminate the accepted use of past practice when issues arise as to interpretation of ambiguities in the express language of the Agreement.
- 32.2 The Union agrees that this Agreement is intended to cover all matters affecting wages, hours and other terms and all conditions of employment and similar or related subjects, and that during the term of this Agreement neither matters affecting these or any other subjects not specifically set forth in this Agreement.
- 32.3 Neither the offer nor the withdrawal of any proposal during the negotiations preceding the execution of this agreement which proposal, was not incorporated therein, shall be used in the construction of this agreement.

SECTION 33 TERM OF AGREEMENT

- 33.1 Unless expressly stated otherwise all parts of this Agreement will be effective August 1, 2012 and shall remain to and including July 31, 2016 and shall continue in effect thereafter from year to year unless either party serves notice in writing at least sixty (60) days prior to the expiration of this Agreement of the desire to terminate the Agreement or modify its terms.

DATED: 1/30/2013

SAN FRANCISCO MAINTENANCE
CONTRACTORS ASSOCIATION

James D. Bend

DATED: 1/30/2013

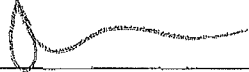
SEIU LOCAL 87
SERVICE EMPLOYEES
INTERNATIONAL UNION

C. Chinn

EXHIBIT A

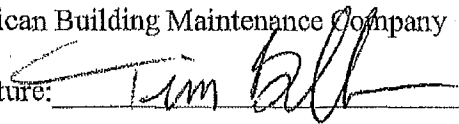
MEMBERS OF THE SAN FRANCISCO MAINTENANCE
CONTRACTORS

1. Able Building Maintenance Company

Signature: 

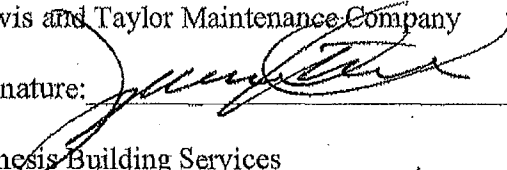
Date: 1-30-2013

2. American Building Maintenance Company

Signature: 


Date: 1/29/13

3. Lewis and Taylor Maintenance Company

Signature: 

Date: 1-22-13

4. Genesis Building Services

Signature: 

Date: 1/22/13

5. Clean-A-Rama Building Maintenance

Signature: _____

Date: _____

EXHIBIT B

HEALTH AND WELFARE COVERAGE:

This Section expresses the understanding of the parties concerning Employer contributions to the General Employees Trust Fund on behalf of employees and their eligible dependents covered by this Agreement.

- B. 1 The Employer agrees to maintain Plan C26 covering medical, dental, vision, prescription drug and life insurance coverage for employees and their eligible dependent(s) in its entirety through October 31, 2012. The cost of Plan C26 is one thousand two hundred seven dollars and four cents (\$1,207.04) per month per eligible employee.

Effective September 2012 hours for October 2012 deposit for November 2012 coverage, employees participating in Plan C26 will be transferred into Plan C26 (A) at the cost of one thousand one hundred fifty four dollars and thirty one cents (\$1,154.31) per month per eligible employee.

For employees hired after August 1, 2012 they shall be eligible after four (4) months for Plan C26 (B) at the cost of nine hundred thirteen dollars and fifty two cents (\$913.52) per month per eligible employee.

After thirty-nine-hundred (3900) hours an employee hired after August 1, 2012 shall be eligible for Plan C26 (A).

The employer agrees to maintain the amended benefits of the plan (MOB) during the term of the agreement.

- B.2 All Employer contributions referred to in this Section shall be paid into the General Employees Trust Fund in accordance with the procedure set forth below.

- B.3 For the purpose of this Section, Permanent and Top Rate employees (A and B List) are eligible for a contribution if they have worked at least ninety (90) hours in the month prior to the month in which previous contribution is due. "Ninety (90) hours worked" includes straight time hours only, not overtime, and it includes compensable straight hours paid but not worked.

In addition for the purpose of this section Progression Rate Employees (C list Employees) and new hires after August 1, 2012 are eligible for a contribution if they have worked for 105 hours in the month prior to the month in which the previous contribution is due. "One hundred five hours (105) worked "includes straight time hours only, not overtime, and it includes compensable straight hours paid but not worked.

- B.4 If any employee works their qualifying hours or more in the month but is not listed by the Employer, the Employer shall be personally liable and fully responsible for all claims that may be incurred by such employee in the same amounts as though the employee had in fact been listed. This personal liability, however, does not in any way relieve the Employer of his liability to make payments under this Agreement.
- B.5 The Employer shall comply with all provisions of the above-mentioned Health and Welfare Trust Funds and shall maintain, furnish and make available for audit at Employer's office such data and records as the Trustees may require as provided in the Health and Welfare Trust Fund.
- B.6 The Union and the Employer will discuss alternative Health and Welfare plans. Any proposed changes will only be implemented by mutual agreement from all parties.

EXHIBIT C

THE FILLING OF AVAILABLE POSITIONS

Notwithstanding any other provision of the Agreement, this provision shall cover the filling of available positions by each Employer (Contractor) covered by the Agreement.

- C.1 Each Employer agrees to maintain four separate lists. The first list will be the Permanent Employee list that will include all Permanent Employees and their building assignments. (Permanent employees are defined as those employees who are assigned by the Employer to a particular workstation on a daily and permanent basis.) The second list will include temporary employees who were permanent for a particular Employer but are now laid off and shall be known as the "A List". The third list will include top wage rate temporary employees for a particular Employer and shall be known as the "B List". (Top wage employees are defined as those employees who have never been permanent.) The fourth list will consist of temporary employees who are earning less than top wage rate for a particular Employer and shall be known as the "C list". To be included on any Employer's "C list", an individual must have worked at least one (shift) in the last twelve (12) months for that Employer.
- C.2 The placement of employees on the "A through C list" will be as follows:
- (a) Employees, who were permanent for a particular Employer but are now laid off, will be at the top of the list for that Employer (A list). The ranking among these employees will be by seniority date.
 - (b) The next ranking will be of employees who are earning the top wage rate for a particular Employer who have never been permanent (B list). The ranking among these employees will be by seniority date.
 - (c) The next ranking will be of those employees who are earning less than top rate for a particular Employer (C list). The ranking among these employees will be based on the number of accumulated hours worked for that Employer.
- C.3 When a permanent position becomes available the most senior employee on the A list will be offered said permanent position. If there is no A list employee then the most senior employee on the B list shall be offered said permanent position. If there is no B list employee available then the most senior employee on the C list shall be offered said permanent position. For day porter and foreperson positions, client and Employer approval will also be a determining factor. For janitorial positions that require specific skills, the Union/Employer will do it's best to dispatch/place a member with the required capabilities.
- C.4 (C.3 original) On any given day, the Employer shall fill available temporary positions with the employee on its A, B or C List who is not working that day in

the order of seniority, except for day porter, foreperson positions, and for janitorial positions that require specific skills. However, if the employee filling a temporary position chosen by the employer is on the C list the most senior employee from the A, B or C list can bump the lesser wage temporary Employee, with 24-hour notice, except under extended leaves of absence of 12 months or longer covered in Section 14.5 of this Agreement.

- C.5 In hiring, the Employer may consider individuals recommended by the Union. For day porter and foreperson positions, client and Employer approval will also be a determining factor.
- C.6 The employer will notify the union when there is a permanent open position in the day porter classification.
- C.7 On an emergency basis that does not exceed three (3) days for day porters and forepersons and two (2) days for other positions, the employer may fill the temporary vacancy by an employee of their choice.
- C.8 An employee will be removed permanently from an Employer's A, B or C list for any of the following reasons.
- Termination for Just Cause
 - Not working three shifts within the last twelve (12) months, except for employees on the A or B list.
 - Refusing to accept three (3) dispatches, without just cause, within a thirty (30) day period will be considered a voluntary quit, except for employees on the A or B list.
 - Not responding to three (3) Employer notifications for dispatch without just cause within a thirty (30) day period will be considered a voluntary quit, except for employees on the A or B list. It is understood that an employee will be considered to have not responded to a notification for dispatch on a given day only if the notification was given at some time from 3:00 p.m. to 4:30 p.m. on that day, except under extenuating circumstances. It is further understood that this paragraph does not in any way restrict the Employer's right to notify for dispatch at any time before 3:00 p.m. or after 4:00 p.m.
- C.9 Each Employer shall supply the Union with a copy of the lists stated in C.1. Thereafter, each Employer shall supply the Union with a daily report concerning the filling of temporary vacancies no later than 3:00 p.m. following the completion of the previous workday. For Friday, Saturday and Sunday reports, they shall be supplied to the Union the following Monday, unless Monday is a holiday, in which case the requirement unless there are extenuating circumstances such as phone line being down, in that case the Employer is required to supply the daily report as soon as possible.) This report shall contain the following information:

- Employee Name
- Name and address of new hires
- Current Assignment, if any
- Date of assignment, if any
- Employee being replaced
- Reasons for Open Position
- Estimated Duration

In addition, each Employer shall supply the Union with an updated version of its permanent list once every six months and an updated version of its A, B and C list once every month.

- C.10 New Construction: For any new jobs that are the result of new construction, an Employer may fill one-half (1/2) of the needed number of employees with employees whose wage rate is the lowest on the progression wage rate. The other half must be filled first with qualified employees on the Employer's A and B list. Once the building is 90% occupied, the property will revert to the standard contract terms.
- C.11 The mediation procedure set forth in Section 20.6a of this Agreement shall be available for a dispute concerning whether or not an employee has the correct ranking on the A, B, or C list. In the event that it is found that the ranking for a particular employee is incorrect, the exclusive remedy which may be ordered is that the ranking be corrected. In the event that there is a monetary claim related to the ranking claim, the monetary claim may be pursued under the regular grievance procedure.
- C.12 The existing Labor Management committees may also discuss Exhibit C and may make any non-binding recommendations.
- C. 13 If an employer agrees to only use the Union hiring hall to fill all positions, both permanent and temporary, it can sign a side letter to opt out of Exhibit C provisions applicable to filling of available positions by the hiring hall.
- C. 14 The Employer agrees to participate in a union hiring hall pursuant to written procedures and responsibilities established by a labor-management committee. The labor-management committee establishing such procedures and responsibilities shall consist of two management representatives appointed by the San Francisco Maintenance Contractors Association, and two union representatives appointed by Union. Employer may subsequently cease participation in the hiring hall if it is unable to efficiently obtain and assign qualified staff.
- C.15 For any one time or reoccurring "tag" work of four hours or more which is above base contract specification, the employer may assign such "tag" work to an

employee from the C List. The contractor will notify the union if the "tag" will exceed more than one month. If a permanent utility worker is utilized at another building for tag work for more than two days, the contractor will fill his/her position with a C list employee who is: (a) qualified to perform the utility work; and (b) working at the lowest rate in the progression schedule as set forth in Section 8 of this Agreement.

ATTACHMENT 1

Recycle/Green Buildings

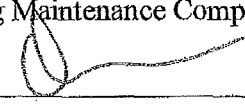
City and Union will participate in joint labor-management committee to identify best practices with respect to janitorial services. Committee will be facilitated by Mayoral designee from the Department of the Environment, and may include other stakeholders.

FOR SEIU Local 87

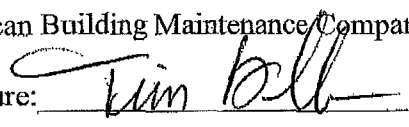
By: 

Date: 1/30/2013

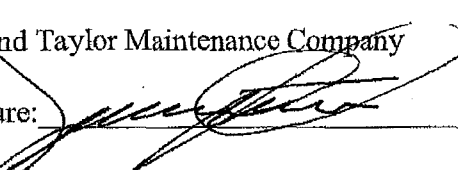
Able Building Maintenance Company

Signature: 

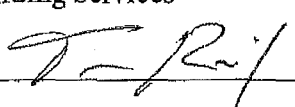
American Building Maintenance Company

Signature: 

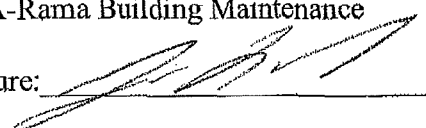
Lewis and Taylor Maintenance Company

Signature: 

Genesis Building Services

Signature: 

Clean-A-Rama Building Maintenance

Signature: 

FOR the Contractors,

By: 

Date: 1/30/2013

Date: 1-30-2013

Date: 1/29/13

Date: 1-22-13

Date: 1/22/13

Date: 1/31/13

LETTER OF UNDERSTANDING
BACKGROUND CHECKS

At client request employees shall be subject to security background checks. Employees shall cooperate with the Employer as necessary for obtaining security background checks. Employees who fail such security background checks shall be subject to termination, if there is just cause. Any employee may elect not to participate in the requested background checks and will be reassigned based on seniority. No bumping of permanent employees shall be allowed.

For the purpose of this provision, just cause to terminate an employee who has failed a security background check exists only if it is established:

1. That one or more of the findings of the background security check is directly related to the employee's job functions or responsibilities, or
2. That the continuation of employment would involve an unreasonable risk to property or to the safety or welfare or specific individuals or to the general public.

If the customer or Employer determines that the employee has failed a security background check, but the Employer lacks just cause for termination under this provision, then the employee may be transferred or reassigned based on seniority. No bumping of permanent employees shall be allowed. Employees who failed a background check will have a right to a copy of the report and can appeal through the grievance procedure.

FOR SEIU Local 87,

By: [Signature]

Date: 1/30/2013

Able Building Maintenance Company

Signature: [Signature]

American Building Maintenance Company

Signature: [Signature]

Lewis and Taylor Maintenance Company

Signature: [Signature]

Genesis Building Services

Signature: [Signature]

Clean-A-Rama Building Maintenance

Signature: [Signature]

FOR the Contractors,

By: [Signature]

Date: 1/30/2013

Date: 1-30-2013

Date: 1/29/13

Date: 1-22-13

Date: 1/22/13

Date: 1/31/13

LETTER OF UNDERSTANDING

A. New Position Definition: RECYCLING COORDINATOR

The purpose of a **RECYCLING COORDINATOR** shall be to hand sort the landfill, recycling, and compost waste streams generated in the property/properties in which they are employed to assist properties in meeting or exceeding City mandated waste diversion rates.

B. RECYCLING COORDINATOR Hiring Requirements

Contractors may hire a **RECYCLING COORDINATOR(s)** from the SEIU Local 87 hiring hall to allow hand-sorting, of all landfill, recyclable and compostable material generated in the building(s) in which they are employed.

C. RECYCLING COORDINATOR Training

Contractors agree to train **RECYCLING COORDINATOR**. Training shall include, in addition to instruction on the proper sorting of all waste streams, detailed information on the safe handling and disposal of hazardous materials such as sharps and chemicals. Training will be provided in English and in the Recycling Coordinator's native language.

D. RECYCLING COORDINATOR Safety

Contractors shall provide sorters with protective gear reflecting best practice in the recycling industry, including safety goggles, respiratory protection, protective aprons, hair nets, puncture-proof and waterproof work gloves, and safety boots.

E. RECYCLING COORDINATOR WAGES and BENEFITS

Employer will pay the minimum rates of the pay scales of this contract. Employers shall not be prevented from paying in excess of the minimum rates indicated in the pay scales of this contract. Recycling coordinator with a minimum of one year's experience shall be eligible to bid on non-sorter janitor positions according to seniority and their placement on the Employers temporary list.

Health and Welfare: C-23a 822.47 after four months of consecutive 115 hours. Thereafter 115 hours per month qualifier.

Pension: No pension

Attachment 6

Prevailing Wage Determination

Agreement between San Francisco
Window Cleaning Contractors
Association and Window Cleaners
Union, SEIU Local 1877, AFL-CIO

WINDOW CLEANERS AGREEMENT

April 1, 2014 to March 31, 2017

by and between

SAN FRANCISCO WINDOW CLEANING CONTRACTORS ASSOCIATION

and

WINDOW CLEANERS UNION – SEIU USWW, AFL-CIO

THIS AGREEMENT is made and entered into this 1st day of April, 2014 by and between the San Francisco Window Cleaning Contractors Association, hereinafter called the Employer, and the Window Cleaners Union, Service Employees International Union, United Service Workers West, hereinafter called the Union. The terms of the Agreement shall apply to all signatory Employers as listed on the signature page of this Agreement.

SECTION 1. RECOGNITION

The Employer recognizes the Union as the sole collective bargaining agency for all window cleaners employed by the Employer in San Francisco. In order to be recognized by the Union, the Employer must have an established place of business and must employ at least one (1) full time window cleaner. Also, the Employer must furnish the Union with a certificate of Workers' Compensation Insurance for his employees directly from the insurance company involved.

SECTION 2. UNION MEMBERSHIP AND HIRING

- (a) Union Membership: It shall be a condition of employment that all employees covered by this agreement and hired on or after its effective date shall, on the thirtieth day following the beginning of such employment, become and remain members in good standing of the Union or tender to the Union the initiation fees and periodic dues that are the obligation of members. Check-off provisions are set forth in Section 23.

For the purpose of this Section only, tender of the initiation fees not later than the thirty-first (31st) day following the date of employment or not later than the thirty-first (31st) day following the effective date of this Agreement, whichever is later, and tender thereafter of the regular monthly periodic dues uniformly required as a condition of retaining membership shall, for the purpose of this Agreement, constitute membership in good standing in the Union.

If the Employer uses persons not members of the Union as window cleaners (except conscientious objectors or financial core members), it shall be recognized as a violation of this Agreement. The Board of Arbitration established in Section 22 below, shall assess a reasonable penalty against the Employer, in the event of such employment violation. It shall not be a violation of this Agreement for janitors who are not members of this Union to wipe off glass doors and spot-clean partition glass; however, janitorial employees shall not use natural sponges, window cleaners' brushes or squeegees.

- (b) Hiring: When new or additional employees are needed, the Employer shall notify the Union of the number of employees needed. Applicants for jobs shall be referred by the Union to the Employer on a non-discriminatory basis.

The Employer shall be the sole judge of the competency of all applicants and reserves the right to reject any applicant referred by the Union. The Employer agrees within one (1) day of the date of hiring to notify the Union of the names, phone numbers and addresses of the persons hired.

In hiring, the Employer shall give preference to applicants previously employed as window cleaners in the local labor market area, which shall be defined to mean the City and County of San Francisco. It is expressly understood that neither the Employer nor the Union shall discriminate against any applicant for employment or employee because of religious creed, race, sex, union membership or age as defined in the Age Discrimination Act as amended.

If the Union is unable to refer to the Employer suitable applicants for employment within two (2) days (working days), the Employer may then hire persons from other sources, provided the Employer on the date of hiring shall notify the Union of the name, phone numbers and address of each person hired.

- (c) Probation period: There shall be a sixty (60) working days probationary period for a new employee. The probationary period shall be applicable to each Employer for which the employee works until the new employee works more than sixty (60) working days for one Employer.

During the probationary period, the Employer may discharge the employee without cause and without recourse to the grievance procedure.

SECTION 3. SENIORITY

Seniority is the right accruing to employees through length of service which entitles them to appropriate preference in layoffs, rehiring and vacation.

Seniority shall be terminated by discharge for cause, resignation, retirement or failure to return from an authorized leave of absence or failure to return from vacation unless good cause for such failure is shown. In the event of a lay-off, employee's seniority shall be protected for twelve (12) months. If an employee is recalled to work within the twelve month period and does not report to work, then his seniority is terminated.

In all cases of layoffs, the principal of seniority shall apply. If the Employer is required to reduce the size of his crew, then the last person hired shall be the first person laid off. If an employee is laid off outside his seniority date because he lacks a particular skill, when the Employer regains that particular work that can be performed by the more senior employee, then the more senior employee will be recalled with no change in his seniority date. Recall shall be done on a weekly and not daily basis. This means if there is less than one week's work, recall is not mandatory.

It is understood that the Leadman shall be the last employee laid off because of the type of responsibilities required of the job. This provision shall apply to only one person per company and the Employer shall notify the Union of the person designated under this provision. The Employer agrees that the Leadman will not perform Journeyman work (i.e. window cleaning) if, in fact, a Journeyman with more seniority would be laid off. Once all the more senior Journeymen are recalled, the Leadman may perform Journeyman work, if necessary.

SECTION 4. HOURS AND OVERTIME

(a) The maximum workweek shall be thirty-seven and one-half (37 ½) consecutive hours segregated into five (5) working days of seven and one-half (7 ½) hours. The workweek shall be Monday through Friday, with Saturdays and Sundays off. The hours of work shall be from 5:00 a.m. to 1:00 p.m., 6:00 a.m. to 2:00 p.m., 7:00 a.m. to 3:00 p.m., 8:00 a.m. to 4:00 p.m. (Hotels only). Employees shall receive a one-half (1/2) hour between 11:00 a.m. and 11:30 a.m. for lunch. Employees must take a full half (1/2) hour for lunch and no employee shall substitute part of the lunch period for any part of the regular working day. Any work performed in addition to the specified hours contained herein shall be paid at the overtime rate of pay. A twenty (20) minute rest period two (2) hours after starting time shall be given each employee. The Union pledges its best

efforts to enforce the provisions of a seven and one-half (7 ½) hour day, and agrees to discipline any member found to be in violation thereof. It is understood the employees covered by this Agreement will at all times conduct themselves on the job in an orderly and business-like fashion.

If there is a shift change, notification shall be given by Thursday noon for the following week. If a building requires cleaning at different times, the Employer shall establish a swing or grave shift. Any such shift shall start after 1:00 p.m. and shall be eligible for a shift premium of forty (\$0.40) cents per hour. Any shift starting after 1:00 p.m. shall be offered to the most senior qualified employee and down the seniority list until exhausted. If no employee volunteers, the least senior qualified employee shall be assigned such shift.

The parties recognize the principle that the Employer and the Union shall maintain proper and reasonable times on the jobs. In the event of any question concerning reasonable time for performing a job, Employer and Union shall promptly meet and confer in good faith effort to reach agreement. If the representatives fail to agree, the disagreement shall be handled in accordance with the provisions of Section 22 below.

Any problems relating to work schedules, rest periods and lunch periods in connection with scaffold and bos'n chair work shall be determined promptly by agreement between Employer and Union. If the representatives fail to agree, the disagreement shall be handled in accordance with the provisions of Section 22 below.

(b) The maximum workweek with a holiday, as designated in Section 6 below, shall be reduced seven and one-half (7 ½) hours for each holiday falling within that workweek.

(c) Employees covered by this Agreement will not be employed by more than one (1) Employer at any one (1) time, or be self-employed, while in the employ of an Employer covered by this Agreement, subject to approval of the Union.

(d) Overtime work shall be on the following basis:

- a. Time and one half (1 ½) after seven and one half (7 ½) hours worked in a day and double time after twelve (12) hours in a day; and
- b. Time and one half (1 ½) for work performed on Saturday provided that the employee must have completed thirty seven and one half (37 ½) hours in the preceding Monday-Friday unless 37 ½ hours of work were not available to the employee based on his/her seniority.

- c. Double time for work performed on Sunday provided that the employee must have completed thirty seven and one half (37 ½) hours in the preceding Monday-Friday unless 37 ½ hours of work were not available to the employee based on his/her seniority, and further provided that the employee has not refused Saturday overtime for that same weekend.

Paid non-work hours and daily overtime hours shall be counted as worked hours for the purpose of determining Saturday and Sunday overtime.

SECTION 5. SPLIT SHIFTS

There shall be no split shifts, but in case of emergency of any store that might change display windows in late afternoon or evening, the employee shall be permitted to go back and wash the inside of said windows at overtime rates. This overtime will not have to be taken off the following week. When an employee is called back to work after completing his regular shift, he shall receive a minimum of two (2) hours work or two (2) hours pay at the applicable overtime rate.

SECTION 6. HOLIDAYS

The following holidays will be observed:

New Year's Day	Veteran's Day
Presidents' Day	Thanksgiving Day
Memorial Day	Day after Thanksgiving
Independence Day	Christmas Day
Labor Day	Floating Holiday*

Employees shall be eligible for all holidays except the floating holiday upon completing their probation.

* Employees shall be eligible for their floating holiday one year from their date of hire with the Employer. The employee shall request the floating holiday in writing at least two weeks prior to the date s/he wishes to take it and the request shall be by mutual agreement.

If a holiday falls on a Saturday or Sunday, it shall be observed on the following Monday, provided that in cases of emergency, special arrangements can be made with the Union. If a holiday falls on a Thursday, an employee who gives the Employer one (1) week notice will be permitted to take the following Friday off on his own time without penalty. If a holiday falls on a Tuesday, an

employee may be permitted to take the previous Monday off on his own time without penalty provided the entire shop agrees or it is mutually agreed to by the Employer and employee.

All employees shall receive a full day's pay for the observance of said holidays, regardless of the day on which the holiday occurs, provided such employees have reported to work on their regular working day immediately before and immediately after said holiday. An employee shall have been considered to have reported for work, if absence on the day before or after said holiday is due to express permission of the Employer or to a bona fide illness, or to a dispute between Employer and Union that has resulted in work stoppage. An employee required to work on a holiday shall be paid by the Employer for whom he works at the rate of double time in addition to his regular pay. Under no condition whatsoever shall work be permitted on Labor Day. No man shall be laid off for the purpose of defeating this provision.

SECTION 7. WAGES

(a) The minimum hourly wage for all journeymen and for all scaffold and bos'n chair work shall be as follows:

	<u>August 1, 2014</u>	<u>April 1, 2015</u>	<u>April 1, 2016</u>
Base	\$21.15	\$21.65	\$22.25
Scaffold/Bos'n Chair	\$22.61	\$23.11	\$23.71

(b) If the Employer posts the position for Leadman, the job shall be open for bid. If the skills and ability are relatively equal seniority shall govern.

Skills and ability required for the Leadman position are the following:

1. The ability to communicate with building managers and/or engineering staff.
2. Must be able to read and explain OPUS requirements.
3. Must have knowledge of CAL-OSHA, ANSI-IWCA, I-14 standards and CA Labor Code that governs window cleaning operations as long as information is posted in the shop.
4. Must be able to conduct monthly safety training meetings.

The Leadman shall receive one dollar and twenty-five cents (1.25) per hour premium over the rate of job he is performing. General duties shall be as follows:

Under general supervision instructing employees in Company's overall method of operation. Assigns employees to particular duties, inspects and checks the

employee's work for efficiency and accuracy. Must integrate his operations with those of other crews and department whenever necessary. Also oversees compliance of Safety Regulations.

(c) Inexperienced persons may be hired by the Employer, subject to all provisions of this Agreement, provided that no journeyman window cleaner shall be displaced as a result of such employment, except that the Employer may retain inexperienced employees with longer seniority than newly hired Journeymen for the purpose of training only. The ratio of any one (1) inexperienced employee to four (4) journeyman window cleaners shall not be exceeded, except that shops employment less than (4) journeymen window cleaners may hire not more than one (1) inexperienced person. Inexperienced employees shall be paid the following minimum hourly wages:

	<u>Start</u>	<u>After 975 hours worked</u>	<u>After 1950 hours worked</u>	<u>After 2925 hours worked</u>
<u>Effective 8/1/14</u>				
Base:	\$13.50	\$14.50	\$15.50	\$17.45
Scaffold/Bos'n Chair:	\$15.00	\$16.00	\$17.00	\$18.91
<u>Effective 4/1/15</u>				
Base:	\$14.00	\$15.00	\$16.00	\$17.95
Scaffold/Bos'n Chair:	\$15.50	\$16.50	\$17.50	\$19.41
<u>Effective 4/1/16</u>				
Base:	\$14.60	\$15.60	\$16.60	\$17.55
Scaffold/Bos'n Chair:	\$15.10	\$17.10	\$18.10	\$20.01

Journeyman rates paid: after 3900 hours worked

Any inexperienced employee who has served a portion of his training period with one Employer and is subsequently hired by another Employer, part to this Agreement, may be credited with the training time served, upon mutual agreement of the Employer and the Union. When an inexperienced person is hired, it shall be compulsory that the inexperienced person work with a journeyman for a period of not less than six (6) months, regardless of the scale that the inexperienced person may be paid. If an inexperienced person is not qualified to perform the work he shall either be laid off, or, by mutual agreement between the Union and the Employer, his training time may be extended. There shall be no reduction of hours for any employee as a result of the signing of this Agreement.

An Employer hiring inexperienced persons shall be required to train such persons in all phases of the window cleaning craft.

At no time shall an inexperienced person be allowed to work more than seven and one-half (7 ½) hours per day or more than thirty-seven and one-half (37 ½) hours per week without the prior approval of the Union.

Journeymen window cleaners must be required to do all phases of window cleaning work as requested by the Employer, provided that no employee shall be disciplined under this provision without prior notice to the Union and discussion of the specific case involved. If the parties fail to agree, the case shall be handled as provided in Section 22 below.

**SECTION 8. HEALTH INSURANCE, DENTAL, VISION,
PRESCRIPTION DRUG AND LIFE INSURANCE**

(a) Health Insurance

1. This Section expresses the understanding of the parties concerning Employer contributions to the General Employees Trust Fund on behalf of employees covered by this Agreement and their dependents.

2. All employer contributions referred to in this Section shall be paid into the General Employees Trust Fund, created under the terms of said plan in accordance with the procedures set forth below. It is understood that all questions concerning eligibility of employees for coverage shall be determined by the Trustees of the said Trust Fund.

The Employer shall provide benefits as contained in the agreed upon worksheet between the Employer and the Union of Menu Plan C18 through General Employees Trust Fund for eligible employees and dependents. The cost of the current Plan, as of April 1, 2014 is \$1360.75 per month.

3. Between the first (1st) and the tenth (10th) day of each month, the Employer shall submit to the Trust Fund a list of all employees who have worked seventy-five (75) hours or more during the preceding calendar month. The list shall indicate the number of hours worked by each employee. Paid vacations, paid holidays, and paid sick leave are considered as hours worked in computing group insurance plan contributions. The Employer shall pay into the Trust Fund each month an amount to cover the cost of the benefits.

New employees hired after April 1st, 2010 (with the exception of returning Journeyman employees) shall become initially eligible for payment of the Health and Welfare premium after working nine hundred seventy five (975) hours and then working a minimum of seventy-five (75) hours in a subsequent calendar month. The definition of a "returning journeyman" who would not have to wait

for a health and welfare contribution as defined above is a journeyman who has worked as a window cleaner for a signatory or me-too employer in the City and County of San Francisco within twenty-four (24) months of being hired by his/her current employer. Any journeyman on payroll of a signatory or me-too employer as of the date of ratification does not have to wait nine hundred seventy five (975) hours for health care.

4. The Employer agrees that the employee benefits established by the General Employees Trust Fund shall be maintained for the life of this Agreement. If the present carrier shall, as a result of loss experience, elect to increase the premiums, the Employer agrees to pay such increases as may be necessary in order to maintain the present employee benefits.

5. The Employer shall comply with all provisions of the Trust Fund and shall maintain, furnish and make available for audit at Employer's office such data and records as the Trustees may require as provided in the Trust Fund.

6. If any employee works seventy-five (75) hours or more in the calendar month but is not listed by the Employer, the Employer shall be personally liable and fully responsible for all claims that may be incurred by such employees in the same amounts as through the employee had in fact been listed. This personal liability, however, does not in any way relieve the Employer of his liability to make payments under this Agreement.

7. The Employer hereby accepts the terms of that certain Agreement and Declaration of Trust entered into at San Francisco, California, creating the General Employees Trust Fund and further agrees to become a party to said Agreement and Declaration of Trust. Employer hereby agrees to be bound by all of the provisions of said Agreement and Declaration of Trust and hereby acknowledges prior receipt of a copy thereof.

8. If an employee who has had six (6) months or more of service is injured or ill, the Employer shall continue to make monthly contributions on his behalf for at least two (2) months.

9. If future regulations are passed that render this section as non-compliant with the ACA, the parties agree to reopen this section in order to bring it into compliance.

SECTION 9. PENSION

There is hereby established plan for the purpose of providing pension or retirement benefits to employees covered by this Agreement. The Employer

agrees to make periodic contributions on behalf of all employees covered by the Collective Bargaining Agreement to the Service Employees International Union National Industry Pension Fund ("Fund") in the amounts specified below. The Employer hereby agrees to be bound by the provisions of the Agreement and Declaration of Trust establishing the Fund, as it may from time to time be amended, and by all resolutions and rules adopted by the Trustees pursuant to the power delegated to them by that agreement, including collection policies, receipt of which is hereby acknowledged.

Effective April 1, 2014, based on March, 2014 hours the contribution rate to the Fund shall be two dollars and fourteen cents (\$2.14) per hour for each straight time hour worked and paid for. Paid vacation, paid holidays and paid sick leave are considered as hours worked in computing contributions.

The Employer and the Union agree to adopt the Preferred Schedule for Benefit Changes and Supplemental Contributions adopted by the Trustees of the National Industry Pension Fund (NIPF), and any amendments thereto, for the life of this Agreement. The supplemental contribution amount of \$2.14 per hour is 48.3% effective April 1, 2014; 59.8% effective April 1, 2015; and 72.1% effective April 1, 2016.

SECTION 10. SICK LEAVE AND FUNERAL LEAVE

Every employee covered by this Agreement shall be permitted to accumulate five (5) days sick leave per year accumulated at the rate of 1.7 days for each four (4) months of service.

This paid leave can be used for a bona fide illness, accident or funeral leave, or to care for an immediate family member as defined below. This five (5) days per year shall be accumulated to a maximum of thirty (30) days.

Every employee shall earn sick leave for each month in which he/she works the 75 hours per month needed to qualify for Health & Welfare benefits. Paid vacations, paid holidays, and paid sick leave are considered as hours worked for computing eligibility for paid sick and funeral leave each month.

Earned paid leave benefits shall be paid in the following manner:

First (1st) full workday's absence, no pay except where the employee is hospitalized on such first (1st) day; succeeding workday's absence, full pay until earned sick leave benefits are exhausted.

The waiting period herein provided before full pay commences shall apply to each employee and not each illness or accident. Employees working for one (1) Employer will only have one (1) waiting period. Employees working for multiple Employers shall have one (1) day wait for the first illness or accident for each Employer.

If the employee desires to utilize any earned leave for funeral leave it shall be for a death in the immediately family and immediate family shall be defined as: Spouse, Domestic Partner, Son, Daughter, Father, Mother, Brother, Sister, Grandson, Granddaughter, Grandfather and Grandmother.

Upon resignation, voluntary quit, retirement, discharge, or layoff, all unused sick leave shall be paid to the affected employee at his hourly rate of pay.

The Union and the Employer hereby expressly waive the provisions of Chapter 12W to the Administrative Code of San Francisco relating to paid sick leave. Those provisions shall have no application to the employees covered by the Agreement between the Employer and the Union during the term of this Agreement.

SECTION 11. SCAFFOLD AND BOS'N CHAIRS

All Scaffolds and Bos'n Chairs must be hung by men who work on same. A minimum of two (2) journeymen must work together on all Scaffolds and Bos's Chairs whenever practicable. Inexperienced employees shall not be allowed to work on Scaffolds or Bos'n Chairs, until the inexperienced person has worked at the trade for at least six (6) months. Then the inexperienced person must work with a journeyman.

SECTION 12. VACATIONS

All employees who work continuously for one (1) Employer for one (1) year shall receive a minimum of ten (10) days vacation with pay at the prevailing straight time rate annually.

All employees who work continuously for one (1) Employer for two (2) years or more shall receive a minimum of twelve (12) days vacation with pay at the prevailing straight time rate annually.

All employees who work continuously for one (1) Employer for five (5) years or more shall receive a minimum of fifteen (15) days vacation with pay at the prevailing straight time rate annually.

All employees who work continuously for one (1) Employer for twelve (12) years or more shall receive a minimum of twenty (20) days vacation with pay at the prevailing straight time rate annually.

Employees whose employment terminates after six (6) months or more shall receive vacation pay prorated on the basis of one (1) days pay for each month of service during the first (1st) five (5) years of employment, and on the basis of one and one-fourth (1 ¼) days pay for each month of service thereafter. Employees whose employment terminates after twelve (12) years of employment shall receive vacation pay prorated on the basis of one and two-thirds (1 2/3) days pay for each month of service.

Every employee shall earn vacation for each month in which he/she works the 75 hours per month needed to qualify for Health & Welfare benefits. Paid vacations, paid holidays, and paid sick leave are considered as hours worked for computing eligibility for vacation each month.

SECTION 13. MILITARY SERVICE

All Window Cleaners entering the military service of the United States shall, upon their return to civilian life, retain their former shop seniority, providing they are physically fit and apply for their former jobs within ninety (90) days.

SECTION 14. TRAVEL

(a) All commercial vehicles shall be furnished by the Employer. Each contractor may designate as many men as are necessary to drive the contractor's vehicles and also clean windows, subject to notification to the Union. These men shall not be allowed to clean windows or drive vehicles more than thirty-seven and one-half (37 ½) hours per week. The contractor's name, telephone number and address must appear on the vehicle driven by the employee. All vehicles must be driven by a journeyman, unless otherwise authorized by the Union. Unless authorized by the Employer and the Union, no vehicle shall be used in any manner by an employee after working hours, but shall be returned to the shop each day. No employee shall be disciplined or discharged because of a refusal to drive the contractor's vehicle.

(b) All traveling time and transportation expenses shall be paid by the Employer, except that an Employer may require an employee to report directly to a job and to leave any job at the end of the working day without providing transportation expense or travel time to first job or from last job, provided that all assigned work is within the city limits of San Francisco. All out-of-town work shall be

voluntary, and no employee shall be voluntary, and no employee shall be required to accept out-of-town assignments.

SECTION 15. RESTRICTIONS

The foreman shall not be allowed to clean windows. This section does not apply to principal owners.

SECTION 16. EQUIPMENT

Employers shall furnish the employee all normally issued tools and working equipment for that day and the employee shall be held responsible for same except when ordered to leave tools on the job in an unsecured area. The company will notify the employee as to who in the company will issue and receive tool inventory.

All new window cleaning tools must be submitted for approval by the person to employ such new tools. They shall be screened by the joint committee consisting of two (2) representatives of the Union and two (2) representatives of the Employer. They shall not be put into use without the prior approval of said committee. It is recognized that the loss of the Company issued "bucket tools", other than for loss due to bona fide accidents of normal wear and tear will be grounds for the employee to replace the "bucket tools" at the Company cost.

SECTION 17. SUBCONTRACTING

No piece work or sub-contracting of work shall be allowed unless mutually agreed upon by the Union and the Employer.

SECTION 18. SAFETY

(a) Suitable belts must be used on all buildings that have anchor bolts. Ropes on belts and on Bos'n Chairs must be renewed every six (6) months, or on demand of employee.

(b) No windows shall be cleaned that are not in good working order.

(c) No window cleaner shall be allowed to work on an extension ladder more than four (4) hours in any one (1) day. Only in case of extreme emergency, where an employee can finish a job, one (1) hour more will be permitted.

(d) A person shall be placed at the foot of all ladders in use that exceed

eighteen(18) feet in length. Two (2) window cleaners shall work together on extension ladders which are extended thirty-six (36) feet or more in length.

(e) It is agreed that when the personal safety of a member is concerned, his refusal to work on defective windows, or inadequate window cleaning equipment, shall not be sufficient cause for discharging of the employee and it is further agreed that said member will not be penalized for such refusal by the Employer.

(f) All other safety conditions not specified herein, but which form a part of the rules and regulations of the California Occupational Safety and Health Administration (Cal-OSHA) for Window Cleaners, shall be observed by the Employer.

(g) When the personal safety of a member is concerned, his refusal to pass through a picket line shall not constitute a violation of this Agreement.

(h) Where acid is used on scaffold work, steel falls shall be used instead of rope falls. Whenever employees are obliged to use acid in the course of their employment, Employers shall furnish employees with rubber gloves or other necessary equipment.

(i) The Parties agree to establish a Labor-Management Committee of a maximum of seven (7) members from each side. This committee is meant to discuss areas of mutual concern such as safety, training and the preservation of standards in the Window Cleaning Industry. It is not intended to discuss contractual issues.

SECTION 19. BREAKAGE

Employees shall not be held responsible for any breakage or damage, and no deductions shall be made from the employee's wages for any breakage or for insurance, public liability, property damage, employees compensation or for any other reason or purpose except those deductions required by law. Deductions may be made from employees' wages in order to purchase group insurance, provided that the Union is advised in advance concerning the proposed establishment of any group insurance plan the employee agrees voluntarily to be a party to such a group insurance plan.

SECTION 20. DISCHARGE AND DISCIPLINE

Any Employer discharging or disciplining a member of the Union must have just and reasonable cause. In case of a dispute, it shall be taken up under Section 22 of this Agreement.



CIVIL SERVICE COMMISSION CITY AND COUNTY OF SAN FRANCISCO

EDWIN M. LEE
MAYOR

Sent via Electronic Mail

October 18, 2016

GINA M. ROCCANOVA
PRESIDENT

KATE FAVETTI
VICE PRESIDENT

DOUGLAS S. CHAN
COMMISSIONER

SCOTT R. HELDFOND
COMMISSIONER

NOTICE OF CIVIL SERVICE COMMISSION ACTION

SUBJECT: CERTIFICATION OF THE HIGHEST PREVAILING RATE OF WAGES OF THE VARIOUS CRAFTS AND KINDS OF LABOR PAID IN PRIVATE EMPLOYMENT IN THE CITY AND COUNTY OF SAN FRANCISCO.

At its meeting of **October 17, 2016** the Civil Service Commission had for its consideration the above matter.

The Commission adopted the report and forwarded it to the Board of Supervisors in accordance with Charter Section A7.204 and Administrative Code Section 6.22.

If this matter is subject to Code of Civil Procedure (CCP) Section 1094.5, the time within which judicial review must be sought is set forth in CCP Section 1094.6

MICHAEL L. BROWN
EXECUTIVE OFFICER

CIVIL SERVICE COMMISSION

Sandra E. Lee
MICHAEL L. BROWN FOR
Executive Officer

Cc: Toks Ajike, Recreation and Park Department
Emylene Aspilla, San Francisco International Airport
Donald Ellison, San Francisco Municipal Transportation Agency
Jaci Fong, Office of Contract Administration
Lavena Holmes, Port Commission
Shamica Jackson, Public Utilities Commission
Frank Lee, Department of Public Works
Matthew Lee, City Attorney's Office
Suzanne Mason, Department of Human Resources
Sean McFadden, Recreation and Park Department
Patrick Mulligan, Office of Labor Standards Enforcement
John Noguchi, Convention Facilities
Masood Ordikhani, Public Utilities Commission
Steve Ponder, Department of Human Resources
Bill Wong, San Francisco International Airport
Commission File
Chron

Gosiengfiao, Rachel (BOS)

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: Prevailing Wage Certification Legislation
Attachments: PrevailingWage Certification to ACalvillo.pdf; #8 Cert of the Highest Prevailing Wage Part I.pdf; #8 Cert of the Highest Prevailing Wage Part II.pdf; Prevailing Wages - Notice of Action.pdf

From: Eng, Sandra (CSC)
Sent: Tuesday, October 18, 2016 12:23 PM
To: Calvillo, Angela (BOS) <angela.calvillo@sfgov.org>
Cc: Brown, Michael (CSC) <michael.brown@sfgov.org>; Lee, Matthew (CAT) <matthew.s.lee@sfgov.org>; Aldana, Elizabeth (CSC) <elizabeth.aldana@sfgov.org>
Subject: Prevailing Wage Certification Legislation

Good Afternoon Angela,

The Civil Service Commission requested the City Attorney to draft legislation to accompany the report being forwarded to the Board of Supervisors as required by the Administrative Code. The draft legislation prepared by the City Attorney will be forwarded to you shortly. Please see the attached letter.

Sincerely,

Sandra Eng

*Sandra Eng
Assistant Executive Officer
Civil Service Commission
City and County of San Francisco
25 Van Ness Avenue, Suite 720
San Francisco, CA 94102
Direct (415) 252-3254
Main (415) 252-3247
Fax (415) 252-3260*

OFFICE OF THE MAYOR
SAN FRANCISCO



EDWIN M. LEE
MAYOR

BOS-11, BOS-ops, Leg Aides,
Bos-Leg. Secs., Dep City Atty,
BOS-Admin, Mayor's Office

October 26, 2016

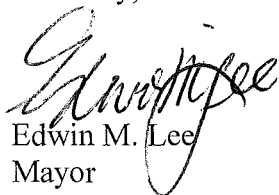
Ms. Angela Calvillo
San Francisco Board of Supervisors
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco, CA 94102

Dear Ms. Calvillo,

Pursuant to Charter Section 3.100, I hereby designate Supervisor Mark Farrell as Acting-Mayor from the time I leave the State of California on Thursday, October 27, at 8:30 a.m., until I return on Thursday, October 27, at 6:00 p.m.

In the event I am delayed, I designate Supervisor Mark Farrell to continue to be the Acting-Mayor until my return to California.

Sincerely,


Edwin M. Lee
Mayor

cc: Mr. Dennis Herrera, City Attorney

2016 OCT 26 PM 4:36
RECEIVED
SAN FRANCISCO
CITY ATTORNEY
DENNIS HERRERA

OFFICE OF THE MAYOR
SAN FRANCISCO



orig: Leg Clerk

EDWIN M. LEE
MAYOR

2016 OCT 28 PM 2:07 C: COB, Leg. Dep.

Asst City Atty,
Rules Clerk
Cpage. AC file

October 27, 2016

Angela Calvillo
Clerk of the Board, Board of Supervisors
San Francisco City Hall
1 Carlton B. Goodlett Place
San Francisco, CA 94102

Dear Ms. Calvillo,

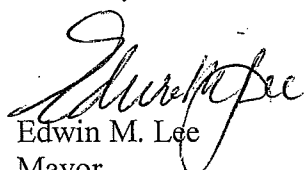
Pursuant to Section 3.100 (18) of the Charter of the City and County of San Francisco, I hereby make the following appointments:

Abby Porth to the Human Rights Commission for a term ending September 2, 2020

I am confident that Ms. Porth, an elector of the City and County, will continue to serve our community well. Attached are her qualifications to serve, which will demonstrate how this appointment represents the communities of interest, neighborhoods and diverse populations of the City and County of San Francisco.

Should you have any questions related to this appointment, please contact my Deputy Chief of Staff for Appointments, Francis Tsang, 415-554-6467.

Sincerely,


Edwin M. Lee
Mayor

Abigail Michelson Porth
20 Broadmoor Drive, San Francisco, CA 94132
(415) 305-7753 aporth@sbcglobal.net

PROFESSIONAL EXPERIENCE

Jewish Community Relations Council of San Francisco, the Peninsula, Marin, Sonoma, Alameda & Contra Costa Counties (1999-present) -- Executive Director

- Lead the senior management team for the organization, holding executive management responsibilities for \$5 million budget organization with 30 employees and contractors.
- Developed and implemented organization's first fundraising program, helping grow the agency by \$4 million over 15 years, more than doubling its impact.
- Developed and implemented three strategic growth plans, working in close consultation with the Officers, Board of Directors, consultants, and lay committees.
- Principally responsible for the organization's advancement strategies, including marketing, communications, and resource development.
- Orchestrate donor and foundation research, cultivation, and solicitation approach; structure grant concepts, write and manage proposals and reports.
- Supervised internal operations, multiple programs, resource development, marketing and communications, program evaluation and reporting on measurable outcomes and qualitative results.
- Lead crisis management and advocacy efforts on political and sensitive community relations issues affecting the community in San Francisco.
- Cultivate and maintain strategic partnerships with influential elected officials, and diverse civic, ethnic, and faith community leaders, growing the organization's regional influence and prominence.
- Serve as spokesperson on matters of Jewish community concern to the media.
- Manage or supervise hiring, legal and employment matters for the organization, in partnership with the Executive Director.
- Oversee the Bay Area Jewish community security project, which managed capital grants for security on behalf of the Jewish Community Federation, and currently ensures relationships with federal and local law enforcement, as well as security and life safety training for dozens of Jewish institutions.

Anti-Defamation League of New England (1998 – 1999) -- Civil Rights Youth Coordinator

- Developed and managed a rehabilitative diversion program for juvenile hate crime offenders.
- Cultivated close working relationships with juvenile court judges, District Attorney, Public Defender, and probation officers.
- Managed all aspects of the program, including hiring facilitators and guest speakers, developing administrative system to coordinate with the juvenile justice system, scheduling offenders' participation, and instituting a new community service component of the program that exposed offenders to the communities they had victimized in their hate crime.
- Public speaking at more than 50 public and private high schools and universities about hate crime, and intervention in bias-motivated incidents.
- Public speaking to numerous law enforcement bodies about effectively responding to hate crime and civil rights violations.
- Coordinated community, media, and agency responses to bias motivated incidents involving youth and schools in New England.

Massachusetts Charter School Resource Center (1998)

Management Consultant

- Part of a three person graduate student team, which provided management consulting services as a practicum and culminating experience of graduate studies.

- Project included developing a customer needs assessment, customer satisfaction plan, long-term strategic management plan, and a research and survey tool for future measurement of the organization's ability to meet strategic goals.

LEADERSHIP AND COMMUNITY INVOLVEMENT

Commissioner, San Francisco Human Rights Commission	2015 – present
District Attorney's Jewish Community Advisory Board, San Francisco	2013 – present
Board of Directors, Congregation Emanu El, San Francisco	2013 – present
Board of Directors, Scattered Among the Nations	2002 – present
Co-Founder and Organizer, Memory Garden	2011 - present
Officer and Board of Directors, San Francisco Interfaith Council	2007 – 2015
Advisory Committee, SF Communities Agencies Responding to Disaster	2006 – 2010
Board of Directors, Interfaith Chapel at the Presidio, San Francisco	2005 – 2008
Board of Directors, San Francisco Head Start	2004 – 2006
Executive Search Committee, Jewish Community Center of San Francisco	2015
Rabbinic Search Committee, Congregation Emanu El, San Francisco	2011
Facilitator of the National Civility Institute, Jewish Council for Public Affairs	2011
Shalom Hartman Institute, Summer Learning Course, Jerusalem, Israel	2007

DISTINCTIONS AND HONORS

Community Partner in Policy Award, Alice B. Toklas LGBT Club	2011
Recipient, International Alumna of the Year Award, B'nai B'rith Youth Organization	2011
Leadership San Francisco, Chamber of Commerce, San Francisco	2008-2009
Scholarship recipient and delegate, Muslim community-sponsored trip to Turkey	2007
Scholarship recipient for Sherman Seminar, professional development conference	2003

KEYNOTE, MODERATOR, PUBLICATIONS, PRESENTATIONS (partial list)

- Keynote and workshop presenter, *Jewish Life in an Era of Extremism Conference* (Palo Alto in April 2015, and Marin County in January 2016)
- “*Understanding the Boycott-Divestment-Sanctions Movement Rooted in the Bay Area*,” Limmud Conference (June 2015)
- “*Legislative Opportunities to Thwart the Boycott-Divestment-Sanctions Movement*,” California State Legislature, Jewish Caucus (March 2015)
- “*Using a Values and Faith Based Lens for Advocacy*,” Congregational Church of San Mateo (February 2015)
- “*The Circumcision Campaign Victory*,” Jewish Community Federation (September 2011)
- “*Coalition Building as an Advocacy Tool*,” West Coast Conference for the Religious Action Center of Reform Judaism (September 2011)
- *The San Francisco Ballot Measure to Ban Circumcision*, in debate with ban proponent, *The Forum*, KQED – the San Francisco affiliate of National Public Radio (June 2011)
- Facilitator and keynote, *Civility Institute*, at the Jewish Council for Public Affairs national conference (March 2011)
- “*The Boycott-Divestment-Sanctions Movement Against Israel: At Home in the Bay Area*,” Raoul Wallenberg Democratic Club (March 2011)
- “*Civility and Empathy: Tools for Community Building*,” Humanity in Action (December 2010)
- “*The Year of Civil Discourse Initiative: A Model for Community Building*,” *Mosaic*, CBS Television (September 2010)

- Moderator, *The World's Impressions of the Jews and Israel*, in conversation with David Makovsky, author of *Myths, Illusions, and Peace: Finding a New Direction for America in the Middle East* and Adam Garfinkle, author of *Jewcentricity: Why the Jews are Praised, Blamed, and Used to Explain Just About Everything*, Jewish Community Center of SF (November 2009)
- "The Relevance of Jewish Community Relationship Building," Business Leadership Council, Jewish Community Federation (December 2008)
- Moderator, "Jewish Lens on Immigration," a live audience forum hosted by Sh'ma Magazine (March 2007)
- "Jewish Community Bridge Building," United Jewish Communities' National General Assembly (November 2006)
- "Communities and Their Place in Society," Climate of Trust program for law enforcement from the former Soviet Union (June 2006)
- "The Faces and State of Muslim-Jewish Relations," in conversation with Maha el Genaidi, Islamic Networks Group, with the Jewish Community Federation (May 2006)
- "Strengthening Intergroup Relations around Israel Advocacy," Jewish Council for Public Affairs National Plenum (February 2005)
- "Building Consensus on Israel in the Jewish Community," Jewish Council for Public Affairs National Plenum (February 2004)

EDUCATION

Master of Management

The Heller School for Social Policy, Brandeis University

Heller School Fellow, recipient of merit-based recruitment scholarship

May 1997 – August 1998

Bachelor of Arts

Brandeis University

Double major in Sociology and in African and Afro-American Studies, graduated *magne cum laude*

September 1993 – May 1997

OFFICE OF THE MAYOR
SAN FRANCISCO



Orig. Leg Clerk

EDWIN M. LEE
MAYOR

2016 OCT 28 PM 2:07

*C: COB, Leg Dep.
Asst City Attny
Rules Clerk,
Cpage, Ac file*

October 27, 2016

Angela Calvillo
Clerk of the Board, Board of Supervisors
San Francisco City Hall
1 Carlton B. Goodlett Place
San Francisco, CA 94102

Dear Ms. Calvillo,

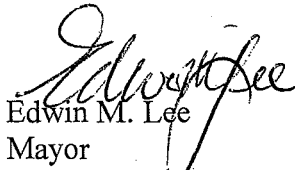
Pursuant to Section 3.100 (18) of the Charter of the City and County of San Francisco, I hereby make the following appointments:

Michael Pappas to the Human Rights Commission for a term ending September 2, 2020

I am confident that Mr. Pappas, an elector of the City and County, will continue to serve our community well. Attached are his qualifications to serve, which will demonstrate how this appointment represents the communities of interest, neighborhoods and diverse populations of the City and County of San Francisco.

Should you have any questions related to this appointment, please contact my Deputy Chief of Staff for Appointments, Francis Tsang, 415-554-6467.

Sincerely,


Edwin M. Lee
Mayor

Michael G. Pappas

Michael G. Pappas was born in Glen Ridge, New Jersey. He graduated from Dickinson College (Carlisle, PA) in 1983, after which he successively worked as a lobbyist, regional field director for a presidential campaign and investment banker for the oldest municipal bond firm in New Jersey.

In 1987, he left the world of politics & finance and enrolled at Holy Cross Greek Orthodox School of Theology (Brookline, MA) attaining an M.Div., with honors, in the class of 1992. An ordained priest of the Greek Orthodox Church, Michael served parishes in Palos Hills, IL, Stockton, CA, and San Francisco, CA.

During his sixteen-year ministry, he was a prolific writer, contributing articles to numerous religious and secular periodicals. As well, he devoted energy to work with the homeless and further ecumenical/interfaith relationships. After transitioning from parish ministry in 2007, he was selected by the San Francisco Interfaith Council to the newly created administrative post of Executive Director.

In his tenure as Executive Director Michael has helped increase the Council's budget and programs substantially; strengthened existing and cultivated new relationships with civic leaders, NGO's, judicatories and congregations; and significantly projected the SFIC through expanded use of technology.

His previous/current board memberships include: Mayoral appointments to the San Francisco Disaster Council, San Francisco Office of Civic Engagement's 2010 Census Complete Count Committee; San Francisco Assisi Sister City Committee, and San Francisco Human Rights Commission.

He has also served as a Board Member of the National Shrine of Saint Francis; Board of Directors & Program Committee Chair of the Interfaith Center at the Presidio; The San Francisco Foundation FAITHS Advisory Board; Episcopal Charities Board of Trustees; Night Ministry Advisory Board Member. Michael serves on the United Religions Initiative (URI) North America Region Leadership Council and was most recently elected by that Region to serve as a Trustee on URI's Global Council.

He traveled to Turkey as a participant in the Pacifica Institute Cultural Exchange, attended the United Religions Initiative Global Assembly in Mayapur, India; the Parliament of the World's Religions in Melbourne, Australia; spent a week in New Orleans doing disaster relief; and most recently was the guest of the Jewish Community Relations Council in their Community Leaders sojourn to Israel.

He is the father of two sons, George and Paul, and one daughter, Julia. He is a congregant at Grace Episcopal Cathedral in San Francisco, CA.

OFFICE OF THE MAYOR
SAN FRANCISCO



Orig: Leg Clerk

EDWIN M. LEE
MAYOR

2016 OCT 28 PM 2:07

C: COB, Leg-Dep
Dep City Attorney,
Rules Clerk, page
Ac file

October 27, 2016

Angela Calvillo
Clerk of the Board, Board of Supervisors
San Francisco City Hall
1 Carlton B. Goodlett Place
San Francisco, CA 94102

Dear Ms. Calvillo,

Pursuant to Section 3.100 (18) of the Charter of the City and County of San Francisco, I hereby make the following appointments:

Hala Hijazi to the Human Rights Commission for a term ending September 2, 2019, to the seat formerly held by Nazly Mohajer.

I am confident that Ms. Hijazi, an elector of the City and County, will continue to serve our community well. Attached are her qualifications to serve, which will demonstrate how this appointment represents the communities of interest, neighborhoods and diverse populations of the City and County of San Francisco.

Should you have any questions related to this appointment, please contact my Deputy Chief of Staff for Appointments, Francis Tsang, 415-554-6467.

Sincerely,

A handwritten signature in cursive script, appearing to read "Edwin M. Lee".
Edwin M. Lee
Mayor

HALA K. HIJAZI

BUSINESS DEVELOPMENT | PUBLIC POLICY | GOVERNMENT AFFAIRS

HKH Consulting, San Francisco, CA
Owner & CEO

July 2014-Present

Own and manage a small business providing leaders, corporations and non-profits with business and outreach plans to advance business, public policy and corporate social responsibility initiatives.

City and County of San Francisco, San Francisco, CA

1997-2014

Manager, Business Development, Infrastructure Division, SFPUC

2007-2014

Project Director, Office of the Mayor | DPW

2004-2007

Special Assistant to Mayor Willie L. Brown, Jr.

1997-2004

Served in various capacities, including, in the Mayor's Office of Economic Development as Project Director and Deputy Director of Marketing and in the City's Infrastructure Division as a Manager of Community Programs and Labor Relations to lead and manage political and economic initiatives and diverse constituencies. **Notable Accomplishments include:**

Public and Economic Policy Development and Implementation:

- **(\$4.6 Billion) Water System Improvement Program:** Strategize and collaborate with public officials and private businesses to create and advance workforce development policies for California's 3rd largest utility, the San Francisco's Public Utilities Commission, which provides water, power, and wastewater services and infrastructure programs. Created SFPUC's Marketing and Outreach Program for WSIP, which has resulted in **(1)** the participation of the country's top 20 construction firms, **(2)** a competitive bidding environment, thus the savings of millions of dollars, **(3)** increase in certification and participation of small/ local businesses, and **(4)** increase in job placements.
- **(\$90 Million) Old Mint Building, a National Historic Landmark:** Formulated and lobbied for the City's economic and public policy objectives, coordinated all communications and deliverables between federal, state, and local agencies, elected officials, community and business stakeholders, and media, and presented before boards and commissions, all resulting in: **(1)** Negotiated terms and securing of approvals for the 2003 Acquisition of the Old Mint from the Federal GSA and the 2006 Disposition and Development Agreement to rehabilitate and to convert the Old Mint into the City's official history museum. **(2)** Secured and managed \$4 Million in federal and state grants.
- Created marketing initiatives to revitalize underserved commercial corridors, resulting in **SF's 1st Façade Improvement Grant Program**, public/private partnerships, attraction of national retailers, and creation of jobs.
- Led fundraising, planning, and outreach and the solicitation of 2500 volunteers to produce **SF's 1st ESPN's X-Games**.
- **Propositions D & F Campaign:** Played an integral role in the passage of ballot measures to build a football stadium.
- Secured (\$6.8 Million) and negotiated the terms for **Glide Memorial Church's** acquisition of real estate for the construction of affordable housing resulting in the 2010 Opening of 149 Mason Street Housing Studios.
- **Contracts & Grants:** Drafted, issued, negotiated, and managed professional services contracts and grants.

External and Stakeholders Relations:

- **City's Chief Liaison to Regional and Federal Elected Officials, Agencies, and CBOs:** Mayor's Liaison and Proxy to Muslim & Arab Communities, Sunshine Ordinance & Old Mint Task Forces, Small Business Commission, US Conference of Mayors, Trade Missions, Chamber of Commerce, ACHP, NPS, SHPO, LISC, and other organizations.
- **Communications:** Deliver Speeches on behalf of City Officials; Write content for Mayors' speeches and memos, press releases, resolutions, constituent correspondence, and congressional letters, official positions and requests.
- **Events:** Produce and coordinate logistics for (500+ attendees), including annual City stakeholder relations events and major events, including trade missions, dignitary visits, US Conference of Mayors, Mayor's Economic Summit.

CIVIC ENGAGEMENT | SOCIAL ENTREPRENEURSHIP

Chair | District Attorney George Gascon's Arab, Middle Eastern, Muslim & South Asian (AMEMSA) Advisory Committee 2013-Present

- Identify and mobilize diverse constituencies; draft priorities, initiatives, resolutions that protect, promote, & secure human rights; Work with Mayor's Office, District Attorney's Office, Human Rights Commission, San Francisco Municipal Transportation Agency, and Board of Supervisors to set up meetings, hearings, press conferences.
- Work resulted in the 2013 & 2015 press conferences denouncing racist advertisements and statements, 2015 Human Rights Commission's Photovoice Project, and the 2016 Know Your Classmates, an anti-bullying initiative with Beyond Differences and Islamic Networks Group.

Advisor | White House Office of Public Engagement

2009-Present

- Provide WH Staff with leadership and support relating to Muslim and Arab affairs, including outreach, engagement and mobilization of national stakeholders to attend White House policy briefings and events.

Founder | Professionals VIP Network, San Francisco, CA

2002-Present

- Provide business and non-partisan political network of rising leaders **5000+**, who represent diverse constituency groups, with educational forums to access high level political and business leaders to gain insight into the political, legislative, business, and philanthropic processes and developments and to inspire them to vote.
- Over **\$1,500,000** raised by the network for philanthropic and political causes.

APPOINTMENTS | FUNDRAISING | CAMPAIGNS

Appointments | Fundraising | Campaigns, San Francisco, CA

1997-Present

- 2016-Present, Member, Credentials Committee & Finance Committee, Hillary Clinton for America
- 2015-Present, Delegate, Senate District 11, CA Democratic Party
- 2015-Present, Member, Leadership Circle, Kamala Harris for CA Senate
- 2009-Present, Member, President Obama's National Finance Committee, Democratic National Committee
- 2007-Present, Inaugural Ambassador, Millennium Network, William J. Clinton Foundation, NY
- 1997-Present, Fundraise and Campaign for Local, State, and National Candidates, Democratic Party

PROFESSIONAL AFFILIATIONS | HONORS | EDUCATION

Affiliations: 2013-Present, Political Partner, Truman National Security Project
2004-Present, Board of Directors, Emerge America & Emerge California
2010-2013, Management Committee, Zaytuna College (1st Muslim College in the United States)
2010-2012, Liaison to Elected Officials and Committee Member, Bay Area American Red Cross
2007-2011, Board of Directors, San Francisco Tenderloin Neighborhood Development Corporation
2006-2009, Board of Directors, San Francisco Art Commission Gallery Board
2004, Alumna, Leadership San Francisco, San Francisco Chamber of Commerce

Honors: 2011 Rising Star Honoree, Centennial Gala, San Francisco League of Women Voters
2011 Commendation, Professionals VIP, City and County of San Francisco Board of Supervisors
2006 Commendation, Old Mint Project, City and County of San Francisco Board of Supervisors
2004 Women of the Year Runner-Up, San Francisco Leukemia & Lymphoma Society

Education: 1993, Bachelor of Arts: Sociology (Law & Society Emphasis), University of California, Davis, Davis, CA

OFFICE OF THE MAYOR
SAN FRANCISCO



orig: Leg Clerk

EDWIN M. LEE
MAYOR

2016 OCT 28 PM 2:08
BY *[Signature]*
c: COB, Leg Dep,
Dep City Attny,
Rules Clerk
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October 27, 2016

Angela Calvillo
Clerk of the Board, Board of Supervisors
San Francisco City Hall
1 Carlton B. Goodlett Place
San Francisco, CA 94102

Dear Ms. Calvillo,

Pursuant to Section 3.100 (18) of the Charter of the City and County of San Francisco, I hereby make the following appointments:

Eva Chan to the Human Rights Commission for a term ending September 2, 2018, to the seat formerly held by Fae Woo Lee.

I am confident that Ms. Chan, an elector of the City and County, will continue to serve our community well. Attached are her qualifications to serve, which will demonstrate how this appointment represents the communities of interest, neighborhoods and diverse populations of the City and County of San Francisco.

Should you have any questions related to this appointment, please contact my Deputy Chief of Staff for Appointments, Francis Tsang, 415-554-6467.

Sincerely,

[Signature of Edwin M. Lee]
Edwin M. Lee
Mayor

EVA C. CHAN

(415) 902-9515 * 4645 Irving Street, San Francisco, CA 94122 * eva_chan@jhu.edu

EDUCATION

Harvard Law School, J.D., June 2004

- National Asian Pacific American Conference on Law and Public Policy, Co-Chair
- *Harvard Environmental Law Review*, Article Editor

Johns Hopkins University, B.A. with Honors in English (Minor in History), May 2000

- *Honors*: Phi Beta Kappa, National Merit Scholar
- Baltimore Bar Association, Legal Services to the Elderly Program, Student Volunteer

SKILLS

Extensive legal and supervisory experience in both non-profit and private sector law, including hands-on training and direct supervision of attorneys, preparing educational materials and exemplars for training programs, quality assurance and troubleshooting, interviewing attorney candidates, extensive client contact, legal research and writing, workflow management, and full case development and motion practice in a variety of practice areas.

WORK HISTORY

Positive Resource Center, San Francisco, CA

Supervising Attorney (SSI Program), March 2014-Present; *Staff Attorney*, July 2012 – March 2014

- Provide direct, culturally sensitive advocacy to vulnerable populations, including people living with HIV/AIDS and/or mental health issues, people dealing with housing instability, and immigrants.
- Balance supervisory duties with a significant benefits counseling caseload, which includes providing client-centered SSI, SSDI, and CAPI advocacy. Consult with clients on SSA, Medi-Cal, and Medicare matters.
- Prepare full case development through all administrative appeals levels for SSA caseload, including legal research, preparing clients for hearing, drafting briefs, and cross-examining experts at hearing.
- As learning coordinator, develop and present internal trainings on Social Security Regulations and case law. Obtain MCLE accreditation from California State Bar for internal trainings and maintain records from trainings for audit purposes. Update and organize physical and digital law libraries and training binder for SSI program.
- Perform outward facing trainings, including the Return to Work workshop to the public, and C-STEP on how to apply for SSDI/SSI/SDI for service providers. Conduct in-service trainings at partner organizations.
- Provide ongoing one-on-one training and supervision to staff attorneys to develop their skills, including crafting legal strategies, communicating effectively and appropriately with clients and service providers, practicing law within the harm reduction framework, drafting legal briefs, and effective advocacy at administrative hearings.
- As part of the supervisory team, reallocate caseload between attorneys, as needed. Facilitate group discussions and perform file review at weekly case conference.
- Perform quality assurance of supervisees' work, including checking database entries and reviewing work product.
- Gather information and conduct client outreach to provide support to an outside organization for a class action case on behalf of people with disabilities.
- Serve as an intermediary between staff attorneys and SSA hearing and field offices to seek clarification regarding policy changes.

Natural Resources Defense Council, San Francisco, CA

Research and Policy Intern, September 2011 – December 2011

- Researched and drafted memorandum on developer exemptions to the California Environmental Quality Act.
- Analyzed and mapped cancer risk and particulate matter exposure of affordable housing in the Bay Area to establish the nexus between economic justice and environmental justice issues.

Winston & Strawn LLP, San Francisco, CA

Litigation Associate, November 2008 – April 2011

- General business litigation experience, including helping clients pursue counterclaims for copyright infringement, violations of the Computer Fraud and Abuse Act, false advertising, trade libel, trespass to chattels, and unfair business practices.
- White collar criminal defense experience including Federal wiretapping and fraud investigations related to military procurement contracts. Executed FOIA requests related to military procurement contracts.
- Criminal antitrust defense experience, including responding to DOJ investigation into citrus imports.
- Civil antitrust experience including defense of individual and class actions related to claims of monopolization, attempted monopolization, illegal tying, and price fixing.
- Pro bono representation as part of a team representing a class of foster children alleging due process violations in Sacramento County.
- Pro bono representation through the appeals process of SSDI and SSI claims on behalf of disabled clients.
- Member of Winston's electronic discovery committee. Drafted Antitrust updates for reports of the Public Utility, Communications, and Transportation Section of the American Bar Association.

Thelen LLP, San Francisco, CA

Commercial Litigation Associate, September 2004 – November 2008; *Summer Associate*, June 2003 – August 2003

- Criminal antitrust defense experience, including responding to DOJ bid-rigging investigations related to natural gas pipelines and price-fixing allegations.
- Civil antitrust experience including investigation and defense of individual and class actions related to claims of monopolization, attempted monopolization, and price fixing. Conducted investigation into corporate client's potential claims for monopolization and attempted monopolization against a competitor.
- Environmental and toxic tort litigation experience, including investigation and defense of municipality's claims related to MTBE contamination and personal injury and wrongful death claims related to chemical exposure.
- Complex class action experience in defective product class actions.
- Pro bono representation, including political asylum applications and defending tenants from wrongful eviction.

Electronic Frontier Foundation, San Francisco, CA

Clinical Intern (in conjunction with Harvard Law School), January 2004

- Conducted legislative research on USA PATRIOT Act. Drafted portion of *amicus curiae* brief related to DirecTV Smart Card lawsuit and brief related to Diebold voting technology and First Amendment issues.

Berkman Center for Internet & Society, Cambridge, MA

Clinical Student, Spring 2003

- Drafted sample open source licensing agreement for an online software library.
- Researched and drafted a privacy policy for the Harvard Blogging Initiative.
- Provided legal analysis regarding cease and desist letters submitted to the Chilling Effects Clearinghouse.

Office of the Public Defender, San Francisco, CA

Intern, Summer 2002

- Researched and drafted motions requesting dismissal of criminal charges due to lack of probable cause and motions requesting discovery of police officer personnel records.
- Drafted sentencing recommendations and detailed investigation requests. Conducted client interviews and explained criminal charges and potential penalties to clients.

INTERESTS

Museums, San Francisco History, and Independent Film

OFFICE OF THE MAYOR
SAN FRANCISCO



orig: Leg Clerk

EDWIN M. LEE
MAYOR

2016 OCT 28 PM 2:07 c: COB, Leg Sep.

at ELB City Atty.
Rules Clerk, e page
Ac file

October 27, 2016

Angela Calvillo
Clerk of the Board, Board of Supervisors
San Francisco City Hall
1 Carlton B. Goodlett Place
San Francisco, CA 94102

Dear Ms. Calvillo,

Pursuant to Section 3.100 (18) of the Charter of the City and County of San Francisco, I hereby make the following appointments:

Theodore Ellington to the Human Rights Commission for a term ending August 14, 2018, to the seat formerly held by Sheryl Evans Davis.

I am confident that Mr. Ellington, an elector of the City and County, will continue to serve our community well. Attached are his qualifications to serve, which will demonstrate how this appointment represents the communities of interest, neighborhoods and diverse populations of the City and County of San Francisco.

Should you have any questions related to this appointment, please contact my Deputy Chief of Staff for Appointments, Francis Tsang, 415-554-6467.

Sincerely,

A handwritten signature in cursive script, appearing to read "Edwin M. Lee".
Edwin M. Lee
Mayor

Theodore Grant Ellington

PO BOX 24380 SF, CA 94124 ♦ Ellingtontheo@gmail.com
310-347-8447

OVERVIEW

I'm adept in providing a wide range of guidance on policy, political strategy, and directing neighborhood specific engagement. Additionally, I thrive when undertaking the role of both enhancing and creating new relationships with key stakeholders. I seek a role where I can use my high political acumen and skills as a community organizer to direct good public policy for SF industries.

EXPERIENCE

GOLDEN STATE WARRIORS, *Director, Public Affairs* July 2014 – Current

- Serve as the organizations day-to-day liaison for the community residents, neighborhood associations, city departments, and elected officials and their respective offices—pertaining to SF new arena.
- Use SF policy issues to develop and implement the organizations community engagement strategy related to the new arena.
- Lead a team of consultants to implement political strategies developed by public affairs and public relations departments.

AIR ENVY, *Director of Neighborhoods* Jan 2013 – July 2014

- Developed management service program for users of online room and home sharing applications.
- Managed and coordinated guest and cleaning services for 25 individual properties across San Francisco.
- Consulted directly with the CEO and management team about company operations, procedures, and product development.

URBAN ED ACADEMY, *Director* July 2012 – Aug 2013

- Worked directly with the founder and other community stakeholders to develop academic and enrichment programming for 500 elementary aged male students.
- Facilitated 501c3 filing process, developed social media content strategy, and expanded direct mail outreach for the organization.
- Served as staff liaison between school district management and the organization.

SALON MEDIA GROUP, *Community Organizer* Nov 2011 – July 2012

- Developed community organizing and communications strategy for a membership base of over ten thousand subscribers.
- Planned and organized community events, book launch events, and small performances for membership.
- Worked directly with CEO and Communications Director to ensure consistency in messaging to match the political cultural of the organization.

DENNIS HERRERA FOR MAYOR, *Field Organizer*

May 2011 – Nov 2011

- Strengthened the field strategy in SF Districts 9 & 10 by working directly with the campaign manager and consultants.
- Planned and organized community events for the candidate, in addition to providing talking points for each event.
- Organized, trained, and recruited volunteers for events, mobilizations, and phone banks.

MALIA COHEN FOR D10 SUPERVISOR, *Field Organizer*

Jan 2010 – Nov 2010

- Worked directly with the campaign manager and candidate to recruit and train volunteers to canvass, phone bank, and support other campaign operations.
- Built relationships with community organizations, local unions, and community allies to further implement the strategy and vision of the campaign.
- Managed phone banks and neighborhood walks.

EDUCATION / LEADERSHIP DEVELOPMENT

University of San Francisco

2013 – 2016

Candidate for Master of Arts in Urban Affairs

Leadership San Francisco

2015 - 2016

San Francisco Chamber of Commerce

Notre Dame de Namur University

2008 – 2011

Bachelor of Arts in Political Science with Business Admin Minor

BOARDS AND COMMISSIONS

Office of Community Investment and Infrastructure

2012 – 2014

- Appointed by Mayor Ed Lee to provide oversight for three major development projects in San Francisco—Mission Bay, Transbay Terminal, and Hunters Point Shipyard.
- Govern and review property management contracts, design review, land-use regulations, and bond and loan disbursements.

SOUTHEAST COMMUNITY FACILITY

2011 – 2013

- Appointed by Mayor Gavin Newsom to oversee and develop programming pertaining to the general health, economic development, safety and education for the southeast sector of the city.
- Chaired the Health and Housing Committee to plan the annual health fair and advocate for affordable housing.

YOUTH COMMISSION, *Vice-Chair*

2012 –2013

- Appointed by Mayor Gavin Newsom to facilitate conversations between commission members and the Mayor's Office, Board of Supervisors, and other city agencies on issues pertaining to youth.
- Passed a resolution to provide free or reduced price bus passes for low-income youth.

OFFICE OF THE MAYOR
SAN FRANCISCO



EDWIN M. LEE
MAYOR

October 26, 2016

ORIG: Rules

C: COB, Leg. Dep.,

Dep. City Atty., C-page

ACFile

Angela Calvillo
Clerk of the Board, Board of Supervisors
San Francisco City Hall
1 Carlton B. Goodlett Place
San Francisco, CA 94102

Dear Ms. Calvillo,

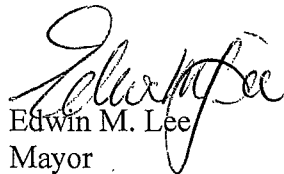
Pursuant to the Section 3.100 (18) of the Charter of the City and County of San Francisco, I hereby make the following appointment:

Lydia So, to the Arts Commission, filling the seat formerly held by Cass Calder Smith, for a term ending January 15, 2017.

I am confident that Ms. So will serve our community well. Attached are her qualifications to serve, which demonstrate how this appointment represents the communities of interest, neighborhoods and diverse populations of the City and County of San Francisco.

Should you have any questions related to this appointment, please contact my Deputy Chief of Staff for Appointments, Francis Tsang, at 415-554-6467.

Sincerely,


Edwin M. Lee
Mayor

RECEIVED
SANDRA P. SUTHERLAND
SAN FRANCISCO
2016 OCT 26 PM 2:34
12



SOLYD Architecture, Management and Design

SOLYD has over 16 years of experience in delivering high quality architectural design and management services to commercial clients including office, retail, healthcare, wellness, and as well as private residences. We are a multi-faceted architectural, management and design studio. We develop technology platform solutions alongside traditional architectural design services, blending ideas from each to creating unique and successful solutions for our clients.

SOLYD is a professional practice established in 2015. It is supported by a collective of practitioners which are hand-selected for each project based on expertise and availability. Lydia So is the Founder/Principal. We will draw from previous experience in the building to make this project a success.

Lydia So, Architect, LEED AP

Lydia is a licensed architect with 16 years experience. She held a design management position at Apple, had a nine years experience as an Associate at Skidmore, Owings & Merrill honing her design skills and trailblazing the technical aspects of architecture practice historically dominated by mens. She practiced architectural design under Bohlin Cywinski Jackson. Her global experience includes some of the world's tallest buildings, most advanced life science buildings and the world's first all glass spiral staircase.

Credentials and Education

Registered Architect, CA C-31721

LEED Accredited Professional, 2003-present

Member of American Institute of Architects, 2007-2015

Bachelor of Architecture, Business Administration Minor, Dean's List, UNIVERSITY OF OREGON

Portland Urban Architecture Center, Eugene, OR

Leadership and Management Course Series, APPLE UNIVERSITY, 2013-2014

Experience

Principal, Founder, SOLYD Architecture, Management and Design (Woman-owned WBE), 2015-current

Design Manager, Retail Real Estate and Development, APPLE, Inc., 2012-2014

Associate, Senior Technical Project Architect, Skidmore, Owings & Merrill LLP (SOM), 2004-2012

Architectural Designer, Bohlin Cywinski Jackson, 2001-2004

Community Affiliations

Contributing Member, AIA San Francisco - Small Business Committee, 2015-present

Member, Asian American Architects/ Engineers Association

Volunteer, Harbor House, San Francisco Rotary Club, 2015

USA Representative, the Italy Stone Conference in Carrara, Italy, 2009

Guest Critic, Advanced Architecture Studio, California College of the Arts, 2009



SOLYD

Notable Projects and Awards

Video at <http://meetsolyd.com/projects/>

North America:

Noe Valley Residence, San Francisco, California

Design Principal and Construction Project Management

Construction bidding phase, major envelope expansion and addition, 2-story high

Salcedo Residence, Napa, California

Design Principal

In design phase, major envelope expansion and addition, 2-story high

Kim Tsai Residence, San Francisco, California

Design Principal

In design phase, interior renovation, 2-story high

mongolab Office Headquarter, San Francisco, California

Project and design management and consulting. 2015 completed renovation

California Pacific Medical Center Hospital, San Francisco, California

*Project Architect**

Under construction, 1.1 million sq. ft., 316 ft. high

2009 AIA San Francisco, Honor Award – Integrated Project Delivery

University of California San Francisco, Sandler Neurosciences Center, Mission Bay, San Francisco, California

*Associate, Senior Project Architect**

237,000 sq. ft., 119 ft. high, 5-story building

LEED Silver certified, 2013 Design-Build Institute of America. Design-Build Award-Regional

Pixar Animation Studios, Phase II, Emeryville, California

*Architectural Designer**

2001 East Bay Business Times Structures Awards. Best Interior Architectural Design

222 Main mixed use office tower, Salt Lake City, Utah

*Associate, Senior Project Architect**

800,000 sq. ft., 313 ft. high, 22-story building. First LEED Gold certified office building in SLC

Asia Pacific:

China World Trade Center, Beijing, China

*Project Architect - exterior skin design**

3 million sq. ft., 1,083 ft. high, 74-story building. Tallest skyscraper in Beijing

Apple Shinsaibashi, Osaka, Japan

*Design Architect**

First all glass spiral staircase invented

*Work completed at previous firm.

From: Reports, Controller (CON)
Sent: Monday, October 03, 2016 4:43 PM
To: Calvillo, Angela (BOS); Gosiengfiao, Rachel (BOS); BOS-Supervisors; BOS-Legislative Aides; Kawa, Steve (MYR); Howard, Kate (MYR); Steeves, Asja (CON); Campbell, Severin (BUD); Newman, Debra (BUD); Rose, Harvey (BUD); SF Docs (LIB); CON-EVERYONE; MYR-ALL Department Heads
Subject: Issued: Report on the Status of Civil Grand Jury Recommendations FY14-15

As required by the San Francisco Administrative Code, Section 2.10, the Office of the Controller (Controller) has updated the implementation status of the San Francisco Civil Grand Jury's recommendations. The Controller tracks each recommendation until the respondent indicates that an agreed-to-be-implemented recommendation is fully implemented or abandoned because it is no longer reasonable or warranted. The updates for fiscal years 2003-04 through 2014-15 are posted on the Controller's website, located at <http://sfcontroller.org/status-civil-grand-jury-recommendations>.

This is a send-only e-mail address.

For questions about the report, please contact Director of City Audits Tonia Lediju at Tonia.Lediju@sfgov.org or 415-554-5393 or the CSA Audits Unit at 415-554-7469.



CITY AND COUNTY OF SAN FRANCISCO
OFFICE OF THE CONTROLLER

Ben Rosenfield
Controller
Todd Rydstrom
Deputy Controller

October 3, 2016

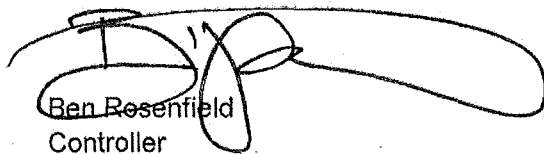
Board of Supervisors
City and County of San Francisco
City Hall, Room 244
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

President and Members:

As required by the San Francisco Administrative Code, Section 2.10, the Office of the Controller (Controller) has updated the status of the implementation of the recommendations of the San Francisco Civil Grand Jury.

The Controller will continue to track the Civil Grand Jury's recommendations until the respondent indicates that an agreed-to-be-implemented recommendation is fully implemented or abandoned because it is no longer reasonable or warranted. The updates for fiscal years 2003-04 through 2014-15 are posted on the Controller's website, located at <http://sfcontroller.org/status-civil-grand-jury-recommendations>.

Respectfully submitted,


Ben Rosenfield
Controller

cc: Mayor
Civil Grand Jury
Budget Analyst
Public Library

Office of the Controller

Status of Civil Grand Jury Recommendations

As required by Section 2.10 of the San Francisco Administrative Code, the Office of the Controller reports to the Board of Supervisors on the status of the implementation of the recommendations of the San Francisco Civil Grand Jury.

As requested by the fiscal year 2005-06 San Francisco Civil Grand Jury, this office is posting our tracking document online and updating this working document as new information becomes available. The following are reports on status of responses to the Civil Grand Jury recommendations by fiscal year. The reports show whether each recommendation is:

- (a) Fully Implemented
- (b) Still in process of being implemented
- (c) Requires further study
- (d) Abandoned because they are no longer relevant or feasible.

The Office of the Controller compiled the responses submitted by the departments. The responses were copied directly from documents submitted by the departments and were not edited for any typographical errors.

2016 Report on Status of Civil Grand Jury Recommendations Cover Letter (PDF)

The following reports were updated as of October 2016:

2014-2015 Status of Civil Grand Jury Recommendations (PDF)
 2013-2014 Status of Civil Grand Jury Recommendations (PDF)
 2012-2013 Status of Civil Grand Jury Recommendations (PDF)
 2011-2012 Status of Civil Grand Jury Recommendations (PDF)
 2010-2011 Status of Civil Grand Jury Recommendations (PDF)
 2009-2010 Status of Civil Grand Jury Recommendations (PDF)
 2008-2009 Status of Civil Grand Jury Recommendations (PDF)
 2007-2008 Status of Civil Grand Jury Recommendations (PDF)
 2006-2007 Status of Civil Grand Jury Recommendations (PDF)
 2005-2006 Status of Civil Grand Jury Recommendations (PDF)
 2004-2005 Status of Civil Grand Jury Recommendations (PDF)
 2003-2004 Status of Civil Grand Jury Recommendations (PDF)

CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ¹⁶	2016 Response Text
2012-13	Are the Wheels Moving Forward?	1.1. Bicycle safety education should be continued, expanded and extended to non-cyclists and motorists.	San Francisco Municipal Transportation Agency	Recommendation Implemented	In addition to the numerous bicycle safety courses described in the Civil Grand Jury Report, the SFMTA has implemented the additional safety education initiatives in 2013 and 2014: increased taxi driver training programs, transit operator education programs, distribution of foldable bicycle map and bicycle guides that includes key safety messages, distribution of bicycle lights and bells. In 2014, the SFMTA also partnered with the Mayor's Office of the Be Nice Look Twice educational campaign. The SFMTA continues to roll out and expand our safety education programs to an increasingly diverse population.	"	
2012-13	Are the Wheels Moving Forward?	1.2. SFMTA should collaborate with SFBC to include SFBC flyers that promote and provide bicycle education in SFMTA Renewal Residential Parking Permit packets.	San Francisco Municipal Transportation Agency	Will Not be Implemented: Not Warranted or Not Reasonable	Recommendation will not be implemented as it would be administratively and financially burdensome. The residential permit parking permit mailings are sent using an automated process that is not conducive to including external materials.	"	
2012-13	Are the Wheels Moving Forward?	1.3. Provide incentives to participants who complete SFBC Urban Bicycling Workshops in order to increase enrollment. Incentives could include SFMTA's City Pass, MUNI Passport or Clipper Card.	San Francisco Municipal Transportation Agency	Will Not be Implemented: Not Warranted or Not Reasonable	Recommendation will not be implemented because classes are already oversubscribed and additional incentives for attendance are not needed. Rather, efforts should focus on identifying additional grant funds to expand the reach of classes.	"	
2012-13	Are the Wheels Moving Forward?	1.4. Publicize classes and promote safe roadway behavior (share the road, obey traffic laws, etc.) on banners, billboards, and signs throughout the City, including MUNI bus stop shelters and the sides of MUNI vehicles.	San Francisco Municipal Transportation Agency	Recommendation Implemented	The Mayor's Office Developed a "Be Nice Look Twice" safety educational campaign with includes safety messages in MUNI stations and on buses. Messages encourage motorists to drive careful and to look out for others, including people riding bikes. Other initiatives may be developed in the future.	"	
2012-13	Are the Wheels Moving Forward?	1.5. Offer bicycle-training courses to private San Francisco businesses.	San Francisco Municipal Transportation Agency	Recommendation Implemented	The SFMTA has launched a new Commute by Bike pilot program targeting employers and employees. The program is funded through a Transportation for Clean Air (TFCA) grant and contracts with the SFBC to provide bicycle training classes and technical assistance to employers. The program will start with 75 companies, totaling 7500 employees, in 4-5 neighborhoods throughout the city. The program will include bicycle safety classes, online contests to encourage bicycle use, and an evaluation to determine how best to design and expand similar programs in the future.	"	
2012-13	Are the Wheels Moving Forward?	2.1. SFPD should expand training related to bicycle safety and enforcement and implement the following:	San Francisco Police Department	Recommendation Implemented	Had been implemented prior to the original report's release.	"	
2012-13	Are the Wheels Moving Forward?	2.2. SFPD should establish a comprehensive bicycle safety training program for new recruit officers, as well as ongoing bicycle training in its continuing education program for police officers, i.e., a stand-alone class reviewing California Vehicle Code and Traffic Code provisions specific to bicycling	San Francisco Police Department	Recommendation Implemented	Had been implemented prior to the original report's release. The Police Department currently has bicycle safety training, and has had such for many years, including recertification training for officers as outlined below: • Recruit officers receive 16 hours of traffic enforcement training. As part of the 16 hours, there is one hour of training specific to bicycle enforcement. • Recruit officers receive 40 hours of traffic collision investigation. Within that time, bicycle enforcement is discussed at specific points of the instruction. • From 2001 to present, approximately 320 members have been certified in bicycle operations through a three day in-house education/training course. An additional 260 members have been recertified through a one day refresher course.	"	
2012-13	Are the Wheels Moving Forward?	2.3. SFPD should create an updated bicycle safety video modeled on Chicago's "Traffic Enforcement for Bicycle Safety" that includes all California Vehicle Codes and Traffic Codes related to bicycles.	San Francisco Police Department	Will Be Implemented in the Future	The Police Department continues to work with the Bicycle Coalition on the production of a bicycle safety video. Once the content is scripted and mutually agreed upon, production of the video will be scheduled.	Recommendation Implemented	On February 24, 2014, the SFPD created a video in coordination with the SF Bicycle Coalition, Walk SF, and other stakeholders in regard to traffic safety. The video addresses all safe bicycling and enforcement concerns and all those involved with the production approved of the final product. An abbreviated form of the video was produced and released to the public for further awareness. The SFPD made this video part of required training for all officers and by June 2015, all members of the department had acknowledged receipt of the training. In 2016, the SFPD will release the training again as an update; however, there have been no changes to any of the laws addressed in the video.
2012-13	Are the Wheels Moving Forward?	3. SFPD should update the citation form to include a category for bicycle infractions.	San Francisco Police Department	Recommendation Implemented	Had been implemented prior to the original response, July 2013.	"	
2012-13	Are the Wheels Moving Forward?	4.1. The Mayor and the Board of Supervisors should support SFPD efforts to successfully enforce roadway laws by adopting a San Francisco Bicycle Enforcement Safety Agreement that would pursue the goals of zero bicycle fatalities and a 50% annual reduction in bicycle collisions.	Mayor	Will Be Implemented in the Future	See response to 4.2	Recommendation Implemented	See response to 4.2
2012-13	Are the Wheels Moving Forward?	4.1. The Mayor and the Board of Supervisors should support SFPD efforts to successfully enforce roadway laws by adopting a San Francisco Bicycle Enforcement Safety Agreement that would pursue the goals of zero bicycle fatalities and a 50% annual reduction in bicycle collisions.	Board of Supervisors	Will Be Implemented in the Future	Board of Supervisors reports that it has not implemented but will implement Recommendation 4.1 within six months of the publication of the Civil Grand Jury report, from June 10, 2013 to no later than December 10, 2013. (File No. 130602 Resolution No. 338-13)	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference in Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On March 13, 2014, the Board held a hearing with Police Department and the Municipal Transportation Agency to investigate the recommendation and the departments position and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2015 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2012-13	Are the Wheels Moving Forward?	4.1. The Mayor and the Board of Supervisors should support SFPD efforts to successfully enforce roadway laws by adopting a San Francisco Bicycle Enforcement Safety Agreement that would pursue the goals of zero bicycle fatalities and a 50% annual reduction in bicycle collisions.	San Francisco Police Department	Will Not be Implemented: Not Warranted or Not Reasonable	No response on the part of the Police Department as this is specific to the Mayor and Board of Supervisors.	"	

(1) "" Response not required; Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2012-13

CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2012-13	Are the Wheels Moving Forward?	4.2. Through collaboration with SFPD, BAC, and SFMTA the City should build an Enforcement Safety Campaign around the goals in Recommendation 10 and alert the public to the SFPD enforcement plan that will follow.	Mayor	Will Be Implemented in the Future	In response to the recommendations contained in the "Are the Wheels Moving Forward?" Civil Grand Jury report, the Mayor's Office asked the SFMTA, in conjunction with the Department of Public Health, to convene a working group comprised of city agencies focused on bicycle crash analysis and solutions. As mentioned in the SFMTA response, "This group aims to establish the locations where data demonstrates the highest number and/or severity of traffic collisions involving bicyclists, and make recommendations for engineering, education, enforcement and evaluation actions. This effort parallels the analysis and planning work that has already been done for pedestrian crashes through the Pedestrian Safety Task Force." This March, the SFMTA will convene a larger steering committee to review the analysis and assemble the recommendations. Additionally, the Mayor, along with SFMTA, SFPD, and the Fire Department announced a new "Be Nice, Look Twice" public awareness campaign. Launched last month, the campaign will remind all road users to not only slow down and pay more attention to their surroundings, but also help and care for one another as we all travel San Francisco's streets and sidewalks. In addition to the new public awareness campaign, the SFPD will increase enforcement on City streets. The SFPD will target 50 intersections Citywide, leveraging the latest City data to identify and target hotspots. All 10 district stations will participate in the increased enforcement.	Recommendation Implemented	In 2015, SFMTA and SFPD, in partnership with bicycle advocates and safety stakeholders, launched new bicycle safety classes for adults and students at SFUSD Middle Schools and High Schools. A new outreach and education program that will reach cyclists of all availability was also developed. Additionally, training videos, geared toward training drivers of large vehicles, trucks, and passenger vehicles were released and have been viewed by thousands of individuals. SFMTA further deepened their partnership with SFPD around back-to-school safety and outlining an anti-speeding program that is fully funded and launching in 2016.
2012-13	Are the Wheels Moving Forward?	4.2. Through collaboration with SFPD, BAC, and SFMTA the City should build an Enforcement Safety Campaign around the goals in Recommendation 10 and alert the public to the SFPD enforcement plan that will follow.	Board of Supervisors	Requires Further Analysis	Board of Supervisors reports that it requires further analysis for Recommendation 4.2 for reasons as follows: the Board will evaluate what collaboration with the SFPD, Bicycle Advisory Committee, and SFMTA would look like; and conduct this evaluation within six months of the publication of the Civil Grand Jury report, from June 10, 2013 to no later than December 10, 2013. (File No. 130602 Resolution No. 338-13)	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference in Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On March 13, 2014, the Board held a hearing with Police Department and the Municipal Transportation Agency to investigate the recommendation and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 939.02(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2012-13	Are the Wheels Moving Forward?	4.2. Through collaboration with SFPD, BAC, and SFMTA the City should build an Enforcement Safety Campaign around the goals in Recommendation 10 and alert the public to the SFPD enforcement plan that will follow.	San Francisco Police Department	Will Be Implemented in the Future	Implemented: The SFPD has initiated numerous enforcement operations to address transit safety in the City. Those operations have been data driven. Our primary enforcement effort is entitled "Focus on the Five" which directs our resources to the top five problematic intersection in each of the 10 police districts. This effort also directs our officers to focus their efforts on observing and issuing citations for the top five traffic violations that are the primary cause of traffic collisions (speeding, red light running, stop sign violations, drivers that fail to yield to pedestrians in crosswalks and failing to yield while making left or u-turns). The SFPD and SFMTA have distributed reports to the public that identifies the most problematic areas of the city as it relates to traffic collisions. The SFPD district stations regularly inform their respective communities of enforcement efforts. We routinely coordinate enforcement efforts with the media in an effort to use these enforcement operations as educational opportunities. Through these collaborative efforts with the media, we highlight the problematic behavior as it relates to transit safety, showcasing the enforcement efforts which will ultimately change behavior. The SFPD regularly attends BAC meetings and advise of our enforcement efforts. In January 2014, the SFPD representative attended a meeting of BAC to address concerns on enforcement efforts. Still to be implemented: A collaborative "Enforcement Safety Campaign" with input from BAC is still in the works.	Recommendation Implemented	Implemented: Although the collaborative "Enforcement Safety Campaign" with input from BAC is still in the works, a large-scale educational campaign will begin in 2016 with SF MTA in the lead. The SFPD continues to work with additional agencies to provide information to the public. DPH publishes a collision map on their public website, "Transbase," which includes the citywide high-injury locations. In addition, the SFPD maintains a strong partnership with the California Highway Patrol to provide educational resources to the community as well as participating in enforcement operations. The SFPD maintains a Twitter accounts, @SFTrafficSafety to keep the community apprised of traffic-related issues. Media outlets are invited to participate in "ride-alongs" with officers to demonstrate to the public how to be safe on our roadways. Additional outreach is conducted targeted more vulnerable populations including children, students, elderly, bicyclists, and pedestrians. The SFPD publishes our monthly statistics, including citations issued and collision totals, on our website.
2012-13	Are the Wheels Moving Forward?	4.2. Through collaboration with SFPD, BAC, and SFMTA the City should build an Enforcement Safety Campaign around the goals in Recommendation 10 and alert the public to the SFPD enforcement plan that will follow.	Bicycle Advisory Committee	--	Agency elected not to respond.	--	Agency elected not to respond.
2012-13	Are the Wheels Moving Forward?	4.2. Through collaboration with SFPD, BAC, and SFMTA the City should build an Enforcement Safety Campaign around the goals in Recommendation 10 and alert the public to the SFPD enforcement plan that will follow.	San Francisco Municipal Transportation Agency	Will Be Implemented in the Future	The SFMTA is a partner in the Vision Zero Task Force, which is developing solutions to reducing the number of fatalities on San Francisco Streets to Zero. The SFMTA is a committed partner and is able to provide guidance on effective enforcement strategies. This effort will likely include enforcement recommendations to improve bicycle safety to be implemented by the SFPD.	Recommendation Implemented	In 2015, SFMTA, in partnership with SFPD, bicycle advocates and safety stakeholders launched new bicycle safety classes for adults and students at SFUSD Middle Schools and High Schools. A new outreach and education program that will reach cyclists of all availability was also developed. Additionally, training videos, geared toward training drivers of large vehicles, trucks, and passenger vehicles were released and have been viewed by thousands of individuals. SFMTA further deepened their partnership with SFPD around back-to-school safety and outlining an anti-speeding program that is fully funded and launching in 2016.
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	1.1 The CSA include department inputs measures in the APMR.	Controller	Recommendation Implemented	Continuous improvement in the use of performance data in the measurement and management of the City are key program goals. Each year, CSA works to bring additional input, output and unit cost measures into the APMR, with new measures added and removed each year. Over 90 input measures are currently tracked in the system. Further, it should be noted that the APMR is not the only tool used to provide this information to the public. During this past year, we also released an interactive website, SF OpenBook, that gives the public access to a large amount of the City's financial, economic, demographic, and performance information, with plans to expand and better integrate this data in the current and future fiscal years. We believe that, over time, this tool will become a better platform for providing performance, financial, and other information to the public than the APMR.	**	
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	1.2 The CSA include department per capita cost calculations in the APMR.	Controller	Recommendation Implemented	Per Capita Measures are not formally mandated or mentioned in Appendix F. As noted in the Grand Jury Report, "Reporting per capita costs for cities that may have different service levels (outputs) has the potential to be misleading." Similarly, comparing departmental efficiency according to their per capita costs could be inaccurate when different types of services and costs are provided. We do believe, however, that per capita cost information, properly presented, can provide helpful information to both the public and City management, and have incorporated these high-level measures into our regular benchmarking reports. We will explore the incorporation of per capita cost calculations in other public reporting, potentially including the APMR, SF OpenBook, or other reporting formats.	**	
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	1.3 The CSA include department outputs measures in the APMR.	Controller	Recommendation Implemented	Continuous improvement in the use of performance data in the measurement and management of the City are key program goals. Each year, CSA works to bring additional input, output and unit cost measures into the APMR, with new measures added and removed each year. Over 100 output measures are currently tracked in the system. Further, it should be noted that the APMR is not the only tool used to provide this information to the public. During this past year, we also released an interactive website, SF OpenBook, that gives the public access to a large amount of the City's financial, economic, demographic, and performance information, with plans to expand and better integrate this data in the current and future fiscal years. We believe that, over time, this tool will become a better platform for providing performance, financial, and other information to the public than the APMR.	**	

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	1.4 The CSA report cost- output labor measures (cost per unit of output or the units of service provided per full time equivalent employee)	Controller	Recommendation Implemented	Continuous improvement in the use of performance data in the measurement and management of the City are key program goals. Each year, CSA works to bring additional input, output and unit cost measures into the APMR, with new measures added and removed each year. Currently, 16 departments now have labor efficiency measures tracked in the system. Further, it should be noted that the APMR is not the only tool used to provide this information to the public. During this past year, we also released an interactive website, SF OpenBook, that gives the public access to a large amount of the City's financial, economic, demographic, and performance information, with plans to expand and better integrate this data in the current and future fiscal years. We believe that, over time, this tool will become a better platform for providing performance, financial, and other information to the public than the APMR.	**	
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	2. The CSA continue to audit the accuracy of reported performance measures in the APMR to ensure an improved error rate that is acceptable to the Citizens' General Obligation Bond Oversight Committee	Controller	Recommendation Implemented	We concur that continuous work to ensure and improve the accuracy of department-reported performance measures is an important component of the program. The referenced audit of performance measures from the APMR was only a small sample of CSA's work to improve the validity and relevance of performance measures included in the APMR. CSA has since completed our validation effort, which has included review of data from all city departments. CSA has also completed a follow up re-examining all the measures which failed to meet data accuracy standards and setting out an action plan for improving performance measure validity and relevance, and plan to perform continued validation work in the year ahead.	**	
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	2. The CSA continue to audit the accuracy of reported performance measures in the APMR to ensure an improved error rate that is acceptable to the Citizens' General Obligation Bond Oversight Committee	Citizens' General Obligation Bond Oversight Committee	Will Be Implemented in the Future	CGOBOC will work with the Controller's Office City Services Auditor to ensure that the performance measures provided by the Departments are as accurate and consistent as possible. We believe that more time is needed in order to better understand and provide feedback on quantifiable reporting. The Committee liaison(s) will review the Performance Measure integrity with the Controller's Office staff and provide helpful suggestions to CGOBOC on suggested improvements.	Recommendation Implemented	CSA's Performance Management program has an ongoing quality control effort that includes checks on the accuracy and source data for measures in the APMR on a sample basis. During FY16 the new Performance Scorecards project set up verification and updating of a selection of critical measures. Error rates overall are improved with these efforts. The CGOBOC liaisons have been briefed on these programs.
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	3. The CSA eliminate performance measures from department performance measurement reports that do not meet the GASB SEA qualitative characteristics (relevance, understandable, comparable) and are inconsistent with the legislative intent of Appendix F."	Controller	Will Not Be Implemented: Not Warranted or Not Reasonable	CSA's performance programs includes updating department performance measure sets by working with every department to remove outdated and unused measures and to add new measures that more accurately reflect the work done by departments. The GASB SEA qualitative characteristics are a good starting point for the development of performance measures, but the GASB structure is not the only format that can be used for the development of performance measures. While CSA has the ability to influence department performance measure sets the departments are ultimately responsible for development of performance measures. In addition we believe that BPMS and other platforms should continue to be able to be used by departments to report a variety of types of measures.	**	
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	4.1 The CSA spend and staff to a level that will allow it to fulfill all of the requirements of Appendix F and remain within the dedicated source of revenue under Sec. F1.100(a)(9).	Controller	Recommendation Implemented	As noted above, we believe that we are fulfilling all Charter mandates. At the same time, we are filling vacancies in FY14 and additional staff hours will be made available for the performance program.	**	
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	4.2 The Citizens' General Obligation Bond Oversight Committee monitor open positions and spending in the CSA to ensure the CSA has adequate staff and consultant resources to ensure that all of the requirements of Appendix F are being achieved.	Citizens' General Obligation Bond Oversight Committee	Recommendation Implemented	The Controller's Office has agreed to incorporate staff mg level and other resource data into their regular reports to CGOBOC so we can monitor this issue.	**	
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	5. The CSA utilize industry standard outputs measures when preparing benchmarking reports.	Controller	Recommendation Implemented	We have published benchmarking reports as follows: Streets and Roads, Jail Population, Library Services, Recreation and Park, Public Transportation. In each of these used industry standards and existing databases. Each benchmark report has been developed using research on industry standard measures. Benchmarks are subject to the availability and comparability of data.	**	
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	6. CSA benchmarking reports provide data that enable the reader to determine that peer cities in the report are providing comparable services (outputs) to San Francisco.	Controller	Recommendation Implemented	Each benchmarking report includes a detailed explanation of the methodology used to determine comparable jurisdictions, and in most cases a formal calculation of a "likeness score."	**	
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	7. Benchmarking reports prepared by the CSA report labor efficiency in the manner prescribed by Sec. F1.101(a)(2).	Controller	Recommendation Implemented	We also believe that unit cost measures are important and have included them in our benchmarking efforts, as possible and appropriate - it is not feasible to always include specific types of measures due to the variation in industry benchmarks and the availability of data. The Street Maintenance report included expenditures per road repavement mile and expenditures per street tree. The Jail report included cost per jail day. The Library report included cost per borrower and program attendees per \$1000 in expenditures. Other efficiency measures included Number of Potholes "Repaired Yearly (in Thousands per Pothole Crew FTE)", "Street Trees Pruned Annually per Tree Maintenance FTE", and other measures. We will continue to work to include efficiency measures in future benchmarking and other reporting. We concur that comparability is an important consideration in benchmarking work. Appendix F, Section 101 states that CSA shall review benchmarks and conduct comparisons of agencies performing similar functions. In each of CSA's published benchmarking reports similar services are compared. Where exceptions were found clarifying context is also researched and included in the report. Both the Jail and Library services reports provided general descriptions of the similarities and differences of the comparison cities, with similar information provided in all future reports.	**	
2012-13	Auditing the City Services Auditor: You Can Only Manage What You Measure	8. The CSA benchmark the City's general fund departments prior to benchmarking the City's enterprise departments.	Controller	Recommendation Implemented	While the majority of our recent and planned benchmarking reports have focused on General Fund operations, we believe that the funding source for a given service should be one consideration among many when setting benchmarking priorities. Benchmark reports planned for FY14 include parks and recreation, transportation, public safety, and financial and debt management, the majority of which are at least partially funded through the City's General Fund.	**	

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CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ¹⁾	2016 Response Text
2012-13	Building a Better Future at the Department of Building Inspection	1.1 The DBI management should retain a consultant to update the 2007 BPR findings and recommendations and present the findings to BIC and the DBI Director.	Building Inspection Commission (President)	Will Be Implemented in the Future	The Department agrees partially with Recommendation 1.1 with respect to providing an update on the implementation of the 2007 BPR recommendations to the BIC and DBI Director. Similarly, the Department agrees partially with Recommendation 1.2 with regard to the development of a detailed action plan with firm due dates for the implementation of those recommendations from the 2007 BPR that have not been fully implemented. The Director will convene the BPR Steering Committee before the end of this year to begin this process. The Department did explore the possibility of hiring a consultant immediately after the 2007 BPR was released in December 2007, but budgetary constraints caused by the severe economic recession made such a step impracticable. Therefore, the Department developed its implementation plan internally, and began to execute that plan in the first quarter of 2008. Responses from the 2008 Customer Satisfaction/Public Perception Survey (Exhibit C) demonstrated that these early implementation efforts were having the desired effect as survey participants indicated their ratings were higher than they would have been as little as six (6) to twelve (12) months prior. When the Department was forced to lay off more than 25 percent of its personnel, 130 professionals, in September of 2008, many of the BPR implementation efforts were put on hold. Nevertheless, the Department adapted to the budgetary and staffing shortages and continued to address the 2007 BPR recommendations to the greatest extent possible. As a result of these ongoing efforts, as of February 2013, the Department had fully implemented more than twenty-five (25) of the 2007 BPR recommendations and partially implemented another twenty (20). Approximately ten (10) of the partially implemented 2007 BPR recommendations will be fully implemented when the new Permit and Project Tracking System is fully tested, staff trained to use the new system, and the new system goes live in early 2014. Therefore, only about fifteen (15) partially implemented recommendations, and seven (7) fully unimplemented recommendations, will remain outstanding as of Quarter One 2014, each of which has been integrated into the Strategic Plan and will be addressed further throughout fiscal year 2013-2014. The Department believes significant progress is being made in implementing the 2007 BPR recommendations and it is committed to completing the implementation in the next fiscal year.	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference In Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On March 13, 2014, the Board held a hearing with Department of Building Inspection to investigate the recommendation and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2012-13	Building a Better Future at the Department of Building Inspection	1.1 The DBI management should retain a consultant to update the 2007 BPR findings and recommendations and present the findings to BIC and the DBI Director.	Board of Supervisors	Will Be Implemented in the Future	Board of Supervisors reports that it has not implemented, but it will implement Recommendation 1.1 within six months of the publication of the Civil Grand Jury report, from July 2, 2013 to no later than January 2, 2014. (File No. 130687 Resolution No. 379-13)	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference In Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On March 13, 2014, the Board held a hearing with Department of Building Inspection to investigate the recommendation and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2012-13	Building a Better Future at the Department of Building Inspection	1.2 The BIC and DBI Director should develop a detailed action plan with firm due dates for implementing BPR report recommendations that the consultant identifies as not completed.	Building Inspection Commission (President)	Will Be Implemented in the Future	The Department agrees partially with Recommendation 1.1 with respect to providing an update on the implementation of the 2007 BPR recommendations to the BIC and DBI Director. Similarly, the Department agrees partially with Recommendation 1.2 with regard to the development of a detailed action plan with firm due dates for the implementation of those recommendations from the 2007 BPR that have not been fully implemented. The Director will convene the BPR Steering Committee before the end of this year to begin this process. The Department did explore the possibility of hiring a consultant immediately after the 2007 BPR was released in December 2007, but budgetary constraints caused by the severe economic recession made such a step impracticable. Therefore, the Department developed its implementation plan internally, and began to execute that plan in the first quarter of 2008. Responses from the 2008 Customer Satisfaction/Public Perception Survey (Exhibit C) demonstrated that these early implementation efforts were having the desired effect as survey participants indicated their ratings were higher than they would have been as little as six (6) to twelve (12) months prior. When the Department was forced to lay off more than 25 percent of its personnel, 130 professionals, in September of 2008, many of the BPR implementation efforts were put on hold. Nevertheless, the Department adapted to the budgetary and staffing shortages and continued to address the 2007 BPR recommendations to the greatest extent possible. As a result of these ongoing efforts, as of February 2013, the Department had fully implemented more than twenty-five (25) of the 2007 BPR recommendations and partially implemented another twenty (20). Approximately ten (10) of the partially implemented 2007 BPR recommendations will be fully implemented when the new Permit and Project Tracking System is fully tested, staff trained to use the new system, and the new system goes live in early 2014. Therefore, only about fifteen (15) partially implemented recommendations, and seven (7) fully unimplemented recommendations, will remain outstanding as of Quarter One 2014, each of which has been integrated into the Strategic Plan and will be addressed further throughout fiscal year 2013-2014. The Department believes significant progress is being made in implementing the 2007 BPR recommendations and it is committed to completing the implementation in the next fiscal year.	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference In Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On March 13, 2014, the Board held a hearing with Department of Building Inspection to investigate the recommendation and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2012-13	Building a Better Future at the Department of Building Inspection	1.2 The BIC and DBI Director should develop a detailed action plan with firm due dates for implementing BPR report recommendations that the consultant identifies as not completed.	Board of Supervisors	Will Be Implemented in the Future	Board of Supervisors reports that it has not implemented, but it will implement Recommendation 1.2 within six months of the publication of the Civil Grand Jury report, from July 2, 2013 to no later than January 2, 2014. (File No. 130687 Resolution No. 379-13)	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference In Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On March 13, 2014, the Board held a hearing with Department of Building Inspection to investigate the recommendation and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2012-13	Building a Better Future at the Department of Building Inspection	2.1 The DBI management should update departmental policies and procedures.	Building Inspection Commission (President)	Will Be Implemented in the Future	The Department agrees with this finding and is already in the process of implementing Recommendation 2.1 and has begun to update departmental policies and procedure manuals. These actions will enhance the Department's ability to train new employees and ensure that departmental policies and procedures are applied consistently.	Recommendation Implemented	The Building Inspection Commission has reviewed this finding and determined that the Department has completed Recommendation 2.1 and all departmental policies and procedure manuals are now updated. These actions have enhanced the Department's ability to train new employees and ensure that departmental policies and procedures are applied consistently.

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2012-13	Building a Better Future at the Department of Building Inspection	2.1 The DBI management should update departmental policies and procedures.	Building Inspection Commission (President)	Will Be Implemented in the Future	<p>The Department also agrees with Civil Grand Jury Recommendation 2.1 and has already begun to update departmental policies and procedures. Likewise, the Department agrees with Civil Grand Jury Recommendation 2.2, and has made considerable progress in making departmental policies and procedures easily accessible online internally and, where appropriate, externally.</p> <p>While Civil Grand Jury Recommendation 2.1 has not been fully implemented, significant progress has been made and complete implementation is expected to be achieved by early 2014. DBI managers, line staff, MIS and Accela personnel are working diligently to update and define business rules and workflow processes to ensure that the new Accela Permit and Project Tracking System (Accela System) is a highly efficient, transparent and effective product.</p> <p>In addition, DBI has already generated seventeen (17) Code Information Sheets and made them available online (Exhibit D). By creating current Code Information Sheets and making them readily accessible online, DBI is making significant progress towards ensuring its employees are trained and that departmental policies and procedures are being consistently enforced.</p> <p>Also, as the Code Information Sheets (Exhibit D) demonstrate, these interpretation guidelines have been made accessible online to both internal and external users and feature links to the pertinent code sections so that interested parties can easily access relevant information.</p>	Recommendation Implemented	<p>The Building Inspection Commission agrees with Civil Grand Jury Recommendation 2.1 and the Department currently has some departmental policies and procedures which are accessible online internally and, where appropriate, externally.</p> <p>While Civil Grand Jury Recommendation 2.1 has not been fully implemented, significant progress has been made and complete implementation is expected to be achieved by 2016/2017. DBI managers, line staff, MIS and Accela personnel are working diligently to update and define business rules and workflow processes to ensure that the new Accela Permit and Project Tracking System (Accela System) is a highly efficient, transparent and effective product.</p> <p>In addition, DBI has already generated seventeen (17) Code Information Sheets and made them available online (Exhibit D). By creating current Code Information Sheets and making them readily accessible online, DBI is making significant progress towards ensuring its employees are trained and that departmental policies and procedures are being consistently enforced.</p> <p>Also, as the Code Information Sheets (Exhibit D) demonstrate, these interpretation guidelines have been made accessible online to both internal and external users and feature links to the pertinent code sections so that interested parties can easily access relevant information.</p>
2012-13	Building a Better Future at the Department of Building Inspection	2.2 The DBI should make all policies and procedures easily accessible online internally and, where appropriate, externally.	Department of Building Inspection (Director)	Will Be Implemented in the Future	<p>The Department also agrees with Civil Grand Jury Recommendation 2.1 and has already begun to update departmental policies and procedures. Likewise, the Department agrees with Civil Grand Jury Recommendation 2.2, and has made considerable progress in making departmental policies and procedures easily accessible online internally and, where appropriate, externally.</p> <p>While Civil Grand Jury Recommendation 2.1 has not been fully implemented, significant progress has been made and complete implementation is expected to be achieved by early 2014. DBI managers, line staff, MIS and Accela personnel are working diligently to update and define business rules and workflow processes to ensure that the new Accela Permit and Project Tracking System (Accela System) is a highly efficient, transparent and effective product.</p> <p>In addition, DBI has already generated seventeen (17) Code Information Sheets and made them available online (Exhibit D). By creating current Code Information Sheets and making them readily accessible online, DBI is making significant progress towards ensuring its employees are trained and that departmental policies and procedures are being consistently enforced.</p> <p>Also, as the Code Information Sheets (Exhibit D) demonstrate, these interpretation guidelines have been made accessible online to both internal and external users and feature links to the pertinent code sections so that interested parties can easily access relevant information.</p>	Recommendation Implemented	<p>In July 2015, the Department revamped its existing intranet and provided a new and improved intranet accessible by its staff. Through this intranet, updated division, program and policy information is available to staff, 24/7. A staff phonebook with staff pictures and quick division descriptions was developed in 2014 and is updated bi-annually. DBI continues to develop information sheets on new programs or ordinances affecting the department for both internal and external use. In January 2015, DBI developed a monthly e-newsletter, which the Department uses to feature new policy information for use by both internal staff and external stakeholders. Department has also given its external website a facelift by re-arranging pertinent info on its homepage to ensure easy access to most visited pages and key programs by using four box matrix to display the information; creation of key programs page along with respective new program pages that include a robust, built out Mandatory Soft Story Program page along with Unit Legalization, Unit Addition, Fire Safety and Emergency Preparedness and Building Safety Month offerings; providing greater access to operational information for the Plan Review and Permit Services, Inspections and Code Enforcement processes.</p>
2012-13	Building a Better Future at the Department of Building Inspection	3.1 The DBI should assess staff needs for leadership and communication training and develop department plans to strengthen areas of weakness.	Department of Building Inspection (Director)	Will Be Implemented in the Future	<p>While the Department continues to pursue additional leadership and communications training opportunities, overriding economic issues and technical code training demands have made full implementation of Recommendation 3.1 difficult to achieve. Also, the Civil Service System, which prohibits staff in one classification from performing the duties of staff in different classifications, makes full implementation of Recommendation 3.3 infeasible. Nevertheless, the Department will continue to explore and increase leadership, communications, technical code and cross-training opportunities by working with the Department of Human Resources to identify additional training opportunities provided within the City. We also will explore the possibility of rehiring an in-house Training Officer, and engage outside training providers where appropriate.</p>	Recommendation Implemented / Will Be Implemented in the Future	<p>DBI developed an Employee Satisfaction Survey to gauge employee work satisfaction, obtain feedback to improve working conditions and interest in training programs. DBI received over 50% participation rate from staff with positive feedback on internal communication efforts, work satisfaction and interest in training programs. Improvements to communication process has been ongoing. DBI has increased dissemination of pertinent program and service information to staff through the use of email notifications and team meeting presentations. Director Hui holds a bi-monthly senior management meeting to discuss key programs, announcements and updates as well as a quarterly DBI-staff meeting that focuses discussion and sharing of performance goal measures and progress. Director Hui also conducts a department-wide meeting annually in October to allow for greater communication and information sharing with all DBI staff. DBI has developed a five-year strategic plan with input and buy-in from line staff, senior managers and executive management team of the goals, measures and results over the next five-years. A full-time Communications Director was hired in August 2014 to help lead the Department's internal and external outreach efforts, goals and campaigns. An internal communications plan will be developed by December 2016 focusing on better information sharing, communication training for speaking and event engagements and will reflect feedback from staff on best internal communication and engagement practices.</p>
2012-13	Building a Better Future at the Department of Building Inspection	3.2 The DBI should assess technical skill deficiencies in the DBI staff and develop training plans to strengthen these areas.	Department of Building Inspection (Director)	Recommendation Implemented	<p>Overall, the Department has fully implemented Recommendation 3.2 because skill deficiencies are identified during the annual performance evaluation process for all staff. Strengthening the skills identified is an essential aspect of the staff performance plans for the following year, with specific trainings incorporated into each staff plan. As more resources become available, the Department will pursue additional technical code training opportunities.</p>		
2012-13	Building a Better Future at the Department of Building Inspection	3.3 DBI should cross-train specific staff members to allow the Department to better respond to fluctuating workloads.	Department of Building Inspection (Director)	Will Be Implemented in the Future	<p>The Department has not fully implemented Civil Grand Jury Recommendation 3.3, as noted above, because Civil Service prohibits staff in one classification from performing the responsibilities of staff in another classification. However, efforts to familiarize staff with the duties of those in the same classifications, but who work in another division within the Department, are under way. For example, the cross-training of Inspectors was addressed in a department-wide email from the Acting Director Tom Hui as recently as June 13, 2013 (Exhibit F). Acting Director Hui appointed Chief Building Inspector Patrick O'Riordan as Chair of the new Cross-Training Team, and identified Chief Building Inspectors Ron Tom and Tony Grieco as team members. In addition, the email explained that all of the Department's new building inspectors would receive cross-training in order to familiarize themselves with the complex functions performed across divisions within three (3) months. Cross-Training Team Chair O'Riordan also recently published a detailed schedule for inspection cross-trainings (Exhibit F). All staff are scheduled to have undergone cross-training by September 5, 2013. Despite the ongoing efforts of the Department to cross-train staff to respond more effectively to fluctuating workloads, staff remains unable to perform duties of those in different Civil Service Classifications. Nevertheless, the Department will continue to explore additional cross-training opportunities, and remains dedicated to ensuring that staff is cross-trained to the greatest extent possible. In addition, DBI will explore the possibility of re-hiring an in-house Training Officer in the next budget, and will identify opportunities where engaging outside trainers would be appropriate.</p>	Recommendation Implemented	<p>Since 2014, DBI has implemented and is currently in the process of continuing a cross-training program for staff to get familiar with respective processes, services, programs and other pertinent information from different divisions and sections. DBI remains dedicated to ensuring that staff is cross-trained to the greatest extent possible to ensure increased training and education.</p>

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2012-13	Building a Better Future at the Department of Building Inspection	4.1 The DBI Director should conduct an ethical climate survey and use the results to identify areas where improved communication of ethical standards and monitoring of employee behavior are needed.	Department of Building Inspection (Director)	Requires Further Analysis	DBI partially agrees with this recommendation. Civil Grand Jury Recommendation 4.1 has not been fully implemented, but the Department is currently investigating the use of Ethical Climate surveys, such as those used by the City of Oakland, and as referenced in the 2007 BPR, to identify areas where improved communication of ethical standards and codes of professional conduct continue to be a top priority. Toward that end, the Department agrees there is merit to continually assessing public perceptions of its staff, and will go to bid for a new survey in fiscal year 2013-2014 in order to reassess public perceptions about the Department's services, including staff adherence to ethics.	Recommendation Implemented	DBI completed a four-part Customer Satisfaction Survey/Study of its stakeholders on its services, programs and customer service delivery. This study consisted of a 2-day focus group (one focusing on owners and another on contractors); 2-week online survey; over 1,000 in-depth telephone surveys and on-site office intercept surveys conducted in English, Spanish and Chinese. Nearly two-thirds of respondents (over 65%) were satisfied with DBI overall and Overall Respondents feedback received from public highly rated staff customer service delivery, acknowledgement of process and program improvements made over the last couple of years and recommendations on opportunities to continually improve customer service delivery; and improvement for process/program implementation. DBI developed an Employee Satisfaction Survey to gauge employee work satisfaction, obtain feedback to improve working conditions and interest in training programs. DBI received over 50% participation rate from staff with positive feedback on internal communication efforts, work satisfaction and interest in training programs. Improvements to communication process has been ongoing. DBI has increased dissemination of pertinent program and service information to staff through the use of email notifications and team meeting presentations. DBI staff is required annually to complete Form 700s and turn in to the Director's Office. As part of this packet, DBI staff is required to watch an Ethics Training video online to ensure compliance with Ethics training. Annually, staff is required to watch the Public Records video training provided online by the City Attorney's office.
2012-13	Building a Better Future at the Department of Building Inspection	5.1 The Board of Supervisors should hold a hearing within six months of the release of this report by the 2012-13 Jury to see if BIC has taken action on the issues raised.	Board of Supervisors	Will be Implemented in the Future	Board of Supervisors reports that it has not implemented, but it will implement Recommendation 5.1 within six months of the publication of the Civil Grand Jury report, from July 2, 2013 to no later than January 2, 2014. (File No. 130687 Resolution No. 379-13)	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference in Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On March 13, 2014, the Board held a hearing with Department of Building Inspection to investigate the recommendation and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2012-13	Building a Better Future at the Department of Building Inspection	6.1 The DBI should establish performance standards for resolving code violations within designated time frames (for example, closing 75 percent of Notices of Violation within six months and 95 percent within 12 months of when they are issued). The performance standards should be reviewed and approved by BIC in public session.	Building Inspection Commission (President)	Recommendation Implemented	The Department partially agrees with Civil Grand Jury Recommendation 6.1 and believes it has already been fully implemented. The Department utilizes "best practices" and historical data as benchmarks to ensure that staff remains in compliance. The Department's "best practices" adhere to the City Attorney's due process procedures in that they provide for specific periods of time within which the Department must respond to code violations in a well established, step-by-step, and well publicized code enforcement process. Specifically, when a property owner fails to correct cited code violation(s), staff has 30 days to issue a second NOV. If the property owner again fails to address the cited code violation(s) in the specified timeframe, staff has 30 days to prepare the property for a scheduled Director's hearing. DBI has doubled the number of Directors' Hearings in the past year, with Building Code Violations heard every Tuesday, and Housing Code Violations held every Thursday. If the property owner fails to attend a Director's Hearing, or to correct the noticed code violation(s), the Department may place the property on the annual delinquent property list, which encumbers the property with the Tax Assessor and ensures the violation(s) are corrected and all penalties paid. The delinquent property list is reviewed and voted upon annually by the Board of Supervisors. At the July 30, 2013 Board Hearing, out of a total of 242 properties placed upon the delinquent list, 159 were placed on this year's list, or 65 percent of those properties notified. The "Complaints and NOV's" chart below illustrates the volume of complaints and NOV's the department has handled between fiscal years 2009-2010 and 2012-2013, and how many of those complaints reached a Director's Hearing and, ultimately, were placed on the Lien Property List. Further, the chart demonstrates that the Department was able to resolve a greater number of complaints than were filed in each of the last two (2) years, meaning that any existing backlog of cases is being reduced year over year. The dramatic decline from 6,030 to 4,040 -33 percent - reflects DBI's increase in code enforcement staff resources addressing this issue. Historical data indicate that DBI staff has been largely in compliance with the above standards. Specifically, between 2000 and 2012 DBI responded to 135,000 complaints, and, as of the date of this response, approximately 95.5% of complaints have been abated. While about 8,000 of the 135,000 complaints received between 2000 and 2012, or 4.5%, remain open, DBI staff remains in compliance with established performance standards. In addition, managers are providing DBI Deputy Directors with weekly reviews and updates on numbers of complaints received and NOV's issued. Therefore, Civil Grand Jury Recommendation 6.1 has been fully implemented as performance standards do, in fact, exist for resolving code violations within designated time frames. In addition, as recommended, DBI performance standards are reviewed and approved by the BIC in public sessions. Beginning in August 2013, DBI placed a recurring item on the BIC agenda concerning the performance of Code Enforcement staff, which will ensure that performance standards for resolving code violations within designated time frames are being achieved. The Department believes its existing enforcement policies and practices do not create an unreasonably high volume of unresolved cases, and, given that some cases may be complicated, a small number of cases do require more time to resolve. Our goal remains to achieve code compliance and safer structures; it is not to penalize and punish a few owners who may be in difficult circumstances and in need of more time to comply. It also is worth noting that when you compare the professional services provided to property owners and tenants by cities throughout the United States, San Francisco's Building Inspection services provide a leadership model that is simply not found in other U.S. cities.	**	

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2012-13	Building a Better Future at the Department of Building Inspection	6.1 The DBI should establish performance standards for resolving code violations within designated time frames (for example, closing 75 percent of Notices of Violation within six months and 95 percent within 12 months of when they are issued). The performance standards should be reviewed and approved by BIC in public session.	Department of Building Inspection (Director)	Recommendation Implemented	The Department partially agrees with Civil Grand Jury Recommendation 6.1 and believes it has already been fully implemented. The Department utilizes "best practices" and historical data as benchmarks to ensure that staff remains in compliance. The Department's "best practices" adhere to the City Attorney's due process procedures in that they provide for specific periods of time within which the Department must respond to code violations in a well established, step-by-step, and well publicized code enforcement process. Specifically, when a property owner fails to correct cited code violation(s), staff has 30 days to issue a second NOV. If the property owner again fails to address the cited code violation(s) in the specified timeframe, staff has 30 days to prepare the property for a scheduled Director's hearing. DBI has doubled the number of Director's Hearings in the past year, with Building Code violations heard every Tuesday, and Housing Code Violations held every Thursday. If the property owner fails to attend a Director's Hearing, or to correct the noticed code violation(s), the Department may place the property on the annual delinquent property list, which encumbers the property with the Tax Assessor and ensures the violation(s) are corrected and all penalties paid. The delinquent property list is reviewed and voted upon annually by the Board of Supervisors. At the July 30, 2013 Board Hearing, out of a total of 242 properties placed upon the delinquent list, 158 were placed on this year's list, or 65 percent of those properties notified. The "Complaints and NOV's" chart below illustrates the volume of complaints and NOV's the department has handled between fiscal years 2009-2010 and 2012-2013, and how many of those complaints reached a Director's Hearing and, ultimately, were placed on the Lien Property List. Further, the chart demonstrates that the Department was able to resolve a greater number of complaints than were filed in each of the last two (2) years, meaning that any existing backlog of cases is being reduced year over year. The dramatic decline from 6,030 to 4,040 -33 percent - reflects DBI's increase in code enforcement staff resources addressing this issue. Historical data indicate that DBI staff has been largely in compliance with the above standards. Specifically, between 2002 and 2012 DBI responded to 155,000 complaints, and, as of the date of this response, approximately 95.5% of complaints have been abated. While about 6,000 of the 135,000 complaints received between 2000 and 2012, or 4.5%, remain open, DBI staff remains in compliance with established performance standards. In addition, managers are providing DBI Deputy Directors with weekly reviews and updates on numbers of complaints received and NOV's issued. Therefore, Civil Grand Jury Recommendation 6.1 has been fully implemented as performance standards do, in fact, exist for resolving code violations within designated time frames. In addition, as recommended, DBI performance standards are reviewed and approved by the BIC in public sessions. Beginning in August 2013, DBI placed a recurring item on the BIC agenda concerning the performance of Code Enforcement staff, which will ensure that performance standards for resolving code violations within designated time frames are being achieved. The Department believes its existing enforcement policies and practices do not create an unreasonably high volume of unresolved cases, and, given that some cases may be complicated, a small number of cases do require more time to resolve. Our goal remains to achieve code compliance and safer structures. It is not to penalize and punish a few owners who may be in difficult circumstances and in need of more time to comply. It also is worth noting that when you compare the professional services provided to property owners and tenants by cities throughout the United States, San Francisco's Building Inspection services provide a leadership model that is simply not found in other U.S. cities.		
2012-13	Building a Better Future at the Department of Building Inspection	6.1 The DBI should establish performance standards for resolving code violations within designated time frames (for example, closing 75 percent of Notices of Violation within six months and 95 percent within 12 months of when they are issued). The performance standards should be reviewed and approved by BIC in public session.	Board of Supervisors	Recommendation Implemented	Board of Supervisors reports that Recommendation 6.1 has been implemented. (File No. 130687 Resolution No. 379-13)		
2012-13	Building a Better Future at the Department of Building Inspection	6.2 The DBI should develop monthly management reports for BIC that monitor the Department's performance against BIC-approved performance standards for resolving building code violations.	Building Inspection Commission (President)	Recommendation Implemented	The Department agrees with Recommendation 6.2 with respect to developing monthly management reports for BIC to monitor the Department's performance in continuing to resolve building code violations, especially with the dramatic increase in building projects currently under way throughout San Francisco. The first of these new monthly Code Enforcement Updates was on the August 21, 2013 BIC Agenda. The BIC and the Department also agree to review the Notice of Violation (NOV) process, and to make recommendations for improvements. In addition, we will take additional steps to ensure that customers are aware of our Code Enforcement Outreach Program, where non-profits with multilingual, multicultural and extensive experience in working cooperatively with both tenants and property owners, work closely with the Department to achieve mutually agreeable resolutions of code and habitability issues. The Department already funds these programs with budgetary allocations of more than \$2 million per year, and we will continue to recommend these services to those in situations that would benefit from CEOP 10 assistance. These services are unique to San Francisco; no other U.S. major urban center offers all of these types of services.		
2012-13	Building a Better Future at the Department of Building Inspection	6.2 The DBI should develop monthly management reports for BIC that monitor the Department's performance against BIC-approved performance standards for resolving building code violations.	Department of Building Inspection (Director)	Recommendation Implemented	The Department agrees with Recommendation 6.2 with respect to developing monthly management reports for BIC to monitor the Department's performance in continuing to resolve building code violations, especially with the dramatic increase in building projects currently under way throughout San Francisco. The first of these new monthly Code Enforcement Updates was on the August 21, 2013 BIC Agenda. The BIC and the Department also agree to review the Notice of Violation (NOV) process, and to make recommendations for improvements. In addition, we will take additional steps to ensure that customers are aware of our Code Enforcement Outreach Program, where non-profits with multilingual, multicultural and extensive experience in working cooperatively with both tenants and property owners, work closely with the Department to achieve mutually agreeable resolutions of code and habitability issues. The Department already funds these programs with budgetary allocations of more than \$2 million per year, and we will continue to recommend these services to those in situations that would benefit from CEOP 10 assistance. These services are unique to San Francisco; no other U.S. major urban center offers all of these types of services.		
2012-13	Building a Better Future at the Department of Building Inspection	6.2 The DBI should develop monthly management reports for BIC that monitor the Department's performance against BIC-approved performance standards for resolving building code violations.	Board of Supervisors	Recommendation Implemented	Board of Supervisors reports that Recommendation 6.2 has been implemented. (File No. 130687 Resolution No. 379-13)		

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CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2012-13	Building a Better Future at the Department of Building Inspection	7.1 The DBI should review and expand its criteria for using the Building Code's Demolition and Repair Fund to achieve actual abatement of unsafe building conditions.	Department of Building Inspection (Director)	Will Not be Implemented: Not Warranted or Not Reasonable	Recommendation 7.1 will not be implemented because it is not warranted as the Department believes it to be based on an error. Specifically, the 2012-2013 Grand Jury Report contains an error on pages 21-22, in that it states: "...In 2010, the Board transferred \$738,240 from the delinquent Code Enforcement and Rehabilitation Fund (CERF) to DBI to support code enforcement activities." However, DBI receives no General Fund money, and, in this particular instance, DBI actually transferred funds to the Mayor's Office of Housing (the General Fund) to enable that agency to fulfill the earlier-established State requirements for the fund. In fact, the State of California terminated the fund a number of years ago, with the Department having received its last such funding during fiscal year 2003-2004. With the CERF Fund eliminated, reviewing and expanding the criteria for using the funds contained therein to achieve actual abatement of unsafe building conditions is infeasible and no longer germane. In FY 2009-2010, the Board of Supervisors approved a transfer of \$344,331 from the Code Enforcement and Rehabilitation Fund (DBI) to the Mayor's Office of Housing for MOH's Hardship Loan Program. The ordinance authorized the transfer of the funding so that MOH, instead of DBI, would be responsible for the entire program, including the reuse of the Hardship Loan payments to grant additional loans. There is a separate fund, the Building Code's Demolition and Repair Fund, which is subject to the Director's determination of an "emergency" situation. This is defined as a structure being a public safety hazard, and in danger of imminent collapse, as verified by an engineer's inspection, and where a property owner has refused to act as required by law. The Controller's Office issued a report in April 2013 on the Department of Building Inspection Reserves Analysis. It stated that after funding is set aside for an economic stabilization reserve, DBI should designate the remaining fund balance for one-time capital expenditures. The amount that was recommended for the Repair and Demolition Fund Transfer was \$1,000,000. This transfer was approved by the BOS in the FY 2013-14 budget. Upon completion of the transfer, the balance in the Repair and Demolition Fund will be \$1,200,000. Please note that the use of Repair and Demolition funds to cover the costs of an irresponsible property owner is very rare. In the overwhelming majority of "emergency" situations, property owners do act responsibly and follow the directions provided by the Building Official. There was a case at the end of 2012 and resolved in February 2013 where the owner refused to act and the Department went to bid and had the work done in order to address the public safety hazard. The owner was then billed in both March and April, 2013, to recover the City costs expended. As of this writing, the owner has yet to pay and most likely the property will go on the 2014 delinquent property list. While this action will encumber the property, in the Department's experience it is very difficult to recover departmental costs in such cases until City Attorney litigation is successful – an outcome that may take years and which more frequently than not fails to achieve DBI cost recovery.		
2012-13	Building a Better Future at the Department of Building Inspection	7.2 The Abatement Appeals Board should abide by the Building Code's limits on continuances during the NOV process.	Department of Building Inspection (Director)	Recommendation Implemented	The Department has fully implemented Recommendation 7.2, given that the Abatement Appeals Board voted in April 2013 to limit the number of continuances granted during the NOV and code enforcement process (Exhibit L). This will ensure compliance with the Building Code's limits on continuances during the NOV process.		
2012-13	Building a Better Future at the Department of Building Inspection	7.3 The Board of Supervisors should review the administrative procedures in the Building Code and consider enacting a process that provides for stronger penalties at the administrative level.	Department of Building Inspection (Director)	Recommendation Implemented	The Department partially agrees with this Recommendation because it can, and does, impose a 9X Penalty for failures to respond and/or cure cited code violations, where work was done without a permit or where work exceeded the permit's scope. While San Francisco's Building Code's 9X penalty is the highest in the State, note that owners appeal this penalty to the Board of Appeals and in more than 99 percent of such appeals, the 9X penalty is reduced to a 2X penalty (Exhibit M). Recommendation 7.3, as noted above, has already been fully implemented. However, since the primary goal is to ensure building safety through code compliance, inspectors try to provide property owners with sufficient time to achieve compliance before resorting to use of the 9X Penalty, which can actually hinder compliance due to its severity. Therefore, a 2X Penalty is commonly imposed since it promotes a positive working relationship while also delivering a firm message to the property owner that compliance must occur. Nevertheless, the Department will explore the viability of using stronger penalties to achieve increased code compliance, and will consult with the BIC and its Code Advisory Committee for concrete recommendations in this area during the current fiscal year.		
2012-13	Building a Better Future at the Department of Building Inspection	8.1 All DBI enforcement units should use the monetary tools in the Building Code to encourage abatement and to fund enforcement operations.	Building Inspection Commission (President)	Will Be Implemented in the Future	The Department agrees with Recommendation 8.1. While it has not yet been fully implemented, the Department is continuing to explore ways to best utilize the monetary tools available under the Building Code to encourage abatement and fund enforcement operations. The Department is attempting to strike a reasonable and achievable balance between the enforcement and/or collection of fees and penalties available under the Building Code and working with property owners to achieve compliance. Toward that end, as the chart below illustrates, there is ample reason for the Department to continue working with property owners to achieve compliance rather than seeking the maximum amount available under the Building Code. This is particularly clear when you consider that FY 2012-2013 revenues increased by \$16,808,809, or 30%, from FY 2011-2012 and \$26,441,457, or 57%, from FY 2010-2011. In addition, with revenues trending upwards over the past two years, the Department will have additional staff resources available to encourage abatement and further enforcement activities. Toward that end, the Department has already used some of these increased revenues to triple its Code Enforcement personnel over the past several months, and to double its weekly Code Enforcement Director's Hearings. Overall, the department agrees that more consistent enforcement and/or collection of the fees and penalties available under the Building Code would result in additional resources that could be devoted to enforcement activities. However, as the primary purpose of Code Enforcement is to achieve building safety through compliance where violations have been verified, the Department's field experiences prove that showing some leniency with respect to the assessment and/or collection of fees and penalties is often a superior means to achieving compliance than levying substantially punitive fees and then having to ask the City Attorney to litigate. Even if litigation succeeds, it is often very difficult, if not impossible, to collect the owed penalties and assessments of costs – and such cases take many years to litigate and/or to resolve. Notwithstanding the foregoing, the Department will continue to explore opportunities to increase revenues and to use available tools to work with property owners to obtain building safety code compliance.	Recommendation Implemented	DBI has implemented monetary tools to encourage abatement and to fund enforcement operations uniformly across code enforcement divisions that include Code Enforcement Section (CES) and Housing Inspection Services. These tools include the use of a monthly monitoring fee for properties receiving a Notice of Violation from CES, which also have include penalties for working without a permit or work exceeding scope of permit. All code enforcement cases are applied an assessment of costs throughout the life of the code enforcement case processed by CES and HIS. These tools are used to incentivize property owners to comply early on in the code enforcement process and ultimately, help to ensure safe and habitable building and property conditions are maintained.

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2012-13	Building a Better Future at the Department of Building Inspection	8.1 All DBI enforcement units should use the monetary tools in the Building Code to encourage abatement and to fund enforcement operations.	Department of Building Inspection (Director)	Will Be Implemented in the Future	The Department agrees with Recommendation 8.1. While it has not yet been fully implemented, the Department is continuing to explore ways to best utilize the monetary tools available under the Building Code to encourage abatement and fund enforcement operations. The Department is attempting to strike a reasonable and achievable balance between the enforcement and/or collection of fees and penalties available under the Building Code and working with property owners to achieve compliance. Toward that end, as the chart below illustrates, there is ample reason for the Department to continue working with property owners to achieve compliance rather than seeking the maximum amount available under the Building Code. This is particularly clear when you consider that FY 2012-2013 revenues increased by \$16,808,809, or 30%, from FY 2011-2012 and \$26,441,457, or 57%, from FY 2010-2011. In addition, with revenues trending upwards over the past two years, the Department will have additional staff resources available to encourage abatement and further enforcement activities. Toward that end, the Department has already used some of these increased revenues to triple its Code Enforcement personnel over the past several months, and to double its weekly Code Enforcement Director's Hearings. Overall, the department agrees that more consistent enforcement and/or collection of the fees and penalties available under the Building Code would result in additional resources that could be devoted to enforcement activities. However, as the primary purpose of Code Enforcement is to achieve building safety through compliance where violations have been verified, the Department's field experiences prove that showing some leniency with respect to the assessment and/or collection of fees and penalties is often a superior means to achieving compliance than levying substantially punitive fees and then having to ask the City Attorney to litigate. Even if litigation succeeds, it is often very difficult, if not impossible, to collect the owed penalties and assessments of costs – and such cases take many years to litigate and/or to resolve. Notwithstanding the foregoing, the Department will continue to explore opportunities to increase revenues and to use available tools to work with property owners to obtain building safety code compliance.	Recommendation Implemented	DBI has implemented monetary tools to encourage abatement and to fund enforcement operations uniformly across code enforcement divisions that include Code Enforcement Section (CES) and Housing Inspection Services. These tools include the use of a monthly monitoring fee for properties receiving a Notice of Violation from CES, which also have include penalties for working without a permit or work exceeding scope of permit. All code enforcement cases are applied an assessment of costs throughout the life of the code enforcement case processed by CES and HIS. These tools are used to incentivize property owners to comply early on in the code enforcement process and ultimately, help to ensure safe and habitable building and property conditions are maintained.
2012-13	Building a Better Future at the Department of Building Inspection	9.1 The DBI should ensure that management has clearly defined the business rules and workflow processes for the new Accela system.	Building Inspection Commission (President)	Will Be Implemented in the Future	The Department partially agrees with Recommendation 9.1. While it has not yet been fully implemented, DBI managers, line staff, MIS and Accela personnel have been holding regular technical meetings focused on clearly defining the business rules and workflow processes so as to ensure the Accela system is an efficient, transparent, accurate and reliable product. DBI staff has made considerable progress in satisfying this Recommendation. The 'go live' projection for the first quarter of 2014 will not be actualized without difficulty as Accela had fallen behind as of July 2013 due to its failure to dedicate enough skilled resources to accomplish the agreed-upon tasks. In addition, parts of the analysis and configuration has been incomplete and/or flawed, which has required substantial reworking by implementation team members. However, the CCSF implementation team communicated concerns about the lack of skilled resources and flawed and/or incomplete deliverables to the vendor team, and Accela is in the process of adding additional skilled resources to the project. The Accela System will only be fully implemented after receiving approval from Department management, staff is adequately trained and, ultimately, it is adopted by users and customers.	Recommendation Implemented / Will Be Implemented in the Future	Due to a significant number of defects and failures of the vendor's PPTS software product under simulated daily core business transaction tests, DBI's Director requested a third party IT expert team audit. The Department of Technology awarded this audit contract in February 2016, and findings/recommendations are expected by the end of the current fiscal year (June 2016). Once DBI has these audit results, we will then know whether or not the vendor's product will perform all core DBI business transactions, including these improvements in affordable housing data prioritizations. A further update to provide implementation of this Grand Jury recommendation will be given by September, 2016. During the third-party review, SMEs have been meeting from Monday to Friday, during the morning hours (8am – 12pm) to review, develop and test scripts ensure adequate integration of business processes and requirements in the launched PPTS. At the end of this integrated process, the Department will have a robust and defined accounting of its business rules/requirements and workflow processes to be integrated in the launched PPTS.
2012-13	Building a Better Future at the Department of Building Inspection	9.1 The DBI should ensure that management has clearly defined the business rules and workflow processes for the new Accela system.	Department of Building Inspection (Director)	Will Be Implemented in the Future	The Department partially agrees with Recommendation 9.1. While it has not yet been fully implemented, DBI managers, line staff, MIS and Accela personnel have been holding regular technical meetings focused on clearly defining the business rules and workflow processes so as to ensure the Accela system is an efficient, transparent, accurate and reliable product. DBI staff has made considerable progress in satisfying this Recommendation. The 'go live' projection for the first quarter of 2014 will not be actualized without difficulty as Accela had fallen behind as of July 2013 due to its failure to dedicate enough skilled resources to accomplish the agreed-upon tasks. In addition, parts of the analysis and configuration has been incomplete and/or flawed, which has required substantial reworking by implementation team members. However, the CCSF implementation team communicated concerns about the lack of skilled resources and flawed and/or incomplete deliverables to the vendor team, and Accela is in the process of adding additional skilled resources to the project. The Accela System will only be fully implemented after receiving approval from Department management, staff is adequately trained and, ultimately, it is adopted by users and customers.	Recommendation Implemented / Will Be Implemented in the Future	Due to a significant number of defects and failures of the vendor's PPTS software product under simulated daily core business transaction tests, DBI's Director requested a third party IT expert team audit. The Department of Technology awarded this audit contract in February 2016, and findings/recommendations are expected by the end of the current fiscal year (June 2016). Once DBI has these audit results, we will then know whether or not the vendor's product will perform all core DBI business transactions, including these improvements in affordable housing data prioritizations. A further update to provide implementation of this Grand Jury recommendation will be given by September, 2016. During the third-party review, SMEs have been meeting from Monday to Friday, during the morning hours (8am – 12pm) to review, develop and test scripts ensure adequate integration of business processes and requirements in the launched PPTS. At the end of this integrated process, the Department will have a robust and defined accounting of its business rules/requirements and workflow processes to be integrated in the launched PPTS.
2012-13	Building a Better Future at the Department of Building Inspection	9.2 The DBI "subject matter experts" assigned to the Accela implementation team should be given adequate time to respond to consultant questions not addressed by department documentation and to fully assist in system acceptance testing prior to going live.	Building Inspection Commission (President)	Will Be Implemented in the Future	The Department agrees with this Recommendation. While it has not yet been fully implemented, measures have been taken to ensure that Department "subject matter experts" are provided adequate time to respond to consultant questions throughout the implementation process. Department "subject matter experts" have ten (10) business days to review and provide feedback on the deliverable documents. In addition, there have been many instances where the ten (10)-day period has been extended to provide "subject matter experts" with adequate time to evaluate the deliverable documents and respond. Further, the Department fully expects to participate in system acceptance testing prior to going live, which will consist of three rounds of user acceptance testing with both vendor and internal technical staff team members fully involved. In summation, DBI agrees with Recommendations 9.1 and 9.2, and both goals are on schedule to be fully implemented once the Accela System goes live in the first quarter of 2014. Although Recommendations 9.1 and 9.2 will not be fully implemented until early 2014, each has been implemented to the greatest extent possible with management clearly defining the business rules and workflow processes and implementation team members being provided with adequate time to respond to consultant questions not addressed by department documentation as well as being provided with an opportunity to fully assist in system acceptance testing.	Recommendation Implemented / Will Be Implemented in the Future	While the Permit and Project Tracking System (PPTS) has not yet been fully implemented, measures have been taken to ensure that Department "subject matter experts" (SMEs) are provided adequate time to respond to consultant questions throughout the implementation and evaluation process. Department "subject matter experts" have ten (10) business days to review and provide feedback on the deliverable documents. In addition, there have been many instances where the ten (10)-day period has been extended to provide "subject matter experts" with adequate time to evaluate the deliverable documents and respond. During the third-party review, SMEs have been meeting from Monday to Friday, during the morning hours (8am – 12pm) to review, develop and test scripts ensure adequate integration of business processes and requirements in the launched PPTS. The Department fully expects to participate in system acceptance testing prior to going live with the PPTS, which will consist of a minimum of three rounds of user acceptance testing with both vendor and internal technical staff team members fully involved.
2012-13	Building a Better Future at the Department of Building Inspection	9.2 The DBI "subject matter experts" assigned to the Accela implementation team should be given adequate time to respond to consultant questions not addressed by department documentation and to fully assist in system acceptance testing prior to going live.	Department of Building Inspection (Director)	Will Be Implemented in the Future	The Department agrees with this Recommendation. While it has not yet been fully implemented, measures have been taken to ensure that Department "subject matter experts" are provided adequate time to respond to consultant questions throughout the implementation process. Department "subject matter experts" have ten (10) business days to review and provide feedback on the deliverable documents. In addition, there have been many instances where the ten (10)-day period has been extended to provide "subject matter experts" with adequate time to evaluate the deliverable documents and respond. Further, the Department fully expects to participate in system acceptance testing prior to going live, which will consist of three rounds of user acceptance testing with both vendor and internal technical staff team members fully involved. In summation, DBI agrees with Recommendations 9.1 and 9.2, and both goals are on schedule to be fully implemented once the Accela System goes live in the first quarter of 2014. Although Recommendations 9.1 and 9.2 will not be fully implemented until early 2014, each has been implemented to the greatest extent possible with management clearly defining the business rules and workflow processes and implementation team members being provided with adequate time to respond to consultant questions not addressed by department documentation as well as being provided with an opportunity to fully assist in system acceptance testing.	Recommendation Implemented / Will Be Implemented in the Future	While the Permit and Project Tracking System (PPTS) has not yet been fully implemented, measures have been taken to ensure that Department "subject matter experts" (SMEs) are provided adequate time to respond to consultant questions throughout the implementation and evaluation process. Department "subject matter experts" have ten (10) business days to review and provide feedback on the deliverable documents. In addition, there have been many instances where the ten (10)-day period has been extended to provide "subject matter experts" with adequate time to evaluate the deliverable documents and respond. During the third-party review, SMEs have been meeting from Monday to Friday, during the morning hours (8am – 12pm) to review, develop and test scripts ensure adequate integration of business processes and requirements in the launched PPTS. The Department fully expects to participate in system acceptance testing prior to going live with the PPTS, which will consist of a minimum of three rounds of user acceptance testing with both vendor and internal technical staff team members fully involved.

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Status of the Recommendations
by the Civil Grand Jury
2012-13

CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2012-13	Building a Better Future at the Department of Building Inspection	10.1 The DBI should conduct a methodical review of all major business processes to ensure that they are designed to achieve the department objectives and that they include time or due date criteria that can be monitored by information systems.	Building Inspection Commission (President)	Will Be Implemented in the Future	The Department partially agrees with this Recommendation. Recommendation 10.1 has not yet been fully implemented, but DBI has been conducting a methodical review of all its business processes as part of the implementation process for the new Accela System. In doing so, the Department is ensuring that its business processes are designed to achieve Departmental objectives. The major business processes captured include key attributes comprising performance metrics for monitoring and reporting within the system. The attributes also include time and due date criteria and features recommended in the 2007 BPR. The Department wholly agrees with Finding No. 10 and has engaged in a concerted effort to improve departmental effectiveness through the implementation of the well-designed Accela System. As such, Recommendation 10.1 cannot be said to be fully implemented solely because implementation of the Accela System has been a complex and lengthy process, which is not scheduled to be completed until the first quarter of 2014. However, significant progress has been implementing Recommendation 10.1, and it will become fully implemented once the Accela System goes live.	Recommendation Implemented / Will Be Implemented in the Future	DBI has been conducting a methodical review of all its business processes as part of the implementation process for PPTS, which has been paused to allow for an evaluation by a third-party of current processes and progress in addition to staff evaluation and development of business process and system documents. In doing so, the Department is ensuring that its business processes are designed to achieve Departmental objectives. The major business processes captured include key attributes comprising performance metrics for monitoring and reporting within the system. The attributes also include time and due date criteria and features recommended in the 2007 BPR. DBI is making a concerted effort to improve departmental effectiveness through the implementation of a robust PPTS.
2012-13	Building a Better Future at the Department of Building Inspection	10.1 The DBI should conduct a methodical review of all major business processes to ensure that they are designed to achieve the department objectives and that they include time or due date criteria that can be monitored by information systems.	Department of Building Inspection (Director)	Will Be Implemented in the Future	The Department partially agrees with this Recommendation. Recommendation 10.1 has not yet been fully implemented, but DBI has been conducting a methodical review of all its business processes as part of the implementation process for the new Accela System. In doing so, the Department is ensuring that its business processes are designed to achieve Departmental objectives. The major business processes captured include key attributes comprising performance metrics for monitoring and reporting within the system. The attributes also include time and due date criteria and features recommended in the 2007 BPR. The Department wholly agrees with Finding No. 10 and has engaged in a concerted effort to improve departmental effectiveness through the implementation of the well-designed Accela System. As such, Recommendation 10.1 cannot be said to be fully implemented solely because implementation of the Accela System has been a complex and lengthy process, which is not scheduled to be completed until the first quarter of 2014. However, significant progress has been implementing Recommendation 10.1, and it will become fully implemented once the Accela System goes live.	Recommendation Implemented / Will Be Implemented in the Future	DBI has been conducting a methodical review of all its business processes as part of the implementation process for PPTS, which has been paused to allow for an evaluation by a third-party of current processes and progress in addition to staff evaluation and development of business process and system documents. In doing so, the Department is ensuring that its business processes are designed to achieve Departmental objectives. The major business processes captured include key attributes comprising performance metrics for monitoring and reporting within the system. The attributes also include time and due date criteria and features recommended in the 2007 BPR. DBI is making a concerted effort to improve departmental effectiveness through the implementation of a robust PPTS.
2012-13	Building a Better Future at the Department of Building Inspection	11.1 The DBI should ensure that all field inspectors and supervisors are fully trained and supported in both the use of the mobile equipment and the mobile Accela application being implemented as part of the Permit and Project Tracking System.	Building Inspection Commission (President)	Recommendation Implemented	The Department agrees with this Recommendation. In an effort to create an environment of efficiency and transparency, the Department has recently assigned new phone devices to 65 field inspectors. The devices provide inspectors the ability to schedule, review and document inspections in real time from the field. Each Smart Phone also has the capability to integrate inspection schedules and inspection results into Department databases, eliminating additional clerical tasks and data entry delays. As Exhibit N evinces, training on the new phone devices is currently under way, and is focused on device functionality with respect to current technologies. In addition, training on the devices and their functionality with respect to the new Accela System will be ongoing at least six (6) weeks prior to going live so as to ensure that users are adequately trained.	**	
2012-13	Building a Better Future at the Department of Building Inspection	11.1 The DBI should ensure that all field inspectors and supervisors are fully trained and supported in both the use of the mobile equipment and the mobile Accela application being implemented as part of the Permit and Project Tracking System.	Department of Building Inspection (Director)	Recommendation Implemented	The Department agrees with this Recommendation. In an effort to create an environment of efficiency and transparency, the Department has recently assigned new phone devices to 65 field inspectors. The devices provide inspectors the ability to schedule, review and document inspections in real time from the field. Each Smart Phone also has the capability to integrate inspection schedules and inspection results into Department databases, eliminating additional clerical tasks and data entry delays. As Exhibit N evinces, training on the new phone devices is currently under way, and is focused on device functionality with respect to current technologies. In addition, training on the devices and their functionality with respect to the new Accela System will be ongoing at least six (6) weeks prior to going live so as to ensure that users are adequately trained.	**	
2012-13	Golden Gate Park's Homeless Population	1. The City should formalize a system to gather information on the characteristics of GGP dwellers and why they live in the Park.	Recreation and Parks	Recommendation Implemented	The City has in place the Coordinated Case Management Services System (CCMS), which provides cross-departmental encounter data on high-risk homeless individuals, including park dwellers.	**	
2012-13	Golden Gate Park's Homeless Population	1. The City should formalize a system to gather information on the characteristics of GGP dwellers and why they live in the Park.	Department of Public Health	Recommendation Implemented	CCMS is a web-based database designed to function as an electronic charting, reporting, and communication tool for City teams working with homeless clients served across multiple systems of care. CCMS has data input from SFDPH, SFPD, DPH-Jail Health Services, DPH-Direct Access to Housing, the HOT-Engagement Specialist Team and other systems. The CCMS is used to gather information on the homeless population as a whole as well as special populations, such as Golden Gate Park dwellers. CCMS aggregate reports resulted in more information specific to the population.	**	
2012-13	Golden Gate Park's Homeless Population	1. The City should formalize a system to gather information on the characteristics of GGP dwellers and why they live in the Park.	Mayor	Recommendation Implemented	CCMS is a web-based database designed to function as an electronic charting, reporting, and communication tool for City teams working with homeless clients served across multiple systems of care. CCMS currently has data input from DPH, Fire, Jail Health Services, Direct Access to Housing, and the Engagement Specialist Team. This system is used to gather information on the homeless population as a whole and can be used to enter specific information on individuals in Golden Gate Park. Aggregate information, such as profiles of the population, can be developed through CCMS.	**	
2012-13	Golden Gate Park's Homeless Population	2. Information about GGP dwellers should be used to tailor support services to specific populations whose age and circumstances affect their needs and acceptance of services.	Recreation and Parks	Recommendation Implemented	DPH has assigned two 0.5 FTE case managers to Golden Gate Park. These case managers were selected for their ability to provide culturally competent social service outreach to GGP dwellers. Information gained from this dedicated outreach team is used to tailor specific services to the GGP homeless population, which frequently includes high numbers of transgender individuals and transitional age youth, many of whom struggle with substance abuse issues.	**	
2012-13	Golden Gate Park's Homeless Population	2. Information about GGP dwellers should be used to tailor support services to specific populations whose age and circumstances affect their needs and acceptance of services.	Department of Public Health	Recommendation Implemented	With the additional information gleaned from the outreach of the Engagement Specialist Team (EST), 0.5 FTE of two Homeless Outreach Team (HOT) case managers were assigned to GGP. The case managers were selected for cultural competency with the typically younger, often substance and/or alcohol dependent dwellers in Golden Gate Park; including a high frequency of transgender clients, Transition Age Youth (TAY), and individuals with Significant Mental Illness (SMI).	**	
2012-13	Golden Gate Park's Homeless Population	2. Information about GGP dwellers should be used to tailor support services to specific populations whose age and circumstances affect their needs and acceptance of services.	Mayor	Recommendation Implemented	Currently two case managers, working at half time each, have been responding to park rangers, SFPD, and other sourcing for clients specifically from Golden Gate Park. The two case managers were selected for cultural competency with the typically younger, often substance involved and alcohol dependent community. So far, there has been a high frequency of transgender clients, youth aged 18-24, and a few people with severe mental illness who have completed intake and are in service with SFPD as a result of this outreach. The background information gleaned from case managers helps tailor client services.	**	
2012-13	Golden Gate Park's Homeless Population	3. The City should establish a system to track its outreach efforts among park dwellers and use the information to evaluate effectiveness in reducing the number of park dwellers.	Recreation and Parks	Recommendation Implemented	Golden Gate Park homeless outreach teams will continue to update case management records in CCMS and continue to use CCMS to monitor service-utilization levels by GGP dwellers.	**	
2012-13	Golden Gate Park's Homeless Population	3. The City should establish a system to track its outreach efforts among park dwellers and use the information to evaluate effectiveness in reducing the number of park dwellers.	Department of Public Health	Recommendation Implemented	Continued use of CCMS to monitor service utilization by high-risk individuals, accessing multiple City services. New information is updated in CCMS and shared with the larger HOT team. Interventions and services responses get tailored for individual park dwellers and tracked over time, including, further evaluations of case management needs.	**	

(1) ** Response not required; Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2012-13	Golden Gate Park's Homeless Population	3. The City should establish a system to track its outreach efforts among park dwellers and use the information to evaluate effectiveness in reducing the number of park dwellers.	Police Department	Will Not be Implemented; Not Warranted or Not Reasonable	Original response remains unchanged pertaining to the Police Department's access to confidential information. Due to HIPPA concerns, the Police Department does not have access to any tracking system in regard to outreach efforts and services provided.	**	
2012-13	Golden Gate Park's Homeless Population	3. The City should establish a system to track its outreach efforts among park dwellers and use the information to evaluate effectiveness in reducing the number of park dwellers.	Mayor	Will Not be Implemented; Not Warranted or Not Reasonable	Instead of establishing a new system to track outreach, CCMS will continue to be used to monitor service utilization by high-risk individuals accessing multiple City services. The information collected will be shared with the larger SFHOT so that the individual's record is updated in CCMS and a support services response, including a further evaluation of the need for case management, can then be tailored to individual park dwellers and tracked over time.	**	
2012-13	Golden Gate Park's Homeless Population	4. The EST should conduct in-person, proactive outreach to park dwellers at different times of the day and night in order to maximize their efforts.	Recreation and Parks	Recommendation Implemented	The Department of Public Health's Engagement Specialist Team has changed its policy to dedicate at least one outreach worker to conduct in-person, proactive outreach to GGP dwellers in tandem with SFPD and/or RPD Park Patrol.	**	
2012-13	Golden Gate Park's Homeless Population	4. The EST should conduct in-person, proactive outreach to park dwellers at different times of the day and night in order to maximize their efforts.	Department of Public Health	Recommendation Implemented	EST outreach workers conduct ongoing, as-needed, and until needed, proactive outreach to GGP dwellers, often in tandem with SFPD and/or Rec & Park security.	**	
2012-13	Golden Gate Park's Homeless Population	5. The SFPD and Park Patrol should expand their outreach to GGP encampments to more areas of the Park and should vary the time.	Recreation and Parks	Recommendation Implemented	RPD has expanded its GGP outreach in conjunction with SFHOT and varied its contact times.	**	
2012-13	Golden Gate Park's Homeless Population	5. The SFPD and Park Patrol should expand their outreach to GGP encampments to more areas of the Park and should vary the time.	Recreation and Parks Commission	Recommendation Implemented	RPD has expanded its GGP outreach in conjunction with SFHOT and varied its contact times.	**	
2012-13	Golden Gate Park's Homeless Population	5. The SFPD and Park Patrol should expand their outreach to GGP encampments to more areas of the Park and should vary the time.	Police Department	Will Not be Implemented; Not Warranted or Not Reasonable	Although the Police Department and Park Police work in concert to patrol the Park, the original response remains unchanged in relation to expanding the hours of patrol in the Park. The Police Department continues to work with Park Patrol, beginning as early as 4:00 am. However, due to lighting conditions and staffing levels that have an impact on officer safety, the Police Department does not plan to patrol earlier in order to provide outreach services.	**	
2012-13	Golden Gate Park's Homeless Population	6. References to the Park's closure time on all park signs, brochures and City websites should be made consistent with the Park Code and Rec & Park Commission resolutions.	Recreation and Parks	Will be Implemented in the Future	Installation of new park signage reflecting GGP's opening and closing hours—consistent with the Park Code and Rec & Park Commission resolutions—will be complete by July 2014. The Department's brochures and website have been updated.	Will Be Implemented in the Future	15-20 closure time signs out of the over 500 already placed throughout park system remain to be installed. These signs will be placed in the natural areas zones and are scheduled to be completed by late summer 2016.
2012-13	Golden Gate Park's Homeless Population	6. References to the Park's closure time on all park signs, brochures and City websites should be made consistent with the Park Code and Rec & Park Commission resolutions.	Recreation and Parks Commission	Will be Implemented in the Future	Installation of new park signage reflecting GGP's opening and closing hours—consistent with the Park Code and Rec & Park Commission resolutions—will be complete by July 2014. The Department's brochures and website have been updated.	Will Be Implemented in the Future	15-20 closure time signs out of the over 500 already placed throughout park system remain to be installed. These signs will be placed in the natural areas zones and are scheduled to be completed by late summer 2016.
2012-13	Golden Gate Park's Homeless Population	7. The San Francisco Park Code should ban shopping carts in GGP in order to discourage living in the Park and to reduce litter.	Recreation and Parks	Will Not be Implemented; Not Warranted or Not Reasonable	Current policy already does not allow shopping carts in the park. Amending the Park Code is unnecessary; SFPD has a standing order regarding shopping carts which is enforced in all City parks. In addition, Park Patrol removes all abandoned property, including shopping carts, from park premises.	**	
2012-13	Golden Gate Park's Homeless Population	7. The San Francisco Park Code should ban shopping carts in GGP in order to discourage living in the Park and to reduce litter.	Recreation and Parks Commission	Will Not be Implemented; Not Warranted or Not Reasonable	Current policy already does not allow shopping carts in the park. Amending the Park Code is unnecessary; SFPD has a standing order regarding shopping carts which is enforced in all City parks. In addition, Park Patrol removes all abandoned property, including shopping carts, from park premises.	**	
2012-13	Golden Gate Park's Homeless Population	7. The San Francisco Park Code should ban shopping carts in GGP in order to discourage living in the Park and to reduce litter.	Mayor	Will Not Be Implemented; Not Warranted or Not Reasonable	Current policy already does not allow shopping carts in the park. Amending the park code is unnecessary; SFPD has a standing order regarding shopping carts which is enforced in all City parks. In addition, Park Patrol removes all abandoned property, including shopping carts, from park premises.	**	
2012-13	Log Cabin Ranch: Planning for the Future	1.1 Continue current efforts to develop Log Cabin Ranch as a viable disposition option for youthful offenders.	Juvenile Probation	Recommendation Implemented	The JPD has continued to support the operations of LCR as a disposition option for the juvenile court. The facility has been inspected by the Board of State and Community Corrections during 2013 with no findings of non-compliance. With Funding from a Federal Second Chance Act grant, the Juvenile Collaborative Reentry Unit services are now extended to LCR youth, enhancing the availability of clinicians, case managers, social workers, and probation officers to develop comprehensive reentry plans with the youth and families for implementation upon their return to the community. The JPD will continue efforts to improve LCR's viability as a disposition for juvenile wards.	**	
2012-13	Log Cabin Ranch: Planning for the Future	1.1 Continue current efforts to develop Log Cabin Ranch as a viable disposition option for youthful offenders.	District Attorney	Recommendation Implemented	This recommendation was implemented before the Civil Grand Jury Report and we continue to recommend Log Cabin Ranch as a viable disposition option.	**	
2012-13	Log Cabin Ranch: Planning for the Future	1.1 Continue current efforts to develop Log Cabin Ranch as a viable disposition option for youthful offenders.	Public Defender	Recommendation Implemented	The efforts are being implemented to develop LCRS as a possible disposition option for youthful offenders. The Juvenile Probation Department along with its partners in the Juvenile Collaborative Reentry Unit have expanded its reentry services, planning, and resources to youth graduating from LCRS with the hope of reducing recidivism. By providing intensive reentry planning, wrap services, and court monitoring, Ranch graduates will be less likely to reoffend, improve their educational and vocational outcomes and become productive members of their communities.	**	

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CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2012-13	Log Cabin Ranch: Planning for the Future	1.2 Expand educational and vocational training for residents to prepare them for post-release success.	Juvenile Probation	Will Be Implemented in the Future	This item requires the input of a comprehensive needs analysis and master plan. The JPD has received a draft needs analysis which needs further refinement. However, this document serves as a good foundation for a more detailed master plan. Such a document will inform the JPD and the City regarding the continued need and utility of LCR. JPD included \$300K in its 2013-14 budget to fund the master plan and has continued the request in its 2014-15 budget submission.	Recommendation Implemented	The work related to this recommendation is ongoing. A workshop has been convened to review the essential elements of a master plan for the department. In December, 2014, the Department in collaboration with the City Performance Unit of the Controller's office completed a Juvenile Probation population forecast analysis, including population growth estimates and factors influencing trends in juvenile justice practice. Our LCR comprehensive needs analysis is ever-evolving given some recent changes in state law. Assembly Bill 167 has resulted in a larger number of youths becoming eligible for a high school diploma and thus resulted in a larger percentage of H.S. graduates at LCR. AB-12 resulted in the introduction of eligibility criteria for foster care benefits that are unavailable for most youths committed to LCR and therefore has impacted the number of commitments to the facility in favor of preserving eligibility for these important state benefits. Current programming has been enhanced to include vocational and educational assessments and on-site assistance to youths in their efforts to determine employment options best aligned with their interests and skills. Construction and landscape training is provided by the San Francisco Conservation Corps (SFCC), including training in soft skills. The juvenile justice stakeholders have met and discussed the use of LCR as a dispositional option and will engage in a workshop to develop recommendations and priorities for the site. Presently, a land analysis has been requested from the Department of Real Estate to help determine the various financially viable options for use of the land on which LCR and Hidden Valley presently sit.
2012-13	Log Cabin Ranch: Planning for the Future	1.3 Increase involvement of DCYF-funded CBOs providing services at the Ranch.	Juvenile Probation	Will Be Implemented in the Future	The next cycle of CBO requests for proposals through the Violence Prevention Joint Funders plan is scheduled to occur during the 2015-16 budget year. At that time, the JPD will raise the increased involvement of CBO's at LCR as a priority for violence prevention programs.	Recommendation Implemented	The violence prevention Request for Proposals (RFP) process has been postponed by the Department of Children Youth and Their Families (DCYF) until fiscal year 2017. However, the Juvenile Justice Coordinating Council in partnership with the DCYF has voted to align the local action plan with the Children's fund allocations and fiscal priorities. This will allow for a more coordinated request for programs and services designed to meet the needs of all youth, inclusive of those involved with the juvenile justice system. The Local Action Plan is a requirement of state law associated with the county's acceptance of Juvenile Justice Crime Prevention Act funding. In the interim, SFJPD has implemented a Departmental Initiative consisting of Trauma Informed Systems (TIS). This initiative is recognized as an evidence based practice (EBP) to support reductions in violence and identify treatment modalities to best support violence prevention and serve our youthful offenders as it relates to trauma. Substance abuse specific treatment has been added as delivered by the Asian American Recovery Services, delivering the evidence-based model of Seeking Safety. The Imagine Bus Project provides services to the youths at LCR and is a community based organization that provides transformative interventions to youth through arts education and community partnerships, with specific focus on reentry of youths returning to communities following incarceration. Most recently, a new curriculum was added. This program is known as Boys Council and is a promising practice introduced by the One Circle Foundation and jointly facilitated by both LCR counselors and therapists for the Health Department's Special Programs for Youth. We continue to deliver the Cognitive Behavioral Treatment (CBT) program - Aggression Replacement Therapy as each youth is required to complete this program prior to release from LCR.
2012-13	Log Cabin Ranch: Planning for the Future	1.3 Increase involvement of DCYF-funded CBOs providing services at the Ranch.	Department of Children, Youth and Families	Will be implemented in the future	The next cycle of CBO requests for proposals through the Violence Prevention Joint Funders plan is scheduled to occur during the 2015-16 budget year. At that time, the JPD will raise the increased involvement of CBO's at LCR as a priority for violence prevention programs.	Recommendation Implemented	DCYF funded 4 agencies to provide services at Log Cabin Ranch (Each One Reach One, Special Services for Groups, Center on Juvenile and Criminal Justice and Sunset youth Services). DCYF is leading the development of the Local Action Plan with the Juvenile Justice Coordinating Council. This Multiagency Plan identifies resources and strategies for providing a continuum of responses for the prevention, intervention, supervision, treatment, and incarceration of juvenile offenders. An assessment of service needs at Log Cabin will be included in the plan.
2012-13	Log Cabin Ranch: Planning for the Future	1.4 Enhance training for all Ranch staff.	Juvenile Probation	Will Be Implemented in the Future	Over the course of the 2014-15 fiscal year, additional training in the LCR intervention model will be provided to all LCR staff and agency partners. During the current fiscal year, all Juvenile Probation Officers were provided with an overview of the programs and services at LCR.	Recommendation Implemented	From 2014 to present, all LCR Staff participated in Ethics Training, Missouri Youth Services Institute (MYSI) Refresher Training, Trauma Informed Care Training, Motivational Interviewing/Evidence Based Practices and Sexually Exploited Victims Training. Supervisory staff attended PREA Investigative Training, Peace Officer Bill of Rights (POBR) training as well as Personnel Investigation Training. Other training attended consisted of Train the Trainer PREA Instructor training (Supervisor), Facilitator Training (Director and Supervisor) and upcoming scheduled trainings in May and June of 2016 consist of Suicide Prevention Training and Managing Aggressive Behavior Training for all LCR staff.
2012-13	Log Cabin Ranch: Planning for the Future	2. Develop tracking systems for post-probationary youth in collaboration with the Adult Probation Department that will provide data to evaluate programs both at the Ranch and after release.	Juvenile Probation	Will Be Implemented in the Future	Both JPD and APD are in the process of developing electronic case management systems that will enhance the capacity of these two departments to share information regarding individuals with records held by both agencies. It is anticipated that the system development will take at least 12-18 months for the JPD system alone. In the interim, JPD and APD have the ability to query other systems such as the California Law Enforcement Tracking System (CLETS) to determine if graduates of LCR have contact with other California law enforcement agencies.	Will Be Implemented in the Future	Our Department is currently implementing our Case Management System (Augustus). The current implementation plan is underway through the development of a needs assessment for the Case Management System (CMS) - completed, identifying team members from each division that will become "Train the Trainers" within their respective divisions - completed, the next phase was just recently completed where SFJPD Probation Officers were recently trained in the newest version of the Augustus Case Management System for tracking for post-probationary youth. Log Cabin Ranch has provided recommendations as to enhancing the Case Management system as to tracking, documentation and outcome measures. The next phases consist of identifying enhancements and internal problems, implementation of departmental forms, and the training of institution staff at LCR and JJC. Originally, the Departments had planned to use the same vendor and maximize efficiencies in the technological platform, database structure, and functionality. However, due to contract complications experienced by APD, they decided to seek a different vendor and JPD did the same. We also recognize that the persons in the juvenile and adult justice systems, travel across county lines and the coordination with various county and state databases is even less feasible. While the sharing of data across systems is a laudible goal, it is less than practical at this time. In the meantime, the APD and JPD have opened lines of communication to determine ways to better coordinate involvement in both juvenile and criminal justice systems. This may include sharing data files that can be compared against the various digital systems.
2012-13	Log Cabin Ranch: Planning for the Future	2. Develop tracking systems for post-probationary youth in collaboration with the Adult Probation Department that will provide data to evaluate programs both at the Ranch and after release.	Adult Probation	Will Be Implemented in the Future	Both JPD and APD are in the process of developing electronic case management systems that will enhance the capacity of these two departments to share information regarding individuals with records held by both agencies. It is anticipated that the system development will take at least 12-18 months for the JPD system alone. In the interim, JPD and APD have the ability to query other systems such as the California Law Enforcement Tracking System (CLETS) to determine if graduates of LCR have contact with other California law enforcement agencies.	Will Be Implemented in the Future	APD continues to be in the development process of our new case management system, which will enhance our ability to share information regarding individuals with records in both agencies. While in this development process, APD staff continues to communicate with JPD staff to obtain and share information. Further, information is available through other systems such as the California Law Enforcement Tracking System (CLETS) to determine if graduates of LCR have contact with other law enforcement agencies.

(1) "" Response not required; Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2012-13	Log Cabin Ranch: Planning for the Future	3. Fund a master plan for Log Cabin Ranch to determine the programmatic and capital requirements for a viable facility.	Mayor	Recommendation Implemented	The Juvenile Probation Department sought a master plan in its FY 2012-13 budget and was provided funding for a portion of that master plan - a needs assessment intended to identify the needs of San Francisco's youth as an input to a master plan to address those needs. The needs analysis was conducted and a preliminary draft plan developed. However, at this time, due to the complexity of the project and departmental turnover the needs assessment is still incomplete. A completed needs assessment will inform the development of the master plan, which is currently funded as part of the base FY 2014-15 budget. The City Services Auditor has expressed an interest in assisting the Juvenile Probation Department with completion of the needs assessment. The City and County of San Francisco FY 2014-15 and FY 2015-16 budget will officially be adopted July, 2014.	**	
2012-13	Log Cabin Ranch: Planning for the Future	3. Fund a master plan for Log Cabin Ranch to determine the programmatic and capital requirements for a viable facility.	Board of Supervisors	Requires Further Analysis	Board of Supervisors reports that it requires further analysis for Recommendation 3 for reasons as follows: the Board will work with the appropriate departments and offices to explore establishing a process for drafting a master plan for the Log Cabin Ranch; and conduct this within six months of the publication of the Civil Grand Jury report, from June 26, 2013 to no later than December 26, 2013. (File No. 130608 Resolution No. 361-13)	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference In Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On March 13, 2014, the Board held a hearing with Juvenile Probation Department to investigate the recommendations and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2012-13	Log Cabin Ranch: Planning for the Future	4.1 Explore possibilities with community organizations and charitable foundations to further the development of Log Cabin Ranch and Hidden Valley Ranch, with the objective of supporting both high-risk and at-risk youth of San Francisco and their families.	Mayor	Recommendation Implemented	The department has discussed this issue with the Juvenile Probation Commission and an adhoc subcommittee will be developed later this fall, to work directly with the department to identify and further develop additional partnerships with community organizations, charitable foundations and others interested in supporting the mission of the Juvenile Probation Department.	**	
2012-13	Log Cabin Ranch: Planning for the Future	4.1 Explore possibilities with community organizations and charitable foundations to further the development of Log Cabin Ranch and Hidden Valley Ranch, with the objective of supporting both high-risk and at-risk youth of San Francisco and their families.	Board of Supervisors	Recommendation Implemented	Board of Supervisors reports that it has implemented Recommendation 4.1 as follows: the Department has discussed this issue with the Juvenile Probation Commission and an ad hoc subcommittee will be developed later this fall to work directly with the Department to identify and further develop additional partnerships with community organizations, charitable foundations and others interested in supporting the mission of the Juvenile Probation Department. (File No. 130608 Resolution No. 361-13)	**	
2012-13	Log Cabin Ranch: Planning for the Future	4.2 Examine collaboration with regional counties to develop programs to address the needs of high-risk and at-risk youth.	Mayor	Recommendation Implemented	In recent years, the JPD has reached out to former Probation Chiefs in both Alameda and San Mateo Counties regarding regional strategies designed to work with high-risk offenders.1. Discussions with other counties have been initiated to explore the possibility of joint initiatives supported by intergovernmental agreements. While these discussions are in their infancy, preliminarily they have been positive and fruitful. San Mateo County maintains and operates a ranch for adjudicated minors about a half mile from Log Cabin Ranch. The two facilities coordinate sporting events together and have extended mutual aid in past years. This aid has included allowing LCR to use shower facilities and LCR allowing Camp Glenwood to utilize its gymnasium. In those instances where youth have AVOL'd from either facility, communications between the two have helped increase awareness, vigilance and cooperation between the two sites. The ability to share a single physical location could prove mutually beneficial to both counties and lead to overall fiscal efficiency for these two Bay area counties and the youths and families they serve. Efforts to explore possible agreements will continue.	**	
2012-13	Log Cabin Ranch: Planning for the Future	4.2 Examine collaboration with regional counties to develop programs to address the needs of high-risk and at-risk youth.	Board of Supervisors	Requires Further Analysis	Board of Supervisors reports that it requires further analysis for Recommendation 4.2 for reasons as follows: the Board will work with the appropriate departments and offices to explore the collaboration with other counties; and conduct this within six months of the publication of the Civil Grand Jury report, from June 26, 2013 to no later than December 26, 2013. (File No. 130608 Resolution No. 361-13)	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference In Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On March 13, 2014, the Board held a hearing with Juvenile Probation Department to investigate the recommendation and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.1 The web-based San Francisco Property Information Map currently used to display Planning and Building Inspection Department information should be integrated with and further developed by other departments to convey complete information about City properties. The Department of Technology and the Planning Department should work with and provide database access to all City departments enabling them to maintain the information on their properties.	Department of Technology	Recommendation Implemented	The Department of Technology worked with the Planning Dept and the Real Estate Dept to provide City Owned Property information to a publicly available map. The URL is www.sf-planning.org . Click on the small map entitled San Francisco Property Information Map; search for a property address (e.g., 1 Dr Carlton b. Goodlett FJ). Click on the "City Properties" link to view information such as Jurisdiction, Agency, Status and Vacancy.	**	
2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.1 The web-based San Francisco Property Information Map currently used to display Planning and Building Inspection Department information should be integrated with and further developed by other departments to convey complete information about City properties. The Department of Technology and the Planning Department should work with and provide database access to all City departments enabling them to maintain the information on their properties.	Planning Department	Recommendation Implemented	Regarding the Civil Grand Jury Recommendation below, our Department considers this item to be complete. The Planning Department has added the requested data, including information on public properties from the Real Estate Department, to DataSF. We have included a link to this information to our Property Information Map, available to the public at http://propertymap.sfplanning.org/ This website also includes the capacity for public uses to click on a link for easy connections to the Real Estate Department for further information and/or reporting errors.	**	
2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.1 The web-based San Francisco Property Information Map currently used to display Planning and Building Inspection Department information should be integrated with and further developed by other departments to convey complete information about City properties. The Department of Technology and the Planning Department should work with and provide database access to all City departments enabling them to maintain the information on their properties.	Real Estate Department	Recommendation Implemented	REIS (Real Estate Information System) database information has been integrated with the Property Information Map (PIM), and all necessary links between the two system are complete. Access to inputting data into the database continues to be limited to key staff at Planning, Dept of Technology, Real Estate and Capital Planning, to ensure we maintain the integrity of the data.	**	

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2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.1 The web-based San Francisco Property Information Map currently used to display Planning and Building Inspection Department information should be integrated with and further developed by other departments to convey complete information about City properties. The Department of Technology and the Planning Department should work with and provide database access to all City departments enabling them to maintain the information on their properties.	Director of Capital Planning	Recommendation Implemented	REIS (Real Estate Information System) database information has been integrated with the Property Information Map (PIM), and all necessary links between the two system are complete. Access to inputting data into the database continues to be limited to key staff at Planning, Dept of Technology, Real Estate and Capital Planning, to ensure we maintain the integrity of the data.	**	
2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.2 The online database of all properties owned by SFUSD and all City departments, including revenue-generating enterprise departments, needs to include information required by Chapter 23A of the Administrative Code.	Mayor's Office	Will Be Implemented in the Future	The City Administrator's Office, through the Director of Property, intends to present a legislative clean-up to Chapter 23A of the Administrative Code for Board and Mayor consideration.	Recommendation Implemented	Chapter 23 amendments have been codified and voter approved. Consistent with the amended Chapter 23 code provisions, Real Estate and the City Administrator provided the Board of Supervisors with a list of surplus properties on March 1, 2016, which is posted on the Real Estate website. Other reporting requirements under the amended code are being met.
2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.2 The online database of all properties owned by SFUSD and all City departments, including revenue-generating enterprise departments, needs to include information required by Chapter 23A of the Administrative Code.	City Administrator	Will Be Implemented in the Future	The City Administrator's Office, through the Director of Property, intends to present a legislative clean-up to Chapter 23A of the Administrative Code for Board and Mayor consideration. That legislation awaits further input from the community engagement process now being led by City Planning, OEWD, MOHCD and others relative to public site development.	Recommendation Implemented	Chapter 23 amendments have been codified and voter approved. Consistent with the amended Chapter 23 code provisions, Real Estate and the City Administrator provided the Board of Supervisors with a list of surplus properties on March 1, 2016, which is posted on the Real Estate website. Other reporting requirements under the amended code are being met.
2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.2 The online database of all properties owned by SFUSD and all City departments, including revenue-generating enterprise departments, needs to include information required by Chapter 23A of the Administrative Code.	Superintendent of Schools	Will Not Be Implemented: Not Warranted or Not Reasonable	The recommendation will not be implemented because it is not warranted or reasonable. The "Surplus City Property Ordinance" does not apply to school district properties. The definitions in the ordinance state that the term "Property" shall mean any real property owned by the City and County of San Francisco, excluding land and buildings reserved for open space or parks purposes, or any land dedicated for public right-of-way purposes, or any land used or reserved for transit lines, or public utility rights-of-way, or any publicly dedicated streets or rights-of-way. "Property shall not include any real property owned by or on behalf of the San Francisco Unified School District." (SF Admin. Code 23A.4 (t) (Emphasis added)). The San Francisco Unified School District maintains a comprehensive list of all properties owned, leased or occupied by the District. This comprehensive list is organized by assessor's parcel number and includes property information, including but not limited to, the property by address, name, use, lot area, building area, number of classrooms, programs and whether the property is leased to non-SFUSD users or tenants. In 2007, 2009 and 2010 this comprehensive property list, with yearly updates, was included as an integral part of the District's published and Board of Education approved "10 Year Capital Plan" This was a public document and was posted on the District Website accessible to all members of the public who are interested. The property inventory is updated regularly to reflect the current status and use of all District owned and leased properties and the District anticipates an update to the 10 Year Capital Plan within the next year.	**	
2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.3 City departments, commissions and agencies should be directed to maintain and update their departmental real estate database, which appears in the Real Estate Division Map of Real Property and Property Book.	Mayor's Office	Will Be Implemented in the Future	Pursuant to the requirements of Chapter 23A of the Administrative Code, as amended, this will be accomplished.	Recommendation Implemented	A memorandum was provided to all city departments in January of 2016, to ensure compliance with the voter-approved Chapter 23 amendments regarding reporting requirements.
2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.3 City departments, commissions and agencies should be directed to maintain and update their departmental real estate database, which appears in the Real Estate Division Map of Real Property and Property Book.	City Administrator	Will Be Implemented in the Future	Pursuant to the requirements of Chapter 23A of the Administrative Code, as amended, this will be accomplished. In the meantime, departments have been requested to come into conformance with the existing code provisions by July 1, 2014.	Recommendation Implemented	Memorandum was provided to all city departments in January of 2016, to ensure compliance with the voter-approved Chapter 23 amendments regarding reporting requirements.
2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.4 The Director of Real Estate should be required to review the list annually to confirm that all departments have made a complete report on their properties, including surplus and underutilized properties, in accordance with the requirements of Chapter 23A of the Administrative Code; and the City Administrator should be required to report annually to the Board of Supervisors regarding the City's real property assets.	Mayor's Office	Will Be Implemented in the Future	The City Administrator's Office, through the Director of Property, continues to draft amendments to Administrative Code Chapter 23A. While amendments are being drafted, the Real Estate Division plans on reporting based on the current requirements of the code. However, the annual report to the Board of Supervisors relative to the City's real property assets will be contained within the overall Capital Plan documents provided to the Board annually, as recommended by the City's Capital Planning Committee.	Recommendation Implemented	Chapter 23 amendments have been codified and voter approved. Consistent with the amended Chapter 23 code provisions, Real Estate and the City Administrator provided the Board of Supervisors with a list of surplus properties on March 1, 2016, which is posted on the Real Estate website. Other reporting requirements under the amended code are being met.
2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.4 The Director of Real Estate should be required to review the list annually to confirm that all departments have made a complete report on their properties, including surplus and underutilized properties, in accordance with the requirements of Chapter 23A of the Administrative Code; and the City Administrator should be required to report annually to the Board of Supervisors regarding the City's real property assets.	City Administrator	Will Be Implemented in the Future	The City Administrator's Office, through the Director of Property, continues to draft amendments to Administrative Code Chapter 23A. While those amendments are being drafted, the Real Estate Division plans on reporting based on the current code requirements. However, the annual report to the Board of Supervisors relative to the City's real property assets will be contained within the overall Capital Plan documents provided to the Board annually, as recommended by the City's Capital Planning Committee.	Recommendation Implemented	Chapter 23 amendments have been codified and voter approved. Consistent with the amended Chapter 23 code provisions, Real Estate and the City Administrator provided the Board of Supervisors with a list of surplus properties on March 1, 2016, which is posted on the Real Estate website. Other reporting requirements under the amended code are being met.
2012-13	Optimizing the Use of Publicly-Owned Real Estate	1.4 The Director of Real Estate should be required to review the list annually to confirm that all departments have made a complete report on their properties, including surplus and underutilized properties, in accordance with the requirements of Chapter 23A of the Administrative Code; and the City Administrator should be required to report annually to the Board of Supervisors regarding the City's real property assets.	Real Estate Department	Will Be Implemented in the Future	The City Administrator's Office, through the Director of Property, continues to draft amendments to Administrative Code Chapter 23A. While those amendments are being drafted, the Real Estate Division plans on reporting based on the current code requirements. However, the annual report to the Board of Supervisors relative to the City's real property assets will be contained within the overall Capital Plan documents provided to the Board annually, as recommended by the City's Capital Planning Committee.	Recommendation Implemented	Chapter 23 amendments have been codified and voter approved. Consistent with the amended Chapter 23 code provisions, Real Estate and the City Administrator provided the Board of Supervisors with a list of surplus properties on March 1, 2016, which is posted on the Real Estate website. Other reporting requirements under the amended code are being met.

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2012-13	Optimizing the Use of Publicly-Owned Real Estate	2. The City and SFUSD should activate their respective Surplus Property Advisory Committees because the meetings of these committees provide a public forum in which to discuss best uses of publicly-owned real estate and each committee should be charged with monitoring uses of public property and making sure that there is ongoing accountability with respect to surplus and underutilized properties.	City Administrator	Will Not be Implemented: Not Warranted or Not Reasonable	All surplus assets of the City are currently posted on the Real Estate Division's website, and have been for at least two years. With the upcoming Administrative Code changes, and more robust discussion of property in the City's annual Capital Plan, accountability and transparency will be adequately addressed.	"	
2012-13	Optimizing the Use of Publicly-Owned Real Estate	2. The City and SFUSD should activate their respective Surplus Property Advisory Committees because the meetings of these committees provide a public forum in which to discuss best uses of publicly-owned real estate and each committee should be charged with monitoring uses of public property and making sure that there is ongoing accountability with respect to surplus and underutilized properties.	Superintendent of Schools	Will Not be Implemented: Not Warranted or Not Reasonable	The recommendation will not be implemented because it is not warranted or reasonable at this time. There currently are no additional properties "not being used for educational purposes" within the District that should be reclassified or declared surplus under the conditions of the Education Code to be designated surplus and subject to possible sale. However, the District recognizes that should conditions change and the reactivation of this committee becomes necessary, the District will do so.	"	
2012-13	Optimizing the Use of Publicly-Owned Real Estate	3. The Board of Supervisors should amend Chapter 23A of the Administrative Code to include an incentive for City Departments to identify and dispose of surplus and underutilized properties and to broaden the purposes for which surplus and underutilized properties may be used.	Mayer	Will Not be Implemented: Not Warranted or Not Reasonable	Since this recommendation is directed to the Board of Supervisors it cannot be implemented by the Mayor. Legislative clean up of Chapter 23A of the Administrative Code is awaiting input from the community engagement process now being led by City Planning, the Office of Economic and Workforce Development and the City Administrator's Real Estate Division relative to public site development. Any proposed changes beyond legislative clean up must be reviewed and approved by the Board of Supervisors. Current City policy directs surplus property to be developed as affordable housing.	"	
2012-13	Optimizing the Use of Publicly-Owned Real Estate	3. The Board of Supervisors should amend Chapter 23A of the Administrative Code to include an incentive for City Departments to identify and dispose of surplus and underutilized properties and to broaden the purposes for which surplus and underutilized properties may be used.	Board of Supervisors	Requires Further Analysis	Board of Supervisors reports that it requires further analysis for Recommendation 3 for reasons as follows: the Board will work with our City departments, such as the Real Estate Division, to examine amending Chapter 23A of the Administrative Code within six months of the publication of the Civil Grand Jury report, from June 13, 2013 to no later than December 13, 2013. (File No. 130604 Resolution No. 339-13)	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference in Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On March 13, 2014, the Board held a hearing with City Administrator's Real Estate Division, Mayor's Office of Economic and Workforce Development, and Planning Department to investigate the recommendations and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2012-13	Optimizing the Use of Publicly-Owned Real Estate	4. The Board of Supervisors and the SF Board of Education should each adopt rules which limit the length of time property may remain on their respective surplus list without action and which address consequences for such inaction.	Board of Supervisors	Requires Further Analysis	Board of Supervisors reports that it requires further analysis for Recommendation 4 for reasons as follows: the Board will work with SFUSD and other City departments to examine what should be considered within six months of the publication of the Civil Grand Jury report, from June 13, 2013 to no later than December 13, 2013. (File No. 130604 Resolution No. 339-13)	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference in Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On March 13, 2014, the Board held a hearing with the listed City departments to investigate the recommendation and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2012-13	Optimizing the Use of Publicly-Owned Real Estate	4. The Board of Supervisors and the SF Board of Education should each adopt rules which limit the length of time property may remain on their respective surplus list without action and which address consequences for such inaction.	Board of Education	Will Not be Implemented: Not Warranted or Not Reasonable	The recommendation will not be implemented because it is not warranted or reasonable. The District will comply with Education Code requirements for declaring properties as surplus and for disposing of surplus properties. The educational program and administrative facility requirements for the District are fluid and the District must remain flexible with regard to the disposition and use of school sites and properties in order to respond to these changing needs, as explained in response to Finding #3 above.	"	
2012-13	Optimizing the Use of Publicly-Owned Real Estate	5.1 The SFUSD needs to designate someone, who is given appropriate authority, whose time and energy is devoted solely to optimizing use of surplus and underutilized real estate through its development or disposition. That person should work with the City's Capital Planning Policy Committee and Surplus Property Advisory Committee to incorporate surplus and underutilized property into SFUSD's and City's respective 10-year rolling capital plans.	Superintendent of Schools	Will Not be Implemented: Not Warranted or Not Reasonable	The recommendation will not be implemented because it is not warranted or reasonable. Previously, the District had a full time Director of Real Estate position. That position was eliminated two years ago due to District-wide budget reductions and as District leadership determined that a restructuring and more effective strategy was required in the District's approach to their property assets and management of those assets. Therefore, a "Real Estate Working Group" was established, including the Chief Facilities Officer, Deputy Superintendent of Policy and Operations, General Counsel, Chief Financial Officer, a consultant Real Estate/property lease and transaction specialist and several others to optimize the use of surplus and underutilized real estate through its development or disposition within the overall fluid education needs of the District. This group meets regularly and jointly commissions studies, evaluates property transaction or lease proposals, negotiates pending transactions and makes all recommendations to the Board of Education. This group has had great success since its establishment 2 years ago. They concluded a successful \$11.1 million dollar surplus property sale to San Francisco State University, are in process of negotiating a long term lease for use of district property that will generate millions of dollars of additional revenue to the district general fund over the next 10-12 years and are in process of concluding a multi-property sale and exchange with the Mayor's Office of Housing. The District believes that this leadership strategy has been highly effective in producing positive results that are also sensitive to the overall District academic strategic plan and project growth expectations.	"	
2012-13	Optimizing the Use of Publicly-Owned Real Estate	5.2 The Capital Planning Policy Committee of the San Francisco Capital Planning Program should be made responsible for overseeing the publicly-owned surplus and underutilized property list for the City and for assessing that clear plans for the disposition or repurposing of such properties are generated and incorporated into the 10 year rolling capital plan of the Capital Planning Program.	City Administrator	Will Be Implemented in the Future	The Director of Property intends to collaborate more frequently with the Capital Planning Committee, and the Capital Plan will contain a section in the future relative to status of surplus and underutilized City assets.	Recommendation Implemented	Consistent with the schedule contained in the amended Chapter 23, Capital Planning input will occur in the fall of 2016, following the date of first publication of the surplus list (March 1, 2016).

(1) "" Response not required; Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2012-13	Optimizing the Use of Publicly-Owned Real Estate	6. The entire complex of historic buildings at 135 Van Ness / 170 Fell Street, including Nourse Auditorium, should be put to productive use by, for example, converting the complex into the School for the Arts.	Board of Education	Recommendation Implemented	The recommendation has been implemented, but still requires significant further work and capital development. The Superintendent has rolled out a number of District strategic initiatives that are critical to closing the achievement gap and raising the education bar to the highest levels possible. Paramount in those initiatives are programs for STEM (Science, Technology, Engineering and Math) and the Arts, of which a key component is the relocation of the Ruth Asawa School of the Arts from the McAteer Campus to the 135 Van Ness block, located in the heart of the San Francisco arts civic center district. Currently, the District has retained a new architect to refresh the program and design for the school and that work is now in progress. The District recognizes that significant obstacles still remain to fund the now estimated \$225 million project design and construction costs, but with the improving economy and significant private sector benefactors for the arts, the District is now more optimistic that a significant private capital campaign, coupled with other funding sources can successfully be put together to bring this long anticipated project to reality.	**	
2012-13	Optimizing the Use of Publicly-Owned Real Estate	6. The entire complex of historic buildings at 135 Van Ness / 170 Fell Street, including Nourse Auditorium, should be put to productive use by, for example, converting the complex into the School for the Arts.	Superintendent of Schools	Recommendation Implemented	Over the past 6 months a significant amount of work has been done to realize the goal of moving the Ruth Asawa School of the Arts to the arts corridor in Civic Center. On December 10, 2013 a resolution was introduced by the Superintendent of Schools to the School District Board of Education to formally endorse "Creating a World-Class Arts Education Center to be located in the center of the Civic Center artistic Hub at the 135 Van Ness block site." On January 7, 2014 the resolution was taken up in a broad discussion of the issues, challenges, project goals, costs and funding strategies at a special public hearing convened by the Board of Education. On Tuesday, January 14, 2014 the Board of Education unanimously approved the resolution. Currently, District staff are in the process of developing a strategic plan based on the goals of the resolution to bring the project forward to reality, recognizing that there are still enormous challenges in funding a project that is currently estimated to cost \$240 million and has significant historic preservation, seismic safety and other issues. While the challenges are great and many, the Superintendent has placed moving forward with the renovation of the 135 Van Ness block for the Ruth Asawa School of the Arts as a priority.	**	
2012-13	Use of Nonprofit Community-Based Organizations	1. To ensure adequate public awareness, access to CBO grant and contract information should be more explicitly communicated to the public. For example, the Mayor should consider specifically highlighting during the budget process that this dollar amount is devoted to grant and contract awards to CBOs to provide services the City/County believes to be critical.	Mayor	Recommendation Implemented	Total budgeted departmental City grant spending is listed in the character summary in the Annual Appropriation Ordinance, (character 038). This document is adopted by the Board of Supervisors and is posted online for viewing by members of the public. Additionally, the Mayor's Proposed Budget Book provides the amount budgeted for "Aid Assistance/Grants" in each department section. Information on specific vendors is not listed in budget documents because the City cannot budget at the vendor level, as all vendors must go through a competitive process to be granted budgeted funds. The public has been able to view and download current and historical vendor payments including payments made to all community based organizations for many years. In addition, the Controller's Office recently launched SF OpenBook, a web portal designed to provide easy access to a number of interactive tools, reports and other content to shed light on the City's economy, finances, and operational performance.	**	
2012-13	Use of Nonprofit Community-Based Organizations	2.1 The Mayor and the Board of Supervisors should take the important step of developing an overarching strategy, as recommended by the San Francisco Community-Based Organizations Task Force in 2002, for evaluating the long-term effect of services provided by CBOs and use the results of that examination to set priorities and eliminate ineffective (or wasteful) programs.	Mayor	Recommendation Implemented	With respect to ensuring that CBO's are performing, and that ineffective or wasteful programs are eliminated, the City has taken several steps in response to the recommendations to the 2009 Community Based Organizations Task-Force. For example, the Controller's Office City Services Auditor Division, as part of its Citywide Nonprofit Monitoring and Capacity Building Program, coordinated the development and implementation of a Joint Fiscal and Compliance Monitoring Protocol, where agencies funded by two or more City departments are reviewed utilizing the same protocol by a joint City team. This practice to standardizes procedures across departments, eliminates duplication of efforts for both contractors and City departments, and promotes nonprofit sustainability. The following departments participated in Fiscal Year 2012-13: <ul style="list-style-type: none"> Children and Families Commission (CFC) Department of Children, Youth & Families (DCYF) Department on the Status of Women (DOSW) Department of Public Health (DPH) Department of Technology (DT) Human Services Agency (HSA) Office of Economic and Workforce Development (OEWD) Mayor's Office of Housing (MOH) Sheriff (SHP) <p>Many departments have also implemented the same protocol for organizations that are not jointly funded to ensure standardization in fiscal and compliance monitoring among all contractors. The Fiscal and Compliance Monitoring protocol is typically accompanied by a programmatic performance monitoring protocol conducted by each department that has been tailored to the unique services delivered by that department.</p> <p>If an agency performs poorly in a category of its standard Fiscal and Compliance Monitoring, or Programmatic Monitoring, it has an opportunity through that process to remediate the problem. However, if the problem becomes more serious, or remains unaddressed, City departments now utilize a standardized Corrective Action Policy process and model to address concerns. Nonprofits with multiple or repeated findings that they are not in compliance with City standards can be deemed ineligible for new or renewed City funding. Nonprofits that fail to perform for program-related reasons will be less competitive in RFP scoring processes. Additional information can be found in the Controller's "Citywide Fiscal and Compliance Nonprofit Monitoring Guidelines, August 2011."</p>	**	
					In addition to utilizing the Corrective Action Policy guidelines, departments granting funds to nonprofit contractors regularly hold Request for Proposal (RFP) processes for the purpose of ensuring that the City is utilizing the most effective providers and offering the highest quality services within its available resources.		
					While many departments follow the corrective action policy guidelines for underperforming nonprofit contractors, each department also individually and collectively monitors the effectiveness of contracted services based on program-specific needs and funding agency requirements. The flexibility to adapt performance metrics to program area is necessary given the diversity of services required to achieve large, overarching outcomes. For example, DCYF's bi-annual, charter mandated Children's Services Allocation Plan currently targets twenty-nine strategies in six different service areas, all geared at improving outcomes for children and youth. However, the specific metrics measured for providers working on the "Ensure Access to High-Quality Child Care" strategy are understandably distinct from those measured from those working on the "Aftercare/Reentry" strategy.		
					The City has undertaken a number of initiatives to develop a comprehensive strategy around nonprofit service-provider effectiveness, particularly with regard to serving the neediest populations. Examples of these initiatives include the Crisis Response Network, the Health Services Master Plan, and HopeSF. However, the City also acknowledges that the populations served, type of services rendered, and objectives of services rendered by nonprofit contractors vary immensely, which leads to the need for distinct strategies and outcome monitoring as established by individual program or service areas.		

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	2014 Response	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2012-13	Use of Nonprofit Community-Based Organizations	2.1 The Mayor and the Board of Supervisors should take the important step of developing an overarching strategy, as recommended by the San Francisco Community-Based Organizations Task Force in 2009, for evaluating the long-term effect of services provided by CBOs and use the results of that examination to set priorities and eliminate ineffective (or wasteful) programs.	Board of Supervisors	Recommendation Implemented	Board of Supervisors reports that Recommendation 2.1 has already been implemented. There is currently a citywide joint fiscal monitoring protocol in place that produces corrective action policies, and currently, the City has formal Requests for Proposal processes for ensuring the City utilizes the most effective providers. (File No. 130610 Resolution No. 394-13)	**	
2012-13	Use of Nonprofit Community-Based Organizations	2.1 The Mayor and the Board of Supervisors should take the important step of developing an overarching strategy, as recommended by the San Francisco Community-Based Organizations Task Force in 2009, for evaluating the long-term effect of services provided by CBOs and use the results of that examination to set priorities and eliminate ineffective (or wasteful) programs.	Controller	Will Not be Implemented: Not Warranted or Not Reasonable	Our nonprofit monitoring program is a comprehensive approach to fiscal and compliance matters and quality control of services provided. Coupled with the program monitoring performed by City agencies, and other program evaluation, planning and grant review activities, these measures provide adequate assurance that the services are effective.	**	
2012-13	Use of Nonprofit Community-Based Organizations	2.2 The Mayor and the Board of Supervisors should consider taking a percentage of the total monies devoted to the provision of services by CBOs and use it to engage professional assistance to conduct this evaluation.	Mayor	Will Not be Implemented: Not Warranted or Not Reasonable	This recommendation would be duplicative and unwarranted. As mentioned in the response to recommendation 2.1, professional staff continually monitor the performance of community-based organizations.	**	
2012-13	Use of Nonprofit Community-Based Organizations	2.2 The Mayor and the Board of Supervisors should consider taking a percentage of the total monies devoted to the provision of services by CBOs and use it to engage professional assistance to conduct this evaluation.	Board of Supervisors	Will Not be Implemented: Not Warranted or Not Reasonable	Board of Supervisors reports that it will not implement Recommendation 2.2 for reasons as follows: Professional staff currently continually monitor the performance of community-based organizations. (File No. 130610 Resolution No. 394-13)	**	
2012-13	Use of Nonprofit Community-Based Organizations	2.2 The Mayor and the Board of Supervisors should consider taking a percentage of the total monies devoted to the provision of services by CBOs and use it to engage professional assistance to conduct this evaluation.	Controller	Will Not be Implemented: Not Warranted or Not Reasonable	Our nonprofit monitoring program is a comprehensive approach to fiscal and compliance matters and quality control of services provided. Coupled with the program monitoring performed by City agencies, and other program evaluation, planning and grant review activities, these measures provide adequate assurance that the services are effective.	**	
2012-13	Use of Nonprofit Community-Based Organizations	3. The Department should provide additional resources to bring the Avatar system to a level that fully supports the Department's performance objective program. The Mayor and the Board of Supervisors should ensure that sufficient resources are available to implement this recommendation.	Department of Public Health	Recommendation Implemented	DPH - Behavioral Health Information Systems has been diligently providing ongoing support to end users. Within the last year, an additional IS Manager, an IS Business Analyst, and a Senior IS Business Analyst have been hired. Additionally, DPH is in the process of hiring an IS Principal Programmer Analyst. Since being hired, the IS Manager, IS Business Analyst, and Senior IS Business Analyst have made significant improvements to and in support of the Avatar system including: implementing on line training videos, developing widgets that allow providers to track due dates for clinical documents, and improving and creating new reports to assist programs. As a part of the larger DPH IT Department re-organization, the IS Principal Programmer Analyst will be hired to work on integration between the Electronic Health Records across DPH. The re-organization has better positioned the DPH IT Department, as a whole, and these changes will provide increased efficiencies and access to additional resources. In addition, each Department has identified a Chief Medical Information Officer that will improve the use of clinical information across DPH. Points: • Staff to adequately support the application have been hired and trained. • DPH is developing an Informatics Department with CMIOs as well as clinical informaticists to make sure system functionality matches the needs of the clinicians • DPH IT reorganized to support the needs across DPH in a STANDARDIZED way that allows teams to surge with the activity of any particular application	**	
2012-13	Use of Nonprofit Community-Based Organizations	3. The Department should provide additional resources to bring the Avatar system to a level that fully supports the Department's performance objective program. The Mayor and the Board of Supervisors should ensure that sufficient resources are available to implement this recommendation.	Mayor	Recommendation Implemented	Since being hired, the IS Manager, IS Business Analyst, and Senior IS Business Analyst have made significant improvements to and in support of the Avatar system including: implementing on line training videos, developing widgets that allow providers to track due dates for clinical documents, and improving and creating new reports to assist programs. As a part of the larger DPH IT Department re-organization, the IS Principal Programmer Analyst will be hired to work on integration between the Electronic Health Records across DPH. The re-organization has better positioned the DPH IT Department, as a whole, and these changes will provide increased efficiencies and access to additional resources. In addition, each Department has identified a Chief Medical Information Officer that will improve the use of clinical information across DPH.	**	
2012-13	Use of Nonprofit Community-Based Organizations	3. The Department should provide additional resources to bring the Avatar system to a level that fully supports the Department's performance objective program. The Mayor and the Board of Supervisors should ensure that sufficient resources are available to implement this recommendation.	Board of Supervisors	Requires Further Analysis	Board of Supervisors reports that it requires further analysis for Recommendation 3 for reasons as follows: The Department of Public Health will report to the Board on the hiring of necessary staff to support the Avatar system within six months of the publication of the Civil Grand Jury report, from June 27, 2013, to no later than December 27, 2013. (File No. 130601 Resolution No. 394-13)	Recommendation Implemented	Board of Supervisors reports that Recommendation No. 3 has been implemented, as reported by the Office of the Mayor and the Department of Public Health (File No. 130609 Motion No. M14-062)

(1) ** Response not required; Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Sharing the Roadway: From Confrontation to Conversation	1. Conflict, anger, mistrust and misunderstanding among motorists, cyclists, police, transit riders, and pedestrians have frustrated the successful implementation of the San Francisco Bicycle Plan. The Plan should be amended to address the different and sometimes hostile attitudes and perceptions. San Francisco should create innovative strategies so that residents can more fairly and safely share the roadways of the City. Amending the Plan should be a priority and be completed by January 1, 2011. The SFCGJ recommends that the Bicycle Advisory Committee, with active input and cooperation from the SFMTA and the SFPD, amend the San Francisco Bicycle Plan (the Plan) to include the recommendations set forth in this report. The amended Plan should be presented to the Mayor and BOS for adoption by January 1, 2011. The SFCGJ recommends that the BAC, SFMTA, and the SFPD meet annually.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors partially disagrees with Recommendation No. 1 because while the Bicycle Plan should not be amended, more coordination should exist between the Bicycle Advisory Committee, the Municipal Transportation Agency, and the Police Department; (Resolution 464-10)	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	1. Conflict, anger, mistrust and misunderstanding among motorists, cyclists, police, transit riders, and pedestrians have frustrated the successful implementation of the San Francisco Bicycle Plan. The Plan should be amended to address the different and sometimes hostile attitudes and perceptions. San Francisco should create innovative strategies so that residents can more fairly and safely share the roadways of the City. Amending the Plan should be a priority and be completed by January 1, 2011. The SFCGJ recommends that the Bicycle Advisory Committee, with active input and cooperation from the SFMTA and the SFPD, amend the San Francisco Bicycle Plan (the Plan) to include the recommendations set forth in this report. The amended Plan should be presented to the Mayor and BOS for adoption by January 1, 2011. The SFCGJ recommends that the BAC, SFMTA, and the SFPD meet annually.	Office of the Mayor	Will Not be Implemented; Not Warranted or Not Reasonable	The San Francisco Bicycle Plan addresses the concerns raised in this recommendation. Procedures are in place in the San Francisco Bicycle Plan to address problems that might arise with the use of shared roadways. The San Francisco Bicycle Plan should not be amended. All stakeholders should meet regularly to discuss the proper implementation of the San Francisco Bicycle Plan, and this is already occurring and city departments will continue to improve upon this process.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	1. Conflict, anger, mistrust and misunderstanding among motorists, cyclists, police, transit riders, and pedestrians have frustrated the successful implementation of the San Francisco Bicycle Plan. The Plan should be amended to address the different and sometimes hostile attitudes and perceptions. San Francisco should create innovative strategies so that residents can more fairly and safely share the roadways of the City. Amending the Plan should be a priority and be completed by January 1, 2011. The SFCGJ recommends that the Bicycle Advisory Committee, with active input and cooperation from the SFMTA and the SFPD, amend the San Francisco Bicycle Plan (the Plan) to include the recommendations set forth in this report. The amended Plan should be presented to the Mayor and BOS for adoption by January 1, 2011. The SFCGJ recommends that the BAC, SFMTA, and the SFPD meet annually.	San Francisco Police Department	Recommendation Implemented	In 2011, motorcycle officers assigned to the Traffic Company have been receiving training from instructors, supervisors and MTA traffic engineers on the City's efforts to implement traffic strategies, including the SF Bicycle Plan. Included, is encouragement of positive interactions between police and traffic stakeholders to engender a calm and trusting relationship of those in traffic that goes beyond co-existing. Disputes / mediation techniques are being encouraged, with the options of issuing admonishments and citations when necessary. A strategy that Education and Engineering efforts are viable options at creating a safer multi-modal environment for all traffic users, including increased positive dialogs between bicyclists, motorists, pedestrians (including the disabled), transit and police. Enforcement actions are effective (citation issuance), but also can be counter-productive and viewed as a failure of the City's Education & Engineering efforts. Goal is self-enforcement. Traffic Officers are now focused on Education as well as Enforcement in an proactive approach to ensure that all roadway users can get from point A to point B, safely and efficiently.	**	

(1) *** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Sharing the Roadway: From Confrontation to Conversation	1. Conflict, anger, mistrust and misunderstanding among motorists, cyclists, police, transit riders, and pedestrians have frustrated the successful implementation of the San Francisco Bicycle Plan. The Plan should be amended to address the different and sometimes hostile attitudes and perceptions. San Francisco should create innovative strategies so that residents can more fairly and safely share the roadways of the City. Amending the Plan should be a priority and be completed by January 1, 2011. The SFCGJ recommends that the Bicycle Advisory Committee, with active input and cooperation from the SFMTA and the SFPD, amend the San Francisco Bicycle Plan (the Plan) to include the recommendations set forth in this report. The amended Plan should be presented to the Mayor and BOS for adoption by January 1, 2011. The SFCGJ recommends that the BAC, SFMTA, and the SFPD meet annually.	San Francisco Municipal Transportation Agency	Will Not be Implemented; Not Warranted or Not Reasonable	Per the SFMTA's response (dated June 24, 2010), this recommendation will not be implemented. The SFMTA stated at that time that the current bike plan addresses this concern and that it would be taken under consideration for future updates of the Bicycle Plan. SFMTA meets monthly with BAC and SFPD.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	1. Conflict, anger, mistrust and misunderstanding among motorists, cyclists, police, transit riders, and pedestrians have frustrated the successful implementation of the San Francisco Bicycle Plan. The Plan should be amended to address the different and sometimes hostile attitudes and perceptions. San Francisco should create innovative strategies so that residents can more fairly and safely share the roadways of the City. Amending the Plan should be a priority and be completed by January 1, 2011. The SFCGJ recommends that the Bicycle Advisory Committee, with active input and cooperation from the SFMTA and the SFPD, amend the San Francisco Bicycle Plan (the Plan) to include the recommendations set forth in this report. The amended Plan should be presented to the Mayor and BOS for adoption by January 1, 2011. The SFCGJ recommends that the BAC, SFMTA, and the SFPD meet annually.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	2a. The Plan should be amended to include a comprehensive program to distribute, to the public as well as cyclists, the extensive available safe cycling educational materials.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors disagrees with Recommendation No. 2a because the Bicycle Plan should not be amended; however, improvements to an overall education program, which includes educational materials and other forms of education, can be created and implemented without amending the Bicycle Plan; (Resolution 464-10)	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2a. The Plan should be amended to include a comprehensive program to distribute, to the public as well as cyclists, the extensive available safe cycling educational materials.	Office of the Mayor	Will Not be Implemented; Not Warranted or Not Reasonable	The San Francisco Bicycle Plan will not be amended in order to provide educational materials because educational campaigns and outreach campaigns can be implemented without altering the San Francisco Bicycle Plan. Additionally, the San Francisco Bicycle Plan provides for educating both cyclists and non-cyclists.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2a. The Plan should be amended to include a comprehensive program to distribute, to the public as well as cyclists, the extensive available safe cycling educational materials.	San Francisco Police Department	Recommendation Implemented	Presently, many officers are carrying various educational materials for pedestrians, cyclists and motorists that include a wide range of traffic education needs. These materials are maintained at the Traffic Company, easy to carry and officers are encouraged to distribute them during patrol.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2a. The Plan should be amended to include a comprehensive program to distribute, to the public as well as cyclists, the extensive available safe cycling educational materials.	San Francisco Municipal Transportation Agency	Will Not be Implemented; Not Warranted or Not Reasonable	Per the SFMTA's response (dated June 24, 2010) and the SFPD's response (dated July 30, 2010), this recommendation will not be implemented.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2a. The Plan should be amended to include a comprehensive program to distribute, to the public as well as cyclists, the extensive available safe cycling educational materials.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.

(1) "*" Response not required; Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Sharing the Roadway: From Confrontation to Conversation	2b. By January 1, 2011, Police should update training materials related to bicycles in a joint effort with the bicycle community and the California Commission on Peace Officer Standards and Training (POST). Updated materials should include CVC and TC enforcement in alignment with the current SFMTA Bike Guide. By January 1, 2011, the SFPD should have a plan to distribute these materials and train officers.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Police Department on Recommendation No. 2b; (Resolution 464-10)	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2b. By January 1, 2011, Police should update training materials related to bicycles in a joint effort with the bicycle community and the California Commission on Peace Officer Standards and Training (POST). Updated materials should include CVC and TC enforcement in alignment with the current SFMTA Bike Guide. By January 1, 2011, the SFPD should have a plan to distribute these materials and train officers.	San Francisco Police Department	Recommendation Implemented	The Traffic Company has liaisons with the bicycle community, including a Master Instructor with California POST. Traffic officers are regularly assigned to CVC and TC training where advocates for pedestrian and bicyclist have been included in the presentation of the educational materials.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2b. By January 1, 2011, Police should update training materials related to bicycles in a joint effort with the bicycle community and the California Commission on Peace Officer Standards and Training (POST). Updated materials should include CVC and TC enforcement in alignment with the current SFMTA Bike Guide. By January 1, 2011, the SFPD should have a plan to distribute these materials and train officers.	San Francisco Municipal Transportation Agency	Will Be Implemented in the Future	Will be implemented in the future: In the SFMTA and SFPD responses, this recommendation was scheduled for implementation by mid-2011. SFMTA pledged to share electronic versions of its materials with SFPD as needed.	Recommendation Implemented	In November, 2011, SFPD worked with the SF Bicycle Coalition and SFMTA divisions to update materials. Distribution and training are on-going activities. In addition, the SFMTA, SFPD's Traffic Company, the SF Bicycle Coalition, the Senior Action Network, the Bicycle Advisory Committee, and the Pedestrian Safety Advisory Committee, have developed a program to send people who violate the CVC and TC sections related to bicycling or walking to bicycle and pedestrian safety education classes in lieu of paying a fine. This approach to enforcement will begin in Traffic Company and be rolled out to officers in stations Citywide in 2012.
2009-10	Sharing the Roadway: From Confrontation to Conversation	2b. By January 1, 2011, Police should update training materials related to bicycles in a joint effort with the bicycle community and the California Commission on Peace Officer Standards and Training (POST). Updated materials should include CVC and TC enforcement in alignment with the current SFMTA Bike Guide. By January 1, 2011, the SFPD should have a plan to distribute these materials and train officers.	Bicycle Advisory Committee	--	Committee elected not to respond.	--	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	2c. The Bicycle Plan should be amended by January 1, 2011 to include the importance and availability of property, liability, and health insurance for cyclists.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors disagrees with Recommendation No. 2c because, the Departments can work to provide information to bicyclists regarding the advantages of having insurance without amending the Bicycle Plan by January 1, 2011; however, the Bicycle Plan should be amended at the next scheduled revision of the Plan to include the education of cyclists on the advantages of bicycle insurance; (Resolution 464-10)	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2c. The Bicycle Plan should be amended by January 1, 2011 to include the importance and availability of property, liability, and health insurance for cyclists.	San Francisco Police Department	Will Not be Implemented; Not Warranted or Not Reasonable	The Traffic Company acknowledges the need for insurance for cyclists.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2c. The Bicycle Plan should be amended by January 1, 2011 to include the importance and availability of property, liability, and health insurance for cyclists.	San Francisco Municipal Transportation Agency	Will Not be Implemented; Not Warranted or Not Reasonable	Per the SFMTA's response (dated June 24, 2010), this recommendation will not be implemented.	**	

(1) **-- Response not required: Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Sharing the Roadway: From Confrontation to Conversation	2c. The Bicycle Plan should be amended by January 1, 2011 to include the importance and availability of property, liability, and health insurance for cyclists.	Bicycle Advisory Committee	--	Committee elected not to respond.	--	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	2d. The Plan should include the Police Department, pedestrians, public transit riders and motorists in any further discussion or revision. Representation should include at a minimum the Police Chief or his designee, and at least two officers familiar with cycling issues on appropriate committees.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors partially agrees with Recommendation No. 2d, because the Police Department should have discretion to determine what amount of representation is necessary to provide full involvement by the Police Department; (Resolution 464-10)	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2d. The Plan should include the Police Department, pedestrians, public transit riders and motorists in any further discussion or revision. Representation should include at a minimum the Police Chief or his designee, and at least two officers familiar with cycling issues on appropriate committees.	San Francisco Police Department	Recommendation Implemented	The MTA - Traffic Company remains involved with the various MTA and City committees where discussions and revisions occur and assigns two or more officers familiar with cycling issues to these meetings.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2d. The Plan should include the Police Department, pedestrians, public transit riders and motorists in any further discussion or revision. Representation should include at a minimum the Police Chief or his designee, and at least two officers familiar with cycling issues on appropriate committees.	San Francisco Municipal Transportation Agency	Will Be Implemented in the Future	Per the SFMTA's response (dated June 24, 2010), this recommendation will be considered for implementation in the next update of the Bicycle Plan (due in 2014). As noted, the Police Department participates in evaluation of all capital projects through the bi-weekly Transportation Advisory Staff Committee and is invited to attend Bicycle Advisory Committee meetings.	Will Be Implemented in the Future	See 2011 response text for implementation timeline. See response to recommendation 2d for a description of on-going collaboration efforts among these stakeholders.
2009-10	Sharing the Roadway: From Confrontation to Conversation	2d. The Plan should include the Police Department, pedestrians, public transit riders and motorists in any further discussion or revision. Representation should include at a minimum the Police Chief or his designee, and at least two officers familiar with cycling issues on appropriate committees.	Bicycle Advisory Committee	--	Committee elected not to respond.	--	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	3a. The Plan should insist that all users of the roadways comply with the current traffic laws. The Plan should consider a self-enforcement campaign along with the current co-exist campaign. Motorists and cyclists need to step-up to the plate to begin self-enforcement. The Plan should encourage and educate all users to act responsibly.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Police Department for Recommendation No. 3a; (Resolution 464-10)	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3a. The Plan should insist that all users of the roadways comply with the current traffic laws. The Plan should consider a self-enforcement campaign along with the current co-exist campaign. Motorists and cyclists need to step-up to the plate to begin self-enforcement. The Plan should encourage and educate all users to act responsibly.	Office of the Mayor	Recommendation Implemented	The San Francisco Bicycle Plan Action Item 4.11 addresses this recommendation by stating that cyclists and motorists should monitor themselves and comply with all applicable laws and regulations to ensure the safety of all users of the roadways.	**	

(1) "--" Response not required; Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Sharing the Roadway: From Confrontation to Conversation	3a. The Plan should insist that all users of the roadways comply with the current traffic laws. The Plan should consider a self-enforcement campaign along with the current co-exist campaign. Motorists and cyclists need to step-up to the plate to begin self-enforcement. The Plan should encourage and educate all users to act responsibly.	San Francisco Police Department	Recommendation Implemented	The Traffic Company continues to insist that all roadway users comply with the traffic law. The self-enforcement campaign has become a natural extension of the emphasis placed upon Traffic Company's focus upon Education	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3a. The Plan should insist that all users of the roadways comply with the current traffic laws. The Plan should consider a self-enforcement campaign along with the current co-exist campaign. Motorists and cyclists need to step-up to the plate to begin self-enforcement. The Plan should encourage and educate all users to act responsibly.	San Francisco Municipal Transportation Agency	Recommendation Implemented	Recommendation implemented: Per the SFMTA's response (dated June 24, 2010) and the SFPD's response (dated July 30, 2010), this recommendation was already implemented. See Action Item 4.1.1 in the Bicycle Plan.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3a. The Plan should insist that all users of the roadways comply with the current traffic laws. The Plan should consider a self-enforcement campaign along with the current co-exist campaign. Motorists and cyclists need to step-up to the plate to begin self-enforcement. The Plan should encourage and educate all users to act responsibly.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	3b, 3c, and 3d. Police should enforce the Traffic Code and California Vehicle Code. Starting September 2010, the police should have a goal of entering all bicycle citations into the database. By January 1, 2011, San Francisco moving violation tickets should include a box for "bicycle." By January 1, 2011, COMSTAT should include a section for bicycle related data.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors, in response to Recommendation Nos. 3b, 3c, and 3d incorporates and adopts as its own the response of the Police Department for Recommendation No. 3b; and, be it FURTHER RESOLVED, That the Board of Supervisors finds that Finding No. 3c requires further analysis including information regarding the amount of violations which do not result in citations and the data source used by the Civil Grand Jury to develop this Finding; and, be it FURTHER RESOLVED, That the Board of Supervisors partially disagrees with Finding No. 3d, because there is no solid data as to why people break the law and there is no direct connection between the increased number of cyclists and the violations which occur; however the Police Department should work to ensure full compliance with traffic law, and future enforcement policy should emphasize education and the safety of all road users including pedestrians; (Resolution 464-10)	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3b, 3c, and 3d. Police should enforce the Traffic Code and California Vehicle Code. Starting September 2010, the police should have a goal of entering all bicycle citations into the database. By January 1, 2011, San Francisco moving violation tickets should include a box for "bicycle." By January 1, 2011, COMSTAT should include a section for bicycle related data.	San Francisco Police Department	Recommendation Implemented	The police enforce many Traffic Codes, as well as the California Vehicle Codes and ideally would have the resources to track all violations. The resources needed to enter all bicycle citations in a database, in addition to what is entered into the California Superior Court violators database requires further analysis.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3f. By January 1, 2011, the Traffic Court should establish a Bicycle Court Traffic School option, as a tool for education, patterned on Traffic Schools currently in use, for when bicyclists (and motorists with bicycle-related infractions) have been cited for moving violations. Such sessions will be scheduled at least once each quarter. The Traffic Court should consult with the BAC in the development of the Bicycle Court option.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors agrees with Finding No. 3f; FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Mayor for Recommendation No. 3f; (Resolution 464-10)	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3f. By January 1, 2011, the Traffic Court should establish a Bicycle Court Traffic School option, as a tool for education, patterned on Traffic Schools currently in use, for when bicyclists (and motorists with bicycle-related infractions) have been cited for moving violations. Such sessions will be scheduled at least once each quarter. The Traffic Court should consult with the BAC in the development of the Bicycle Court option.	Office of the Mayor	Requires Further Analysis	Pursuant to the San Francisco Bicycle Plan Action item 4.4 the SFMTA and SFPD will work to create a bicycle traffic school curriculum option rather than penalties for traffic violations. Because the Traffic Court is under the jurisdiction of the Superior Court, the Mayor's Office cannot implement the recommendation for the Traffic Court to consult the BAC for the development of the Bicycle Court.	Will Not Be Implemented; Not Warranted or Not Reasonable	The Traffic Court is under the jurisdiction of the Superior Court, and the Mayor's Office cannot implement this recommendation for the Traffic Court to consult with the Bicycle Advisory Committee in order to develop the Bicycle Court.

(1) *** Response not required: Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Sharing the Roadway: From Confrontation to Conversation	3f. By January 1, 2011, the Traffic Court should establish a Bicycle Court Traffic School option, as a tool for education, patterned on Traffic Schools currently in use, for when bicyclists (and motorists with bicycle-related infractions) have been cited for moving violations. Such sessions will be scheduled at least once each quarter. The Traffic Court should consult with the BAC in the development of the Bicycle Court option.	San Francisco Police Department	Will Not be Implemented; Not Warranted or Not Reasonable	The Traffic Company encourages the creation of a Bicycle Court Traffic School option as an educational tool. This will further the self-enforcement goals, as well as creating an additional tool to help reinforce safe practices amongst the cyclists.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3f. By January 1, 2011, the Traffic Court should establish a Bicycle Court Traffic School option, as a tool for education, patterned on Traffic Schools currently in use, for when bicyclists (and motorists with bicycle-related infractions) have been cited for moving violations. Such sessions will be scheduled at least once each quarter. The Traffic Court should consult with the BAC in the development of the Bicycle Court option.	San Francisco Municipal Transportation Agency	Will Not be Implemented; Not Warranted or Not Reasonable	Will not be implemented by the SFMTA: Per the SFMTA's response (dated June 24, 2010) and the SFPD's response (dated July 30, 2010), this recommendation is sound but beyond the purview of either department. The Department of Motor Vehicles has the sole discretion to establish traffic schools.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3f. By January 1, 2011, the Traffic Court should establish a Bicycle Court Traffic School option, as a tool for education, patterned on Traffic Schools currently in use, for when bicyclists (and motorists with bicycle-related infractions) have been cited for moving violations. Such sessions will be scheduled at least once each quarter. The Traffic Court should consult with the BAC in the development of the Bicycle Court option.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	3g. There should be an overall citywide policy about how the existing CVC and TC codes will be implemented so police have the direction and support they seek and deserve.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors partially agrees with Finding No. 3g because there might be other reasons that the Police Officers may or may not be citing cyclists; and, be it FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Bicycle Advisory Committee for Recommendation No. 3g; (Resolution 464-10)	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3g. There should be an overall citywide policy about how the existing CVC and TC codes will be implemented so police have the direction and support they seek and deserve.	Office of the Mayor	Requires Further Analysis	Because the SFPD must enforce all aspects of the California Vehicle Code and the Traffic code and because full enforcement of the rules and regulations of the California Vehicle Code and the Traffic Code depend on the availability of resources for the SFPD, the level of enforcement may be inconsistent at times based on resource allocation. However, the Mayor's Office and the SFPD do agree that the City should establish a citywide policy to address the expected lawful behavior of cyclists.	Will be Implemented in the Future	This recommendation is a deliverable of the Bicycle Transit Integration plan currently underway. SFPD indicates that the policy has been developed and implementation is underway. The plan is scheduled for completion by Summer 2013.
2009-10	Sharing the Roadway: From Confrontation to Conversation	3g. There should be an overall citywide policy about how the existing CVC and TC codes will be implemented so police have the direction and support they seek and deserve.	San Francisco Police Department	Will Not be Implemented; Not Warranted or Not Reasonable	The Traffic Company supports an environment which helps guide and support their efforts educate and enforce the existing Traffic Codes and California Vehicle Codes.	**	

(1) *** Response not required; Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Sharing the Roadway: From Confrontation to Conversation	3g. There should be an overall citywide policy about how the existing CVC and TC codes will be implemented so police have the direction and support they seek and deserve.	San Francisco Municipal Transportation Agency	Requires Further Analysis	Requires Further Analysis: Per the SFMTA's response (dated June 24, 2010) and the SFPD's response (dated July 30, 2010), this recommendation is sound but requires further analysis before implementation. The SFMTA has identified sections of the CVC and TC related to bicycles that require further clarification and collaborates with the SFPD to issue training bulletins to guide enforcement. A recent example is a bulletin explaining enforcement of taxi and paratransit loading in bicycle lanes.	Requires Further Analysis	This recommendation is a deliverable of the Bicycle Transit Integration plan currently underway. The plan is scheduled for completion by Summer 2013.
2009-10	Sharing the Roadway: From Confrontation to Conversation	3g. There should be an overall citywide policy about how the existing CVC and TC codes will be implemented so police have the direction and support they seek and deserve.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	4. The city should consider a form of "negative registration" to capture names and other pertinent data about cyclists who are ticketed by SFPD for moving or equipment violations or otherwise involved in traffic accidents where the cyclist is cited at fault. The cyclist should be required to appear at a "bicycle court" where proscribed safety education would be required. The format of the court, including a cycle friendly venue such as a ride-up location, and an educational curriculum should be provided through collaboration among SFPD bicycle officers, the Bicycle Coalition and other cycling advocates. Notices to Appear, if ignored, should be pursued through SFPD and the courts.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors partially disagrees with Finding No.4 because while the Transit First Policy of the City does not require one mode of transportation to financially support all costs associated with road usage, a fee charged to cyclists under a negative registration who violate the traffic code would likely be a deterrent to cycling; and, be it FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Mayor for Recommendation No.4; (Resolution 464-10)	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	4. The city should consider a form of "negative registration" to capture names and other pertinent data about cyclists who are ticketed by SFPD for moving or equipment violations or otherwise involved in traffic accidents where the cyclist is cited at fault. The cyclist should be required to appear at a "bicycle court" where proscribed safety education would be required. The format of the court, including a cycle friendly venue such as a ride-up location, and an educational curriculum should be provided through collaboration among SFPD bicycle officers, the Bicycle Coalition and other cycling advocates. Notices to Appear, if ignored, should be pursued through SFPD and the courts.	Office of the Mayor	Requires Further Analysis	The capture of names and other "pertinent" data about bicyclists who violate traffic laws repeatedly may serve as a deterrent and increase safety. The San Francisco Bicycle Plan Action Item 4.4 provides that the MTA and SFPD will work to create a bicycle traffic school curriculum to avoid pecuniary penalties. Because any formation of a "bicycle court" is within the discretion and jurisdiction of the Superior Court, the Mayor's Office cannot speak to the creation of such a court.	Will Not Be Implemented; Not Warranted or Not Reasonable	The Mayor's Office cannot implement the Civil Grand Jury recommendation for the establishment of a Bicycle Court. With respect to negative registration, the SFPD lacks the authority to collect this information at this time absent a change to the Traffic Code. The SFMTA has previously stated that this recommendation is not warranted pursuant to San Francisco Bicycle Plan Action Item 4.4 that states it will collaborate with the SFPD to create a bicycle traffic school curriculum. SFPD and SFMTA had developed a curriculum and are currently waiting for approval by the Superior Court.
2009-10	Sharing the Roadway: From Confrontation to Conversation	4. The city should consider a form of "negative registration" to capture names and other pertinent data about cyclists who are ticketed by SFPD for moving or equipment violations or otherwise involved in traffic accidents where the cyclist is cited at fault. The cyclist should be required to appear at a "bicycle court" where proscribed safety education would be required. The format of the court, including a cycle friendly venue such as a ride-up location, and an educational curriculum should be provided through collaboration among SFPD bicycle officers, the Bicycle Coalition and other cycling advocates. Notices to Appear, if ignored, should be pursued through SFPD and the courts.	San Francisco Police Department	Will Not be Implemented; Not Warranted or Not Reasonable	The decision to release of a form of negative registration of those who have violated the law or responsible for traffic collision, and participated in an approved bicycle court program, is in the purview of the Court and District Attorney's Office. Their decisions and Court orders will be followed by the Traffic Company.	**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	4. The city should consider a form of "negative registration" to capture names and other pertinent data about cyclists who are ticketed by SFPD for moving or equipment violations or otherwise involved in traffic accidents where the cyclist is cited at fault. The cyclist should be required to appear at a "bicycle court" where proscribed safety education would be required. The format of the court, including a cycle friendly venue such as a ride-up location, and an educational curriculum should be provided through collaboration among SFPD bicycle officers, the Bicycle Coalition and other cycling advocates. Notices to Appear, if ignored, should be pursued through SFPD and the courts.	San Francisco Municipal Transportation Agency	Will Not be Implemented; Not Warranted or Not Reasonable	Per the SFMTA's response (dated June 24, 2010), this recommendation will not be implemented because it is not warranted.	**	

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

Status of the Recommendations
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2009-10

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Sharing the Roadway: From Confrontation to Conversation	4. The city should consider a form of "negative registration" to capture names and other pertinent data about cyclists who are ticketed by SFPD for moving or equipment violations or otherwise involved in traffic accidents where the cyclist is cited at fault. The cyclist should be required to appear at a "bicycle court" where proscribed safety education would be required. The format of the court, including a cycle friendly venue such as a ride-up location, and an educational curriculum should be provided through collaboration among SFPD bicycle officers, the Bicycle Coalition and other cycling advocates. Notices to Appear, if ignored, should be pursued through SFPD and the courts.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	1. The City Attorney's Office should assess the liability and risk to the City for the incomplete level of Title II compliance, and report its findings to the Mayor and BOS by October 31, 2010.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	RESOLVED, That the Board of Supervisors reports to the Presiding Judge of the Superior Court that it incorporates and adopts as its own the City Attorney's response to Finding No.1 and Recommendation No.1 of the subject Grand Jury Report; (Resolution 384-10)	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	1. The City Attorney's Office should assess the liability and risk to the City for the incomplete level of Title II compliance, and report its findings to the Mayor and BOS by October 31, 2010.	Office of the Mayor	Requires Further Analysis	The City Attorney's Office cannot evaluate the risk for its level of compliance to Title II of the American's with Disability Act by October 31, 2010, until there is a resolution in the Kirola v. City and County of San Francisco case.	Requires Further Analysis	The City Attorney's Office cannot evaluate the risk for its level of compliance to Title II of the American's with Disability Act by October 31, 2010, until there is a resolution in the Kirola v. City and County of San Francisco case. As of 2012, the Kirola decision is still pending.
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	1. The City Attorney's Office should assess the liability and risk to the City for the incomplete level of Title II compliance, and report its findings to the Mayor and BOS by October 31, 2010.	Office of the City Attorney	Requires Further Analysis	<p>Recommendation # 1 requires further analysis. The City Attorney's Office disagrees with the suggestion that San Francisco's Title II compliance is "incomplete." The City Attorney's Office will assess the City's liability and risk under Title II and report to the Mayor and Board of Supervisors. The City Attorney's Office will submit a confidential report to the Mayor and Board of Supervisors advising them as to the City's exposure to potential litigation and liability over disability access issues. The City Attorney's Office will submit its report by October 31, 2010, or 60 days following entry of final judgment and exhaustion of any appeals in the Kirola litigation, whichever is later. The Kirola case is currently set for trial on September 1, 2010. With the results of that litigation in hand, the City Attorney's Office will be better positioned to prepare a meaningful report to the Mayor and Board of Supervisors.</p> <p>The Grand Jury, in a letter to the City Attorney's Office dated August 23, 2010, accepted the City Attorney's stated response to the above-listed recommendation.</p> <p>II. Update on City Attorney's Implementation Pending Outcome of Kirola Litigation As stated in the above-listed response, the City Attorney's Office agreed to submit its confidential report to the Mayor and Board of Supervisors by October 31, 2010, or 60 days following entry of final judgment and exhaustion of any appeals in the Kirola litigation, whichever was later. The new trial date for the Kirola case is currently set for April 4, 2011. Accordingly, once the City Attorney's Office has the results of that litigation in hand, the City Attorney's Office will be better positioned to prepare a meaningful report to the Mayor and Board of Supervisors.</p>	Requires Further Analysis	As stated in the previous response, the City Attorney's Office agreed to submit its confidential report to the Mayor and Board of Supervisors by October 31, 2010, or 60 days following entry of final judgment and exhaustion of any appeals in the Kirola litigation, whichever was later. The court conducted trial from April 4, 2011 through May 5 2011. The case has been fully submitted to the Court for decision after trial. The City is awaiting the District Court's decision. Accordingly, once the City Attorney's Office has the results of that litigation in hand, the City Attorney's Office will be better positioned to prepare a meaningful report to the Mayor and Board of Supervisors.

(1) "—" Response not required; Recommendation has been fully implemented or abandoned.

Status of the Recommendations
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CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	2. San Francisco should expand the Grievance Procedure to the level necessary for the "prompt and equitable" resolution of ADA complaints.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED. That the Board of Supervisors incorporates and adopts as its own the response of the Mayor's Office on Disability to Finding Nos. 2, 4 and 6, and Recommendation Nos. 2, 4 and 6 of the subject Grand Jury Report; (Resolution 384-10)	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	2. San Francisco should expand the Grievance Procedure to the level necessary for the "prompt and equitable" resolution of ADA complaints.	Office of the Mayor	Requires Further Analysis	Despite the impact of recent budget cuts on staffing, the Mayor's Office on Disability (MOD) ensures that ADA grievances received are handled effectively. Because of any changes to staffing levels, there is a possibility of changes to response times for complaints as existing staff take on additional responsibilities. If and when the budget situation improves, the Mayor's Office will evaluate any expansion of the grievance procedure and review any need for increased staffing levels.	Requires Further Analysis	The Mayor's Office on Disability (MOD) continues to ensure that ADA grievances are handled effectively. Staffing level limitations in previous years have resulted in some changes to the response times for the complaints since MOD staff has assumed additional responsibilities. As the budget situation improves, however, the Mayor's Office will consider expanding the grievance procedure, specifically as it relates to enhanced coordination and training with other Department ADA Coordinators, and increased staffing at MOD.
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	2. San Francisco should expand the Grievance Procedure to the level necessary for the "prompt and equitable" resolution of ADA complaints.	Mayor's Office on Disability	Requires Further Analysis	As of April 2011, the number of ADA complaints that reach our office has remained virtually the same while the City's funding levels continue to shrink. Our client intake coordinator position that was eliminated in response to the budget crisis continues to be unfunded and many of the duties are being currently performed by temporary interns who are supervised by permanent staff. We have been unable to identify additional sources of City funding that would enable us to expand the ADA Grievance Procedure via broader outreach to the disability community.	Requires Further Analysis	The Mayor's Office on Disability (MOD) continues to ensure that ADA grievances are handled effectively. Staffing level limitations in previous years have resulted in some changes to the response times for the complaints since MOD staff has assumed additional responsibilities. As the budget situation improves, however, the Mayor's Office will consider expanding the grievance procedure, specifically as it relates to enhanced coordination and training with other Department ADA Coordinators, and increased staffing at MOD.
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	Office of the Mayor	Will Not be Implemented; Not Warranted or Not Reasonable	The Human Rights Commission is tasked with addressing civil rights complaints, including disability rights complaints, in the private sector. Although it is important to address private sector ADA compliance issues as an alternative to litigation, because of HRC's role and area of responsibilities, a study is not warranted.	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	Mayor's Office on Disability	Will Not be Implemented; Not Warranted or Not Reasonable	As stated in the June 15, 2010 letter, MOD does not have the fiscal or staff resources to implement a study on the feasibility of expanding its mandate to resolve access complaints in the private sector. While we have not implemented this recommendation, as expected, we have taken two steps to improve awareness of private sector compliance issues: 1) MOD has been working closely with the Office of Small Business, several San Francisco Supervisors, and the Bar Association of San Francisco to increase awareness of the access responsibilities of small businesses. We will be providing a training in May, and will be doing workshops around the City. 2) MOD has been working with the disability community on how the new definition of service and support animals will be interpreted in San Francisco for private businesses. With the help of volunteer student time, we have done outreach and are organizing a hearing in May to develop a city-wide response.	**	

(1) **** Response not required: Recommendation has been fully implemented or abandoned.

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CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	Mayor's Disability Council	Will Not be Implemented; Not Warranted or Not Reasonable	As stated in the June 15, 2010 letter, MOD does not have the fiscal or staff resources to implement a study on the feasibility of expanding its mandate to resolve access complaints in the private sector. While we have not implemented this recommendation, as expected, we have taken two steps to improve awareness of private sector compliance issues: 1) MOD has been working closely with the Office of Small Business, several San Francisco Supervisors, and the Bar Association of San Francisco to increase awareness of the access responsibilities of small businesses. We will be providing a training in May, and will be doing workshops around the City. 2) MOD has been working with the disability community on how the new definition of service and support animals will be interpreted in San Francisco for private businesses. With the help of volunteer student time, we have done outreach and are organizing a hearing in May to develop a city-wide response.	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	Department of Public Works	Will Not be Implemented; Not Warranted or Not Reasonable	Falls outside the responsibility of DPW	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	San Francisco Municipal Transportation Agency	Recommendation Implemented	The Recommendation has been implemented. On October 29, 2010 the SFMTA ADA coordinator wrote to MOD expressing their willingness to participate in this effort. Recommendation 3 suggests that the Mayor's Office of Disability (MOD) initiate a study with the cooperation of the city's ADA Coordinators regarding expansion of the City's grievance process to incorporate private sector ADA complaints. The SFMTA wrote to the Director of MOD on October 29, 2010 expressing the Agency's willingness to participate in this effort.	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	San Francisco Police Department	Recommendation Implemented	City Departments presently are required to have ADA related grievance procedures where complaints are logged and tracked; advising complainants if there were violations and what is being done. The expansion of needed protections designed to assist vulnerable members of the community is endorsed of the Traffic Company.	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Mayor's Office on Disability to Finding Nos. 2, 4 and 6, and Recommendation Nos. 2, 4 and 6 of the subject Grand Jury Report; (Resolution 384-10)	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	Office of the Mayor	Recommendation Implemented	The City continues to provide funds in the Capital Plan for improvement projects for the public right of way. In addition to using General Fund dollars, the City uses sales tax revenues and debt financing for these projects. In prior years, the City has tried to issue bonds in order to address ADA compliance, but the voters rejected these efforts. As resources become available, the City can achieve total compliance.	**	

(1) *** Response not required; Recommendation has been fully implemented or abandoned.

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CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	Mayor's Office on Disability	Recommendation Implemented	The ADA Transition Plan for Facilities is comprehensive and updated annually. With consistent levels of funding allocated to ADA work through the 10 year Capital Plan, we anticipate that all improvements identified by the Transition Plan will be funded by 2016 with project completion within three years. For the ADA Transition Plan for Curb Ramps and Sidewalks, the City is developing a bond for the November 2011 ballot that would provide on-going and consistent funding for curb ramps and sidewalk repair for three years. We will also re-iterate that compliance with the ADA does not require a curb ramp at every location in which a pedestrian crossing exists. Nonetheless, the City has that as its goal. With updates to the database that more accurately reflect existing curb ramps and potential curb ramp locations, we are happy to report that the number of potential curb ramp locations has been reduced from 29,000 to just under 24,000. Depending on the level of funding available for street re-paving (which builds many curb ramps), the timeline expected to put a curb ramp at every potential pedestrian crossing is now reduced significantly. We expect such saturation within 12 to 15 years.	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	Mayor's Disability Council	Recommendation Implemented	The ADA Transition Plan for Facilities is comprehensive and updated annually. With consistent levels of funding allocated to ADA work through the 10 year Capital Plan, we anticipate that all improvements identified by the Transition Plan will be funded by 2016 with project completion within three years. For the ADA Transition Plan for Curb Ramps and Sidewalks, the City is developing a bond for the November 2011 ballot that would provide on-going and consistent funding for curb ramps and sidewalk repair for three years. We will also re-iterate that compliance with the ADA does not require a curb ramp at every location in which a pedestrian crossing exists. Nonetheless, the City has that as its goal. With updates to the database that more accurately reflect existing curb ramps and potential curb ramp locations, we are happy to report that the number of potential curb ramp locations has been reduced from 29,000 to just under 24,000. Depending on the level of funding available for street re-paving (which builds many curb ramps), the timeline expected to put a curb ramp at every potential pedestrian crossing is now reduced significantly. We expect such saturation within 12 to 15 years.	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	Department of Public Works	Recommendation Implemented	The recommendation has been implemented in recent years, as the City has consistently allocated significant funds through its Ten Year Capital Plan and annual capital budget process. The City has used numerous funding sources for curb ramps and sidewalks, including general operating funds, sales tax revenues, and debt financing. The City will continue to pursue all viable means to continue funding in a manner that is as consistent from year to year as possible and in conformance with the DPW ADA Transition Plan for Curb Ramps and Sidewalks.	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	San Francisco Municipal Transportation Agency	Recommendation Implemented	The Recommendation has been implemented. Work completed in 2008 and 2009. The Facilities Transition Plan (FTP) identified two SFMTA facilities that needed accessibility upgrades. The City has completed the work on those two facilities. SFMTA continues to work with the Department of Public Works and the Mayor's Office on Disability - the entities responsible for implementing the FTP - to find additional funding and identify future facility improvements. SFMTA considers accessibility upgrades to existing facilities beyond what was required in the FTP to be a priority, and it will persist in its efforts to seek funding for such projects. Currently, any facility modifications done by SFMTA include accessibility upgrades and funding for those upgrades. SFMTA fully supports any additional funding for future facility improvements and is supportive of identifying additional means of funding for these improvements.	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	5. The City should pursue full enforcement and monitoring of incursions to the public rights of way, especially with regards to temporary sidewalk incursions. Staffing levels must be maintained to address and complete inspections and investigations promptly and to eliminate backlogged cases.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Department of Public Works to Finding No.5 and Recommendation No.5 of the subject Grand Jury Report; (Resolution 384-10)	**	

(1) **** Response not required: Recommendation has been fully implemented or abandoned.

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CGJ Year	Report Title	Recommendation	Response Required	2011 Response ⁽¹⁾	2011 Response Text	2012 Response ⁽¹⁾	2012 Response Text
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	5. The City should pursue full enforcement and monitoring of incursions to the public rights of way, especially with regards to temporary sidewalk incursions. Staffing levels must be maintained to address and complete inspections and investigations promptly and to eliminate backlogged cases.	Office of the Mayor	Requires Further Analysis	Because staffing levels and resources are dictated by the budget conditions, it is not always feasible to maintain full staffing levels if inappropriate under the financial conditions. The City does pursue enforcement and monitoring of the public right of way, nonetheless. DPW has in place its Sidewalk Inspection and Repair Program and it allows departments to inspect and repair city sidewalks.	Recommendation Implemented	As part of the inspection process conducted for street improvement and utility excavation permits, DPW Inspectors perform pre-construction site meetings with Contractors to ensure that an accessible path of travel is implemented and maintained throughout the project limits. Furthermore, DPW Inspectors continually monitor site conditions through on-going inspections to ensure that Contractors maintains full compliance throughout the duration of the project. Finally, DPW provides a flexible staffing level that adjusts to the fluctuations of in-coming complaints related to sidewalk related activities such as street furniture and merchandise displays. This flexibility has resulted in Requests for Action (RFA) being processed and addressed in a timely manner for over 95% of all accessibility related requests.
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	5. The City should pursue full enforcement and monitoring of incursions to the public rights of way, especially with regards to temporary sidewalk incursions. Staffing levels must be maintained to address and complete inspections and investigations promptly and to eliminate backlogged cases.	Department of Public Works	Requires Further Analysis	DPW vigorously pursues enforcement and monitoring of the public right of way. However, staffing levels are dictated by many factors and given the current economic climate, the city and DPW must consider their multiple obligations to the public, including critical health and safety issues, when setting staffing levels for sidewalk inspection. Notwithstanding diminishing resources, DPW has in place its Sidewalk Inspection and Repair Program (SIRP) that allows DPW to proactively inspect and repair city sidewalks, in addition to its program for responding to individual complaints. The program is running well and has resulted in 40% to 45% fewer complaints in the areas where SIRP has been implemented.	Recommendation Implemented	DPW enforces the Public Works Code to ensure public safety in the right-of-way. Since 2008 DPW has run a Sidewalk Inspection and Repair Program (SIRP), to proactively inspect and repair sidewalks. This year DPW started the Accelerated Sidewalk Abatement Program (ASAP) to abate and repair sidewalk defects that in response to complaints. The execution of the SIRP program has resulted in clearing 200 square blocks of sidewalk from defects annually and the ASAP program will provide an additional 17,000 square feet of sidewalk repair each year. In addition, DPW street inspectors respond to public complaints on sidewalk obstructions and accessible path of travel issues. DPW tracks response time to these service requests. In the first nine months of the current Fiscal Year we inspect reports of sidewalk obstruction within 2 business days, which is within our service level agreement. DPW also provides education and outreach to contractors and merchants to ensure that an accessible path of travel is available for everyone.
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Mayor's Office on Disability to Finding Nos. 2, 4 and 6, and Recommendation Nos. 2, 4 and 6 of the subject Grand Jury Report; (Resolution 384-10)	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	Office of the Mayor	Recommendation Implemented	The MTA and SFPD have programs that address this issue. The SFPD trains new recruits with ADA-related training and the departments' Police Crisis Intervention Program provides training that helps law enforcement handle more situations involving mental illness and disability more effectively. The MTA also has dedicated staff to handle ADA compliance and provide trainings. Because of the availability of resources, both departments will work to further training programs but do not agree on that these efforts will be completed by December 2011.	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	Mayor's Office on Disability	Recommendation Implemented	MOD in collaboration with the City Attorney's Office conducted a training of all division heads and managers at SFMTA in September of 2010. In addition MOD staff collaborated with the ADA Coordinator at SFPD who was designing Roll-Call training curriculum on disability access issues. Due to staff constraints, MOD staff has not been conducting the training sessions directly, but these trainings occur on a regular basis.	**	

(1) *** Response not required; Recommendation has been fully implemented or abandoned.

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CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	Mayor's Disability Council	Recommendation Implemented	MOD in collaboration with the City Attorney's Office conducted a training of all division heads and managers at SFMTA in September of 2010. In addition MOD staff collaborated with the ADA Coordinator at SFPD who was designing Roll-Call training curriculum on disability access issues. Due to staff constraints, MOD staff has not been conducting the training sessions directly, but these trainings occur on a regular basis.	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	San Francisco Municipal Transportation Agency	Recommendation Implemented	<p>The Recommendation has been implemented. Our training program in areas of assistance and sensitivity to the needs of disabled persons was implemented in 1984.</p> <p>Currently, the SFMTA has an existing, comprehensive disability training program, provided by the SFMTA's Accessible Services Section, which includes assistance and sensitivity training for the service to, and interaction with, disabled persons in a manner that is effective and respectful of their rights. Trainings are held several times each year and are comprised of a core curriculum tailored to each audience. Transit Operators receive the most robust training, with each operator receiving an initial training as a new hire, and a refresher training as part of his or her Vehicle Transit Training (VTT) recertification. We also provide trainings to Management, Transit Fare Inspectors, Station Agents, and other front line staff on an as needed basis. In all of our trainings, we use a combination of video and oral presentations, supplemented by lecture, to introduce each participant to the history of the disabled movement, give an overview of key transit related issues affecting the disabled community, and provide a firm foundation in how to provide service to, and interact with, members of the disabled community in a manner that is effective and respectful.</p> <p>In addition to training provided to our front line staff by SFMTA's Accessible Services Section, the Mayor's Office of Disability and the City Attorney's Office, on September 20, 2010, provided training on Title II of the ADA to the Directors of all SFMTA Divisions. This training was part of an MOD initiative conducted over the past two years to train the management of all City departments to make certain that they understand the City's obligations under the ADA and can provide leadership within their respective departments or divisions to guarantee that the City's program, services and activities are accessible to people with disabilities.</p> <p>SFMTA staff is continuously updating our training materials. We monitor our ADA related complaints, along with reports submitted through our clandestine observer program to identify reoccurring ADA violations. We also work with SFMTA frontline staff to identify existing SFMTA policies that could benefit from greater clarity. This knowledge is then used to help inform and develop new training materials, which are then incorporated into our core curriculum.</p> <p>SFMTA believe that our existing disability training program is comprehensive, includes assistance and sensitivity training for the service to, and interaction with, disabled persons in a manner that is effective and respectful of their rights, and is sufficient to meet the objectives outlined in Recommendation 6. For additional information, please see our October 29, 2010 supplemental response to the Grand Jury on Finding 6.</p>	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	San Francisco Police Department	Recommendation Implemented	The Traffic Company has commenced training its officers, educating the public and enforcing the access laws in the public right of way to help ensure that the disabled have accessibility in the public right and are able to get from point A to point B safely and efficiently.	**	

(1) *** Response not required: Recommendation has been fully implemented or abandoned.

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by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2011 Response ⁽¹⁾	2011 Response Text	2012 Response ⁽¹⁾	2012 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	<p>A1. The San Francisco City Charter should be amended, as follows:</p> <p>For new employees, the pension multiplier should be set at a level to provide fiscally sound future pensions - fair to employees and taxpayers alike.</p> <p>For new Miscellaneous employees, the retirement age to receive full benefits should be comparable to that of Social Security and/or private sector recipients, and be fair to employees and taxpayers alike.</p> <p>The Jury recommends that City officials consider a hybrid retirement plan with components of both Defined Benefit and Defined Contribution, 40 1 (k)-type, in the next negotiated contract in 2012.</p> <p>No cost-of-living or other increase should be awarded to retirees unless the pension fund is found through a multi-year analysis to be actuarially sound and fully funded.</p> <p>SFERS and actuaries for the City should research other public and private sector data to determine fair pension benefits and the results should be reported at SFERS board meetings and to the Board of Supervisors to lead to a sustainable plan.</p>	Board of Supervisors	Requires Further Analysis	<p>RESOLVED, That the Board of Supervisors reports to the Presiding Judge of the Superior Court that it partially agrees with Finding A1 of the 2009-2010 Civil Grand Jury Report Entitled "Pension Tsunami: The Billion Dollar Bubble" because, although prior voter approved propositions have impacted future pension liabilities, additional solutions will be required in the future to avoid significant trade offs in the City's budget; FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Mayor on Recommendation A1, except for the third paragraph of the Mayor's response regarding hybrid pension models because the Board of Supervisors considers the hybrid pension model worthy of further consideration; (Resolution 460-10)</p>	Will Not Be Implemented; Not Warranted or Not Reasonable	On November 8, 2011, the voters passed Proposition C, a pension reform measure.
2009-10	Pension Tsunami: The Billion Dollar Bubble	<p>A1. The San Francisco City Charter should be amended, as follows:</p> <p>For new employees, the pension multiplier should be set at a level to provide fiscally sound future pensions - fair to employees and taxpayers alike.</p> <p>For new Miscellaneous employees, the retirement age to receive full benefits should be comparable to that of Social Security and/or private sector recipients, and be fair to employees and taxpayers alike.</p> <p>The Jury recommends that City officials consider a hybrid retirement plan with components of both Defined Benefit and Defined Contribution, 40 1 (k)-type, in the next negotiated contract in 2012.</p> <p>No cost-of-living or other increase should be awarded to retirees unless the pension fund is found through a multi-year analysis to be actuarially sound and fully funded.</p> <p>SFERS and actuaries for the City should research other public and private sector data to determine fair pension benefits and the results should be reported at SFERS board meetings and to the Board of Supervisors to lead to a sustainable plan.</p>	Office of the Mayor	Requires Further Analysis	<p>While we have taken a number of important and significant steps towards pension reform, there is still more that can be done. The retirement age at which miscellaneous employees receive maximum benefits was recently increase to age 62. This is among the highest in California. The City should not create a hybrid system that combines elements of a Defined Benefit Plan and a Defined Contribution Plan at this time. Defined Contribution Plans carry risks that have led to negative unanticipated consequences for many private sector employees, and it would be imprudent to switch to any new model that has not proven to be dependable over the long run. However, The Mayor does agree that the City should continue to review other models and structures that could be appropriate for the City. While it is true that cost of living adjustments are awarded regardless of the financial stability of the pension fund, the additional supplemental COLA amount of up to 3.5% is only awarded if there are sufficient excess investment earnings. The Mayor agrees that the City should further evaluate whether it is beneficial as a matter of policy to award a COLA when the retirement system's investment earnings are flat.</p>	Recommendation Implemented/ Will Not Be Implemented; Not Warranted or Not Reasonable	<p>The City's 2011 response to the Civil Grand Jury's recommendation that we create a hybrid system that combines elements of a Defined Benefit Plan and a Defined Contribution Plan remains the same: it will not be implemented. However, the City was able to significantly reform its retirement and retiree health benefits, as well as its health service system and retirement systems with the passage of Proposition C (the voter-approved Charter amendment in November 2011). Specifically, Proposition C adjusts pension contribution rates for most current and future City employees based on the City's costs; reduces pension benefits for future City employees; limits cost-of-living adjustments to pension benefits; decreases City contributions to retiree health care costs for certain former employees; requires all current and future employees to contribute toward their retiree health care costs; changes the composition and voting requirements of the Health Service Board; and makes other changes to the City's retirement and health benefits systems.</p>
2009-10	Pension Tsunami: The Billion Dollar Bubble	B1. The Mayor and the Board of Supervisors should prepare a plan within the next year to fund the projected \$1 billion in pension costs.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors agrees with Recommendation B1; (Resolution 460-10)	**	

(1) *** Response not required: Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	B1. The Mayor and the Board of Supervisors should prepare a plan within the next year to fund the projected \$1 billion in pension costs.	Office of the Mayor	Recommendation Implemented	The Mayor's Office and city departments continue to work to address the projected \$1 billion pension cost. Over the past several years, the Mayor's Office has held regular meetings with city departments, labor representatives and other stakeholders to explore and develop options for long-term pension and benefits reforms. These efforts led to the passage of Proposition D of June 2010 that limits pension costs. Additionally, two years ago the Mayor directed the Controller to undertake the Budget Improvement Project, an effort to examine long-term financial issues and develop reforms to the City's budget process and financial planning. The Mayor worked with the Board of Supervisors to turn the results of that process into Proposition A, which voters approved in November 2009. Under Proposition A, the City is now developing two year budgets, financial policies and a five-year financial plan to address major financial issues including pension and other benefit costs.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B1. The Mayor and the Board of Supervisors should prepare a plan within the next year to fund the projected \$1 billion in pension costs.	Office of the Controller	Recommendation Implemented	City leadership may consider how to manage retirement costs and benefits as part of its overall financial planning, and the Mayor and Board of Supervisors may make proposals regarding retirement benefits within the current system to put before the voters. These considerations already occur through the City leadership's and managers' review of pension costs and contribution rates and their financial impacts in the budget process and in other settings. Benefits, terms and conditions of SFERS are set in the Charter, and changes to them are a matter for voter approval; the Charter also requires that each year's budget be balanced. Balancing future budgets will require some combination of expenditure reductions and/or additional revenues. The Controller is working with City leadership to enact Proposition A mandated changes (a two-year (biennial) budget and a five-year financial plan which forecasts revenues and expenses and summarizes expected public service levels and funding requirements for that period) to the City's budget and financial processes, which are likely to stabilize spending through requiring multi-year budgeting and financial planning. In the winter and spring of 2011, the Controller's Office is participating in a working group analyzing and developing ballot proposals, labor proposals and budgeting proposals to address OPEB liability, current health care liability, pension liability, other benefit and pension matters. The Mayor's Office and members of the Board of Supervisors will introduce one or more proposals for the Nov. 2011 ballot on this subject, and work through other City processes as well.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B2. The Department of Human Resources (DHR) should not enter into agreements with the employee unions which increase the City's future pension obligations without voter approval. DHR should engage the City's professional Actuary to investigate any increase in pensionable compensation.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Mayor on Finding B2; FURTHER RESOLVED, That the Board of Supervisors disagrees with Recommendation B2 and incorporates and adopts the response of the Department of Human Resources; (Resolution 460-10)	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B2. The Department of Human Resources (DHR) should not enter into agreements with the employee unions which increase the City's future pension obligations without voter approval. DHR should engage the City's professional Actuary to investigate any increase in pensionable compensation.	Office of the Mayor	Will Not be Implemented; Not Warranted or Not Reasonable	As part of the collective bargaining process, the Department of Human Resources relies on data furnished by the SFERS and the Controller's Office to evaluate cost increases associated with pensionable compensation. Requiring voter approval of any employee wage increases that would result in an increase in pensions would likely violate both the Charter and the State law on collective bargaining. The recommendation does not recognize that all increases in pension obligations were voter-approved. Without voter approval, DHR cannot change employee retirement plans. DHR has the responsibility to negotiate wages and benefits with labor organizations in accordance with the Charter, and this responsibility cannot be delegated to the voters.	**	

(1) **** Response not required; Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	B2. The Department of Human Resources (DHR) should not enter into agreements with the employee unions which increase the City's future pension obligations without voter approval. DHR should engage the City's professional Actuary to investigate any increase in pensionable compensation.	Office of the Controller	Will Not be Implemented; Not Warranted or Not Reasonable	The Department of Human Resources (DHR) enters into collective bargaining with the City's labor groups using data furnished by the Retirement System and the Controller's Office to evaluate cost increases to any pensionable compensation. Requiring voter approval of employee wage increases would likely violate both the Charter and State law with regard to collective bargaining. The Civil Grand Jury recommendation fails to recognize that all changes in pension obligations are voter-approved and DHR cannot change employee retirement plans. Labor agreements legitimately address wages and benefits and are appropriately and efficiently within the City's (DHR's) authority to negotiate. A wide variety of factors including wage levels, hiring and staffing, attrition, management decisions, and many others, affect the total amount of pensionable compensation and the City's obligations. These factors do not however change the retirement elements that require voter approval such as changes to defined benefits, eligibility, and service requirements. The City, through DHR and the Controller's Office, projects the current and future costs of wage increases and of pensionable compensation as part of its negotiations and budget processes. Actuarial services are not indicated for this purpose. Actuarial analysis is done as part of the annual valuation and contribution rate-setting process at SFERS, and whenever a change to retirement conditions and requirements is proposed.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B2. The Department of Human Resources (DHR) should not enter into agreements with the employee unions which increase the City's future pension obligations without voter approval. DHR should engage the City's professional Actuary to investigate any increase in pensionable compensation.	Department of Human Resources	Recommendation Implemented	<p>Actions 1 and 4: This recommendation has already been implemented in part, and cannot be implemented in remainder.</p> <p>As to the recommendation that DHR not enter into agreements with the employee unions which increase the City's future pension obligations without voter approval, please note that under Charter §A8.409, the City is obligated to bargain with recognized employee organizations over wages and benefits. Any increase in pensionable compensation necessarily results in a corresponding increase in employer contributions to retirement. It also increases the amount that the employee is required to contribute, since the employee's contribution is based on a set percentage rate of salary by Charter mandate. It would violate both our Charter and State law governing collective bargaining if the City were to require every negotiated wage increase to be submitted to the voters for approval. Further, please note that under the City's Charter, DHR has no ability to change employee retirement plans; as all such changes must be approved by the voters. Accordingly, all of the retirement enhancements that are noted in the Grand Jury's report were in fact approved by the voters.</p> <p>As to the recommendation that DHR engage the City's professional actuary to investigate any increase in pensionable compensation, this recommendation will not be implemented. During collective bargaining, DHR already engages SFERS and the Controller's Office to evaluate cost increases to any pensionable compensation. Both of these agencies employ actuaries on which DHR relies. It would not be practical—nor cost-effective—for the City to engage an actuary in every discussion with the City's 48 labor groups over possible wage increases and the corresponding impact on pensions. Moreover, we note that the Charter does not specifically include impact on employer pension costs as a factor that must be determined by an arbitrator in determining wage increases.</p>	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B2. The Department of Human Resources (DHR) should not enter into agreements with the employee unions which increase the City's future pension obligations without voter approval. DHR should engage the City's professional Actuary to investigate any increase in pensionable compensation.	San Francisco Employee Retirement System	Will Not be Implemented; Not Warranted or Not Reasonable	The Retirement System has no role, duty or obligation regarding this issue. Also, to clarify, the professional Actuary is engaged by the Retirement System and not the City.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B3. DHR should compare the retirement benefits in other California cities to determine whether the pension benefits are excessive. The results should be reported to the Mayor and Board of Supervisors.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors agrees with Finding B3; FURTHER RESOLVED, That the Board of Supervisors agrees with Recommendation B3; (Resolution 460-10)	**	

(1) **** Response not required; Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	B3. DHR should compare the retirement benefits in other California cities to determine whether the pension benefits are excessive. The results should be reported to the Mayor and Board of Supervisors.	Office of the Mayor	Recommendation Implemented	San Francisco's retirement benefits are lower than those of most other cities in California.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B3. DHR should compare the retirement benefits in other California cities to determine whether the pension benefits are excessive. The results should be reported to the Mayor and Board of Supervisors.	Department of Human Resources	Recommendation implemented	DHR has compared the retirement benefits provided by the City to those of other cities and counties in California and has determined that our retirement plans for both miscellaneous and safety are on the lower end of those provided across California (please see the chart included in DHR's original response to the Civil Grand Jury in August 2010). This information was shared with both the Mayor's Office and the Board of Supervisors pursuant to the formulation of Proposition B in the winter and spring of 2008.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C1. The City Attorney should initiate legal action against the SFERS Board to enforce the requirements of the Charter amendment to "meet and confer" and "cost-sharing" provisions of Proposition H, as stipulated in Charter § A8.S9S-11 (e). The Jury recommends that the City Attorney and/or his representatives present to the Board of Supervisors and SFERS Board the following documents regarding §A8.S9S-11 (e) of the City Charter: 1. A legal opinion on the charter section. 2. Documentation regarding the dates and times that the City and the Police and Firefighters unions met to confer and to implement a cost-sharing arrangement as required in the section. 3. A legal opinion regarding fiduciary duties of the SFERS Board to comply with it. 4. A legal opinion regarding SFERS duty to revise the Safety employee contribution rate to comply with the Charter section. 5. A legal opinion regarding possible remedies to enforce compliance.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Mayor and the Department of Human Resources on Finding C1; FURTHER RESOLVED, That the Board of Supervisors disagrees with Recommendation C1 and incorporates and adopts as its own the response of the City Attorney; (Resolution 460-10)	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C1. The City Attorney should initiate legal action against the SFERS Board to enforce the requirements of the Charter amendment to "meet and confer" and "cost-sharing" provisions of Proposition H, as stipulated in Charter § A8.S9S-11 (e). The Jury recommends that the City Attorney and/or his representatives present to the Board of Supervisors and SFERS Board the following documents regarding §A8.S9S-11 (e) of the City Charter: 1. A legal opinion on the charter section. 2. Documentation regarding the dates and times that the City and the Police and Firefighters unions met to confer and to implement a cost-sharing arrangement as required in the section. 3. A legal opinion regarding fiduciary duties of the SFERS Board to comply with it. 4. A legal opinion regarding SFERS duty to revise the Safety employee contribution rate to comply with the Charter section. 5. A legal opinion regarding possible remedies to enforce compliance.	Office of the Mayor	Will Not be Implemented; Not Warranted or Not Reasonable	City Charter §A8.59S-11(e) does not require the SFERS to enter into a meet and confer with the City's safety employee unions. Therefore, the City Attorney cannot initiate legal proceedings to require such action. Per the City Attorney, the City has complied with the cost-sharing provisions of Proposition H.	**	

(1) *** Response not required: Recommendation has been fully implemented or abandoned.

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2009-10	Pension Tsunami: The Billion Dollar Bubble	C1. The City Attorney should initiate legal action against the SFERS Board to enforce the requirements of the Charter amendment to "meet and confer" and "cost-sharing" provisions of Proposition H, as stipulated in Charter § A8.S9S-11 (e). The Jury recommends that the City Attorney and/or his representatives present to the Board of Supervisors and SFERS Board the following documents regarding §A8.S9S-11 (e) of the City Charter: 1. A legal opinion on the charter section. 2. Documentation regarding the dates and times that the City and the Police and Firefighters unions met to confer and to implement a cost-sharing arrangement as required in the section. 3. A legal opinion regarding fiduciary duties of the SFERS Board to comply with it. 4. A legal opinion regarding SFERS duty to revise the Safety employee contribution rate to comply with the Charter section. 5. A legal opinion regarding possible remedies to enforce compliance.	Office of the City Attorney	Will Not be Implemented; Not Warranted or Not Reasonable	As explained in the response to the Civil Grand Jury, the City Attorney's Office will not implement the recommendation to sue the Retirement Board and it cannot implement the recommendation to provide documentation regarding meet and confer between the City's labor negotiators and the police and firefighter unions. As explained further, the factual premises do not exist for the requested legal opinions regarding the Retirement System's duty to revise safety employee contributions, the Retirement Board's obligation to comply with its fiduciary duties, the meet and confer and cost cost-sharing provisions in Proposition H, and possible remedies to enforce compliance with the Charter. Therefore, these recommendations cannot be implemented. The City Attorney's Office is prepared to provide legal advice to the City policy-makers about options to achieve further cost-sharing from the public safety unions should they wish to pursue them.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C1. The City Attorney should initiate legal action against the SFERS Board to enforce the requirements of the Charter amendment to "meet and confer" and "cost-sharing" provisions of Proposition H, as stipulated in Charter § A8.S9S-11 (e). The Jury recommends that the City Attorney and/or his representatives present to the Board of Supervisors and SFERS Board the following documents regarding §A8.S9S-11 (e) of the City Charter: 1. A legal opinion on the charter section. 2. Documentation regarding the dates and times that the City and the Police and Firefighters unions met to confer and to implement a cost-sharing arrangement as required in the section. 3. A legal opinion regarding fiduciary duties of the SFERS Board to comply with it. 4. A legal opinion regarding SFERS duty to revise the Safety employee contribution rate to comply with the Charter section. 5. A legal opinion regarding possible remedies to enforce compliance.	Department of Human Resources	Will Not be Implemented; Not Warranted or Not Reasonable	This recommendation cannot be implemented by DHR, as this recommendation is directed to the City Attorney's Office. Accordingly, we defer to the City Attorney's Office for response to this recommendation.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C1. The City Attorney should initiate legal action against the SFERS Board to enforce the requirements of the Charter amendment to "meet and confer" and "cost-sharing" provisions of Proposition H, as stipulated in Charter § A8.S9S-11 (e). The Jury recommends that the City Attorney and/or his representatives present to the Board of Supervisors and SFERS Board the following documents regarding §A8.S9S-11 (e) of the City Charter: 1. A legal opinion on the charter section. 2. Documentation regarding the dates and times that the City and the Police and Firefighters unions met to confer and to implement a cost-sharing arrangement as required in the section. 3. A legal opinion regarding fiduciary duties of the SFERS Board to comply with it. 4. A legal opinion regarding SFERS duty to revise the Safety employee contribution rate to comply with the Charter section. 5. A legal opinion regarding possible remedies to enforce compliance.	San Francisco Employee Retirement System Board	Will Not be Implemented; Not Warranted or Not Reasonable	The SFERS Board has no duty to "meet and confer," or otherwise participate in negotiations between the City and its unions. The City Attorney makes legal determinations in his discretion, without direction from the SFERS Board.	**	

(1) "*" Response not required: Recommendation has been fully implemented or abandoned.

Status of the Recommendations
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2009-10

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	C2. The City and Safety employees should establish an arrangement to share the annual \$26 million cost as required by the City Charter	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors agrees with Finding C2; FURTHER RESOLVED, That the Board of Supervisors agrees with Recommendation C2 and incorporates and adopts as its own the responses of the City Attorney and the Department of Human Resources; (Resolution 460-10)	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C2. The City and Safety employees should establish an arrangement to share the annual \$26 million cost as required by the City Charter	Office of the Mayor	Recommendation Implemented	Where the City Charter requires the City and its public safety unions to share costs, the City has and will continue to work with the unions as required under the Charter.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C2. The City and Safety employees should establish an arrangement to share the annual \$26 million cost as required by the City Charter	Office of the City Attorney	Will Not be Implemented; Not Warranted or Not Reasonable	As stated in the response to the Civil Grand Jury as legal advisors to the City, we are not in a position to respond to the recommendation. For that reason, the City Attorney's Office cannot implement Recommendation C2. But, we note that the City and the public safety unions are in discussions to reach an agreement to share more in the cost to provide pension benefits.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C2. The City and Safety employees should establish an arrangement to share the annual \$26 million cost as required by the City Charter	Department of Human Resources	Recommendation Implemented	<p>Actions 1 and 2: This recommendation has already been implemented, as the parties have met Charter obligations under Proposition H; however, DHR will continue to meet and confer with the City's Police and Fire unions to ensure ongoing compliance with the cost-sharing obligations under Proposition H.</p> <p>The City met and conferred with the Police and Fire groups in the spring of 2003, during the first round of labor negotiations following passage of Proposition H, and negotiated provisions in the collective bargaining agreements covering police officers and firefighters to address Charter obligations as to cost-sharing. At that time, both the Police and Fire unions agreed to pay the maximum employee pension contribution allowed under the Charter (7.0%, old plan; or 7.5%, new plan). These agreements were reached in recognition of the parties' cost-sharing obligations under Proposition H, the fact that the City's pension costs were projected to increase above 0%, and to facilitate balancing the City's budget.</p> <p>DHR recently met and conferred with the Police and Fire unions to review and discuss cost-sharing obligations under Proposition H. According to the San Francisco Employees Retirement System, the Proposition H costs to the City for Fiscal Year 2011-2012 are projected to be \$30,349,000 for Police and Fire; however, the combined pension contributions by Police and Fire will amount to \$35,000,000 (over \$4,000,000 greater than the increased costs under Proposition H). Therefore, Police and Fire continue to meet their cost-sharing obligations under Proposition H.</p>	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C2. The City and Safety employees should establish an arrangement to share the annual \$26 million cost as required by the City Charter	San Francisco Employee Retirement System Board	Will Not be Implemented; Not Warranted or Not Reasonable	The SFERS Board has no duty or responsibility to negotiate with the City and its unions.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	D1. San Francisco should take steps to curb abuses from pension spiking by limiting the final pensionable income an employee can claim at retirement and from pension-pyramiding. The Jury suggests the following: <ul style="list-style-type: none"> • Use a three-year average to determine pensionable income, similar to Federal rules. • Limit final pensionable compensation to 120% of the rank pay rate as determined by Civil Service job classification. • The Controller should perform an independent review of pensions to determine whether the practice of pension spiking is ongoing. • Disallow employees from drawing pensions from two simultaneous City jobs. • Pensionable compensation should not include pay for two separate pay types, known as pension-pyramiding. 	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Mayor on Finding D1; FURTHER RESOLVED, That the Board of Supervisors agrees with Recommendation D1 and acknowledges that it requires further analysis; (Resolution 460-10)	**	

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

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2009-10	Pension Tsunami: The Billion Dollar Bubble	D1. San Francisco should take steps to curb abuses from pension spiking by limiting the final pensionable income an employee can claim at retirement and from pension-pyramiding. The Jury suggests the following: • Use a three-year average to determine pensionable income, similar to Federal rules. • Limit final pensionable compensation to 120% of the rank pay rate as determined by Civil Service job classification. • The Controller should perform an independent review of pensions to determine whether the practice of pension spiking is ongoing. • Disallow employees from drawing pensions from two simultaneous City jobs. • Pensionable compensation should not include pay for two separate pay types, known as pension-pyramiding.	Office of the Mayor	Recommendation Implemented	The Mayor and Supervisor Sean Elsbernd introduced a Charter amendment to the Board of Supervisors in 2008, which would have required a three-year average to determine pensionable income. The Board of Supervisors voted to reduce that time to two years. The measure, Proposition D of June 2010 passed and it requires a two-year average to avoid spiking in the final year. Although pensionable income is determined by Charter, the Mayor's Office will continue to work with DHR, SFERS and the Controller's Office to limit final pensionable compensation to the extent possible under the charter and collective bargaining agreements. The Mayor's Office agrees with the recommendation that employees should not draw from two simultaneous city jobs and that pensionable compensation should not include pay for two separate pay types. The Mayor's Office and DHR are working together to ensure that there are systematic controls in place to eliminate this practice where it exists.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	D1. San Francisco should take steps to curb abuses from pension spiking by limiting the final pensionable income an employee can claim at retirement and from pension-pyramiding. The Jury suggests the following: • Use a three-year average to determine pensionable income, similar to Federal rules. • Limit final pensionable compensation to 120% of the rank pay rate as determined by Civil Service job classification. • The Controller should perform an independent review of pensions to determine whether the practice of pension spiking is ongoing. • Disallow employees from drawing pensions from two simultaneous City jobs. • Pensionable compensation should not include pay for two separate pay types, known as pension-pyramiding.	Office of the Controller	Recommendation Implemented AND Will Not be Implemented	The Controller's Office agrees that "pension spiking" and "pension-pyramiding" are unfair and costly practices and should be prevented. We note that CGJ recommendations 1. and 2. require voter approval and that recommendations 4. and 5. are addressed as part of the Controller's Office's payroll audit program (as well as other City programs), which audits controls on assignments, on pay and on retirement calculations to control the risk of "spiking" and "pyramiding" and insure that City employees are appropriately compensated and their pensions are determined in accordance with all applicable codes. In response to recommendation 3., the Controller's Office includes payroll practices as part of its annual risk assessment and considers whether to schedule internal audit(s)—these specific issues are not scheduled for an audit in the near term.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	D1. San Francisco should take steps to curb abuses from pension spiking by limiting the final pensionable income an employee can claim at retirement and from pension-pyramiding. The Jury suggests the following: • Use a three-year average to determine pensionable income, similar to Federal rules. • Limit final pensionable compensation to 120% of the rank pay rate as determined by Civil Service job classification. • The Controller should perform an independent review of pensions to determine whether the practice of pension spiking is ongoing. • Disallow employees from drawing pensions from two simultaneous City jobs. • Pensionable compensation should not include pay for two separate pay types, known as pension-pyramiding.	Department of Human Resources	Will Not be Implemented; Not Warranted or Not Reasonable	Actions 1, 2 and 4: DHR is unable to implement recommendations 1, 2 and 5, as they require a Charter amendment. As the third recommendation is directed to the Controller's Office, DHR also cannot implement this recommendation. However, the fourth recommendation (disallow employees from drawing pensions from two simultaneous City jobs) has been partially implemented and will hopefully be fully implemented in the future with the upgrade of the City's payroll and personnel system (PeopleSoft). DHR has met several times with DPH to work on a means of better coordinating communication between their different divisions (e.g., General Hospital, Laguna Honda, Clinics) to ensure that there is better knowledge as to how staff are utilized that work in more than one division. With the implementation of the new PeopleSoft system by eMerge, the various payroll divisions should have real time knowledge of the hours worked by employees that work in more than one division, so they can prevent employees from earning more than 80 pensionable hours in a pay period.	**	

(1) *** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	2011 Response ⁽¹⁾	2011 Response Text	2012 Response ⁽¹⁾	2012 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	D1. San Francisco should take steps to curb abuses from pension spiking by limiting the final pensionable income an employee can claim at retirement and from pension-pyramiding. The Jury suggests the following: • Use a three-year average to determine pensionable income, similar to Federal rules. • Limit final pensionable compensation to 120% of the rank pay rate as determined by Civil Service job classification. • The Controller should perform an independent review of pensions to determine whether the practice of pension spiking is ongoing. • Disallow employees from drawing pensions from two simultaneous City jobs. • Pensionable compensation should not include pay for two separate pay types, known as pension-pyramiding.	San Francisco Fire Department	Will Not be Implemented; Not Warranted or Not Reasonable	The SFFD maintains that pension spiking does not occur among its retirees. Any increases to final pensionable compensation are in accordance with established Citywide pay practices and procedures, including applicable MOU provisions and Merit System principles. Increases can be attributed to negotiated contract enhancements (pre- or post-retirement) or promotion in rank pre-retirement through the following MOU or DHR and Civil Service-approved appointment methods: Like Work-Like Pay, Acting Assignment, Provisional or Exempt Appointment, or Permanent Appointment from an eligible list. Increases to final pensionable compensation do not occur for the purpose of inflating or "spiking" retirement benefits. It is not within the purview of the SFFD to comment on the Action Plan for any of the D1 bullets. Moreover, bullets 4 and 5 do not occur in the SFFD. During the CGJ fact-finding phase, it was mentioned that these anomalies were particular to the Nursing classification in the Public Health System. Finally, the SFFD is committed to participate in any discussions that may occur regarding the first three bullets.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	E1. Department of Human Resources and collective bargaining units should meet and confer to determine a cost-sharing arrangement to pre-fund the \$4 billion unfunded liability for retiree health care obligations.	Board of Supervisors	Will Not be Implemented; Not Warranted or Not Reasonable	FURTHER RESOLVED, That the Board of Supervisors incorporates and adopts as its own the response of the Mayor and the Department of Human Resources on Finding E1; FURTHER RESOLVED, That the Board of Supervisors agrees with Recommendation E1; (Resolution 460-10)	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	E1. Department of Human Resources and collective bargaining units should meet and confer to determine a cost-sharing arrangement to pre-fund the \$4 billion unfunded liability for retiree health care obligations.	Office of the Mayor	Recommendation Implemented	The City does have a large unfunded liability for retiree health care obligations. Through voter-approved propositions, the City has begun to address this issue by requiring the City and its employees to contribute to the Retiree Health Trust Fund. The Mayor's Office will continue to work with the Controller's Office and DHR to address this liability.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	E1. Department of Human Resources and collective bargaining units should meet and confer to determine a cost-sharing arrangement to pre-fund the \$4 billion unfunded liability for retiree health care obligations.	Office of the City Attorney	Will Not be Implemented; Not Warranted or Not Reasonable	The Department of Human Resources is responsible for initiating meet and confer with employee bargaining units and for advocating on behalf of the City in that process. The City Attorney's Office may, and does, assist with that function, but under the Charter, the Department of Human Resources has primary responsibility for the meet and confer process. For that reason, the City Attorney's Office cannot implement Recommendation E 1, but we are ready to assist the department if requested. We note that the City and employee bargaining units are in discussions to address the unfunded liability for retiree healthcare and we will advise the City as requested.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	E1. Department of Human Resources and collective bargaining units should meet and confer to determine a cost-sharing arrangement to pre-fund the \$4 billion unfunded liability for retiree health care obligations.	Office of the Controller	Recommendation Implemented	In the winter and spring of 2011, the Controller's Office is participating in a working group analyzing and developing ballot proposals, labor proposals and budgeting proposals to address OPEB liability, current health care liability, pension liability, other benefit and pension matters. The Mayor's Office and members of the Board of Supervisors will introduce one or more proposals for the Nov. 2011 ballot on this subject, and work through other City processes as well. Meet and confer processes for these proposals are underway.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	E1. Department of Human Resources and collective bargaining units should meet and confer to determine a cost-sharing arrangement to pre-fund the \$4 billion unfunded liability for retiree health care obligations.	Department of Human Resources	Recommendation Implemented	Actions 1 and 2: This recommendation has been implemented to the extent possible, but DHR will continue to pursue expansion. Pursuant to Proposition B (June 2008 Ballot), all employees hired on or after January 10, 2009 must contribute 2% of their salary into the City's Retiree Health Care Trust Fund Contribution, and the City contributes an additional 1% for each corresponding 2% contribution. Approximately 10% of the City's workforce is making this mandatory contribution. This amount serves to entirely prefund those new employees' retiree health benefits and a portion of the City's unfunded liability for retiree health benefits for employees who were hired prior to January 10, 2009. Further, DHR has sought contributions to the Retiree Health Care Trust Fund from non-contributing employees through the collective bargaining process during the last two rounds of bargaining and will continue to do so in future labor negotiations.	**	

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Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2011 Response (1)	2011 Response Text	2012 Response (1)	2012 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	F1. The Mayor needs to appoint two Commissioners to represent the public's interest.	Office of the Mayor	Will Not be Implemented; Not Warranted or Not Reasonable	These commission appointments have been made. Upon appointment, all commissioners are required to discharge faithfully the duties of the particular commission or board to which they are appointed. In the case of SFERS, the commissioners represent the interest of the members and their beneficiaries, not only the public at large.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	F2. It is important for the public Commissioners appointed by the Mayor to attend the Board meetings. They should attend regular monthly Board meetings or resign.	Office of the Mayor	Recommendation Implemented	All commissioners should attend regular monthly Board meetings. The board also has a committee structure that allows its members to discharge its duties even if a member is not able to make every Board meeting.	**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	F2. It is important for the public Commissioners appointed by the Mayor to attend the Board meetings. They should attend regular monthly Board meetings or resign.	San Francisco Employee Retirement System Board	Recommendation Implemented	Commissioners are aware of their fiduciary duty, and of the importance of attending Board, Committee, and educational sessions. Attendance records are regularly and currently maintained.	**	

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CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2015 Response ⁽¹⁾	2015 Response Text
2009-10	Sharing the Roadway: From Confrontation to Conversation	1. Conflict, anger, mistrust and misunderstanding among motorists, cyclists, police, transit riders, and pedestrians have frustrated the successful implementation of the San Francisco Bicycle Plan. The Plan should be amended to address the different and sometimes hostile attitudes and perceptions. San Francisco should create innovative strategies so that residents can more fairly and safely share the roadways of the City. Amending the Plan should be a priority and be completed by January 1, 2011. The SFCGJ recommends that the Bicycle Advisory Committee, with active input and cooperation from the SFMTA and the SFPD, amend the San Francisco Bicycle Plan (the Plan) to include the recommendations set forth in this report. The amended Plan should be presented to the Mayor and BOS for adoption by January 1, 2011. The SFCGJ recommends that the BAC, SFMTA, and the SFPD meet annually.	Board of Supervisors	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	1. Conflict, anger, mistrust and misunderstanding among motorists, cyclists, police, transit riders, and pedestrians have frustrated the successful implementation of the San Francisco Bicycle Plan. The Plan should be amended to address the different and sometimes hostile attitudes and perceptions. San Francisco should create innovative strategies so that residents can more fairly and safely share the roadways of the City. Amending the Plan should be a priority and be completed by January 1, 2011. The SFCGJ recommends that the Bicycle Advisory Committee, with active input and cooperation from the SFMTA and the SFPD, amend the San Francisco Bicycle Plan (the Plan) to include the recommendations set forth in this report. The amended Plan should be presented to the Mayor and BOS for adoption by January 1, 2011. The SFCGJ recommends that the BAC, SFMTA, and the SFPD meet annually.	Office of the Mayor	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	1. Conflict, anger, mistrust and misunderstanding among motorists, cyclists, police, transit riders, and pedestrians have frustrated the successful implementation of the San Francisco Bicycle Plan. The Plan should be amended to address the different and sometimes hostile attitudes and perceptions. San Francisco should create innovative strategies so that residents can more fairly and safely share the roadways of the City. Amending the Plan should be a priority and be completed by January 1, 2011. The SFCGJ recommends that the Bicycle Advisory Committee, with active input and cooperation from the SFMTA and the SFPD, amend the San Francisco Bicycle Plan (the Plan) to include the recommendations set forth in this report. The amended Plan should be presented to the Mayor and BOS for adoption by January 1, 2011. The SFCGJ recommends that the BAC, SFMTA, and the SFPD meet annually.	San Francisco Police Department	**		**		**	

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2009-10	Sharing the Roadway: From Confrontation to Conversation	1. Conflict, anger, mistrust and misunderstanding among motorists, cyclists, police, transit riders, and pedestrians have frustrated the successful implementation of the San Francisco Bicycle Plan. The Plan should be amended to address the different and sometimes hostile attitudes and perceptions. San Francisco should create innovative strategies so that residents can more fairly and safely share the roadways of the City. Amending the Plan should be a priority and be completed by January 1, 2011. The SFCGJ recommends that the Bicycle Advisory Committee, with active input and cooperation from the SFMTA and the SFPD, amend the San Francisco Bicycle Plan (the Plan) to include the recommendations set forth in this report. The amended Plan should be presented to the Mayor and BOS for adoption by January 1, 2011. The SFCGJ recommends that the BAC, SFMTA, and the SFPD meet annually.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.	—	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	2a. The Plan should be amended to include a comprehensive program to distribute, to the public as well as cyclists, the extensive available safe cycling educational materials.	Board of Supervisors	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2a. The Plan should be amended to include a comprehensive program to distribute, to the public as well as cyclists, the extensive available safe cycling educational materials.	Office of the Mayor	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2a. The Plan should be amended to include a comprehensive program to distribute, to the public as well as cyclists, the extensive available safe cycling educational materials.	San Francisco Police Department	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2a. The Plan should be amended to include a comprehensive program to distribute, to the public as well as cyclists, the extensive available safe cycling educational materials.	San Francisco Municipal Transportation Agency	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2a. The Plan should be amended to include a comprehensive program to distribute, to the public as well as cyclists, the extensive available safe cycling educational materials.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.	—	Committee elected not to respond.

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Status of the Recommendations
by the Civil Grand Jury
2009-10

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2009-10	Sharing the Roadway: From Confrontation to Conversation	2b. By January 1, 2011, Police should update training materials related to bicycles in a joint effort with the bicycle community and the California Commission on Peace Officer Standards and Training (POST). Updated materials should include CVC and TC enforcement in alignment with the current SFMTA Bike Guide. By January 1, 2011, the SFPD should have a plan to distribute these materials and train officers.	Board of Supervisors	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2b. By January 1, 2011, Police should update training materials related to bicycles in a joint effort with the bicycle community and the California Commission on Peace Officer Standards and Training (POST). Updated materials should include CVC and TC enforcement in alignment with the current SFMTA Bike Guide. By January 1, 2011, the SFPD should have a plan to distribute these materials and train officers.	San Francisco Police Department	**		**		**	
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2009-10	Sharing the Roadway: From Confrontation to Conversation	2b. By January 1, 2011, Police should update training materials related to bicycles in a joint effort with the bicycle community and the California Commission on Peace Officer Standards and Training (POST). Updated materials should include CVC and TC enforcement in alignment with the current SFMTA Bike Guide. By January 1, 2011, the SFPD should have a plan to distribute these materials and train officers.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.	—	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	2c. The Bicycle Plan should be amended by January 1, 2011 to include the importance and availability of property, liability, and health insurance for cyclists.	Board of Supervisors	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2c. The Bicycle Plan should be amended by January 1, 2011 to include the importance and availability of property, liability, and health insurance for cyclists.	San Francisco Police Department	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2c. The Bicycle Plan should be amended by January 1, 2011 to include the importance and availability of property, liability, and health insurance for cyclists.	San Francisco Municipal Transportation Agency	**		**		**	

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Status of the Recommendations
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2009-10

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2009-10	Sharing the Roadway: From Confrontation to Conversation	2c. The Bicycle Plan should be amended by January 1, 2011 to include the importance and availability of property, liability, and health insurance for cyclists.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.	—	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	2d. The Plan should include the Police Department, pedestrians, public transit riders and motorists in any further discussion or revision. Representation should include at a minimum the Police Chief or his designee, and at least two officers familiar with cycling issues on appropriate committees.	Board of Supervisors	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2d. The Plan should include the Police Department, pedestrians, public transit riders and motorists in any further discussion or revision. Representation should include at a minimum the Police Chief or his designee, and at least two officers familiar with cycling issues on appropriate committees.	San Francisco Police Department	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2d. The Plan should include the Police Department, pedestrians, public transit riders and motorists in any further discussion or revision. Representation should include at a minimum the Police Chief or his designee, and at least two officers familiar with cycling issues on appropriate committees.	San Francisco Municipal Transportation Agency	Recommendation Implemented	The SFMTA released a draft Bicycle Strategy in January of 2013, which has outlined traffic enforcement as a key objective (see Objective 3.4). The draft document has been forwarded to the police department for review and comment. As noted in previous responses, the Police Department participates in evaluation of all capital projects through the bi-weekly Transportation Advisory Staff Committee and is invited to attend Bicycle Advisory Committee meetings. Public workshops were held in early 2013 with pedestrians, City stakeholders, paratransit and taxi providers, and members of, and representatives from, organizations representing senior and disabled groups. A final strategy is due for release late 2013.	**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	2d. The Plan should include the Police Department, pedestrians, public transit riders and motorists in any further discussion or revision. Representation should include at a minimum the Police Chief or his designee, and at least two officers familiar with cycling issues on appropriate committees.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.	—	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	3a. The Plan should insist that all users of the roadways comply with the current traffic laws. The Plan should consider a self-enforcement campaign along with the current co-exist campaign. Motorists and cyclists need to step-up to the plate to begin self-enforcement. The Plan should encourage and educate all users to act responsibly.	Board of Supervisors	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3a. The Plan should insist that all users of the roadways comply with the current traffic laws. The Plan should consider a self-enforcement campaign along with the current co-exist campaign. Motorists and cyclists need to step-up to the plate to begin self-enforcement. The Plan should encourage and educate all users to act responsibly.	Office of the Mayor	**		**		**	

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2009-10	Sharing the Roadway: From Confrontation to Conversation	3b, 3c, and 3d. Police should enforce the Traffic Code and California Vehicle Code. Starting September 2010, the police should have a goal of entering all bicycle citations into the database. By January 1, 2011, San Francisco moving violation tickets should include a box for "bicycle." By January 1, 2011, COMSTAT should include a section for bicycle related data.	Board of Supervisors	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3b, 3c, and 3d. Police should enforce the Traffic Code and California Vehicle Code. Starting September 2010, the police should have a goal of entering all bicycle citations into the database. By January 1, 2011, San Francisco moving violation tickets should include a box for "bicycle." By January 1, 2011, COMSTAT should include a section for bicycle related data.	San Francisco Police Department	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3f. By January 1, 2011, the Traffic Court should establish a Bicycle Court Traffic School option, as a tool for education, patterned on Traffic Schools currently in use, for when bicyclists (and motorists with bicycle-related infractions) have been cited for moving violations. Such sessions will be scheduled at least once each quarter. The Traffic Court should consult with the BAC in the development of the Bicycle Court option.	Board of Supervisors	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3f. By January 1, 2011, the Traffic Court should establish a Bicycle Court Traffic School option, as a tool for education, patterned on Traffic Schools currently in use, for when bicyclists (and motorists with bicycle-related infractions) have been cited for moving violations. Such sessions will be scheduled at least once each quarter. The Traffic Court should consult with the BAC in the development of the Bicycle Court option.	Office of the Mayor	**		**		**	

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2009-10	Sharing the Roadway: From Confrontation to Conversation	3g. There should be an overall citywide policy about how the existing CVC and TC codes will be implemented so police have the direction and support they seek and deserve.	Board of Supervisors	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3g. There should be an overall citywide policy about how the existing CVC and TC codes will be implemented so police have the direction and support they seek and deserve.	Office of the Mayor	Will Not Be Implemented: Not Warranted or Not Reasonable	An "overall citywide policy" is unnecessary since the SFMTA will provide this direction through their current bicycle strategy. As mentioned in the SFMTA response, the spirit of this recommendation is captured in Objective 3.4 (Traffic Enforcement) of the draft SFMTA Bicycle Strategy released in January 2013. SFMTA will continue to identify applicable sections of the CVC and TC related to bicycles that require further clarification and collaboration with the SFPD and will continue ongoing conversations with both the City Attorneys Office and SFPD. A final strategy is due for release late 2013.	**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3g. There should be an overall citywide policy about how the existing CVC and TC codes will be implemented so police have the direction and support they seek and deserve.	San Francisco Police Department	**		**		**	

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2009-10	Sharing the Roadway: From Confrontation to Conversation	3g. There should be an overall citywide policy about how the existing CVC and TC codes will be implemented so police have the direction and support they seek and deserve.	San Francisco Municipal Transportation Agency	Will Not Be Implemented; Not Warranted or Not Reasonable	The spirit of this recommendation is captured in Objective 3.4 of the draft Bicycle Strategy released in January 2013. SFMTA will continue to identify applicable sections of the CVC and TC related to bicycles that require further clarification and collaboration with the SFPD and will continue ongoing conversations with both the City Attorneys Office and SFPD. A final strategy is due for release late 2013.	**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	3g. There should be an overall citywide policy about how the existing CVC and TC codes will be implemented so police have the direction and support they seek and deserve.	Bicycle Advisory Committee	—	Committee elected not to respond.	—	Committee elected not to respond.	—	Committee elected not to respond.
2009-10	Sharing the Roadway: From Confrontation to Conversation	4. The city should consider a form of "negative registration" to capture names and other pertinent data about cyclists who are ticketed by SFPD for moving or equipment violations or otherwise involved in traffic accidents where the cyclist is cited at fault. The cyclist should be required to appear at a "bicycle court" where proscribed safety education would be required. The format of the court, including a cycle friendly venue such as a ride-up location, and an educational curriculum should be provided through collaboration among SFPD bicycle officers, the Bicycle Coalition and other cycling advocates. Notices to Appear, if ignored, should be pursued through SFPD and the courts.	Board of Supervisors	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	4. The city should consider a form of "negative registration" to capture names and other pertinent data about cyclists who are ticketed by SFPD for moving or equipment violations or otherwise involved in traffic accidents where the cyclist is cited at fault. The cyclist should be required to appear at a "bicycle court" where proscribed safety education would be required. The format of the court, including a cycle friendly venue such as a ride-up location, and an educational curriculum should be provided through collaboration among SFPD bicycle officers, the Bicycle Coalition and other cycling advocates. Notices to Appear, if ignored, should be pursued through SFPD and the courts.	Office of the Mayor	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	4. The city should consider a form of "negative registration" to capture names and other pertinent data about cyclists who are ticketed by SFPD for moving or equipment violations or otherwise involved in traffic accidents where the cyclist is cited at fault. The cyclist should be required to appear at a "bicycle court" where proscribed safety education would be required. The format of the court, including a cycle friendly venue such as a ride-up location, and an educational curriculum should be provided through collaboration among SFPD bicycle officers, the Bicycle Coalition and other cycling advocates. Notices to Appear, if ignored, should be pursued through SFPD and the courts.	San Francisco Police Department	**		**		**	
2009-10	Sharing the Roadway: From Confrontation to Conversation	4. The city should consider a form of "negative registration" to capture names and other pertinent data about cyclists who are ticketed by SFPD for moving or equipment violations or otherwise involved in traffic accidents where the cyclist is cited at fault. The cyclist should be required to appear at a "bicycle court" where proscribed safety education would be required. The format of the court, including a cycle friendly venue such as a ride-up location, and an educational curriculum should be provided through collaboration among SFPD bicycle officers, the Bicycle Coalition and other cycling advocates. Notices to Appear, if ignored, should be pursued through SFPD and the courts.	San Francisco Municipal Transportation Agency	**		**		**	

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2009-10	Sharing the Roadway: From Confrontation to Conversation	4. The city should consider a form of "negative registration" to capture names and other pertinent data about cyclists who are ticketed by SFPD for moving or equipment violations or otherwise involved in traffic accidents where the cyclist is cited at fault. The cyclist should be required to appear at a "bicycle court" where proscribed safety education would be required. The format of the court, including a cycle friendly venue such as a ride-up location, and an educational curriculum should be provided through collaboration among SFPD bicycle officers, the Bicycle Coalition and other cycling advocates. Notices to Appear, if ignored, should be pursued through SFPD and the courts.	Bicycle Advisory Committee	--	Committee elected not to respond.	--	Committee elected not to respond.	--	Committee elected not to respond.
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	1. The City Attorney's Office should assess the liability and risk to the City for the incomplete level of Title II compliance, and report its findings to the Mayor and BOS by October 31, 2010.	Board of Supervisors	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	1. The City Attorney's Office should assess the liability and risk to the City for the incomplete level of Title II compliance, and report its findings to the Mayor and BOS by October 31, 2010.	Office of the Mayor	Requires Further Analysis	The City Attorney's Office cannot evaluate the risk for its level of compliance to Title II of the American's with Disability Act by October 31, 2010, until there is a resolution in the Kirola v. City and County of San Francisco case. As of 2013, the Kirola decision is still pending.	Requires Further Analysis	The City Attorney's Office cannot evaluate the risk for its level of compliance to Title II of the American's with Disability Act by October 31, 2010, until there is a resolution in the Kirola v. City and County of San Francisco case. As of April 2014, the Kirola decision is still pending.	Requires Further Analysis	<p>The Office of the Mayor and BOS will receive the City Attorney's report of findings by October 31, 2010, or 60 days following entry of final judgment and exhaustion of any appeals in the Kirola litigation, whichever is later.</p> <p>The United States District Court entered judgment in this case in the City's favor on November 26, 2014. The plaintiff appealed the judgement, and the appellate briefing in the United States Court of Appeals for the Ninth Circuit is ongoing.</p> <p>Once the results of that litigation is known, the Office of the Mayor will receive the City Attorney's Office findings regarding the liability and risk to the City for the incomplete level of Title II compliance.</p>
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	1. The City Attorney's Office should assess the liability and risk to the City for the incomplete level of Title II compliance, and report its findings to the Mayor and BOS by October 31, 2010.	Office of the City Attorney	Requires Further Analysis	As stated in the previous response, the City Attorney's Office agreed to submit its confidential report to the Mayor and Board of Supervisors by October 31, 2010, or 60 days following entry of final judgment and exhaustion of any appeals in the Kirola litigation, whichever was later. The court conducted trial from April 4, 2011 through May 5 2011. The case has been fully submitted to the Court for decision after trial. The City is awaiting the District Court's decision. Accordingly, once the City Attorney's Office has the results of that litigation in hand, the City Attorney's Office will be better positioned to prepare a meaningful report to the Mayor and Board of Supervisors.	Requires Further Analysis	As stated in the previous response, the City Attorney's Office agreed to submit its confidential report to the Mayor and Board of Supervisors by October 31, 2010, or 60 days following entry of final judgment and exhaustion of any appeals in the Kirola litigation, whichever was later. The United States District Court conducted trial from April 4, 2011 through May 5, 2011. On April 29, 2014, the Court directed the parties to submit additional briefs and argument. The current briefing schedule will conclude on June 13, 2014, at which time the case will once again be fully submitted to the Court for decision. Accordingly, once the City Attorney's Office has the results of that litigation in hand, the City Attorney's Office will be better positioned to prepare a meaningful report to the Mayor and Board of Supervisors.	Will Be Implemented in the Future	As stated in the previous response, the City Attorney's Office agreed to submit its confidential report to the Mayor and Board of Supervisors by October 31, 2010, or 60 days following entry of final judgment and exhaustion of any appeals in the Kirola litigation, whichever was later. The United States District Court entered judgment in this case in the City's favor on November 26, 2014. The plaintiff appealed. Appellate briefing in the United States Court of Appeals for the Ninth Circuit is ongoing. Accordingly, once the City Attorney's Office has the results of that litigation in hand, the City Attorney's Office will be better positioned to prepare a meaningful report to the Mayor and Board of Supervisors.

(1) **** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	2. San Francisco should expand the Grievance Procedure to the level necessary for the "prompt and equitable" resolution of ADA complaints.	Board of Supervisors	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	2. San Francisco should expand the Grievance Procedure to the level necessary for the "prompt and equitable" resolution of ADA complaints.	Office of the Mayor	Requires Further Analysis	The Mayor's Office on Disability (MOD) continues to ensure that ADA grievances are handled effectively. Staffing level limitations in previous years have resulted in some changes to the response times for the complaints since MOD staff has assumed additional responsibilities. As the budget situation improves, however, the Mayor's Office will consider expanding the grievance procedure, specifically as it relates to enhanced coordination and training with other Department ADA Coordinators, and increased staffing at MOD.	Recommendation Implemented	The Mayor's Office on Disability (MOD) continues to ensure that ADA grievances are handled effectively. Staffing level limitations in previous years had resulted in some changes to the response times for the complaints since MOD staff had assumed additional responsibilities. However, the Mayor's Office on Disability has received approval to fill a vacant position and is currently recruiting qualified staff. We expect to expand the grievance procedure, specifically as it relates to enhanced coordination and training with other Department ADA Coordinators, and increased staffing at MOD.	**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	2. San Francisco should expand the Grievance Procedure to the level necessary for the "prompt and equitable" resolution of ADA complaints.	Mayor's Office on Disability	Requires Further Analysis	The Mayor's Office on Disability (MOD) continues to ensure that ADA grievances are handled effectively. Staffing level limitations in previous years have resulted in some changes to the response times for the complaints since MOD staff has assumed additional responsibilities. As the budget situation improves, however, the Mayor's Office will consider expanding the grievance procedure, specifically as it relates to enhanced coordination and training with other Department ADA Coordinators, and increased staffing at MOD.	Will Be Implemented in the Future	The Mayor's Office on Disability (MOD) continues to ensure that ADA grievances are handled effectively. Staffing level limitations in previous years had resulted in some changes to the response times for the complaints since MOD staff had assumed additional responsibilities. However, the Mayor's Office on Disability has received approval to fill a vacant position and is currently recruiting qualified staff. We expect to expand the grievance procedure, specifically as it relates to enhanced coordination and training with other Department ADA Coordinators, and increased staffing at MOD.	Recommendation Implemented	The Mayor's Office on Disability continues to ensure increasing effectiveness and quality control in the resolution of ADA grievances. As per our previous responses, the Mayor's Office on Disability has expanded the grievance procedure, specifically as it relates to enhanced coordination and training with other department ADA Coordinators. With the addition of qualified staff, we have also initiated an exemplary "ADA Academy" training program for departmental ADA coordinators that has significantly enhanced departmental staff's ability to investigate and respond promptly to compliance issues as they arise.
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	Office of the Mayor	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	Mayor's Office on Disability	**		**		**	

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Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	Mayor's Disability Council	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	Department of Public Works	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	San Francisco Municipal Transportation Agency	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	3. By January 2011, the MOD in association with City departments' ADA Coordinators should initiate a study to determine the feasibility of the expansion of the grievance procedure to incorporate private sector ADA compliance issues as an alternative to litigation.	San Francisco Police Department	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	Board of Supervisors	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	Office of the Mayor	**		**		**	

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Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2015 Response ⁽¹⁾	2015 Response Text
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	Mayor's Office on Disability	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	Mayor's Disability Council	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	Department of Public Works	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	4. San Francisco should obtain and distribute the needed funding through all available and creative means including targeted bond issues to accelerate the achievement of compliance goals in ten years. Consistent funding levels must be maintained in order to retain, develop, and expand the pool of valuable experienced personnel.	San Francisco Municipal Transportation Agency	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	5. The City should pursue full enforcement and monitoring of incursions to the public rights of way, especially with regards to temporary sidewalk incursions. Staffing levels must be maintained to address and complete inspections and investigations promptly and to eliminate backlogged cases.	Board of Supervisors	**		**		**	

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CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
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2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	5. The City should pursue full enforcement and monitoring of incursions to the public rights of way, especially with regards to temporary sidewalk incursions. Staffing levels must be maintained to address and complete inspections and investigations promptly and to eliminate backlogged cases.	Department of Public Works	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	Board of Supervisors	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	Office of the Mayor	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	Mayor's Office on Disability	**		**		**	

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Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	Mayor's Disability Council	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	San Francisco Municipal Transportation Agency	**		**		**	
2009-10	Americans with Disabilities Act: Is San Francisco in Compliance?	6. By June 2011, the City should develop training programs in areas of assistance and sensitivity to the needs of disabled persons, especially at MTA and SFPD. These programs should be implemented by December 31, 2011.	San Francisco Police Department	**		**		**	

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CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	A1. The San Francisco City Charter should be amended, as follows: For new employees, the pension multiplier should be set at a level to provide fiscally sound future pensions - fair to employees and taxpayers alike. For new Miscellaneous employees, the retirement age to receive full benefits should be comparable to that of Social Security and/or private sector recipients, and be fair to employees and taxpayers alike. The Jury recommends that City officials consider a hybrid retirement plan with components of both Defined Benefit and Defined Contribution, 40 1 (k)-type, in the next negotiated contract in 2012. No cost-of-living or other increase should be awarded to retirees unless the pension fund is found through a multi-year analysis to be actuarially sound and fully funded. SFERS and actuaries for the City should research other public and private sector data to determine fair pension benefits and the results should be reported at SFERS board meetings and to the Board of Supervisors to lead to a sustainable plan.	Board of Supervisors	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	A1. The San Francisco City Charter should be amended, as follows: For new employees, the pension multiplier should be set at a level to provide fiscally sound future pensions - fair to employees and taxpayers alike. For new Miscellaneous employees, the retirement age to receive full benefits should be comparable to that of Social Security and/or private sector recipients, and be fair to employees and taxpayers alike. The Jury recommends that City officials consider a hybrid retirement plan with components of both Defined Benefit and Defined Contribution, 40 1 (k)-type, in the next negotiated contract in 2012. No cost-of-living or other increase should be awarded to retirees unless the pension fund is found through a multi-year analysis to be actuarially sound and fully funded. SFERS and actuaries for the City should research other public and private sector data to determine fair pension benefits and the results should be reported at SFERS board meetings and to the Board of Supervisors to lead to a sustainable plan.	Office of the Mayor	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B1. The Mayor and the Board of Supervisors should prepare a plan within the next year to fund the projected \$1 billion in pension costs.	Board of Supervisors	**		**		**	

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CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2015 Response ⁽¹⁾	2015 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	B1. The Mayor and the Board of Supervisors should prepare a plan within the next year to fund the projected \$1 billion in pension costs.	Office of the Mayor	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B1. The Mayor and the Board of Supervisors should prepare a plan within the next year to fund the projected \$1 billion in pension costs.	Office of the Controller	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B2. The Department of Human Resources (DHR) should not enter into agreements with the employee unions which increase the City's future pension obligations without voter approval. DHR should engage the City's professional Actuary to investigate any increase in pensionable compensation.	Board of Supervisors	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B2. The Department of Human Resources (DHR) should not enter into agreements with the employee unions which increase the City's future pension obligations without voter approval. DHR should engage the City's professional Actuary to investigate any increase in pensionable compensation.	Office of the Mayor	**		**		**	

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2009-10	Pension Tsunami: The Billion Dollar Bubble	B2. The Department of Human Resources (DHR) should not enter into agreements with the employee unions which increase the City's future pension obligations without voter approval. DHR should engage the City's professional Actuary to investigate any increase in pensionable compensation.	Department of Human Resources	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B2. The Department of Human Resources (DHR) should not enter into agreements with the employee unions which increase the City's future pension obligations without voter approval. DHR should engage the City's professional Actuary to investigate any increase in pensionable compensation.	San Francisco Employee Retirement System	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B3. DHR should compare the retirement benefits in other California cities to determine whether the pension benefits are excessive. The results should be reported to the Mayor and Board of Supervisors.	Board of Supervisors	**		**		**	

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Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	B3. DHR should compare the retirement benefits in other California cities to determine whether the pension benefits are excessive. The results should be reported to the Mayor and Board of Supervisors.	Office of the Mayor	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	B3. DHR should compare the retirement benefits in other California cities to determine whether the pension benefits are excessive. The results should be reported to the Mayor and Board of Supervisors.	Department of Human Resources	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C1. The City Attorney should initiate legal action against the SFERS Board to enforce the requirements of the Charter amendment to "meet and confer" and "cost-sharing" provisions of Proposition H, as stipulated in Charter § A8.S9S-11 (e). The Jury recommends that the City Attorney and/or his representatives present to the Board of Supervisors and SFERS Board the following documents regarding §A8.S9S-11 (e) of the City Charter: 1. A legal opinion on the charter section. 2. Documentation regarding the dates and times that the City and the Police and Firefighters unions met to confer and to implement a cost-sharing arrangement as required in the section. 3. A legal opinion regarding fiduciary duties of the SFERS Board to comply with it. 4. A legal opinion regarding SFERS duty to revise the Safety employee contribution rate to comply with the Charter section. 5. A legal opinion regarding possible remedies to enforce compliance.	Board of Supervisors	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C1. The City Attorney should initiate legal action against the SFERS Board to enforce the requirements of the Charter amendment to "meet and confer" and "cost-sharing" provisions of Proposition H, as stipulated in Charter § A8.S9S-11 (e). The Jury recommends that the City Attorney and/or his representatives present to the Board of Supervisors and SFERS Board the following documents regarding §A8.S9S-11 (e) of the City Charter: 1. A legal opinion on the charter section. 2. Documentation regarding the dates and times that the City and the Police and Firefighters unions met to confer and to implement a cost-sharing arrangement as required in the section. 3. A legal opinion regarding fiduciary duties of the SFERS Board to comply with it. 4. A legal opinion regarding SFERS duty to revise the Safety employee contribution rate to comply with the Charter section. 5. A legal opinion regarding possible remedies to enforce compliance.	Office of the Mayor	**		**		**	

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CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	C1. The City Attorney should initiate legal action against the SFERS Board to enforce the requirements of the Charter amendment to "meet and confer" and "cost-sharing" provisions of Proposition H, as stipulated in Charter § A8.S9S-11 (e). The Jury recommends that the City Attorney and/or his representatives present to the Board of Supervisors and SFERS Board the following documents regarding §A8.S9S-11 (e) of the City Charter: 1. A legal opinion on the charter section. 2. Documentation regarding the dates and times that the City and the Police and Firefighters unions met to confer and to implement a cost-sharing arrangement as required in the section. 3. A legal opinion regarding fiduciary duties of the SFERS Board to comply with it. 4. A legal opinion regarding SFERS duty to revise the Safety employee contribution rate to comply with the Charter section. 5. A legal opinion regarding possible remedies to enforce compliance.	Office of the City Attorney	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C1. The City Attorney should initiate legal action against the SFERS Board to enforce the requirements of the Charter amendment to "meet and confer" and "cost-sharing" provisions of Proposition H, as stipulated in Charter § A8.S9S-11 (e). The Jury recommends that the City Attorney and/or his representatives present to the Board of Supervisors and SFERS Board the following documents regarding §A8.S9S-11 (e) of the City Charter: 1. A legal opinion on the charter section. 2. Documentation regarding the dates and times that the City and the Police and Firefighters unions met to confer and to implement a cost-sharing arrangement as required in the section. 3. A legal opinion regarding fiduciary duties of the SFERS Board to comply with it. 4. A legal opinion regarding SFERS duty to revise the Safety employee contribution rate to comply with the Charter section. 5. A legal opinion regarding possible remedies to enforce compliance.	Department of Human Resources	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C1. The City Attorney should initiate legal action against the SFERS Board to enforce the requirements of the Charter amendment to "meet and confer" and "cost-sharing" provisions of Proposition H, as stipulated in Charter § A8.S9S-11 (e). The Jury recommends that the City Attorney and/or his representatives present to the Board of Supervisors and SFERS Board the following documents regarding §A8.S9S-11 (e) of the City Charter: 1. A legal opinion on the charter section. 2. Documentation regarding the dates and times that the City and the Police and Firefighters unions met to confer and to implement a cost-sharing arrangement as required in the section. 3. A legal opinion regarding fiduciary duties of the SFERS Board to comply with it. 4. A legal opinion regarding SFERS duty to revise the Safety employee contribution rate to comply with the Charter section. 5. A legal opinion regarding possible remedies to enforce compliance.	San Francisco Employee Retirement System Board	**		**		**	

(1) **** Response not required: Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	C2. The City and Safety employees should establish an arrangement to share the annual \$26 million cost as required by the City Charter	Board of Supervisors	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C2. The City and Safety employees should establish an arrangement to share the annual \$26 million cost as required by the City Charter	Office of the Mayor	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C2. The City and Safety employees should establish an arrangement to share the annual \$26 million cost as required by the City Charter	Office of the City Attorney	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C2. The City and Safety employees should establish an arrangement to share the annual \$26 million cost as required by the City Charter	Department of Human Resources	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	C2. The City and Safety employees should establish an arrangement to share the annual \$26 million cost as required by the City Charter	San Francisco Employee Retirement System Board	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	D1. San Francisco should take steps to curb abuses from pension spiking by limiting the final pensionable income an employee can claim at retirement and from pension-pyramiding. The Jury suggests the following: • Use a three-year average to determine pensionable income, similar to Federal rules. • Limit final pensionable compensation to 120% of the rank pay rate as determined by Civil Service job classification. • The Controller should perform an independent review of pensions to determine whether the practice of pension spiking is ongoing. • Disallow employees from drawing pensions from two simultaneous City jobs. • Pensionable compensation should not include pay for two separate pay types, known as pension-pyramiding.	Board of Supervisors	**		**		**	

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2009-10	Pension Tsunami: The Billion Dollar Bubble	D1. San Francisco should take steps to curb abuses from pension spiking by limiting the final pensionable income an employee can claim at retirement and from pension-pyramiding. The Jury suggests the following: • Use a three-year average to determine pensionable income, similar to Federal rules. • Limit final pensionable compensation to 120% of the rank pay rate as determined by Civil Service job classification. • The Controller should perform an independent review of pensions to determine whether the practice of pension spiking is ongoing. • Disallow employees from drawing pensions from two simultaneous City jobs. • Pensionable compensation should not include pay for two separate pay types, known as pension-pyramiding.	Office of the Controller	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	D1. San Francisco should take steps to curb abuses from pension spiking by limiting the final pensionable income an employee can claim at retirement and from pension-pyramiding. The Jury suggests the following: • Use a three-year average to determine pensionable income, similar to Federal rules. • Limit final pensionable compensation to 120% of the rank pay rate as determined by Civil Service job classification. • The Controller should perform an independent review of pensions to determine whether the practice of pension spiking is ongoing. • Disallow employees from drawing pensions from two simultaneous City jobs. • Pensionable compensation should not include pay for two separate pay types, known as pension-pyramiding.	Department of Human Resources	**		**		**	

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CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	D1. San Francisco should take steps to curb abuses from pension spiking by limiting the final pensionable income an employee can claim at retirement and from pension-pyramiding. The Jury suggests the following: • Use a three-year average to determine pensionable income, similar to Federal rules. • Limit final pensionable compensation to 120% of the rank pay rate as determined by Civil Service job classification. • The Controller should perform an independent review of pensions to determine whether the practice of pension spiking is ongoing. • Disallow employees from drawing pensions from two simultaneous City jobs. • Pensionable compensation should not include pay for two separate pay types, known as pension-pyramiding.	San Francisco Fire Department	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	E1. Department of Human Resources and collective bargaining units should meet and confer to determine a cost-sharing arrangement to pre-fund the \$4 billion unfunded liability for retiree health care obligations.	Board of Supervisors	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	E1. Department of Human Resources and collective bargaining units should meet and confer to determine a cost-sharing arrangement to pre-fund the \$4 billion unfunded liability for retiree health care obligations.	Office of the Mayor	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	E1. Department of Human Resources and collective bargaining units should meet and confer to determine a cost-sharing arrangement to pre-fund the \$4 billion unfunded liability for retiree health care obligations.	Office of the City Attorney	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	E1. Department of Human Resources and collective bargaining units should meet and confer to determine a cost-sharing arrangement to pre-fund the \$4 billion unfunded liability for retiree health care obligations.	Office of the Controller	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	E1. Department of Human Resources and collective bargaining units should meet and confer to determine a cost-sharing arrangement to pre-fund the \$4 billion unfunded liability for retiree health care obligations.	Department of Human Resources	**		**		**	

(1) *** Response not required: Recommendation has been fully implemented or abandoned.

Office of the Controller
2016 Department Responses

Status of the Recommendations
by the Civil Grand Jury
2009-10

CGJ Year	Report Title	Recommendation	Response Required	2013 Response ⁽¹⁾	2013 Response Text	2014 Response ⁽¹⁾	2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2009-10	Pension Tsunami: The Billion Dollar Bubble	F1. The Mayor needs to appoint two Commissioners to represent the public's interest.	Office of the Mayor	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	F2. It is important for the public Commissioners appointed by the Mayor to attend the Board meetings. They should attend regular monthly Board meetings or resign.	Office of the Mayor	**		**		**	
2009-10	Pension Tsunami: The Billion Dollar Bubble	F2. It is important for the public Commissioners appointed by the Mayor to attend the Board meetings. They should attend regular monthly Board meetings or resign.	San Francisco Employee Retirement System Board	**		**		**	

(1) *** Response not required: Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2013-14

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	1. The Jury recommends the Board of Supervisors convene a hearing this calendar year to review the final report from the Mayor's Housing Task Force and ensure that policy recommendations improve the relationship between Market Rate and Affordable Housing to reflect the economic diversity of the City, and include annual monitoring of regional housing achievement numbers as defined by the Regional Housing Needs Allocation and the Housing Element.	Board of Supervisors	Will Be Implemented in the Future	At the Government Audit and Oversight Committee meeting on September 25, 2014, Supervisor London Breed submitted a hearing request to the Clerk of the Board of Supervisors for the Mayor's Office of Housing and Community Development, the Office of Economic and Workforce Development, and the Planning Department to report on the efforts of the Mayor's Housing Working Group and evaluate how they will improve the relationship between Market Rate and Affordable Housing and track regional housing achievements.	Recommendation Implemented	At the Government Audit and Oversight Committee meeting on December 11, 2014, Supervisor Breed agenda'd a hearing matter in response to the 2013-2014 Civil Grand Jury's Recommendation No. 1 to hear the final report from the Mayor's Office of Housing and Community Development. The Mayor's Office of Housing and Community Development responded with various updates addressing the Civil Grand Jury's concerns. (File No. 141029)
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	1. The Jury recommends the Board of Supervisors convene a hearing this calendar year to review the final report from the Mayor's Housing Task Force and ensure that policy recommendations improve the relationship between Market Rate and Affordable Housing to reflect the economic diversity of the City, and include annual monitoring of regional housing achievement numbers as defined by the Regional Housing Needs Allocation and the Housing Element.	Mayor's Office of Housing and Community Development (MOHCD) Not required to respond on this item.	Will Be Implemented in the Future	MOHCD has coordinated with the Office of Economic and Workforce Development (OEWD) and the Planning Department to provide a summary memo to the Mayor outlining the initial progress of the Mayor's Housing Working Group. The Mayor's Office and OEWD will work with the Board of Supervisors to schedule an informational hearing to report on both the recommendations of the Group, as well as the status and timeline for implementation of procedural, legislative, and programmatic changes intended to facilitate the production of housing affordable to a diverse group of San Franciscans.	**	
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	2. The Jury recommends that MOHCD articulate strategies to improve achievement of regional housing targets for Middle Income households and establish incremental targets by year. The Jury also recommends that MOHCD report annually to the Board of Supervisors on progress in achieving these targets and include best practice research from other municipalities about Middle Income policy solutions.	Mayor's Office of Housing and Community Development (MOHCD)	Recommendation Implemented	MOHCD has been working with OEWD and the Mayor's Housing Working Group (HWG) to address the funding gap for middle-income housing in San Francisco, which is increasingly underserved by the condominium/ single-family home market and unable to access traditional affordable housing funding sources. Investigation of new funding streams, mixed-income development opportunities, local process improvements that promote middle-income housing, and best practices nationally is underway. MOHCD, OEWD, and the Planning Department will transmit a status report to the Mayor by September 2014, which will include progress toward the Mayor's tentative goal of creating 5,000 middle-income units. Middle income is defined by the HWG as housing serving households at and between 80% and 150% of AMI, in consideration of the fact that 150% AMI households face an affordability gap in many San Francisco neighborhoods. [Note: the Civil Grand Jury defines middle income as 50-120% AMI.]	**	
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	3. The Jury recommends that as Housing Trust Fund (HTF) funds are allocated to Housing Authority properties, MOHCD and the Mayor document a funding analysis for the allocation and the impact these disbursements may have on MOHCD Affordable Housing goals and programs to the Board of Supervisors and the public in the year of encumbrance. Reports should include annual updates on repayment.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	The status of public housing's role as "housing of last resort," combined with the severity of the deferred maintenance conditions in San Francisco's public housing units makes their repair and preservation a critical component of our City's housing policy. If these units are lost due to inabitability, homelessness for public housing residents becomes a real threat. Stabilization of public housing fits squarely within the goals of the Housing Trust Fund and all other MOHCD funding sources that permit rehabilitation of low-income housing as an eligible use. MOHCD will provide a report regarding the uses of its Housing Trust Fund and other resources allocated to public housing at the end of the year of encumbrance. MOHCD will include in such reports all relevant information regarding repayments.	Recommendation Implemented	MOHCD's 2014-15 Annual Progress Report details Housing Trust Fund commitments to public housing and non-public housing projects. There were no repayments during FY 2014-15. Future reports will continue to report on uses of the Housing Trust Fund and repayment revenue.
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	4a. To keep the public and the Board of Supervisors informed on a timely basis, the Jury recommends that the MOHCD website be made much more user friendly with improved navigation and better public access to content.	Mayor's Office of Housing and Community Development (MOHCD)	Recommendation Implemented	The revamping of MOHCD's website for more user-friendly access is underway. The starting point for this process has been tracking the frequency of calls MOHCD receives from people looking for information that can be found on the website. This information helps identify what information people are most interested in and what is most difficult to find. MOHCD has also reviewed the website's page view counts to determine which are most and least viewed. This research will inform the new, more navigable MOHCD homepage, scheduled to go live by October 2014. The full reorganization of MOHCD's website is anticipated to be complete by March 2015.	**	
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	4b. The Jury recommends that MOHCD immediately designate a website manager responsible for technical design and ease-of-use, plus content management including timely posting of documents and metrics reports that are in the public interest.	Mayor's Office of Housing and Community Development (MOHCD)	Recommendation Implemented	MOHCD currently has a website manager who will manage website improvements. The deployment of a new content management system is anticipated in 2015, which will enable delegation of website updates directly to program staff, facilitating more timely posting of documents and news.	**	
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	5a. The Jury recommends MOHCD publish an Annual Report on their website by March of each year. This report should be oriented to a general audience and include information highlights and measures that communicate achievement towards City Affordable Housing program goals.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	MOHCD is in the process of producing an Annual Report that includes metrics through FY 2013/2014. While MOHCD is committed to producing an annual report, the intent is to publish it based on fiscal year metrics, which will result in a December publication date.	Recommendation Implemented	MOHCD's combined 2012-13 and 2013-14 Annual Report is available here: http://sfmohcd.org/modules/showdocument.aspx?documentid=8760 and the 2014-15 Annual Report is available here: http://sfmohcd.org/file/721
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	5b. The Jury recommends MOHCD publish a quarterly Affordable Housing Pipeline Report within a month of each quarter's closing. This may be done within the Planning Department's Quarterly Pipeline Report, but should also include quarterly Affordable Housing program progress highlights.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	MOHCD is working with the City's Chief Data Officer and the Planning Department to streamline reporting of pipeline projects, including 100% affordable projects, as well as projects developed through the City's Inclusionary Housing Program and the former Redevelopment Agency's Below Market Rate program. In order to align with the Planning Department's reporting, MOHCD will publish a semi-annual (rather than quarterly) pipeline report. The Planning Department currently produces a pipeline report which is available on its website; the information is also provided to SF Open Data. The Planning Department is committed to highlighting affordable housing projects within these reports. In addition, the Planning Director includes the pipeline report in his weekly written report to the Planning Commission.	Recommendation Implemented	MOHCD collaborates with the Planning Department on the Quarterly Housing Balance Report, available here: http://sf-planning.org/housing-balance-report
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	5b. The Jury recommends MOHCD publish a quarterly Affordable Housing Pipeline Report within a month of each quarter's closing. This may be done within the Planning Department's Quarterly Pipeline Report, but should also include quarterly Affordable Housing program progress highlights.	Planning Department	Will Be Implemented in the Future	MOHCD is working with the City's Chief Data Officer and the Planning Department to streamline reporting of pipeline projects, including 100% affordable projects, as well as projects developed through the City's Inclusionary Housing Program and the former Redevelopment Agency's Below Market Rate program. In order to align with the Planning Department's reporting, MOHCD will publish a semi-annual (rather than quarterly) pipeline report. The Planning Department currently produces a pipeline report which is available on its website; the information is also provided to SF Open Data. The Planning Department is committed to highlighting affordable housing projects within these reports. In addition, the Planning Director includes the pipeline report in his weekly written report to the Planning Commission.	Recommendation Implemented	A Housing Data Coordination working group has been established by the City's Chief Data Officer to coordinate data points and reporting needs of various housing related agencies including: MOHCD, Planning, Housing & Permitting Divisions of the Department of Building Inspections and Rent Board. Pipeline reporting methodologies have been adjusted and continue to be refined based on the findings and recommendations of the working group.
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	6a. MOHCD needs to track and publish metrics with greater frequency using measures based on pipeline and HUD CAPER reporting that help the public to assess the progress of their new development and Housing Support Program efforts.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	MOHCD will track and publish housing measures based on pipeline and HUD CAPER reporting data on its website on a quarterly basis within a year.	Will Be Implemented in the Future	MOHCD has published this information on an annual basis in Annual Progress Reports. MOHCD's goal is to publish this data on a quarterly basis starting with the FY 2015-16 data available by September 30, 2016.
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	6b. MOHCD should work with the Planning Department to formulate a Memorandum of Understanding (MOU) specifying timing and responsibility for the preparation and publication of Affordable Housing pipeline data in the Quarterly Pipeline Report. A new report commonly referred to as The Dashboard should be completed. An effort to publish these reports on SF Open Data should be prioritized.	Mayor's Office of Housing and Community Development (MOHCD)	Will Not Be Implemented: Not Warranted or Not Reasonable	While MOHCD is supportive of the idea of increased transparency in regular reporting of metrics, the publication of a Quarterly Pipeline Report does not require a formal MOU with the Planning Department. Separately, the "Dashboard" report is a legislated reporting requirement to be implemented by the Planning Department, and relates to the percentage of affordable units that have been entitled, rather than financed. Information to produce the Dashboard is based on data gathered and monitored by the Planning Department, not MOHCD.	**	

(1) ** Response not required; Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	6b. MOHCD should work with the Planning Department to formulate a Memorandum of Understanding (MOU) specifying timing and responsibility for the preparation and publication of Affordable Housing pipeline data in the Quarterly Pipeline Report. A new report commonly referred to as The Dashboard should be completed. An effort to publish these reports on SF Open Data should be prioritized.	Planning Department	Will Not Be Implemented : Not Warranted or Not Reasonable	While MOHCD is supportive of the idea of increased transparency in regular reporting of metrics, the publication of a Quarterly Pipeline Report does not require a formal MOU with the Planning Department. Separately, the "Dashboard" report is a legislated reporting requirement to be implemented by the Planning Department, and relates to the percentage of affordable units that have been entitled, rather than financed. Information to produce the Dashboard is based on data gathered and monitored by the Planning Department, not MOHCD	**	
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	6c. MOHCD should establish a metric for accounting public contributions per development project. This financing leverage measure should be reported in the MOHCD Annual Report by project type.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	MOHCD will include in its annual report the amount of City funds allocated to specific developments, the amount of external funds the City funds leveraged, and the ratio of City funds to each project's total development cost, so that the leveraging efficiency of City funds can be compared and measured.	Recommendation Implemented	This information is included in MOHCD's Annual Report.
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	7. The Jury recommends MOHCD use their website to post up-to-date housing development project information and provide access to key milestone documents as is done on the Boston Redevelopment Authority website.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	Upon completion of its website redesign and reorganization, MOHCD plans to add functionality with development project information modeled on the Boston Redevelopment Authority's website as well as other similar examples. The anticipated completion date is June 2015.	Will Be Implemented in the Future	MOHCD faced some technical hurdles related to the migration of the department's website to a new content management system. An initial approach to displaying development project information designed by the Department of Technology was not deployed due to lack of user-friendliness. A second approach is near completion, pending finalization of the legal agreement with the vendor. We anticipate this will be available on our website by June 2016.
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	8a. The Jury recommends MOHCD provide developer partners with more comprehensive materials in the Marketing template, including model BMR program marketing plans, advertising samples, marketing templates in multiple languages, directories of approved consultant and public agency partners, and training materials including web delivered training videos, to set clearly understood minimum standards for outreach.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	As mentioned in the response to finding eight, each developer is provided with a six page step-by-step guide to marketing, lottery, and application requirements in addition to a list of approved community-based consultants that the developer may engage. The template outreach flyer will be translated and incorporated into the marketing template packet by January 2015. In an effort to improve the training of developers and their agents in the lease up and sales procedures of a BMR unit, MOHCD is in the process of redesigning its training curriculum to include video modules by June 2015. MOHCD is currently reviewing all marketing requirements across all housing programs in an effort to gain consistency around outreach and marketing procedures. One of the improvements already implemented is a new requirement of developer partners that they begin certain outreach activities at the beginning of construction (rather than closer to lease-up) thus providing San Franciscans with more time to establish their qualifications for the affordable housing opportunity.	Recommendation Implemented	Rather than developing video trainings for developers, we have implemented quarterly in-person trainings on the marketing process. This in-person approach allows us to understand the needs of the developers and answer their questions and concerns in real-time. Additionally, we have implemented individual meetings with each developer prior to marketing launch to ensure all parties are aware of the requirements and timelines. Finally, we have translated the template outreach flyers.
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	8b. The Jury recommends MOHCD implement regular evaluations of marketing effectiveness and marketing materials by surveying applicants to indicate source of notification by housing opportunity.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	MOHCD welcomes the suggestion to improve the evaluation of marketing effectiveness by surveying program participants and will incorporate that question in its applications upon the rollout of its new online application system.	Will Be Implemented in the Future	The DAHLIA system is now available for listings only. Account creation and application submission for Inclusionary Rental projects is anticipated to be available by September 2016. We have also expanded the scope of the system beyond Inclusionary housing to include all MOHCD-funded projects, which will come online in FY 16-17. We have been working extensively with the developer and housing services provider communities to ensure an excellent system which meets the needs of all stakeholders.
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	9a. MOHCD should provide applicants clear, concise materials on the application process, and conduct and evaluate applicant feedback satisfaction surveys after each new major development project comes on-line.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	MOHCD has prioritized the completion of its Database of Affordable Housing Listings, Information, and Applications (DAHLIA) system. The Salesforce-based data system is due to launch this Fall. DAHLIA will allow Inclusionary BMR applicants to log on, create an account, and apply to multiple housing opportunities without having to recreate their entire application. The system will also be completely transparent, allowing developer and lending partners to track the lease/sales process and enter information regarding the lottery in order to keep applicants better informed of the process through their individual account. Clear, concise information will outline the process. MOHCD welcomes the suggestion to evaluate applicant feedback satisfaction surveys through its new data system and will report on the creation and implementation of the new system in its Annual Report.	Will Be Implemented in the Future	The DAHLIA system is now available for listings only. Account creation and application submission for Inclusionary Rental projects is anticipated to be available by September 2016. We have also expanded the scope of the system beyond Inclusionary housing to include all MOHCD-funded projects, which will come online in FY 16-17. We have been working extensively with the developer and housing services provider communities to ensure an excellent system which meets the needs of all stakeholders.
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	9b. MOHCD should prioritize the completion of their Single Family Program Data and Administration System. MOHCD should measure and report on the cost effectiveness of process improvements and efficiencies from implementation of this system in their Annual Report.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	MOHCD has prioritized the completion of its Database of Affordable Housing Listings, Information, and Applications (DAHLIA) system. The Salesforce-based data system is due to launch this Fall. DAHLIA will allow Inclusionary BMR applicants to log on, create an account, and apply to multiple housing opportunities without having to recreate their entire application. The system will also be completely transparent, allowing developer and lending partners to track the lease/sales process and enter information regarding the lottery in order to keep applicants better informed of the process through their individual account. Clear, concise information will outline the process. MOHCD welcomes the suggestion to evaluate applicant feedback satisfaction surveys through its new data system and will report on the creation and implementation of the new system in its Annual Report.	Will Be Implemented in the Future	The DAHLIA system is now available for listings only. Account creation and application submission for Inclusionary Rental projects is anticipated to be available by September 2016. We have also expanded the scope of the system beyond Inclusionary housing to include all MOHCD-funded projects, which will come online in FY 16-17. We have been working extensively with the developer and housing services provider communities to ensure an excellent system which meets the needs of all stakeholders.
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	10a. The Jury recommends MOHCD work to improve the ethnic diversity of residents in their BMR programs and monitor progress in mitigating any institutional barriers to fair housing choice. Data on representational statistics should be collected and evaluated at regular intervals, preferably every 2 years. Any statistical disparities should be reported to the Board of Supervisors.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	MOHCD is collecting statistical data on an ongoing basis and agrees with the suggestion to report statistical disparities of BMR residents to the Board of Supervisors beginning with its 2015 annual report.	Recommendation Implemented	We anticipate that the most recent BMR demographic information will be presented to the Board of Supervisors soon. We are currently scheduling the hearing, anticipated to be in May or June 2016. We plan to continue regular reporting of these statistics to the Board. Additionally, in FY 15-16, we have engaged a professional PR firm to specifically target underserved populations in our BMR portfolio. We anticipate a marketing campaign launch in late 2016.
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	10b. The Jury recommends MOHCD work with developer partners to standardize criteria used for BMR rental application denials. Strategies to reduce minimum down payment requirement denials for BMR ownership units should be given consideration.	Mayor's Office of Housing and Community Development (MOHCD)	Will Be Implemented in the Future	In the Board of Supervisor's next revision of the BMR Procedures Manual, MOHCD plans to suggest the adoption of more specific and standardized marketing and rental eligibility requirements focusing on credit and criminal background and other screening criteria. MOHCD is currently targeting June 2015 for these updates. Additionally, underwriting criteria for all Down Payment Assistance Loan Programs (DALP) has been modified to minimize barriers including reducing the amount of cash a household needs to have to purchase through DALP.	Will Be Implemented in the Future	The BMR Procedures Manual is currently being revised. The update was delayed in order to incorporate the recently passed Neighborhood Resident Housing Preference ordinance. We are also waiting for the proposed inclusionary housing amendments to be finalized and potentially approved by the voters. While we are currently unable to update the Procedures Manual, we have already standardized the criteria for denials.

(1) *** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	11. The Jury recommends that the Planning Department and the Department of Building Inspection make internal process changes to improve the accuracy of data tagged as a new Affordable Housing project under the Inclusionary Housing Program.	Planning Department	Will Be Implemented in the Future	MOHCD is working with Planning's Housing Ombudsperson, as well as with OCH's Housing Program manager, to improve the quality and accuracy of data reported to MOHCD related to fees and requirements of the Inclusionary Housing Program. This includes a more efficient means to track the number and location of required units, as well as automatic indexing of required fees. Planning and OCH provide this data to DBI when applicable affordable housing projects are route to DBI for the review of building permits and structural, and mechanical plans. Once verified by Planning or OCH, such affordable and inclusionary housing projects are assigned DBI priority designation, moved to the top of the plan review queue, and tracked on DBI's Priority Housing Project list. The new Permit and Project Tracking System, scheduled to go live in the second quarter of FY 2014-15, will significantly improve DBI's ability to quickly and accurately identify projects that qualify for priority designation. Thus the Grand Jury's recommendation is anticipated to be fully met by the third quarter of FY 2014-15.	Recommendation Implemented	MOHCD is considering the automatic indexing of fees. The Planning Department and Department of Building Inspection both instituted Priority Processing Programs for reviewing affordable housing projects. The new Permit and Project Tracking System has been partially implemented. The Planning Department has been live on the new system as of October 2014 providing Planning the ability to track affordable units on building permits that are routed to Planning for review. Establishing linkage between Planning records and Building permit records is pending Department of Building Inspections' future integration with the new system. DBI tracking of units and fees continues to be captured in DBI's existing Permit Tracking System.
2013-14	The Mayor's Office of Housing: Under Pressure and Challenged to Preserve Diversity	11. The Jury recommends that the Planning Department and the Department of Building Inspection make internal process changes to improve the accuracy of data tagged as a new Affordable Housing project under the Inclusionary Housing Program.	Dept. Building Inspection	Will Be Implemented in the Future	MOHCD is working with Planning's Housing Ombudsperson, as well as with OCH's Housing Program manager, to improve the quality and accuracy of data reported to MOHCD related to fees and requirements of the Inclusionary Housing Program. This includes a more efficient means to track the number and location of required units, as well as automatic indexing of required fees. Planning and OCH provide this data to DBI when applicable affordable housing projects are route to DBI for the review of building permits and structural, and mechanical plans. Once verified by Planning or OCH, such affordable and inclusionary housing projects are assigned DBI priority designation, moved to the top of the plan review queue, and tracked on DBI's Priority Housing Project list. The new Permit and Project Tracking System, scheduled to go live in the second quarter of FY 2014-15, will significantly improve DBI's ability to quickly and accurately identify projects that qualify for priority designation. Thus the Grand Jury's recommendation is anticipated to be fully met by the third quarter of FY 2014-15.	Will Be Implemented in the Future	While DBI, Planning and the Mayor's Office of Housing have improved internal data applicable to affordable housing, as well as contribute to the current 2016 Weekly Dashboard that tracks accurately affordable housing and total housing unit metrics, the Permit and Project Tracking System that was expected to go live last year is still being implemented. Due to a significant number of defects and failures of the vendor's PPTS software product under simulated daily core business transaction tests, DBI's Director requested a third party IT expert team audit. The Department of Technology awarded this audit contract in February 2016, and findings/recommendations are expected by the end of the current fiscal year (June 2016). Once DBI has these audit results, we will then know whether or not the vendor's product will perform all core DBI business transactions, including these improvements in affordable housing data prioritizations. A further update to provide implementation of this Grand Jury recommendation will be given by September, 2016.
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	R1a: The City's policy for limited-time temporary disability payments should be followed for the Sheriff's Department, thereby eventually moving any work injury claim to permanent disability status and financial closure of those claims, opening positions for new hires.	Sheriff's Department	Requires Further Analysis	The Sheriff's department welcomes this recommendation and has taken steps with Workers' Compensation director and San Francisco Retirement System representatives to discuss the problem and to streamline the process, including the possibility of pursuing shorter terms of disability status before retirement. Further, the department has requested, through the budget process, additional positions to address the deputy shortage due to the number of deputies on workers' compensation. Although 14 deputy positions were approved during this process, no additional funding was allotted for the additional positions. Therefore, the department is prevented from hiring those positions and remains powerless to hire additional deputies and ease the negative effects of overtime costs and increased workloads. Partly in response to a reduced in custody population, the sheriffs department closed the housing portion of County Jail #3 in November 2013. This closure resulted in the redistribution of sworn staff and provided some mitigation to current staffing constraints. However, the benefits are only temporary and a long term plan is needed to correct the problem. Further, it is important to note that approximately one third of department sworn staff is assigned to non-custody duties. Staffing shortages persist in those assignments.	Recommendation Implemented	Approximately 85% of the Sheriff's Department staff is comprised of sworn peace officers, for whom these issues are governed by the provisions of California Labor Code Section 4850. The remaining 15% are non sworn, for whom these issues are governed by City policy. The Sheriff's Department complies with the requirements and procedures of both Labor Code Section 4850 and City policy.
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	R1b: The Board of Supervisors should request an audit conducted by the Budget and Legislative Analyst of payments made on behalf of the Sheriff's Department for workers compensation claims and related overtime costs.	Board of Supervisors	Recommendation Implemented	At the Government Audit and Oversight Committee meeting on September 25, 2014, Supervisor Landon Breed requested the Budget and Legislative Analyst to prepare a report on the payments made on behalf of the Sheriff's Department for the workers compensation claims and related overtime costs during the last several years. As the Department of Human Resources notes, it and the Sheriff's Department may be able to correlate workers compensation claims with increases in overtime costs and quantify the impact.	**	
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	R1c: The Sheriff's Department should review its safety programs with the Workforce Development Division, analyze the cause of worker injuries, and update safety education programs for both staff and inmates.	Sheriff's Department	Requires Further Analysis	The department continually reviews and updates its safety programs in order to reduce worker injuries and improve safety for all personnel and inmates in department facilities. Specifically, the department's safety committee reviews hazards and makes recommendations regarding safety issues and related training. This department addresses safety and training through such programs as safety videos, the existence of an anonymous safety hotline to report safety issues in the workplace, and an injury and illness prevention program. The sheriff's department has begun an evaluation of workers' compensation injuries sustained in the first six months of 2014 as compared to injuries for the first six months of 2013 to determine the cause and types of injuries so that a more thorough understanding of safety issues can be determined and addressed. Additionally, there is continuing review of department plant and facility design and maintenance issues that will address safety and training in these areas. Recent examples of repairs that have been made to reduce workplace injuries and improve safety include new correctional rated cell doors including food and cuff ports, new correctional shower doors, retrofitting exit signs, implementation of an earthquake anchoring program for all cabinets over 5' tall, installation of a water tank by-pass system at CJ#5 to gain access to additional water in an emergency, and an updated design for the ADA ward. The Workforce Development Division will be contacted to review this information.	Recommendation Implemented	The Sheriff's Department continually updates its safety programs and procedures to reflect advances in workplace safety and to address specific safety issues.
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	R1c: The Sheriff's Department should review its safety programs with the Workforce Development Division, analyze the cause of worker injuries, and update safety education programs for both staff and inmates.	Department of Human Resources	Will Be Implemented in the Future	The DHR Workers' Compensation Division (as opposed to the Workforce Development Division) is well-suited to work with the Sheriff's Department on analysis of worker injuries and development of safety education programs for staff. The DHR Workers' Compensation Division will further analyze the cause of worker injuries and proactively assist the Sheriff's Department with this analysis. Neither the DHR Workers' Compensation Division, nor the Workforce Development Division have the necessary expertise in the specialized area of inmate health and safety to assist the Sheriff's Department in reviewing and updating safety education programs for inmates.	Recommendation Implemented / Requires Further Analysis	As noted in DHR's 2014 response, recommendations regarding inmate health and safety are not within the expertise of DHR Workers' Compensation Division nor the Workforce Development Division. The action plan is limited to working with the Sheriff's department on identification of injury trends and communications regarding those trends. The DHR-Workers' Compensation Division continues to analyze the causes of injuries for all departments, including the Sheriff's Department. Analyses are projected to be in place and distributed biannually in 2017.

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	R1d: Communication between the Sheriff's Department and the appropriate City personnel in the Worker's Compensation Division who adjust workers' compensation claims should occur on a regular basis to review ongoing status of all outstanding claims.	Sheriff's Department	Requires Further Analysis	This department communicates with and will continue to communicate with the Workers' Compensation Division and the Department of Human Resources regarding these issues.	Recommendation Implemented	The Sheriff's Department is in constant communication with the Workers' Compensation Division and the Department of Human Resources regarding these issues.
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	R1d: Communication between the Sheriff's Department and the appropriate City personnel in the Worker's Compensation Division who adjust workers' compensation claims should occur on a regular basis to review ongoing status of all outstanding claims.	Department of Human Resources	Recommendation Implemented	Over the last year the DHR Workers' Compensation Division revamped its claims team for the Sheriff's Department and implemented a close communications process. The DHR Workers' Compensation Division claims team communicates on a weekly basis with the assigned staff at the Sheriff's Department to review ongoing status of outstanding claims, and regularly conducts claim reviews to ensure claims are being brought to closure.	**	
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	2.a The Sheriff's Department should review and update all policies and procedures for conducting daily activities, and planning and preparing for emergencies every 2 years.	Sheriff's Department	Recommendation Implemented	The sheriff's department reviews and updates all recommended policies and procedures every two years as required by Board of State and Community Corrections (BSCC) and Title 15. This department currently meets or exceeds the requirements of Title 15 in related policies. In the last BSCC biennial facility inspection in July, 2013, BSCC reviewed the San Francisco Sheriff's Department Policy and Procedure Manual, the San Francisco Sheriff's Department Custody Division Manual, facility specific manuals, the Jail Psychiatric Services (JPS) Manual and the Jail Health Services Manual. BSCC found that the manuals were easy to use and that there were no findings of noncompliance with the Title 15 Regulations. Further, BSCC found that "the sheriff's custody policy and procedure manual is constantly reviewed and updated to ensure best practices in the custody setting".	**	
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	2.b Inmates admitted to general wards at San Francisco General Hospital must be guarded. Procedures for both nighttime and daytime staffing should be immediately reviewed and all policy and procedure documents updated.	Sheriff's Department	Recommendation Implemented	All inmates admitted to general wards of San Francisco General Hospital are guarded, with rare exceptions, such as those situations provided for pursuant to Penal Code Section 4011.7 and 4011.9. Policy and procedures are reviewed and updated every two years as required by BSCC and Title 15. All inmates admitted to locked jail wards of San Francisco General Hospital (Wards 70 and 71) are under the supervision of the sheriff's department personnel. A designated sheriff's sergeant is assigned to these wards and is in continuous contact with SFGH administration to determine and implement staffing and policy needs. However, Ward 70 does not always remain open. For the period of February - August, 2014, Ward 70 was only open for 16 days. During the times when 70 is closed, as determined by the Department of Public Health (DPH), inmates are moved to other open hospital wards for medical care. A minimum of one deputy is assigned to guard each inmate. Additional deputies are required to guard an inmate when the inmate has been determined to pose an increased public safety risk. When the jail ward is closed, the cost of the deputies to guard inmates in the open wards is usually paid on overtime, due to the changed staffing needs that this assignment requires. County Jail #5 is the newest jail facility for San Francisco inmates and houses a medical unit that is not adequately utilized. Additional medical services should be administered through this facility which would reduce the number of inmates requiring transport to, and supervision at SFGH. Providing enhanced services at the facility level would mitigate the staffing challenges required to transport an inmate to SFGH. As the BSCC confirmed in their July 2013 inspection, "with staffing levels very low it becomes challenging to carry out everyday duties when staff is called upon unexpectedly to transport inmates."	**	
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	2.b Inmates admitted to general wards at San Francisco General Hospital must be guarded. Procedures for both nighttime and daytime staffing should be immediately reviewed and all policy and procedure documents updated.	Department of Public Health	Recommendation Implemented	Per SFGH Administrative policies 6.06 Care of Custody/Forensic patients at SFGH Acute Care Units and 16.22 Prisoner/Patient Treatment and transport through SFGH, SFGH has specified policies and procedures in place for ensuring patients in custody are always guarded by the arresting agency or SFSD. (SFGH Administrative policies 6.06 and 16.22 were attached to response)	**	
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	2.c Inmates are transferred between SFPD stations and when necessary, to San Francisco General Hospital. Procedures for any transfers should be clarified and established as a Policy & Procedure document.	Sheriff's Department	Recommendation Implemented	Recent policy and procedures regarding inmates being transferred between SFPD stations and SFGH have been updated and implemented in coordination with the Station Transfer Unit program commenced in July, 2014. The Station Transfer Unit program is a pilot program between the San Francisco Police Department and the sheriff's department for the sheriff to provide inmate transportation from the police station to the sheriff's intake facility or to SFGH, when needed. The pilot program includes transfers from Mission Station and Tenderloin Station. The pilot program runs through 2014. Further, the department is in the process of preparing the Emergency Room Forensic Patient Policy for how individuals in custody are safely transported to SFGH emergency room from a custody facility or police station. This policy is expected to be finalized in October, 2014.	**	
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	2.c Inmates are transferred between SFPD stations and when necessary, to San Francisco General Hospital. Procedures for any transfers should be clarified and established as a Policy & Procedure document.	San Francisco Police Department	Recommendation Implemented	The San Francisco Sheriff's Department (SFSD) and the SFPD entered into a Letter of Agreement (LOA) for a six-month pilot project that began July 19, 2014, for district station transportation services at two stations, Tenderloin and Mission. Section 1.4 "Scope of Service" of the LOA sets out the parameters under which the SFSD assumes responsibility for SFPD custodies from Mission and Tenderloin stations. Until SFSD personnel assume responsibility for a custody, SFPD members are required to adhere to all established SFPD policies, procedures and protocols relating to booking, detention and handling of inmates.	**	
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	2.c Inmates are transferred between SFPD stations and when necessary, to San Francisco General Hospital. Procedures for any transfers should be clarified and established as a Policy & Procedure document.	Department of Public Health	Recommendation Implemented	Per SFSD Standing Procedure Hospital Transport/Deputy Protocol there is a specified procedure for ensuring patients in custody are safely transported between SFGH and the county jail. In addition, the Inpatient Forensic Psychiatric Unit has specific guidelines they follow when transferring patients back to the county jail that includes a clinical handoff to Jail Psychiatric Services staff prior to transfer. These guidelines are documented in SFGH Administrative policies 6.03 Jail Health Services: Emergency Psychiatric Evaluation and Treatment of Prisoner/Patients and 6.04 Forensic Service: Admission of the Prisoner/Patient to the 7L Psychiatric Unit at San Francisco General Hospital Medical Center. SFGH Administrative policies 6.03 and 6.04 are attached. The SFSD and SFPD are in the process of developing a policy and procedure to address the specific recommendation regarding how people in custody are safely transported between SFPD stations and when necessary to SFGH. This pending policy is in draft form and is expected to be finalized October, 2014.	**	

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2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	2.d During transfers, inmates may be intoxicated or needing minor medical care. Procedures for handling this situation should be clarified with the Department of Health to establish a policy and procedure document.	Sheriff's Department	Recommendation Implemented	This department communicates with DPH regarding the need for medical or psychiatric care upon transfer to SFGH. DPH has their own policy and procedure regarding treatment of these individuals. Individuals may be transferred directly to SFGH from the police station or may be transferred from the sheriff's department booking facility once the need for treatment is determined. Prior to booking an individual into the county jail, every individual is medically triaged by a DPH nurse. The triage process includes a medical and mental health review to determine suitability for jail housing or transport to SFGH for further treatment. Many of the individuals requiring transport to SFGH required services to treat mental illness and psychiatric conditions. Sheriff's department personnel are in communication with psychiatric emergency services at SFGH and Jail Psychiatric Services in the jails.		
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	2.d During transfers, inmates may be intoxicated or needing minor medical care. Procedures for handling this situation should be clarified with the Department of Health to establish a policy and procedure document.	Department of Public Health	Recommendation Implemented	Policy and Procedure No. 111 of SFDPH's Jail Health Services section identifies patients who have medical conditions that could put them at risk, including the withdrawal from alcohol. Nurses follow standardized procedure for alcohol detoxification. Additionally, Policy and Procedure No. 302 addresses inmates needing minor medical care. Arrestees entering the County Jail for booking and/or housing are seen and evaluated by Jail Health Services staff before being housed in any area of the jails. Arrestees who have medical problems beyond the scope of the facility's medical staff to manage safely are referred to San Francisco General Hospital Medical Center. (Policy and Procedure Nos. 111 and 302 were attached to response).		
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	3.a The Sheriff's Department should review and revise its written Orientation Guide for incoming inmates regarding safety, behavior standards, and daily routines.	Sheriff's Department	Requires Further Analysis	The lieutenant of the Custody Division has been assigned to review and update the current general orientation booklet. The San Francisco Sheriff's Department is home to a one of a kind facility, the Reentry Pod. The Reentry Pod is a facility for local inmates and inmates transported from state prison who are to be released into the community, following their sentences. In collaboration with the San Francisco Adult Probation Department, the Reentry Pod provides a myriad of reentry services and counseling for those individuals soon to be released into the community. In response to AB 109 - Realignment, the Reentry Pod furthers the goal of reducing prison populations, providing services for reentry and reducing recidivism. The inmates housed in this pod also receive an orientation guide specific to reentry services. This guide was recently prepared specifically for the Reentry Pod.	Recommendation Implemented	The Custody Division worked with the Five Keys Charter School to rewrite the orientation guide to the appropriate reading level.
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	3.b Appropriate reading level should be ascertained and applied to the guidelines in Recommendation 3a.	Sheriff's Department	Requires Further Analysis	The lieutenant of the Custody Division has been assigned to coordinate efforts to determine the appropriate reading level of the orientation guide. Education professionals will be included in this evaluation and update of the orientation guide.	Recommendation Implemented	The Custody Division worked with the Five Keys Charter School to rewrite the orientation guide to the appropriate reading level.
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	4.a An Advisory Committee of educators and industry professionals should be organized to advise each Five Keys program on further development of goals and practices to expand student attendance, academic studies, and job preparation.	Sheriff's Department	Requires Further Analysis	The Five Keys Charter School has a board of directors, including the sheriff and community members, who develop the program including the development of goals and practices to expand student attendance, academic studies, and job preparation. Other programs have their own advisory committees. As an example, The Women's Resource Center, which provides services to women post release, is advised by the Gender Responsive Blueprint. In addition to the varied educational programming offered by the Five Keys Charter School, the department is home to the following educational and newly implemented vocational programs: Solar Design and Installation Training - participants learn solar design and installation techniques. The curriculum teaches information allowing participants to study for and eventually take the North American Board of Certified Energy Practitioners Exam and offers job placement assistance. Digital Arts Training - participants learn digital media tools, including HD video cameras. All participants receive a one year membership to the Bay Area Video Coalition, allowing access to variety of technology and art classes. Cisco Academy - participants receive computer networking essentials and instruction in computer troubleshooting, repair and installation. The curriculum teaches information allowing participants to eventually take the Cisco Networking Essentials Certification exam. San Francisco City College - City College, in a pilot program in collaboration with Five Keys Charter School, began offering two college courses in the Spring 2014. Current courses are prerequisites for their Drug and Alcohol Counseling and Prison Health Worker Certification programs. Roots of Success - a new job readiness curriculum offered by Five Keys is being implemented which increases students' academic, professional, and leadership skills. This program is specifically designed for students who have barriers to employment and provides information about employment and social enterprise opportunities and provides training to increase job related search and interview skills. Construction Training - a program is being created to provide training and a direct link to construction employment opportunities. Culinary Arts - currently, the Serve Safe certification program is offered to male and female inmates. A student is provided training to take the exam to become a state certified food handler. A program is being created to further provide vocational training and supported employment opportunities for women, post release. This program will be located in the Women's Resource Center, a resource facility focused on assisting women post release. Urban Gardening - a program combining classroom study and on-hands gardening experience at the sheriff's San Bruno property. NoVA - No Violence Alliance. This program is an individual intensive case management program for males providing education, employment counseling, substance abuse counseling, therapy, and housing support. NoVA is offered at 70 Oak Grove, the post release facility for men. Further analysis and discussion is needed in order to determine whether an advisory board would be an effective tool to further the accomplishments already being made by Five Keys, this department and the varied community partners.	Recommendation Implemented	The Five Keys Charter School is an independent non-profit that collaborates with the Sheriff's Department to provide in-custody and post-release education and vocational programming as well as case management, cognitive behavior programming and reentry services to prisoners and ex-offenders. The Sheriff is one member of the Five Key Board of Directors. The school and the department continually seek to expand programming in scope and in numbers of students.
2013-14	Inquiry into the Operation and Programs of the San Francisco Jails	4.b Further outreach into the community should be accomplished to incorporate more and varied job opportunities for graduates of Five Keys after their release.	Sheriff's Department	Requires Further Analysis	The programs described in Recommendation 4a and the Five Keys Charter School continually seek the support of community based businesses and agencies to provide job opportunities to the graduates of Five Keys and the students of all the other programs offered by the San Francisco Sheriff's Department. These efforts have resulted in the continued success of the Five Keys Charter School programs and the many educational and vocational programs now offered and being created for inmates and former inmates. This outreach is ongoing. As Sheriff, I welcome the input and attention the Civil Grand Jury has provided to this department in this report. The Civil Grand Jury's independent review has focused on several very important and timely issues facing the sheriff's department. All of the findings and recommendations relate to the everyday operations and responsibilities of the sheriff's department. However, not all of the noted concerns have an easy or quick remedy.	Recommendation Implemented	The Five Keys Charter School is an independent non-profit that collaborates with the Sheriff's Department to provide in-custody and post-release education and vocational programming as well as case management, cognitive behavior programming and reentry services for prisoners and ex-offenders. The Sheriff is one member of the Board of Directors. Outreach to potential employers is continuous and on-going.
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	1. The Port Commission should be restructured to reflect more public interest. The Jury recommends that the Board of Supervisors seek necessary changes in state law to allow a charter amendment to be submitted to the public for revision of the current five-member Port Commission appointed by the Mayor to a Port Commission with three mayoral appointees and two by the Board of Supervisors. We recommend that this change be put before the voters in 2015.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	Such an effort is well beyond the Board of Supervisors' jurisdiction, requiring both State legislative change as well as San Francisco voter approval. San Francisco's state representatives are the more appropriate officials to undertake this effort. Further, there is a process for how Port Commissioners are selected that goes through the Board of Supervisors; when the Mayor nominates a Commissioner, that nomination is subject to Board of Supervisors' approval and a public hearing is held by the Board's Rules Committee.		

(1) "N/A" Response not required; Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2013-14

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2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	1. The Port Commission should be restructured to reflect more public interest. The Jury recommends that the Board of Supervisors seek necessary changes in state law to allow a charter amendment to be submitted to the public for revision of the current five-member Port Commission appointed by the Mayor to a Port Commission with three mayoral appointees and two by the Board of Supervisors. We recommend that this change be put before the voters in 2015.	Mayor (not required to respond; submitted response)	Will Not Be Implemented : Not Warranted or Not Reasonable	This recommendation is unnecessary and there appears to be no perceivable benefit. First, the Board of Supervisors already approves Mayoral appointments to the Port Commission. If they so choose, a Supervisor has the ability to vote against any Port Commission appointment. Second, state law would need to be revised for voters to even consider this recommendation. Such an effort would be an unproductive use of City lobbying efforts in Sacramento.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	2a. Costs and benefits to repair and maintain these piers should be evaluated and weighed against the cost and benefits of not doing so. It may be possible that the sacrifice of some piers will reduce maintenance costs, thereby freeing monies for repair of more significant structures and create more open space.	Port of San Francisco	Recommendation Implemented	This recommendation already represents the Port's current practice. The Port does NOT have a policy of attempting to repair all existing piers and related structures. The Port initiated its 10-year Capital Plan in 2006 and noted "the goal of this 10-year Capital Plan is to provide a basis for pursuing public funding and public-private partnerships to address the Port's critical capital needs, and to prioritize spending based on public safety, fiscal responsibility, and the Port's mission. The Plan will help identify facilities and/or piers that the Port may need to close... In short, the Port will be faced with the possibility of closing up to seven piers that have the largest currently unfunded needs." The Port has updated its 10-Year Capital Plan annually for the purpose of cataloging pier repair costs. This repair cost estimation is not a policy statement, however, but rather the calculation of cost necessary to conduct cost-benefit analyses. As a part of the Plan's annual update, the Port prioritizes its scarce funding across its facilities using criteria that include cost-benefit analyses.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	2b. Other sources of revenue should be expanded. Maritime and industrial use in the Southern Waterfront has great potential. The Port is actively pursuing growth in this area and should continue to improve infrastructure and search for new tenants.	Port of San Francisco	Recommendation Implemented	Expansion of maritime industrial activities is a major objective of the Port. Maritime industrial activities provide family wage jobs in the City where blue collar employment is eroding. Port initiatives to install shore power and to expand the port dry-docks at Pier 70 have resulted in a 50% increase in revenue at our ship repair operation and hundreds of thousands of additional man-hours of employment since 2008. The Port currently handles approximately 1.4 million metric tons of import bulk aggregates annually at Pier 94. The Port is working to develop an adjacent bulk export terminal at Pier 96 for cargoes such as iron ore. Feasibility and engineering design studies are underway and the Port is upgrading cargo rail connectivity to the cargo terminals funded by a Federal Railway Administrative grant. This initiative could triple bulk cargo volumes at the Port with corresponding significant growth in maritime revenue. The Port is collaborating with Union Pacific Railroad to develop these and other rail-served cargo opportunities. This includes containerized bulk exports that could be loaded onto bulk vessels at the Pier 80 Omni cargo terminal. The Port continues to handle break bulk (noncontainerized) cargo and project cargoes at Pier 80 which are slowly rebounding after a prolonged slump brought on by the financial downturn.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	3. Proposed variances from the Plan should receive increased public scrutiny prior to the issuance of an RFP.	Port of San Francisco	Recommendation Implemented	The Port agrees that projects that require an amendment to the Waterfront Land Use Plan need to be highlighted for public review. Furthermore, the Port actively engages the public in review of these variances. Where this is known before the Port solicits development partners, the Port does conduct public process to directly address this need. The pre-RFQ/P public planning efforts for Seawall Lot 337 and the Pier 70 Waterfront Site were designed specifically to engage public input and guidance to define the project objectives and priorities prior to soliciting development partners. Even in non-RFP situations, such as the Golden State Warriors' proposal for Piers 30-32 & Seawall Lot 330, the public process made clear from the outset that such projects would require amendments to both the Port's Waterfront Land Use Plan and to City zoning, in particular regarding project heights. Public comments and concerns regarding these amendment requirements received a high degree of public review and debate.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	3. Proposed variances from the Plan should receive increased public scrutiny prior to the issuance of an RFP.	Planning Department	Will Not Be Implemented : Not Warranted or Not Reasonable	We agree that the waterfront is of critical importance to the City of San Francisco. We disagree that public input is limited and only includes members of the CAC. The Port provides public notification and the CA C's meetings follow all requirements, including the Brown Act, for public meetings. Opportunities for early public input are provided through venues beyond the CAC, including during the Planning Department's CEQA review process. During CEQA, facts and data are gathered to improve understanding of a project's potential impacts on land, water, air, noise, historic resources, living creatures, aesthetics, and resources both cultural and natural. It is during this review that multiple iterations of the project are explored and vetted for public consideration through highly prescriptive and process-oriented regulations such that every public comment is considered and given a written response. We agree that public scrutiny is critical to the review process and that adherence to the Plan and the City's zoning laws are achieved through the ultimate project. While variances should be limited to those which are determined to be necessary for a project that better meets public needs, variances are typically minor exceptions to existing law. As such, the need for these variances would not be known at issuance of the RFP and would likely only be identified after the project has been developed in more detailed renderings.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	4a. The Port should immediately begin an assessment and update of the Waterfront Land Use Plan, to be renamed the Waterfront Maritime and Land Use Plan to meet current and future requirements for Port development. This should be completed and adopted in a relatively short time span of one to two years.	Port of San Francisco	Will Be Implemented in the Future	While the successes are many, the Waterfront Land Use Plan is a living document that must strive to improve and adapt. On August 11, 2014, Port staff issued an initial report to the Port Commission and public that presents an assessment of projects, activities and public discourse over the 17 year life of the Waterfront Land Use Plan. It seeks to surface new ideas and concepts that might be woven into the Waterfront Land Use Plan. The Port staff analysis in this report grapples with the highest level set of issues, including uses of the port area, historic rehabilitation, open space, waterfront development, urban design, transportation, sea level rise and public process, including preliminary recommendations in each of these areas. These recommendations are offered to the public, the Port Commission, the Board of Supervisors and the Mayor in the spirit of keeping the Waterfront Land Use Plan as relevant today as it was when it was adopted, and responsive enough to successfully guide the next generation of waterfront improvements. The Port welcomes public comment on these recommendations through September 30, 2014; Port staff will finalize this report in October 2014 as the 2014 Waterfront Land Use Plan Review.	Recommendation Implemented	In 2015, the Port Commission and Port staff initiated a process to update the Waterfront Land Use Plan through a public process, and the Port Director appointed an advisory group with 35 members representing a broad diversity of expertise and interests to advise the Port Commission regarding potential amendments to the Waterfront Land Use Plan. The public process started in January 2016. The following link provides information about the planning process including links to videos of public meetings and the schedule of forthcoming meetings: http://sfport.com/waterfront-plan-update
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	4b. The Port should ensure that changes or variances to the existing Waterfront Land Use Plan or the City's General Plan should have extensive public input before implementation.	Port of San Francisco	Recommendation Implemented	All Port development projects undergo a robust public review and vetting process, particularly those that require amendments to the Waterfront Land Use Plan and City General Plan.	**	

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2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	4b. The Port should ensure that changes or variances to the existing Waterfront Land Use Plan or the City's General Plan should have extensive public input before implementation.	Planning Department	Recommendation Implemented	<p>The current planning construct incorporates careful professional staff and other review of many issues to balance multiple public benefit and policy objectives, including land use density and compatibility, historic preservation, transportation, public open space, urban form and architectural design. This multi-layered review grew in response to articulated public values and the City's changing economic needs and design goals over the years and is tailored to the issues and needs raised by a particular project. The multiple public hearings provide ample opportunity for public input to shape development projects.</p> <p>Any change to the City's General Plan fall under the responsibility of the Planning Commission. Under existing law and practice the Commission demands that professional planning feed data and analysis to the Commission in a transparent and public process that provides holistic assessment of the proposed change and its potential effect on the City. Beginning with CEQA review, facts and data are gathered to improve understanding of a project's potential impacts on land, water, air, noise, historic resources, living creatures, aesthetics, and resources both cultural and natural. Next, the Planning Department provides an interpretation of the data; evaluating the project against the City's adopted policies. This professional analysis provides additional information for members of the public to respond to and evaluate for themselves whether the project meets planning goals and ensures that decisions are rooted both in adopted policies and contemporary best practices. Finally, local law requires multiple hearings with associated public noticing before public boards, commissions, and committees to make transparent the professional analysis so that the public may test both the underlying data and the conclusions. At each hearing, the general public and advocates can directly address decision-makers with their concerns and opinions. Fully-informed decision makers then can seek to mold the project that not only meets City laws and policies but also leverages public benefits to best meet the adopted vision for the waterfront.</p>		
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	4b. The Port should ensure that changes or variances to the existing Waterfront Land Use Plan or the City's General Plan should have extensive public input before implementation.	Board of Supervisors	Recommendation Implemented	As noted in the Port's response, "all Port development projects undergo a robust public review and vetting process"		
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	5. SFMTA should incorporate current and future transit needs, taking into consideration not only increased capacity requirements from individual projects, but the cumulative effect of multiple projects added to existing passenger loads. SFMTA must address reliability and increased capacity that will be required for all modes of transportation, especially the T-Line and motor coach lines connecting to the Pier 70 site. The VETAG system should be maintained to operate at maximum efficiency.	Port of San Francisco	Recommendation Implemented	While this recommendation is not directed to the Port, the Port notes that the Port and SFMTA have partnered with extraordinarily close coordination and thoughtful planning over the last four years. The successes of this partnership are many and have been enjoyed by the 23 million people who visited the Port's waterfront in 2013 alone. Without careful management by the SFMTA and the Port, the priority for reuniting San Francisco with its waterfront would not be realized. The efforts of this partnership with respect to the 34th America's Cup and proposed development projects are well known. Additionally, the Port and SFMTA have partnered in addressing transportation issues in numerous locations, including at the Ferry Building, Fisherman's Wharf, the James R. Herman Cruise Terminal and along Cargo Way, Terry Francois Blvd. and Illinois Street.		
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	5. SFMTA should incorporate current and future transit needs, taking into consideration not only increased capacity requirements from individual projects, but the cumulative effect of multiple projects added to existing passenger loads. SFMTA must address reliability and increased capacity that will be required for all modes of transportation, especially the T-Line and motor coach lines connecting to the Pier 70 site. The VETAG system should be maintained to operate at maximum efficiency.	San Francisco Municipal Transportation Authority	Recommendation Implemented	<p>Had been implemented prior to the original report's release.</p> <p>All SFMTA transportation planning is premised on current and future transportation needs in San Francisco for all modes. The Waterfront Transportation Assessment (the "Assessment") was scoped to evaluate the current and planned transportation network (the transportation "pipeline") in the face of cumulative future development through 2040. The guiding principles of the Assessment have been "capacity, safety, reliability and flexibility," and were established by SFMTA in coordination with the Port, other city departments and regional transit providers, with oversight by community stakeholders.</p> <p>The Assessment was structured around three major development proposals on Port properties: the Warriors Arena at Piers 30-32 and SIVL 330 (now relocated to non-Port property in Mission Bay), SIVL 337 - the Giants Mission Rock, and Pier 70 (Forest City). The SFMTA and the Waterfront Transportation Assessment have worked closely with the Port, Environmental Planning and OEWD to ensure that project transportation management plans were being conceived of in a framework of the comprehensive waterfront transportation network, along with growth anticipated through 2040. In light of the status of these major proposals, this work must be on-going to inform, and be informed, by the development proposals as they advance. Though no longer on Port property, the Warriors Arena in Mission Bay is the most active project that will impact the waterfront transportation network, and SFMTA continues to closely track and coordinate its transportation plans.</p> <p>The Waterfront Transportation Assessment is anticipated to continue into early 2015, and will not only help the city and SFMTA in evaluating, prioritizing, planning and funding for transportation investments along key waterfront corridors such as Third Street, and it will also help to inform improvements related to development proposals along the waterfront, on both city and Port-owned properties, such as Pier 70, as their own transportation plans are developed and/or mitigations identified.</p> <p>In addition to the Waterfront Transportation Assessment, the following are several of many examples of major transportation capacity and service improvements that are currently under way and that will increase safety, capacity, reliability and flexibility for all modes:</p> <ul style="list-style-type: none"> - "Muni Forward", SFMTA's multi-year short range plan to expand and improve service, which includes a 12% service increase, transit-only lanes, a complete replacement of Muni's rail and bus fleet, and engineering tools such as VETAG (signal priority) to allow for better management and transit priority throughout the city; - The 2014 SFMTA Fleet Management Plan, which details the addition of 40 LRVs to the Muni system (including the T Third) by 2021, 424 40' and 60' motor coaches, and 100 trolley coaches by 2018; - Central Subway, which is served by the T Third and is anticipated to carry 65,000 riders by 2030; - 16th Street Multimodal Corridor, which will extend the Muni Rapid Network 22-Fillmore to Mission Bay along separated, transit-only lanes. - Embarcadero Enhancement Project that will include a recommended design for the Embarcadero Bikeway to be completed by Fall 2015. 		

(1) "" Response not required; Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	5. The City should immediately begin lobbying for modifications to the Passenger Vessel Services Act of 1886 to allow foreignflagged vessels easier access to the City as a pilot program. This lobbying effort should be in conjunction with other U.S. passenger port destinations including those in Alaska, Hawaii, Oregon, and Washington.	Port of San Francisco	Will Not Be Implemented : Not Warranted or Not Reasonable	<p>The Port was a founding member of the "Cruise America" coalition of U.S. West Coast Ports and other tourism interests who, in 1998, sought a legislative exception of the Passenger Vessel Services Act (PVSA). This effort gained support in Congress under the leadership of Senator John McCain (R-AZ), then chairman of the Commerce Science and Transportation Committee. Senator McCain led the legislative effort in congress by sponsoring the United States Cruise Tourism Act which would allow foreign oceangoing passenger ships to serve multiple destinations along U.S. Coasts while protecting U.S. based companies.</p> <p>This 1998 effort to modify the PVSA encountered fierce opposition from some segments of organized labor, including unions that represented employees of other Port maritime tenants. While theoretically an exception to the PVSA could provide additional work for land based maritime unions, other unions representing seafaring workers feared that granting exceptions or weakening the PVSA would inevitably harm the nation's shipbuilding and merchant marine industry. Ultimately the bill did not gain traction and the effort was shelved.</p> <p>Ironically, the cruise industry is not advocating any change to this law. Cruise lines, through their International Association, think that while a reformed PVSA might add some new U.S. ports to cruise itineraries, it would not be a significant amount, especially in light of the restrictions that likely would be attached.</p> <p>Rather than lead the charge to modify the PVSA, the Port believes a better strategy is to continue to monitor possible legislative developments for exemptions or modification of the PVSA and work through the American Association of Port Authorities (AAPA), the industry's leading trade association, for any effort to alter current law.</p> <p>AAPA's members include every cruise port in Canada, Central and South America and the Caribbean. AAPA has openly supported legislation to permit non-U.S. flag cruise ships to operate on the U.S. coastwide trade where there is no large U.S. flag cruise ship in service. AAPA staff work regularly with members of Congress and monitor legislative efforts that impact the port industry. The Port actively serves on the AAPA Cruise committee and believes that it would be more effective to join a wider effort to gain possible legislative exception. This strategy will use the collective power of the U.S. cruise port industry, thus not singling out San Francisco. This strategy will likely ensue over a 2 year period.</p>	--	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	6. The City should immediately begin lobbying for modifications to the Passenger Vessel Services Act of 1886 to allow foreignflagged vessels easier access to the City as a pilot program. This lobbying effort should be in conjunction with other U.S. passenger port destinations including those in Alaska, Hawaii, Oregon, and Washington.	Mayor	Will Not Be Implemented : Not Warranted or Not Reasonable	<p>Senator John McCain's 1998 legislation to allow foreign oceangoing passenger ships to serve multiple destinations along U.S. coasts was unsuccessful due to opposition from organized labor. Seafaring unions feared that weakening the Passenger Vessel Services Act (PVSA) would harm the nation's shipbuilding and merchant marine industry.</p> <p>Rather than lead the charge to modify the PVSA, the Port believes a better strategy is to continue to monitor possible legislative developments for possible exemptions or modification of the PVSA and work through the American Association of Port Authorities (AAPA), the industry's leading trade association, for any effort to alter current law. AAPA's members include every cruise port in Canada, Central and South America and the Caribbean. AAPA has supported legislation to permit non-U.S. flag cruise ships to operate where there is no large U.S. flag cruise ship in service.</p>	--	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	6. The City should immediately begin lobbying for modifications to the Passenger Vessel Services Act of 1886 to allow foreignflagged vessels easier access to the City as a pilot program. This lobbying effort should be in conjunction with other U.S. passenger port destinations including those in Alaska, Hawaii, Oregon, and Washington.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	The Board of Supervisors is not the appropriate City body to spearhead such an effort; however, the Board of Supervisors encourages the Port to advocate and pursue any amendments to or exemptions from the Passenger Vessel Services Act (PVSA) that could benefit the Port and the City. The Board will support the Port in this effort however it can.	--	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	7. The Port should consider alternatives to fund the cost of rehabilitating Piers 30-32. The sale of Seawall Lot 330 could supply a large portion of \$68 M needed to strengthen the substructure for light use. The Jury recommends that the Port actively investigate alternative light uses for Piers 30-32. In addition to general park usage, sports fields for soccer, tennis, basketball, or other sports could be provided. Temporary venues for entertainment companies such as Teatro ZinZanni, Cirque de Soleil, and Cavalia would also not require an extensive substructure. Although not light use, the Port might also consider placement of a major marine research institute to fully utilize the unique characteristics of this site.	Port of San Francisco	Will Be Implemented in the Future	<p>The structures atop Piers 30-32 were destroyed by fire in 1984. Since that time, the Port has continued to analyze alternatives to rehabilitate Piers 30-32, including both public and private investments. The Golden State Warriors proposal represented the 6th proposed rehabilitation since the 1980s.</p> <p>Subsequent to the decision of the GSW not to pursue Piers 30-32, Port staff has analyzed alternatives such as general park usage, sports fields, cruise berthing, etc. Such analysis is published more completely in an August 7, 2014 Memorandum to the Port Commission. Any permanent change in use resulting in an increase in the volume of public users must consider major rehabilitation including a seismic upgrade. The total cost of a substructure rehabilitation including seismic strengthening will depend on the type and size of these improvements and is expected to be around \$100 million.</p> <p>Temporary uses or events lasting 180 days or less are acceptable. However, they must consider structural load limits currently in place.</p> <p>The construction of a major marine research institute will likely trigger a major rehabilitation effort including seismic strengthening. The project cost of building such a facility will require further analysis and study.</p>	Will Be Implemented in the Future	The planning process to update the Waterfront Land Use Plan will include focused land use planning in the South Beach neighborhood, including examination of use opportunities for Piers 30-32. The Port Commission is scheduled to review staff generated ideas and options for Piers 30-32 at its June 14, 2016 Port Commission meeting.
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	8a. All major events at the Port, like the America's Cup, must be approved by the Port Commission and the Board of Supervisors.	Port of San Francisco	Recommendation Implemented	<p>Indeed, all major events at the Port, like the 34th America's Cup, are approved by the Port Commission and the Board of Supervisors. The Port Commission held 39 separate hearings to publicly review, comment and vote on the activities of the 34th America's Cup from March 2009 through September 2013. This included 16 informational presentations and 23 approval requests submitted for Port Commission consideration and action.</p> <p>Similarly, the Board of Supervisors also held 31 hearings to publicly review, comment and vote on activities of the 34th America's Cup from April 2010 through October 2013. The hearings pertained to activities of the 34th America's Cup including, but not limited to, the (1) Host and Venue Agreement, (2) Final Environmental Impact Report and Mitigation Monitoring and Reporting Program, (3) MOU with the Port, (4) America's Cup Workforce Development Plan, (5) budget appropriation ordinances, and (6) Lease Disposition Agreement. Of these 31 hearings, 16 were hearings before the full Board of Supervisors and 15 were committee hearings including 12 before the Budget and Finance Committee and subject to review and report by the Budget Analyst to the Board of Supervisors.</p>	--	

(1) "--" Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	8a. All major events at the Port, like the America's Cup, must be approved by the Port Commission and the Board of Supervisors.	Board of Supervisors	Recommendation Implemented	"Major" is a subjective term, but if it is taken to mean an event similar to the 34th America's Cup, this recommendation has been implemented. As the Port's response notes, the America's Cup was extensively vetted and approved by both the Port Commission and the Board of Supervisors	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	8a. All major events at the Port, like the America's Cup, must be approved by the Port Commission and the Board of Supervisors.	Mayor	Recommendation Implemented	<p>The Port Commission held hearings to publicly review, comment, and vote on the activities of the 34th America's Cup that took place on or affected Port property. From March 2009 through September 2013, the Port Commission heard 39 separate items regarding activities of the 34th America's Cup, including 16 informational presentations and 23 approval requests submitted for Port Commission consideration and action.</p> <p>Similarly, the Board of Supervisors also held hearings to publicly review, comment and vote on activities of the 34th America's Cup. From April 2010 through October 2013, the Board of Supervisors held 31 hearings regarding activities of the 34th America's Cup including, but not limited to, (1) the Host and Venue Agreement, (2) Final Environmental Impact Report and Mitigation Monitoring and Reporting Program, (3) Memorandum of Understanding with the Port, (4) America's Cup Workforce Development Plan, (5) budget appropriation ordinances, and (6) Lease Disposition Agreement. Of these 31 hearings, 16 were hearings before the full Board of Supervisors and 15 were committee hearings including 12 before the Budget and Finance Committee and subject to review and report by the Budget Analyst to the Board of Supervisors.</p> <p>Finally, the Board of Supervisors voted unanimously to approve the entire transaction three separate times: once in approving the Host and Venue Agreement in December 2010, once to approve the project after the completion of CEQA in March 2012, and again in September 2012 when the security arrangements that were first approved had to be restructured.</p>	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	8b. Prior to approval, the City should require a validated cost proposal using fair market rental rates, revenue sharing with the Port, marquee billing for the City, full post-event accounting, and posting of all event financials on the Port website within one month after completion of the event. Said report shall include an itemization of: o The amount and source of all revenue generated by the event. o The amount, payor, and payee of each cost incurred for the event. o The name of each event cancelled, if any, as a result of the approval of the event and the amount of revenue lost as a result of the cancellation.	Port of San Francisco	Recommendation Implemented	<p>The analysis that Port staff provided to the Board of Supervisors for its initial approval of America's Cup agreements was intended to provide a detailed quantitative and qualitative analysis of the prospective regatta as was known at the time. Port staff briefed the Port Commission on an ongoing basis as more facts of the regatta and the projected outcomes were known. In responding to future unique waterfront opportunities the public and the Port Commission should expect a thorough analysis of the opportunity and the expected impact on public use and enjoyment of the waterfront as well as operating and capital costs.</p> <p>With respect to marquee billing, the City and Port required the America's Cup Event Authority to optimize the association of the City with the Event, recognizing the value and global reach of Event media coverage. The Port aggressively asserted its rights to accelerate part of the Cruise Terminal project schedule so that the "Port of San Francisco" sign atop it was installed prior to the start of racing and thus captured in international broadcasts that aired repeatedly in 130 countries worldwide. Physical signage in camera shots is the most valuable form of advertising, as superimposed digital imagery must be removed prior to rebroadcast in most countries.</p>	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	8b. Prior to approval, the City should require a validated cost proposal using fair market rental rates, revenue sharing with the Port, marquee billing for the City, full post-event accounting, and posting of all event financials on the Port website within one month after completion of the event. Said report shall include an itemization of: o The amount and source of all revenue generated by the event. o The amount, payor, and payee of each cost incurred for the event. o The name of each event cancelled, if any, as a result of the approval of the event and the amount of revenue lost as a result of the cancellation.	Board of Supervisors	Recommendation Implemented	The Port's response indicates that it has and will continue implementing this recommendation, which the Board of Supervisors fully supports.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	8b. Prior to approval, the City should require a validated cost proposal using fair market rental rates, revenue sharing with the Port, marquee billing for the City, full post-event accounting, and posting of all event financials on the Port website within one month after completion of the event. Said report shall include an itemization of: o The amount and source of all revenue generated by the event. o The amount, payor, and payee of each cost incurred for the event. o The name of each event cancelled, if any, as a result of the approval of the event and the amount of revenue lost as a result of the cancellation.	Mayor	Recommendation Implemented	<p>When responding to future unique waterfront opportunities the Port Commission, Board of Supervisors, and members of the public should expect a thorough analysis of the opportunity and the expected impact on public use and enjoyment of the waterfront as well as operating and capital costs.</p> <p>During the initial approval of America's Cup agreements, the Board of Supervisors was provided a detailed quantitative and qualitative analysis of the prospective regatta as was known at the time by City staff. The America's Cup Organizing Committee engaged an outside economics firm to validate assumptions related to event-related tax revenues. Port staff briefed the Port Commission on an ongoing basis as more facts of the regatta and the projected outcomes were known.</p> <p>Furthermore, the Board of Supervisors Budget and Legislative Analyst performed a detailed analysis of the event costs before the approvals in 2010 and 2012. Both of these estimates proved to be well in excess of the costs that were actually incurred.</p> <p>San Francisco received "marquee billing" while hosting the America's Cup. The host agreement specifically noted the City's desire for San Francisco exposure. The official logo included the words "San Francisco." Most dramatically, the television broadcast of the event spectacularly showcased the City's waterfront venue to an international audience.</p> <p>When all America's Cup costs were accounted for after the event, City staff provided another detailed presentation to the Board of Supervisors and the Budget and Legislative Analyst issued another report.</p> <p>The recommendation to post online all event financials one month after the event will not be implemented. For example, one month after the America's Cup was not enough time to complete "event financials" as the permits for the event required a number of post-event remediations and improvements.</p>	**	

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2013-14

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	9a. The Port should ensure ongoing community input be maintained until an acceptable compromise is reached on the final plans.	Port of San Francisco	Recommendation Implemented	The Port and its developer will continue to solicit public input until final adoption of the project by the Port Commission and the Board of Supervisors. The Port will continue to solicit feedback from the public through meetings of the Central Waterfront Advisory Group, as well as through items before the Port Commission, the Planning Commission, BCDC and ultimately the Board of Supervisors. The developer has implemented an extensive community outreach program since development rights were awarded in April 2011. Additionally, the developer has placed a measure before the San Francisco electorate for the November 2014 election seeking public approval of its proposed project heights.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	9a. The Port should ensure ongoing community input be maintained until an acceptable compromise is reached on the final plans.	Planning Department	"Should and Should Not be Implemented"	This recommendation should be implemented in that ongoing community input should be maintained. This recommendation should not be implemented in that it is the responsibility of the various duly appointed and elected decision makers to determine the project that best meets public needs.	Will Not Be Implemented: Not Warranted or Not Reasonable	While the recommendation for the Port to gather community input is reasonable, the recommendation provides no direction to the Planning Department. That said, the Department is committed to working to ensure community engagement in efforts affecting land use and planning.
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	9a. The Port should ensure ongoing community input be maintained until an acceptable compromise is reached on the final plans.	Department of Public Works	Recommendation Implemented	The Department of Public Works agrees with this recommendation especially that community input usually generates comments and ideas that benefit projects and ensures that final plan reflect community needs and concerns. The Department of Public Works worked closely with the Port in providing information and input on all matters related to Pier 70 Master Plan that are under Public Works jurisdiction. The Department of Public Works implemented a similar extensive outreach process for its projects and will continue to implement such a process in the future.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	9a. The Port should ensure ongoing community input be maintained until an acceptable compromise is reached on the final plans.	Recreation and Parks Department	This recommendation should be implemented in that community input should be maintained	The ongoing community input must be maintained, but it is the responsibility of the appointed and elected decision makers to determine the project that meets the public needs	Will Not Be Implemented: Not Warranted or Not Reasonable	The Recreation and Park Department does not have jurisdiction over port properties and sites, but has and will continue to partner on a variety of projects with The Port
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	9b. The Jury neither supports nor opposes the development of Pier 70 but we strongly endorse the extensive public outreach and community input as part of the design and development process of the Pier 70 Master Plan. We recommend that the Port follow this model as a template for all major developments on Port lands.	Port of San Francisco	Recommendation Implemented	The Port Commission established the development parameters for the Waterfront Site, authorized a developer qualifications solicitation process, and on August 30, 2010, the Port issued the Pier 70 Waterfront Site Request for Qualifications. On April 17, 2011, after a public hearing the Port Commission awarded development rights to the waterfront site to Forest City. That action, awarding the development opportunity to Forest City, began a process of defining a project for the Waterfront Site and the development concepts envisioned in the Master Plan and the RFP. After selection Forest City began an extensive community outreach program. This extra level of planning work was required to address numerous conditions specific to Pier 70, to determine whether there was a viable economic strategy that had community support to save its historic resources and allow sufficient development capacity to pay for new infrastructure, environmental improvement and new public open space, while maintaining compatibility with continued ship repair operations. Single phase development sites, such as those that have been improved to date in the northern half of the waterfront, are more straight-forward development opportunities. While every development opportunity must undergo thorough public review, not every project will require the steps that were conducted for the Pier 70 Preferred Master Plan.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	9b. The Jury neither supports nor opposes the development of Pier 70 but we strongly endorse the extensive public outreach and community input as part of the design and development process of the Pier 70 Master Plan. We recommend that the Port follow this model as a template for all major developments on Port lands.	Planning Department	Will Not Be Implemented : Not Warranted or Not Reasonable	This recommendation will not be implemented for all projects. This three-year process was appropriate for the large, 68 acre site of Pier 70 but may be excessive for most projects.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	9b. The Jury neither supports nor opposes the development of Pier 70 but we strongly endorse the extensive public outreach and community input as part of the design and development process of the Pier 70 Master Plan. We recommend that the Port follow this model as a template for all major developments on Port lands.	Department of Public Works	Recommendation Implemented	The Department of Public Works agrees with this recommendation. We work closely with the Port of San Francisco on many small and large projects and we collaborate on public outreach and coordination with all affected agencies. However, while every development opportunity must undergo thorough public review, not every project will require the steps that were conducted for the Pier 70 Preferred Master Plan. The Department of Public Works implemented a similar extensive outreach process for its projects, and will continue to implement such a process in the future.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	9b. The Jury neither supports nor opposes the development of Pier 70 but we strongly endorse the extensive public outreach and community input as part of the design and development process of the Pier 70 Master Plan. We recommend that the Port follow this model as a template for all major developments on Port lands.	Recreation and Parks Department	Will Not Be Implemented : Not Warranted or Not Reasonable	This three-year public outreach and community input process was needed to address the numerous conditions specific to the 68 acre site of Pier 70. While every development opportunity must undergo thorough public review, the input process for Pier 70 may be excessive for most project	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	10. The Jury recommends increased publicity and outreach so that an acceptable compromise can be reached on the scope of this development.	Port of San Francisco	Recommendation Implemented	The Port, City and the Mission Rock developer will continue an ongoing, robust public outreach program to advisory and regulatory bodies and to community groups, neighborhood and merchants' associations, and residents potentially affected by this project. Additionally, the Port Commission and the Board of Supervisors will continue to hold public hearings on this project which can be viewed on SFgovTV at any time. Port staff will continue to publish staff memorandums regarding this project which are available to the public through the Port Commission secretary or on the Port's website at http://sfport.com/index.aspx?page=25 . This project will also undergo environmental review per CEQA, which is a robust process open to the public. This project will also be submitted to the San Francisco electorate to review the project's proposed building heights. The Port further expects that many media organizations also will continue to cover this project for the benefit of the public.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	10. The Jury recommends increased publicity and outreach so that an acceptable compromise can be reached on the scope of this development.	Planning Department	Will Be Implemented in the Future	The Planning Department would like to reinforce the Port's stated commitment to a continuing, robust public outreach program. This project is not complete and the public can expect further outreach to community groups, neighborhood and merchants' associations, and residents potentially affected by this project. Required public hearings will also occur for this project as will our complete CEQA review. Each of these steps includes public review and comment as well as responses from the appropriate staff and final action by decision makers.	Recommendation Implemented	The Planning Department is currently involved in planning efforts for Port Lands and has included significant community outreach and engagement scope.

(1) **= Response not required; Recommendation has been fully implemented or abandoned.

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2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	11. The Jury recommends that the Port Commission work with the Board of Supervisors to place a referendum before the voters that asks for approval to issue IFD Bonds. Such a referendum should specifically state the total amount of bonded indebtedness that the Port seeks to incur through IFD Bonds, the specific sources of funds for IFD Bond repayment, and the length of time required to discharge any IFD Bond debt.	Port of San Francisco	Will Not Be Implemented : Not Warranted or Not Reasonable	Under the Board of Supervisors' policy enabling the Port to create an IFD tax increment district, expenditures are restricted to infrastructure improvements that have been approved in the Port's 10-Year Capital Plan and have CEQA clearance. These processes, independently, include lengthy, thorough public review. Further, since IFDs cannot be formed until after CEQA is complete, this recommendation would essentially require two major public votes for these projects, separated by many years. IFD tax increment generated by these projects that is not required to pay for new public infrastructure to support these neighborhoods is likely to be an important source of funding to address the Port's seawall and projected sea level rise – again without raising taxes. Where taxpayers are being asked to pay for improvements to Port property through financing vehicles such as General Obligation Bonds – to pay for parks, as an example – Port staff agrees that voter approval is the right (and legally required) approach.	**	
2013-14	The Port of San Francisco: Caught Between Public Trust and Private Dollars	11. The Jury recommends that the Port Commission work with the Board of Supervisors to place a referendum before the voters that asks for approval to issue IFD Bonds. Such a referendum should specifically state the total amount of bonded indebtedness that the Port seeks to incur through IFD Bonds, the specific sources of funds for IFD Bond repayment, and the length of time required to discharge any IFD Bond debt.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	The Board of Supervisors has placed significant restrictions on how the Port exercises IFD bonds, and it is via processes that involve extensive public review. Voter approval would yield greater awareness, but is neither required by law nor necessary to ensure the taxpayers' interests are protected. Port IFDs are repaid via increment tax generated from Port property and do not increase taxes on voters. Other City agencies successfully issue similar bonds without voter approval, such as Municipal Transportation Agency revenue bonds. Bonds that do encumber taxpayers, such as General Obligation bonds, rightly require voter approval.	**	
2013-14	Rising Sea Levels...At Our Doorstep	1a. The City should prepare and adopt a risk assessment in preparation for developing its comprehensive plan regarding the rising sea level issue	Mayor or Mayor's Designated Agency	Will Be Implemented in the Future	The draft Guidance referenced in the response to Finding 1 provides for comprehensive assessment of the vulnerability of City assets to sea level rise. In addition it provides a framework that can be used in assessing risk associated with development along San Francisco's shoreline and in addressing that risk, thereby providing a road map for preparation of a risk assessment	Recommendation Implemented	In March 10, 2016, City Planning and Department of Public Works presented the Sea Level Rise Action Plan to the Planning Commission. The Action Plan defines an overarching vision and set of objectives for future sea level rise and coastal flooding planning and mitigation. The Action Plan calls for data and vulnerability assessments from all City departments before solutions and actions are defined to address Sea Level Rise in 2030, 2050, and 2100.
2013-14	Rising Sea Levels...At Our Doorstep	1a. The City should prepare and adopt a risk assessment in preparation for developing its comprehensive plan regarding the rising sea level issue	Board of Supervisors	Recommendation Implemented	As reported by Mayoral staff at the Government Audit and Oversight Committee meeting on December 11, 2014, as follows: On September 22, 2014, the Capital Planning Committee adopted the "Guidance for Incorporating Sea Level Rise into Capital Planning in San Francisco: Assessing Vulnerability, Risk to Support Adaptation;"	**	
2013-14	Rising Sea Levels...At Our Doorstep	1a. The City should prepare and adopt a risk assessment in preparation for developing its comprehensive plan regarding the rising sea level issue	Department of Public Works	Will Be Implemented in the Future	The draft Guidance referenced in the response to Finding 1 provides for comprehensive assessment of the vulnerability of City assets to sea level rise. In addition it provides a framework that can be used in assessing risk associated with development along San Francisco's shoreline and in addressing that risk, thereby providing a road map for preparation of a risk assessment	Recommendation Implemented	In March 10, 2016, City Planning and Department of Public Works presented the Sea Level Rise Action Plan to the Planning Commission. The Action Plan defines an overarching vision and set of objectives for future sea level rise and coastal flooding planning and mitigation. The Action Plan calls for data and vulnerability assessments from all City departments before solutions and actions are defined to address Sea Level Rise in 2030, 2050, and 2100.
2013-14	Rising Sea Levels...At Our Doorstep	1a. The City should prepare and adopt a risk assessment in preparation for developing its comprehensive plan regarding the rising sea level issue	Dept. of Environment	Will Be Implemented in the Future	The draft Guidance referenced in the response to Finding 1 provides for comprehensive assessment of the vulnerability of City assets to sea level rise. In addition it provides a framework that can be used in assessing risk associated with development along San Francisco's shoreline and in addressing that risk, thereby providing a road map for preparation of a risk assessment	Recommendation Implemented	In March of 2016, Mayor Lee released the Sea Level Rise Action Plan (SLRAP), a call to action for City departments and stakeholders to work together to make San Francisco a more resilient city in the face of rising sea levels. The Mayor's plan, led by the Mayor's Sea Level Rise Coordinating Committee, and co-chaired by San Francisco Planning and Public Works, defines an overarching vision and set of objectives for future sea level rise and coastal flooding planning and adaptation in San Francisco. The SLRAP provides direction for City departments to understand and adapt to the impacts of sea level rise, including the areas most at risk. The SLR Action Plan also notes which risk assessments have already been completed for various public infrastructure sectors and which ones are still outstanding.
2013-14	Rising Sea Levels...At Our Doorstep	1a. The City should prepare and adopt a risk assessment in preparation for developing its comprehensive plan regarding the rising sea level issue	Dept. of Emergency Management	Will Be Implemented in the Future	The draft Guidance referenced in the response to Finding 1 provides for comprehensive assessment of the vulnerability of City assets to sea level rise. In addition it provides a framework that can be used in assessing risk associated with development along San Francisco's shoreline and in addressing that risk, thereby providing a road map for preparation of a risk assessment	Recommendation Implemented	Please note impacts of sea level rise (including preparing and adopting a risk assessment in preparation for developing a comprehensive plan regarding the rising sea level issue) is organizationally assigned to the San Francisco Planning Department, with support from San Francisco Public Works, the Port of San Francisco, and Public Utilities Commission. While there is a thread to DEM, we are not the lead agency to address this issue. Two resources of note are the San Francisco Sea Level Rise Action Plan published in March 2016 by the Planning Department and Resilient San Francisco: Stronger Today, Stronger Tomorrow published in April 2016 by the Office of Resilience and Recovery which is part of the General Services Administration. Together, they comprise the primary planning resources to address climate change in San Francisco. Although this recommendation does not directly apply to DEM, we would like to make it known the effects of climate change was a consideration integrated into the City's recently revised (2015) Hazard Mitigation Plan.

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	Rising Sea Levels...At Our Doorstep	1a. The City should prepare and adopt a risk assessment in preparation for developing its comprehensive plan regarding the rising sea level issue	Planning Department	Will Be Implemented in the Future	The draft Guidance referenced in the response to Finding 1 provides for comprehensive assessment of the vulnerability of City assets to sea level rise. In addition it provides a framework that can be used in assessing risk associated with development along San Francisco's shoreline and in addressing that risk, thereby providing a road map for preparation of a risk assessment	Recommendation Implemented	In March of 2016, Mayor Lee released the Sea Level Rise Action Plan (SLRAP), a call to action for City departments and stakeholders to work together to make San Francisco a more resilient city in the face of rising sea levels. The Mayor's plan, led by the Mayor's Sea Level Rise Coordinating Committee, and co-chaired by San Francisco Planning and Public Works, defines an overarching vision and set of objectives for future sea level rise and coastal flooding planning and adaptation in San Francisco. The SLRAP provides direction for City departments to understand and adapt to the impacts of sea level rise, including the areas most at risk. The SLR Action Plan also notes which risk assessments have already been completed for various public infrastructure sectors and which ones are still outstanding.
2013-14	Rising Sea Levels...At Our Doorstep	1a. The City should prepare and adopt a risk assessment in preparation for developing its comprehensive plan regarding the rising sea level issue	Port of San Francisco	Will Be Implemented in the Future	The draft Guidance referenced in the response to Finding 1 provides for comprehensive assessment of the vulnerability of City assets to sea level rise. In addition it provides a framework that can be used in assessing risk associated with development along San Francisco's shoreline and in addressing that risk, thereby providing a road map for preparation of a risk assessment.	Recommendation Implemented	In March of 2016, Mayor Lee released the Sea Level Rise Action Plan (SLRAP), a call to action for City departments and stakeholders to work together to make San Francisco a more resilient city in the face of rising sea levels. The Mayor's plan, led by the Mayor's Sea Level Rise Coordinating Committee, and co-chaired by San Francisco Planning and Public Works, defines an overarching vision and set of objectives for future sea level rise and coastal flooding planning and adaptation in San Francisco. The SLRAP provides direction for City departments to understand and adapt to the impacts of sea level rise, including the areas most at risk. The SLRAP also notes which risk assessments have already been completed for various public infrastructure sectors and which ones are still outstanding.
2013-14	Rising Sea Levels...At Our Doorstep	1a. The City should prepare and adopt a risk assessment in preparation for developing its comprehensive plan regarding the rising sea level issue	Public Utilities Commission	Will Be Implemented in the Future	The draft Guidance referenced in the response to Finding 1 provides for comprehensive assessment of the vulnerability of City assets to sea level rise. In addition it provides a framework that can be used in assessing risk associated with development along San Francisco's shoreline and in addressing that risk, thereby providing a road map for preparation of a risk assessment.	Recommendation Implemented	In March 10, 2016, City Planning and Department of Public Works presented the Sea Level Rise Action Plan to the Planning Commission. The Action Plan defines an overarching vision and set of objectives for future sea level rise and coastal flooding planning and mitigation. The Action Plan calls for data and vulnerability assessments from all City departments before solutions and actions are defined to address Sea Level Rise in 2030, 2050, and 2100.
2013-14	Rising Sea Levels...At Our Doorstep	1b. The City should adopt a citywide comprehensive plan for adaptation to rising sea levels, especially along its shores and its floodplains. Said plan should include the provision that construction projects' approval should take into account the anticipated lifespan of each project and the risks faced as outlined in said plan. Special consideration should be given to those anticipated to survive for more than 30 years. Said plan should include a provision that the plan be reviewed and reassessed every 5 years.	Mayor or Mayor's Designated Agency	Will Be Implemented in the Future	The draft Guidance currently under City-wide review provides a framework for development of a comprehensive plan to address adaptation for City assets to the potential effects of sea level rise and states that the Guidance, the science behind SLR projections, and the approach outlined will need to be revisited periodically as new information becomes available. The Guidance requires consideration of asset life cycle in implementation. In addition, CEQA provides the Planning Department with authority to require that projects be designed to minimize and mitigate potential hazards related to sea level rise and takes into account the asset life cycle in its evaluation.	Recommendation Implemented	The Sea Level Rise Action Plan is the first step towards the development of the Citywide Sea Level Rise Adaptation Plan, expected to be completed by summer 2018, which will incorporate the adaptation strategies identified in the Action Plan and set a planning framework to prioritize investments to best improve climate resilience, while protecting economic and environmental value. The Sea Level Rise Adaptation Plan will also identify potential funding sources, governance structures, and implementation timelines.
2013-14	Rising Sea Levels...At Our Doorstep	1b. The City should adopt a citywide comprehensive plan for adaptation to rising sea levels, especially along its shores and its floodplains. Said plan should include the provision that construction projects' approval should take into account the anticipated lifespan of each project and the risks faced as outlined in said plan. Special consideration should be given to those anticipated to survive for more than 30 years. Said plan should include a provision that the plan be reviewed and reassessed every 5 years.	Board of Supervisors	Recommendation Implemented	As reported by Mayoral staff at the Government Audit and Oversight Committee meeting on December 11, 2014, as follows: On September 22, 2014, the Capital Planning Committee adopted the "Guidance for Incorporating Sea Level Rise Into Capital Planning in San Francisco: Assessing Vulnerability, Risk to Support Adaptation".	**	
2013-14	Rising Sea Levels...At Our Doorstep	1b. The City should adopt a citywide comprehensive plan for adaptation to rising sea levels, especially along its shores and its floodplains. Said plan should include the provision that construction projects' approval should take into account the anticipated lifespan of each project and the risks faced as outlined in said plan. Special consideration should be given to those anticipated to survive for more than 30 years. Said plan should include a provision that the plan be reviewed and reassessed every 5 years.	Department of Public Works	Will Be Implemented in the Future	The draft Guidance currently under City-wide review provides a framework for development of a comprehensive plan to address adaptation for City assets to the potential effects of sea level rise and states that the Guidance, the science behind SLR projections, and the approach outlined will need to be revisited periodically as new information becomes available. The Guidance requires consideration of asset life cycle in implementation. In addition, CEQA provides the Planning Department with authority to require that projects be designed to minimize and mitigate potential hazards related to sea level rise and takes into account the asset life cycle in its evaluation.	Recommendation Implemented	The Sea Level Rise Action Plan is the first step towards the development of the Citywide Sea Level Rise Adaptation Plan, expected to be completed by summer 2018, which will incorporate the adaptation strategies identified in the Action Plan and set a planning framework to prioritize investments to best improve climate resilience, while protecting economic and environmental value. The Sea Level Rise Adaptation Plan will also identify potential funding sources, governance structures, and implementation timelines.
2013-14	Rising Sea Levels...At Our Doorstep	1b. The City should adopt a citywide comprehensive plan for adaptation to rising sea levels, especially along its shores and its floodplains. Said plan should include the provision that construction projects' approval should take into account the anticipated lifespan of each project and the risks faced as outlined in said plan. Special consideration should be given to those anticipated to survive for more than 30 years. Said plan should include a provision that the plan be reviewed and reassessed every 5 years.	Dept. of Environment	Will Be Implemented in the Future	The draft Guidance currently under City-wide review provides a framework for development of a comprehensive plan to address adaptation for City assets to the potential effects of sea level rise and states that the Guidance, the science behind SLR projections, and the approach outlined will need to be revisited periodically as new information becomes available. The Guidance requires consideration of asset life cycle in implementation. In addition, CEQA provides the Planning Department with authority to require that projects be designed to minimize and mitigate potential hazards related to sea level rise and takes into account the asset life cycle in its evaluation.	Will Be Implemented in the Future	As stated above under Response 1a., in March of 2016, Mayor Lee released the Sea Level Rise Action Plan (SLRAP), a call to action for City departments and stakeholders to work together to make San Francisco a more resilient city in the face of rising sea levels. The Mayor's plan, led by the Mayor's Sea Level Rise Coordinating Committee, and co-chaired by San Francisco Planning and Public Works, defines an overarching vision and set of objectives for future sea level rise and coastal flooding planning and adaptation in San Francisco. The SLRAP provides direction for City departments to understand and adapt to the impacts of sea level rise and produce a Citywide Adaptation Plan.

(1) *** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	Rising Sea Levels...At Our Doorstep	1b. The City should adopt a citywide comprehensive plan for adaptation to rising sea levels, especially along its shores and its floodplains. Said plan should include the provision that construction projects' approval should take into account the anticipated lifespan of each project and the risks faced as outlined in said plan. Special consideration should be given to those anticipated to survive for more than 30 years. Said plan should include a provision that the plan be reviewed and reassessed every 5 years.	Dept. of Emergency Management	Will Be Implemented in the Future	The draft Guidance currently under City-wide review provides a framework for development of a comprehensive plan to address adaptation for City assets to the potential effects of sea level rise and states that the Guidance, the science behind SLR projections, and the approach outlined will need to be revisited periodically as new information becomes available. The Guidance requires consideration of asset life cycle in implementation. In addition, CEQA provides the Planning Department with authority to require that projects be designed to minimize and mitigate potential hazards related to seal level rise and takes into account the asset life cycle in it evaluation.	Recommendation Implemented	This recommendation does not apply to DEM; however, the San Francisco Planning Department, SF Public Works, Port and Public Utilities Commission should be asked. While there is a thread to DEM, we are not the lead agency to address this issue. Two resources of note are the San Francisco Sea Level Rise Action Plan published in March 2016 by the Planning Department and Resilient San Francisco: Stronger Today, Stronger Tomorrow published in April 2016 by the Office of Resilience and Recovery which is part of the General Services Administration. Together, they comprise the primary planning resources to address climate change in San Francisco.
2013-14	Rising Sea Levels...At Our Doorstep	1b. The City should adopt a citywide comprehensive plan for adaptation to rising sea levels, especially along its shores and its floodplains. Said plan should include the provision that construction projects' approval should take into account the anticipated lifespan of each project and the risks faced as outlined in said plan. Special consideration should be given to those anticipated to survive for more than 30 years. Said plan should include a provision that the plan be reviewed and reassessed every 5 years.	Planning Department	Will Be Implemented in the Future	The draft Guidance currently under City-wide review provides a framework for development of a comprehensive plan to address adaptation for City assets to the potential effects of sea level rise and states that the Guidance, the science behind SLR projections, and the approach outlined will need to be revisited periodically as new information becomes available. The Guidance requires consideration of asset life cycle in implementation. In addition, CEQA provides the Planning Department with authority to require that projects be designed to minimize and mitigate potential hazards related to seal level rise and takes into account the asset life cycle in it evaluation.	Will Be Implemented in the Future	As stated above under Response 1a., In March of 2016, Mayor Lee released the Sea Level Rise Action Plan (SLRAP), a call to action for City departments and stakeholders to work together to make San Francisco a more resilient city in the face of rising sea levels. The Mayor's plan, led by the Mayor's Sea Level Rise Coordinating Committee, and co-chaired by San Francisco Planning and Public Works, defines an overarching vision and set of objectives for future sea level rise and coastal flooding planning and adaptation in San Francisco. The SLRAP provides direction for City departments to understand and adapt to the impacts of sea level rise and produce a Citywide Adaptation Plan.
2013-14	Rising Sea Levels...At Our Doorstep	1b. The City should adopt a citywide comprehensive plan for adaptation to rising sea levels, especially along its shores and its floodplains. Said plan should include the provision that construction projects' approval should take into account the anticipated lifespan of each project and the risks faced as outlined in said plan. Special consideration should be given to those anticipated to survive for more than 30 years. Said plan should include a provision that the plan be reviewed and reassessed every 5 years.	Port of San Francisco	Will Be Implemented in the Future	The draft Guidance currently under City-wide review provides a framework for development of a comprehensive plan to address adaptation for City assets to the potential effects of sea level rise and states that the Guidance, the science behind SLR projections, and the approach outlined will need to be revisited periodically as new information becomes available. The Guidance requires consideration of asset life cycle in implementation. In addition, CEQA provides the Planning Department with authority to require that projects be designed to minimize and mitigate potential hazards related to seal level rise and takes into account the asset life cycle in it evaluation.	Will Be Implemented in the Future	As stated above under Response 1a., In March of 2016, Mayor Lee released the Sea Level Rise Action Plan (SLRAP), a call to action for City departments and stakeholders to work together to make San Francisco a more resilient city in the face of rising sea levels. The Mayor's plan, led by the Mayor's Sea Level Rise Coordinating Committee, and co-chaired by San Francisco Planning and Public Works, defines an overarching vision and set of objectives for future sea level rise and coastal flooding planning and adaptation in San Francisco. The SLRAP provides direction for City departments to understand and adapt to the impacts of sea level rise and produce a Citywide Adaptation Plan.
2013-14	Rising Sea Levels...At Our Doorstep	1b. The City should adopt a citywide comprehensive plan for adaptation to rising sea levels, especially along its shores and its floodplains. Said plan should include the provision that construction projects' approval should take into account the anticipated lifespan of each project and the risks faced as outlined in said plan. Special consideration should be given to those anticipated to survive for more than 30 years. Said plan should include a provision that the plan be reviewed and reassessed every 5 years.	Public Utilities Commission	Will Be Implemented in the Future	The draft Guidance currently under City-wide review provides a framework for development of a comprehensive plan to address adaptation for City assets to the potential effects of sea level rise and states that the Guidance, the science behind SLR projections, and the approach outlined will need to be revisited periodically as new information becomes available. The Guidance requires consideration of asset life cycle in implementation. In addition, CEQA provides the Planning Department with authority to require that projects be designed to minimize and mitigate potential hazards related to seal level rise and takes into account the asset life cycle in it evaluation.	Recommendation Implemented	The Sea Level Rise Action Plan is the first step towards the development of the Citywide Sea Level Rise Adaptation Plan, expected to be completed by summer 2018, which will incorporate the adaptation strategies identified in the Action Plan and set a planning framework to prioritize investments to best improve climate resilience, while protecting economic and environmental value. The Sea Level Rise Adaptation Plan will also identify potential funding sources, governance structures, and implementation timelines.
2013-14	Rising Sea Levels...At Our Doorstep	1c. The City should build infrastructure systems that are resilient and adaptable to rising sea levels. That the City, through its planning and building departments, require that any construction project vulnerable to future shoreline or floodplain flooding be designed to be resilient to sea level rise at the 2050 projection, e.g., 16 inches if the construction is not expected to last longer than 2050. For construction intended to last longer than 2050, that the City require that the project be designed to address sea level rise projections for the longer term.	Mayor or Mayor's Designated Agency	Will Not Be Implemented : Not Warranted or Not Reasonable	The City agrees with the statement that it should build infrastructure systems that are resilient and adaptable to rising sea levels. It disagrees, however, with some of the specifics in the recommendation that follow. Requiring any construction project be designed to be resilient to the existing 16 inch rise 2050 projection does not take into account other factors that should influence scenario selection, including exposure to storm surge or wave action, asset lifespan and location, and consequence of failure or a project. The Draft Guidance prepared by the Mayor's Sea Level Rise Committee described under Finding 1 will address this issue. Looking beyond 2050, while it is the case that assets with life cycles extending into the late 21st century must consider longer term SLR projections, it may be unwise and expensive to require immediate measures to adapt to wide ranging, highly uncertain SLR projections turn out in time. Considerations of adaptive management approaches, the adaptive capacity of assets and revisiting of SLR science as the decades unfold are clear component of the draft Guidance that will provide the basis of City policy going forward. Moreover, the Planning Department already evaluates whether proposed projects would expose people or structures to a significant risk of loss, injury or death due to flooding as a result of future sea level rise as part of the environmental review process required under the California Environmental Quality Act (CEQA). CEQA provides the City with an effective means to ensure that development in areas vulnerable to sea level rise is designed to address related flood hazards.	**	
2013-14	Rising Sea Levels...At Our Doorstep	1c. The City should build infrastructure systems that are resilient and adaptable to rising sea levels. That the City, through its planning and building departments, require that any construction project vulnerable to future shoreline or floodplain flooding be designed to be resilient to sea level rise at the 2050 projection, e.g., 16 inches if the construction is not expected to last longer than 2050. For construction intended to last longer than 2050, that the City require that the project be designed to address sea level rise projections for the longer term.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	While the Board of Supervisors agrees that the City should build infrastructure that are resilient and adaptable to rising sea levels, requiring that construction projects should be designed to be resilient to the existing 2050 projection does not take into account other factors that should influence projects, including exposure to storm surge or wave action, asset lifespan and location, and consequence of failure for a project; further, the draft comprehensive plan referenced in Finding No. 1 will address this issue	**	

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	Rising Sea Levels...At Our Doorstep	1c. The City should build infrastructure systems that are resilient and adaptable to rising sea levels. That the City, through its planning and building departments, require that any construction project vulnerable to future shoreline or floodplain flooding be designed to be resilient to sea level rise at the 2050 projection, e.g., 16 inches if the construction is not expected to last longer than 2050. For construction intended to last longer than 2050, that the City require that the project be designed to address sea level rise projections for the longer term.	Department of Public Works	Will Not Be Implemented : Not Warranted or Not Reasonable	<p>The City agrees with the statement that it should build infrastructure systems that are resilient and adaptable to rising sea levels . It disagrees, however, with some of the specifics in the recommendation that follow. Requiring any construction project be designed to be resilient to the existing 16 inch rise 2050 projection does not take into account other factors that should influence scenario selection, including exposure to storm surge or wave action, asset lifespan and location, and consequence of failure or a project. The Draft Guidance prepared by the Mayor's Sea Level Rise Committee described under Finding 1 will address this issue.</p> <p>Looking beyond 2050, while it is the case that assets with life cycles extending into the late 21st century must consider longer term SLR projections, it may be unwise-and expensive- to require immediate measures to adapt to wide ranging, highly uncertain SLR projections further out in time. Considerations of adaptive management approaches, the adaptive capacity of assets and revisiting of SLR science as the decades unfold are clear component of the draft Guidance that will provide the basis of City policy going forward.</p> <p>Moreover, the Planning Department already evaluates whether proposed projects would expose people or structures to a significant risk of loss, injury or death due to flooding as a result of future sea level rise as part of the environmental review process required under the California Environmental Quality Act (CEQA). CEQA provides the City with an effective means to ensure that development in areas vulnerable to sea level rise is designed to address related flood hazards.</p>	**	
2013-14	Rising Sea Levels...At Our Doorstep	1c. The City should build infrastructure systems that are resilient and adaptable to rising sea levels. That the City, through its planning and building departments, require that any construction project vulnerable to future shoreline or floodplain flooding be designed to be resilient to sea level rise at the 2050 projection, e.g., 16 inches if the construction is not expected to last longer than 2050. For construction intended to last longer than 2050, that the City require that the project be designed to address sea level rise projections for the longer term.	Dept. of Environment	Will Not Be Implemented : Not Warranted or Not Reasonable	<p>The City agrees with the statement that it should build infrastructure systems that are resilient and adaptable to rising sea levels . It disagrees, however, with some of the specifics in the recommendation that follow. Requiring any construction project be designed to be resilient to the existing 16 inch rise 2050 projection does not take into account other factors that should influence scenario selection, including exposure to storm surge or wave action, asset lifespan and location, and consequence of failure or a project. The Draft Guidance prepared by the Mayor's Sea Level Rise Committee described under Finding 1 will address this issue.</p> <p>Looking beyond 2050, while it is the case that assets with life cycles extending into the late 21st century must consider longer term SLR projections, it may be unwise-and expensive- to require immediate measures to adapt to wide ranging, highly uncertain SLR projections further out in time. Considerations of adaptive management approaches, the adaptive capacity of assets and revisiting of SLR science as the decades unfold are clear component of the draft Guidance that will provide the basis of City policy going forward.</p> <p>Moreover, the Planning Department already evaluates whether proposed projects would expose people or structures to a significant risk of loss, injury or death due to flooding as a result of future sea level rise as part of the environmental review process required under the California Environmental Quality Act (CEQA). CEQA provides the City with an effective means to ensure that development in areas vulnerable to sea level rise is designed to address related flood hazards.</p>	**	
2013-14	Rising Sea Levels...At Our Doorstep	1c. The City should build infrastructure systems that are resilient and adaptable to rising sea levels. That the City, through its planning and building departments, require that any construction project vulnerable to future shoreline or floodplain flooding be designed to be resilient to sea level rise at the 2050 projection, e.g., 16 inches if the construction is not expected to last longer than 2050. For construction intended to last longer than 2050, that the City require that the project be designed to address sea level rise projections for the longer term.	Dept. of Emergency Management	Will Not Be Implemented : Not Warranted or Not Reasonable	<p>The City agrees with the statement that it should build infrastructure systems that are resilient and adaptable to rising sea levels . It disagrees, however, with some of the specifics in the recommendation that follow. Requiring any construction project be designed to be resilient to the existing 16 inch rise 2050 projection does not take into account other factors that should influence scenario selection, including exposure to storm surge or wave action, asset lifespan and location, and consequence of failure or a project. The Draft Guidance prepared by the Mayor's Sea Level Rise Committee described under Finding 1 will address this issue.</p> <p>Looking beyond 2050, while it is the case that assets with life cycles extending into the late 21st century must consider longer term SLR projections, it may be unwise-and expensive- to require immediate measures to adapt to wide ranging, highly uncertain SLR projections further out in time. Considerations of adaptive management approaches, the adaptive capacity of assets and revisiting of SLR science as the decades unfold are clear component of the draft Guidance that will provide the basis of City policy going forward.</p> <p>Moreover, the Planning Department already evaluates whether proposed projects would expose people or structures to a significant risk of loss, injury or death due to flooding as a result of future sea level rise as part of the environmental review process required under the California Environmental Quality Act (CEQA). CEQA provides the City with an effective means to ensure that development in areas vulnerable to sea level rise is designed to address related flood hazards.</p>	**	
2013-14	Rising Sea Levels...At Our Doorstep	1c. The City should build infrastructure systems that are resilient and adaptable to rising sea levels. That the City, through its planning and building departments, require that any construction project vulnerable to future shoreline or floodplain flooding be designed to be resilient to sea level rise at the 2050 projection, e.g., 16 inches if the construction is not expected to last longer than 2050. For construction intended to last longer than 2050, that the City require that the project be designed to address sea level rise projections for the longer term.	Planning Department	Will Not Be Implemented : Not Warranted or Not Reasonable	<p>The City agrees with the statement that it should build infrastructure systems that are resilient and adaptable to rising sea levels . It disagrees, however, with some of the specifics in the recommendation that follow. Requiring any construction project be designed to be resilient to the existing 16 inch rise 2050 projection does not take into account other factors that should influence scenario selection, including exposure to storm surge or wave action, asset lifespan and location, and consequence of failure or a project. The Draft Guidance prepared by the Mayor's Sea Level Rise Committee described under Finding 1 will address this issue.</p> <p>Looking beyond 2050, while it is the case that assets with life cycles extending into the late 21st century must consider longer term SLR projections, it may be unwise-and expensive- to require immediate measures to adapt to wide ranging, highly uncertain SLR projections further out in time. Considerations of adaptive management approaches, the adaptive capacity of assets and revisiting of SLR science as the decades unfold are clear component of the draft Guidance that will provide the basis of City policy going forward.</p> <p>Moreover, the Planning Department already evaluates whether proposed projects would expose people or structures to a significant risk of loss, injury or death due to flooding as a result of future sea level rise as part of the environmental review process required under the California Environmental Quality Act (CEQA). CEQA provides the City with an effective means to ensure that development in areas vulnerable to sea level rise is designed to address related flood hazards.</p>	**	

(1) ** Response not required. Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	Rising Sea Levels...At Our Doorstep	1c. The City should build infrastructure systems that are resilient and adaptable to rising sea levels. That the City, through its planning and building departments, require that any construction project vulnerable to future shoreline or floodplain flooding be designed to be resilient to sea level rise at the 2050 projection, e.g., 15 inches if the construction is not expected to last longer than 2050. For construction intended to last longer than 2050, that the City require that the project be designed to address sea level rise projections for the longer term.	Port of San Francisco	Will Not Be Implemented : Not Warranted or Not Reasonable	<p>The City agrees with the statement that it should build infrastructure systems that are resilient and adaptable to rising sea levels . It disagrees, however, with some of the specifics in the recommendation that follow. Requiring any construction project be designed to be resilient to the existing 15 inch rise 2050 projection does not take into account other factors that should influence scenario selection, including exposure to storm surge or wave action, asset lifespan and location, and consequence of failure or a project. The Draft Guidance prepared by the Mayor's Sea Level Rise Committee described under Finding 1 will address this issue.</p> <p>Looking beyond 2050, while it is the case that assets with life cycles extending into the late 21st century must consider longer term SLR projections, it may be unwise-and expensive- to require immediate measures to adapt to wide ranging, highly uncertain SLR projections further out in time. Considerations of adaptive management approaches, the adaptive capacity of assets and revisiting of SLR science as the decades unfold are clear component of the draft Guidance that will provide the basis of City policy going forward.</p> <p>Moreover, the Planning Department already evaluates whether proposed projects would expose people or structures to a significant risk of loss, injury or death due to flooding as a result of future sea level rise as part of the environmental review process required under the California Environmental Quality Act (CEQA). CEQA provides the City with an effective means to ensure that development in areas vulnerable to sea level rise is designed to address related flood hazards.</p>	**	
2013-14	Rising Sea Levels...At Our Doorstep	1c. The City should build infrastructure systems that are resilient and adaptable to rising sea levels. That the City, through its planning and building departments, require that any construction project vulnerable to future shoreline or floodplain flooding be designed to be resilient to sea level rise at the 2050 projection, e.g., 15 inches if the construction is not expected to last longer than 2050. For construction intended to last longer than 2050, that the City require that the project be designed to address sea level rise projections for the longer term.	Public Utilities Commission	Will Not Be Implemented : Not Warranted or Not Reasonable	<p>The City agrees with the statement that it should build infrastructure systems that are resilient and adaptable to rising sea levels . It disagrees, however, with some of the specifics in the recommendation that follow. Requiring any construction project be designed to be resilient to the existing 15 inch rise 2050 projection does not take into account other factors that should influence scenario selection, including exposure to storm surge or wave action, asset lifespan and location, and consequence of failure or a project. The Draft Guidance prepared by the Mayor's Sea Level Rise Committee described under Finding 1 will address this issue.</p> <p>Looking beyond 2050, while it is the case that assets with life cycles extending into the late 21st century must consider longer term SLR projections, it may be unwise-and expensive- to require immediate measures to adapt to wide ranging, highly uncertain SLR projections further out in time. Considerations of adaptive management approaches, the adaptive capacity of assets and revisiting of SLR science as the decades unfold are clear component of the draft Guidance that will provide the basis of City policy going forward.</p> <p>Moreover, the Planning Department already evaluates whether proposed projects would expose people or structures to a significant risk of loss, injury or death due to flooding as a result of future sea level rise as part of the environmental review process required under the California Environmental Quality Act (CEQA). CEQA provides the City with an effective means to ensure that development in areas vulnerable to sea level rise is designed to address related flood hazards.</p>	**	
2013-14	Rising Sea Levels...At Our Doorstep	1d. That City departments that would necessarily be involved in adaptation to rising sea levels, such as Department of Public Works, Public Utilities Commission, Municipal Transportation Agency, the Port, coordinate their projects with each other and with utility companies, such as PG&E, Comcast, and AT&T, to minimize inconvenience to the public, and to businesses, and to further avoid repetition of efforts and inefficient use of funds, labor, and time.	Mayor or Mayor's Designated Agency	Recommendation Implemented	Currently, City departments coordinate projects with each other and with various utility companies according to procedures established many years ago. In fact, under the lead of DPW various city departments and utility companies have recently invested in implementing an online mapping system that allow all members to view each other projects and facilitate coordination of all projects within the Right-of-Way.	**	
2013-14	Rising Sea Levels...At Our Doorstep	1d. That City departments that would necessarily be involved in adaptation to rising sea levels, such as Department of Public Works, Public Utilities Commission, Municipal Transportation Agency, the Port, coordinate their projects with each other and with utility companies, such as PG&E, Comcast, and AT&T, to minimize inconvenience to the public, and to businesses, and to further avoid repetition of efforts and inefficient use of funds, labor, and time.	Board of Supervisors	Recommendation Implemented	While this recommendation does not directly fall under the jurisdiction of the Board of Supervisors, City departments currently coordinate projects with each other and various utility companies according to procedures established many years ago.	**	
2013-14	Rising Sea Levels...At Our Doorstep	1d. That City departments that would necessarily be involved in adaptation to rising sea levels, such as Department of Public Works, Public Utilities Commission, Municipal Transportation Agency, the Port, coordinate their projects with each other and with utility companies, such as PG&E, Comcast, and AT&T, to minimize inconvenience to the public, and to businesses, and to further avoid repetition of efforts and inefficient use of funds, labor, and time.	Department of Public Works	Recommendation Implemented	Currently, City departments coordinate projects with each other and with various utility companies according to procedures established many years ago. In fact, under the lead of DPW various city departments and utility companies have recently invested in implementing an online mapping system that allow all members to view each other projects and facilitate coordination of all projects within the Right-of-Way.	**	
2013-14	Rising Sea Levels...At Our Doorstep	1d. That City departments that would necessarily be involved in adaptation to rising sea levels, such as Department of Public Works, Public Utilities Commission, Municipal Transportation Agency, the Port, coordinate their projects with each other and with utility companies, such as PG&E, Comcast, and AT&T, to minimize inconvenience to the public, and to businesses, and to further avoid repetition of efforts and inefficient use of funds, labor, and time.	Dept. of Environment	Recommendation Implemented	Currently, City departments coordinate projects with each other and with various utility companies according to procedures established many years ago. In fact, under the lead of DPW various city departments and utility companies have recently invested in implementing an online mapping system that allow all members to view each other projects and facilitate coordination of all projects within the Right-of-Way.	**	

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2013-14	Rising Sea Levels...At Our Doorstep	1d. That City departments that would necessarily be involved in adaptation to rising sea levels, such as Department of Public Works, Public Utilities Commission, Municipal Transportation Agency, the Port, coordinate their projects with each other and with utility companies, such as PG&E, Comcast, and AT&T, to minimize inconvenience to the public, and to businesses, and to further avoid repetition of efforts and inefficient use of funds, labor, and time.	Dept. of Emergency Management	Recommendation Implemented	Currently, City departments coordinate projects with each other and with various utility companies according to procedures established many years ago. In fact, under the lead of DPW various city departments and utility companies have recently invested in implementing an online mapping system that allow all members to view each other projects and facilitate coordination of all projects within the Right-of-Way.	**	
2013-14	Rising Sea Levels...At Our Doorstep	1d. That City departments that would necessarily be involved in adaptation to rising sea levels, such as Department of Public Works, Public Utilities Commission, Municipal Transportation Agency, the Port, coordinate their projects with each other and with utility companies, such as PG&E, Comcast, and AT&T, to minimize inconvenience to the public, and to businesses, and to further avoid repetition of efforts and inefficient use of funds, labor, and time.	Planning Department	Recommendation Implemented	Currently, City departments coordinate projects with each other and with various utility companies according to procedures established many years ago. In fact, under the lead of DPW various city departments and utility companies have recently invested in implementing an online mapping system that allow all members to view each other projects and facilitate coordination of all projects within the Right-of-Way.	**	
2013-14	Rising Sea Levels...At Our Doorstep	1d. That City departments that would necessarily be involved in adaptation to rising sea levels, such as Department of Public Works, Public Utilities Commission, Municipal Transportation Agency, the Port, coordinate their projects with each other and with utility companies, such as PG&E, Comcast, and AT&T, to minimize inconvenience to the public, and to businesses, and to further avoid repetition of efforts and inefficient use of funds, labor, and time.	Port of San Francisco	Recommendation Implemented	Currently, City departments coordinate projects with each other and with various utility companies according to procedures established many years ago. In fact, under the lead of DPW various city departments and utility companies have recently invested in implementing an online mapping system that allow all members to view each other projects and facilitate coordination of all projects within the Right-of-Way.	**	
2013-14	Rising Sea Levels...At Our Doorstep	1d. That City departments that would necessarily be involved in adaptation to rising sea levels, such as Department of Public Works, Public Utilities Commission, Municipal Transportation Agency, the Port, coordinate their projects with each other and with utility companies, such as PG&E, Comcast, and AT&T, to minimize inconvenience to the public, and to businesses, and to further avoid repetition of efforts and inefficient use of funds, labor, and time.	Public Utilities Commission	Recommendation Implemented	Currently, City departments coordinate projects with each other and with various utility companies according to procedures established many years ago. In fact, under the lead of DPW various city departments and utility companies have recently invested in implementing an online mapping system that allow all members to view each other projects and facilitate coordination of all projects within the Right-of-Way.	**	
2013-14	Rising Sea Levels...At Our Doorstep	2a. The Planning Code should be amended to include maps showing the areas in the City that are most at risk from the impacts of sea level rise. The Planning Code should be amended to prohibit development in said at-risk areas unless there is compliance with the provisions of the City's Building Code and the Port's Building Code (if applicable to the project) outlined in Recommendations 3a and 3b. The Planning Code should include a provision that the amended sections of the Code regarding the impact of rising sea levels be reviewed and reassessed every 5 years.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	The San Francisco Public Utilities Commission (SFPUC) and Port have published maps depicting areas along San Francisco's bay and ocean shorelines that are potentially vulnerable to future flooding due to sea level rise through 2100. Furthermore, CEQA provides the Planning Department with sufficient authority to require projects to be designed to minimize and mitigate potential hazards related to impacts from sea level rise and thus amendments to the Planning Code are not warranted.	**	
2013-14	Rising Sea Levels...At Our Doorstep	2a. The Planning Code should be amended to include maps showing the areas in the City that are most at risk from the impacts of sea level rise. The Planning Code should be amended to prohibit development in said at-risk areas unless there is compliance with the provisions of the City's Building Code and the Port's Building Code (if applicable to the project) outlined in Recommendations 3a and 3b. The Planning Code should include a provision that the amended sections of the Code regarding the impact of rising sea levels be reviewed and reassessed every 5 years.	Planning Department	Requires Further Analysis	The SFPUC and Port have published maps depicting areas along San Francisco's bay and ocean shorelines that are potentially vulnerable to future flooding due to projected sea level rise through 2100. The Planning Department considers these maps in evaluating potential flood hazards for projects located in areas vulnerable to sea level rise under CEQA. In addition, the Federal Emergency Management Service is currently preparing a pilot study analyzing future coastal flood risks that account for sea-level rise as part of the California Coastal Analysis and Mapping Project Open Pacific Coast Study. The Planning Department will consider this study in evaluating sea level rise hazards for projects located in affected areas under CEQA. CEQA provides the Planning Department with sufficient authority to require projects to be designated to minimize and mitigate potential hazards related to sea level rise, and because maps of areas that are vulnerable to impacts from sea level rise have already been developed, amendments to the Planning Code to include such maps or to enforce flood resilient building standards for development in the affected areas may not be warranted. However, the City is currently evaluating whether to develop new policies addressing sea level rise. Such policies may include amendment to the Planning Code. As such, the recommended planning code amendments require further analysis.	Requires Further Analysis	The SFPUC and Port have published detailed maps depicting areas along San Francisco's bay and ocean shorelines that are potentially vulnerable to future flooding due to projected sea level rise through 2100. The Planning Department considers these maps in evaluating potential flood hazards for projects located in areas vulnerable to sea level rise under CEQA. In addition, the Federal Emergency Management Service is currently preparing a pilot study analyzing future coastal flood risks that account for sea-level rise as part of the California Coastal Analysis and Mapping Project Open Pacific Coast Study. The Planning Department will consider this study in evaluating sea level rise hazards for projects located in affected areas. Thus, maps of areas that are vulnerable to impacts from sea level rise have already been developed; amendments to the Planning Code to include such maps or to enforce flood resilient building standards for development in the affected areas may not be warranted. However, the City is currently evaluating whether to develop new policies addressing sea level rise under implementation of the SLRAP. Such policies may include amendment to the Planning Code. As such, the recommended planning code amendments require further analysis.
2013-14	Rising Sea Levels...At Our Doorstep	2b. The Planning Code should be amended to discourage permanent development in at risk areas where public safety cannot be protected.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	CEQA provides the Planning Department with the authority to require projects to be designed to minimize and mitigate potential hazards related to sea level rise.	**	
2013-14	Rising Sea Levels...At Our Doorstep	2b. The Planning Code should be amended to discourage permanent development in at risk areas where public safety cannot be protected.	Planning Department	Requires Further Analysis	CEQA provides the Planning Department with sufficient authority to require projects to be designed to minimize and mitigate potential hazards related to sea level rise. However, as stated above, the City is currently evaluating whether to develop new policies addressing sea level rise. Such policies may include amendment to the Planning Code. As such, the recommended planning code amendments require further analysis.	Requires Further Analysis	As stated above, the City is currently evaluating whether to develop new policies to address sea level rise. Such policies may include amendment to the Planning Code. As such, the recommended planning code amendments require further analysis.

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2013-14	Rising Sea Levels...At Our Doorstep	3. The City's Building Code and the Port's Building Code should be amended to include: (1) provisions addressing the impacts associated with sea level rise, especially when combined with storm surges and king tides; (2) construction methods that would ensure a project's resistance to and protection from the impacts of rising sea levels, especially when combined with sudden storm surges and king tides; (3) amendments written to protect the most vulnerable systems, including but not necessarily limited to, electrical, telecommunications, and fire protection systems; (4) provisions relating to rising sea levels be reviewed and reassessed every five years.	Board of Supervisors	Requires Further Analysis	City departments are actively working with one another and with regional and state agencies to evaluate and develop consistent guidance and policies to address sea level rise.	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference in Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On May 7, 2015, the Board held a hearing with the Mayor's Office (who spearheaded for Sea Level Rise Coordinating and Technical Committees) to investigate the recommendation and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2013-14	Rising Sea Levels...At Our Doorstep	3. The City's Building Code and the Port's Building Code should be amended to include: (1) provisions addressing the impacts associated with sea level rise, especially when combined with storm surges and king tides; (2) construction methods that would ensure a project's resistance to and protection from the impacts of rising sea levels, especially when combined with sudden storm surges and king tides; (3) amendments written to protect the most vulnerable systems, including but not necessarily limited to, electrical, telecommunications, and fire protection systems; (4) provisions relating to rising sea levels be reviewed and reassessed every five years.	Dept. Building Inspection	Requires Further Analysis	Although CEQA provides the City with sufficient authority to require projects to be designed to minimize and mitigate potential hazards related to sea level rise, City departments are working with one another and with regional and state agencies to evaluate and develop consistent guidance and policies to address sea level rise. This includes researching adaptation and resiliency measures implemented by other municipalities, including building and planning code changes; and considering incorporating similar changes to the City's codes. The sea level rise projections will continue to evolve as new science and prediction methods become available. Therefore, any future implementation of new building code provision will require specific, prescriptive changes that account for flexibility. Further analysis and coordination between scientific community and affected agencies must be performed to develop consistent, effective, and practical policies, including possibly building or planning code changes, to address sea level rise.	Will Not Be Implemented: Not Warranted or Not Reasonable	City's Grand Jury Response, per Mayor's transmission to Board of Supervisors, May 5, 2015: Building standards for development in flood prone areas are already provided in the City's Floodplain Management Ordinance. The Floodplain Management Ordinance applies to new development and substantial improvements to existing development that is located within the City's 100-year Flood Hazard Zone. FEMA is currently in the process of developing new flood hazard maps for the City's western shoreline that account for projected sea level rise under a West Coast Sea Level Rise Pilot Study, and it is anticipated that future flood hazard maps will account for flood risks related to sea level rise. Within our current regulatory structure, the California Environmental Quality Act provides the City with sufficient authority to require projects to be designated to minimize and mitigate potential flooding hazards related to sea level rise. The best investment that the City can make at this time is to examine the issue; build upon the newly issued "Guidance for Incorporating on Sea Level Rise into Capital Planning;" and create an action plan for longer term solutions beyond a building-by-building approach that the code changes would govern. While the Interdepartmental Coordinating and Technical Committee on sea level rise described in detail in our response to 12b below may consider building code changes in the future, that work will require further research and public dialog. As such, the recommended building code amendments are not appropriate at this time.
2013-14	Rising Sea Levels...At Our Doorstep	3. The City's Building Code and the Port's Building Code should be amended to include: (1) provisions addressing the impacts associated with sea level rise, especially when combined with storm surges and king tides; (2) construction methods that would ensure a project's resistance to and protection from the impacts of rising sea levels, especially when combined with sudden storm surges and king tides; (3) amendments written to protect the most vulnerable systems, including but not necessarily limited to, electrical, telecommunications, and fire protection systems; (4) provisions relating to rising sea levels be reviewed and reassessed every five years.	Planning Department	Requires Further Analysis	Although CEQA provides the City with sufficient authority to require projects to be designed to minimize and mitigate potential hazards related to sea level rise, City departments are working with one another and with regional and state agencies to evaluate and develop consistent guidance and policies to address sea level rise. This includes researching adaptation and resiliency measures implemented by other municipalities, including building and planning code changes; and considering incorporating similar changes to the City's codes. The sea level rise projections will continue to evolve as new science and prediction methods become available. Therefore, any future implementation of new building code provision will require specific, prescriptive changes that account for flexibility. Further analysis and coordination between scientific community and affected agencies must be performed to develop consistent, effective, and practical policies, including possibly building or planning code changes, to address sea level rise.	Requires Further Analysis	City departments are working with one another and with regional and state agencies to evaluate and develop consistent guidance and policies to address sea level rise. This includes researching adaptation and resiliency measures implemented by other municipalities, including building and planning code changes, and considering incorporating similar changes to the City's codes. The sea level rise projections will continue to evolve as new science and prediction methods become available. Therefore, any new building code provisions will require specific, prescriptive changes that account for flexibility. Further analysis and coordination between scientific community and affected agencies will be performed to develop consistent, effective, and practical policies, including possibly building or planning code changes, to address sea level rise.
2013-14	Rising Sea Levels...At Our Doorstep	3. The City's Building Code and the Port's Building Code should be amended to include: (1) provisions addressing the impacts associated with sea level rise, especially when combined with storm surges and king tides; (2) construction methods that would ensure a project's resistance to and protection from the impacts of rising sea levels, especially when combined with sudden storm surges and king tides; (3) amendments written to protect the most vulnerable systems, including but not necessarily limited to, electrical, telecommunications, and fire protection systems; (4) provisions relating to rising sea levels be reviewed and reassessed every five years.	Port of San Francisco	Requires Further Analysis	Although CEQA provides the City with sufficient authority to require projects to be designed to minimize and mitigate potential hazards related to sea level rise, City departments are working with one another and with regional and state agencies to evaluate and develop consistent guidance and policies to address sea level rise. This includes researching adaptation and resiliency measures implemented by other municipalities, including building and planning code changes; and considering incorporating similar changes to the City's codes. The sea level rise projections will continue to evolve as new science and prediction methods become available. Therefore, any future implementation of new building code provision will require specific, prescriptive changes that account for flexibility. Further analysis and coordination between scientific community and affected agencies must be performed to develop consistent, effective, and practical policies, including possibly building or planning code changes, to address sea level rise.	Requires Further Analysis	City departments are working with one another and with regional and state agencies to evaluate and develop consistent guidance and policies to address sea level rise. This includes researching adaptation and resiliency measures implemented by other municipalities, including building and planning code changes, and considering incorporating similar changes to the City's codes. The sea level rise projections will continue to evolve as new science and prediction methods become available. Therefore, any new building code provisions will require specific, prescriptive changes that account for flexibility. Further analysis and coordination between scientific community and affected agencies will be performed to develop consistent, effective, and practical policies, including possibly building or planning code changes, to address sea level rise.
2013-14	Rising Sea Levels...At Our Doorstep	4. The City should consult with BCDC at the onset of development plans within BCDC's jurisdiction to ensure equitable and efficient results without necessitating surplus expenditures and time.	Mayor	Recommendation Implemented	The City consults with BCDC throughout the planning and environmental review process and projects located within BCDC's regulatory jurisdiction.	**	
2013-14	Rising Sea Levels...At Our Doorstep	4. The City should consult with BCDC at the onset of development plans within BCDC's jurisdiction to ensure equitable and efficient results without necessitating surplus expenditures and time.	Planning Department	Recommendation Implemented	The City consults with BCDC throughout the planning and environmental review process and projects located within BCDC's regulatory jurisdiction.	**	
2013-14	Rising Sea Levels...At Our Doorstep	4. The City should consult with BCDC at the onset of development plans within BCDC's jurisdiction to ensure equitable and efficient results without necessitating surplus expenditures and time.	Port of San Francisco	Recommendation Implemented	The City consults with BCDC throughout the planning and environmental review process and projects located within BCDC's regulatory jurisdiction.	**	

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2013-14	Rising Sea Levels...At Our Doorstep	5. The City should consider implementation of recommendations that are most pertinent to the City, as set forth in the Ocean Beach Master Plan of May 2012.	Mayor or Mayor's Designated Agency	Recommendation Implemented	The City has considered implementation of the most pertinent recommendations set forth in the Ocean Beach Master Plan. SFPUC, MTA, DPW, and the Planning Department are actively working with SPUR, the California Coastal Commission other state and federal agencies and community stakeholders to implement the Ocean Beach Master Plan recommendations concerning coastal erosion hazards at Ocean Beach between Sloat and Skyline Boulevards.	**	
2013-14	Rising Sea Levels...At Our Doorstep	5. The City should consider implementation of recommendations that are most pertinent to the City, as set forth in the Ocean Beach Master Plan of May 2012.	Board of Supervisors	Recommendation Implemented	SFPUC, MTA, Department of Public Works (DPW) and the Planning Department are actively working with SPUR, the California Coastal Commission, and other state and federal agencies and community stakeholders to implement the Ocean Beach Master Plan recommendations concerning coastal erosion, and this work is ongoing.	**	
2013-14	Rising Sea Levels...At Our Doorstep	6. The Public Utilities Commission should build larger sewer pumps, sewer pipes, and sewer transport storage boxes surrounding the city in the near future to accommodate king tides, sudden surges, and sea level rise.	Public Utilities Commission	Will Be Implemented in the Future	The SFPUC levels of service incorporate climate change as a requirement for all projects implemented through the \$6.96 Sewer System Improvement Program (SSIP). A comprehensive Climate Change Adaptation Plan is currently being developed as part of the SSIP. Within this planning effort the SFPUC has conducted research of industry best science, has developed Sea Level Rise inundation maps for SF, and is researching what climate science is telling us about future storm intensity. These factors, with conditions unique to the Bayside and Westside, including the impact of King Tides, will inform the planning and design decisions for critical sewer assets.	Will Be Implemented in the Future	The department does not have additional updates to its most recent response dated August 22, 2014.
2013-14	Rising Sea Levels...At Our Doorstep	7. As an interim measure, the City should retrofit outfalls in the wastewater treatment system with backflow prevention devices to prevent salt water intrusion into the collection systems resulting from high tides, sudden surges, and rising sea level. Local pump stations should also be installed to raise the flow to sewer discharge structures with higher elevations.	Public Utilities Commission	Will Be Implemented in the Future	The projects associated with the SFPUC's SSIP include the installation of new backflow prevention devices on Combined Sewage Discharge outfalls on the Bayside that are impacted by high tides, sudden surges and rising sea level. SFPUC is presently piloting an installed device to serve as backflow preventer at one location and continuing design analysis to address all locations. Saltwater backflows do not occur at the Oceanside Plant and are not expected to be an issue in the future. Regarding pump stations, the SFPUC will monitor actual sea level rise and identify adaptation strategies as-needed.	Will Be Implemented in the Future	The department does not have additional updates to its most recent response dated August 22, 2014.
2013-14	Rising Sea Levels...At Our Doorstep	8. The Southeast Wastewater Treatment Plant should be retrofitted to accommodate future king tides, sudden surges, and sea level rise.	Public Utilities Commission	Will Be Implemented in the Future	Over the next 20 years, through proposed projects associated with the SSIP, the SFPUC plans to implement over \$2.5 billion related to improvements to the Southeast Wastewater Treatment Plant. These projects are all informed by predicted sea level rise elevations including King Tides and surges.	Will Be Implemented in the Future	The department does not have additional updates to its most recent response dated August 22, 2014.
2013-14	Rising Sea Levels...At Our Doorstep	9a. SFO should increase the height of its existing seawalls along its runways to accommodate rising sea levels.	SFO	Will Be Implemented in the Future	A shoreline protection feasibility study is being conducted by Moffatt and Nichol that will provide recommendations to SFO on immediate improvements needed to protect SFO from combined impacts of a 100 years flood and sea level rise. Immediate implementation including environmental review and permitting, design and construction will take place in the next 6-8 years to address a 100 year flood event. SFO is also planning on long term improvements to the entire seawall system to address sea level rise. Long term strategies, with implementation 10-15 years in duration, including upgrading of drainage pump stations to handle larger storm events and building seawalls with robust foundations that will allow future extensions to accommodate additional sea level rise.	Will Be Implemented in the Future	The Moffatt & Nichol feasibility study is complete and has identified deficiencies in the Airport's seawall system and has made recommendations on both near term flood protection measures as well as long term measures to protect against sea level rise. SFO has started the California Environmental Quality Act (CEQA) process and will start the design phase upon approval of CEQA. Near term flood protection will be implemented in the next 4-6 years to address a 100year flood risk. SFO is planning long term flood protection along the entire Airport perimeter to address sea level rise. The long term strategy with an implementation duration of 10 to 15 years include construction higher and more robust seawalls which will have the capacity to be raised over time as well as continued improvements to the Airport's interior drainage and pumping system.
2013-14	Rising Sea Levels...At Our Doorstep	9b. SFO should continue to improve measures to eliminate standing water on its runways to ensure they remain sufficiently above sea level.	SFO	Will Not Be Implemented : Not Warranted or Not Reasonable	SFO does not have an ongoing problem with standing water in our taxiways or runways. Occasionally, we have had temporary small pockets of standing water on our in-field or turf areas, but it only takes a short time for the pump station to catch up with the rainfall and drain these locations. Over the last ten years, SFO has spent \$26.4 million on pump station and storm drainage improvements, including \$18.8 million spent on our on-going Runway Safety Area program. As part of our on-going capital improvement plan, SFO is planning on investing \$22 million in storm drainage and pump station improvements over the next 5 years. SFO believes the combination of upgrading our storm drain pump stations and fortifying the perimeter seawalls is the best way to protect the runways from sea level rise.	**	
2013-14	Rising Sea Levels...At Our Doorstep	9c. The northern section of SFO should be analyzed by airport engineers to determine how best to protect its wastewater treatment plant and other infrastructure in that section from sea level rise.	SFO	Recommendation Implemented	SFO engineers are analyzing the best ways to protect the north field area, including the wastewater treatment plant and other infrastructure, as part of the feasibility study mentioned above.	**	
2013-14	Rising Sea Levels...At Our Doorstep	10a. The Port should begin planning and creating a timeline for construction of flood control barriers in the low spots along the edges of the piers to prevent waterfront flooding associated with sea level rise.	Port of San Francisco	Recommendation Implemented	The Port is currently scoping the level of effort for earthquake retrofit and flood protection improvements to the SF seawall. It is anticipated between 2014 and 2017 an earthquake vulnerability assessment as well as retrofit design concepts will be developed and funding secured. Between 2017 and 2030, individual sections of the retrofit will be designed and constructed.	**	
2013-14	Rising Sea Levels...At Our Doorstep	10b. To assist with the cost of protective measures to address sea level rise, the Port Commission should establish a reserve fund as part of its leasing policy whereby a surcharge is assessed as part of the rent or as a separate line item in each lease.	Port of San Francisco	Will Not Be Implemented : Not Warranted or Not Reasonable	The Port is currently seeking alternate funding sources from federal and state grant programs as well as including considerations of sea level rise in projects identified in the capital planning process. The U.S. Army Corps of Engineers is evaluating the SF seawall to determine if there is a federal interest in retrofitting the seawall, which could lead to federal matching funds through the federal Water Resources Development Act. By resolution 0125-13, the BOS adopted "Guidelines for the Establishment and use of an Infrastructure Financing District with Project Areas on Land under the Jurisdiction of San Francisco Port Commission" which state: "Any portion of the City's share of tax increment that the City allocated to the waterfront district from the project area but that is not required to fund eligible project-specific public facilities will be re-allocated to the City's General Fund or to improvements to the City's seawall and other measures to protect the City against sea level rise or other foreseeable risks to the City's waterfront." Infrastructure Financing District (IFD) law generally authorizes certain classes of public facilities to be financed through IFDs. The Legislature has broadened the types of authorized public facilities for waterfront districts to include 1) structural repairs and improvements to piers, seawalls, and wharves, and installations of piles 2) shoreline restoration, and 3) improvements which may be publicly owned, to protect against sea level rise. The Port is in the process of planning and implementing IFDs on Port property at Seawall Lot 337 in Mission Bay and Pier 70, and will likely pursue legislative authorization to form OFDs in other areas of the waterfront.	**	

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2013-14

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2013-14	Rising Sea Levels...At Our Doorstep	11a. The City should start a reserve fund for adaptation for rising sea levels, a portion of which could be obtained from a surcharge on development planned for areas vulnerable to said eventuality.	Mayor	Will Not Be Implemented : Not Warranted or Not Reasonable	A reserve fund for sea level rise adaptation is unnecessary since the Mayor and the BOS allocate capital funds on an annual basis. If policymakers did want to set aside funds, a reserve fund is not the only way of reserving City resources. Depending on the policy objective, a project, baseline, or Charter requirement could be more appropriate. However, any creation of a new reserve would need to be balanced against the loss of allocation flexibility for both the Mayor and the BOS. Based on the language of the recommendation, it is assumed that the Jury is asking for a surcharge on all development, public or private. It should be noted the Sea Level Rise Committee is in the process of creating guidelines for public development. A surcharge on private development has not been analyzed.	**	
2013-14	Rising Sea Levels...At Our Doorstep	11a. The City should start a reserve fund for adaptation for rising sea levels, a portion of which could be obtained from a surcharge on development planned for areas vulnerable to said eventuality.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	A reserve fund for sea level rise adaptation is unnecessary since the Mayor and the Board of Supervisors allocate capital funds on an annual basis, and the City's 10-year Capital Plan can incorporate efforts to address sea level rise through its annual budgeting process.	**	
2013-14	Rising Sea Levels...At Our Doorstep	11a. The City should start a reserve fund for adaptation for rising sea levels, a portion of which could be obtained from a surcharge on development planned for areas vulnerable to said eventuality.	City Administrator	Will Not Be Implemented : Not Warranted or Not Reasonable	A reserve fund for sea level rise adaptation is unnecessary since the Mayor and the BOS allocate capital funds on an annual basis. If policymakers did want to set aside funds, a reserve fund is not the only way of reserving City resources. Depending on the policy objective, a project, baseline, or Charter requirement could be more appropriate. However, any creation of a new reserve would need to be balanced against the loss of allocation flexibility for both the Mayor and the BOS. Based on the language of the recommendation, it is assumed that the Jury is asking for a surcharge on all development, public or private. It should be noted the Sea Level Rise Committee is in the process of creating guidelines for public development. A surcharge on private development has not been analyzed.	**	
2013-14	Rising Sea Levels...At Our Doorstep	11a. The City should start a reserve fund for adaptation for rising sea levels, a portion of which could be obtained from a surcharge on development planned for areas vulnerable to said eventuality.	Controller	Will Not Be Implemented : Not Warranted or Not Reasonable	A reserve fund for sea level rise adaptation is unnecessary since the Mayor and the BOS allocate capital funds on an annual basis. If policymakers did want to set aside funds, a reserve fund is not the only way of reserving City resources. Depending on the policy objective, a project, baseline, or Charter requirement could be more appropriate. However, any creation of a new reserve would need to be balanced against the loss of allocation flexibility for both the Mayor and the BOS. Based on the language of the recommendation, it is assumed that the Jury is asking for a surcharge on all development, public or private. It should be noted the Sea Level Rise Committee is in the process of creating guidelines for public development. A surcharge on private development has not been analyzed.	**	
2013-14	Rising Sea Levels...At Our Doorstep	11b. The City should assess costs of both implementation of adaptation strategies and potential losses from failing to do so.	Mayor	Recommendation Implemented	As part of the 2014 San Francisco Hazard Mitigation Plan, the City identified both natural and human-made hazards facing the City. The document formulated a plan to reduce losses from those hazards and established a process for implementing the plan. However, the 2014 HMP is not a comprehensive sea level rise plan, nor was it intended to be. It should be noted that the 2014 HMP includes the cost of several mitigation strategies either directly or closely related to sea level rise. The following are all high-priority mitigation actions that the City intends to implement during the five-year lifespan of the 2014 HMP, assuming funding availability. <ul style="list-style-type: none"> • Implement Phase I of the SFPUC's Sewer System Improvement Program (SSIP), including storm water management, flood control, and green infrastructure projects. Funding source: bond financing: \$75,000,000 approved over the next five years. • Continue the Great Highway Long-Term Stabilization program to respond to continuing beach erosion impacts along the Great Highway at Ocean Beach south of Sloat Boulevard. Estimated project timeframe: 4-5 years. Potential funding source: SFMTA and Federal Highway Administration (FHWA). Estimated cost: \$3,000,000 - \$5,000,000. • Upgrade segments of the San Francisco International Airport (SFO) shoreline protection system. Address gaps in the system that could allow the entry of floodwater, and address openings for storm water drainage that do not have closure devices, which could allow the entry of floodwaters. Upgrade seawalls to address sea level rise. Estimated project timeframe: 5 years. Potential funding source: Capit'l Planning/Federal Government. Estimated cost: \$60,000,000. • Upgrade storm drainage outfall pump stations 1A, 1B, and 1C to protect the SFO airfield from 100-year floods and sea level rise. Estimated project timeframe: 1-2 years. Potential funding source: TBD. Estimated cost: \$3,500,000. <p>The 2014 HMP does include a brief hazard profile for sea level rise as part of the HMP's climate change section, but does not contain an analysis of the city's vulnerability to sea level rise. This is because the 2014 HMP was completed before the Sea Level Rise Committee chose sea level rise maps for the City and agreed on the level of sea level rise they believe will impact the City. Future versions of the HMP will incorporate the more recent work of the Sea Level Rise Committee by updating the sea level rise hazard profile and by including a vulnerability analysis for sea level rise.</p>	**	
2013-14	Rising Sea Levels...At Our Doorstep	11b. The City should assess costs of both implementation of adaptation strategies and potential losses from failing to do so.	Board of Supervisors	Recommendation Implemented	The City identified both natural and man hazards facing the City as part of the 2014 San Francisco Hazard Mitigation Plan; future versions of the Hazard Mitigation Plan will incorporate the more recent work of the Sea Level Rise Committee by updating the sea level rise hazard profile and by including a vulnerability analysis for sea level rise.	**	

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2013-14

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	Rising Sea Levels...At Our Doorstep	11b. The City should assess costs of both implementation of adaptation strategies and potential losses from failing to do so.	City Administrator	Recommendation Implemented	<p>As part of the 2014 San Francisco Hazard Mitigation Plan, the City identified both natural and human-made hazards facing the City. The document formulated a plan to reduce losses from those hazards and established a process for implementing the plan. However, the 2014 HMP is not a comprehensive sea level rise plan, nor was it intended to be. It should be noted that the 2014 HMP includes the cost of several mitigation strategies either directly or closely related to sea level rise. The following are all high-priority mitigation actions that the City intends to implement during the five-year lifespan of the 2014 HMP, assuming funding availability.</p> <ul style="list-style-type: none"> • Implement Phase I of the SFPUC's Sewer System Improvement Program (SSIP), including storm water management, flood control, and green infrastructure projects. Funding source: bond financing: \$75,000,000 approved over the next five years. • Continue the Great Highway Long-Term Stabilization program to respond to continuing beach erosion impacts along the Great Highway at Ocean Beach south of Sloat Boulevard. Estimated project timeframe: 4-5 years. Potential funding source: SFMTA and Federal Highway Administration (FHWA). Estimated cost: \$3,000,000 - \$5,000,000. • Upgrade segments of the San Francisco International Airport (SFO) shoreline protection system. Address gaps in the system that could allow the entry of floodwater; and address openings for storm water drainage that do not have closure devices, which could allow the entry of floodwaters. Upgrade seawalls to address sea level rise. Estimated project timeframe: 5 years. Potential funding source: Capit. II Planning/Federal Government. Estimated cost: \$80,000,000. • Upgrade storm drainage outfall pump stations IA, IB, and IC to protect the SFO airfield from 100-year floods and sea level rise. Estimated project timeframe: 1-2 years. Potential funding source: TBD. Estimated cost: \$3,500,000. <p>The 2014 HMP does include a brief hazard profile for sea level rise as part of the HMP's climate change section, but does not contain an analysis of the city's vulnerability to sea level rise. This is because the 2014 HMP was completed before the Sea Level Rise Committee chose sea level rise maps for the City and agreed on the level of sea level rise they believe will impact the City. Future versions of the HMP will incorporate the more recent work of the Sea Level Rise Committee by updating the sea level rise hazard profile and by including a vulnerability analysis for sea level rise.</p>	**	
2013-14	Rising Sea Levels...At Our Doorstep	11b. The City should assess costs of both implementation of adaptation strategies and potential losses from failing to do so.	Controller	Recommendation Implemented	<p>As part of the 2014 San Francisco Hazard Mitigation Plan, the City identified both natural and human-made hazards facing the City. The document formulated a plan to reduce losses from those hazards and established a process for implementing the plan. However, the 2014 HMP is not a comprehensive sea level rise plan, nor was it intended to be. It should be noted that the 2014 HMP includes the cost of several mitigation strategies either directly or closely related to sea level rise. The following are all high-priority mitigation actions that the City intends to implement during the five-year lifespan of the 2014 HMP, assuming funding availability.</p> <ul style="list-style-type: none"> • Implement Phase I of the SFPUC's Sewer System Improvement Program (SSIP), including storm water management, flood control, and green infrastructure projects. Funding source: bond financing: \$75,000,000 approved over the next five years. • Continue the Great Highway Long-Term Stabilization program to respond to continuing beach erosion impacts along the Great Highway at Ocean Beach south of Sloat Boulevard. Estimated project timeframe: 4-5 years. Potential funding source: SFMTA and Federal Highway Administration (FHWA). Estimated cost: \$3,000,000 - \$5,000,000. • Upgrade segments of the San Francisco International Airport (SFO) shoreline protection system. Address gaps in the system that could allow the entry of floodwater; and address openings for storm water drainage that do not have closure devices, which could allow the entry of floodwaters. Upgrade seawalls to address sea level rise. Estimated project timeframe: 5 years. Potential funding source: Capit. II Planning/Federal Government. Estimated cost: \$80,000,000. • Upgrade storm drainage outfall pump stations IA, IB, and IC to protect the SFO airfield from 100-year floods and sea level rise. Estimated project timeframe: 1-2 years. Potential funding source: TBD. Estimated cost: \$3,500,000. <p>The 2014 HMP does include a brief hazard profile for sea level rise as part of the HMP's climate change section, but does not contain an analysis of the city's vulnerability to sea level rise. This is because the 2014 HMP was completed before the Sea Level Rise Committee chose sea level rise maps for the City and agreed on the level of sea level rise they believe will impact the City. Future versions of the HMP will incorporate the more recent work of the Sea Level Rise Committee by updating the sea level rise hazard profile and by including a vulnerability analysis for sea level rise.</p>	**	
2013-14	Rising Sea Levels...At Our Doorstep	11c. The City should explore applying for grants offered by Congress' Pre-Disaster Mitigation Program. Receipt of grants is based upon risk assessments that indicate that potential savings exceed the cost of implementation. The City should explore available matching funds from the Army Corps of Engineers and other federal sources.	Mayor	Recommendation Implemented	<p>The City has taken the necessary steps to qualify for an receive federal funding. Having FEMA approved HMP makes SF eligible for federal hazard and flood mitigation grant funding before and after a Presidentially-declared disaster. Additionally, the Port has explored various opportunities with the US Army Corps of Engineers (USACE). In December, 2012, the Port asked the USACE to conduct a study under the River of Harbor Act to determine feasibility of federally-assisted improvements to the SF seawall as a storm and flood protection structure. In May 2014, the Corps kicked off a Federal Interest Determination for a project under the Continuing Authorities Program (CAP) Section 103 Shoreline Protection. This funding source is for smaller projects that result in implementation, not study. The federal spending limit is \$3 million and the cost share is 65% Federal and 35% local.</p> <p>In 2010, the Port asked USACE for seawall assistance through the Water Resources and Development Act (WRDA) for maintenance and repair, liquefaction hazard mitigation, and flood protection. While the request has yet to find any success, the Port continues to actively pursue this funding option.</p>	**	
2013-14	Rising Sea Levels...At Our Doorstep	11c. The City should explore applying for grants offered by Congress' Pre-Disaster Mitigation Program. Receipt of grants is based upon risk assessments that indicate that potential savings exceed the cost of implementation. The City should explore available matching funds from the Army Corps of Engineers and other federal sources.	Board of Supervisors	Recommendation Implemented	<p>While this recommendation does not fall directly under the jurisdiction of the Board of Supervisors, the City and its various agencies have taken the necessary steps to qualify for and receive federal funding. Although some efforts have yet to find success, City departments will continue to actively pursue these and other funding options.</p>	**	

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2013-14	Rising Sea Levels...At Our Doorstep	11c. The City should explore applying for grants offered by Congress' Pre-Disaster Mitigation Program. Receipt of grants is based upon risk assessments that indicate that potential savings exceed the cost of implementation. The City should explore available matching funds from the Army Corps of Engineers and other federal sources.	Controller	Recommendation Implemented	The City has taken the necessary steps to qualify for an receive federal funding. Having FEMA approved HMP makes SF eligible for federal hazard and flood mitigation grant funding before and after a Presidentially-declared disaster. Additionally, the Port has explored various opportunities with the US Army Corps of Engineers (USACE). In December, 2012, the Port asked the USACE to conduct a study under the River of Harbor Act to determine feasibility of federally-assisted improvements to the SF seawall as a storm and flood protection structure. In May 2014, the Corps kicked off a Federal Interest Determination for a project under the Continuing Authorities Program (CAP) Section 103 Shoreline Protection. This funding source is for smaller projects that result in implementation, not study. The federal spending limit is \$3 million and the cost share is 65% Federal and 35% local. In 2010, the Port asked USACE for seawall assistance through the Water Resources and Development Act (WRDA) for maintenance and repair, liquefaction hazard mitigation, and flood protection. While the request has yet to find any success, the Port continues to actively pursue this funding option.	**	
2013-14	Rising Sea Levels...At Our Doorstep	11d. The City should request an insurance premium estimate from FEMA and then compare that estimate with the funding it could acquire from FEMA for mitigation and adaptation against future flooding.	Mayor	Will Be Implemented in the Future	Staff is currently pursuing all available opportunities to work with FEMA on sea level rise mitigation measures. A FEMA sea level rise workshop specifically for the City and County of San Francisco will be conducted this September.	Recommendation Implemented	Through the Sea Level Rise Coordinating Committee and the Sea Level Rise Action Plan, the City is working with FEMA on sea level rise mitigation measures, as FEMA updates mapping of flood risk in connection with the National Flood Insurance Program. A FEMA sea level rise workshop specifically for the City and County of San Francisco will be conducted this September.
2013-14	Rising Sea Levels...At Our Doorstep	11d. The City should request an insurance premium estimate from FEMA and then compare that estimate with the funding it could acquire from FEMA for mitigation and adaptation against future flooding.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	FEMA's National Flood Insurance Program (NFIP) does not offer flood coverage to municipalities; only to private property owners in jurisdictions that participate in the program	**	
2013-14	Rising Sea Levels...At Our Doorstep	11d. The City should request an insurance premium estimate from FEMA and then compare that estimate with the funding it could acquire from FEMA for mitigation and adaptation against future flooding.	City Administrator	Will Be Implemented in the Future	Staff is currently pursuing all available opportunities to work with FEMA on sea level rise mitigation measures. A FEMA sea level rise workshop specifically for the City and County of San Francisco will be conducted this September.	Recommendation Implemented	The City consulted with FEMA and determined that the City is ineligible to purchase Flood insurance through the NFIP. Foreseeable damage from Sea Level Rise is not an insurable risk in the open marketplace. The Mayor's Sea Level Rise Coordinating Committee recently released a Sea Level Action Plan in March of 2016 which calls for actions in 2017 to inform work with FEMA on mitigation and adaptation, including: 1. Continue to Advance the Science 2. Complete Citywide Vulnerability and Risk Assessments 3. Conduct Comprehensive Economic Risk Analysis 4. Plan for Adaptation with a Regional Resilience Design Challenge 5. Build Sea Level Rise Awareness and Adaptation Capacity 6. Review Policy and Financing Tools
2013-14	Rising Sea Levels...At Our Doorstep	11d. The City should request an insurance premium estimate from FEMA and then compare that estimate with the funding it could acquire from FEMA for mitigation and adaptation against future flooding.	Controller	Will Be Implemented in the Future	Staff is currently pursuing all available opportunities to work with FEMA on sea level rise mitigation measures. A FEMA sea level rise workshop specifically for the City and County of San Francisco will be conducted this September.	Will Be Implemented in the Future	The City and County of San Francisco is currently compiling a response to FEMA's proposed Flood Insurance Rate Map (FIRM) for San Francisco (and San Francisco International Airport). This could have significant implications for insurance requirements in designated Special Flood Hazard Areas in the City. Staff is currently participating in the FIRM review process and FEMA expects to issue a Letter of Final Determination in December 2016 with the FIRM to be effective in June 2017.
2013-14	Rising Sea Levels...At Our Doorstep	12a. The City, through its Mayor and Board of Supervisors, should coordinate its efforts with other cities and organizations in the bay area by establishing a regional working group to address the impact of rising sea levels.	Mayor	Recommendation Implemented	The City's Sea Level Rise Committee reached out to a number of other jurisdictions, including those in the bay Area, to assess SLR strategies being pursued in other locations. Committee members are presenting the City's draft Guidance in a number of regional forums and are exploring regional cooperation and collaboration opportunities. SFO in particular has focused on developing regional collaboration and SFO has reached out to stakeholders and neighboring communities to begin a dialog on adaption strategies. SFO jointly applied with San Mateo County for a climate ready grant from the State Coastal Conservancy and successfully won the grant to extend its current feasibility study to include San Bruno and Colma Creeks which empty into the bay immediately north of SFO. A working group including stakeholders from SFO, San Mateo County, BCDC, California State Coastal Conservancy, South San Francisco, San Bruno, Caltrans and SamTrans will begin meeting in August 2014 to address impacts of sea levels on the peninsula.	**	
2013-14	Rising Sea Levels...At Our Doorstep	12a. The City, through its Mayor and Board of Supervisors, should coordinate its efforts with other cities and organizations in the bay area by establishing a regional working group to address the impact of rising sea levels.	Board of Supervisors	Recommendation Implemented	The City's Sea Level Rise Committee reached out to a number of other jurisdictions to assess sea level rise strategies being pursued in other locations; and a working group including the Airport, San Mateo County, Bay Conservation and Development Commission, California Coastal Conservancy, and other stakeholders began meeting in August 2014 to address impacts of sea levels on the peninsula and will continue to do so.	**	

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2013-14	Rising Sea Levels... At Our Doorstep	12a. The City, through its Mayor and Board of Supervisors, should coordinate its efforts with other cities and organizations in the bay area by establishing a regional working group to address the impact of rising sea levels.	Planning Department	Recommendation Implemented	The City's Sea Level Rise Committee reached out to a number of other jurisdictions, including those in the bay Area, to assess SLR strategies being pursued in other locations. Committee members are presenting the City's draft Guidance in a number of regional forums and are exploring regional cooperation and collaboration opportunities. SFO in particular has focused on developing regional collaboration and SFO has reached out to stakeholders and neighboring communities to begin a dialog on adaption strategies. SFO jointly applied with San Mateo County for a climate ready grant from the State Coastal Conservancy and successfully won the grant to extend its current feasibility study to include San Bruno and Colma Creeks which empty into the bay immediately north of SFO. A working group including stakeholders from SFO, San Mateo County, BCD, California State Coastal Conservancy, South San Francisco, San Bruno, Caltrans and SamTrans will begin meeting in August 2014 to address impacts of sea levels on the peninsula.	**	
2013-14	Rising Sea Levels... At Our Doorstep	12b. The City should create a local working group of community citizens and stakeholders to feed into the regional group.	Mayor	Requires Further Analysis	We agree that community and stakeholder involvement in the process of adapting to sea level rise is essential. City agencies to date have spent the bulk of their time focused on technical issues such as what we know about sea level rise science, the state of the art in planning infrastructure resilience, and other technical subjects. As we get up to speed, we will turn our attention to greater involvement from communities, the private sector, and stakeholders as adaptation planning moving forward. The exact nature of the outreach and involvement has not yet been determined.	Recommendation Implemented	The City assembled the Sea Level Rise Coordinating Committee in March 2015, an interagency task force of 12 City departments co-chaired by San Francisco Planning and San Francisco Public Works to develop a comprehensive understanding of the threat of sea level rise and to create a decisive plan of action. The Sea Level Rise Action Plan is the Committee's first task. The Sea Level Rise Committee will work across the Bay Area to form strategic partnerships with local and regional stakeholders as collaborators to participate in the adaptation planning and implementation process.
2013-14	Rising Sea Levels... At Our Doorstep	12b. The City should create a local working group of community citizens and stakeholders to feed into the regional group.	Board of Supervisors	Will Be Implemented in the Future	The proposed work program for developing a comprehensive citywide sea level rise adaptation plan would provide for robust outreach to and collaboration with local and regional community members and stakeholders.	Recommendation Implemented	Pursuant to Charter, Section 2.114, the Non-Interference In Administration clause, the Board of Supervisors (Board) shall deal with administrative service or other functions only through the department head, elective or executive officer. On May 7, 2015, the Board held a hearing with the Mayor's Office and other City departments to investigate the recommendation and the departments position; and ultimately expressed support for the recommendation. The Board considers its responsibility required under the California Penal Code, Section 933.05(b) to "have been implemented" (corresponding language in the 2016 Action Plan column). The Board considers their response to have been sufficiently provided. The actual outcome of the implementation should be posed to the listed departments.
2013-14	Rising Sea Levels... At Our Doorstep	12b. The City should create a local working group of community citizens and stakeholders to feed into the regional group.	Planning Department	Requires Further Analysis	We agree that community and stakeholder involvement in the process of adapting to sea level rise is essential. City agencies to date have spent the bulk of their time focused on technical issues such as what we know about sea level rise science, the state of the art in planning infrastructure resilience, and other technical subjects. As we get up to speed, we will turn our attention to greater involvement from communities, the private sector, and stakeholders as adaptation planning moving forward. The exact nature of the outreach and involvement has not yet been determined.	Requires Further Analysis	We agree that community and stakeholder involvement in the process of adapting to sea level rise is essential. This action is specifically recommended by the SLRAP. City agencies to date have spent the bulk of their time focused on technical issues such as what we know about sea level rise science, the state of the art in planning infrastructure resilience, and other technical subjects. As we get up to speed, we will turn our attention to greater involvement from communities, the private sector, and stakeholders as adaptation planning moving forward. The exact nature of the outreach and involvement has not yet been determined. The Port has created a Waterfront Plan Working Group to guide a public planning process to update the Port's Waterfront Land Use Plan. That Working Group holds public meetings and has received extensive information and presentations on sea level rise and resilience, including the City's Sea Level Rise Action Plan. Comments and recommendations from the Waterfront Plan Update process will contribute to regional collaborations to address sea level rise.
2013-14	Survey of San Francisco Commission Websites	1a. The Mayor's Office on Disability should coordinate with commissions to ensure that statements for accommodation are easily located on commission websites.	Mayor's Office on Disability	Recommendation Implemented	Upon receipt of the list of boards and commissions from the City Attorney's Office, MOD staff conducted a review of the 39 commission websites. MOD found that the majority of the commission agenda's (32 out of 39) contained an accessibility notice, but at the initial review only 12 of the commission's websites had specific statements for disability accommodations. Subsequent to the review, MOD staff identified and contacted all commission secretaries and provided technical assistance via electronic mail and telephone call. To date, 35 out of the 39 commissions now feature an accessibility notice prominently on both their website and agenda material. Of the remaining four commissions, all agenda materials now feature the accessibility notices. Two are in the process of updating their website through their webmaster. And two failed to respond despite multiple attempts to reach them.	**	
2013-14	Survey of San Francisco Commission Websites	1b. When commission websites are developed to include language support, that support should be provided in the same languages used in the voter's guide.	Mayor's Office on Disability	Will Not Be Implemented : Not Warranted or Not Reasonable	As discussed previously, language support matters fall within the jurisdiction of the Office of Civic Engagement & Immigrant Affairs. They will be submitting a separate report addressing their efforts to implement language access.	**	
2013-14	Survey of San Francisco Commission Websites	1b. When commission websites are developed to include language support, that support should be provided in the same languages used in the voter's guide.	OCEIA (MOD referred item R1b to Office of Civic Engagement and Immigrant Affairs as they are responsible for language support.)	Will Not Be Implemented : Not Warranted or Not Reasonable	The LAO specifies which languages are required for language support by authorizing OCEIA to annually determine whether at least 10,000 Limited English Speaking residents speak a shared language other than English. This sets a threshold that three languages meet at this time: Chinese (both Cantonese and Mandarin), Spanish and Filipino (Tagalog). Departments covered under the LAO must provide services in these required languages. This information is validated each year using the best available data from the United States Census Bureau and/or other reliable sources. Departments may use a determination of five percent of Limited English Speaking Persons who use the Department's services Citywide to provide support in languages other than the three currently required. There are a number of issues with website based information and translating this information accurately in language: 1) The LAO does not require ALL information to be translated (only vital information is required) and does not reference website information at all; 2) not all members of the public have access to the internet or are able to read/understand/access or navigate information in written form; and 3) current and common usage of online translation tools are inaccurate, particularly for character-based languages such as Chinese. OCEIA has been working with City departments to develop better online tools and approaches even those this is not required by the LAO or ADA and issued a number of guidance's on language access.	**	

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2013-14	Survey of San Francisco Commission Websites	2. The Mayor should ensure that each commission posts its annual report on the commission website and provides a URL link to the SFPL, promptly.	Mayor	Will Be Implemented in the Future	By the end of the current fiscal year, a letter will be issued to all boards and commissions encouraging them to post their annual report on their website as well as send an e-copy of the report to the Library and the Board of Supervisors.	Recommendation Implemented	A letter was issued to all boards and commissions encouraging them to post their annual report on their website as well as send an e-copy of the report to the Library and the Board of Supervisors.
2013-14	Survey of San Francisco Commission Websites	3. All commissions should keep and post to their website a record of commissioner attendance. Maintenance of an ongoing record should be required.	Mayor	Will Not Be Implemented : Not Warranted or Not Reasonable	While boards and commissions should keep and post to their website a record of attendance, this recommendation must be implemented by the individual entities themselves and not the Mayor's Office.	**	
2013-14	Survey of San Francisco Commission Websites	4. The City Attorney should ensure that there is an annual list of active commissions that is accurate, complete and listed alphabetically.	City Attorney	Will Be Implemented in the Future	The City Attorney's Office will prepare a list of decision-making boards and commissions created by ordinance or City Charter. The Board of Supervisors, the Mayor, and City agencies sometimes create advisory bodies that have no policy-making authority and whose members are not required to file financial disclosures. The City Attorney's Office does not track those bodies and may not maintain a list of them.	Recommendation Implemented	The City Attorney's Office prepared a list of decision-making boards and commissions created by ordinance or City Charter. A list of them is maintained on the City Attorney's Office website. It was last updated on January 4, 2016.
2013-14	Ethics in the City: Promise, Practice or Pretense	1. The Jury recommends a contract with the Fair Political Practices Commission for at least a two-year pilot basis to enforce both state and related San Francisco law violations.	Ethics Commission	Will Not Be Implemented : Not Warranted or Not Reasonable	The Ethics Commission sees no need for this and it is possible that the Charter would prohibit such a contract. Currently, the FPCC is not allowed to do this under state law (a pilot program exists between the FPCC and the County of San Bernardino, but this is the only jurisdiction allowed under existing statute.)	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	1. The Jury recommends a contract with the Fair Political Practices Commission for at least a two-year pilot basis to enforce both state and related San Francisco law violations.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	While the Board of Supervisors does not have the authority to implement this recommendation, the Board broadly agrees that such an arrangement would likely improve enforcement, and encourages the Ethics Commission and other elected officials to pursue it.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	1. The Jury recommends a contract with the Fair Political Practices Commission for at least a two-year pilot basis to enforce both state and related San Francisco law violations.	City Attorney	Will Not Be Implemented : Not Warranted or Not Reasonable	The City Attorney's Office does not have the authority to implement Recommendation 1. If requested, the City Attorney's Office will assist the Ethics Commission with implementing this recommendation, though this recommendation may first require an amendment to state law, see Cal. Govt. Code section 83123.5.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	1. The Jury recommends a contract with the Fair Political Practices Commission for at least a two-year pilot basis to enforce both state and related San Francisco law violations.	District Attorney	Will Not Be Implemented : Not Warranted or Not Reasonable	The recommendation will not be implemented by the District Attorney. The District Attorney has no role in contracting on behalf of the City. Additionally, the enforcement authority of the Ethics Commission is governed by the San Francisco Charter (see Section 3.699-12).	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	2. The Board of Supervisors should request an independent audit by the City Attorney to determine whether prohibited contributions were forfeited to the City as required by law.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	While the Board supports this recommendation, implementing it will require an individual Supervisor to propose an audit, which should be conducted by the Controller's City Auditor Division with assistance from the City Attorney. While any Supervisor can undertake such an effort, collectively the Board cannot preemptively guarantee one of its members will choose to do so.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	2. The Board of Supervisors should request an independent audit by the City Attorney to determine whether prohibited contributions were forfeited to the City as required by law.	City Attorney	Will Not Be Implemented : Not Warranted or Not Reasonable	Recommendation 2 is a policy matter for the Board of Supervisors. If requested, the City Attorney's Office will assist the Board of Supervisors with implementing this recommendation (assuming sufficient budget authorization is provided to the City Attorney's Office to cover the costs of that review.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	3. The Jury recommends that the Ethics Commission and the Board of Supervisors act to enhance the Citizen's Right of Action to enforce all of the City's ethics laws, with an award of attorney fees and a share of any penalties going to the City for a successful filer, as was provided by Proposition J.	Ethics Commission	Will Be Implemented in the Future	The Ethics Commission will investigate to determine whether an enhancement to a Citizens Right of Action would accomplish the further assurance to the public that the laws would enforce.	Will Be Implemented in the Future	Beginning in early FY2017, the Ethics Commission anticipates undertaking a broad review of a range of policies and programs administered and enforced by the Commission to help strengthen the overall effectiveness of its Charter mandate. A review of items contained in Prop. J is anticipated to be part of that effort.
2013-14	Ethics in the City: Promise, Practice or Pretense	3. The Jury recommends that the Ethics Commission and the Board of Supervisors act to enhance the Citizen's Right of Action to enforce all of the City's ethics laws, with an award of attorney fees and a share of any penalties going to the City for a successful filer, as was provided by Proposition J.	City Attorney	Will Not Be Implemented : Not Warranted or Not Reasonable	Recommendation 3 is a policy matter for the Ethics Commission, the Board of Supervisors, and the Mayor. If requested, the City Attorney's Office will assist the Ethics Commission, the Board of Supervisors, and the Mayor with implementing this recommendation.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	3. The Jury recommends that the Ethics Commission and the Board of Supervisors act to enhance the Citizen's Right of Action to enforce all of the City's ethics laws, with an award of attorney fees and a share of any penalties going to the City for a successful filer, as was provided by Proposition J.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	The Board of Supervisors is not convinced that the existing private right of action needs to be broadened.	**	

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

Status of the Recommendations
by the Civil Grand Jury
2013-14

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	Ethics in the City: Promise, Practice or Pretense	4. That contract approval forms be converted to a format which allows searches by the name of the official, by the name of the contractor, the value of contracts and the date the contract was signed. Behested payments information should be filed electronically in a format that allows for searches and data aggregation. Form 700s should be formatted to allow data to be searched on income sources, outside employment, gift sources and travel.	Ethics Commission	Recommendation Implemented/Will Not Be Implemented : Not Warranted or Not Reasonable	<p>Converting each type of form into such a format requires expensive development of software platforms. This particular recommendation would be extremely expensive. Over time, the Commission plans to develop such platforms for most if not all filings it administers. Lack of funding for development means that the addition of the various forms will be done as recourses are made available. It should be noted, for example, that 2014 is the first time ever that all Form 700 financial disclosure filed with the Ethics Commission had to be submitted electronically. This was an important, but technically difficult step. Since there is no specified state electronic schema for these forms, creating a searchable database would be risky as it might not conform to state standards when they are eventually promulgated. But it is a desirable goal and will be accomplished eventually. Absent the proper software, data would have to be entered manually. This is unrealistic as the cost would be higher in terms of staff time and attendant issues would arise such as transfer error.</p> <p>The Commission has already made great progress in moving its many filings into electronic databases, and there should be no doubt that this will continue. SF is ahead of the majority of jurisdictions in this areas. For example, The New York Times recently noted that the Federal Elections Commission takes weeks and in some cases more than a month to process campaign finance filings of federal candidates, whereas in SF this information is processed in matter of minutes. (Note: this recommendation includes Behested Payment Forms, which are not filed with the Ethics Commission.)</p>	Recommendation Implemented / Will Be Implemented in the Future	As described in its February 22, 2016 <i>Blueprint for Accountability</i> budget document, the Ethics Commission has made a new "E-Filing Conversion Project" a top operational priority for FY2017 and 2018. This project recognizes the need to fully modernize how the public accesses all public disclosure filings with the Commission. It identifies a five-year time horizon for the development and phased-in implementation of a more comprehensive and fully searchable online framework for public filings with the Commission, with an estimated five year project cost of roughly \$1.5 million. In January 2016, Commission staff submitted an initial project proposal for project development funding to the City's Committee on Information Technology (COIT). On April 1, 2016, Commission staff presented a project proposal to COIT's Performance Sub-Committee. On May 8, 2016, the full COIT recommended the Commission's proposal with startup funding \$200,000 in FY 16-17 and \$150,000 in FY 17-18 for the initial two years for project development. Ultimately, funding approval will be required by the Board of Supervisors as part of the FY2017 and 2018 budgets. Separately, as a recommendation already implemented, Ethics Commission staff have been partnering with DataSF staff to ensure Form 700 data currently filed online with the Ethics Commission is available through the city's open data site. We anticipate that to occur by June 2016, providing accessibility for that data to be searched and analyzed in a variety of common data formats.
2013-14	Ethics in the City: Promise, Practice or Pretense	4. That contract approval forms be converted to a format which allows searches by the name of the official, by the name of the contractor, the value of contracts and the date the contract was signed. Behested payments information should be filed electronically in a format that allows for searches and data aggregation. Form 700s should be formatted to allow data to be searched on income sources, outside employment, gift sources and travel.	Ethics Commission Executive Director	Recommendation Implemented/Will Not Be Implemented : Not Warranted or Not Reasonable	<p>Converting each type of form into such a format requires expensive development of software platforms. This particular recommendation would be extremely expensive. Over time, the Commission plans to develop such platforms for most if not all filings it administers. Lack of funding for development means that the addition of the various forms will be done as recourses are made available. It should be noted, for example, that 2014 is the first time ever that all Form 700 financial disclosure filed with the Ethics Commission had to be submitted electronically. This was an important, but technically difficult step. Since there is no specified state electronic schema for these forms, creating a searchable database would be risky as it might not conform to state standards when they are eventually promulgated. But it is a desirable goal and will be accomplished eventually. Absent the proper software, data would have to be entered manually. This is unrealistic as the cost would be higher in terms of staff time and attendant issues would arise such as transfer error.</p> <p>The Commission has already made great progress in moving its many filings into electronic databases, and there should be no doubt that this will continue. SF is ahead of the majority of jurisdictions in this areas. For example, The New York Times recently noted that the Federal Elections Commission takes weeks and in some cases more than a month to process campaign finance filings of federal candidates, whereas in SF this information is processed in matter of minutes. (Note: this recommendation includes Behested Payment Forms, which are not filed with the Ethics Commission.)</p>	Recommendation Implemented / Will Be Implemented in the Future	As described in its February 22, 2016 <i>Blueprint for Accountability</i> budget document, the Ethics Commission has made a new "E-Filing Conversion Project" a top operational priority for FY2017 and 2018. This project recognizes the need to fully modernize how the public accesses all public disclosure filings with the Commission. It identifies a five-year time horizon for the development and phased-in implementation of a more comprehensive and fully searchable online framework for public filings with the Commission, with an estimated five year project cost of roughly \$1.3 million. In January 2016, Commission staff submitted an initial project proposal for project development funding to the City's Committee on Information Technology (COIT). On April 1, 2016, Commission staff presented a project proposal to COIT's Performance Sub-Committee. On May 8, 2016, the full COIT recommended the Commission's proposal with startup funding \$200,000 in FY 16-17 and \$150,000 in FY 17-18 for the initial two years for project development. Ultimately, funding approval will be required by the Board of Supervisors as part of the FY2017 and 2018 budgets. Separately, as a recommendation already implemented, Ethics Commission staff have been partnering with DataSF staff to ensure Form 700 data currently filed online with the Ethics Commission is available through the city's open data site. We anticipate that to occur by June 2016, providing accessibility for that data to be searched and analyzed in a variety of common data formats.
2013-14	Ethics in the City: Promise, Practice or Pretense	4. That contract approval forms be converted to a format which allows searches by the name of the official, by the name of the contractor, the value of contracts and the date the contract was signed. Behested payments information should be filed electronically in a format that allows for searches and data aggregation. Form 700s should be formatted to allow data to be searched on income sources, outside employment, gift sources and travel.	Chief Data Officer	Recommendation Implemented/Will Not Be Implemented : Not Warranted or Not Reasonable	<p>The Ethics Commission notes that they plan on implementing this recommendation over time as recourses become available.</p> <p>Converting each type of form into a searchable format requires the development of software platforms. Absent the proper software, data would have to be entered manually. Manual entry is an unattractive option for the Ethics Commission due to the cost of staff time and the potential for transfer error. It should be noted that 2014 is the first time that all Form 700 financial disclosures filed with the Ethics Commission had to be submitted electronically. Since there is no specified state electronic schema for these forms, creating a searchable database would be risky as it might not conform to state standards when they are eventually promulgated. SF is ahead of the majority of jurisdiction in this area and processes filings in a matter of minutes. The Federal Election Commission takes weeks and in some cases more than a month to process campaign finance filings of federal candidates.</p>	Recommendation Implemented / Will Be Implemented in the Future	The Ethics Commission is responsible for this recommendation. DataSF is available to assist the Ethics Commission when appropriate to publish data to the open data portal, including helping to publish electronically filed form 700s.
2013-14	Ethics in the City: Promise, Practice or Pretense	5. The Ethics Commission work to develop a common format database for data posted to DataSF, initially aiming to combine campaign, lobbying and Form 700 data.	Ethics Commission	Will Be Implemented in the Future	The Commission notes that the campaign and lobbyist data are already available in a common database format on DataSF. Form 700 is not on DataSF because a state data schema has yet to be defined by the Fair Political Practices Commission and the Commission will revisit this issue by February 2015.	Will Be Implemented in the Future	Please refer also to the response to Recommendation 4 above regarding the Commission's new E-Filing Conversion Project and the availability of Form 700 information currently filed in electronic format on DataSF. In addition, the Commission will be working in early FY2017 to resume steps needed to extend electronic filing requirement to all Form 700 filers in the City. This will include resuming discussions with applicable Bargaining Units first started in 2015 regarding electronic filing issues they raised related to filings by designated filers.
2013-14	Ethics in the City: Promise, Practice or Pretense	5. The Ethics Commission work to develop a common format database for data posted to DataSF, initially aiming to combine campaign, lobbying and Form 700 data.	Ethics Commission Executive Director	Will Be Implemented in the Future	The Commission notes that the campaign and lobbyist data are already available in a common database format on DataSF. Form 700 is not on DataSF because a state data schema has yet to be defined by the Fair Political Practices Commission and the Commission will revisit this issue by February 2015.	Will Be Implemented in the Future	Please refer also to the response to Recommendation 4 above regarding the Commission's new E-Filing Conversion Project and the availability of Form 700 information currently filed in electronic format on DataSF. In addition, the Commission will be working in early FY2017 to resume steps needed to extend electronic filing requirement to all Form 700 filers in the City. This will include resuming discussions with applicable Bargaining Units first started in 2015 regarding electronic filing issues they raised related to filings by designated filers.
2013-14	Ethics in the City: Promise, Practice or Pretense	5. The Ethics Commission work to develop a common format database for data posted to DataSF, initially aiming to combine campaign, lobbying and Form 700 data.	Chief Data Officer	Will Be Implemented in the Future	The Ethics Commission and its Executive Director note in their response that campaign and lobbyist data are already available in a common database format on DataSF. For 700 data is not on DataSF because the state data schema has yet to be defined by the Fair Political Practices Commission.	Will Be Implemented in the Future	The Ethics Commission is responsible for this recommendation. DataSF is in the midst of helping the Ethics Commission automate the publication of Form 700, when filed electronically, to the open data portal.

(1) **** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	Ethics in the City: Promise, Practice or Pretense	6a. The Ethics Commission should proactively look at ways to track back 501(c) (3) & (4) money to real donors before the start of campaigns where this kind of money will be important; its true source should be identified.	Ethics Commission	Recommendation Implemented	Effective July 1, 2014, a new state law requires "Multipurpose Organizations" including nonprofits and federal and out-of-state PACs spending on state and local elections to report as political committees and disclose those donors who are the sources of funds used for political purposes. However, absent qualifying as a campaign committee under state law, nonprofit organizations appear to be generally entitled to keep their donors confidential (ref. 26 USC 6103/6104/7431; NAACP vs Alabama, 357 US 449 [1958])	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	6b. The Ethics Commission should propose ordinance amendments to require disclaimers in mailings, ads, door hangers and other voter outreach materials funded by committees whose individual donors are not identified to the satisfaction of a reasonable person which state "this is paid for by (insert organization name) funded by anonymous donors in this campaign cycle."	Ethics Commission	Requires Further Analysis	The Ethics Commission requires further analysis of this recommendation and will include a discussion of the merits as part of its upcoming consideration of a package of proposals for changes in the Campaign Finance Reform Ordinance (CFRO) anticipated later this year.	Recommendation Implemented	As part of the CFRO amendments proposed by the Ethics Commission that were implemented in July 2015, all committees must now include the following statement on their communications: "Financial statements are available at ethics.org ." In addition, for primarily formed ballot measure committees and primarily formed candidate committees, an additional disclaimer requirement took effect that requires them to disclose the committee's top two donors of \$20,000 or more. This approach provides more specificity about top funding sources in political campaigns than referencing "anonymous donors" and points the public to where they may find the actual source of a committee's contributions.
2013-14	Ethics in the City: Promise, Practice or Pretense	7. The Ethics Commission should make guides and educational materials available in the major languages as is done in other City Departments.	Ethics Commission Executive Director	Will Be Implemented in the Future	The Commission will make guides in education materials as is done in other departments.	Recommendation Implemented / Will Be Implemented in the Future	Seventeen key documents regarding the Ethics Commission's services and programs have been translated into traditional Chinese, Spanish, and Tagalog. Documents that remain current will be posted on the Commission's new website, which is planned for rollout in early summer 2016. Language regarding the availability of translation and interpretation services has been translated, and also will be added to the Commission's new website at that time. A list of the 21 languages that the City provides telephonic interpretation for has been posted in the public area of the office. Software has been installed on a public computer at the Ethics Commission's that enables video remote interpreting in spoken languages and American Sign Language. Staff have been working with OCEIA to record a telephonic message about office hours and services, which is planned to roll out by early summer 2016. Protocols have been developed that Ethics Staff will follow if language services are requested. Staff submitted a written update to the Office of Civic Engagement and Immigrant Affairs (OCEIA) regarding the Commission's plans to ensure future compliance with the San Francisco Language Access Ordinance requirements before the October 1, 2015 deadline. In addition, the Ethics Commission will be reporting to OCEIA in October 2016 regarding requests for language translation and/or interpretation services.
2013-14	Ethics in the City: Promise, Practice or Pretense	8. The lobbyist ordinance should be reviewed and amended to provide clearer public disclosure of contacts with City officials regarding the interests of clients, and who should be required to register and make disclosures.	Ethics Commission	Will Be Implemented in the Future	The new definitions and provisions have been drafted into regulations by the Ethics Commission staff and will be reviewed by the Commission at its regular July 2014 meetings. These new provisions and regulations should be in effect by the end of the calendar year.	Recommendation Implemented	In July 2014, the Board of Supervisors amended the Lobbyist Ordinance to provide clearer public disclosure of contacts with public officials by reducing the monetary threshold and number of contacts to trigger lobbyist registration and reporting. The Commission adopted regulations to accompany the amendments in July 2014 to further clarify the changes and increase disclosure.
2013-14	Ethics in the City: Promise, Practice or Pretense	8. The lobbyist ordinance should be reviewed and amended to provide clearer public disclosure of contacts with City officials regarding the interests of clients, and who should be required to register and make disclosures.	Board of Supervisors	Recommendation Implemented	The Board of Supervisors this year approved Ordinance No. 98-14, which significantly strengthened lobbyist disclosure requirements.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	9. The requirement for disclosure of all expenditures aimed at influencing City Hall decisions should be reinstated in the law with full public disclosure.	Ethics Commission	Will Be Implemented in the Future	The Commission will ensure that any such measure is enforced. Within the next 12 months the Ethics Commission will consider re-examining whether or not there is a need to make further changes to the lobbying ordinance to enhance public disclosure of expenditures aimed at influencing City Hall decisions.	Recommendation Implemented	In 2015, the Commission developed a proposal to amend the Lobbyist Ordinance to impose reporting requirements on expenditure lobbyists that seek to engage members of the public to lobby City officials. At its June 29, 2015 meeting, the Commission voted unanimously to place this proposal – ultimately designated Proposition C – on the November 3, 2015 ballot. Proposition C was passed by the voters and became effective on February 1, 2016. After a further series of interested Persons meetings in late 2015 and early 2016, implementing regulations were approved by the Commission on February 28, 2016.
2013-14	Ethics in the City: Promise, Practice or Pretense	9. The requirement for disclosure of all expenditures aimed at influencing City Hall decisions should be reinstated in the law with full public disclosure.	Board of Supervisors	Will Not Be Implemented: Not Warranted or Not Reasonable	The lobbyist ordinance was recently strengthened by the Board of Supervisors, and the expenditure lobbyist definition was not reinstated, in part because of the history of this provision, as outlined by the Ethics Commission response	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	10. Work of "strategic advisors" that provide guidance on winning approvals from City officials and/or the public should be reviewed by the Ethics Commission for possible inclusion in the lobbyist registration and/or campaign consultant law.	Ethics Commission	Will Not Be Implemented: Not Warranted or Not Reasonable	Regulating activity that is not lobbying and that is not campaign consulting would appear to be outside of the Ethics Commission's jurisdiction since it would not involve government contacts or campaign activity.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	11. The Ethics Commission in conjunction with the City Attorney should develop a policy to ensure preservation of e-mails and text messages consistent with preservation of other public records. The policy, along with policies on preservation of public records, should be made available for public comment. Once it is completed and published it should be made available on City Attorney and Ethics Commission web pages that lists each Department, its policy, and how to obtain documents.	City Attorney	Will Not Be Implemented: Not Warranted or Not Reasonable	Recommendation 11 is a policy matter for the Ethics Commission and other appropriate City agencies, such as the Board of Supervisors and the Mayor. If requested, the City Attorney's Office will assist the Ethics Commission and other appropriate City agencies with the implementation of this recommendation, likely through legislation that would establish a City-wide protocol regarding preservation of public records.	**	

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2013-14	Ethics in the City: Promise, Practice or Pretense	11. The Ethics Commission in conjunction with the City Attorney should develop a policy to ensure preservation of e-mails and text messages consistent with preservation of other public records. The policy, along with policies on preservation of public records, should be made available for public comment. Once it is completed and published it should be made available on City Attorney and Ethics Commission web pages that lists each Department, its policy, and how to obtain documents.	Ethics Commission	Requires Further Analysis	Needs further analysis subject to an upcoming Supreme Court ruling. The City's document retention policy does not appear hazy. The Administrative Code requires each department to have its own policy and schedule regarding retention. The concept regarding the regulation of text messages is understandable, but compares to the regulation of telephone calls. The process for overseeing these activities seems untenable and would likely require increasingly resources, although it should be the subject of continued discussion. The questions and issues in the area of private texts and private e-mails are currently under debate in the California court system; the most current ruling states that these items are not in the public domain. However, the issue is now to be heard by the California Supreme Court. The subsequent ruling should dictate the City's course of action.	Requires Further Analysis	Further analysis remains pending as the CA Supreme Court has not yet ruled in the case referenced in the Ethics Commission's prior response. While the case has been fully briefed, no oral argument date has yet been set, so a realistic timetable for further action cannot be identified at this juncture. Once there has been a ruling by the Court, the Ethics Commission will work with the Office of the City Attorney on any next steps that are indicated by the Court's action.
2013-14	Ethics in the City: Promise, Practice or Pretense	11. The Ethics Commission in conjunction with the City Attorney should develop a policy to ensure preservation of e-mails and text messages consistent with preservation of other public records. The policy, along with policies on preservation of public records, should be made available for public comment. Once it is completed and published it should be made available on City Attorney and Ethics Commission web pages that lists each Department, its policy, and how to obtain documents.	Sunshine Ordinance Task Force	Will Be Implemented in the Future	A policy should be developed to ensure preservation of email and text messages consistent with applicable laws and modern business practices. Email and text messages sent to or from City officers or employees related to public business that have any meaningful content should be retained for at least 2 years (or longer if applicable). The Task Force, through its Education, Outreach, and Training Committee, intends to develop such a policy in conjunction with the City Attorney's Office and the Ethics Commission, with outreach to City agencies, boards, commissions, and departments, and subject to public comment.	-	Agency elected not to respond.
2013-14	Ethics in the City: Promise, Practice or Pretense	11. The Ethics Commission in conjunction with the City Attorney should develop a policy to ensure preservation of e-mails and text messages consistent with preservation of other public records. The policy, along with policies on preservation of public records, should be made available for public comment. Once it is completed and published it should be made available on City Attorney and Ethics Commission web pages that lists each Department, its policy, and how to obtain documents.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	by nature, such policy changes would be beyond the jurisdiction of the Board of Supervisors. The Board looks forward to upcoming work on this issue by the Sunshine Ordinance Task Force, the Ethics Commission and the City Attorney.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	12. The Jury recommends that the Ethics Commission and the Sunshine Ordinance Task Force review departmental web sites for compliance and notify non-compliant departments to immediately post their sources of outside funding, or face a show-cause before the Ethics Commission on why the information has not been posted.	Ethics Commission Executive Director	Will Be Implemented in the Future	The Commission Director will direct staff to notify all departments to remind officials and employees to follow this requirement and ensure that such postings are easy to locate on departmental website.	Requires Further Analysis	At present, Ethics Commission staff are employing a practice of reaching out to departments to remind them of the departmental web posting notice whenever an outside funding notice is received by the Commission offices. Establishing a periodic, broader, and more pro-active review of departmental websites to help ensure compliance would be desirable practice. That undertaking would be dependent on sufficient staffing resources at the Commission to sustain that practice. As noted in the Commission's FY2017 and 2018 budget document, "Blueprint for Accountability," the Commission has requested additional staff resources, including funding for two additional enforcement staff and two new policy positions. In addition, a series of internal program reviews are underway to identify gaps in policies and procedures, and identify effective practices to address those gaps. The outcome of these efforts, decisions from the FY2017 budget process, and any further policy direction from the Ethics Commission about key priorities it believes warrants attention, will all be factors that determine the agency's capacity to implement this practice in the coming year.
2013-14	Ethics in the City: Promise, Practice or Pretense	12. The Jury recommends that the Ethics Commission and the Sunshine Ordinance Task Force review departmental web sites for compliance and notify non-compliant departments to immediately post their sources of outside funding, or face a show-cause before the Ethics Commission on why the information has not been posted.	Sunshine Ordinance Task Force	Recommendation Implemented	The Task Force, through its Compliance and Amendments Committee and Education, Outreach, and Training Committee, continues to review the web sites of City agencies, boards, commissions, and departments based on complaints received. For example, the Task Force and its committees have discussed issues with the Arts Commission, Health Department, and Planning Department websites recently. However, limited resources have delayed a complete review of each website and the development of a content model as previously reported. The Task Force is preparing to send a memorandum to department heads reminding them of the requirement to post sources of outside funding on department websites.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	13. All violations of departmental Statements of Incompatible Activities should be disclosed to the Ethics Commission and posted on the Commission's web site.	Ethics Commission Executive Director	Will Not Be Implemented : Not Warranted or Not Reasonable	The Commission's position is that this cannot be implemented when it violates employee privacy rights. Additionally, only a narrow range of five types of employee misconduct is disclosable, and even then ONLY when such matters are "confirmed". The "Good Government Guide" indicates that the process for determining if such matters are confirmed is "unclear". Further, the Guide states that "The privacy issues pertaining to these types of personnel records can be complex, and other consideration in addition to privacy, such as the need to maintain effective investigations, may be relevant". The categories not exempt from disclosures are: 1.) personal dishonesty, 2.) misappropriation of public funds, resources or benefits, 3.) unlawful discrimination against another on the basis of status, 4.) abuse of authority, and 5.) violence. The disclosable categories are not necessarily addressed in each departmental SIA. Therefore, in order to carry out this recommendation, the Ethics Commission would have to take each reported case of employee misconduct, analyze whether it meets the disclosable threshold under local law, and then compare it with the requirements of the individual departmental SIA. There are at least 53 different departments SIAs in existence; administering this proposal would be both difficult and incredibly time consuming and possibly incite a legal challenge.	**	

(1) ** Response not required; Recommendation has been fully implemented or abandoned.

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2013-14	Ethics in the City: Promise, Practice or Pretense	13. All violations of departmental Statements of Incompatible Activities should be disclosed to the Ethics Commission and posted on the Commission's web site.	Ethics Commission	Will Not Be Implemented : Not Warranted or Not Reasonable	The Commission's position is that this cannot be implemented when it violates employee privacy rights. Additionally, only a narrow range of five types of employee misconduct is disclosable, and even then ONLY when such matters are "confirmed". The "Good Government Guide" indicates that the process for determining if such matters are confirmed is "unclear". Further, the Guide states that "The privacy issues pertaining to these types of personnel records can be complex, and other consideration in addition to privacy, such as the need to maintain effective investigations, may be relevant". The categories not exempt from disclosures are: 1.) personal dishonesty, 2.) misappropriation of public funds, resources or benefits, 3.) unlawful discrimination against another on the basis of status, 4.) abuse of authority, and 5.) violence. The disclosable categories are not necessarily addressed in each departmental SIA. Therefore, in order to carry out this recommendation, the Ethics Commission would have to take each reported case of employee misconduct, analyze whether it meets the disclosable threshold under local law, and then compare it with the requirements of the individual departmental SIA. There are at least 53 different departmental SIAs in existence; administering this proposal would be both difficult and incredibly time consuming and possibly incite a legal challenge.		
2013-14	Ethics in the City: Promise, Practice or Pretense	14a. The Ethics Commission should continue to routinely notify all non-filers of their obligation within 30 days of the state filing deadline.	Ethics Commission Executive Director	Recommendation Implemented	The Commission already does this.		
2013-14	Ethics in the City: Promise, Practice or Pretense	14a. The Ethics Commission should continue to routinely notify all non-filers of their obligation within 30 days of the state filing deadline.	Ethics Commission	Recommendation Implemented	The Commission already does this.		
2013-14	Ethics in the City: Promise, Practice or Pretense	14b. The Ethics Commission should recommend dismissal for any officer or employee who fails to file by the 90 day deadline for referral to the Fair Political Practices Commission.	Ethics Commission Executive Director	Will Be Implemented in the Future	If someone has failed to file within 90 days, the Ethics Commission will recommend to the appointing authority suspension of that person until they have filed.	Will Be Implemented in the Future	Beginning in early FY2017, the Ethics Commission anticipates undertaking a broad internal review of range of its policies and programs to assess and help strengthen the overall effectiveness of its programmatic mandates, including procedures related to the Form 700 filing process. To ensure program or policy gaps are identified, and effective practices are implemented to address those gaps, the Commission has requested funding for two new policy positions and two additional enforcement staff as part of a focused effort to begin to rightsize the organization with its FY2017 and 2018 budget request. The outcome of these efforts will be factors that shape the agency's capacity to effectively implement this policy and practice in the coming year.
2013-14	Ethics in the City: Promise, Practice or Pretense	14b. The Ethics Commission should recommend dismissal for any officer or employee who fails to file by the 90 day deadline for referral to the Fair Political Practices Commission.	Ethics Commission	Will Be Implemented in the Future	If someone has failed to file within 90 days, the Ethics Commission will recommend to the appointing authority suspension of that person until they have filed.	Will Be Implemented in the Future	Beginning in early FY2017, the Ethics Commission anticipates undertaking a broad internal review of range of its policies and programs to assess and help strengthen the overall effectiveness of its programmatic mandates, including procedures related to the Form 700 filing process. To ensure program or policy gaps are identified, and effective practices are implemented to address those gaps, the Commission has requested funding for two new policy positions and two additional enforcement staff as part of a focused effort to begin to rightsize the organization with its FY2017 and 2018 budget request. The outcome of these efforts will be factors that shape the agency's capacity to effectively implement this policy and practice in the coming year.
2013-14	Ethics in the City: Promise, Practice or Pretense	14c. The Ethics Commission should recommend dismissal for any officer or employee who files a Statement of Economic Interest that is inaccurate and relevant to the position they hold.	Ethics Commission Executive Director	Will Be Implemented in the Future	If someone has failed to file within 90 days, the Ethics Commission will recommend to the appointing authority suspension of that person until they have filed.	Will Be Implemented in the Future	Beginning in early FY2017, the Ethics Commission anticipates undertaking a broad internal review of range of its policies and programs to assess and help strengthen the overall effectiveness of its programmatic mandates, including procedures related to the Form 700 filing process. To ensure program or policy gaps are identified, and effective practices are implemented to address those gaps, the Commission has requested funding for two new policy positions and two additional enforcement staff as part of a focused effort to begin to rightsize the organization with its FY2017 and 2018 budget request. The outcome of these efforts will be factors that shape the agency's capacity to effectively implement this policy and practice in the coming year.
2013-14	Ethics in the City: Promise, Practice or Pretense	14c. The Ethics Commission should recommend dismissal for any officer or employee who files a Statement of Economic Interest that is inaccurate and relevant to the position they hold.	Ethics Commission	Will Be Implemented in the Future	If someone has failed to file within 90 days, the Ethics Commission will recommend to the appointing authority suspension of that person until they have filed.	Will Be Implemented in the Future	Beginning in early FY2017, the Ethics Commission anticipates undertaking a broad internal review of range of its policies and programs to assess and help strengthen the overall effectiveness of its programmatic mandates, including procedures related to the Form 700 filing process. To ensure program or policy gaps are identified, and effective practices are implemented to address those gaps, the Commission has requested funding for two new policy positions and two additional enforcement staff as part of a focused effort to begin to rightsize the organization with its FY2017 and 2018 budget request. The outcome of these efforts will be factors that shape the agency's capacity to effectively implement this policy and practice in the coming year.

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CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	Ethics in the City: Promise, Practice or Pretense	14d. Now that all Form 700 filers file electronically, the Ethics Commission should propose that they be filed with them as well as with the Department filing officer.	Ethics Commission Executive Director	Will Be Implemented in the Future	The Ethics Commission has already discussed doing this and it is an eventual goal. 2014 is the first year that Forms 700 filed with the Commission have been filed exclusively electronically. The Director notes that while this process was successful and resulted in only five non-filers as of this writing, it was also difficult to convert the many filers to a new process. The Commission needs a few years to settle into the new process but would like to introduce a change wherein all Form 700 filers in the City file directly with the Ethics Commission electronically. We envision doing this in the foreseeable future; a set timeframe is not possible because it will largely be determined by available funding.	Will Be Implemented in the Future	Currently, elected officials, department heads, and board and Commission members are required to file Form 700s electronically with the Ethics Commission. In 2015, the Ethics Commission initiated the process of requiring all designated Form 700 filers to file with the Ethics Commission. The Commission agreed to postpone that process, however, when Bargaining Unit representatives raised concerns about the impact of electronic filing with regard to designated filers whose job classifications they represent. Discussions did not continue later in 2015, as both the Ethics Commission and Bargaining Units were in a period of executive leadership transition. With the Ethics Commission's hiring now resolved, Ethics Commission Staff will be working to resume discussions with applicable Bargaining Units in early FY2017 regarding concerns they raised previously regarding extending electronic filing requirement to all Form 700 filers in the City.
2013-14	Ethics in the City: Promise, Practice or Pretense	14d. Now that all Form 700 filers file electronically, the Ethics Commission should propose that they be filed with them as well as with the Department filing officer.	Ethics Commission	Will Be Implemented in the Future	The Ethics Commission has already discussed doing this and it is an eventual goal. 2014 is the first year that Forms 700 filed with the Commission have been filed exclusively electronically. The Director notes that while this process was successful and resulted in only five non-filers as of this writing, it was also difficult to convert the many filers to a new process. The Commission needs a few years to settle into the new process but would like to introduce a change wherein all Form 700 filers in the City file directly with the Ethics Commission electronically. We envision doing this in the foreseeable future; a set timeframe is not possible because it will largely be determined by available funding.	Will Be Implemented in the Future	Currently, elected officials, department heads, and board and Commission members are required to file Form 700s electronically with the Ethics Commission. In 2015, the Ethics Commission initiated the process of requiring all designated Form 700 filers to file with the Ethics Commission. The Commission agreed to postpone that process, however, when Bargaining Unit representatives raised concerns about the impact of electronic filing with regard to designated filers whose job classifications they represent. Discussions did not continue later in 2015, as both the Ethics Commission and Bargaining Units were in a period of executive leadership transition. With the Ethics Commission's hiring now resolved, Ethics Commission Staff will be working to resume discussions with applicable Bargaining Units in early FY2017 regarding concerns they raised previously regarding extending electronic filing requirement to all Form 700 filers in the City.
2013-14	Ethics in the City: Promise, Practice or Pretense	15. The Ethics Commission should audit and act on violations disclosed through Form 700 filings of local prohibitions such as compensated advocacy and incompatible activities, and enforce these violations with strong action.	Ethics Commission	Recommendation Implemented	The Ethics Commission already does this. The Director notes that while we do not have the staffing resources to audit all Form 700 filings, we do review a portion of them based on investigative criteria, complaints filed and other information that is brought to our attention.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	15. The Ethics Commission should audit and act on violations disclosed through Form 700 filings of local prohibitions such as compensated advocacy and incompatible activities, and enforce these violations with strong action.	Ethics Commission Executive Director	Recommendation Implemented	The Ethics Commission already does this. The Director notes that while we do not have the staffing resources to audit all Form 700 filings, we do review a portion of them based on investigative criteria, complaints filed and other information that is brought to our attention.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	16. The Ethics Commission should require full disclosure of contributions or payments for official travel of City officials, including the actual amount contributed and the names of the original donors. The official should also disclose what official business was conducted, including meetings, who participated in the meetings, topics, speeches given, ceremonies attended and other information.	Ethics Commission	Requires Further Analysis	The Ethics Commission will conduct more analysis on this item in its upcoming plans for proposed changes to the Governmental Ethics Ordinance (GEO) anticipated next year. The BOS will need to concur.	Requires Further Analysis	While current law requires a description of the purpose of the trip and the itinerary, descriptions of the meetings, who participated in the meetings, and the meeting topics are not addressed. Speeches given and ceremonies attended are similarly not identified, although there have been instances where those have been reported with the purpose of travel. The Ethics Commission is currently examining a proposal related to gifts of travel by lobbyists to City officials and will consider those issues further at its May 23, 2016, Commission meeting. In addition, beginning in early FY2017, the Ethics Commission anticipates undertaking a broad internal review of range of its policies and programs to assess and help strengthen the overall effectiveness of its programmatic mandates, including procedures related to the disclosure of gifts of travel to City officials more generally. The outcome of the Commission's funding request for two new policy positions will be a key factor that shapes the agency's capacity to effectively evaluate this and similar policies and practices in the coming year.
2013-14	Ethics in the City: Promise, Practice or Pretense	16. The Ethics Commission should require full disclosure of contributions or payments for official travel of City officials, including the actual amount contributed and the names of the original donors. The official should also disclose what official business was conducted, including meetings, who participated in the meetings, topics, speeches given, ceremonies attended and other information.	Board of Supervisors	Will Not Be Implemented: Not Warranted or Not Reasonable	By nature, such policy changes would be beyond the jurisdiction of the Board of Supervisors. The Board looks forward to the additional analysis and recommendation of the Ethics Commission.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	17a. The Ethics Commission staff should collect the official calendars prepared under the Sunshine Ordinance monthly, convert them to electronic form and post them online.	Ethics Commission Executive Director	Will Not Be Implemented: Not Warranted or Not Reasonable	The Ethics Commission does not have the staffing resources to do this; other priorities are wanting already. The Ethics Commission recommends that departments should collect the official calendars prepared under the Sunshine Ordinance monthly, convert them to electronic form and post them online.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	17a. The Ethics Commission staff should collect the official calendars prepared under the Sunshine Ordinance monthly, convert them to electronic form and post them online.	Ethics Commission	Will Not Be Implemented: Not Warranted or Not Reasonable	The Ethics Commission does not have the staffing resources to do this; other priorities are wanting already. The Ethics Commission recommends that departments should collect the official calendars prepared under the Sunshine Ordinance monthly, convert them to electronic form and post them online.	**	

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2013-14	Ethics in the City: Promise, Practice or Pretense	17a. The Ethics Commission staff should collect the official calendars prepared under the Sunshine Ordinance monthly, convert them to electronic form and post them online.	Sunshine Ordinance Task Force	Will Not Be Implemented : Not Warranted or Not Reasonable	Having official calendars available at one central place or website-e.g., via the Ethics Commission's collection of official calendars, or on a central open data API-would facilitate the public's ability to locate those official calendars. This recommendation would shift responsibility from Department Heads to the Ethics Commission. However, there is no reason why various departments should not be responsible for making calendars on their own websites as well. Additionally, barring possible technology and resource barriers that are presently unknown to the SOTF, the SOTF can provide static links on its own website to the public calendars of all city departments and agencies. The SOTF, through its Compliance and Amendments Committee and/or its Education Outreach and Training Committee, intends in the next 6 months to review departments' and agencies' compliance and urge department heads to maintain their calendars permanently and post them on their websites no later than "three business days subsequent to the calendar entry date." The Task Force will also incorporate the Sunshine Ordinance's public calendar requirements into its education and outreach materials.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	17a. The Ethics Commission staff should collect the official calendars prepared under the Sunshine Ordinance monthly, convert them to electronic form and post them online.	City Attorney	Will Not Be Implemented : Not Warranted or Not Reasonable	Recommendation 17a is a policy matter for the Ethics Commission. If requested, the City Attorney's Office will assist the Ethics Commission with the implementation of this recommendation.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	17b. The City Attorney and the Ethics Commission ensure that those officials subject to the calendar requirement, and their administrative staff, be trained on the law's requirements.	Ethics Commission Executive Director	Will Be Implemented in the Future	The Director will work with the City Attorney's office to include this item in future annual Sunshine Trainings (although it does not apply to the vast majority of those who receive the training.)	Recommendation Implemented / Will Not Be Implemented: Not Warranted or Not Reasonable	In April 2015, the City Attorney's Office provided notice to officials required to comply with this provision and subsequent training materials also include information about this requirement. There is not currently a requirement that administrative staff be trained on its requirements. The Ethics Commission Director does not presently envision proposing one. Ensuring the attention and compliance by administrative staff to the requirement of the calendar law seems most appropriately the direct responsibility of the officials subject to its requirements, to whom the administrative staff report. As the administrative staff's appointing authority, those officials should provide, and be accountable for providing, clear information and establishing clear expectations for compliance in practice.
2013-14	Ethics in the City: Promise, Practice or Pretense	17b. The City Attorney and the Ethics Commission ensure that those officials subject to the calendar requirement, and their administrative staff, be trained on the law's requirements.	Ethics Commission	Will Be Implemented in the Future	The Director will work with the City Attorney's office to include this item in future annual Sunshine Trainings (although it does not apply to the vast majority of those who receive the training.)	Recommendation Implemented / Will Not Be Implemented: Not Warranted or Not Reasonable	In April 2015, the City Attorney's Office provided notice to officials required to comply with this provision and subsequent training materials also include information about this requirement. There is not currently a requirement that administrative staff be trained on its requirements. The Ethics Commission Director does not presently envision proposing one. Ensuring the attention and compliance by administrative staff to the requirement of the calendar law seems most appropriately the direct responsibility of the officials subject to its requirements, to whom the administrative staff report. As the administrative staff's appointing authority, those officials should provide, and be accountable for providing, clear information and establishing clear expectations for compliance in practice.
2013-14	Ethics in the City: Promise, Practice or Pretense	17b. The City Attorney and the Ethics Commission ensure that those officials subject to the calendar requirement, and their administrative staff, be trained on the law's requirements.	Sunshine Ordinance Task Force	Recommendation Implemented	The Task Force has not reviewed compliance with the department head calendar requirement as previously reported due to limited resources. The Task Force is preparing to send a memorandum to department heads reminding them of the department head calendar requirement. The Task Force is also considering recommending an ordinance to the Board of Supervisors to extend the department head calendar requirement to members of the Board of Supervisors. Finally, the Task Force, through its Education, Outreach, and Training Committee, still intends to conduct a larger review of all existing Sunshine Ordinance training materials and programs, as previously reported, as resources permit.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	17b. The City Attorney and the Ethics Commission ensure that those officials subject to the calendar requirement, and their administrative staff, be trained on the law's requirements.	City Attorney	Will Be Implemented in the Future	In cooperation with the Ethics Commission, the City Attorney's Office will implement this recommendation by including a discussion of the Sunshine Ordinance's calendar requirements in its bi-annual ethics and sunshine training.	Recommendation Implemented	While the City Attorney's Office (the "Office") initially proposed including discussion of the Sunshine Ordinance's calendar requirement in its bi-annual sunshine and ethics training, after further consideration, the Office decided to implement this recommendation in a more targeted manner. The vast majority of the officials required to attend the bi-annual sunshine and ethics training, i.e., members of City boards and commissions, are not subject to the Sunshine Ordinance's calendar requirement. Instead of the bi-annual training, on August 7, 2015, the Office distributed a detailed memorandum regarding the calendar requirement to the department heads and elected officials who must comply with this law. (A copy of this memorandum is attached.) In addition, the Office will incorporate a discussion of current version of the calendar requirement in the next version of the Office's Good Government Guide.
2013-14	Ethics in the City: Promise, Practice or Pretense	18. The Board of Supervisors should adopt a rule subjecting themselves to the public calendar requirement of the Sunshine Ordinance.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	As evidenced by the Civil Grand Jury report, Supervisors already willingly disclose their calendars	**	

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2013-14	Ethics in the City: Promise, Practice or Pretense	19. The Commission should grant or deny post-public employment restriction waiver applications by resolutions that indicate specifically how the decision meets the conditions of the ordinance.	Ethics Commission	Will Be Implemented in the Future	The Commission approves of this idea and will issue written resolution for future decisions when waivers are granted.	Recommendation Implemented	The Commission agrees that its analysis of and determinations regarding any post-employment restriction waivers should be fully transparent to provide accountability for exemption it provides to the City's revolving door restrictions. To that end, the Commission's process requires detailed written requests from those seeking waivers, including information about their prior City duties; how, in their view, the waiver would not create the potential for undue influence or unfair advantage; and an accounting of how not granting a waiver would cause extreme hardship for the City officer or employee. Written staff recommendations that are public documents accompany any waiver request, all of which are included in the meeting materials for the Commission meeting at which the waiver is considered. The Commission's discussions occur in open session, and each Commissioner must vote in public session whether or not to grant a waiver request. In so voting, the Commission must make a specific finding that granting such a waiver would not create the potential for undue influence or unfair advantage, or that imposing the restriction would cause extreme hardship for the individual requesting the waiver. The individual requestor is then provided with a written letter from the Commission that conveys those findings. In sum, these steps provide a clear accounting of the basis on which the Commission makes any waiver determinations with regard to post-employment matters. As the Commission assesses a range of policy and procedural matters in FY2017, its review will also include a look at current practices in this area to determine what if any further steps would be helpful to strengthen its transparency in this area.
2013-14	Ethics in the City: Promise, Practice or Pretense	20a. The Mayor's Office should establish a blue-ribbon committee of experts and stakeholders in open government, sunshine and transparency, including former Sunshine Task Force members. The Committee of Experts should review and update the Sunshine Ordinance as necessary and should report to both entities and the Board of Supervisors recommendations that would result in coordination and respect for the functions of each entity.	Sunshine Ordinance Task Force	Will Not Be Implemented: Not Warranted or Not Reasonable	The Task Force again notes its power and duty to "propose to the Board of Supervisors amendments to the Sunshine Ordinance," including the proposed ordinance discussed above regarding Recommendation 17b. The Task Force's Compliance and Amendments Committee is responsible for, among other things, recommending to the Task Force amendments to the Sunshine Ordinance. The Task Force, in turn, may recommend amendments to the Board of Supervisors. However, since the voters amended the Sunshine Ordinance in 1999 and did not provide for further amendments through the legislative process, most substantive amendments would have to go back to the voters for approval.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	20a. The Mayor's Office should establish a blue-ribbon committee of experts and stakeholders in open government, sunshine and transparency, including former Sunshine Task Force members. The Committee of Experts should review and update the Sunshine Ordinance as necessary and should report to both entities and the Board of Supervisors recommendations that would result in coordination and respect for the functions of each entity.	Mayor	Will Not Be Implemented: Not Warranted or Not Reasonable	The establishment of a new committee is not necessary to revise San Francisco campaign and ethics laws. The Ethics Commission can submit legislation directly to the Board of Supervisors. Additionally, proposed revisions to the Sunshine Ordinance can be offered by experts and stakeholders outside of the committee process. Most recently, Supervisor David Chiu proposed changes to the lobbying ordinance that were eventually approved by the Board of Supervisors.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	20a. The Mayor's Office should establish a blue-ribbon committee of experts and stakeholders in open government, sunshine and transparency, including former Sunshine Task Force members. The Committee of Experts should review and update the Sunshine Ordinance as necessary and should report to both entities and the Board of Supervisors recommendations that would result in coordination and respect for the functions of each entity.	Board of Supervisors	Will Not Be Implemented: Not Warranted or Not Reasonable	This recommendation is not directed to the Board of Supervisors. Any individual Supervisors could propose the creation of a task force legislatively.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	20a. The Mayor's Office should establish a blue-ribbon committee of experts and stakeholders in open government, sunshine and transparency, including former Sunshine Task Force members. The Committee of Experts should review and update the Sunshine Ordinance as necessary and should report to both entities and the Board of Supervisors recommendations that would result in coordination and respect for the functions of each entity.	Ethics Commission	Will Not Be Implemented: Not Warranted or Not Reasonable	The Ethics Commissions defers to the Mayor's office.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	20b. For now, arrangements should be made jointly by the Ethics Commission and the Sunshine Ordinance Task Force to have complaints heard by an independent hearing officer who would develop a consistent legally sufficient record of the case for the decision of each body. This would allow the meetings of the Task Force and the Commission to focus on broader policy issues.	Sunshine Ordinance Task Force	Will Not Be Implemented: Not Warranted or Not Reasonable	The Task Force has made substantial progress in reducing its backlog of cases and has, in fact, changed its complaint procedures to allow different and faster ways to address complaints. Further, the Ethics Commission reviewed its procedures for handling referrals from the Task Force and made new policy choices that will also allow more options for enforcement while continuing to give an appropriate level of deference to Task Force decisions. As such, the Task Force will not pursue the independent hearing officer idea further.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	20b. For now, arrangements should be made jointly by the Ethics Commission and the Sunshine Ordinance Task Force to have complaints heard by an independent hearing officer who would develop a consistent legally sufficient record of the case for the decision of each body. This would allow the meetings of the Task Force and the Commission to focus on broader policy issues.	Mayor	Will Not Be Implemented: Not Warranted or Not Reasonable	There is no procedure in the voter adopted Sunshine Ordinance to allow for adjudication of complaints by an independent hearing officer. The Ethics Commission is the officially appointed body that investigates referrals and complaints from the Sunshine Reform Task Force.	**	

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2013-14	Ethics in the City: Promise, Practice or Pretense	20b. For now, arrangements should be made jointly by the Ethics Commission and the Sunshine Ordinance Task Force to have complaints heard by an independent hearing officer who would develop a consistent legally sufficient record of the case for the decision of each body. This would allow the meetings of the Task Force and the Commission to focus on broader policy issues.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	This recommendation relates to the operation of the Sunshine Ordinance Task Force and the Ethics Commission, and is not directed at the Board of Supervisors;	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	20b. For now, arrangements should be made jointly by the Ethics Commission and the Sunshine Ordinance Task Force to have complaints heard by an independent hearing officer who would develop a consistent legally sufficient record of the case for the decision of each body. This would allow the meetings of the Task Force and the Commission to focus on broader policy issues.	Ethics Commission	Will Not Be Implemented : Not Warranted or Not Reasonable	The Ethics Commission does not agree with this recommendation and believes it is in the public's best interest to have the Commission continue to investigate and hear Sunshine Referrals and complaints. Further, there is no mechanism in the Sunshine Ordinance to do this.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	21. The Board of Supervisors should provide the Commissioners an Executive Secretary separate from the existing Commission's employee base who will, among other duties, prepare the Commission's agendas, maintain minutes, lists of complaints, serve as a liaison for public input and interested persons meetings and assist a Commission member to be the parliamentarian.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	The Board of Supervisors agrees that an additional staff member could improve the effectiveness of the Ethics Commission. The Board will consider this recommendation as part of the Ethics Commission's next budget. Unfortunately, the constraints imposed by the Civil Grand Jury response process do not allow the Board to officially say that this recommendation will be considered at a later date.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	21. The Board of Supervisors should provide the Commissioners an Executive Secretary separate from the existing Commission's employee base who will, among other duties, prepare the Commission's agendas, maintain minutes, lists of complaints, serve as a liaison for public input and interested persons meetings and assist a Commission member to be the parliamentarian.	Ethics Commission	Will Not Be Implemented : Not Warranted or Not Reasonable	The Ethics Commission's staffing priorities are for more investigators and auditors. The Commission notes that, while in an ideal world a Commission Secretary is desirable, for a commission this small it is not an urgent need.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	21. The Board of Supervisors should provide the Commissioners an Executive Secretary separate from the existing Commission's employee base who will, among other duties, prepare the Commission's agendas, maintain minutes, lists of complaints, serve as a liaison for public input and interested persons meetings and assist a Commission member to be the parliamentarian.	Ethics Commission Executive Director	Will Not Be Implemented : Not Warranted or Not Reasonable	The Ethics Commission's staffing priorities are for more investigators and auditors. The Commission notes that, while in an ideal world a Commission Secretary is desirable, for a commission this small it is not an urgent need.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	22. The Commissioners should use their committee structure to focus on Ethics Commission issues. In the weeks between monthly meetings, each commissioner could take the lead on issues of concern to the Ethics Commission, such as developing policies on emerging campaign finance issues, transparency matters, complaint processing and training. This structure would allow for more interaction with the public and the regulated community.	Ethics Commission	Will Be Implemented in the Future	The Commission will consider using committees on an as-needed basis. The committee system was designed for larger bodies. A commission of only five members using a committee system would likely entail a larger number of meetings unwieldy for such a small body and would result in redundant sessions. Commissioners are volunteers donating a great deal of their time and wisdom to the city and have managed to conduct business appropriately. As needed, special meetings have been conducted to move more sizable or difficult issues before the Commission. Even Roberts Rules of Order states that the formality necessary in a large assembly would hinder the business of a small board.	Recommendation Implemented	The Commission has used a Committee structure on an as-needed basis, for example during its 2016 recruitment and selection of a new Executive Director. In addition, individual Commissioners have taken the lead on issues in between regular Commission meetings. For example, preparing draft policies for consideration by the full body (such as Prop. C language in mid 2015); participating directly in public forums related to the Commission's Prop. C ballot measure proposal in the Fall of 2015; and conducting research and preparing analyses related to pressing issues (such as the review of recommendations from the 2014-15 Civil Grand Jury on strengthening the Whistleblower Protection Ordinance.)
2013-14	Ethics in the City: Promise, Practice or Pretense	23. That the Ethics Commission apply to the City Attorney for permission to engage outside counsel for advice and recommendations	Ethics Commission	Requires Further Analysis	This Ethics Commission is willing to discuss the merits of this with the City Attorney, but has concerns about continuity and costs. Under the Charter, it is ultimately not the Commission's decision to make.	Requires Further Analysis	Beginning in early FY2017, the Ethics Commission anticipates undertaking a broad review of range of policies and programs at the Commission to help strengthen its overall effectiveness in achieving its Charter mandates. Should those discussions touch on or address any structural issues such as Recommendation 23, the Commission would be willing to discuss the merits of those proposals with the City Attorney's Office and others, as any such change would require considered review and amendment of the city Charter.
2013-14	Ethics in the City: Promise, Practice or Pretense	23. That the Ethics Commission apply to the City Attorney for permission to engage outside counsel for advice and recommendations	Ethics Commission Executive Director	Requires Further Analysis	This Ethics Commission is willing to discuss the merits of this with the City Attorney, but has concerns about continuity and costs. Under the Charter, it is ultimately not the Commission's decision to make.	Requires Further Analysis	Beginning in early FY2017, the Ethics Commission anticipates undertaking a broad review of range of policies and programs at the Commission to help strengthen its overall effectiveness in achieving its Charter mandates. Should those discussions touch on or address any structural issues such as Recommendation 23, the Commission would be willing to discuss the merits of those proposals with the City Attorney's Office and others, as any such change would require considered review and amendment of the city Charter.
2013-14	Ethics in the City: Promise, Practice or Pretense	23. That the Ethics Commission apply to the City Attorney for permission to engage outside counsel for advice and recommendations	City Attorney	?	Partially disagree. As explained above, the Ethics Commission has rarely requested or relied on outside counsel to step into the shoes of the City Attorney's Office for particular matters. As this history reflects, there is no need for the Ethics Commission to apply to the City Attorney for permission to engage outside counsel, except in extremely rare circumstances. Notably, the Ethics Commission cannot freely engage its own outside counsel. Charter section 15.102 mandates that the City Attorney serve as "the legal advisor of the Commission." The Charter also sets out a specific procedure by which any elected official, department head, board or commission may request outside counsel. The Ethics Commission may employ this process, but only if it has reason to believe that the City Attorney has "a prohibited financial conflict of interest under California law or a prohibited ethical conflict of interest under the California Rules of Professional Conduct." See S.F. Charter 66.102(1). Since the voters adopted section 6.102 in 2001, the Ethics Commission has not invoked this procedure.	Will Not Be Implemented: Not Warranted or Not Reasonable	As explained in the Office's previous response, Charter Section 15.102 expressly prescribes that the City Attorney shall serve as "the legal advisor of the Commission." And to the extent that the City Attorney's Office has a conflict of interest, Charter Section 6.102 establishes a process by which City clients may request outside counsel; notably, the Ethics Commission has never invoked this process. In the two years since the Office provided its initial response to this recommendation, the Ethics Commission - consistent with its past approach - has not requested or expressed any interest in seeking outside counsel. Based on the Charter and practical experience, the Office respectfully disagrees with this recommendation and will continue to advise the Ethics Commission.

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2013-14	Ethics in the City: Promise, Practice or Pretense	24. The Mayor and the Board of Supervisors should request an annual written report from the Ethics Commission that meets the standards set out in the Charter for annual reviews of the effectiveness of the City's laws. This report should be posted on the Ethics Commission web site.	Board of Supervisors	Recommendation Implemented	In its response to the Civil Grand Jury Report, the Ethics Commission indicated that it will provide such a report	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	24. The Mayor and the Board of Supervisors should request an annual written report from the Ethics Commission that meets the standards set out in the Charter for annual reviews of the effectiveness of the City's laws. This report should be posted on the Ethics Commission web site.	Mayor	Will Not Be Implemented : Not Warranted or Not Reasonable	This recommendation appears unnecessary. The City Charter mandates an annual review of law effectiveness, not a written review. The Ethics Commission and the Executive Director communicate to the Mayor and the Board through memos, oral testimony and in-person meetings and the Annual Report.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	24. The Mayor and the Board of Supervisors should request an annual written report from the Ethics Commission that meets the standards set out in the Charter for annual reviews of the effectiveness of the City's laws. This report should be posted on the Ethics Commission web site.	Ethics Commission	Will Be Implemented in the Future	The Commission will provide a report.	Will Be Implemented in the Future	The Commission strongly agrees that it should provide regular and comprehensive reports to the Mayor and Board about the effectiveness of City laws it is charged with administering and enforcing. The Commission's desire to provide improved transparency about its operations and programs are illustrated by its February 22, 2016 FY2017 and 2018 budget request document, "Blueprint for Accountability," and its most recent March 2016 "Report on Limited Public Financing in the 2015 City Elections." Beginning in early FY2017, the Ethics Commission anticipates undertaking a broad internal review of range of its policies and programs to assess and help strengthen the overall impact and effectiveness the laws within its jurisdiction. These reviews will be designed to identify gaps in policies and identify the most effective ways to address those gaps and strengthen the effectiveness of the City's political reform laws. Policy recommendations resulting from these efforts will be forwarded to the Board and Mayor. It should be noted that this undertaking will be largely dependent on sufficient staffing resources. As noted in the Commission's "Blueprint for Accountability," the Commission has requested additional staff resources, including funding for two additional enforcement staff and two new policy positions. The outcome of these efforts, decisions from the FY2017 budget process, and any further policy direction from the Ethics Commission about key priorities it believes warrants attention, will all be factors that determine the agency's capacity to implement a regular practice of reporting fully and meaningfully about the impact of city laws.
2013-14	Ethics in the City: Promise, Practice or Pretense	25. The Ethics Commission should begin to focus Staff resources on monitoring and auditing other items within the Ethics Commission jurisdiction unrelated to campaigns such as the following ordinances: Conflict of Interest, Governmental Ethics, The Lobbyist Ordinance, Campaign Consultant Ordinance and the Sunshine Ordinance.	Ethics Commission	Recommendation Implemented	Provided with sufficient resources, more work in the area will be accomplished. The Commission staff does much more of this work than the finding indicates, but lacks the staff and resources to do this work on a comprehensive basis. As it is, the staff can only audit a few non-publicly financed campaigns each year due to resource limitations. The Commission notes that additional auditors are needed just for campaign finance; extending the audit reach is a desirable notion, but like many of these recommendations, this one comes with costs but no suggestions on how to meet them. Note: recent changes in the lobbyist ordinance will require audits of lobbyist in the future.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	25. The Ethics Commission should begin to focus Staff resources on monitoring and auditing other items within the Ethics Commission jurisdiction unrelated to campaigns such as the following ordinances: Conflict of Interest, Governmental Ethics, The Lobbyist Ordinance, Campaign Consultant Ordinance and the Sunshine Ordinance.	Ethics Commission Executive Director	Recommendation Implemented	Provided with sufficient resources, more work in the area will be accomplished. The Commission staff does much more of this work than the finding indicates, but lacks the staff and resources to do this work on a comprehensive basis. As it is, the staff can only audit a few non-publicly financed campaigns each year due to resource limitations. The Commission notes that additional auditors are needed just for campaign finance; extending the audit reach is a desirable notion, but like many of these recommendations, this one comes with costs but no suggestions on how to meet them. Note: recent changes in the lobbyist ordinance will require audits of lobbyist in the future.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	25. The Ethics Commission should begin to focus Staff resources on monitoring and auditing other items within the Ethics Commission jurisdiction unrelated to campaigns such as the following ordinances: Conflict of Interest, Governmental Ethics, The Lobbyist Ordinance, Campaign Consultant Ordinance and the Sunshine Ordinance.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	This recommendation is within the jurisdiction of the Ethics Commission; however, the Board of Supervisors should consider providing additional resources in the next budget process	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	26. The Ethics Commission should determine information reported elsewhere that is relevant for supplemental understanding of information currently reported locally, and provide links to it on the Ethics Commission web site, if it cannot be imported and posted.	Ethics Commission	Recommendation Implemented	The Commission already provides links to the Secretary of State's CAL-Access database and material on the Fair Political Practices Commission website. The Ethics Commission Staff will continue to link to other relevant websites where appropriate. The Commission adds that this should be noted ha the Commissions website is already considered among the best and most comprehensive sites in the county.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	26. The Ethics Commission should determine information reported elsewhere that is relevant for supplemental understanding of information currently reported locally, and provide links to it on the Ethics Commission web site, if it cannot be imported and posted.	Ethics Commission Executive Director	Recommendation Implemented	The Commission already provides links to the Secretary of State's CAL-Access database and material on the Fair Political Practices Commission website. The Ethics Commission Staff will continue to link to other relevant websites where appropriate. The Commission adds that this should be noted ha the Commissions website is already considered among the best and most comprehensive sites in the county.	**	
2013-21	Ethics in the City: Promise, Practice or Pretense	26. The Ethics Commission should determine information reported elsewhere that is relevant for supplemental understanding of information currently reported locally, and provide links to it on the Ethics Commission web site, if it cannot be imported and posted.	Chief Data Officer	Recommendation Implemented	The Commission's website is already considered among the best and most comprehensive sites in the county. Links to the Secretary of States CAL-Access database and material on the Fair Political Practices Commission web sites are easy to access. The website will continue to link to other relevant websites where appropriate.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	27. When a bill is proposed or passed to amend campaign finance and ethics laws, it should specify how it "furthers the purposes of this Chapter".	Ethics Commission	Recommendation Implemented	All proposed changes to existing ordinances are accompanied by comprehensive staff memoranda explaining the details and purposes of the proposed changes.	**	

(1) ** Response not required; Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2014 Response	Original 2014 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2013-14	Ethics in the City: Promise, Practice or Pretense	27. When a bill is proposed or passed to amend campaign finance and ethics laws, it should specify how it "further the purposes of this Chapter".	Ethics Commission Executive Director	Recommendation Implemented	All proposed changes to existing ordinances are accompanied by comprehensive staff memoranda explaining the details and purposes of the proposed changes.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	27. When a bill is proposed or passed to amend campaign finance and ethics laws, it should specify how it "further the purposes of this Chapter".	Board of Supervisors	Recommendation Implemented	The Board of Supervisors believes that individual Supervisors will ask the City Attorney to include such findings in future legislation.	**	
2013-25	Ethics in the City: Promise, Practice or Pretense	27. When a bill is proposed or passed to amend campaign finance and ethics laws, it should specify how it "further the purposes of this Chapter".	City Attorney	Will Not Be Implemented : Not Warranted or Not Reasonable	Recommendation 27 is a policy matter for the Ethics Commission and the Board of Supervisors. If requested, the City Attorney's Office will assist the Ethics Commission and the Board of Supervisors with implementation of this recommendation.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	28. That the Commission hold hearings, whether through their committees or in the full Commission, to ask the public to report matters that appear improper, then call the responsible officials before the Commission to account for and defend their actions.	Ethics Commission	Will Not Be Implemented : Not Warranted or Not Reasonable	Allowing anyone to force public officials to appear before the Ethics Commission to defend themselves against charges invites anyone with personal agendas to create punitive actions against public officials-at will whether there is a basis or not for such accusations. This proposal does not regard actual law-breaking, but merely the appearance of impropriety and calls Constitutional issues directly into consideration.	**	
2013-14	Ethics in the City: Promise, Practice or Pretense	29. That the Ethics Commission hold a hearing on "Proposition J Revisited" to consider how some of its concepts apply today and whether the "public benefit" definition includes elements that should be incorporated into sections of the C&GCC, and specifically consider offering amendments to C&GCC which re-incorporate its Findings and Declarations into current San Francisco law, and to consider placing these amendments on the ballot.	Ethics Commission	Requires Further Analysis	City laws prevent all City officials and employees from accepting anything of value for the duties they perform. In addition, local ordinance identifies a number of "restricted sources" who may not make donations to candidate and office holders. Note: The language in Prop J was determined to be unconstitutional by the LA Superior Court in 2002. That ruling still stands and there is no reason to believe that it would fare different in SF, indicating that a measure to readopt Prop J, as written would be fruitless. The Commission intends to include this use as part of a larger discussion of the conflict of interest and campaign finance rules.	Requires Further Analysis	The Commission agrees that periodic reviews of its laws are necessary to ensure they remain strong, workable, and effective in meeting the policy goals for which they are established. Beginning in early FY2017, the Ethics Commission anticipates undertaking a broad review of a range of policies and programs administered and enforced by the Commission to help strengthen the overall effectiveness of its Charter mandate. A review of items contained in Prop. J is anticipated to be part of that effort.
2013-14	Ethics in the City: Promise, Practice or Pretense	29. That the Ethics Commission hold a hearing on "Proposition J Revisited" to consider how some of its concepts apply today and whether the "public benefit" definition includes elements that should be incorporated into sections of the C&GCC, and specifically consider offering amendments to C&GCC which re-incorporate its Findings and Declarations into current San Francisco law, and to consider placing these amendments on the ballot.	Board of Supervisors	Will Not Be Implemented : Not Warranted or Not Reasonable	This recommendation is directed at the Ethics Commission, though individual Supervisors could also call a hearing on the matter. The Board recognizes the legislative history outlined by the Ethics Commission.	**	

(1) **** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2015 Response	Original 2015 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2014-15	San Francisco's City Construction Program: It Needs Work	R1. NONE	NONE			**	
2014-15	San Francisco's City Construction Program: It Needs Work	R2. The BOS should amend Chapter 6 of the Administrative Code to require contractor performance as an additional criterion for construction contracts.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	The Board cannot commit to timing or outcome of future legislation.	**	
2014-15	San Francisco's City Construction Program: It Needs Work	R3. The CGJ recommends that the proposed Chapter 6 amendment make past performance a construction award criterion for all future City construction contracts including LBE subcontracts.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	The Board cannot commit to timing or outcome of future legislation.	**	
2014-15	San Francisco's City Construction Program: It Needs Work	R3. The CGJ recommends that the proposed Chapter 6 amendment make past performance a construction award criterion for all future City construction contracts including LBE subcontracts.	Mayor	Will be implemented in the future	The six Chapter 6 departments (Airport, Public Works, Port, Recreation and Park, SFMTA, and SFPUC), are committed to improving the pool of contractors who bid on City construction projects. In conjunction with the City Attorney and the Office of the Controller, the Chapter 6 departments are actively working to revise Chapter 6 to require performance evaluations and to devise procedures to consider past performance in contract awards. The departments are meeting regularly with a goal of presenting amendments to the law and associated processes to the Board of Supervisors in 2016.	Recommendation Implemented	File no. 160225 is an ordinance introduced at the Board of Supervisors in March 2016 that amends Chapter 6 to allow City departments authorized to perform public work to select construction contractors on the basis of best value to the City. The ordinance provides departments with another tool to procure public work contracts, establishes procedures and criteria for the selection of the best value contractor, and allows selection based on a combination of price and qualifications. The ordinance requires that any Local Business Enterprise bid discount available under Chapter 14B of the Administrative Code be applied to the price or cost portion of the bid only. The ordinance requires Chapter 6 departments to document, evaluate, and report the performance of all contractors awarded construction contracts under Chapter 6 for all contracts first advertised on or after September 1, 2016.
2014-15	San Francisco's City Construction Program: It Needs Work	R4. The Office of the Controller should implement a standardized change order management policy and require all City departments to adhere to any new change order policy.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	Given the wide variety of project types, sizes, budgets, and complexity undertaken by the Chapter 6 departments, a "one size fits all" approach is not in accordance with best practices.	**	
2014-15	San Francisco's City Construction Program: It Needs Work	R4. The Office of the Controller should implement a standardized change order management policy and require all City departments to adhere to any new change order policy.	Mayor Office of the Controller	Will not be implemented because it is not warranted or reasonable	The Office of the Controller, and specifically the City Services Auditor (CSA), audits and assesses departments' adherence to relevant construction policies and procedures citywide, and provides technical assistance to departments as needed. As presently written, the Administrative Code calls for a decentralized approach to construction management for Chapter 6 departments, leaving this authority with each department. This allows for a segregation of duties between the Office of the Controller and the departments charged with construction management. Given the wide variety of project types, sizes, budgets, and complexity undertaken by the Chapter 6 departments, a "one size fits all" approach is not in accordance with best practices. However, as recommended by CSA's May 2014 audit of citywide construction practices, the Chapter 6 departments, in conjunction with CSA, are moving forward with amendments to the Administrative Code, including potential modifications related to change order management policies. Public Works has a change order management tracking system. Change orders are tracked, categorized and regularly discussed in order to inform project management decisions. This system could be tailored to other Chapter 6 department's needs.	**	
2014-15	San Francisco's City Construction Program: It Needs Work	R5. The Office of the Controller should implement a standardized construction contract closeout policy and require all City departments to adhere to any new policy.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	Although the Board of Supervisors supports the recommendation, it is not within the jurisdiction of the Board.	**	
2014-15	San Francisco's City Construction Program: It Needs Work	R5. The Office of the Controller should implement a standardized construction contract closeout policy and require all City departments to adhere to any new policy.	Mayor Office of the Controller	Will not be implemented because it is not warranted or reasonable	The Office of the Controller, and specifically the City Services Auditor (CSA), conducts audits and assessments of departments' adherence to relevant construction policies and procedures citywide, and provides technical assistance to departments as needed. As presently written, however, the Administrative Code calls for a decentralized approach to construction management for Chapter 6 departments, leaving this authority with each department. This allows for a segregation of duties between the Office of the Controller and the departments charged with construction management. Given the wide variety of project types, sizes, budgets, and complexity undertaken by the Chapter 6 departments, a "one size fits all" approach is not always in accordance with best practices. However, as recommended by CSA's May 2014 audit of citywide construction practices, the Chapter 6 departments, in conjunction with CSA, are moving forward with amendments to the Administrative Code, including potential modifications related to construction contract closeout policies. At this time, Public Works is piloting new construction contract closeout procedures; if successful, this system is designed to be shared with the other Chapter 6 departments.	**	

(1) **** Response not required; Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2015 Response	Original 2015 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2014-15	San Francisco's City Construction Program: It Needs Work	R6. The BoS should request the BLA or CSA to benchmark the City's design and engineering workforce organizational structure against comparable cities and issue a report.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	The Board of Supervisors will request a report back from the City Services Auditor during their next cycle of work planning by the end of the calendar year.	--	
2014-15	San Francisco's City Construction Program: It Needs Work	R6. The BoS should request the BLA or CSA to benchmark the City's design and engineering workforce organizational structure against comparable cities and issue a report.	Mayor Office of the Controller DPW	Requires further analysis	A benchmarking analysis could provide important and helpful insight into best practices for how to improve the organizational structure of the City's design and engineering workforce, and merits further consideration. As the Office of the Controller's City Services Auditor prepares its work plan, a benchmarking report will be considered, but must be weighed against other requests for that office's resources. The departments participating in this response defer to the Board of Supervisors with respect to involvement of the Board's Legislative Analyst, and the Office of the Controller will consult with the Board regarding which, if any, office performs the analysis. Because of departmental jurisdiction, this recommendation would not be implemented by the Mayor or Public Works. The departments that would participate in this recommendation defer to the Board of Supervisors with respect to involvement of the Legislative Analyst, as well as to the participation of the Office of the Controller's City Services Auditor.	Will Not Be Implemented; Not Warranted or Not Reasonable	The departments that would participate in this recommendation defer to the Board of Supervisors with respect to involvement of the Legislative Analyst, as well as to the participation of the Controller City Services Auditor.
2014-15	San Francisco's City Construction Program: It Needs Work	R7. The Mayor should allocate financial resources in the current City budget to fund the Department of Technology hiring a consulting firm with extensive construction management expertise to develop citywide system requirements for the implementation of a construction management system.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	Although the Board of Supervisors supports the recommendation, it is not within the jurisdiction of the Board.	--	
2014-15	San Francisco's City Construction Program: It Needs Work	R7. The Mayor should allocate financial resources in the current City budget to fund the Department of Technology hiring a consulting firm with extensive construction management expertise to develop citywide system requirements for the implementation of a construction management system.	Mayor Office of the Controller DPW	Requires further analysis	The City's annual budget process begins in December of each year, and concludes in June the following year. As part of the Fiscal Years 2016-17 and 2017-18 budget process, Public Works, the Department of Technology, and the Mayor's Office will consider the inclusion of financial resources to fund a consultant to meet the vision of the Jury. Any request, however, must be weighed against other citywide funding requests, so funding cannot be guaranteed at this time.	Requires Further Analysis	The City's annual budget process begins in December of each year, and concludes in June the following year. As part of the Fiscal Years 2016-17 and 2017-18 budget process, Public Works, the Department of Technology, and the Mayor's Office will consider the inclusion of financial resources to fund a consultant to meet the vision of the Jury. Any request, however, must be weighed against other citywide funding requests, so funding cannot be guaranteed at this time. The Financial Systems Project (FSP) has over 400 requirements for procurement in the categories of commodities and services (including professional services and construction). These requirements include managing the sourcing event from initiation, bid, evaluation and contract negotiation. Also as a part of the project there are approximately 150 requirements related to the payment processes, which include the ability for vendors and suppliers to submit invoices electronically into the system. FSP is currently working with the Chapter 6 departments to develop Citywide processes within the system so that efficiencies are gained in the procurement and management of these contracts.
2014-15	San Francisco's City Construction Program: It Needs Work	R8. The BoS should either request the CSA or BLA, or retain an outside firm, to benchmark the independent construction management structure of other cities and develop recommendations applicable to San Francisco.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	The Board of Supervisors does not have the authority to implement this recommendation, the Board will request a report back from the City Services Auditor during their next cycle of work planning by the end of the calendar year.	--	
2014-15	San Francisco's City Construction Program: It Needs Work	R8. The BoS should either request the CSA or BLA, or retain an outside firm, to benchmark the independent construction management structure of other cities and develop recommendations applicable to San Francisco.	Mayor Office of the Controller	Requires further analysis	This recommendation overlaps with recent and existing work of a workgroup of Chapter 6 departments. Legislation modernizing Chapter 6 went into effect August 1, 2015 after more than a year of collaboration. The next round of changes, including a shared database to track contractor performance, is being discussed now with a goal of implementation by summer 2016. However, a benchmarking analysis could provide important and helpful insight into best practices for how to improve the City's independent construction management structure, and will be considered. As the Office of the Controller's City Services Auditor prepares its work plan going forward, a benchmarking report will be considered, but must be weighed against other requests for that office's resources. The departments participating in this response defer to the Board of Supervisors with respect to involvement of the Board's Legislative Analyst, and the Office of the Controller will consult with the Board regarding which, if any, office performs the analysis.	Requires Further Analysis	A benchmarking analysis could provide important and helpful insight into best practices for how to improve the City's independent construction management structure, and will be considered. As the Office of the Controller's City Services Auditor prepares its work plan going forward, a benchmarking report will be considered, but must be weighed against other requests for that office's resources. The departments participating in this response defer to the Board of Supervisors with respect to involvement of the Board's Legislative Analyst, and the Office of the Controller will consult with the Board regarding which, if any, office performs the analysis.
2014-15	San Francisco's City Construction Program: It Needs Work	R9. The BoS should require all City departments to issue final project construction reports within nine months of project completion for all construction projects and for the reports to be posted on each department's website.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	The Board of Supervisors does not have the authority to implement this recommendation. Although the Board of Supervisors does not have the authority to implement this recommendation, the Board requests the Departments to report on their construction projects by the end of the calendar year.	--	

(1) "----" Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2015 Response	Original 2015 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2014-15	San Francisco's City Construction Program: It Needs Work	R6. The BoS should require all City departments to issue final project construction reports within nine month of project completion for all construction projects and for the reports to be posted on each department's website.	Mayor Office of the Controller	Will not be implemented because it is not warranted or reasonable	This recommendation is directed specifically to the Board of Supervisors. However, the responding departments welcome further discussion regarding final construction reports should the Board of Supervisors choose to pursue this recommendation. It should be noted, however, that pertinent budget and schedule information is provided in various forms to staff and oversight bodies. As per Administrative Code Section 6.22(k), Chapter 6 departments must prepare and execute closeout and acceptance documents. Upon presentation to oversight bodies (including the Citizens' General Obligation Bond Oversight Committee, the Recreation & Park Commission, Port Commission, Airport Commission, Public Utilities Commission, and the Municipal Transportation Agency Board of Directors), this information is posted online and made available to the public.	--	
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 1.1: That the Ethics Commission recommend to the Board of Supervisors an amendment to the WPO that provides real protection for whistleblowers, in conformity with the Charter mandate of Proposition C.	Ethics Commission & Executive Director	May be implemented	The Ethics Commission is willing to suggest amendments to the WPO to the Board of Supervisors but will need the assistance of the City Attorney's Office, the Department of Human Resources and the Controller's Office. Also, due to an already heavy planned workload for this year, and in addition the upcoming election cycle, the Commission anticipates that it will not be able to begin this project until 2016. Further, should the Board of Supervisors communicate in writing to the Commission that they wish to conduct the drafting of these amendments, the Commission will defer to the Board.	Recommendation Implemented	The Ethics Commission provided its written analysis of the CGJ's WPO recommendations in a memo dated January 20, 2016. At its meeting on January 25, 2016, the Commission discussed that analysis and directed that draft amendments to the Ordinance be presented for further action. On March 28, 2016, in addition to strengthening existing law by unanimously adopting regulations that interpret and clarify terms in the current statute, the Commission unanimously approved a series of statutory changes to strengthen the Ordinance to forward to the Board of Supervisors for its action. The Commission's recommendations were transmitted to the Board on April 11, 2016.
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 1.2: If the Ethics Commission fails to act within a reasonable time, that the Board of Supervisors on its own amend the WPO to provide real protection to whistleblowers, in conformity with the Charter mandate of Proposition C.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	The Board of Supervisors will work with the Ethics Commission to improve the WPO; however, the Board of Supervisors cannot predict the timing or outcome of the Ethics Commission's actions nor the approvals by the legislative body.	--	
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 1.3: If the Ethics Commission requests that the Board amend the WPO and the Board fails to act within a reasonable time, that the Commission consider submitting such an amendment directly to the voters.	Ethics Commission & Executive Director	May be implemented	If the Commission recommends amendment(s) to the Board that are not considered or not adopted, the Commission will then consider sending the amendment(s) to the voters.	Requires Further Analysis	Since transmitting its recommendations to the Board, Ethics Commission staff has engaged with BOS leadership and the City Attorney's Office regarding the development and introduction of a formal draft Ordinance for the Board's consideration, both of which are anticipated in May 2016. Commission staff will keep the Ethics Commission informed about the Board's actions on the proposed Ordinance. Should the Board fail to act on the WPO in a reasonable timeframe, the Commission would be informed of that and could then consider whether to submit the item directly to the voters.
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 1.4: If the Ethics Commission and the Board fail to act within a reasonable time, that the Mayor introduce legislation to the Board of Supervisors that would amend the WPO to provide real protection to whistleblowers, in conformity with the Charter mandate of Proposition C.	Mayor	Will not be implemented because it is not warranted or reasonable	This sub-recommendation is part of a larger recommendation that first calls for the Ethics Commission to submit an amendment to the WPO to the Board of Supervisors. If the Ethics Commission fails to do so, the Board of Supervisors is to act on its own to amend the WPO. In the event that the Ethics Commission does not take action or the recommended amendment is not enacted by the Board of Supervisors, the Ethics Commission is to submit an amendment directly to the voters. In the event that none of these recommendations occur, Recommendation 1.4 calls for the Mayor to introduce legislation to the Board of Supervisors to amend the ordinance. The amendment to the WPO recommended here is too vaguely-defined for the Mayor to take a position on it at this time. Further, the sequencing described in the recommendation is not consistent with the way the Mayor's Office approaches major changes to City law. If such changes were to be contemplated, a consensus-based approach would be adopted, with engagement from relevant City departments, stakeholders, legal and subject-matter experts, as well as other elected officials. This is a more effective method of enacting changes to City law.	--	
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 2.1: That amendments to the WPO expand the definition of whistleblowing to cover oral complaints to the complainant's department; disclosures to a City department or commission other than the complainant's own; and providing information to any of the recipients listed in the Charter mandate (hereafter "listed recipients"), outside of the formal complaint or investigation process.	Ethics Commission & Executive Director	May be implemented	If and when the Commission considers amending the WPO, it will take these recommendations into consideration. It may be advisable to expand the scope of the definition of "providing information" but there needs to be provision for the memorializing of these reports.	Recommendation Implemented	The Ethics Commission's proposed strengthening amendments recommend expanding the definition of whistleblowing to cover disclosures that include those brought outside the formal complaint or investigative process; and to a City department or commission other than the complainant's own, as well as to another state or federal agency. In addition, the term "complaint" was clarified to mean any formal or informal writing or record such as a letter, email or other communication sufficient to convey what the complainant in good faith believes evidences improper government activity by a city officer or employee. A "complaint" can also include an oral communication that is recorded in writing by the recipient of the complaint or that is accompanied by written information demonstrating improper government activity by a city officer or employee.
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 2.1: That amendments to the WPO expand the definition of whistleblowing to cover oral complaints to the complainant's department; disclosures to a City department or commission other than the complainant's own; and providing information to any of the recipients listed in the Charter mandate (hereafter "listed recipients"), outside of the formal complaint or investigation process.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	The Board of Supervisors will work with the Ethics Commission to improve the WPO; however, the Board of Supervisors cannot predict the timing or outcome of the Ethics Commission's actions nor the approvals by the legislative body. The Board would also need a more specific definition of "oral complaints" in order to warrant implementation of this recommendation.	--	

(1) **** Response not required: Recommendation has been fully implemented or abandoned.

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2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 2.1: That amendments to the WPO expand the definition of whistleblowing to cover oral complaints to the complainant's department; disclosures to a City department or commission other than the complainant's own; and providing information to any of the recipients listed in the Charter mandate (hereafter "listed recipients"), outside of the formal complaint or investigation process.	Mayor	Requires further analysis	No response text provided.	Requires Further Analysis	On March 28, 2016, the Ethics Commission adopted Whistleblower Protection Ordinance Regulations to clarify and interpret terms used in the ordinance and adopted proposed amendments to the Whistleblower Ordinance that the Ethics Commission transmitted on May 11, 2016 for consideration. The proposed amendments include clarifying definition of whistleblowing and covered complaints filed with departments other than the complainant's department.
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 2.2: That these amendments further expand the scope of covered disclosures to include "providing information" to any of the listed recipients regarding improper government activities, whether or not such information is set forth in a formal complaint, or provided during an official investigation.	Ethics Commission & Executive Director	May be implemented	If and when the Commission considers amending the WPO, it will take these recommendations into consideration. It may be advisable to expand the scope of the definition of "providing information" but there needs to be provision for the memorializing of these reports.	Recommendation Implemented	In the Ethics Commission's recommended changes, the term 'complaint' was clarified to mean any formal or informal writing or record such as a letter, email or other communication sufficient to convey what the complainant in good faith believes evidences improper government activity by a city officer or employee. A "complaint" can also include an oral communication that is recorded in writing by the recipient of the complaint or that is accompanied by written information demonstrating improper government activity by a city officer or employee. In addition, for purposes of affording Whistleblower protections, the scope of what is defined as an "improper governmental activity" was clarified and expanded to include alleged gross waste, fraud and abuse of City resources," and not alleged violations of laws within the Ethics Commission's jurisdiction only.
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 2.2: That these amendments further expand the scope of covered disclosures to include "providing information" to any of the listed recipients regarding improper government activities, whether or not such information is set forth in a formal complaint, or provided during an official investigation.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	The Board of Supervisors will work with the Ethics Commission to improve the WPO; however, the Board of Supervisors cannot predict the timing or outcome of the Ethics Commission's actions nor the approvals by the legislative body. The Board would also need a more specific definition of what "providing information" entails in order to warrant implementation of this recommendation since there is no clear data that defines the problem.	**	
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 2.2: That these amendments further expand the scope of covered disclosures to include "providing information" to any of the listed recipients regarding improper government activities, whether or not such information is set forth in a formal complaint, or provided during an official investigation.	Mayor	Requires further analysis	No response text provided.	Requires Further Analysis	On March 28, 2016, the Ethics Commission adopted Whistleblower Protection Ordinance Regulations to clarify and interpret terms used in the ordinance and adopted proposed amendments to the Whistleblower Ordinance that the Ethics Commission transmitted on May 11, 2016 for consideration. The proposed amendments include expanding the types of improper governmental activities subject to protection.
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 3: That amendments to the WPO provide a meaningful remedy for the effects of retaliation, by authorizing the Ethics Commission to order cancellation of a retaliatory job action, and increasing the limit of the civil penalty available under the WPO to an amount adequate to repay the financial losses that can result from such an action.	Ethics Commission & Executive Director	May be implemented	The Commission believes these recommendations may well improve the WPO and will also take them into consideration. The Commission notes that Employment Law is not part of our mandate and is normally handled by other departments. Many factors may come into consideration in this area such as MOU's and other labor agreements that are not properly part of the Ethics Commission mission. The Commission also notes that these proposals may create a large increase in staff workload.	Recommendation Implemented	The Ethics Commission's recommended Ordinance changes propose to expand remedies for retaliatory employment actions by increasing civil penalties from a maximum of \$5,000 to a maximum of \$10,000; and by authorizing the Ethics Commission to issue an Order following an administrative hearing in which a violation was found that calls for the cancellation of a retaliatory action. In addition, for greater clarity and effectiveness of the law, the Commission has defined by regulation the term "other similar adverse employment actions." This clarifying regulation would continue to be applicable to the Ordinance as proposed by the Ethics Commission.
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 3: That amendments to the WPO provide a meaningful remedy for the effects of retaliation, by authorizing the Ethics Commission to order cancellation of a retaliatory job action, and increasing the limit of the civil penalty available under the WPO to an amount adequate to repay the financial losses that can result from such an action.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	The Board of Supervisors concurs with the Mayor's Office, which states that "under the WPO, the Ethics Commission is provided with punitive, not restorative, powers to respond to the finding of retaliatory job action. However, there are a number of other avenues a complainant can pursue in such circumstances. As the Civil Grand Jury notes, 'City officers and employees have successfully litigated complaints of whistleblower retaliation in state court.' Contrary to the Jury's claim that this proves the ineffectiveness of the WPO, it in fact demonstrates that there is an established process for filing a civil action. In addition, if an employee believes that he or she has been disciplined without just cause or has suffered adverse job impact in retaliation for blowing the whistle, the employee can file a grievance through his or her union. A grievance of this nature may be resolved at the department or Department of Human Resources level, or be escalated to arbitration, in accordance with the negotiated rules of the employee's Memorandum of Understanding. If the Ethics Committee had investigated and found that the job action was in fact retaliation for activities protected by the Whistleblower Protection Ordinance, this ruling would likely influence the independent arbitrator, who does have the power to reverse a retaliatory job action. While the investigation and ruling of the Ethics Commission would be a critical step in the process, as the Ethics Commission notes in their response, labor relations are the responsibility of the Department of Human Resources.	**	

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CGJ Year	Report Title	Recommendation	Response Required	Original 2015 Response	Original 2015 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 3: That amendments to the WPO provide a meaningful remedy for the effects of retaliation, by authorizing the Ethics Commission to order cancellation of a retaliatory job action, and increasing the limit of the civil penalty available under the WPO to an amount adequate to repay the financial losses that can result from such an action.	Mayor	Will not be implemented because it is not warranted or reasonable	Under the WPO, the Ethics Commission is provided with punitive, not restorative, powers to respond to findings of retaliatory job action. However, there are a number of other avenues a complainant can pursue in such circumstances. As the Civil Grand Jury notes, "City officers and employees have successfully litigated complaints of whistleblower retaliation in state court." Contrary to the Jury's claim that this proves the ineffectiveness of the WPO, it in fact demonstrates that there is an established process for filing a civil action. In addition, if an employee believes that he or she has been disciplined without just cause or has suffered an adverse job impact in retaliation for blowing the whistle, the employee can file a grievance through his or her union. A grievance of this nature may be resolved at the department or Department of Human Resources level, or be escalated to arbitration, in accordance with the negotiated rules of the employee's Memorandum of Understanding. If the Ethics Committee had investigated and found that a job action was in fact retaliation for activities protected by the Whistleblower Protection Ordinance, this ruling would likely influence the independent arbitrator, who does have the power to reverse a retaliatory job action. While the investigation and ruling of the Ethics Commission would be a critical step in the process, as the Ethics Commission notes in their response, labor relations are the responsibility of the Department of Human Resources. Given the sufficient availability of existing options for complainants to pursue both civil penalties and reversal of the retaliatory job action, there is no need to amend the WPO in the manner recommended.	**	
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 4: That amendments to the WPO include a revision of Subsection 4.115(b)(ii) providing that the burden of proof set forth therein does not apply during preliminary review and investigation of administrative complaints to the Commission.	Ethics Commission & Executive Director	May be implemented	As stated above, the Commission will carefully consider these recommendations when considering amending the ordinance. The Commission believes that there needs to be some demonstrable basis for a complaint in order to justify an investigation.	Recommendation Implemented	The Ethics Commission clarified by regulation that the "preponderance of the evidence" standard applies in establishing that retaliation actually occurred only in a civil action or an administrative proceeding before the Ethics Commission, not in the process of investigating the complaint. This clarifying regulation would continue to be applicable to the Ordinance as proposed by the Ethics Commission.
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 4: That amendments to the WPO include a revision of Subsection 4.115(b)(ii) providing that the burden of proof set forth therein does not apply during preliminary review and investigation of administrative complaints to the Commission.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	There should be minimum evidence requirement to justify a whistleblower complaint in order for the Ethics Commission to pursue an investigation.	**	
2014-15	San Francisco's Whistleblower Protection Ordinance is in Need of Change	R 4: That amendments to the WPO include a revision of Subsection 4.115(b)(ii) providing that the burden of proof set forth therein does not apply during preliminary review and investigation of administrative complaints to the Commission.	Mayor	Will not be implemented because it is not warranted or reasonable	As noted above, the burden of proof requirement provides critical balance to the WPO by eliminating the element of moral hazard that its removal would enable.	**	
2014-15	CleanPowerSF At Long Last	R1. That CleanPowerSF be designed, first and foremost, to be financially viable and to grow quickly without undue risk.	Mayor SF Public Utilities Commission (Agency)	Recommendation implemented	CleanPowerSF is designed to be financially viable and to grow quickly without undue risk. The Mayor's Office and the SFPUC, however, reject the Civil Grand Jury's suggestion that the program use unbundled RECs as a tool to support the program's growth and financial viability. We believe purchasing unbundled RECs to claim non-renewable power as renewable is not appropriate for the City's community choice aggregation program. Moreover, unlike the experience of Marin Clean Energy recounted in the report, San Francisco is procuring supply for a CleanPowerSF program at a time when electricity prices - including bundled renewables - are quite low, and projected to remain low. As a result, San Francisco's program at launch is expected to be affordable with bundled renewable supplies, avoiding the arguments explained in the report about the degraded quality of programs reliant upon unbundled RECs. CleanPowerSF is designed to not rely on unbundled RECs. We believe that the program will grow more quickly if consumers have the confidence that the renewable power procured and claimed by the program is high quality renewable. We have made the policy decision to only launch the program if the affordability goals can be met with bundled renewables supplying the program.	**	
2014-15	CleanPowerSF At Long Last	R1. That CleanPowerSF be designed, first and foremost, to be financially viable and to grow quickly without undue risk.	Board of Supervisors	Recommendation implemented	The program is designed to be viable and able to grow quickly.	**	
2014-15	CleanPowerSF At Long Last	R2. That CleanPowerSF be free to use unbundled RECs, and to provide less than 100% green power, as needed to meet its goals of financial viability and early expansion.	Mayor SF Public Utilities Commission (Agency)	Will not be implemented because it is not warranted or reasonable	CleanPowerSF is designed to be financially viable without using unbundled RECs. Moreover, as previously stated, the Mayor's Office and the SFPUC reject the use of unbundled RECs for CleanPowerSF to meet its financial goals or increase the growth of the program. CleanPowerSF will be honest and transparent about the renewable content of the power it is procuring for its customers. There is a growing consensus against the use of unbundled RECs. In July 2015, the Board of Supervisors passed 8-0 an initiative ordinance including the following language: "It is the City's policy that the use of unbundled renewable energy credits for CleanPowerSF customers shall be limited to the extent deemed feasible by the SFPUC, consistent with the goals of the program." (Italics added for emphasis) As discussed above, however, the recommendation to include a renewable power option that is less than 100% has been implemented.	**	

(1) ** Response not required: Recommendation has been fully implemented or abandoned.

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2014-15	CleanPowerSF At Long Last	R2. That CleanPowerSF be free to use unbundled RECs, and to provide less than 100% green power, as needed to meet its goals of financial viability and early expansion.	Board of Supervisors	Recommendation implemented	CPSF is not currently restricted from using unbundled RECs, however the Board of Supervisors recently approved language saying unbundled RECs, "shall be limited to the extent deemed feasible by the SFPUC, consistent with the goals of the program" and state law. CPSF is designed with two product offerings: one with 100% green power and another with less than 100% but more than what PG&E offers.	**	
2014-15	CleanPowerSF At Long Last	R3. That CleanPowerSF be designed to provide as many local jobs as it can, without compromising its financial viability and potential for early expansion.	Mayor SF Public Utilities Commission (Agency)	Recommendation implemented	CleanPowerSF is designed to provide as many jobs as it can and add more jobs with its growth.	**	
2014-15	CleanPowerSF At Long Last	R3. That CleanPowerSF be designed to provide as many local jobs as it can, without compromising its financial viability and potential for early expansion.	Board of Supervisors	Recommendation implemented	CPSF is designed to provide local jobs and its expansion will enable it to create yet more local jobs.	**	
2014-15	CleanPowerSF At Long Last	R4. That SFPUC integrate the GoSolarSF program into CleanPowerSF to take advantage of their complementary relationship	Mayor SF Public Utilities Commission (Agency)	Will be implemented in the future	The CleanPowerSF program design envisions its customers will be able to access GoSolarSF incentives. The amount of funding CleanPowerSF will contribute to GoSolarSF has not yet been determined.	Will Be Implemented in the Future	PUC staff conducted a meeting with GoSolarSF and other stakeholders on April 27, 2016. PUC staff is drafting program changes to achieve the City's goal of putting more solar on San Francisco rooftops, while improving the relationship between GoSolarSF incentives and CleanPowerSF customers. Follow-up meetings with stakeholders to get more input on the proposals are scheduled in late May and early June. PUC staff will then bring recommendations to AGM Power, GM, and to then Commission for approval. Target approval is August 23, 2016.
2014-15	CleanPowerSF At Long Last	R4. That SFPUC integrate the GoSolarSF program into CleanPowerSF to take advantage of their complementary relationship	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	Though the Board of Supervisors enthusiastically supports this effort, and though the relevant department, the San Francisco Public Utilities Commission, is actively working to implement it, the restrictive response options imposed by the Civil Grand Jury process prevent the Board from offering an accurate response. The recommendation involves a multi-year effort being conducted outside of the Board's direct authority, and there is no response option for that situation.	**	
2014-15	CleanPowerSF At Long Last	R5. That local officials, including the Mayor, put the full weight of their offices behind the success of the CleanPowerSF program	Mayor SF Public Utilities Commission (Agency)	Recommendation implemented	The Mayor, Board President Breed, San Francisco Board of Supervisors, and the SFPUC have been working to ensure the success of CleanPowerSF.	**	
2014-15	CleanPowerSF At Long Last	R5. That local officials, including the Mayor, put the full weight of their offices behind the success of the CleanPowerSF program	Board of Supervisors	Recommendation implemented	The Board of Supervisors has been putting its full weight behind CleanPowerSF for years, and is thankful to be joined by Mayor Lee, the SFPUC, and a broad coalition of city officials, residents, business owners, and advocates who are committed to CleanPowerSF's success.	**	
2014-15	Office of the Assessor-Recorder, Despite Progress, Still The Lowest Rated Office in the State	R1. The Office of Assessor-Recorder should raise the bar by meeting the state requirement and clear the backlog by the end of FY16-17.	Mayor	Requires further analysis	Please see the department's response regarding the feasibility of clearing the backlog by the end of FY 2016-17. The Mayor supports the goal of clearing the backlog and as a result the budget has included funds for significant staffing and IT investments for the Assessor-Recorder's Office over the past several fiscal years.	Will Be Implemented in the Future	Over the last two budget cycles, the Assessor-Recorder's Office has filled positions to continue to bring down the outstanding assessment work load, particularly in new construction cases, and provide key resources in Finance, IT and Human Resources to support a growing staff. The office developed a long-term staffing analysis in FY 2015-2016 and has the goal to refine that plan as more information is known about market conditions or resource changes over time. As part of the FY2016-17 and FY2017-18 budget process, the Assessor-Recorder's Office has submitted a request for additional resources to restructure the organization for long-term success and implement business process improvements, provide additional appraiser, analytical and clerical staff to work on outstanding cases, and to modernize and replace the City's obsolete property assessment and tax systems. The Office of the Mayor supports the Assessor-Recorder in her efforts to secure the continuation of the State-County Assessors' Partnership Agreement Program (SCAPAP), a state grant dedicated to improving the administration of the county property tax rolls, as grant funding expires at the end of FY2016-17.
2014-15	Office of the Assessor-Recorder, Despite Progress, Still The Lowest Rated Office in the State	R1. The Office of Assessor-Recorder should raise the bar by meeting the state requirement and clear the backlog by the end of FY16-17.	Assessor-Recorder	-The recommendation has been implemented -The recommendation requires further analysis -The recommendation will not be implemented because it is not warranted or reasonable	See response to Findings 3, 4 and 5. Although our office has been successful in advocating for and receiving funds from the State and locally, long term success depends on a number of factors, including: success in receiving additional support for operations, identifying operational efficiencies, support in the hiring process to implement the staffing plan, and market conditions. While the office's goal is to clear the outstanding assessment cases, current staffing levels are not adequate to do so by FY 16-17. The office, however, is focused on refining our analysis to determine the combination of strategies needed to address work load in the long-term.	Recommendation Implemented / Will Be Implemented in the Future	Over the last two budget cycles, ASR has received funding for additional staff through the City's annual budget process. New positions were funded to keep pace with a high-volume incoming work load, particularly in new construction cases, and provide key resources in Finance, IT and Human Resources to support a growing staff. The office developed a long-term staffing analysis in FY 2015-2016 and intends to refine that plan as more information is known about market conditions or resource changes over time. As part of the FY2016-17 and FY2017-18 budget process, the Assessor-Recorder's Office has submitted a request for additional resources to restructure the organization for long-term success and implement business process improvements, provide additional appraiser, analytical and clerical staff to work on outstanding cases, and to modernize and replace the City's obsolete property assessment and tax systems. In addition, ASR is working at the state level to ensure the continuation of the State-County Assessors' Partnership Agreement Program (SCAPAP), a state grant dedicated to improving the administration of the county property tax rolls, as grant funding expires at the end of FY2016-17.

(1) ** Response not required. Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2015 Response	Original 2015 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2014-15	Office of the Assessor-Recorder: Despite Progress, Still The Lowest Rated Office in the State	R2. The Office of Assessor-Recorder needs to conduct a staffing analysis and generate an aggressive written long-term plan to maintain a backlog-free OAR before the end of CY2015.	Mayor	Will be implemented in the future	Please see the department's response for information on its plan to implement this recommendation by the end of Fiscal Year 2015-16. The Mayor encourages the department to generate a long-term plan, which will supplement its practice of producing an annual staffing analysis.	Recommendation Implemented	The Assessor-Recorder's Office has developed a staffing analysis in FY 2015-2016. In addition, the office is hiring a number of new appraiser positions, including transitioning limited-term assessment appeals positions to permanent appraiser positions focused on new construction, parcel management, and change in ownership assessment cases. The office has begun to implement a number of business process improvements throughout the organization to gain efficiencies where possible. As resources become available in the coming fiscal year, the office intends to refine its long-term projections to work down its caseload.
2014-15	Office of the Assessor-Recorder: Despite Progress, Still The Lowest Rated Office in the State	R2. The Office of Assessor-Recorder needs to conduct a staffing analysis and generate an aggressive written long-term plan to maintain a backlog-free OAR before the end of CY2015.	Assessor-Recorder	-The recommendation has been implemented -The recommendation has not been, but will be, implemented in the future	See response to Finding 5. The office's goal is to develop a long-term plan in FY 2015-16 and to continue refining that plan as more information is known about market conditions or resource changes.	Will Be Implemented in the Future	The office developed a staffing analysis in FY 2015-2016 and plans to refine that analysis as more information is known about market conditions or resource changes over time. In addition, the office is hiring a number of new appraiser positions, including transitioning limited-term assessment appeals positions to permanent appraiser positions focused on new construction, parcel management, and change in ownership assessment cases. The office has begun to implement a number of business process improvements throughout the organization to gain efficiencies where possible. As resources become available in the coming fiscal year, the office intends to refine its long-term projections to work down its caseload.
2014-15	Office of the Assessor-Recorder: Despite Progress, Still The Lowest Rated Office in the State	R2. The Office of Assessor-Recorder needs to conduct a staffing analysis and generate an aggressive written long-term plan to maintain a backlog-free OAR before the end of CY2015.	Board of Supervisors	Recommendation implemented	The staffing analysis will be complete by the end of FY2015-2016.	**	
2014-15	Office of the Assessor-Recorder: Despite Progress, Still The Lowest Rated Office in the State	R3. The City and County needs to provide General Fund money (from the expected increase in revenue from property taxes due to a more productive OAR) in the FY15-16 budget to support new funding for key administrative positions and on-going funding for OAR positions after the expiration of the three-year grant.	Mayor	Recommendation implemented	The adopted Fiscal Year 2015-16 budget includes a \$655,634 increase in General Fund support for the Assessor-Recorder's Office; 18 new positions are included in that funding increase.	**	
2014-15	Office of the Assessor-Recorder: Despite Progress, Still The Lowest Rated Office in the State	R3. The City and County needs to provide General Fund money (from the expected increase in revenue from property taxes due to a more productive OAR) in the FY15-16 budget to support new funding for key administrative positions and on-going funding for OAR positions after the expiration of the three-year grant.	Assessor-Recorder	-The recommendation has been implemented -The recommendation has not been, but will be, implemented in the future	The Board of Supervisors and the Mayor approved a \$22 million General Fund budget for OAR for FY 2015-16, including additional resources for key administrative and operations positions. As the office further refines the long-term outlook, additional resources may be necessary to reduce the number of outstanding assessment cases. In addition, the expiration of a three-year state grant is outside the timeframe of the recently passed two year FY 2015-17 budget. The office will be in conversations with the Board of Supervisors and the Mayor's Office prior to the expiration of grant funding in FY 2017-18.	Will Be Implemented in the Future	The Board of Supervisors and the Mayor approved a \$22 million General Fund budget for OAR for FY 2015-16, including additional resources for key administrative and operations positions. Moving forward, OAR will continue to work with the Mayor and the Board of Supervisors to identify revenue to help resource the department. Additionally, OAR has begun work with the California Assessors Association (CAA) to coordinate efforts to extend the state grant dedicated to performing essential property tax duties, such as assessments and enrollments. Currently, the grant funding ends in FY 2017-18.
2014-15	Office of the Assessor-Recorder: Despite Progress, Still The Lowest Rated Office in the State	R3. The City and County needs to provide General Fund money (from the expected increase in revenue from property taxes due to a more productive OAR) in the FY15-16 budget to support new funding for key administrative positions and on-going funding for OAR positions after the expiration of the three-year grant.	Board of Supervisors	Recommendation implemented	The Board of Supervisors and the Mayor approved the FY2015-16 budget, which included a \$655,634 increase in General Fund support and 18 new positions for the OAR.	**	
2014-15	Office of the Assessor-Recorder: Despite Progress, Still The Lowest Rated Office in the State	R4. The Office of Assessor-Recorder should regularly meet with staff from DBI to transfer data more efficiently between the departments before the end of CY15.	Mayor	Recommendation implemented	As noted in the Assessor-Recorder's response, this recommendation has been implemented.	**	
2014-15	Office of the Assessor-Recorder: Despite Progress, Still The Lowest Rated Office in the State	R4. The Office of Assessor-Recorder should regularly meet with staff from DBI to transfer data more efficiently between the departments before the end of CY15.	Assessor-Recorder	Recommendation implemented	The Office of the Assessor-Recorder is currently holding regularly scheduled meetings with the Department of Building Inspection (DBI) to improve data flow between both departments. In addition, we will be working through the City Services Auditor Division within the Controller's Office and with DBI to find additional opportunities to improve the flow of information from DBI to our office this is particularly important as DBI begins planning for the next phase of their technology project.	**	

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2014-15	Office of the Assessor-Recorder: Despite Progress, Still The Lowest Rated Office in the State	R5. The 2015 and on-going OAR Annual Reports need to be written in a more explicit, consumer-friendly, jargon-free fashion, highlighting and clearly defining any efforts made in reducing the backlog, discussing the financial implications for not doing so, and addressing any progress made, or obstacles encountered, in fulfilling the recommendations for office improvements.	Mayor	Will be implemented in the future	As noted in the Assessor-Recorder's response, this recommendation will be implemented in the upcoming OAR Annual Report, which is expected to be released in September 2015.	Recommendation Implemented	In its 2015 Annual Report, which covers FY 2014-15, the Assessor-Recorder explains workload queue (page 17). This information was supported by a detailed analysis of supplemental and escape assessments on page 18 of the report, as well as a description and analysis of our assessment appeals on page 15.
2013-14	Office of the Assessor-Recorder: Despite Progress, Still The Lowest Rated Office in the State	R5. The 2015 and on-going OAR Annual Reports need to be written in a more explicit, consumer-friendly, jargon-free fashion, highlighting and clearly defining any efforts made in reducing the backlog, discussing the financial implications for not doing so, and addressing any progress made, or obstacles encountered, in fulfilling the recommendations for office improvements.	Assessor-Recorder	Recommendation implemented	The Office of the Assessor-Recorder strives to make information on the functions of the office and requirements of the revenue and tax code assessable to taxpayers and looks forward to continuing to improve our communications. Pages 4 & 5 of the 2014 Annual Report highlights key initiatives for the office. Pages 11-21 focuses on the Real Property Division and includes information such as pending assessment appeals cases over the last ten years and descriptions of the property roll. While the report does not include a discussion on the financial implication of unworked assessments (because individual cases have not yet been reviewed), pages 7-9 speaks to how property tax revenues are allocated and programs it supports.	**	
2014-15	San Francisco Fire Department: What Does the Future Hold?	R1.1. That by December 2015 the Chief develop a plan and the methodology for bringing response times for both Code 2 and Code 3 calls to required levels, and that the Department achieve compliance with EOA standards by December 2016.	SFFD Chief of Department	Recommendation implemented	The development of a plan and methodology was formalized in the fall of 2014 with the formation of the City's ambulance work group, headed by the Mayor's Office with representatives from SFFD, DEM, Controller, Board of Supervisors, Fire Commission and other relevant stakeholders. This work group and its various sub groups were responsible for analyzing the issues facing the City's EMS system and developing recommendations to meet both response and EOA metrics for both the SFFD and private providers. A number of these recommendations have been implemented, including additional staffing for the Department, the purchase of new ambulances, and the staffing of a nurse at a DPH shelter. In addition, a number of recommendations have been funded in the new FY15-16 budget or are currently being implemented, such as restoration of the HOME team, per diem employees and other initiatives. There is on-going analysis done to staffing levels, work load, and call volume to regularly monitor the performance of the system, and all invested providers meet regularly to discuss issues and topics of relevance.	**	
2014-15	San Francisco Fire Department: What Does the Future Hold?	R1.1. That by December 2015 the Chief develop a plan and the methodology for bringing response times for both Code 2 and Code 3 calls to required levels, and that the Department achieve compliance with EOA standards by December 2016.	SFFD Commission	Recommendation implemented	The development of a plan and methodology was formalized in the fall of 2014 with the formation of the City's ambulance work group, headed by the Mayor's Office with representatives from SFFD, DEM, Controller, Board of Supervisors, Fire Commission and other relevant stakeholders. This work group and its various sub groups were responsible for analyzing the issues facing the City's EMS system and developing recommendations to meet both response and EOA metrics for both the SFFD and private providers. A number of these recommendations have been implemented, including additional staffing for the Department, the purchase of new ambulances, and the staffing of a nurse at a DPH shelter. In addition, a number of recommendations have been funded in the new FY15-16 budget or are currently being implemented, such as restoration of the HOME team, per diem employees and other initiatives. There is on-going analysis done to staffing levels, work load, and call volume to regularly monitor the performance of the system, and all invested providers meet regularly to discuss issues and topics of relevance.	**	
2014-15	San Francisco Fire Department: What Does the Future Hold?	R1.1.1. The Fire Commission should require the Chief to prepare a monthly report on ambulance performance versus the EOA and the average number of ambulances capable of responding to a service call.	SFFD Chief of Department	Recommendation Implemented	Even before the Civil Grand Jury Report was issued, the Fire Commission had already tasked the Chief of Department to report on ambulance response times and progress toward meeting the EOA. These reports are typically provided by the Deputy Chief of Operations. The Commission has been actively monitoring these issues for years.	**	
2014-15	San Francisco Fire Department: What Does the Future Hold?	R1.1.1. The Fire Commission should require the Chief to prepare a monthly report on ambulance performance versus the EOA and the average number of ambulances capable of responding to a service call.	SFFD Commission	Recommendation implemented	Even before the civil grand jury report was issued, the Fire Commission had tasked the Chief to report on ambulance response times and progress toward meeting the EOA. These reports are typically provided by the Deputy Chief of Operations. The Commission has been actively monitoring these issues for years.	**	
2014-15	San Francisco Fire Department: What Does the Future Hold?	R1.2. That by July 2016, the Chief institute a modified static/dynamic model of ambulance deployment to include ambulances based at stations in Battalions 7, 8, 9, and 10 with the remaining ambulance fleet operating out of Station 49.	SFFD Chief of Department	Will not be implemented because it is not warranted or reasonable	There are numerous issues with a model where ambulance employees work a 24-hour shift, as the Department experienced in the early years of the merger with DPH. These include fatigue, safety and deterioration of clinical skills, which result from long work periods at high call volume without adequate rest breaks. In addition, the Department was part of a lawsuit surrounding FLSA overtime at the time it employed the 24-hour ambulance shift model, since employees that work 24-hours on an ambulance are not considered fire suppression employees and are subject to separate labor rules. The 24-hour shift is generally discouraged within the EMS industry. A number of current ambulance posting locations are right by or are very close to existing fire stations; thus, provided that the system has sufficient resources and those postings can be maintained, these areas should then be well covered within the dynamic ambulance deployment model.	**	
2014-15	San Francisco Fire Department: What Does the Future Hold?	R1.2.1. The Civil Grand Jury recommends the number of supply trips from Station 49 be reduced through the implementation of a secure inventory reserve at some stations or by contracting with a medical supply company to restock supplies at firehouses.	SFFD Chief of Department	Will be implemented in the future	The Department is currently developing a plan to increase courts of medical supplies and establish satellite "caches" at various fire stations and other locations throughout the City to allow ambulance crews to re-stock their ambulances without having to travel back to Station 49.	Recommendation Implemented	The Department has set up a "cache house" program, where supplies for ambulances are stored at eight fire stations placed throughout the City to allow ambulance crews to re-stock items without having to return to Station 49. In addition, the Department is currently testing a supply bin system at Station 49 to improve logistical efficiencies there for ambulances coming off and going on duty. The Department is also in the process of hiring three senior storekeepers to assist the Department's logistics bureau with supply restocking on ambulances.

(1) *** Response not required: Recommendation has been fully implemented or abandoned.

CGJ Year	Report Title	Recommendation	Response Required	Original 2015 Response	Original 2015 Response Text	2016 Response ⁽¹⁾	2016 Response Text
2014-15	San Francisco Fire Department What Does the Future Hold?	R1.3. That by July 2017, the Chief schedule sufficient new training academies so that all engines will have a paramedic on every crew.	SFFD Chief of Department	Requires further analysis	There are additional on-going costs to the Department to staff all engines with H-3 FF/PMs that are above and beyond what is incorporated in the Department's Operating budget. The Department is currently meeting its first ALS on-scene response time metrics Citywide, and is increasing staff in its H3 FF/PM tier through the hiring of Paramedics from within into the Fire Academy. The Department's goal is to achieve 32 daily ALS engines out of 44 by the end of the fiscal year. In addition, there is much debate within the health care industry as to whether an ALS-capable resource makes an impact on patient survival rate and quality of care when compared to a BLS resource. This is an issue that will continue to be analyzed, both at the Department and City levels.	Requires Further Analysis	This is an item that is still under analysis. However, in order to have a Paramedic on all engines, the Department would need to be allocated additional funding in its budget, beyond what has been previously allocated in current year budget. The Department's current goal is to staff 32 out of 44 engines per day with a Paramedic. The Department is nearing our goal of consistently staffing 32 ALS engines. The Department continues to meet its first paramedic on-scene ALS response times City-wide. Analysis of the impact of ALS resources on patient survival rate is on-going.
2014-15	San Francisco Fire Department What Does the Future Hold?	R1.4. That the span of control for Rescue Captains be reduced in the next fiscal year, bringing the Department into compliance with Admin Code 2A.97	SFFD Chief of Department	Will be implemented in the future	The Department agrees that the span of control for EMS Captains should be reduced in the current fiscal year. This will be occurring with the revised supervision model at Station 49, allowing for the return of the Station 49 EMS Captain to field operations. This would restore the number of 24-hour EMS Captains working as medical supervisors to four.	Will Be Implemented in the Future	The Department is in the process of restoring its fourth Rescue Captain position in field operations. The Department was allocated funding in the current fiscal year budget for a new supervision model at Station 49, envisioned to be 12-hour shifts for greater accountability and efficiency. The Department is currently in negotiations with labor regarding the supervisory model at Station 49 which, when implemented, would restore the fourth Rescue Captain to the field.
2014-15	San Francisco Fire Department What Does the Future Hold?	R1.5. That by December 2015 the Chief, using funds allocated in the next budget year, contract with an experienced consultant to initiate a strategic plan covering: full funding for equipment renewal; facilities maintenance and updates; communication technology; and training for both normal operations and disasters	SFFD Chief of Department	Will be implemented in the future	The issue of strategic planning has been a priority for the Department but its development and implementation had been hampered by the lack of fiscal resources. In the new fiscal year's budget, the Department was allocated additional personnel to enhance the Department's planning capabilities. The Chief has recently formed the Department's Strategic Planning Committee, and this committee had its initial kick-off meeting last month. However, the caveat is that, even with a thorough and robust strategic plan, there is no guarantee that funding will be available to fully support the plan. This is an issue that the Department has been struggling with in the past (such as with the Department's existing vehicle replacement plan) and will continue to do so in the future, even with the improved economic conditions.	Will Be Implemented in the Future	Rather than contract with a consultant, in the fall of 2015, the Department convened a Strategic Planning Committee, comprised of members from various ranks and Divisions, as well as representation from employee groups, labor, private sector, other government agencies, and retired members to develop a Strategic Plan. The Strategic Planning Committee has been meeting regularly and is in the process of compiling its draft document, with the hopes of publishing a completed Strategic Plan by the Fall of 2016. The plan will highlight many of the needs addressed in the Civil Grand Jury Report, including training, staffing, equipment, facilities, and IT.
2014-15	San Francisco Fire Department What Does the Future Hold?	R1.5. That by December 2015 the Chief, using funds allocated in the next budget year, contract with an experienced consultant to initiate a strategic plan covering: full funding for equipment renewal; facilities maintenance and updates; communication technology; and training for both normal operations and disasters	SFFD Commission	Will be implemented in the future	The issue of strategic planning has been a priority for the Department, but its development and implementation had been hampered by the lack of fiscal resources. In the new fiscal year's budget, the Department was allocated additional personnel to enhance the Department's planning capabilities. The Chief has recently formed the Department's Strategic Planning Committee, and this committee had its initial kick-off meeting in July and follow-up meetings with stakeholder groups are occurring. However, the caveat is that, even with a thorough and robust strategic plan, there is no guarantee that funding will be available to fully support the plan. This is an issue that the Department has been struggling with in the past (such as with the Department's existing vehicle replacement plan) and will continue to do so in the future, even with the improved economic conditions.	Will Be Implemented in the Future	As mentioned in the most recent response to the Grand Jury report, the Chief of Department formed a Strategic Planning Committee in 2015, comprised of members of a variety of ranks and job functions in the Department as well as representatives from the Fire Commission, labor and employee groups, retired members, the private sector, and other Departments. This group is currently preparing a final draft of the plan that will be brought to the Fire Commission for initial review and discussion before being formally vetted by the Commission. The Department's Strategic Plan will be added to the agenda at a future Commission meeting to discuss and review the plan in a formal public forum, with input, comments and recommendations from the Fire Commission to be incorporated into the report. The draft is anticipated to be available for review and consideration by the Commission in late summer 2016. The Strategic Plan will cover all the topics addressed in the Civil Grand Jury recommendations, in addition to Health and Wellness, Community Programs and Partnerships, Infrastructure, and Recruitment/Staffing.
2014-15	San Francisco Fire Department What Does the Future Hold?	R2.1. That the Chief review the current agreement with TIDA to determine whether it is possible to amend the agreement so as to retain the existing location of the training facility.	SFFD Chief of Department	Recommendation implemented	The Department believes that the best option would be to retain the current Treasure Island Training facility. However, this will take many discussions and coordination with TIDA, the Mayor's Office, and a number of other entities, to possibly implement. If a decision to retain the facility is mutually reached, the Department would then begin developing plans to upgrade the facility and potentially have it used as a regional facility to generate revenue for the Department.	**	
2014-15	San Francisco Fire Department What Does the Future Hold?	R2.2. That TIDA review its current agreement with SFFD to determine whether it is possible to amend the agreement so as to retain the existing location of the training facility.	Treasure Island Director	Will not be implemented because it is not warranted or reasonable	The continued use of the existing fire training center on Treasure Island is not constrained by the agreement between the SFFD and TIDA, but is limited by the development plans for Treasure Island and Yerba Buena Island. The development plan and FEIR for the Treasure Island and Yerba Buena Island do not include the continued existence of the fire training center or a replacement facility, and those uses are not consistent with the adopted land use plan. On May 29, 2015, the Navy transferred 290 acres on Yerba Buena Island and Treasure Island to TIDA and development activities are expected to begin before the end of the year. The initial areas of development will be concentrated on Yerba Buena Island and the southwest corner of Treasure Island. The fire training center is located in what will be the fourth and final phase of development. Based on the current schedule for development, the fire training center should be able to continue operations for seven years before it would need to be vacated for development to proceed.	**	
2014-15	San Francisco Fire Department What Does the Future Hold?	R2.3 That while Recommendations 2.1 and 2.2 are being explored, the Chief and the Fire Commission determine an alternate site for the training center since, if an already City-owned site is not adequate to serve as a training center, purchase of a new site will be more than difficult in the current real estate market.	SFFD Chief of Department	Recommendation implemented	A request for funds has been submitted to Capital Planning for the construction of a new training facility. The request continues to be deferred due to the large cost of the project. Given the economic and construction climate in the City currently, it is highly unlikely that the Department would find a suitable space large enough to accommodate the needs of the Training Facility. Moreover, the chances of passing an EIR with the Live Burn portion of the facility would likewise be slim. Even if that theoretical plot of land could be found and the Department would receive a favorable EIR, the acquisition costs would be astronomical. There were discussions many years ago about allocating a portion of the new Hunters Point development for a new facility, but it does not appear that this was included in the current plans for the shipyard.	**	

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2014-15	San Francisco Fire Department What Does the Future Hold?	R2.3 That while Recommendations 2.1 and 2.2 are being explored, the Chief and the Fire Commission determine an alternate site for the training center since, if an already City-owned site is not adequate to serve as a training center, purchase of a new site will be more than difficult in the current real estate market.	SFFD Commission	Will be implemented in the future	The Commission agrees that let is important for the Department to retain a first-class training facility. The Commission has been assured that the Department has reviewed the agreement with TIDA. Further, the Commission is aware that the Department would like to retain the location of its training facility on Treasure Island, but it does not have the authority to require TIDA to amend the agreement. The Department has advised the Commission that it is unlikely that TIDA will take any steps to remove or dismantle the existing training facility within the next seven years, at the earliest. Nevertheless, the Department has already advised the City's Capital Planning Committee that an alternate site might be necessary in the event that TIDA proposes another use for the current training site. The Commission will monitor TIDA's plans as they develop.	Will Be Implemented in the Future	The Commission believes it is crucial for the Department to develop a long term site for its training facility, and will continue to advocate for this project. A new training facility is one of the top priorities for the Department over the next five to ten years. The Commission continues to work with the Department in its discussions with TIDA and the developer with regards to the current training site on Treasure Island, as well as with the Department of Real Estate and Capital Planning to evaluate the potential of new sites for a training academy to be located. The Commission will continually ask for updates on the status of both the ability to stay in the current training facility as well as the potential for a new site. The Department has initiated a needs assessment for a training facility with the Department of Public Works, and will report back to the Commission when that has been completed. In the meantime, the Commission has been working with the Department on its efforts to get the Department's current training site accredited by the State of California, for which the process is nearing completion. This accreditation will expand the number of trainings able to be held at the Department's training facility, opening up the site to our regional partners to host a number of classes and trainings. The ability to serve as an accredited regional training site would have a number of benefits for the Department, and is a big consideration in the planning process for the new training division site as the Commission and Fire Department work towards identifying a potential new location.
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R1. The Mayor should prioritize the network infrastructure and fully fund the required investment in this foundational platform.	Mayor	Recommendation implemented	As described in the response to Finding 1, the City has made significant commitments to strengthening the City's network infrastructure through DT's "Fix the Network" project and other citywide efforts around maintenance, disaster recovery, and data center consolidation. As evidence of this commitment, the "Fix the Network" project was highlighted as high priority into the most recent ICT plan and funded with \$4.3 million in the Mayor's FY 2015-16 and 2016-17 budget—the largest single allocation from COIT's annual project allocation. Additionally, funding for DT's operational budget has continued to grow to support the ongoing capacity of the department to prioritize this project and support its ongoing maintenance.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R1. The Mayor should prioritize the network infrastructure and fully fund the required investment in this foundational platform.	Board of Supervisors	Recommendation implemented	As the Mayor's response indicates, "the 'Fix the Network' project was highlighted as high priority into the most recent ICT plan and funded with \$4.3 million in the Mayor's FYs 2015-2016 and 2016-2017 budget—the largest single allocation from COIT's [Committee on Information Technology] annual project allocation.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R2. The Mayor and Board of Supervisors should require a six-month and twelve-month report on the status of the DT reorganization.	Mayor Department of Technology	Will not be implemented because it is not warranted or reasonable	Through the annual budget process, the Mayor and the Board of Supervisors have reviewed the Department of Technology's position changes and new organizational structure. Any further changes will be reviewed as part of future budget cycles. Additionally, in September the department began releasing a monthly project status and key performance indicator report for department heads, including measures on services performed at project levels, network uptime, and other yet-to-be determined metrics. The report will reflect the impacts of the reorganization on service delivery. It will be summarized and presented at public COIT meetings.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R2. The Mayor and Board of Supervisors should require a six-month and twelve-month report on the status of the DT reorganization.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	While any individual supervisor can call a hearing on this topic at any time, the Board of Supervisors cannot specifically predict if or when one may do so. The Board President sits on COIT, which will be receiving updates on DT's progress. And as the Department's response indicates, "In September [DT] began releasing a monthly project status and key performance indicator report for department heads.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R3. A user satisfaction survey should be sent to all DT clients, before the end of 2015 and later in six months after the reorganization, to assess whether the new accountability structure is making a difference for clients.	Mayor Department of Technology	Will be implemented in the future	DT agrees with the recommendation and will implement both survey recommendations in the proposed timeline - an initial survey before the end of the CY 2015 and follow-up survey by the end of FY 2015-16.	Recommendation Implemented	DT administered a survey from December 2015-January 2016 to 430 personnel across all city departments. 10%, or 45 City personnel, responded to the survey over the four-week window. The survey solicited feedback regarding DT services, likelihood of recommending DT services, and suggestions for improving DT services. Survey respondents suggested that DT improve in the following areas: communication, personnel shortages, delivery and response, and increasing skills and training. DT is utilizing this survey as a baseline for improvement. A second survey will be conducted during June, 2016 that aims to build from this analysis.

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2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R3. A user satisfaction survey should be sent to all DT clients, before the end of 2015 and later in six months after the reorganization, to assess whether the new accountability structure is making a difference for clients.	Board of Supervisors	Recommendation implemented	The Department of Technology sent a user satisfaction survey to all clients in December 2015.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R4. The Office of the Controller should develop the skills inventory capability in the eMerge PeopleSoft system to update IT employee skills by the end of FY15-16	Mayor Department of Technology Office of the Controller	Will be implemented in the future	<p>The Office of the Controller agrees with this recommendation. The Office of the Controller is advancing this capability through the eMerge PeopleSoft system which includes functionality to house a skills inventory and link those skills to job classifications, positions, and employees- successful implementation is dependent on citywide departmental engagement and adoption. At the center of this functionality is the use of "competencies," which in PeopleSoft are used to define skills and levels of proficiency expected for job classifications and positions. By properly using the competency and performance appraisal features in the performance module in PeopleSoft, the City could develop skills inventory capability.</p> <p>The current ePerformance Pilot Project is implementing competency and skills assessment for the FY 2015- 16 performance appraisal period. The pilot project includes 41 job classifications and 595 employees at the Airport Commission, Controller's Office, Department of Public Health, and Public Utilities Commission.</p> <p>The Controller's Office and its eMerge Division are soliciting additional departments to leverage the ePerformance module for FY 2016-17 performance appraisals. The Office of the Controller will work with the Department of Human Resources and Department of Technology toward citywide deployment after the pilot is successfully concluded.</p> <p>The Office of the Controller is implementing this recommendation by working closely with the Department of Human Resources and the Department of Technology to implement an expedited IT hiring pilot program. The expedited IT hiring pilot program includes the tracking of competencies, in eMerge PeopleSoft, at the time of hire. The tracking of competencies at the time of hire will enable the City to begin to develop a validated, IT skills inventory within PeopleSoft.</p> <p>In addition, the Office of the Controller is implementing an ePerformance Pilot Project, which includes implementing competency and skills assessment for 41 job classifications and 595 employees at the Airport Commission, Controller's Office, Department of Public Health, and Public Utilities Commission.</p> <p>The Office of the Controller will work with the Department of Human Resources and Department of Technology toward citywide deployment after the pilot is successfully concluded.</p>	Recommendation Implemented	<p>The Office of the Controller is implementing this recommendation by working closely with the Department of Human Resources and the Department of Technology to implement an expedited IT hiring pilot program. The expedited IT hiring pilot program includes the tracking of competencies, in eMerge PeopleSoft, at the time of hire. The tracking of competencies at the time of hire will enable the City to begin to develop a validated, IT skills inventory within PeopleSoft.</p> <p>DHR implemented improvements in the response to R5 below.</p>
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R4. The Office of the Controller should develop the skills inventory capability in the eMerge PeopleSoft system to update IT employee skills by the end of FY15-16	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	The Board of Supervisors does not have authority to implement this recommendation. Although the Board of Supervisors does not have the authority to implement the recommendation, the Board requests the Department of Technology and the Department of Human Resources to provide a report to the Board with their progress by the end of the calendar year.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R5. DHR should publicly present the results of its pilot IT hiring process to the Mayor and the Board of Supervisors before the end of CY2015	Mayor Department of Human Resources	Will be implemented in the future	The Department of Human Resources is currently expanding its IT hiring pilot, in cooperation with the Department of Technology, the Controller's Office and other City departments. The results will not be ready for presentation at the end of calendar year 2015, but the department projects they will be available by the end of FY 2015-16 and will present these findings to the public COIT oversight body, which includes representatives of both the Mayor and the Board of Supervisors.	Recommendation Implemented	<p>The Department of Human Resources (DHR) and Department of Technology presented the Tech-Hire Project to COIT, the City's technology policy and oversight body, which includes representatives of both the Mayor and the Board of Supervisors, in November of 2015. DHR will give COIT a project update before the close of FY 2015-16.</p> <p>DHR additionally implemented an internal Tech-Hire communications program to ensure City stakeholders are continuously updated on the project. DHR has done in person sessions about Tech-Hire at CIO forums, HR professionals meetings, and with individual departments, and began providing monthly video updates to stakeholders in March of 2016.</p>
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R5. DHR should publicly present the results of its pilot IT hiring process to the Mayor and the Board of Supervisors before the end of CY2015	Board of Supervisors	Recommendation implemented	The Department of Human Resources presented the results of its expedited IT hiring project in October 2015.	**	

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2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R6. DHR should issue a monthly written report to the Mayor and Board of Supervisors showing the number of open IT positions at the beginning of the month, the number of new IT position requisitions received in the current month, the number of IT positions filled in the current month, the number of open IT positions at the end of the month, and the average number of days required to fill the IT positions closed in the current month.	Mayor Department of Human Resources	Will not be implemented because it is not warranted or reasonable	DHR regularly reports to the Committee on Information Technology (COIT) on the status of the IT Hiring Group's progress, so further reporting is not operationally beneficial at this time. For context, current results reflect that approval of a department's request to fill a position, a process managed by DHR and the Mayor's Office, takes an average of four days. Other parts of the hiring process are managed at the department level, where extended periods of time between when a position goes vacant and when a department submits a request a hire occurs, based on the department's immediate priorities, needs, and goals. There may also be periods of time between when the request to hire is approved and when a person is actually hired, due to circumstances such as lack of an adequate candidate pool. Without any context on where a vacancy actually is in the hiring process, and departmental insight into why a position remains vacant, a monthly set of data will not shed any light on why an IT job remains unfilled. DHR and the Mayor's Office are pursuing numerous, potentially impactful improvements to processes and systems that will create more transparency for hiring in general. Additionally, DHR regularly reports to the Civil Service Commission on matters under its jurisdiction. Annual reports to the Civil Service Commission, which are relevant to IT hiring, include: • Appointments Exempt from Civil Service under the 1996 Charter Section 10.104 - 1 through 10.104-12 • Appointments Exempt from Civil Service under the 1996 Charter Section 10.104 - Categories 16 through 18 • Position-Based Testing Program • Class Consolidation	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R6. DHR should issue a monthly written report to the Mayor and Board of Supervisors showing the number of open IT positions at the beginning of the month, the number of new IT position requisitions received in the current month, the number of IT positions filled in the current month, the number of open IT positions at the end of the month, and the average number of days required to fill the IT positions closed in the current month.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	Implementation of this recommendation is largely beyond the jurisdiction of the Board of Supervisors. While any individual supervisor could call a hearing on this topic or request a report at any time, the Board of Supervisors cannot specifically predict if or when one may do so. Moreover, reports with this level of granularity and frequency would likely be excessive for the Board's purposes.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R7. DT should launch a taskforce to recommend options for recruiting and hiring IT staff, particularly on an "at will" basis.	Mayor Department of Technology	Recommendation implemented	In its original report, the Civil Grand Jury recommended that the Mayor's Office and DHR convene a taskforce to develop methods to speed up the process for hiring IT personnel in the absence of making all IT positions exempt, which would require a Charter change. The taskforce was convened and included DT, DHR, the Mayor's Office, the Controller's Office, other City departments, and IFPTE Local 21. As noted in response to recommendations five and six, this group developed and implemented interim strategies to improve hiring, including a pilot online, on-demand exam. The pilot exam was successful, but only impacted one portion of the hiring process. As noted in response to finding two, this group is implementing a comprehensive plan to improve IT hiring.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R7. DT should launch a taskforce to recommend options for recruiting and hiring IT staff, particularly on an "at will" basis.	Board of Supervisors	Recommendation implemented	As DT's response indicates, this task force was developed in response to the Civil Grand Jury's previous report. The Board of Supervisors thanks the Civil Grand Jury members for their contributions and role in improving city-wide IT.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R8. The Mayor and Board of Supervisors should calendar an interim review of taskforce proposals within six months of its convening.	Mayor Department of Technology	Recommendation implemented	The taskforce, described in the response to Recommendation 7, presented to the public COIT body in their September 19, 2013 meeting and updated the group on January 29, 2015. The taskforce will continue to present updates and proposals to the public COIT body in the future.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R8. The Mayor and Board of Supervisors should calendar an interim review of taskforce proposals within six months of its convening.	Board of Supervisors	Recommendation implemented	The task force has and will again present to COIT, a body on which the President of the Board of Supervisors and many other City leaders sit.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R9. DT needs a recruiter dedicated exclusively to DT and other IT units' staffing needs.	Mayor Department of Technology	Will be implemented in the future	As part of the larger departmental reorganization, DT has prioritized existing resources in the current fiscal year to support the existing efforts to improve IT recruitment through DHR. The department is in the process of identifying the appropriate staff position to focus on expedited outreach and hiring for IT positions. The ongoing nature of this position will be re-evaluated at the fiscal year end as part of the larger taskforce planning and recommendations for improving the City's IT hiring.	Recommendation Implemented	DT hired an IT Recruitment and Retention Manager in October 2015. Since October 2015, the manager has implemented a proactive recruitment approach resulting in increased applicants, filled vacancies and investment in existing staff via professional development. For FY15/16, DT has hired and promoted 93 staff members, brought down vacancy rate to 14%, reduced time to hire, and increased diversity rates. The IT Recruitment and Retention Manager partners with DHR on the tech hire project to improve the way the City hires IT personnel and works with other departments (DPH, DPW, Public Library, Controllers Office, 311, etc) with their IT and executive recruiting efforts.

(1) **** Response not required: Recommendation has been fully implemented or abandoned.

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2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R9. DT needs a recruiter dedicated exclusively to DT and other IT units' staffing needs.	Board of Supervisors	Will not be implemented because it is not warranted or reasonable	This is largely beyond the jurisdiction of the Board of Supervisors, though the Board will support DT in its efforts and evaluate any possible staffing requests during the annual budget process.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R10. DT needs to hire business analyst talent for the taskforce, new reorganization, and new initiatives.	Mayor Department of Technology	Recommendation implemented	As described in the response to Finding 4, DT created a Business Engagement Office as part of its reorganization. The purpose of the Business Engagement Office is to utilize best practices for client engagement, service delivery, and vendor relationship management. The Office is currently staffed by an existing staff member with budget approval to add an additional staff member in the current fiscal year. The department intends to continually evaluate the needs of the team and consider adding additional resources in coming fiscal years.	**	
2014-15	Unfinished Business: A Continuity Report on the 2011-12 Report, Déjà Vu All Over Again	R10. DT needs to hire business analyst talent for the taskforce, new reorganization, and new initiatives.	Board of Supervisors	Recommendation implemented	This is largely beyond the jurisdiction of the Board of Supervisors, but as the Department's response indicates, DT has "created a Business Engagement Office as part of its reorganization" that is working on these efforts and has funding for additional staff.	**	

(1) ** Response not required; Recommendation has been fully implemented or abandoned.



CITY AND COUNTY OF SAN FRANCISCO

OFFICE OF THE CONTROLLER

Ben Rosenfield
Controller

Todd Rydstrom
Deputy Controller

BOS-11, COB,

C-page

October 25, 2016

The Honorable Edwin Lee
Mayor, City and County of San Francisco
City Hall, Room 200

The Honorable Board of Supervisors
Room 244, City Hall

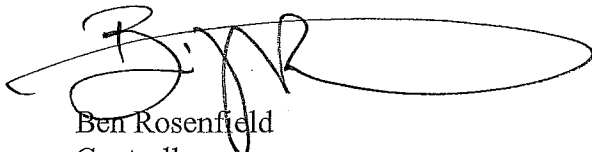
Re: Fiscal Year 2015-16 Surplus Transfers Report

Dear Mayor Lee, Ladies and Gentlemen:

According to Section 3.18 of the San Francisco Administrative Code, "the Controller shall notify the Mayor and the Board of Supervisors of any transfer of funds made pursuant to this section which exceeds 10 percent of the original appropriation to which the transfer is made." With that in mind, I have attached schedules of operating and project transfers that have exceeded 10 percent for Fiscal Year 2015-16.

Please feel free to contact me at 554-7500 if you would like to discuss this report in further detail.

Sincerely,



Ben Rosenfield
Controller

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TODD RYDSTROM
2016 OCT 26 PM 2:16

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Projects Transfers in excess of 10% per Admin Code 3.18
Fiscal Year 2015-16

Office of the Controller - Data as of Fiscal Month 12 Close June 30, 2016

DEPARTMENT	PROJECT	ORIGINAL BUDGET	TRANSFER TO/(FROM)	REVISED BUDGET	TFR. %	EXPLANATION
Assessor/Recorder/General Fund Annual Project	PRR061	1,844,542	(1,844,542)	0	-100%	
Assessor/Recorder/General Services Special Revenue Fund State Authorized Special Rev Fund	PRR061	2,232,187	1,844,542	4,076,729	83%	Transfer to reclassify residual project funds previously recorded in General Fund to the State Authorized Special Revenue Fund as governed by statute.
City Planning/General Fund Continuing Projects	PCP042	5,576,402	(100,000)	5,476,402	-2%	
City Planning/General Fund Continuing Projects	PCP046	500,000	100,000	600,000	20%	Transfer to record the costs for the Record Digitization project with funds previously appropriated under Backlog Reduction project.
Public Health/General Fund Non-Proj-Controlled	021 Non-Personnel Services	421,036,516	(2,735,319)	418,301,197	-1%	
Public Health/General Fund Non-Proj-Controlled	081 Services of Other Depts	26,840,515	(2,413,381)	24,427,134	-9%	
Public Health/General Fund Continuing Projects	CHCWEL		367,000	367,000	100%	Transfer to reclassify funds for a child care clinic as a leasehold improvement capital project. Funds were previously classified under services of other departments.
Public Health/Laguna Honda Hospital Operating Continuing Proj-Operating Fund	CHLREM		2,000,000	2,000,000	100%	Transfer to expedite the decommission of OSHPD state licensing and LHH remodel project, with funds available in General Fund.
Public Health/General Fund Continuing Projects	CHMRES		2,781,700	2,781,700	100%	Transfer to combine the two interconnecting buildings at 1171 and 1185 Mission Street for 30 more RESPITE beds, with funds previously appropriated in General Fund.

DEPARTMENT	PROJECT	ORIGINAL BUDGET	TRANSFER TO/(FROM)	REVISED BUDGET	TFR. %	EXPLANATION
Human Services Agency/General Fund Non-Proj-Controlled	021 Non-Personnel Services	31,986,886	(4,136,192)	27,850,694	-13%	
Human Services Agency/General Fund Non-Proj-Controlled	036 Aid Assistance	49,071,382	(200,000)	48,871,382	-0.4%	
Human Services Agency/ General Fund Continuing Projects	FSS100	8,650,756	(250,000)	8,400,756	-3%	
Human Services Agency/ General Fund Continuing Projects	CSS005	250,000	2,136,192	2,386,192	854%	Transfer to pay DPW and DTIS for space reconfiguration, building maintenance, and repair work for several HSA buildings, with savings from both the repair and maintenance budget and funds previously appropriated in General Fund.
Human Services Agency/ General Fund Continuing Projects	CSS006	-	2,450,000	2,450,000	100%	Transfer to record costs associated with boiler replacement, space configuration, and building maintenance and repair work for several HSA buildings, with savings from the repair and maintenance budget, aid assistance budget, and funds previously appropriated in General Fund.
Economic and Workforce Development/General Fund Annual Project	PBE011	18,603,928	(306,000)	18,297,928	-2%	
Economic and Workforce Development/Special Revenue Funds Community Development	PBECPM	5,350,000	(1,275,000)	4,075,000	-24%	
Recreation and Park Commission/General Fund Continuing Project	CRPNPS	662,455	306,000	968,455	46%	Transfer to properly consolidate Recreation and Park's Geneva Car Barn Restoration project costs, with funds previously appropriated under OEWD under other community development projects.
Hetch Hetchy/Capital Project Fund Public Improvement & Transportation	CUH896	1,972,500	1,275,000	3,247,500	65%	Transfer to update the project code for funds previously appropriated under CPMC tenderloin lighting and traffic safety project to tenderloin streetlight replacement project, as required by the development agreement.

DEPARTMENT	PROJECT	ORIGINAL BUDGET	TRANSFER TO/(FROM)	REVISED BUDGET	TFR. %	EXPLANATION
Fine Arts Museum/General Fund Continuing Projects	CFADY3	25,000	(10,395)	14,605	-42%	
Fine Arts Museum/General Fund Continuing Projects	CFADY5	250,000	(22,455)	227,545	-9%	
Fine Arts Museum/General Fund Continuing Projects	CFALH1	250,000	(97,240)	152,760	-39%	
Fine Arts Museum/General Fund Continuing Projects	CFALH2	280,000	(218,335)	61,665	-78%	
Fine Arts Museum/General Fund Continuing Projects	CFALH5	179,400	(59,920)	119,480	-33%	
Fine Arts Museum/General Fund Continuing Projects	SFALHR	1,165,498	(13,068)	1,152,430	-1%	
Fine Arts Museum/General Fund Continuing Projects	CFALH2	280,000	81,496	361,496	29%	Transfer to cover higher than anticipated costs for Legion of Honor's Fire Alarm System Replacement Project bidder, with savings from other projects.
Fine Arts Museum/General Fund Continuing Projects	CFALH4	20,000	36,540	56,540	183%	Transfer to cover excess costs for the Freight Elevator Cab Refurbishment Project with funds previously appropriated under the Security Shutters Replacement Project.
Fine Arts Museum/General Fund Continuing Projects	CFALHM	694,600	303,377	997,977	44%	Transfer to supplement funds for the Legion of Honor Masonry Project, with savings previously appropriated under various other projects.
Fire/General Fund Non-Proj-Controlled	001 Salaries	230,162,529	(449,325)	229,713,204	-0.2%	
Fire/General Fund Continuing Projects	FFC105	500,000	(437,000)	63,000	-87%	

DEPARTMENT	PROJECT	ORIGINAL BUDGET	TRANSFER TO/(FROM)	REVISED BUDGET	TFR. %	EXPLANATION
Fire/General Fund Continuing Projects	FFC109	600,000	(375,000)	225,000	-63%	
Fire/General Fund Continuing Projects	CFC121	-	437,000	437,000	100%	Transfer to reclassify costs of Fire Station 31 Back-up Generator Replacement System Project so capitalization is properly captured.
Fire/General Fund Continuing Projects	CFCBSR	282,500	375,000	657,500	133%	Transfer to reclassify costs of Fire Station Boiler Improvements Project so capitalization is properly captured.
Fire/General Fund Annual Project	PFC130	1,374,757	449,325	1,824,082	33%	Transfer to cover the costs for protective equipment, uniform, and other associated costs for new employees hired under a FEMA grant. Surplus funds for salary and fringe resulting from the grant are being reallocated to cover the material and supplies.
Water Department/Operating Non-Proj-Controlled Fund	040 Materials & Supplies	13,935,753	(160,801)	13,774,952	-1%	
Water Department/Operating Fund Annual Projects	PUW511	1,187,691	160,801	1,348,492	14%	Transfer to pay water expenses at Treasure Island, with savings from the materials and supplies budget.

Operating Budget Transfers in excess of 10% per Admin Code 3.18

Fiscal Year 2015-16 (July 2015 - June 2016)

Office of the Controller - Data as of Fiscal Month 12 Close June 30, 2016

DEPARTMENT	CHARACTER	BUDGET	TRANSFER (FROM) / TO	REV. BGT.	TFR. %	EXPLANATION
General Services Agency/ General Fund	001 Salaries	34,296,407	(907,785)	33,388,622	-2.65%	
	021 Non-Personnel Services	3,707,334	(200,000)	3,507,334	-5.39%	
	081 Services Of Other Depts	5,299,272	1,107,785.00	6,407,057	20.90%	Transfer to supplement PUC's Project Pull intern program and the Controller's Strategic Sourcing Team with savings from salaries and fringe due to staff vacancies and delayed hiring. Additional transfer to fund the Mayor's Office Neighborhood Notification initiative with funds previously appropriated to professional services contracts.

Assessor Recorder/ General Fund	001 Salaries	12,632,849	(590,529)	12,042,320	-4.67%	
	013 Mandatory Fringe Benefits	5,136,518	(150,347)	4,986,171	-2.93%	
	021 Non-Personnel Services	598,320	255,600	853,920	42.72%	Transfer to extend the contract of the IT contractor responsible for managing several critical projects including digitization and social security truncation, funded with savings from salaries and fringe due to delayed hiring.
	040 Materials & Supplies	59,000	30,000	89,000	50.85%	Transfer to purchase and restock basic office supplies, funded with savings from salaries and fringe due to delayed hiring and unforeseen resignations.
	081 Services Of Other Depts	1,488,708	455,276	1,943,984	30.58%	Transfer to supplement Worker's Compensation and pay for Controller's FAST team accounting services, Public Works County Surveyor, and City Attorney services, with savings from salaries and fringe due to delayed hiring.

City Attorney/ General Fund	021 Non-Personnel Services	8,872,620	(194,526)	8,678,094	-2.19%	
	040 Materials & Supplies	140,000	50,000	190,000	35.71%	Transfer to complete the workstation and cubicle upgrade project, funded with savings from reduced use of outside counsel.
	081 Services Of Other Depts	905,286	144,526	1,049,812	15.96%	Transfer to purchase Office 365 e-mail software through DTIS' exclusive agreement with Microsoft, with funds previously appropriated for professional services contract. Transfer to pay for the Controller's FAST team accounting services, funded with savings from reduced use of outside counsel.

Operating Budget Transfers in excess of 10% per Admin Code 3.18

Fiscal Year 2015-16 (July 2015 - June 2016)

Office of the Controller - Data as of Fiscal Month 12 Close June 30, 2016

DEPARTMENT	CHARACTER	BUDGET	TRANSFER (FROM) / TO	REV. BGT.	TFR. %	EXPLANATION
Controller/ General Fund	001 Salaries	11,497,660	(400,000)	11,097,660	-3.48%	
	013 Mandatory Fringe Benefits	4,626,573	(114,571)	4,512,002	-2.48%	
	021 Non-Personnel Services	1,334,117	514,571	1,848,688	38.57%	Transfer to cover the unanticipated Office of Economic Analysis' (OEA) Inclusionary Housing Study per request of the Board of Supervisors. Additional transfer covers Accounting Operations & Systems Division's (AOSD) Internal Controls Attestation Reviews for the City's new financial system, funded with savings from salaries and fringe due to staff vacancies and delayed hiring
Public Health/ General Fund	021 Non-Personnel Services	421,036,516	(61,750)	420,974,766	-0.01%	
	060 Capital Outlay		61,750	61,750	100.00%	Transfer for emergency purchase of hazmat equipment for industrial leak response and detection and for two approved vehicle replacements erroneously omitted from the operating budget, with funds previously appropriated in professional services budget.
Human Services/ General Fund	021 Non-Personnel Services	31,986,886	(886,000)	31,100,886	-2.77%	
	036 AID Assistance	49,071,382	(20,000)	49,051,382	-0.04%	
	039 Other Support & Care of Persons	\$ -	20,000	20,000	100.00%	Transfer to assist fostered youth with security deposits to landlords, with funds previously appropriated in Aid Assistance Special Services.
	040 Materials & Supplies	4,095,003	886,000	4,981,003	21.64%	Transfer to purchase office supplies, cubicles, and laptops for various locations. Funds were previously appropriated under social services contracts but it was later determined the purchases could be made internally.
Ethics Commission/ General Fund	081 Services Of Other Depts	171,215	(1,812)	169,403	-1.06%	
	040 Materials & Supplies	13,466	1,812	15,278	13.46%	Transfer for emergency replacement of water-damaged equipment and office supplies, funded with savings from DTIS workorder overage.

Operating Budget Transfers in excess of 10% per Admin Code 3.18

Fiscal Year 2015-16 (July 2015 - June 2016)

Office of the Controller - Data as of Fiscal Month 12 Close June 30, 2016

DEPARTMENT	CHARACTER	BUDGET	TRANSFER (FROM) / TO	REV. BGT.	TFR. %	EXPLANATION
Fire Department/ General Fund	001 Salaries	230,162,529	(883,288)	229,279,241	-0.38%	
	021 Non-Personnel Services	2,095,485	319,551	2,415,036	15.25%	Transfer to cover additional costs of scavenger services, medical director services, health checks, defibrillator maintenance and annual hazardous materials and licenses permitting, funded with one-time salary savings from FEMA grant.
	040 Materials & Supplies	4,247,767	563,737	4,811,504	13.27%	Transfer to pay higher than anticipated expenditures for EMS equipment, fuel, software, and defibrillator supplies due to increased call volume, funded with one-time salary savings from FEMA grant.
Juvenile Probation/ General Fund	081 Services Of Other Depts.	3,982,042	(195,706)	3,786,336	-4.91%	
	060 Capital Outlay	212,022	195,706	407,728	92.30%	Transfer to replace aged, high-mileage vehicles with new transport vehicles, funded with savings previously appropriated in services of other departments.
Law Library/ General Fund	021 Non-Personnel Services	17,275	(1,638)	15,637	-9.48%	
	040 Materials & Supplies	443	1,638	2,081	369.75%	Transfer to cover shortage in materials and supplies, funded with savings previously appropriated under professional services budget.
Board of Appeals/ General Fund	001 Salaries	435,473	(17,000)	418,473	-3.90%	
	021 Non-Personnel Services	74,192	(7,900)	66,292	-10.65%	
	081 Services Of Other Depts.	216,399	(6,500)	209,899	-3.00%	
	013 Mandatory Fringe Benefits	193,142	31,400	224,542	16.26%	Transfer to pay Health Service System (HSS) retiree subsidy costs and to cover employees' mandatory fringe benefits, funded with savings from interdepartmental services, staff vacancies, and lower costs for neighborhood notification materials.
Public Defender/ General Fund	001 Salaries	21,350,562	(78,392)	21,272,170	-0.37%	
	040 Materials & Supplies	136,809	78,392	215,201	57.30%	Transfer to purchase ergonomic furniture and office equipment, funded with savings from unanticipated staff turnover and hiring delays.

Operating Budget Transfers in excess of 10% per Admin Code 3.18

Fiscal Year 2015-16 (July 2015 - June 2016)

Office of the Controller - Data as of Fiscal Month 12 Close June 30, 2016

DEPARTMENT	CHARACTER	BUDGET	TRANSFER (FROM) / TO	REV. BGT.	TFR. %	EXPLANATION
Recreation and Park Commission/ General Fund	001 Salaries	33,366,528	(69,671.00)	33,296,857	-0.21%	
	013 Mandatory Fringe Benefits	13,658,812	(5,329.00)	13,653,483	-0.04%	
	081 Services Of Other Depts	744,272	75,000.00	819,272	10.08%	Transfer to properly record additional staffing costs at Willie Woo Woo Clubhouse under Department of Children, Youth and Family (DCYF), with funds previously appropriated under Rec and Park (REC) salaries and fringe.
Elections/ General Fund	021 Non-Personnel Services	10,038,571	(179,978)	9,858,593	-1.79%	
	081 Services Of Other Depts	1,123,121	179,978	1,303,099	16.02%	Transfer to cover additional costs from DTIS for video production and software, Public Works planning costs for the Warehouse Relocation Project, SHF for security services, and SFMTA for Parking Control Officers' services. The transfer is funded with savings due to reduced printing costs as a result of fewer anticipated candidates and measures on the 2015 election ballot.
Treasure /Tax Collector/ General Fund	001 Salaries	13,474,549	(500,000)	12,974,549	-3.71%	
	021 Non-Personnel Services	2,450,311	(300,000)	2,150,311	-12.24%	
	021 Non-Personnel Services	2,450,311	500,000	2,950,311	20.41%	Transfer to cover system integration between existing TTX cashing and banking systems and the new F&P systems, funded by savings from salaries and fringe.
	081 Services Of Other Depts	3,732	300,000	303,732	8038.59%	Transfer to fund agreement with Assessor-Recorder (ASR) to prepare for the acquisition and implementation of a new property assessment and tax system, funded by savings from changes and delays in other contracts.
War Memorial/ Special Revenue Fund	040 Materials & Supplies	278,100	(3,456.34)	274,644	-1.24%	
	060 Capital Outlay	16,794	3,456.34	20,250	20.58%	Transfer to cover price increases in equipment purchases due to late-construction relocation delays, funded by savings previously appropriated in materials and supplies.

Operating Budget Transfers in excess of 10% per Admin Code 3.18

Fiscal Year 2015-16 (July 2015 - June 2016)

Office of the Controller - Data as of Fiscal Month 12 Close June 30, 2016

DEPARTMENT	CHARACTER	BUDGET	TRANSFER (FROM) / TO	REV. BGT.	TFR. %	EXPLANATION
Status of Women/ General Fund	038 City Grant Programs	5,364,849	(200,323.00)	5,164,526	-3.73%	
	081 Services Of Other Depts	135,802	200,323.00	336,125	147.51%	Transfer to pay to Human Services Agency (HSA) for crisis intervention and case management services, with funds previously appropriated under community based organization services.

From: Reports, Controller (CON)
Sent: Wednesday, October 26, 2016 2:19 PM
To: Calvillo, Angela (BOS); Gosiengfiao, Rachel (BOS); BOS-Supervisors; Kawa, Steve (MYR); Howard, Kate (MYR); Steeves, Asja (CON); SF Docs (LIB); CON-EVERYONE; MYR-ALL Department Heads; CON-Finance Officers
Subject: Issued: City Services Auditor Summary of Implementation Status of Recommendations Followed up on in the First Quarter of Fiscal Year 2016-17

The Office of the Controller's City Services Auditor Division (CSA) today issued a memorandum on the follow-up of its recommendations conducted in the first quarter of fiscal year 2016-17. As reported in the memorandum, of the 107 recommendations followed up on, 55 (51 percent) are now closed.

To view the full memorandum, please visit our website at:
<http://openbook.sfgov.org/webreports/details3.aspx?id=2370>

This is a send-only e-mail address. For questions about the memorandum, please contact Director of City Audits Tonia Ledijs at tonia.lediju@sfgov.org or 415-554-5393 or the CSA Audits Unit at 415-554-7469.

Follow us on Twitter @SFController

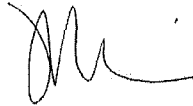


CITY AND COUNTY OF SAN FRANCISCO
OFFICE OF THE CONTROLLER

Ben Rosenfield
Controller
Todd Rydstrom
Deputy Controller

MEMORANDUM

TO: Government Audit and Oversight Committee
Board of Supervisors

FROM: Tonia Lediju, Director of City Audits
City Services Auditor Division 

DATE: October 26, 2016

SUBJECT: City Services Auditor Summary of Implementation Status of Recommendations
Followed up on in Fiscal Year 2016-17, First Quarter

The City Services Auditor Division (CSA) of the Office of the Controller (Controller) follows up on all recommendations it issues to departments of the City and County of San Francisco (City) every six months after original issuance. CSA reports on the results of its follow-up activity to the Board of Supervisors' Government Audit and Oversight Committee. This process fulfills the requirement of the San Francisco Charter, Section F1.105, for auditees to report on their efforts to address the Controller's findings and, if relevant, report the basis for deciding not to implement a recommendation.

The regular follow-up begins when CSA sends a questionnaire to the responsible department requesting an update on the implementation status of each recommendation. CSA assigns a summary status to the report or memorandum for each responsible department according to the status of each recommendation. The statuses are described in the table below.

SUMMARY OF FOLLOW-UP STATUSES		
Summary Status	Status of Recommendations	Further Regular Follow-Up?
<i>Closed</i>	All closed	No
<i>Open</i>	At least one open, including any one that the department contests	Yes

Based on its review of the department's response, CSA assigns a status to each recommendation. A status of:

- **Open** indicates that the recommendation has not yet been fully implemented.
- **Contested** indicates that the department has chosen not to implement the recommendation.
- **Closed** indicates that the response described sufficient action to fully implement the recommendation or an acceptable alternative or a change occurred to make the recommendation no longer applicable or feasible.

Also, CSA periodically selects reports or memorandums for a more in-depth, field follow-up assessment, in which CSA tests to verify the implementation status of the recommendations.

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DEPARTMENT ABBREVIATIONS

Abbreviated Name	Full Name
Aging and Adult Services (DAAS)	Department of Aging and Adult Services
Airport (AIR)	Airport Commission
Arts (ART)	Arts Commission
CSA	City Services Auditor Division
Controller (CON)	Office of the Controller
Environment (ENV)	Department of the Environment
Library (LIB)	Public Library
Port (PRT)	Port Commission (Port of San Francisco)
Public Health (DPH)	Department of Public Health
Rec and Park (REC)	Recreation and Park Department
SFMTA (MTA)	San Francisco Municipal Transportation Agency
SFPUC (PUC)	San Francisco Public Utilities Commission

REGULAR FOLLOW-UP ACTIVITY IN FIRST QUARTER OF FISCAL YEAR 2016-17

During the first quarter of fiscal year 2016-17, CSA followed up on 107 open recommendations from 24 reports or memorandums (documents). Of the 107 open recommendations, departments reported implementing 55 (51 percent). As a result, CSA was able to close 9 of the 24 reports or memorandums.

The following table shows the number of recommendations CSA followed up on and their resulting status during the first quarter and summarizes the status of reports for each department.

Department	Recommendations		Reports
	Followed Up On	Closed as of 9/30/16	Open
Aging and Adult Services	21	4	1
Airport	9	9	-
Arts	1	1	-
Controller	1	-	1
Environment	1	-	1
Library	7	3	1
Port	8	1	2
Public Health	3	3	-
Rec and Park	2	2	-
SFMTA	17	3	6
SFPUC	36	28	3
Technology	1	1	-
Total	107	55	15

Follow-ups Closed**Summary of Follow-ups Closed in the First Quarter of Fiscal Year 2016-17**

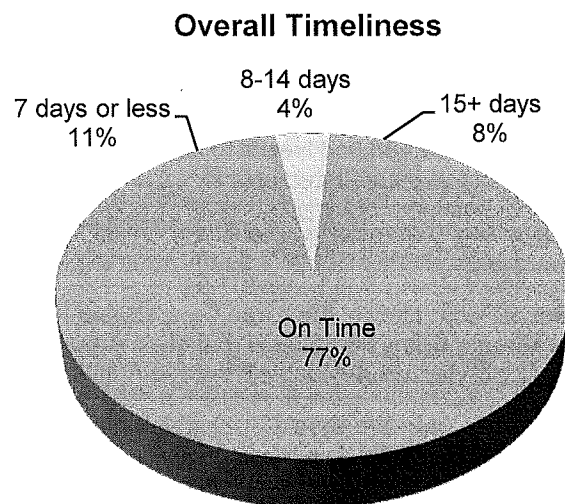
Dept.	Issue Date	Document Title	Number of Recommendations
AIR	1/13/15	Airport Commission: Better Oversight Is Required to Improve the Change Management Process for the New Air Traffic Control Tower	17
AIR	1/25/16	Airport Commission: JPMorgan Chase Bank, National Association, Correctly Reported Its Revenues and Paid Rent for December 2010 Through November 2012	2
AIR	3/17/16	Airport Commission: RDG Concessions, LLC, Correctly Reported Its Revenues and Paid Rent for January 2013 Through December 2014	1
AIR	3/24/16	Airport Commission: Goodfellows Shoeshine-California, Inc., Underpaid Rent by \$3,486 and Needs to Submit Complete Certified Monthly Revenue Statements for 2013 and 2014	4
ART	7/12/11	San Francisco Arts Commission: The Street Artists Program Should Improve Its Internal Controls and Accounting Practices	13
DPH	2/19/15	Department of Public Health: Improved Controls Are Needed to Prevent Missing Billing Information and More Analysis and Monitoring Could Reduce Avoidable Revenue Adjustments	10
PRT	8/5/14	Port Commission: The Port Should Strengthen Internal Controls Over Its Inventory	16
PUC	3/8/16	San Francisco Public Utilities Commission: The Department Inadequately Monitored Change Orders for the Sunol Valley Water Treatment Plant Improvement Project	7
REC	7/28/15	Recreation and Park Commission: Tournament Players Club of California, Inc., Correctly Remitted Harding Park Golf Course Revenues for July 2012 Through June 2014	2

Response Timeliness

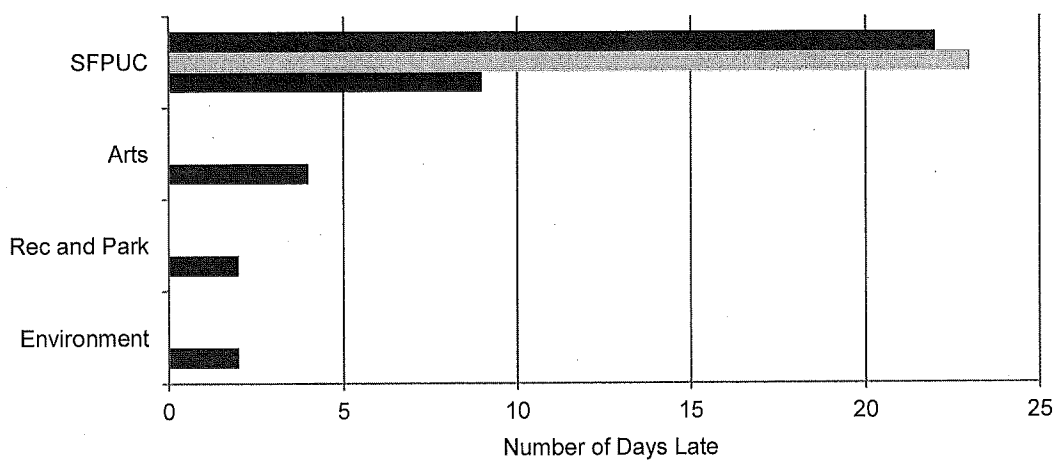
The majority of department responses were received on time or within a week of the deadline. CSA received a response for all audit reports followed up on in this quarter.

CSA gives departments two weeks to respond to its follow-up requests and grants extensions upon request. If an extension is granted, timeliness is calculated based on the extended deadline. The chart below shows departments' responsiveness to CSA's follow-up requests.

Timeliness of Departments' Responses to Follow-up Requests in the First Quarter of Fiscal Year 2016-17



Timeliness of Departments With Late Responses



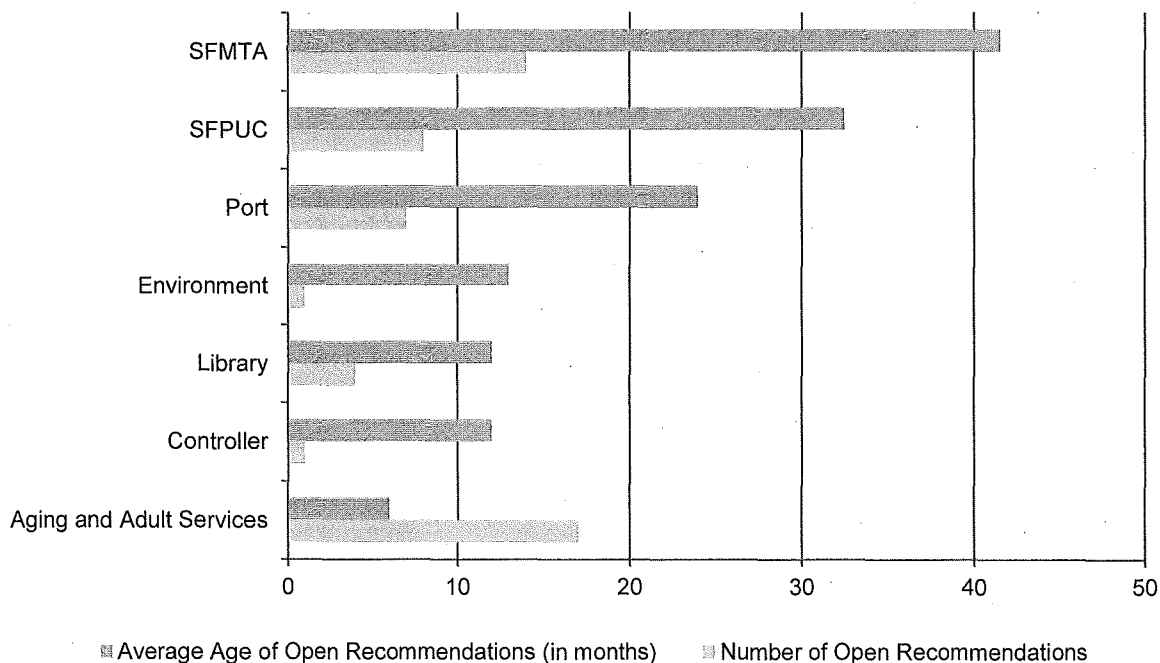
Note: Each bar represents one response.

Open Recommendations

Although the majority of CSA's recommendations are implemented within one year of their issuance, some remain outstanding for longer. The average age of the open recommendations is 23 months, and ages range from 6 to 73 months. Two open recommendations, which are directed to SFPUC, are 73 months old. Thirteen of the 14 recommendations made to SFMTA are more than 25 months old. All other open recommendations are less than 24 months old.

The chart below shows the number of open recommendations, by department, and their average age.

Number and Average Age of Open Recommendations Followed up on, by Department



In some cases, a department has implemented few or none of CSA's recommendations. This does not necessarily indicate that the department is not making an effort to resolve the underlying issues. In some instances, the department has not yet had the opportunity because the recommendations relate to events that happen only periodically, such as labor agreement negotiations, or because the recommendations were issued too recently for the department to have achieved full implementation.

The following table summarizes the reasons departments reported for not fully implementing the open recommendations addressed to them.

Summary of Open Reports for the First Quarter of Fiscal Year 2016-17				
Dept.	Issue Date	Report	Open Recs.	Reason(s) Reported
CON	9/3/15	All Ten Selected Organizations Complied With the San Francisco Administrative Code, Chapter 12G, by Not Using City Funds for Political Activity for Fiscal Year 2013-14	1	The department expects to complete the fiscal year 2015-16 Political Activity Assessment by 12/31/16 and will include a follow-up on indirect cost allocation methodology, which will address the recommendation.
DAAS	3/29/16	Department of Aging and Adult Services: Insufficient Inventory Tracking and Supervisory Practices and Failure to Segregate Duties Increase the Risk That Inventory Will Be Lost or Misappropriated Without Detection	17	Full implementation requires creating policies and procedures to ensure inventory lists are accurate and segregating roles for employees who edit the lists. The department is performing these steps.
ENV	8/17/15	Citywide Cash Disbursements Assessment: Ten of Nineteen Departments Tested Did Not Comply With City Payment Processing Guidelines and Must Create or Improve Cash Disbursements Policies and Procedures	1	The department is compiling its policies and procedures and expects full implementation of the remaining recommendation by 1/31/17.
LIB	9/16/15	Public Library: The Custodial Services Unit Needs to Better Manage Materials and Supplies	4	The department is in the process of drafting policies and procedures for obsolete items. To address the remaining recommendations, the department plans to use the new financial system's inventory module. The new financial system is scheduled to begin operating on 7/1/17.
MTA	6/9/11	San Francisco Municipal Transportation Agency: The Sustainable Streets Division Could Improve Its Operations	5	SFMTA is scheduled to have an agency-wide system that captures the City's inventory by 10/31/17. Full implementation of the remaining recommendations involves developing policies and procedures and an operations manual, which are expected to be complete by 12/31/16.
MTA	7/17/12	San Francisco Municipal Transportation Agency: The Parking Enforcement Section Should More Effectively Manage Its Resources, Strengthen Some Internal Controls, and Improve the Efficiency of Its Operations	1	The department is testing a second area on an application that will create and update parking enforcement beats based on a data-driven methodology. Results will be evaluated by 10/31/16. Full implementation of the remaining recommendation will happen at that time.

Summary of Open Reports for the First Quarter of Fiscal Year 2016-17

Dept.	Issue Date	Report	Open Recs.	Reason(s) Reported
MTA	9/10/13	San Francisco Municipal Transportation Agency: The Agency Must Improve Staffing Planning and Training to Meet Its Need for Transit Operators	2	The department is creating a new classification, which is expected to address one recommendation by 9/30/17. Full implementation of the other recommendation requires obtaining approval of a lease for a training space in either Alameda or Daly City. The department is performing these steps.
MTA	8/20/14	San Francisco Municipal Transportation Agency: City of San Francisco Uptown Parking Corporation Correctly Reported Sutter Stockton Garage Revenues and Expenditures for May 2011 Through April 2013 But Can Improve Controls Over Lease Management	3	The department expects to complete written policies and procedures by 12/31/16, which will address one recommendation. Full implementation of the other two recommendations requires obtaining a service outlining deposit procedures. This service will be implemented by 12/31/16.
MTA	8/20/14	San Francisco Municipal Transportation Agency: City of San Francisco Uptown Parking Corporation Correctly Reported Union Square Garage Revenues and Expenditures for May 2011 Through April 2013 But Can Improve Controls Over Lease Management	2	The department expects to implement the open recommendations by 12/31/16. Full implementation requires finalizing a lease agreement and completing policies and procedures.
MTA	2/9/15	Citywide Payroll: Eleven Departments Incorrectly Paid Employees, Improperly Approved Time, or Did Not Comply With Citywide Policies and Procedures	1	The department is developing policies and procedures, which will fully implement the recommendation.
PRT	9/17/14	Port Commission: Blue and Gold Fleet, L.P., Had Inadequate Internal Controls Over the Reporting of Gross Receipts to the Port for 2010 Through 2012	4	Discussions with Blue and Gold Fleet resulted in a proposed solution in September 2015. However, full implementation cannot occur until a new lease is signed.
PRT	9/17/14	Port Commission: Castagnola's Restaurant Had Inadequate Internal Controls Over the Reporting of Gross Receipts to the Port for 2010 Through 2012	3	Full implementation requires collecting money owed from Castagnola's. The department has sent a written request to the tenant and is waiting for additional documentation.
PUC	8/10/10	San Francisco Public Utilities Commission: Audit of Administration of Fixed Rent Agreements with Revenues Exceeding \$100,000	2	Full implementation requires the department to obtain additional security deposits through automatic billing and to update the permit with a new license.

Summary of Open Reports for the First Quarter of Fiscal Year 2016-17				
Dept.	Issue Date	Report	Open Recs.	Reason(s) Reported
PUC	2/26/15	San Francisco Public Utilities Commission: The Department Needs to Improve Its Management and Monitoring of Telephone Assets and Costs	1	Full implementation requires changing an internal tracking system and automating a manual process. SFPUC expects to complete the automation by 9/30/17.
PUC	2/17/15	Audit of Department Class One Power Sales to Modesto and Turlock Irrigation Districts in California	5	The department is negotiating replacement agreements and working to remove ineligible customers from the customer list. Full implementation of the remaining recommendations requires the department to review the customer list and establish document retention guidelines.

FIELD FOLLOW-UP ACTIVITY IN THE FIRST QUARTER OF FISCAL YEAR 2016-17

Any audit report or memorandum may be selected for a more in-depth field follow-up regardless of summary status. Field follow-ups result in memorandums that are also subject to CSA's regular follow-ups.

There were no field follow-up memorandums issued and no field follow-ups in progress in the first quarter of fiscal year 2016-17.

From: Reports, Controller (CON)
Sent: Thursday, October 27, 2016 11:54 AM
To: Calvillo, Angela (BOS); Gosiengfiao, Rachel (BOS); BOS-Supervisors; BOS-Legislative Aides; Kawa, Steve (MYR); Howard, Kate (MYR); Tucker, John (MYR); Hussey, Deirdre (MYR); Tsang, Francis; Elliott, Jason (MYR); Steeves, Asja (CON); Campbell, Severin (BUD); Newman, Debra (BUD); Rose, Harvey (BUD); SF Docs (LIB); CON-EVERYONE; mhollein@famsf.org; ccastillo@famsf.org; mbourne@famsf.org; Prohaska, Ed (FAM); Ozun, Sabri (FAM); bmarston@famsf.org; rmyrow@kqed.org
Subject: Issued: COFAM Inappropriately Paid \$450,773 to a City Employee. FAMSF and COFAM Should Improve Their Payroll and Disbursements Processes.

The Office of the Controller's City Services Auditor Division (CSA) today issued a memorandum on its audit of the payroll and cash disbursements processes at the Fine Arts Museums of San Francisco (FAMSF) and Corporation of the Fine Arts Museums (COFAM). Based on a three-year audit period, the audit of FAMSF and COFAM found that:

- COFAM, with its own funds, made an unsupported payment of \$450,773 in May 2014 to a retiring FAMSF employee. No city law or guideline expressly prohibits or allows such a payment, but it is considered improper under federal guidance.
- No electronic records of former employees' pay exist, resulting in \$5,183 of pay to COFAM employees that could not be verified and \$4,526 of pay to FAMSF employees that could not be verified.
- COFAM could not provide support for \$2,558 of cash disbursements and made \$136 in incorrect payments.
- A payroll error caused FAMSF to overpay \$36 to an employee.
- FAMSF supervisors did not approve or date timesheets for three employees tested, and one timesheet was approved but not dated.
- FAMSF did not require its city employees to complete secondary employment forms, contrary to city policy.
- The payroll and disbursement policies and procedures of both FAMSF and COFAM need to be improved, consolidated, formalized, and enforced.

To view the full memorandum, please visit our website at:
<http://openbook.sfgov.org/webreports/details3.aspx?id=2372>

This is a send-only e-mail address. For questions about the memorandum, please contact Director of City Audits Tonia Lediju at tonia.lediju@sfgov.org or 415-554-5393 or the CSA Audits Unit at 415-554-7469.

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CITY AND COUNTY OF SAN FRANCISCO
OFFICE OF THE CONTROLLER

Ben Rosenfield
Controller

Todd Rydstrom
Deputy Controller

MEMORANDUM

TO: Max Hollein, Director
Fine Arts Museums of San Francisco

Board of Trustees
Fine Arts Museums of San Francisco

FROM: Tonia Lediju, Director of City Audits
City Services Auditor Division

DATE: October 27, 2016

SUBJECT: *The Corporation of the Fine Arts Museums Inappropriately Paid \$450,773 to a City Employee Without Support. The Fine Arts Museums of San Francisco and the Corporation of the Fine Arts Museums Should Improve Aspects of Their Payroll and Disbursements Processes.*

EXECUTIVE SUMMARY

The payroll and cash disbursements processes at the Fine Arts Museums of San Francisco (FAMSF), a department of the City and County of San Francisco (City), and the Corporation of the Fine Arts Museums (COFAM) have control weaknesses that need improvement. Based on the audit period of 2013 through 2015, the audit found that:

- COFAM, with its own funds, made an unsupported payment of \$450,773 in May 2014 to a retiring FAMSF employee. No city law or guideline expressly prohibits or allows such a payment, but it is considered improper under federal guidance.
- No electronic records of former employees' pay exist, resulting in \$5,183 of pay to COFAM employees that could not be verified and \$4,526 of pay to FAMSF employees that could not be verified.
- COFAM could not provide support for \$2,558 of cash disbursements and made \$136 in incorrect payments.
- A payroll error caused FAMSF to overpay \$36 to an employee.
- FAMSF supervisors did not approve or date timesheets for three employees tested, and one timesheet was approved but not dated.
- FAMSF did not require its city employees to complete secondary employment forms, contrary to city policy.
- The payroll and disbursement policies and procedures of both FAMSF and COFAM need to be improved, consolidated, formalized, and enforced.

FAMSF agrees with the seven audit findings and concurs or partially concurs with the twelve recommendations.

BACKGROUND, OBJECTIVES & METHODOLOGY

Background

The Office of the Controller's City Services Auditor Division (CSA) conducted this audit under the authority of the Charter of the City and County of San Francisco (City), Section 3.105 and Appendix F, which requires that CSA conduct periodic, comprehensive financial and performance audits of city departments, services, and activities.

The City and County of San Francisco, through its Fine Arts Museums of San Francisco, owns and is responsible for the operation of the M.H. de Young Memorial Museum and the California Palace of Legion of Honor. The two museums are governed by the boards of trustees of the:

- Fine Arts Museums of San Francisco – a charitable trust department of the City. All FAMSF employees are city employees. FAMSF has the authority to maintain, operate, and manage the buildings that house the museums.¹
- Corporation of the Fine Arts Museums – a private, nonprofit (501(c)(3)) organization, which supports and operates the activities of the museums in cooperation with FAMSF.²
- Fine Arts Museums Foundation (the Foundation) – founded to receive and disburse funds for the administration and advancement of the museums.

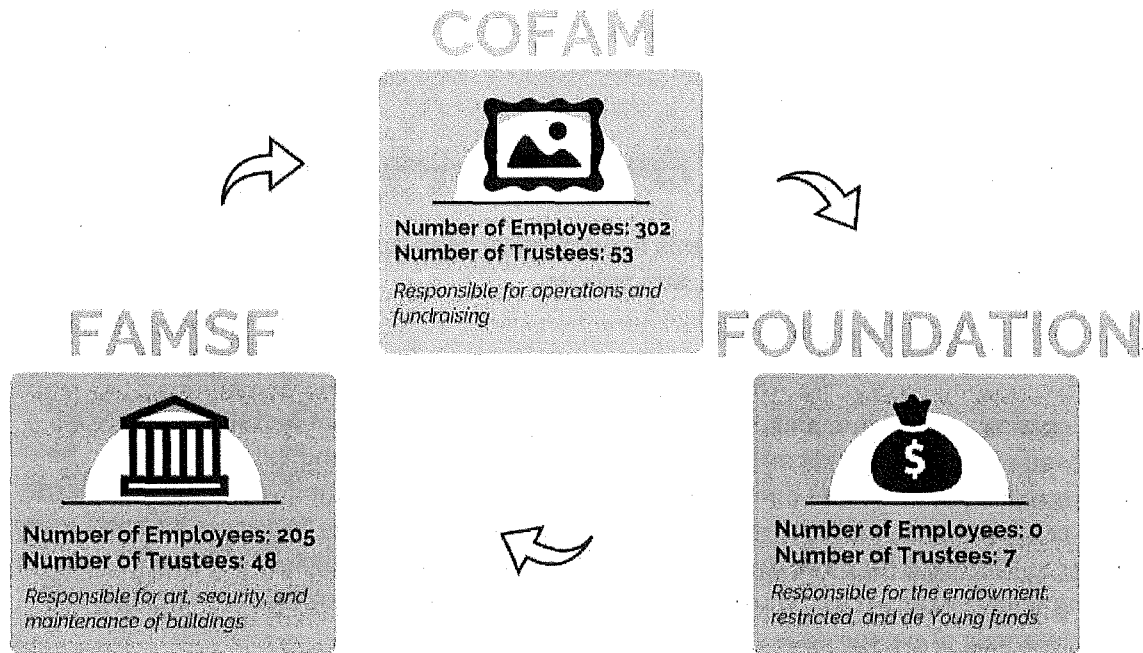
FAMSF and COFAM both have employees. The Foundation has no employees. The exhibit on the following page displays how the three entities are related.

¹ Per the San Francisco Charter, Section 5.101, "The charitable trust departments shall have exclusive charge of the trusts and all other assets under their jurisdiction, which may be acquired by loan, purchase, gift, devise, bequest or otherwise, including any land or buildings set aside for their use. They shall have authority to maintain, operate, manage, repair or reconstruct existing buildings and construct new buildings, and to make and enter into contracts relating thereto, subject, insofar as City funds are to be used, to the budgetary and fiscal provisions of this Charter."

² Per the San Francisco Charter, Section 5.105, "The Board [of Trustees of the Fine Arts Museums of San Francisco] may enter into agreements with a not-for-profit or other legal entity to develop or operate the museums and to raise and maintain funds for the museums' support."

COFAM Inappropriately Paid \$450,773 to a City Employee Without Support. FAMSF and COFAM Should Improve Aspects of Their Payroll and Disbursements Processes.
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EXHIBIT Fine Arts Museums of San Francisco Entities



Note: Only FAMSF employees are city employees.

Source: Fine Arts Museums of San Francisco, as of fiscal year 2014-15.

Payments to Employees

According to both FAMSF and COFAM, employees of either organization can be paid through payroll or employee reimbursements.

Payroll

According to FAMSF and COFAM, the recorded worked hours for the pay period of both organizations' employees are entered in FAMSF's ADP payroll software (ADP).³ COFAM uses ADP to pay its employees. This differs from FAMSF, whose employees are city employees, which must enter its employees' work time (reported in ADP) in the City's payroll system, Oracle's PeopleSoft Human Capital Management and Enterprise Learning Management software (PeopleSoft). FAMSF employees are then paid through PeopleSoft. In fiscal year 2014-15 FAMSF spent \$11.9 million on payroll and COFAM spent \$15.6 million.

Employee Reimbursements

According to FAMSF and COFAM, employees of either organization can be reimbursed for travel and other business expenses they incur. To do so, employees must submit a form and associated receipts to the FAMSF Accounting Division, which then reviews, approves, and processes the reimbursement in its accounting system, Financial Edge.

³ ADP payroll software is a product of ADP, LLC.

Objectives

The objective of this audit was to determine whether FAMSF has adequate and effective internal controls in its payroll and cash disbursements processes. The audit's subobjectives were to determine whether:

- Payroll disbursements were appropriate and complied with applicable laws, regulations, and policies.
- Nonpayroll cash disbursements to employees were appropriate and complied with applicable laws, regulations, and policies.

Scope Limitation

CSA obtained an understanding of the internal control environment pertaining to payroll processes to plan the audit and to determine the nature, timing, and extent of tests to be performed. However, ADP does not allow access to separated employees' payroll records, so CSA could not test whether there was supervisory approval of pay to separated employees. (Refer to Finding 2 for more detail.) Instead, CSA assessed the reliability of payroll data by tracing it from ADP to payroll registers and, on a sample basis, verified the accuracy and completeness of payroll data in ADP.

Methodology

CSA gathered information on payroll and cash disbursements processes and conducted fieldwork to accomplish the audit objectives. Specifically, CSA:

- Interviewed key personnel at FAMSF and COFAM and conducted walkthroughs to observe and understand procedures and internal controls related to:
 - Payroll processing, including time entry and approvals.
 - Cash disbursements and accounting procedures, including for employee reimbursements and transfers of funds between COFAM and FAMSF.
 - Systems used for processing payroll and cash disbursements.
- Reviewed and assessed the adequacy of policies and procedures related to payroll and payment processing.
- Reviewed relevant sections of the San Francisco Charter (city Charter) and Administrative Code.
- Extracted payroll information from PeopleSoft.
- Obtained payroll data from ADP and cash disbursements data from Financial Edge.
- Based on a sample of 23 employees paid by both FAMSF and COFAM in overlapping pay periods, analyzed total payments of \$24,838 from PeopleSoft and total payments of \$483,988 from ADP.
- Tested the accuracy of pay and traced the pay from PeopleSoft or ADP to source documentation, such as timesheets, and verified proper approval.
- Based on a sample of disbursements made by COFAM to 13 people who were paid by both COFAM and FAMSF, examined supporting documentation for 25 disbursements totaling \$43,371.

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- Tested the accuracy of pay and traced the pay from Financial Edge to source documentation, such as expense or reimbursement request forms, receipts, and verified proper approval.

The audit period was January 1, 2013, through December 31, 2015.

This audit was conducted in accordance with generally accepted government auditing standards. These standards require planning and performing the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for the findings and conclusions based on the audit objectives. CSA believes that the evidence obtained provides a reasonable basis for the findings and conclusions based on the audit objectives.

RESULTS

Finding 1 – COFAM, with its own funds, made an unsupported payment of \$450,773 to a retiring city employee. No city law or guideline expressly prohibits or allows such a payment, but it is considered improper under federal guidance.

In May 2014 COFAM paid \$450,773 from its payroll system, ADP, to a retiring FAMSF stationary engineer. FAMSF staff corroborated that this payment was made by COFAM and not made (or reimbursed to COFAM) by FAMSF. Thus, the payment was not made with city funds. Neither COFAM nor FAMSF could provide supporting documentation, such as a written approval by either organization's governing body, for the payment. The city Charter, which is FAMSF's enabling law, does not address whether an entity such as COFAM is or is not authorized to make such a payment.

COFAM's bylaws state that COFAM's activities and affairs shall be managed and all corporate powers shall be exercised under the ultimate direction of its Board of Trustees. COFAM's bylaws do not specify whether or not COFAM's Board of Trustees must approve such a payment. Nonetheless, there is no evidence that this payment was directed or approved by COFAM's Board of Trustees. The U.S. Government Accountability Office defines improper payments to include any payment for which insufficient or no documentation was found. Strong preventive controls and properly defined approval procedures may reduce the likelihood of improper payments.

The audit could not find a city law or guideline that prohibits COFAM from paying FAMSF employees extra compensation. Although the city Charter allows the FAMSF Board of Trustees to provide particular FAMSF employees additional pay "to establish competitive compensation," these circumstances do not apply to the payment in question because it was not from FAMSF and, given that the employee was retiring, apparently was not intended to establish competitive compensation.¹

COFAM Inappropriately Paid \$450,773 to a City Employee Without Support. FAMSF and COFAM Should Improve Aspects of Their Payroll and Disbursements Processes.

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Further, no legal document defines the relationship between FAMSF and COFAM. Although a 2012 report from the San Francisco Board of Supervisors' Budget and Legislative Analyst² recommended that FAMSF create a formal, public document that should include the roles and responsibilities delegated to each entity, such a document still does not exist. Regarding this, FAMSF responded that, instead, COFAM had bylaws and that a 2002 lease existed. However, COFAM's bylaws are no substitute for a memorandum of understanding between FAMSF and COFAM, and the lease, as the audit found, is between FAMSF and the Foundation, not COFAM.

The city Charter allows for FAMSF to establish agreements with a not-for-profit or other legal entity to develop or operate the museums. However, without a document clearly defining its relationships with and requirements for COFAM and the Foundation, FAMSF cannot ensure that the museums are operated effectively.

Recommendations

The Fine Arts Museums of San Francisco should:

1. Recommend that the boards of trustees of the Corporation of the Fine Arts Museums and of the Fine Arts Museums Foundation establish and follow written policies to approve in advance any compensation to employees of the City that exceeds that authorized by the San Francisco Charter or the City's labor agreements.
2. Establish and document a memorandum of understanding between the Fine Arts Museums of San Francisco, the Corporation of the Fine Arts Museums, and the Fine Arts Museums Foundation, listing the roles and responsibilities of each organization.

Finding 2 – Former employees' electronic payroll records, including system approvals, are not accessible by FAMSF or COFAM payroll staff.

Detailed payroll records cannot be viewed in ADP for employees who separated from COFAM or FAMSF. Of 23 employees paid by COFAM and FAMSF, 10 (43 percent) were separated COFAM employees. As a result, the audit could not verify that \$5,183 paid to these employees was correctly entered in the system and approved by supervisors. Three FAMSF employees only had payroll records in ADP. Therefore, the audit could not verify that \$4,526 reported in ADP was approved. Lack of system functionality to access payroll records for separated employees restricts the ability to review and audit past payroll transactions and reduces the accountability of management.

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The City's Administrative Code, Chapter 8, allows the destruction of records five years after they were created, except payroll checks, time cards, and related documents, the destruction of which must receive approval from the Retirement Board. Further, each department head must prepare a schedule for the systematic retention and destruction of records. An alternative requirement, according to COFAM, is that payroll records should be retained for seven years. However, this requirement is not documented. Moreover, neither requirement can be adhered to without changes to the functionality of ADP. Without the payroll records of separated employees, COFAM and FAMSF are unable to support (and CSA is unable to determine) that their employees' pay was accurately approved and recorded.

Recommendations

The Fine Arts Museums of San Francisco should:

3. Work with the payroll system provider, ADP, to identify functional and reporting requirements to ensure that payroll staff can access payroll records, including approval records, of former Fine Arts Museums of San Francisco and Corporation of Fine Arts Museums employees for a designated period that complies with a record retention and destruction policy that is in accordance with city requirements.
4. Document and comply with a record retention and destruction policy that is in accordance with city requirements and request that the Corporation of the Fine Arts Museums does the same.

Finding 3 – Support was missing for \$2,558 of cash disbursements by COFAM, and COFAM incorrectly paid \$136, including \$100 for an executive's business dinner.

Of 25 COFAM payments tested, totaling \$43,371, 9 (36 percent) included \$2,558 in expense reimbursements that did not have supporting receipts or explanations. According to COFAM's 2015 Travel & Entertainment Policy and Guidelines, original receipts are required for all expenses, and claims that are not supported by receipts may be denied. However, COFAM also recognizes that some expenses, such as toll, storage, tips, taxis, etc., are occasionally not accompanied by a receipt. Consequently, according to COFAM, it has an unwritten policy that does not require employees to submit receipts for purchases of less than \$20 and foreign transaction fees. However, two COFAM payments tested—of \$1,000 each for membership dues—also did not have sufficient documentation to support the amounts paid.

Further, COFAM made three payments that caused it to overpay \$121 and underpay \$15, as follows:

- A \$100 overpayment was made to a COFAM executive for a business dinner. The expense report was prepared incorrectly and, according to accounting staff, it was instructed by management to process the disbursement without support for the \$100 expense.

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- Two overpayments, totaling \$21, were incorrectly charged by the merchant and incorrectly entered on an expense report by the COFAM employee requesting the reimbursement.
- A \$15 underpayment resulted from a miscalculation by the requester in preparing the check request.

Recommendations

The Fine Arts Museums of San Francisco should request that the Corporation of the Fine Arts Museums:

5. Enhance and enforce policies and procedures that document when employees are not required to provide support for purchases and that require employees to provide a written explanation for any missing receipts or discrepancies.
6. Reimburse the \$15 owed to one employee and consider the cost-effectiveness of recouping from employees the \$121 overpaid.

Finding 4 – FAMSF overpaid one employee \$36 due to a time entry error.

Of 23 employees whose pay was tested, one employee was erroneously overpaid \$36 by FAMSF for two hours of regular pay due to an incorrect entry in PeopleSoft. Paper timesheets show that the employee had worked and was approved for 24 hours, but payroll data from PeopleSoft shows that wages for 26 hours had been paid to the employee.

According to the City's Payroll Policies and Procedures Manual, each department's payroll staff is "responsible for administering the department's payroll and ensuring that employees' time information is submitted accurately to PPSP." Further, payroll supervisors are to review approximately 10 percent of time entries and their associated documentation. Had these procedures been performed by FAMSF, the incorrect payment may have been prevented, along with other potential pay errors.

Recommendations

The Fine Arts Museums of San Francisco should:

7. Correct the payroll errors that resulted in the \$36 overpayment and consider the cost-effectiveness of recouping the overpayment.
8. Create formal payroll policies and procedures to require the review of employee time entries.

Finding 5 – FAMSF supervisors did not properly approve timesheets in one pay period tested.

Of 23 FAMSF employees whose pay was tested, 11 (48 percent) were employed in Public Service Trainee positions. Of the 36 timesheets tested for these 11 employees, one timesheet lacked an approval signature for one date in a pay period for two employees tested. Although the audit did not test all employees who worked that day, the missing approval signature was on a timesheet for 24 Public Service Trainee employees who worked a total of 149 hours. An additional timesheet for one of the two employees tested lacked a date of signature in the same pay period.

According to the City's Payroll Policies and Procedures Manual, Section 2:

Completed timesheets should be reviewed and certified by the person having direct supervision over employees, to indicate that services were actually performed by the persons listed and that days/hours worked are accurate and justified. Only after timesheets have been reviewed and approved by such supervisory personnel should timesheets be transmitted to department payroll/personnel staff.

Accordingly, for this review to be effective, it needs to be performed on a timely basis, which would require evidence of the date of approval. Proper timely approval can only be evidenced if payroll forms include both the approver's signature and the date of the approver's signature. Without proper supervisory approval, the City may incorrectly pay employees for unapproved or incorrect time submitted. Also, without properly dating the payroll authorizations to indicate when they were approved, the City is at risk of paying an employee before formal approval is given.

Recommendation

9. The Fine Arts Museums of San Francisco should require and ensure that supervisors sign or otherwise approve in writing and date approval of all timesheets, whether those who appear on the timesheets are city employees or employees of the Corporation of the Fine Arts Museums.

Finding 6 – FAMSF does not require its employees who work for both FAMSF and COFAM to complete additional employment forms, contrary to city requirements.

According to FAMSF, and contrary to Rule 118⁴ of the Civil Service Commission (Rule 118), FAMSF did not obtain the required approval for additional employment of employees who work for both COFAM and FAMSF. Rule 118 requires that all city employees⁵ must obtain approval from the City's Department of Human Resources for any employment, position, or service in which the employee is to receive compensation in any form. Without approval by the Department of Human Resources, employees with additional employment are subject to disciplinary action as defined by the city Charter.

Recommendation

10. The Fine Arts Museums of San Francisco should require that any employee who holds a position at another entity complete an additional employment form.

Finding 7 – FAMSF and COFAM lack formal policies and procedures for some key aspects of payroll and cash disbursements.

FAMSF does not have written payroll policies and procedures to address some important controls needed to ensure that payroll and cash disbursements are administered properly, and COFAM needs to strengthen its payroll procedures. Although COFAM has written payroll procedures, FAMSF does not. The City's Payroll Policies and Procedures Manual requires that every department establish its own detailed internal control procedures governing the processing of employee payroll and ensure that they are being implemented.

Specifically, FAMSF lacks guidance on some key aspects of payroll, including the following:

- Review of Pay. FAMSF does not document its procedures for reviewing payroll records and reports. Specifically, FAMSF lacks written guidance requiring a review of 10 percent of time entries by the payroll clerk.

According to FAMSF, supervisory reviews are done when employees enter time in ADP but not when the payroll clerk manually enters the time into PeopleSoft. According to the City's Payroll Policies and Procedures Manual, payroll supervisors must review at least 10 percent of time entries and their associated documentation. Further, the manual requires that payroll authorizations be documented for all payroll-related changes and that management periodically review payroll change reports to ensure that any changes to pay are properly authorized and correctly entered in PeopleSoft.

⁴ Civil Service Commission Rule 118, Conflict of Interest, Section 118.2, Additional Employment.

⁵ Except the uniformed ranks of the San Francisco Police Department, Fire Department, and Municipal Transportation Agency's service-critical job classifications.

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- Frequency of Reviews. FAMSF lacks a written policy on when employee time is to be reviewed in PeopleSoft. According to FAMSF, the payroll clerk manually enters time in PeopleSoft biweekly. However, according to the City's Payroll Policies and Procedures Manual, manual time entries must be made weekly. Weekly entries reduce the risk that errors and other pay data issues will not be remedied by the pay data entry deadline, which is two-and-a-half working days after the pay period ends.

Although COFAM has a policy for employee travel reimbursements, entertainment, and courier travel expenses, it lacks a policy detailing procedures and allowable expenses for all other employee reimbursements, such as purchases made by employees on behalf of the museums. Specifically, COFAM lacks guidance on some key aspects of cash disbursements for employee reimbursements, including:

- Reimbursements Unrelated to Travel Expenses. COFAM does not document guidelines related to reimbursements other than for employee travel, entertainment, and courier travel expenses. Further, COFAM's accounting policies and procedures stipulate that employees should generally not advance their own funds to purchase goods and services on behalf of the museums. However, of 25 disbursements tested, 11 (44 percent) included at least one purchase for employee expenses that were unrelated to travel, entertainment, or courier travel, and that could have possibly been purchased through FAMSF's or COFAM's purchasing process instead. For example, disbursements tested included employee reimbursements for membership dues, office supplies, and technology items.
- Exceptions for Receipts and Other Supporting Documentation. COFAM's accounting policies and procedures require that expense reimbursements be submitted monthly with supporting documentation. However, the policies and procedures do not state that employees need not submit receipts in specific instances, such as for purchases of less than \$20, transaction fees, and parking meter fees.
- COFAM Credit Cards. Of 25 disbursements tested, 8 (32 percent) were for purchases made by employees using a COFAM credit card. According to COFAM, 15 employees have COFAM credit cards in their name that they are permitted to use for specific purchases for the museums (and possibly for some travel expenses). However, COFAM has no written policies or procedures on which employees are permitted to have a COFAM credit card, what goods or services may be purchased or under what dollar limitations, or who must approve the purchases. Written policies and procedures surrounding the use of COFAM credit cards will reduce the risk of inappropriate or fraudulent card use.

The U.S. Government Accountability Office states that an organization's internal control and transactions need to be clearly documented, and the documentation should appear in management directives, administrative policies, or operating manuals. Written policies and procedures, especially in the form of a manual, can easily be used by staff, which can enhance both accountability and consistency.

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Without documented procedures for payroll processes, payroll clerks may inconsistently process payroll, payroll documents may not be retained for as long as they should be, and a new clerk may have more difficulty assuming the job's duties. Also, without documented procedures for cash disbursements processes surrounding employee reimbursements, accounting staff may incorrectly and inconsistently process disbursements to employees.

Recommendations

The Fine Arts Museums of San Francisco should:

11. Document and implement policies and procedures defining payroll clerk responsibilities for entering timesheet and pay step data. At a minimum, the policies and procedures should provide for:
 - a. A payroll procedures checklist.
 - b. Requiring payroll management to review at least 10 percent of entries made in the PeopleSoft system and their associated documentation.
 - c. Requiring payroll staff to document payroll-related changes made directly in the PeopleSoft system and obtain a supervisor's approval.
 - d. Requiring payroll management to periodically review that payroll-related changes were properly authorized and documented.
 - e. Requiring employees to enter time weekly into ADP, supervisory approvals to the payroll unit weekly, and payroll clerks to review payroll records for accuracy weekly.
 - f. Reconciling the number of employees whose time is submitted to department payroll staff to the number of employees who receive pay.
12. Request that the Corporation of the Fine Arts Museums develop and implement policies and procedures defining employee requirements and accounting staff responsibilities related to employee reimbursements and other cash disbursements. At a minimum, the policy should provide for:
 - a. Expense reimbursements for payments other than for employee travel, entertainment, and courier travel.
 - b. Exceptions stating when receipts and other supporting documentation are not required.
 - c. Eligibility and guidelines for organizational credit cards, including allowable expenses, dollar thresholds, and approval process.

The response of FAMSF is attached. CSA will work with FAMSF to follow up on the status of the recommendations in this memorandum.

CSA extends its appreciation to you and your staff who assisted with this audit. If you have any questions or concerns, please contact me at (415) 554-5393 or tonia.lediju@sfgov.org.

COFAM Inappropriately Paid \$450,773 to a City Employee Without Support. FAMSF and COFAM Should Improve Aspects of Their Payroll and Disbursements Processes.

October 27, 2016

cc: Fine Arts Museums of San Francisco

Charles Castillo

Megan Bourne

Ed Prohaska

Sabri Ozun

Brian Marston

Controller

Ben Rosenfield

Todd Rydstrom

Mamadou Gning

Kate Chalk

Amanda Sobrepeña

Elaine Wong

Matthew Thomas

Board of Supervisors

Budget Analyst

Citizens Audit Review Board

City Attorney

Civil Grand Jury

Mayor

Public Library

COFAM Inappropriately Paid \$450,773 to a City Employee Without Support. FAMSF and COFAM Should Improve Aspects of Their Payroll and Disbursements Processes.

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ATTACHMENT: DEPARTMENT RESPONSE



Fine Arts
Museums of
San Francisco

de Young
Legion of Honor

October 20, 2016

Tonia Ledju
Director of City Audits
City Hall, Room 476
One Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

RE: Inappropriate COFAM payment to City employee

Dear Ms. Ledju,

I would like to thank you and your staff for your patience and cooperation throughout the Audit process titled, *"The Corporation of the Fine Arts Museums Inappropriately Paid \$450,773 to an Employee Without Support. The Fine Arts Museums of San Francisco and the Corporation of the Fine Arts Museums Should Improve Aspects of the Payroll and Disbursement Processes"*.

We have reviewed the audit's findings and recommendations. As indicated in the attached department response form, both COFAM and City staff will be working towards improving our policies and procedures regarding payroll, cash disbursement, accounting, reimbursements, and our enforcement of these policies. Additionally, in the spirit of cooperation and transparency, we will make sure that the City attorneys and the auditors in your office review these policies and procedures.

Please contact me if you need any additional information.

Sincerely,

Charles M. Castillo
Director of Human Resources and Administration

de Young

Golden Gate Park
50 Hagiwara Tea Garden Drive
San Francisco, CA 94118-4802

Tel 415.750.3000

www.thinkart.org

For each recommendation, the responsible agency should indicate whether it concurs, does not concur, or partially concurs. If it concurs with the recommendation, it should indicate the expected implementation date and implementation plan. If the responsible agency does not concur or partially concurs, it should provide an explanation and an alternate plan of action to address the identified issue.

RECOMMENDATIONS AND RESPONSES

Recommendation	Response
The Fine Arts Museums of San Francisco should:	
<p>1. Recommend that the boards of trustees of the Corporation of the Fine Arts Museums and of the Fine Arts Museums Foundation establish and follow written policies to approve in advance any compensation to employees of the City that exceeds that authorized by the San Francisco Charter or the City's labor agreements.</p>	<p> <input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur </p> <p>The Museums have implemented a current organizational culture that would provide additional checks and balances. Currently payroll reports to the Human Resources Department and the Accounting department reports to finance. A payroll check cannot be cut without payroll's approval and accounting has to provide the funding. This separation creates accountability not to one department or person, but to two separate departments, which is consistent with best practices.</p> <p>FAMSF has a plan to formalize a payroll policy already in place, which is to observe the MOU's, the City Charter, the Code of Ethics, and the Statement of Incompatible activities. The new payroll procedures manual, which will be created and implemented before the end of this calendar year, will not only address proper payroll procedures, but also outline the finance and accounting responsibilities and that of the payroll department. All advance payments must be clearly outlined in the MOU or the payroll policy.</p>

October 27, 2016

Recommendation	Response
<p>2. Establish and document a memorandum of understanding between the Fine Arts Museums of San Francisco, the Corporation of the Fine Arts Museums, and the Fine Arts Museums Foundation, listing the roles and responsibilities of each organization.</p>	<p><input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur</p> <p>The FAMSF and COFAM are currently under Audit. Now that the City Audit has identified recommendations for the Museums, we will take all of the recommendations and work to implement. These changes do and may also include changes to by-laws, payroll, compensation, retirement contributions, and benefits administration. We will proceed with the following steps:</p> <ol style="list-style-type: none"> 1) Review and implement recommended policy and procedures changes from the City and COFAM audit; 2) Incorporate changes in the Museums governing documents, IE, procedures manuals, by-laws, and/or board resolutions as necessary; 3) Create a Memorandum of Understanding between the three governing bodies in consultation with the City Attorneys.
<p>3. Work with the payroll system provider, ADP, to identify functional and reporting requirements to ensure that payroll staff can access payroll records, including approval records of former Fine Arts Museums of San Francisco and Corporation of Fine Arts Museums employees for a designated period that complies with a record retention and destruction policy that is in accordance with city requirements.</p>	<p><input type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input checked="" type="checkbox"/> Partially Concur</p> <p>Currently payroll staff can access payroll records of former employees. What is being limited is the timecards of terminated employees with a descriptor of the employee's supervisor. Currently those terminated employee timecards cannot be viewed in the timecard manager window. However, their timecard records are still accessible and available in a report format. The department will work with the Vendor ADP to add the supervisor approval field in order to correct the issue.</p> <p>The Museums' HR/ADMIN group will create and implement a new destruction policy that is consistent with the City and/or best practices in the interests of COFAM. The new policy will not contradict the City's policy, but the policy will be different given that there is no COFAM retirement board to report the destruction of file to as it is in the City. We will work with the City attorney's office and the COFAM legal team to ensure best practice.</p>

October 27, 2016

Recommendation	Response
4. Document and comply with a record retention and destruction policy that is in accordance with city requirements and request that the Corporation of the Fine Arts Museums does the same.	<input type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input checked="" type="checkbox"/> Partially Concur <p>The Museums' HR/ADMIN group will create and implement a new destruction policy that is consistent with the City and/or best practices in the interests of COFAM. The new policy will not contradict the City's policy, but the policy will be different given that there is no COFAM retirement board to report the destruction of file to as it is in the City. We will work with the City attorney's office and the COFAM legal team to ensure best practice.</p>
5. Enhance and enforce policies and procedures that document when employees are not required to provide support for purchases and that require employees to provide a written explanation for any missing receipts or discrepancies.	<input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur <p>The Museum's Accounting & Finance department will expand its current Expense Reimbursement policy to provide specific procedures to follow for any missing receipts or discrepancies.</p>
6. Reimburse the \$15 owed to one employee and consider the cost-effectiveness of recouping from employees the \$121 overpaid.	<input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur <p>The Museums will reimburse \$15 owed to one employee. In consideration of cost effectiveness of recouping the \$121 of overpayment, the museums will not pursue any further action.</p>
7. Correct the payroll errors that resulted in the \$36 overpayment and consider the cost-effectiveness of recouping the overpayment.	<input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur <p>The Payroll department has corrected the error and implemented an electronic submission policy that eliminates the error moving forward. It is not cost-effective to pursue the payment.</p>
8. Create formal payroll policies and procedures to require the review of employee time entries.	<input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur <p>This policy is currently in place. All time sheets are reviewed by supervisors electronically.</p>
9. Require and ensure that supervisors sign or otherwise approve in writing and date approval of all timesheets, whether those who appear on the timesheets are city employees or employees of the Corporation of the Fine Arts Museums.	<input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur <p>This policy is currently in place. All time sheets are reviewed by supervisors electronically.</p>

October 27, 2016

Recommendation	Response
10. Require that any employee who holds a position at another entity complete an additional employment form.	<input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur This is consistent with the City's policy and an email will be sent out before the end of the calendar year to all employees both COFAM and City.
11. Document and implement policies and procedures defining payroll clerk responsibilities for entering timesheet and pay step data. At a minimum, the policies and procedures should provide for: <ul style="list-style-type: none"> a. A payroll procedures checklist. b. Requiring payroll management to review at least 10 percent of entries made in the PeopleSoft system and their associated documentation. c. Requiring payroll staff to document payroll-related changes made directly in the PeopleSoft system and obtain a supervisor's approval. d. Requiring payroll management to periodically review that payroll-related changes were properly authorized and documented. e. Requiring employees to enter time weekly into ADP, supervisory approvals to the payroll unit weekly, and payroll clerks to review payroll records for accuracy weekly. f. Reconciling the number of employees whose time is submitted to department payroll staff to the number of employees who receive pay. 	<input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur <ul style="list-style-type: none"> a. We have an electronic checklist. However, it will be detailed and outlined in the new payroll policy and procedures handbook. b. This will require an additional step for the clerks and HR will ensure that the 10% does not result in overtime or additional work for payroll management. We will work with ADP to put in more functional reporting to expedite the review process. c. Will work with CCSF and PPSD in regards to Emerge and PeopleSoft access directly in the system. That is not something that the Museums currently control since that is an access issue for staff who currently VPN. We will work with DTIS and PPSD to implement over the next 6 months. d. This can be done randomly and at least on a quarterly basis. Our goal is to do it electronically but with all supporting documentation available within the payroll or accounting department. e. This policy exists. It just needs to be enforced in a timely manner by supervisors in both City and COFAM classifications. f. This can be implemented every other week at the start or the end of a pay period. However, it will require a report out of PeopleSoft or Emerge since the Museums don't actually produce or distribute City checks.

COFAM Inappropriately Paid \$450,773 to a City Employee Without Support. FAMSF and COFAM Should Improve Aspects of Their Payroll and Disbursements Processes.

October 27, 2016

Recommendation	Response
<p>12. Request that the Corporation of the Fine Arts Museums develop and implement policies and procedures defining employee requirements and accounting staff responsibilities related to employee reimbursements and other cash disbursements. At a minimum, the policy should provide for:</p> <ul style="list-style-type: none"> a. Expense reimbursements for payments other than for employee travel, entertainment, and courier travel. b. Exceptions stating when receipts and other supporting documentation are not required. c. Eligibility and guidelines for organizational credit cards, including allowable expenses, dollar thresholds, and approval process. 	<p><input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur</p> <p>The Museums will expand on the currently policy to further develop and implement policies and procedures defining both employee requirements and accounting responsibilities for employee reimbursements for payments other than employee T&E and courier travel. The policy will also specify exceptions for receipts and necessary supporting guidelines. The policy will be specific for eligibility and guidelines for corporate credit cards, allowable expenses, dollar thresholds, and the approval process.</p>

From: Reports, Controller (CON)
Sent: Thursday, October 27, 2016 2:25 PM
To: Reports, Controller (CON)
Subject: Issued: Street & Sidewalk Maintenance Standards Annual Report (FY 2015-16)

Following up on our recent release of the San Francisco Park Standards Annual report, the Controller's Office has issued the San Francisco Street & Sidewalk Standards Annual Report for fiscal year (FY) 2015-16 that includes a summary and analysis of streets and sidewalk evaluations performed between July 1, 2015 and June 30, 2016 as well as recommendations for improving the evaluation and maintenance program. This is the third year that the Controller's Office conducted evaluations based on new street and sidewalk standards, which build on the previous standards to provide greater clarity, reduce evaluator interpretation, allow for deeper analysis of the results, and respond to a survey of public priorities around street and sidewalk cleanliness.

San Francisco's streets and sidewalks were cleaner in FY 2015-16, but graffiti and some sidewalk hazards continued to grow despite additional services. Counts of graffiti increased across the City, particularly on commercial property and public property not maintained by SF Public Works. More routes were free of broken glass, but there were more observations and reports of loose needles and human waste. New "hot spots" also emerged in some on the City's neighborhoods, such as Mission-Dolores, Potrero Hill, South of Market, and Chinatown. Scores for trees and landscaping generally improved or remained the same.

To view the full report, please visit our Web site
at: <http://openbook.sfgov.org/webreports/details3.aspx?id=2371>

To learn more, please visit sfstreets.weebly.com

You can also access other reports on the Controller's website (<http://www.sfcontroller.org>) under the News & Events section, and view previous versions of this annual report by visiting <http://sfcontroller.org/index.aspx?page=49>

For questions about the report, please contact:

Luke Fuller
Office of the Controller
City Services Auditor, City Performance Unit
Phone: 415-554-6126
E-mail: luke.fuller@sfgov.org

Follow us on Twitter @SFController

This is a send-only e-mail address.

CITY & COUNTY OF SAN FRANCISCO

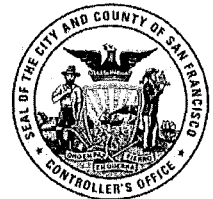
Office of the Controller

City Services Auditor, City Performance

STREET & SIDEWALK MAINTENANCE STANDARDS

Fiscal Year 2015-16
Annual Report

October 25, 2016



OFFICE OF THE CONTROLLER CITY SERVICES AUDITOR

The City Services Auditor (CSA) was created in the Office of the Controller through an amendment to the Charter of the City and County of San Francisco (City) that was approved by voters in November 2003. Under Appendix F to the Charter, CSA has broad authority to:

- Report on the level and effectiveness of San Francisco's public services and benchmark the City to other public agencies and jurisdictions.
- Conduct financial and performance audits of city departments, contractors, and functions to assess efficiency and effectiveness of processes and services.
- Operate a whistleblower hotline and website and investigate reports of waste, fraud, and abuse of city resources.
- Ensure the financial integrity and improve the overall performance and efficiency of city government.

CSA City Performance Team:

Peg Stevenson, *Director*
Natasha Mihal, *Project Manager*
Luke Fuller, *Performance Analyst*

Public Works Project Sponsors:

Larry Stringer, *Deputy Director of Operations*
Phil Galli, *Operations Manager*

JBR Partners:

Solomon So, *Project Manager*

For more information, please contact:

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Or visit:

sfstreets.weebly.com

<http://www.sfcontroller.org>

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EXECUTIVE SUMMARY

The City Services Auditor (CSA) Charter Amendment requires that the Controller's Office and San Francisco Public Works department develop and implement standards for street and sidewalk maintenance. The Charter Amendment mandates that the City Services Auditor issue an annual report of the City's performance under the standards. This report provides an overview of the standards, highlights the results of evaluations conducted in Fiscal Year 2015-16 ("FY 2015-16" or "FY16"), and includes recommendations to improve the City's work in this area.

The Standards used in this report measure the cleanliness and appearance of public streets, sidewalks, trash receptacles, and trees and landscaping. These assets are rated on the presence of litter, graffiti, foul odors, broken glass, general maintenance, and other conditions. This report does not evaluate the physical state of City streets, such as potholes, cracks or construction.

The City's streets were cleaner in FY16, but graffiti and some hazards continued to grow despite additional services.



Evaluators found less litter and grime across the City's streets and sidewalks, and approximately twice as many more routes were free of "excessive" litter compared to FY 2014-15.



Illegal dumping was slightly less common along commercial routes, but increased in residential areas. Supervisorial District 1 experienced the largest increase, driven mostly by additional reports near Golden Gate Park and Land's End.



Scores for feces, needles and condoms (FNC) generally stayed the same among commercial routes, but worsened slightly along residential routes in all San Francisco Public Works service areas ("Work Zones"). Public reports from the City's SF311 customer service center suggest a more significant increase of street and sidewalk feces, as well as hypodermic needles.



More routes were free of broken glass during FY 2015-16 evaluations. However, SF311 data show a sudden and significant increase in reports of broken glass near the end of the year, which appears to be the result of media coverage and increased public awareness.



Counts of graffiti along the San Francisco's streets increased significantly in all Work Zones in FY 2015-16. Average counts of graffiti doubled on private property along commercial routes, and tripled on public property not maintained by Public Works along both commercial and residential routes.



Scores for trees and landscaping generally improved or remained the same. On average the City was quicker to respond to service requests submitted by the public, but the total number of those requests increased.

INTRODUCTION

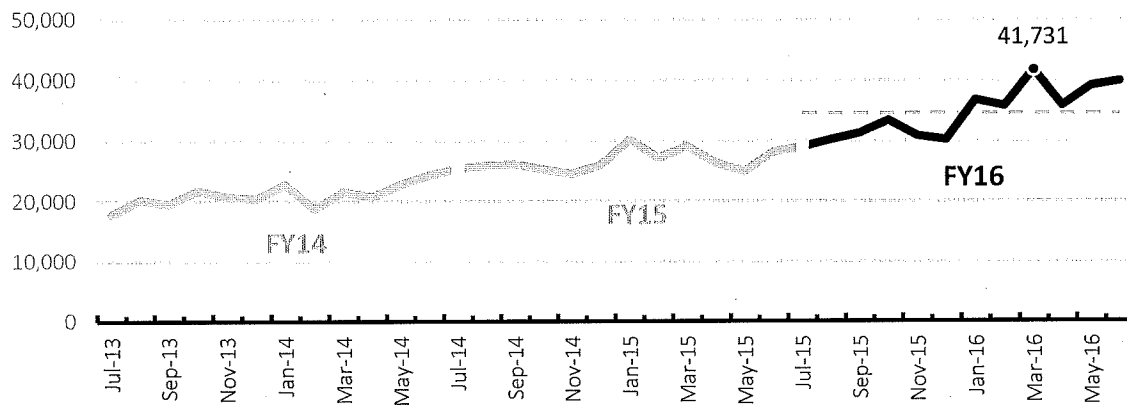
San Francisco's population has grown by nearly 8 percent since 2010, adding more than 60,000 residents to reach a total of 866,583 as of January 2016¹. About 136,000 new jobs have been created in the city over the past five years – an increase of 24.8 percent between December of 2010 and 2015², and more than 1,234,000 people fill San Francisco during daytime work hours³.

This continued growth of people living and working in San Francisco places additional demand on the City's service systems. Public service requests submitted to the City's SF311 customer service center increased significantly in recent years, growing by 25 percent in FY 2015-16 to reach an average of 34,480 requests per month. The City collected more than 24,000 tons of loose garbage and abandoned items in FY 2015-16, an increase of 7.8 percent over FY 2014-15 and more total weight than any year since FY 2009-10⁴.

In this context, the 2016 Street and Sidewalk Maintenance Standards Report offers a snapshot of the state of public corridors in San Francisco during Fiscal Year 2015-16 ("FY 2015-16" or "FY16") using sampled evaluation data from trained third-party inspectors. These findings are considered alongside other public data collected and reported by San Francisco's various service agencies. Where appropriate, this report will include summaries of data from San Francisco's SF311 customer service center or other sources to provide the reader with helpful information or context. Readers can interact with highlights of this data by visiting sfstreets.weebly.com

Increase in SF311 Service Requests

Total monthly service requests submitted to SF311 in FY14, FY15 and FY16 for all types.



Note: San Francisco's SF311 customer service center received an average of 34,400 public service requests per month in FY 2015-16, peaking in March, and the total number of requests for the year grew by 25% over FY15 to reach 413,700.

¹ State of California, Department of Finance, E-4 Population Estimates for Cities, Counties, and the State, 2011-2016, with 2010 Census Benchmark. Sacramento, California, May 2016.

² Figures from California's Employment Development Department report <https://data.edd.ca.gov/Industry-Information/Current-Employment-Statistics-CES-/r4zm-kdcg>

³ 2014 daytime population estimates produced by the San Francisco Controller's Office, including tourism.

⁴ Based on figures reported by Public Works in September 2016.

About the Program

In November 2003, San Francisco voters passed Proposition C, amending the City Charter to mandate that the City Services Auditor (CSA) division of the Controller's Office work with San Francisco Public Works in three ways:

- To develop objective and measurable standards for street maintenance⁵;
- To establish publicly posted street maintenance and staff schedule compliance reports⁶; and
- To issue an annual report⁷ on the state of the City's streets and sidewalks as measured by evaluations.

San Francisco Public Works contracted JBR Partners, Inc. (JBR) to conduct street and sidewalk evaluations for FY16. JBR follows the evaluation methodology described in APPENDIX: DETAILED EVALUATION METHODOLOGY. Twenty-two standards are evaluated within five different street and sidewalk categories: (1) Street cleanliness; (2) Sidewalk cleanliness; (3) Graffiti; (4) Trash receptacles; and (5) Trees and landscaping.

What are the Standards? A detailed description of the Street and Sidewalk Standards is available in APPENDIX: DETAILED EVALUATION METHODOLOGY and a complete text of the standards is available online at the link provided below.

What is evaluated? The physical unit of an evaluation is a "route". Each route consists of several contiguous city blocks, with one side of the street evaluated at a time. Each block consists of several contiguous 100-foot segments. Every route is evaluated at least twice on two different days during each fiscal year (July 1 through June 30). APPENDIX: EVALUATION ROUTE DIAGRAM illustrates the basic elements evaluated, including streets, sidewalks, and segments.

Where are the routes located? JBR evaluated a total of 183 selected routes throughout the City in FY 2015-16, providing data from 366 new evaluations from all 11 Supervisory Districts. Fifty-two percent of the routes were commercial and 48 percent were residential. JBR evaluated between 18 and 40 routes within each Public Works "work zone", or administrative area. These zones are labeled Zone A through Zone F. Generally, Zone A includes Supervisory Districts 2 and 3; Zone B includes District 6; Zone C includes Districts 1 and 5; Zone D includes Districts 8 and 9; Zone E includes District 10 and 11; and Zone F includes Districts 4 and 7.

Number of evaluation routes

Number of routes by Work Zone and type in FY16.

Work Zone	Commercial Route	Residential Routes	Total Routes
Zone A	16	9	25
Zone B	18	0	18
Zone C	20	15	35
Zone D	17	16	33
Zone E	16	16	32
Zone F	8	32	40
Total	95	88	183

Note: Work Zones are administrative areas of Public Works that generally include two adjacent Supervisorial Districts.

⁵ Full text of the Street and Sidewalk Maintenance Standards (2012) is available at <http://sfcontroller.org/proposition-c-compliance-street-sidewalk-and-park-maintenance-standards>

⁶ Public Works Mechanical Street Sweeping Program, <http://www.sfdpw.org/index.aspx?page=322>

⁷ San Francisco Office of the Controller's website, <http://sfcontroller.org/index.aspx?page=49>

A map of Public Works Work Zones and evaluated routes is available below, and [APPENDIX: LIST OF ROUTES EVALUATED](#) provides a list of all the routes evaluated.

Who is responsible for street and sidewalk maintenance? Among the twenty-two street and sidewalk standards evaluated, the Public Works department is generally responsible for the maintenance of the streets and Public Works assets located on the sidewalks. However there are a variety of properties and common assets that Public Works may not manage, or for which Public Works may only share partial responsibility with other property owners. For example, some light poles, traffic signs, electrical boxes, retaining walls, bike racks, street furniture, or other property on the sidewalk may be the responsibility of private parties (e.g. fronting property owners, community benefit districts, private utilities) or other public agencies (e.g. SFPUC, MTA, Recreation and Parks). Please see [APPENDIX: STREET & SIDEWALK MAINTENANCE RESPONSIBILITIES](#) for detailed information.

While Public Works is not responsible for all aspects of street and sidewalk maintenance, the department does manage several outreach and engagement programs to proactively encourage private property owners and communities to maintain their local streets and sidewalks. More information about these programs is available at the end of this report.

Where is the evaluation data? A complete dataset including route scores used for this report is publicly available online through the DataSF open data portal at www.datasf.org. Anyone can access the dataset directly through their internet browser at:

<https://data.sfgov.org/City-Infrastructure/DPW-Street-Sidewalk-Evaluation-Results-7-1-2013-to/83ki-hu3p>

An explanation of the dataset is located at:

https://data.sfgov.org/api/views/83ki-hu3p/files/v-Z6BGCbqbmP5VJ7ti9b0sp9b8Y0HNkrv6mGdh3INz0?download=true&filename=CON_DataDictionary_street-evals-since-2013.xlsx

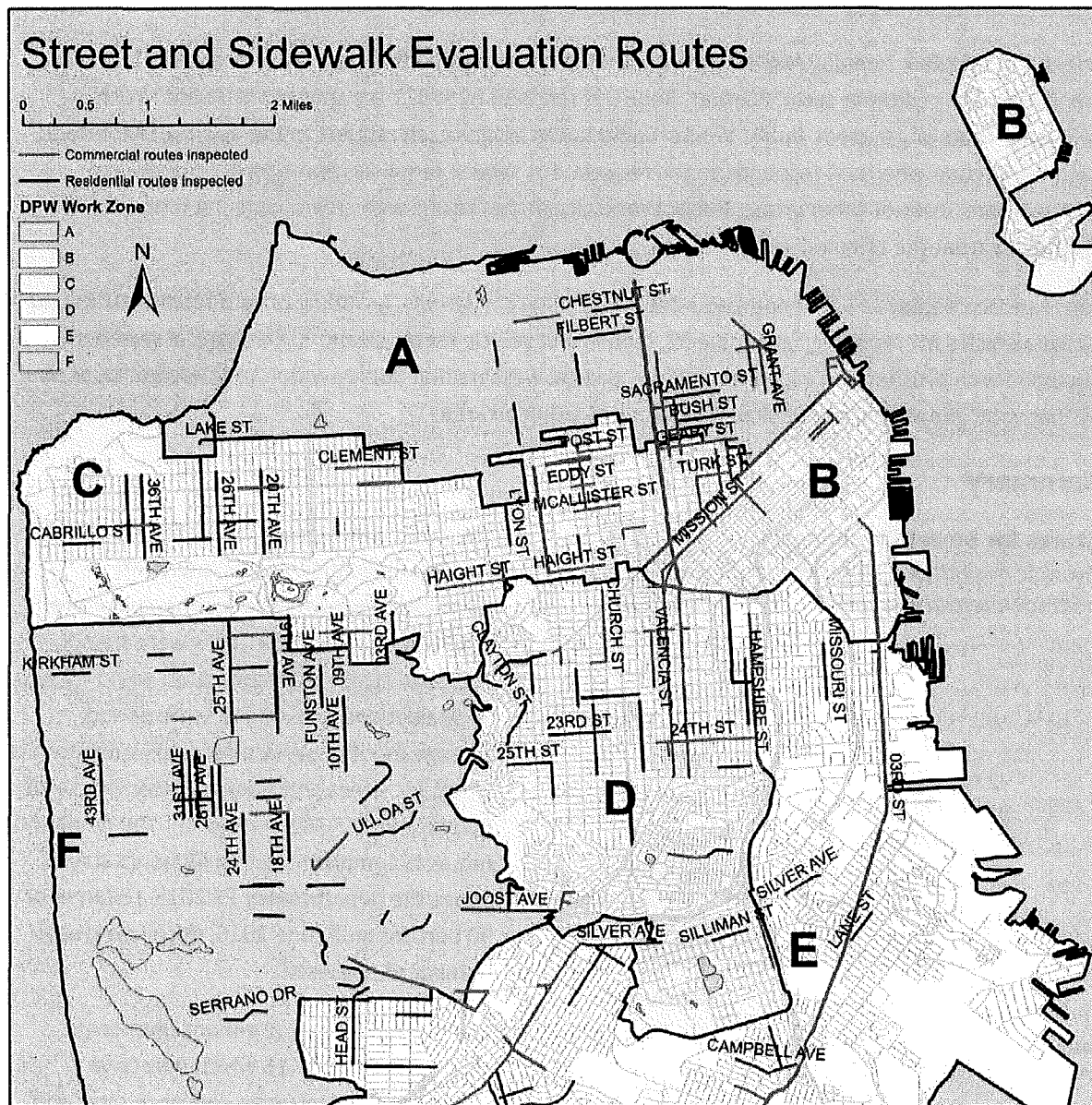
Additional data from the SF311 customer service center, including case records and locations, is also available online through the SF OpenData portal via the hyperlink below. This data set includes service requests related to the Street and Sidewalk Standards, which were selected and extracted for FY 2013-14, FY 2014-15, and FY 2015-16 during August 2016:

<https://data.sfgov.org/City-Infrastructure/Case-Data-from-San-Francisco-311-SF311-/vw6y-z8i6>

Map of Works Zones and Routes

San Francisco's Public Works department divides the city's streets and sidewalks into 6 separate maintenance areas, called Work Zones, which are labeled Zone A through Zone F. Generally, Zone A includes Supervisory Districts 2 and 3; Zone B includes District 6; Zone C includes Districts 1 and 5; Zone D includes Districts 8 and 9; Zone E includes District 10 and 11; and Zone F includes Districts 4 and 7.

The Street and Sidewalk Evaluation Routes map below outlines each of the six Work Zones with the specific commercial and residential routes evaluated in FY 2015-16.



STREETS

Overview

San Francisco Public Works cleans approximately 90 percent of San Francisco streets with mechanical sweepers, covering roughly 150,000 curb miles each year. Generally, residential streets are swept weekly or twice per month and commercial areas are swept at least once per week. For detailed information about street cleaning schedules in your area, visit:

<http://propertymap.sfplanning.org/?name=sffind&tab=1&layer=Street%20Sweeping>

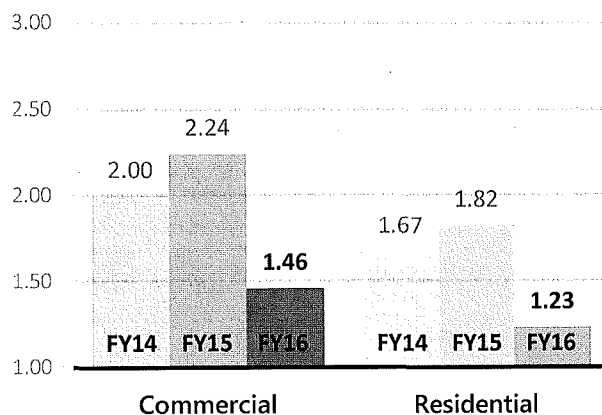
Street and sidewalk cleaning requests are generated internally and through requests received by San Francisco's 311 customer service center. Requests received by SF311 are sent to the Public Works "28Clean" reporting system. Public Works' Radio Room triages each request to the appropriate crew in each works zone who then responds to the request. For service requests reporting the presence of human waste, leaks or other grime, Public Works dispatches teams with steam-cleaning tools to more thoroughly treat the affected area.

San Francisco deploys a variety of resources for cleaning city streets, including broom teams, trucks and packer vehicles for removing large objects, mobile and vehicle steam cleaners, mechanical sweepers equipped with brushes and vacuums, and mechanical washers that deploy water and detergents, as well as "hot spot" crews that are deployed regularly to troubled areas.

Summary

Scores for Street Cleanliness

Average evaluation scores improved significantly for both commercial and residential routes in FY16.



Note: Individual route scores below (2) are considered "acceptably clean", a score of (1) means "very clean", and (3) means "very dirty".

Source: SF OpenData portal, "DPW Street & Sidewalk Evaluation Results, 7-1-2013 to Present".

The City's streets were cleaner in FY 2015-16. Average evaluation scores improved significantly in every Work Zone, and more than twice as many corridors were free of excessive street litter compared with FY 2015-16 evaluations. During the same period, average monthly service requests submitted to SF311 for street and sidewalk litter increased 56 percent to 7,382 per month. The number of requests completed within 48 hours stayed above the department's FY 2015-16 target of 90 percent until June 2016, despite a greater number of requests⁸.

The City made several enhancements to services in FY 2015-16 which may have contributed to improved response rates and

⁸ For more information about street and sidewalk litter service requests and response times, visit the Controller's Office City Performance Scorecards at <http://sfgov.org/scorecards/street-sidewalk-cleaning-response>.

better overall scores, including expanding its Pit Stop pilot program – which provides staffed public restrooms, disposal of syringes, and pet waste bags – and adding dedicated alley crews that provide nightly cleaning to preempt public complaints. Public Works is also implementing a new service management system that will empower the department to better record the staff time and activities associated with specific service requests, and then analyze how resources are being used across the department's services.

Additionally, Public Works deployed three new street sweepers near the end of FY 2015-16, and purchased several mechanical sidewalk cleaners that are equipped with steam cleaning units and able to navigate alleyways. The City also approved the purchase of at least three additional street sweepers and six additional steamer units in FY 2016-17 to address growing service demands.

SIDEWALKS

Overview

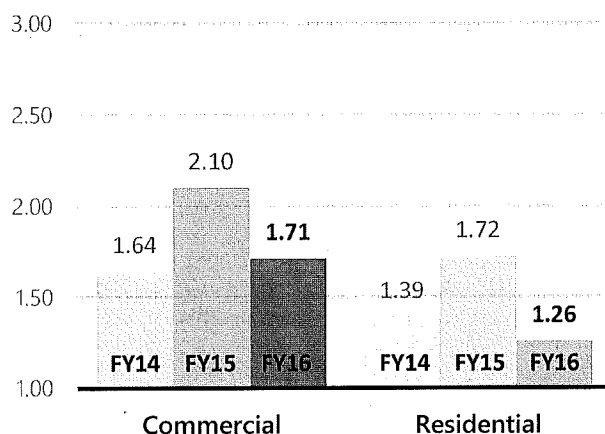
Street and sidewalk cleaning requests are generated internally and through reports received from the public through the City's 311 call center. SF311 then sends those requests to the San Francisco Public Works "28Clean" reporting system. Public Works' Radio Room triages each request to the appropriate crew in each works zone who then responds to the request. For service requests reporting the presence of human waste, leaks or other grime, Public Works dispatches teams with steam-cleaning tools to more thoroughly treat the affected area.

Private property owners are responsible for sidewalk cleanliness in front of their property, except for curb ramps, sidewalks on public property maintained by Public Works, Public Works catch basins, and trash receptacles provided by waste management operators. APPENDIX: STREET & SIDEWALK MAINTENANCE RESPONSIBILITIES offers additional details about roles and responsibilities for sidewalk maintenance, and information about Public Works street and sidewalk programs can be found at <http://sfpublishicworks.org/streets>.

Summary

Scores for Sidewalk Cleanliness

Average evaluations scores improved significantly for both commercial and residential routes in FY16.



Note: Individual route scores below (2) are considered "acceptably clean", a score of (1) means "very clean", and (3) means "very dirty".

Source: SF OpenData portal, "DPW Street & Sidewalk Evaluation Results, 7-1-2013 to Present".

commercial routes evaluated were free of grime (up from 83 percent in FY 2014-15), as were 96 percent of residential routes (up from 87 percent in FY 2014-15).

Scores for sidewalk litter (Standard 2.1) and grime (Standard 2.2) improved in all Work Zones, for both residential and commercial corridors, and about twice as many routes were free of excessive sidewalk litter compared to FY 2014-15. Residential routes in Zone D (including neighborhoods such as Mission, Bernal Heights and Noe Valley) reported the biggest improvement in average scores for sidewalk litter, from 1.82 in FY 2014-15 to 1.19 in FY 2015-16, followed closely by commercial corridors in Zone B (2.28 in FY 2014-15 to 1.66 in FY 2015-16). Only in Zone F did average scores appear to stay the same, which includes Supervisorial Districts 4 and 7.

The percent of San Francisco sidewalks free of significant grime, leaks and spills improved in all Work Zones. Ninety-five percent of

Trash Bins

San Francisco Public Works contracts with the private employee-owned company Recology to provide trash, compost and recycling services to residents and businesses throughout the City. Recology is also generally responsible for servicing and maintaining public concrete trash receptacles installed along sidewalks, including overflowing cans and missing or broken doors, liners, and locks⁹. Public Works is responsible for installing and removing litter receptacles, as well as righting cans that have been tipped over. Public Works aims to install new receptacles within 7 calendar days, remove receptacles within 5 calendar days, and right receptacles that have been tipped over within 2 calendar days. Public Works' service level and response rate remained about the same between FY2014-15 and FY 2015-16, except for a slight decrease in timely responses to tipped-over bins.

Evaluators found mixed results when it came to the City's garbage receptacles (Standards 4.1 through 4.6). Scores for the fullness and capacity of bins (Standard 4.1) remained high along commercial routes across the City, but worsened slightly in residential areas that had public waste bins. This downward trend appears to be driven entirely by more overflowing bins in Zone D (which includes Supervisorial District 8 and District 9), where on average only 83 percent of bins received passing scores, down from 100 percent in FY 2014-15. Public Works data shows that Recology received 878 service orders in Zone D for overflowing bins in FY 2015-16, more than any other service area.

Service Orders for Overflowing Bins

Public Works received 27% more service orders for overflowing bins in FY16.

Work Zone	FY15 Count	FY16 Count	Percent Change
Zone A	380	766	+102%
Zone B	324	456	+41%
Zone C	309	520	+68%
Zone D	549	878	+60%
Zone E	232	494	+113%
Zone F	232	240	+3%
Not specified	1,177	729	-38%
Citywide	3,203	4,083	+27%

Notes: Fewer service orders for overflowing bins were attributed to specific Work Zones in FY15, and so the 'percent change' for some districts may appear inflated. Recology is generally responsible for servicing overflowing bins.

Source: Records provided by Public Works in September 2016.

Average scores for cleanliness, painting, and structural integrity of trash bins (Standards 4.2, 4.4 and 4.5) remained high in FY 2015-16. Trash bins along commercial routes in Zone D showed the most improvement for cleanliness, where the average percent of bins that were sufficiently clean increased from 88 percent in FY 2014-15 to 98 percent in FY 2015-16. Zone B (which includes District 6) also improved slightly from 92 percent to 100 percent. Scores for structural integrity of trash bins largely remained the same, except for improvements along residential routes in Zone F (including District 4 and District 7) where 100 percent of bins received passing scores in FY 2015-16, up from 83 percent in FY 2014-15.

The areas around trash bins were cleaner in FY 2015-16 (Standard 4.3). On average, 97 percent of trash bins along commercial routes and 95 percent of bins along residential routes were sufficiently clean, both up from 83 percent in FY 2014-15. This trend was mostly driven by commercial and residential improvements in Zone D and Zone E.

⁹ As part of its service contract with San Francisco, Recology is helping to replace all of the City's sidewalk trash receptacles with new metal bins that are expected to be cheaper and easier to maintain.

Illegal Dumping

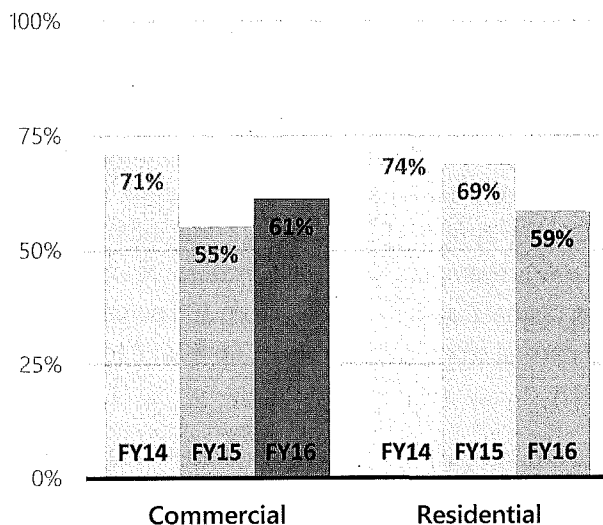
Illegal dumping includes abandoned items such as furniture and appliances found on sidewalks. There is zero tolerance for illegal dumping in route evaluations – 100 percent of sidewalks need to be free of illegal dumping for a route to pass the standard. If there is a *single instance* of illegal dumping recorded on any block during an evaluation of a route, the entire route is considered “failing” for that evaluation.

Illegal dumping decreased slightly along commercial routes in FY 2015-16, but generally increased along residential routes for the second year in a row; only in Zone A did residential scores for illegal dumping improve, from 56 percent in FY 2014-15 to 67 percent in FY 2015-16. The biggest drops in scores across residential routes were in Zone C (from 70 percent to 50 percent) and Zone D (from 65 percent to 50 percent), though Zone D also experienced the most significant improvement in illegal dumping scores along its commercial routes (from 47 percent to 59 percent)¹⁰. Public Works attributes some of these improvements to a renewed focus on cleaning and clearing alleyways along major routes in areas like Chinatown, South of Market, and Mission Dolores.

SF311 data confirms that District 1 (Zone C) had the largest increase in service requests related to illegal dumping and abandoned items, up from 122 reports in FY 2014-15 to 199 reports in FY 2015-16. This increase in reports appears to be concentrated mostly around Golden Gate Park and Land’s End.

Routes free of Illegal Dumping

Percent of routes free of illegal dumping and abandoned items during FY16 route evaluations.

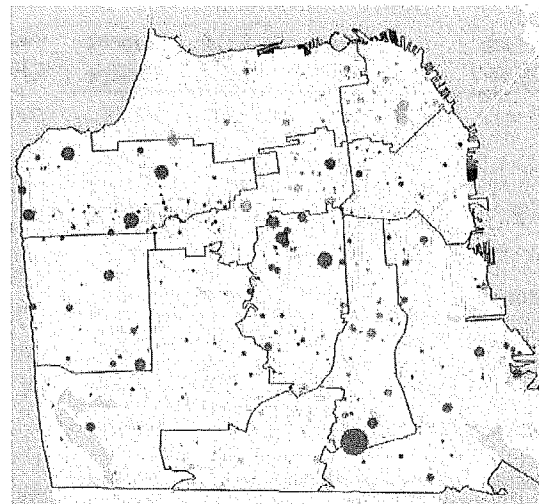


Note: Evaluators found more illegal dumping along residential routes compared with FY15, but commercial routes improved slightly.

Source: SF OpenData portal, “DPW Street & Sidewalk Evaluation Results, 7-1-2013 to Present”.

Map of Illegal Dumping in FY16

Service requests related to illegal dumping and abandoned items submitted to SF311 in FY16.



Note: Colors vary by Supervisor District areas. Larger circles represent more reports at that specific location.

Source: SF311 case records, available through the SF OpenData portal at “Case Data from San Francisco 311 (SF311)”.

¹⁰ Zone A includes Supervisorial Districts 2 and 3; Zone B includes District 6; Zone C includes Districts 1 and 5; Zone D includes Districts 8 and 9; Zone E includes District 10 and 11; and Zone F includes Districts 4 and 7.

HAZARDS

Overview

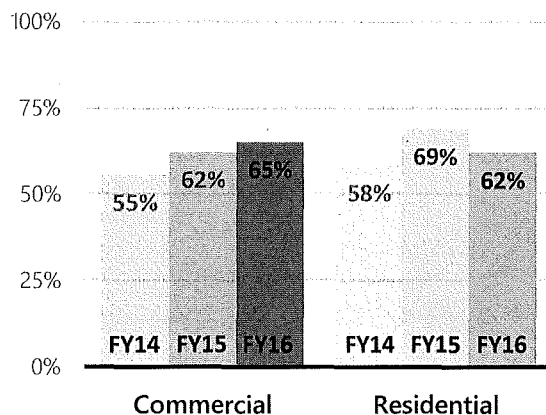
Hazards in this section refer to items or materials that pose a potential health or safety risk to the public, including human waste, hypodermic needles, used condoms, and broken glass along the City's public streets and sidewalks. Requests received by 311 are typically sent to the San Francisco Public Works "28Clean" system. Public Works' Radio Room then dispatches a service team from that work zone to inspect the report, remove materials, and treat the area with steam cleaning or other services as needed. If the incident involves large amounts of waste or other hazardous materials, other agencies may also be dispatched including the Department of Public Health, SF Public Utilities Commission or SFPD. Public Works' goal is to remove this type of waste that is their responsibility within 24 hours during week days. Action plans are developed for each project that requires more than 24 hours to address.

Summary

Average scores for feces, needles and condoms (FNC) generally stayed the same among commercial routes, except for a significant improvement in Zone D (including District 8 and District 9) where 74 percent of evaluations were free of FNC, up from only 53 percent in FY 2014-15. However, scores among residential routes worsened slightly Citywide and in all Work Zones. The most significant change was in Zone E, where only 50 percent of evaluations were free of FNC, down from 74 percent in FY 2014-15. Average evaluation scores for broken glass generally improved in FY 2015-16. The areas showing the greatest improvement include commercial and residential routes in Zone E, commercial routes in Zone B, and residential routes in Zone A.

Routes free of Feces, Needles & Condoms

Percent of routes free of feces, needles or condoms during FY16 route evaluations.

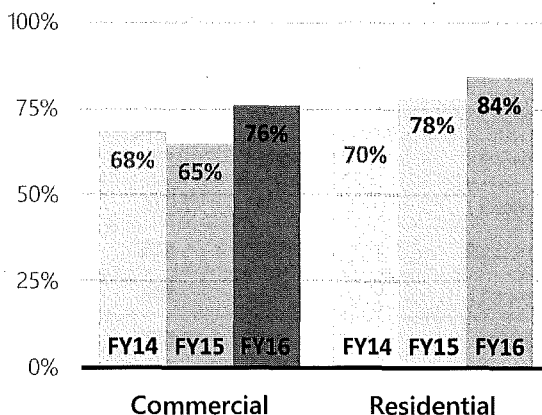


Note: Average scores for FNC generally stayed the same along commercial routes in FY16, but scores for residential routes worsened slightly.

Source: SF OpenData portal, "DPW Street & Sidewalk Evaluation Results, 7-1-2013 to Present".

Routes free of Broken Glass

Percent of routes free of broken glass during FY16 route evaluations.



Note: Average evaluation scores for broken glass generally improved in FY16, however the number of public service requests for graffiti submitted through SF311 increased by 24%.

Source: SF OpenData portal, "DPW Street & Sidewalk Evaluation Results, 7-1-2013 to Present".

Human Waste

Data from SF311 shows that service requests related to human waste increased across all Supervisorial Districts in San Francisco in FY 2015-16, and at a rate well above the average growth in overall SF311 use. District 6 (in Zone B) had far more service requests related to human waste than any other district – three-times as many as the next highest count in District 9 (Zone D) – and nearly 30 percent more requests compared to FY 2014-15. This change appears to be driven mostly by additional reports along Market Street, south of 8th Street between Mission Street and Howard Street, and the area south of Hayes Valley between South Van Ness and Central Freeway/Octavia Boulevard.

However, data from Public Works shows a more modest increase of 13.5% in service orders generated from public service requests, and nearly the same number of service orders for Zone D between FY 2014-15 and FY 2015-16. These service orders typically remove duplicate requests from the public, but may also group together several specific instances in the same area. Public Works crews generally kept up with demand by meeting their target of responding to 90% or requests within 48 hours, until the end of the fiscal year when requests increased significantly and the department was managing between 1,400 and 1,600 steamer-related service orders per month. Readers can explore and interact with detailed data by visiting sfstreets.weebly.com.

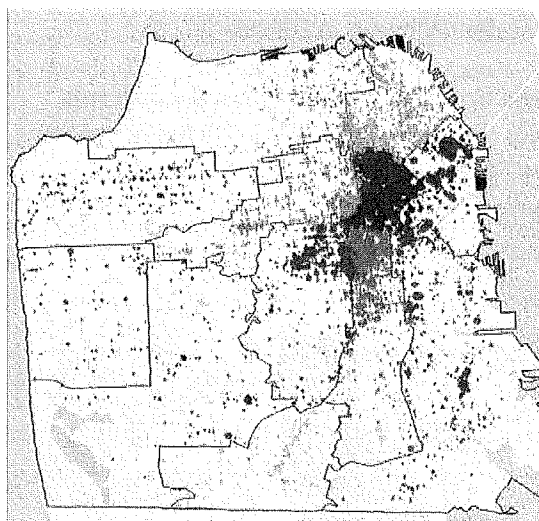
Reports of Human Waste

Number of public service requests submitted to SF311 during FY15 and FY16.

Supervisor District	Work Zone	FY15 Count	FY16 Count	Percent Change
District 1	C	119	205	+72%
District 2	A	194	277	+43%
District 3	A	784	1,320	+68%
District 4	F	57	112	+96%
District 5	C	568	901	+59%
District 6	B	5,811	7,509	+29%
District 7	F	90	150	+67%
District 8	D	1,001	1,228	+23%
District 9	D	1,909	2,621	+37%
District 10	E	340	816	+140%
District 11	E	185	193	+4%
Citywide	-	11,058	15,332	+39%

Map of Human Waste in FY16

Service requests related to human waste and submitted to SF311 in FY16.



Source: SF311 case records, available through the SF OpenData portal at “Case Data from San Francisco 311 (SF311)”.

Needles

Citywide SF311 reports of hypodermic needles increased by 40 percent in FY 2015-16, reaching a total of 3,551 service requests after monthly reports reached an all-time high of 396 in May 2016. That year-over-year increase is well above the average growth in overall SF311 use. Internal counts of needles collected by Public Works “Hot Spot” crews also increased nearly 40 percent according to the department, from roughly 16,000 to 22,300. There were fewer SF311 reports of needles in District 7 and District 1, but those were offset by significant year-over-year increases in District 6, District 9, and District 10. Reports of needles were heavily concentrated in District 6 (Zone B) and District 9 (Zone D), though nearby areas were also affected including the Castro, Hayes Valley, Civic Center, and Potrero Hill neighborhoods. Several areas included exceptionally concentrated clusters of reports of needles, described in the table below. Readers can explore and interact with detailed data by visiting sfstreets.weebly.com.

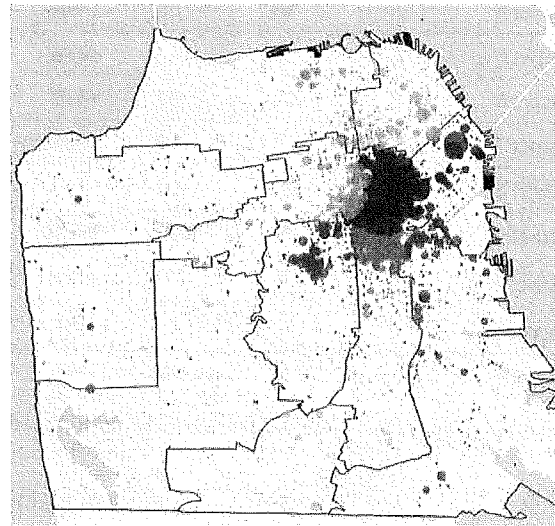
Reports of Needles and Syringes

Number of public service requests submitted to SF311 during FY15 and FY16.

Supervisor District	Work Zone	FY15 Count	FY16 Count	Percent Change
District 1	C	28	23	-18%
District 2	A	44	76	+73%
District 3	A	147	196	+33%
District 4	F	12	19	+58%
District 5	C	197	273	+39%
District 6	B	1,106	1,653	+49%
District 7	F	23	14	-39%
District 8	D	309	298	-4%
District 9	D	517	752	+45%
District 10	E	126	223	+77%
District 11	E	18	24	+33%
Citywide	-	2,527	3,551	+41%

Map of Needles in FY16

Service requests related to hypodermic needles submitted to SF311 in FY16.



Source: SF311 case records, available through the SF OpenData portal at “*Case Data from San Francisco 311 (SF311)*”.

Hotspots for needles and syringes

Public service requests submitted to SF311 during FY16.

District	Neighborhood	Intersection or Area
District 5, District 6	South of Market, Civic Center, Hayes Valley	West of Van Ness & Mission, between Market and Otis, and between 12th and Gough
District 6	Tenderloin	Leavenworth and Golden Gate
District 6	South of Market	Along Minna between 7th and 9th
District 6, District 9	South of Market, Mission	14th Street & Harrison and vicinity
District 9	Mission	Shotwell and Folsom, between 16th and 17th

Source: SF311 case records, available through the SF OpenData portal at “*Case Data from San Francisco 311 (SF311)*”.

Broken Glass

Average evaluation scores for broken glass generally improved in FY 2015-16. The areas showing the greatest improvement include commercial and residential routes in Zone E, commercial routes in Zone B, and residential routes in Zone A. However, according to SF311 service request records, citywide reports of broken glass increased by 24 percent in FY 2015-16, driven mostly by large increases in District 3 (Zone A), District 6 (Zone B), and District 9 (Zone D). District 8 (Zone D) appeared to improve slightly, reporting 206 instance of broken glass in FY 2015-16 compared to 233 in FY 2014-15.

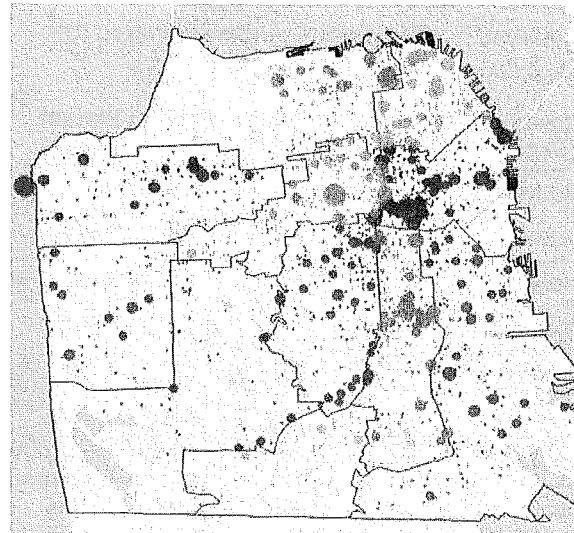
Broken Glass Reports by District

Public service requests submitted to SF311 during FY15 and FY16.

Supervisor District	Work Zone	FY15 Count	FY16 Count	Percent Change
District 1	C	90	125	+39%
District 2	A	94	142	+51%
District 3	A	168	250	+49%
District 4	F	64	58	-9%
District 5	C	169	214	+27%
District 6	B	246	352	+43%
District 7	F	61	60	-2%
District 8	D	233	206	-12%
District 9	D	190	250	+32%
District 10	E	170	196	+15%
District 11	E	74	66	-11%
Citywide	-	1,577	1,950	+24%

Map of Broken Glass in FY16

Service requests related to broken glass submitted to SF311 in FY16.



Source: SF311 case records, available through the SF OpenData portal at "Case Data from San Francisco 311 (SF311)".

The Standards evaluations and SF311 reports seem to be inconsistent. In Zone B, the average evaluation scores for broken glass improved but there were more SF311 requests related to glass. Similarly, residential routes received better evaluation scores compared to FY 2014-15, particularly in Zone A where 72 percent of route evaluations were free of broken glass (up from 50 percent in FY 2014-15). However, SF311 reports of broken glass increased above average SF311 use overall in both District 2 and District 3.

These discrepancies may be explained by how the data for each source is collected. The data collected by the Controller's Office are produced by evaluations conducted by professionally trained staff who inspect each route twice during a year and document specific criteria. On the other hand, data from SF311 are generated by public requests for services submitted to the SF311 customer service center. This means that there are far more data points to work with during a year compared with evaluation results, but simple counts of these service requests can be skewed by reporting bias – one neighborhood may be much more likely to report broken glass to SF311 than another neighborhood, even if the two areas

actually have the same amount of broken glass on a given day. This also means that the SF311 data can include multiple reports for the same instance of broken glass if it is reported multiple times.

In reviewing the SF311 records, there is a sudden and significant increase in public reports of broken glass in all Supervisorial Districts beginning the week April 24, 2016, and lasting through at least June 25, 2016. This spike in reporting was so large that it significantly pushed up average counts for the entire year. What could possibly cause this spike in reports? It appears that a series of news articles were published during and after the week of April 24 discussing “smash-and-grab” property crime, wherein a car window or storefront window is broken and items are stolen very quickly.

“San Francisco Torn as Some See ‘Street Behavior’ Worsen”

– New York Times (April 24, 2016)¹¹

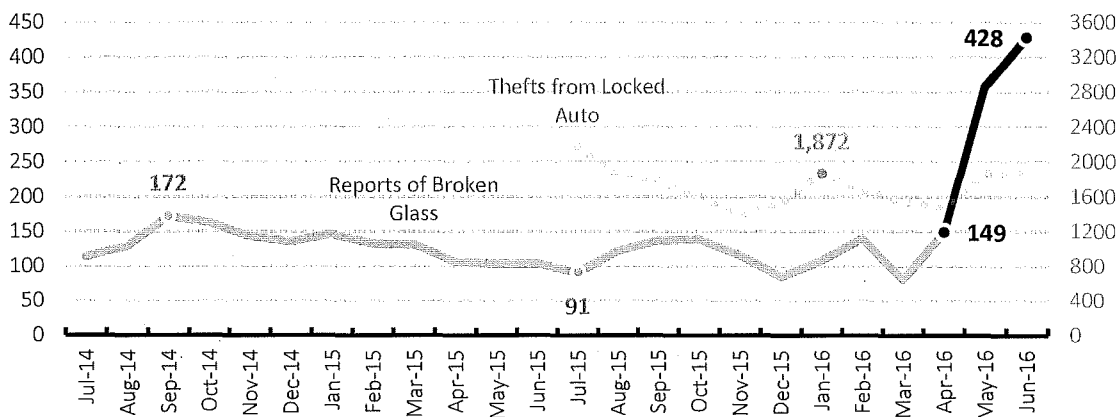
Why Can't San Francisco Stop Its Epidemic of Window Smashing?”

– The Atlantic (April 26, 2016)¹²

These prominent articles prompted responses from several community leaders, including District Supervisors. This attention may have increased public awareness of broken glass and related issues around San Francisco, which in turn increased the number of service requests submitted by the public to SF311. Before May 2016, the City appeared to be on track to slightly decrease average monthly reports of broken glass for FY 2015-16. Police reports of grand-theft and petty-theft from locked cars did increase slightly during this period, but only beginning three weeks later during the week of May 15.

Sudden increase in reports of Broken Glass

Number of service requests related to broken glass submitted to SF311 by month in FY15 and FY16, and SFPD reports of thefts from locked automobiles in FY16.



Note: Citywide reports of broken glass generally decreased in FY15 and FY16 until the week of April 24, 2016, when monthly reports skyrocketed following a series of new articles about “smash-and-grab” crimes in San Francisco. Reports of grand theft and petty theft from locked cars increased slightly during May and June, but do not clearly account for the increase in reports of broken glass.

Source: SF311 case records are from SF OpenData portal’s “Case Data from San Francisco 311 (SF311)” data set. Police reports are from SF OpenData portal’s “SFPD Incidents - from 1 January 2003” data set.

¹¹ View the New York Times article at <http://www.nytimes.com/2016/04/25/us/san-francisco-torn-as-some-see-street-behavior-worsen.html?smid=tw-nytimes&smtyp=cur>

¹² View The Atlantic article at <http://www.theatlantic.com/politics/archive/2016/04/san-francisco-crime-policy/479880/>

GRAFFITI

Overview

"Graffiti" includes stickers, paint, and pen markings. Graffiti service requests are generated internally and through reports received by the City's 311 customer service center. Requests received by 311 are sent to the Public Works "28Clean" system. Public Works' Radio Room then dispatches an inspector to assess and document the graffiti, including the type of material affected, the resources or tools required, and who is responsible for abating the graffiti.

When graffiti occurs on private property, such as the window of a storefront or sidewalk in front of a home, the inspector issues a notice of violation requiring that property owner to remove or abate the graffiti. If the property owner does not remove the graffiti or request a hardship hearing within 30 days, then the City dispatches a service crew to remove the graffiti and the responsible property owner may face fines or assessments against their property tax in order to recuperate the cost. Property owners facing hardship, such as frequent and disproportionate graffiti on their property, may appeal for assistance from City agencies through a public hearing¹³.

There is zero tolerance for graffiti in route evaluations – 100 percent of streets, sidewalks, and private and public structures/buildings visible from and immediately adjacent to the street must be free of graffiti to pass the standard. If there is a single instance of graffiti recorded on any block during an evaluation of a route, the entire route does not pass that evaluation.

Graffiti is scored separately according to the entity responsible for maintaining it. Public Works is responsible for mitigating graffiti on street surfaces, public trash receptacles, and some trees. Other entities such as the Municipal Transportation Agency (SFMTA) and Public Utilities Commission (SFPUC), as well as private property owners, are responsible for maintaining other types of property and keeping them clear of graffiti. Public Works may assist in removing graffiti on these properties, such as store fronts or street-facing retaining walls, when it is reported. Information about roles and responsibilities is highlighted in the boxes below and in APPENDIX: STREET & SIDEWALK MAINTENANCE RESPONSIBILITIES.

Graffiti types and responsibilities

3.1 Public Property Maintained by Public Works: Street surfaces and public trash receptacles, and some trees.	3.2 Public Property NOT Maintained by Public Works: Street signs, parking meters, mailboxes, bus stops, and most other public street property. Public Works will abate this graffiti and bill the other agency (e.g. SFPUC, SFMTA, other)	3.3 Private Property: Storefronts, residential buildings, newspaper stands, and other non-sidewalk privately owned property. Public Works notifies property owners to abate graffiti on their property.	3.4 Sidewalks: Sidewalk surfaces are typically the responsibility of private property owners with fronting properties.
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¹³ Find more information about rules and resources for removing graffiti on private property at <http://sfpublicworks.org/services/graffiti-private-property>

Summary

Observations of graffiti increased significantly in all categories for both commercial and residential routes in our evaluations, which include counts of graffiti per block on public property (Standards 3.1 and 3.2), private property (Standard 3.3) and sidewalks (Standard 3.4). Average counts of graffiti doubled on private property along commercial routes in FY 2015-16, driven mostly by large increases in Zone B and Zone D. Zone D also had the highest counts of graffiti on private property, with an average of 3.7 instances per block along commercial routes. Graffiti was most frequently found on non-Public Works public property along commercial routes, where average counts tripled in FY 2015-16 along both commercial and residential routes. On average, evaluators recorded 5.7 instances of graffiti per block along commercial routes in Zone A, 5.9 in Zone C, and 6.9 in Zone D.

Data from SF311 tell a similar story. Service requests related to graffiti increased in all districts between FY 2014-15 and FY 2015-16, and at a rate near or above the average growth in overall SF311 use. Reports of graffiti increased by 76 percent in District 3 (Zone A) – nearly twice the rate of increase in overall SF311 use in that area – driven mostly by a large increase of more than 160 percent in FY 2015-16 in and around the Chinatown neighborhood.

District 10 (Zone E) experienced a 35 percent increase due in large part to additional reports around Potrero Hill. District 6 (Zone B) also produced 54 percent more reports of graffiti driven by large increases in the Tenderloin and South of Market neighborhoods. Service requests in District 9 (Zone D) did not increase significantly, but reports of graffiti were concentrated in new areas, particularly along 24th Street between Mission Street and Potrero Avenue, and along Mission Street between Duboce Avenue and 24th Street. However, there were fewer reports along Valencia Street in District 8 and District 9 (Zone D).

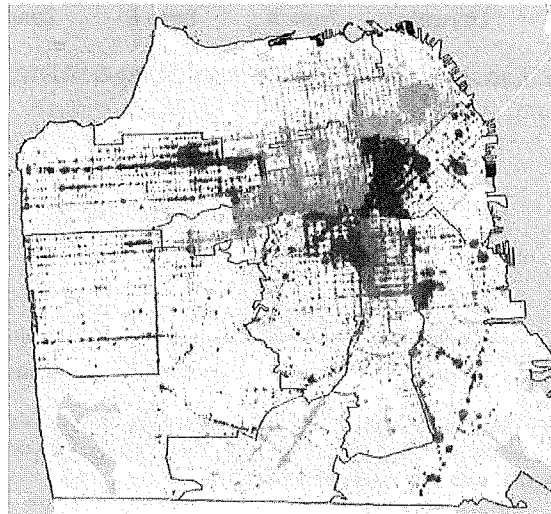
Reports of Graffiti by District

Number of public service requests submitted to SF311 during FY15 and FY16.

Supervisor District	Work Zone	FY15 Count	FY16 Count	Percent Change
District 1	C	3,969	4,931	+24%
District 2	A	2,531	2,692	+6%
District 3	A	4,901	8,630	+76%
District 4	F	1,321	1,515	+15%
District 5	C	10,869	12,340	+14%
District 6	B	6,518	10,058	+54%
District 7	F	1,196	1,033	-14%
District 8	D	7,443	6,922	-7%
District 9	D	11,399	12,392	+9%
District 10	E	2,799	3,784	+35%
District 11	E	1,581	1,681	+6%
Citywide	-	54,527	65,978	+21%

Map of Graffiti in FY16

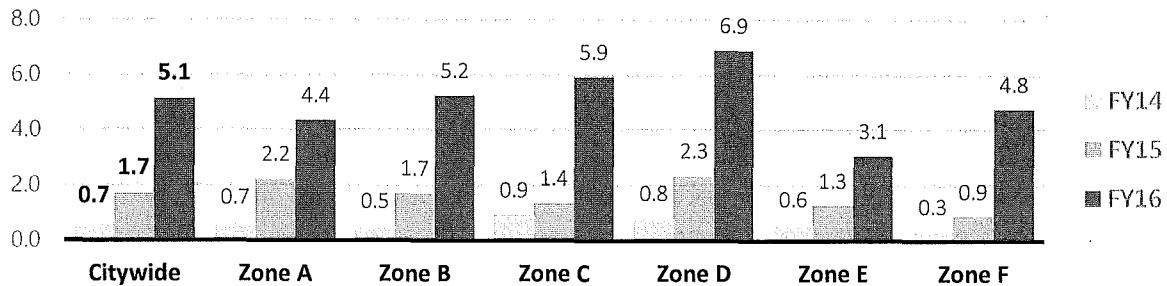
Service requests related to graffiti submitted to SF311 in FY16.



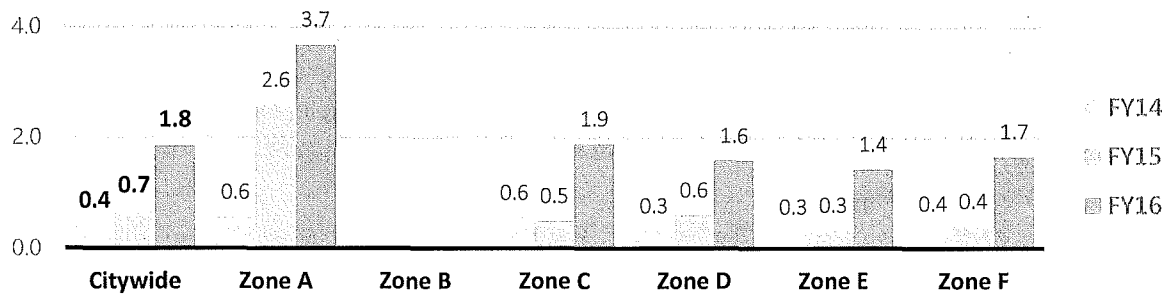
Source: SF311 case records, available through the SF OpenData portal at “Case Data from San Francisco 311 (SF311)”.

Graffiti on public property not maintained by Public Works

Average counts of graffiti per block along evaluated commercial routes (Standard 3.2).

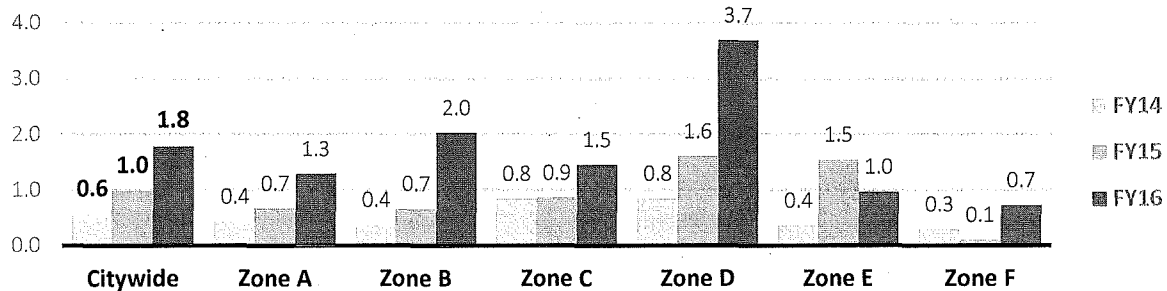


Average counts of graffiti per block along evaluated residential routes (Standard 3.2).

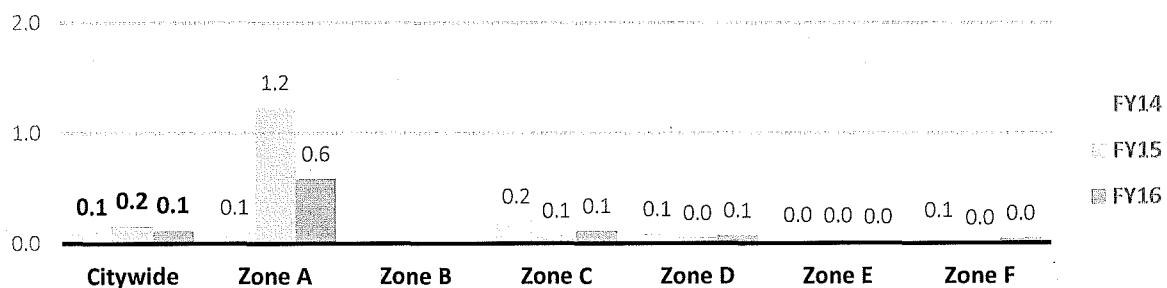


Graffiti on private property

Average counts of graffiti per block along evaluated commercial routes (Standard 3.3).



Average counts of graffiti per block along evaluated residential routes (Standard 3.3).



Note: Observations of graffiti increased significantly in FY16. Zone A includes Supervisorial Districts 2 and 3; Zone B includes District 6; Zone C includes Districts 1 and 5; Zone D includes Districts 8 and 9; Zone E includes District 10 and 11; and Zone F includes Districts 4 and 7. Zone B evaluations do not include residential routes.

Source: SF OpenData portal, "DPW Street & Sidewalk Evaluation Results, 7-1-2013 to Present".

TREES & LANDSCAPING

Overview

San Francisco's urban forest and tree canopy is maintained by a variety of agencies, community partnerships and non-profits. The majority of trees within the City limits are the responsibility of private property owners, totaling nearly 70,000 trees. There are also more than 27,000 trees along streets and sidewalks currently maintained by the City, most of which are the responsibility of Public Works Bureau of Urban Forestry. About 100,000 additional trees are located throughout the City's public parks. Public Works is currently completing a comprehensive survey of the City's street and sidewalks trees.

Public Works plants and maintains street trees, issues planting and removal permits to residents, and provides emergency tree response. When someone calls SF311 to report a damaged tree, that report is typically forwarded to Public Works through the department's "28 CLEAN" system, unless the tree is clearly on property managed by another department like SF Rec and Park. Public Works dispatches an inspector to assess the tree, suggest the type of care needed, and determine who is responsible for maintenance based on the department's tree registry. The department's goal is to complete 90 percent of these initial inspections within 48 hours.

If the fronting property owner is responsible, the inspector will issue a notice to that property owner requiring them to provide service. If Public Works is responsible for the tree, the inspector refers the service order to arborists or tree-topper within the department's Urban Forestry unit, who is dispatched to professionally assess and service the tree. If a tree requires urgent service, such as if it presents a hazard or obstructs a public sidewalk after a storm, the supervisor of that work zone is notified directly and a service team is dispatched to that location. Learn more by visiting <http://sfpublicworks.org/trees>.

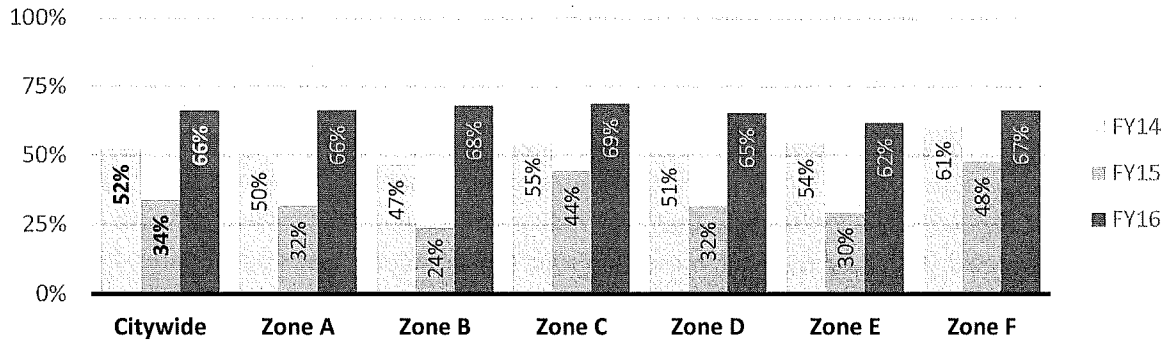
Summary

Evaluators consider accessibility of sidewalks and streets, cleanliness around trees and landscaping, and general appearance. Scores for street and sidewalk clearance remained high across the City, with nearly 100 percent of evaluated routes reporting sufficient clearance in all Work Zones. Evaluations showed an enormous improvement in cleanliness around trees and planters along the City's streets and sidewalks, in both commercial and residential areas (Standard 5.1), despite scoring poorly in this category over recent years. Zone A and Zone B showed the most improvement between FY 2014-15 and FY 2015-16, each increasing by more than 30 percentage points. Citywide scores for weediness – measured as the percent of tree wells and planters free of weeds or vines (Standard 5.3) – stayed about the same in FY 2015-16, but worsened slightly in Zone C and Zone F along residential routes.

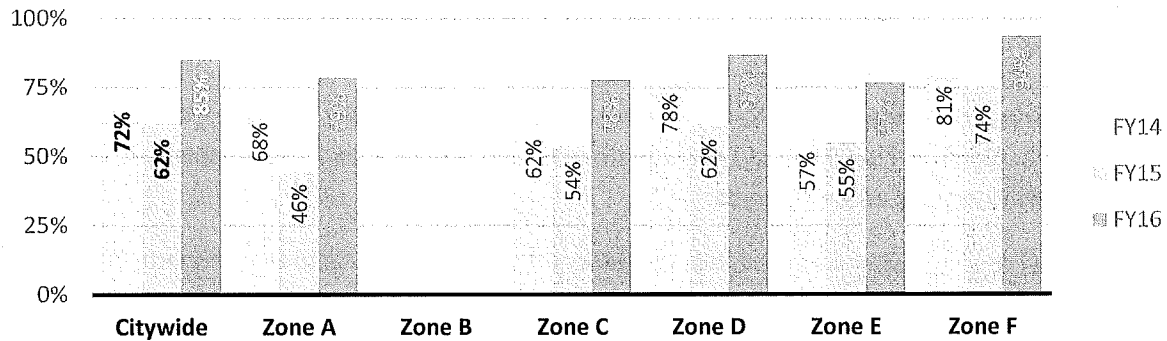
Data from SF311 show big improvements in response times for tree-related service requests despite a 15 percent increase in requests during FY 2015-16. The average number of days between opening and closing a tree-related request decreased from 53 days in FY 2014-15 to 24 days in FY 2015-16. The median number of days also decreased from 8 days to 5 days. These improvements in response time are promising; however, they partly appear to be the result of reporting service order status more accurately in FY 2015-16, as well as quicker dispatch of inspectors and noticing of private property owners.

Cleanliness around trees and landscaping

Average percent of trees and planters in commercial areas that are adequately clean (Standard 5.1)



Average percent of trees and planters in commercial areas that are adequately clean (Standard 5.1)

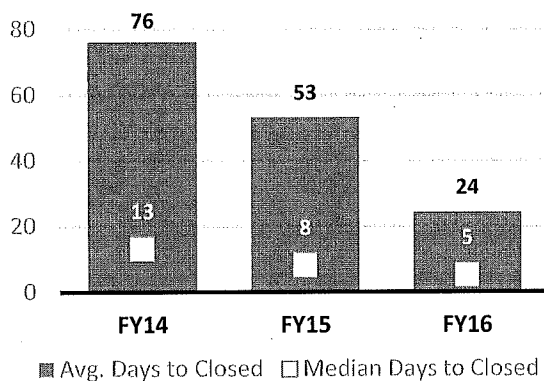


Note: Zone A and Zone B showed the biggest improvements, as well as commercial routes in Zone D. Zone B evaluations do not include residential routes. Zone A includes Supervisorial Districts 2 and 3; Zone B includes District 6; Zone C includes Districts 1 and 5; Zone D includes Districts 8 and 9; Zone E includes District 10 and 11; and Zone F includes Districts 4 and 7.

Source: SF OpenData portal, "DPW Street & Sidewalk Evaluation Results, 7-1-2013 to Present".

Days to Close Tree Service Requests

The average number of days to close tree service requests from SF311 decreased by more than half in FY16, mainly due to more timely recording of service delivery activities.



Source: SF311 case records, available through the SF OpenData portal at "Case Data from San Francisco 311 (SF311)".

RECOMMENDATIONS

Increase the number of street and sidewalk evaluations each year to help operationalize findings.

Street and sidewalk evaluators will conduct seven additional evaluations along 24 high-traffic commercial routes beginning in FY 2016-17. The Controller's Office will work with Public Works management to review findings from the evaluations each month to provide actionable information for Public Works, helping the department to identify and respond to changes in performance throughout the year.

Improve evaluation reporting about street and sidewalk hazards.

In the current Street and Sidewalk Standards (2012), a single observation of feces, needles, or used condoms (Standard 2.5.1), or broken glass (Standard 2.5.2) causes the entire route to fail each category for that evaluation. Multiple observations along the same route are not clearly documented. Beginning in FY 2016-17, evaluators will document individual instances and locations in order to provide the City with more detailed and actionable information about these observations.

Public Works and other City agencies should increase collaboration with neighborhood partners to manage emerging "hotspots" of graffiti and sidewalk hazards.

Observations of graffiti and hazards increased this year, but unevenly. SF311 reveals some of the most rapid growth in reports in and around Chinatown (Zone A), Tenderloin (Zone B) and South of Market south of 8th Street, Mission north of 14th and south of 23rd Street (Zone D), and Potrero Hill near 24th Street (Zone E). Additionally, in many of these instances the same properties appear to be affected multiple times throughout the year. Public Works should continue to increase its public outreach efforts in these areas and coordinate with local partners to proactively mitigate these factors, including private property owners, businesses and associations, as well as SFPD and other City departments.

ADDITIONAL RESOURCES

Explore Other Data

Interact with maps and highlights from the evaluation data and SF311 records at sfstreet.weebly.com

Find and view SF 311 case data with the SF311 Explorer at: <http://explore311.sfgov.org/main/>

Access the full SF311 data set through the SF OpenData portal at: <https://data.sfgov.org/City-Infrastructure/Case-Data-from-San-Francisco-311-SF311-/vw6y-z8j6>

DataSF, an initiative of the Mayor's Office, created and maintains an inventory of datasets used throughout the City and County of San Francisco. The inventory provides a list of data maintained by departments that are candidates for open data publishing or have already been published and is collected in accordance with Chapter 22D of the Administrative Code. The dataset inventory is the result of landmark open data legislation and the nation's first local open data law adopted in 2010.

<https://data.sfgov.org/City-Management-and-Ethics/Dataset-Inventory/y8fp-fbf5>

The inventory is used in conjunction with department publishing plans to track progress toward meeting plan goals for each department. Department publishing plans are available at <https://datasf.org/publishing/plans>

Learn more about the City's street and sidewalk programs

While Public Works is not responsible for all aspects of street and sidewalk maintenance, the department does manage or participate in outreach and engagement programs to proactively encourage private property owners and communities to maintain their local streets and sidewalks. The list below includes a sample of these programs. More information is available at <http://sfpublicworks.org/streets>

PROGRAM	DESCRIPTION
Adopt-A-Street Program	The Adopt-A-Street Program is a partnership between the City and its merchants and residents. Groups or individuals agree to adopt an area and take responsibility for keeping the street, sidewalk, and storm drain clean. In return, Public Works provides free street cleaning supplies, and litter and compostable leaf bag pickup. The program aims to strengthen community ties as well as create a cleaner, more pleasant environment.
Alleyway Pilot Program	Since 2013, the Public Works Alleyway Program has sent two special Alleyway Crews, escorted by police officers, to hot spot streets around the City. This pilot program selected streets in Zones B and D with a high volume of 311 requests for cleaning accumulated trash, needles, and human waste.
Community Clean Team	Public Works' primary volunteer program, Community Clean Team brings together nearly 1800 volunteers annually from multiple city departments, local businesses, and schools to clean merchant corridors, schools, open spaces, and parks. Last year, the program cleaned 36,000 square feet of graffiti and collected 76 tons of garbage, 110 tons of recyclables, and 17 tons of organic waste.
Corridors Program	The Community Corridors Partnership Program began in 2006 to address cleaning and greening needs along San Francisco's busiest commercial corridors. As part of the Corridors Program, local residents are hired and trained through the Public Works Workforce Development Program. These Ambassadors help preserve cleaning services along 700 blocks of San Francisco's busiest commercial corridors by helping sweep sidewalks, remove graffiti, identify and report deficiencies, and landscape public spaces and tree basins.

CULCOP

The Committee for Utility Liaison on Construction and Other Projects (CULCOP) is a monthly meeting chaired by Public Works. Its members include a representative from each city agency and utility company who performs excavation work within the public right of way Admin. Code 5.63a. CULCOP members are committed to coordinating street excavation, utility work, paving and other construction projects in the public right of way in order to minimize the impact of construction on our streets and in our neighborhoods. Meetings are held the third Thursday of every month. The public is welcome to attend.

Curb Ramp Program

The objective of the Curb Ramp Program is to provide accessible path of travel for all public sidewalks throughout San Francisco through the installation of curb ramps. Public Works provides the engineering to design the curb ramps. Curb ramp requests and projects come from Public Works' paving projects, DPT, MUNI, Mayor's Office on Disability, SFUSD (School District) and the Recreation and Park Department. For more information on the American with Disabilities Act (ADA) and or to report a grievance, please visit the Mayor's Office on Disability website. If you are a resident and use a wheelchair, walker, or scooter, you can request a curb ramp in your neighborhood by calling 3-1-1.

Giant Sweep

A citywide anti-litter campaign in partnership with the San Francisco Giants, Giant Sweep uses volunteer activities and public education to bolster civic pride and keep San Francisco beautiful. Since its debut in February 2013, Giant Sweep has logged over 70,000 volunteer hours and gathered over 35,000 pledges to keep San Francisco's streets, parks, and buses free of litter and graffiti. Activities include neighborhood cleanups, tabling at community fairs and Giants games, and advertising on billboards, bus shelters and television.

Great Streets Program

In 2005, the Great Streets Program was established to improve neighborhood streets across the city by demonstrating best practices in design and the value of landscaping, lighting and pedestrian safety. These projects are funded through a multi-year federal transportation bill called the Safe Accountable, Flexible, Efficient Transportation Equity Act (SAFETEA) and other federal and state grants. A streetscape improvement project is coordinated through multiple city agencies and the community in consultation with The Better Streets Plan, The Bicycle Plan, The Transit Effectiveness Project (TEP), and many other existing plans and programs. The 2011 Road Repair and Street Safety Bond will provide funding to implement other citywide streetscape improvements such as pedestrian countdown signals and lighting, sidewalk extension, bulb-outs, bicycle improvements, tree planting and landscaping.

Outreach and Enforcement

SFPublic Works' Outreach and Enforcement Team is responsible for both educating the public about their rights and responsibilities regarding street and sidewalk cleanliness and enforcing City codes to meet sanitation standards. Assigned to geographic zones, team members attend community meetings, investigate complaints, enforce city codes through foot inspections and citations, and resolve issues of public concern. The team also supports other Public Works programs.

Pit Stop Pilot Program

San Francisco Public Works operates the Pit Stop program, which provides clean and safe public toilets, sinks, used needle receptacles and dog waste stations in San Francisco's most impacted neighborhoods. The program utilizes both portable toilets, which are trucked to and from the sites daily after overnight servicing at a remote location, and the semi-permanent JCDecaux self-cleaning toilets. Learn more about the program, hours of operation, and locations by visiting <http://sfpublicworks.org/pitstop>.

Pothole Repair

Pothole repair is an ongoing operation of Public Works' street and sewer repair program. Repairs include the patching of potholes, depressions, bumps, and other defects on city streets. Sometimes other agencies, such as the San Francisco Public Utilities Commission or private utility companies, are responsible for repairing potholes and other street defects resulting from inadequately restored utility cuts. If the repair is the responsibility of another agency, Public Works will notify that agency. If it is the responsibility of Public Works, a street repair crew will pave over the pothole. To learn more, please visit <http://sfpublicworks.org/services/potholes>.

Street Parks

Street Parks is a partnership between Public Works, the San Francisco Parks Alliance and the residents of San Francisco to develop community managed gardens on public rights of way. The Street Parks program transforms vacant lots into gardens, trash and illegal dumping spots into greenery, and hillsides into parks. Since the program's inception in 2004, 120 street parks have been established. Learn more about this program and what you can do to contribute by visiting http://sfpublicworks.org/get_involved/street-parks-program.

APPENDICES

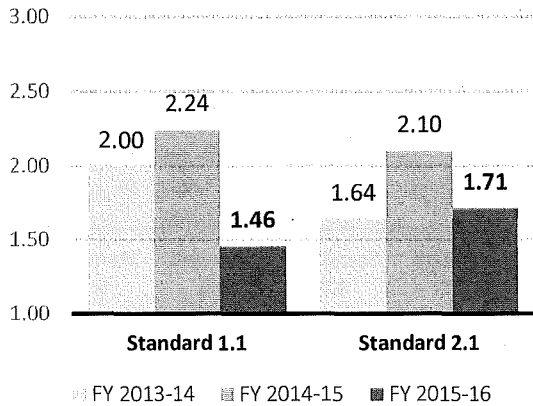
The attached documents, tables, charts and images are intended to provide more detailed information about observations and findings described in this report. If you have additional questions about the data, findings, recommendations or other content referenced in these materials, please contact the San Francisco Controller's Office, City Performance team:

Luke Fuller
Office of the Controller
City and County of San Francisco
(415) 554-6126 | luke.fuller@sfgov.org

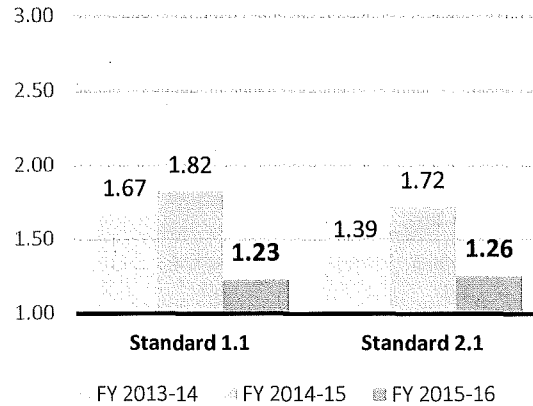
APPENDIX: CHARTS FOR ALL EVALUATION STANDARDS

Standards 1.1-2.1

Street and sidewalks litter scores (Commercial)

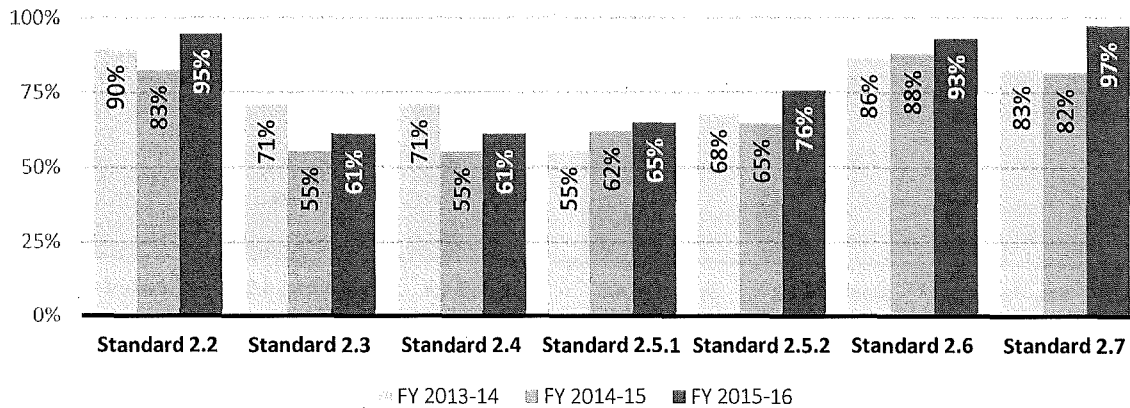


Street and sidewalks litter scores (Residential)

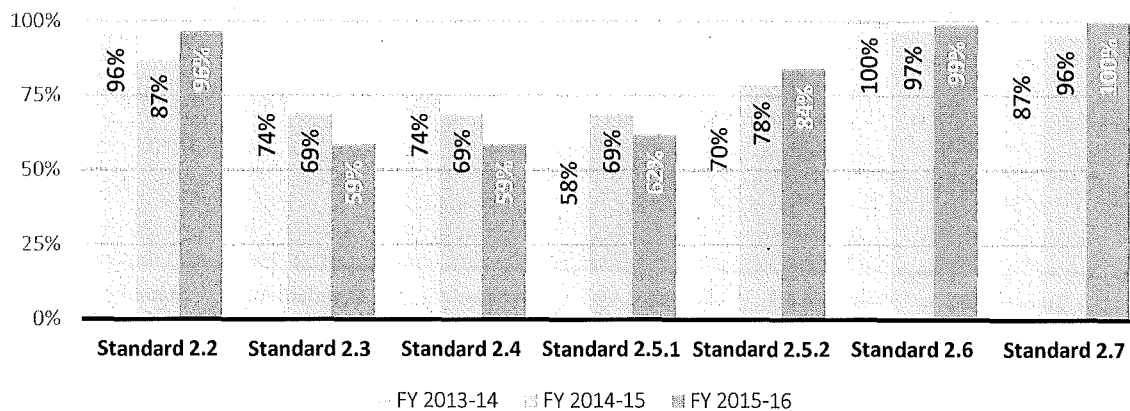


Standards 2.2-2.7

Subtitle (Commercial)

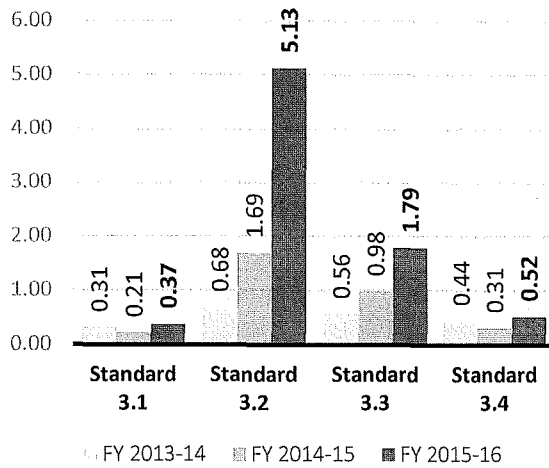


Subtitle (Residential)

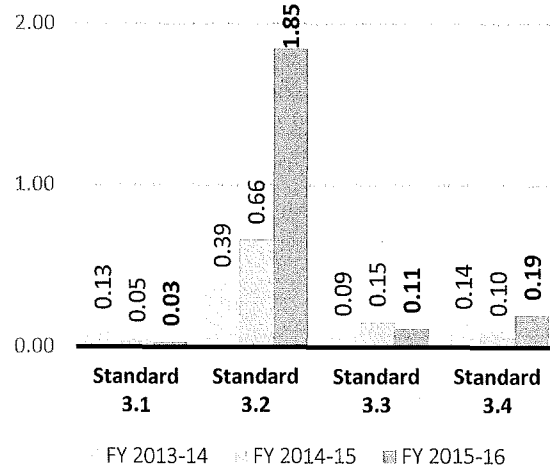


Standards 3.1-3.4

Graffiti (Commercial)

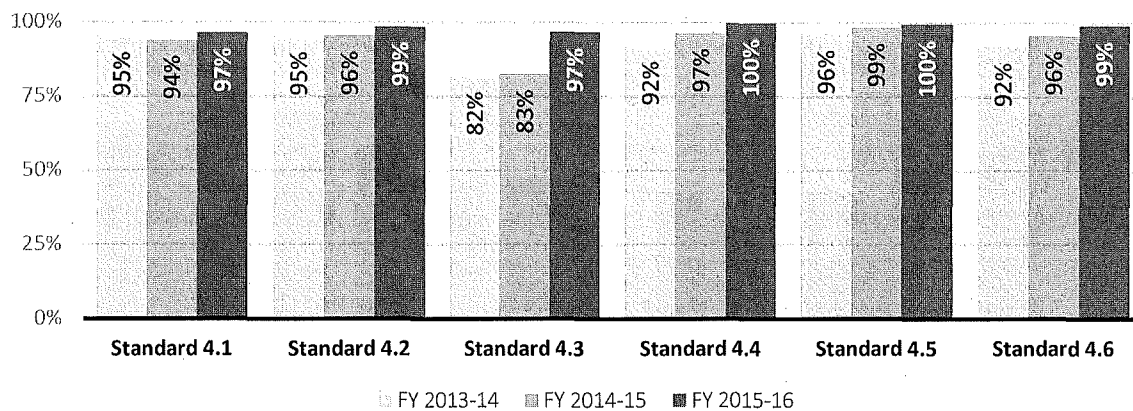


Graffiti (Residential)

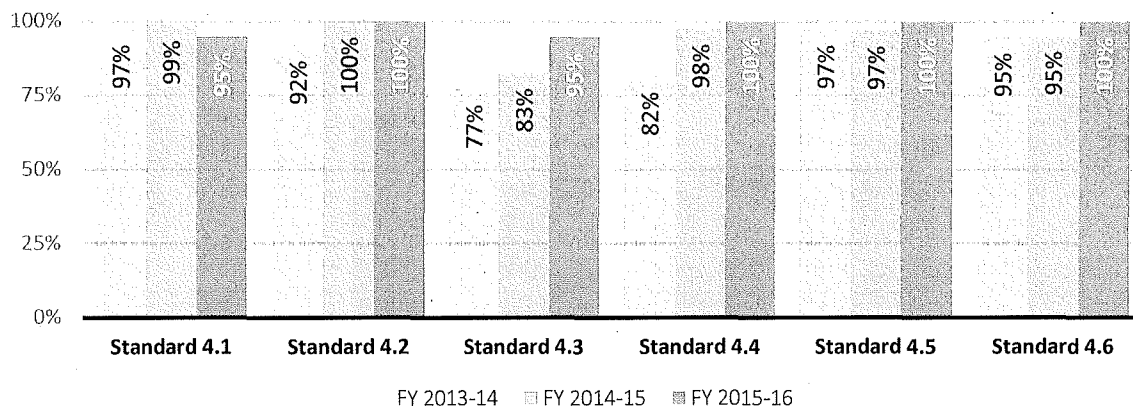


Standards 4.1-4.6

Trash Receptacles (Commercial)

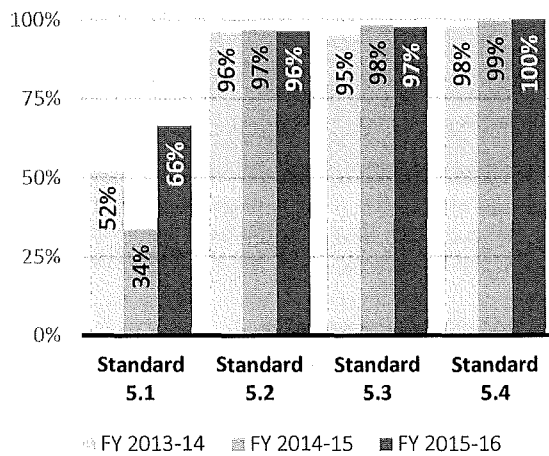


Trash Receptacles (Residential)

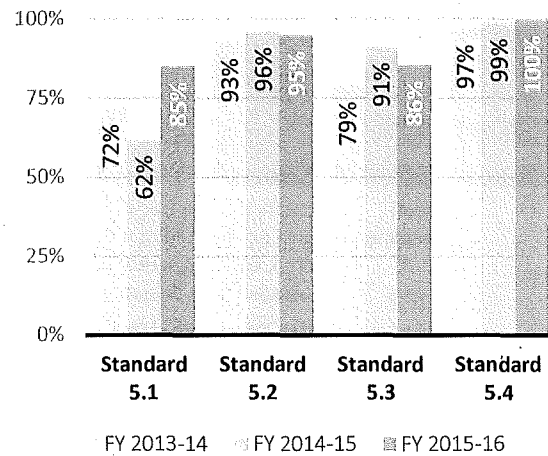


Standards 5.1-5.4

Trees and Landscaping (Commercial)



Trees and Landscaping (Residential)



Connect with the Data

A complete dataset including route scores used for this report is publicly available online through the DataSF open data portal at www.datasf.org. Anyone can access the dataset directly through their internet browser at:

<https://data.sfgov.org/City-Infrastructure/DPW-Street-Sidewalk-Evaluation-Results-7-1-2013-to/83ki-hu3p>

APPENDIX: EVALUATION STANDARDS DETAILED DESCRIPTIONS

Standard Number	Standard Name	Standard Description
Street Cleanliness		
1.1	Street Cleanliness	<p>Streets shall be free of litter and rated on a scale of 1.0 to 3.0. A final average rating less than 2.0 must be attained to meet the standard for the route. Each 100 linear curb feet ("segments") will be rated. Each block receives an average rating of the 100-foot segments, and all the blocks will be averaged for a final rating for the route.</p> <p>1.0 = Very clean - less than 5 pieces of litter per 100 curb feet examined 2.0 = Acceptably clean - 5-15 pieces of litter per 100 curb feet examined 3.0 = Very Dirty - over 15 pieces of litter per 100 curb feet examined</p> <p>Litter Definition: Examples of litter include tissue paper, food wrappings, cups, plastic bags, newspapers, needles, feces, furniture, and cars. Includes items at least 1-inch by 1-inch in size. Excludes cigarette butts.</p>
Sidewalk Cleanliness		
2.1	Sidewalks - Litter	<p>Sidewalks shall be free of litter and debris, and will be rated on a scale of 1.0 to 3.0. A final rating under 2.0 must be attained to meet the standard. Each 100 linear curb feet ("segments") will be rated. Each block receives an average rating of the 100-foot segments, and all blocks will be averaged for a final rating for the route.</p> <p>1.0 = Very clean - less than 5 pieces of litter per 100 curb-feet examined. Evaluator notes if standard not met due to cigarette butts. Evaluator notes if segment adjacent to sidewalk is a City building or facility. 2.0 = Acceptably clean - 5-15 pieces of litter per 100 curb feet examined. 3.0 = Very dirty - over 15 pieces of litter per 100 curb feet examined.</p> <p>Litter definition: Examples of litter include tissue paper, food wrappings, cups, plastic bags, newspapers, cigarette butts, and loose gum.</p>
2.2	Sidewalks - Grime, Leaks, Spills	<p>90% of sidewalks immediately adjacent to the street in the observed are free of grime, leaks, and spills. Each 100 linear curb feet ("segments") will be rated by a % meeting the standard. Each block receives an average rating of the 100-foot segments, and all blocks will be averaged for a final rating for the route.</p> <p>Definition: Grime, leaks, and spills include any removable material resulting in a difference in pavement surface color. Includes paint, dried liquids, dirt, garbage leaks, or other substances resulting in wet, slippery, or sticky conditions. Does not include graffiti (see standard 2.3), painted markers for utility use, nor intentional painting of the sidewalk surface. Does not include differences in cement color.</p>
2.3	Graffiti	This standard was moved to 3.4 Graffiti.
2.4	Illegal Dumping	100% of sidewalks are free of illegally dumped items (furniture, appliances, car parts, etc.), except items labeled for Public Works Bulk Item Collection ("BIC").
2.5.1	Feces, Needles, Condoms	100% of sidewalks are free from feces, needles, or open/used condoms.

2.5.2	Broken Glass	100% of sidewalks are free from broken glass.
2.6	Public Works Odors	100% of block is free of strong offensive odors from Public Works sources. Offensive odors include, sewage, odor from catch basins, human excrement related odors (feces and urine), and other significant unpleasant odors. Check box on evaluation worksheet indicates presence of human-related odors from feces or urine. Public Works sources include city dumpsters, sidewalks, street surfaces, bus stops, and specific catch basins.
2.7	Non-Public Works Odors	100% of block is free of strong offensive odors from non-SF Public Works sources, including private trash cans and SFPUC catch basins.

Graffiti

3.1 and 3.2	Graffiti - Public Property	100% of the streets and sidewalks, public structures and public buildings visible from and immediately adjacent to the street are free of graffiti. Count the # of incidents of graffiti. The total number of incidents will be aggregated into the total for the block and the route. Blocks included in sample can be averaged for a block average Graffiti includes stickers, paint, and pen markings, but not etchings. Street graffiti does not include painted street utility markings. Public Works property included street surfaces and trash receptacles. Non-Public Works public property includes all other public agency structures, including street posts, lamps, mailboxes, meters, signal boxes, etc.
3.3	Graffiti - Private Property	100% of private sidewalks, structures, and buildings visible from and immediately adjacent to the street are free of graffiti. The total number of incidents will be aggregated into the total for the block and the route. Blocks included in sample can be averaged for a block average.
3.4	Graffiti - Sidewalks	100% of sidewalks are free from graffiti (paint, pen markings, stickers). Does not include painted utility markings or chalk.

Trash Receptacles

4.1	Fullness	Trash receptacle is not overflowing (over the top of the receptacle).
4.2	Cleanliness of trash receptacle	Trash receptacle is clean. Note: If graffiti is found, incidents noted in Standard 3.1
4.3	Cleanliness around trash receptacles	Immediate area surrounding the trash receptacle is free of litter, debris, illegal dumping, spills, or leakage. 5 pieces of litter or more is unacceptable. Notes: Examples of litter include tissue paper, food wrappings, cups, plastic bags, newspapers, cigarette butts, furniture, car parts and abandoned appliances Examples of debris include limbs and rocks.
4.4	Painting	Receptacle has uniform coat of paint and is not peeling on 90% of the surface, where applicable.
4.5	Structure Integrity & Function	Trash receptacle is free of large cracks or damage that effect its use.

4.6	Doors	Doors on trash receptacles are closed and secured.
Trees and Landscaping		
5.1	Cleanliness	<p>Trees, tree wells, and planters shall be free of litter and debris. No more than 3 total pieces of litter or debris can be visible per tree well and planter observed, and 90% of tree wells/planters must comply to meet standard. Trees, tree wells, and tree planters in each 100 linear curb feet ("segments") will be rated.</p> <p>Litter definition: Examples of litter include cigarette butts, gum, tissue paper, food wrappings, cups, plastic bags, newspapers, needles, feces. Examples of debris include limbs. Leaves are excluded.</p> <p>The standard is not met if feces, needles, broken glass, or condoms are present in the tree well/planter.</p>
5.2	Tree Appearance	All trees are alive, and 90% of trees have no hanging limbs and are free of damage. Trees in each 100 linear curb feet ("segments") will be rated. NOTE: The standard is not met if any tree is dead. A tree stump or empty tree well counts as a dead tree.
5.3	Weeds	90% or more of all tree wells and planters are free of weeds and vines.
5.4	Clearance	Limbs and foliage are maintained with an 8-foot vertical clearance for pedestrians over the sidewalk and 14-foot vertical clearance over the street. NOTE: Exceptions are made for newly planted street trees that are too small to meet clearance requirements yet do not impede pedestrian or vehicular traffic.

APPENDIX: DETAILED EVALUATION METHODOLOGY

History & Methodology

In November 2003, San Francisco voters approved Proposition C (Charter Section F.102), requiring the City to establish performance standards for street and sidewalk maintenance. Accordingly, the Controller's Office and San Francisco Public Works (Public Works) created standards to evaluate five areas:

1. street cleanliness;
2. sidewalk cleanliness;
3. graffiti;
4. trash receptacles; and
5. trees and landscaping.

Routes throughout the city are generally evaluated twice per year, including routes in each of the six Public Works Work Zones and a combination of commercial and residential areas. During most evaluations, approximately five blocks on one side of the street are evaluated.

During FY 2011-12, the Controller's Office and Public Works made changes to the standards based on the results of the Streets Perception Study (2011). Most notably, new standards for odors were added to the sidewalk cleanliness standards.

Public Works contracted JBR Partners, Inc. (JBR) to conduct street and sidewalk evaluations for FY14-15. JBR follows the evaluation methodology described in APPENDIX: DETAILED SCORING METHODOLOGY and APPENDIX: EVALUATION STANDARDS DETAILED DESCRIPTIONS.

This report is intended to provide an annual assessment of the state of streets and sidewalks in San Francisco, more so than an assessment of the performance of a particular department or agency. Among the twenty-two street and sidewalk standards evaluated, Public Works is generally responsible for the maintenance of the streets and its assets located on the sidewalks, however there are a variety of properties and common assets that Public Works may not manage, or for which Public Works may share partial responsibility with other agencies or property owners. Please see APPENDIX: STREET & SIDEWALK MAINTENANCE RESPONSIBILITIES for additional information about maintenance responsibilities.

Evaluation Standards

The five evaluation categories are scored using one of the following metrics:

- 1 - 3 point system (where 1 = very clean, 2 = acceptably clean, 3 = very dirty)
- percentage (high % = clean)
- number of incidents (lower = better)

A summary of all the standards is shown on APPENDIX: EVALUATION STANDARDS DETAILED DESCRIPTIONS, and a complete text of the standards is described at the website <http://sfcontroller.org/Modules/ShowDocument.aspx?documentid=6015>.

Evaluation Timing

Prior to FY 2007-08, evaluations were conducted before and after street sweepings. Currently, evaluations have been conducted at the midpoint of a route's mechanical street sweeping schedule. For example, a route that is swept on Monday, Wednesday and Friday would be inspected on Tuesday or Thursday, and a route that is swept once a week on Tuesday morning would be inspected on a Friday afternoon. All evaluations occur weekdays between 8:00 a.m. and 5:00 p.m. to accommodate the staff's regular work hours and minimize overtime cost.

Route Selection

The unit of an evaluation in this report is a route. Each route consists of several contiguous city blocks, with one side of the street evaluated on each route. Every route is evaluated at least twice during each fiscal year (July 1 through June 30), and on two different days. This sample represents observations from 2 out of 365 possible days for each route. Pictorial definitions of the basic elements evaluated - streets, sidewalks, and routes/blocks/100-foot segments - are illustrated in APPENDIX: EVALUATION ROUTE DIAGRAM.

JBR evaluated a total of 184 routes throughout the City in FY14-15, providing data on 368 total new evaluations to CSA for analysis. 52% of the routes were commercial routes and 48% were residential. JBR evaluated between 18 and 39 routes within each Public Works work zone, with an average of 31 routes evaluated per work zone. The INTRODUCTION section of this report offers a map of Public Works work zones and routes, and APPENDIX: LIST OF ROUTES EVALUATED provides a list of all the routes that were evaluated.

Implementation and Analysis

CSA and Public Works trained JBR on the revised standards. Trainers reviewed the Streets and Sidewalks Maintenance Standards Manual, conducted a joint evaluation, and ensured consistency of scores between evaluators. All analysis is conducted by JBR in coordination with CSA staff. Regular audits of data entry and weekly team meetings ensure accuracy.

FY 2013-14 Evaluation Methodology Changes

From 2003 – 2012, graffiti incidents were aggregated into the total for the block and each route received a graffiti block average. Since FY 2013-14, graffiti totals reported are based on averages per 100-ft segment. Each block approximately has two to three 100-foot segments. The average makes a simplifying assumption that all blocks and routes are the same length. That is, blocks (and routes) of differing lengths are given equal weight in the averages.

During FY14-15, JBR and CSA found inconsistencies among evaluators regarding the sizes of litter pieces they were reporting. To address this issue and minimize future reporting biases, the standards were clarified mid-year to define litter as one square-inch per piece or larger.

Quality Control

Quality control evaluations help to ensure that the maintenance standards are applied consistently across all evaluations. The CSA program lead conducted two quality control evaluations in FY 2013-14. CSA and JBR conducted separate evaluations at the same time on the same route; both teams compared results.

No major findings were noted from quality control evaluations conducted on the two CSA evaluations during FY 2014-15. Findings from future quality control evaluations will be used by Public Works and CSA to revise and clarify the standards, ensure proper evaluation training, and clarify the evaluation methodology.

Reporting Major Incidents

The FY 2007-08 annual report recommended that CSA inspectors routinely report major incidents observed during evaluations to 311, San Francisco's 24-hour customer service center, to improve the conditions of streets and sidewalks more directly and immediately. Major incidents may include excessive graffiti, illegal dumping, and an existing sidewalk condition such as a large crack, among others. In June of FY 2008-09, this process was implemented.

Learn More

We invite you to view or download a copy of the complete Street and Sidewalks Maintenance Standards Manual and Evaluation Form (2012) that offers additional details about scoring methodology by visiting the San Francisco Controller's Office website (under Proposition C Compliance) or entering one of the following URLs into your internet browser:

- <http://sfcontroller.org/Modules/ShowDocument.aspx?documentid=6015>
- <http://sfcontroller.org/index.aspx?page=49>

A complete dataset including route scores used for this report is available online through the DataSF open data portal at www.datasf.org. You can access the dataset directly by entering the following URL into your web browser:

<https://data.sfgov.org/City-Infrastructure/DPW-Street-Sidewalk-Evaluation-Results-7-1-2013-to/83ki-hu3p>

APPENDIX: DETAILED SCORING METHODOLOGY

Standard 1.1 | Street Litter

Each 100-foot segment is scored (1) to (3), where a score of (1) means "very clean", (2) means "acceptably clean", and (3) means "very dirty". Individual scores of (2) or lower are considered passing. Scores for 100-foot segments are averaged by block with even weight. Block scores are then averaged for the entire route to produce a score between (1.00) to (3.00).

Standard 2.1 | Sidewalk Litter

Each 100-foot segment is scored (1) to (3), where a score of (1) means "very clean", (2) means "acceptably clean", and (3) means "very dirty". Individual scores of (2) or lower are considered passing. Scores for 100-foot segments are averaged by block with even weight. Block scores are then averaged for the entire route to produce a score between (1.00) to (3.00).

Standard 2.2 | Grime, Leaks, Spills (% of sidewalk w/out)

Each 100-foot segment is scored (0%) to (100%) in increments of (1%), where (100%) means that 100 percent of the segment is free of Grime, Leaks or Spills. Scores for 100-foot segments are averaged by block with even weight. Block scores are then averaged for the entire route to produce a score between (0%) and (100%).

Standard 2.4 | Illegal Dumping

Each 100-foot segment is scored (P) or (F), where (P) means "passing" or no illegal dumping was observed, and (F) means "failing" or illegal dumping was observed. If any instance of illegal dumping is observed on any 100-foot segment, the entire block fails the standard. If any block fails the standard, then the entire route fails the standard for that evaluation.

Logically, all 100-foot segment scores (P) and (F) are counted for each block, and if the count of (P) is less than the total combined count (P+F), then the entire block receives a score of (F). At the route level, all block scores (P) and (F) are counted for each route, and if the count of (P) is less than the total combined count (P+F), then the entire route receives a score of (F).

Scores are reported as the percent (%) of route evaluation that passed the standard; specifically, the percent (%) of individual evaluations that received a score of (P) for the entire route segment.

Standard 2.5.1 | Feces, Needles, Condoms

Each 100-foot segment is scored (P) or (F), where (P) means "passing" or no feces, needles, condoms were observed; and (F) means "failing" or feces, needles, condoms were observed. If any feces, needles, condoms are observed on any 100-foot segment, the entire block fails the standard. If any block fails the standard, then the entire route fails the standard for that evaluation.

Logically, all 100-foot segment scores (P) and (F) are counted for each block, and if the count of (P) is less than the total combined count (P+F), then the entire block receives a score of (F). At the route level, all

block scores (P) and (F) are counted for each route, and if the count of (P) is less than the total combined count (P+F), then the entire route receives a score of (F).

Scores are reported as the percent (%) of route evaluation that passed the standard; specifically, the percent (%) of individual evaluations that received a score of (P) for the entire route segment.

Standard 2.5.2 | Broken Glass

Each 100-foot segment is scored (P) or (F), where (P) means “passing” or no broken glass was observed, and (F) means “failing” or broken glass *was* observed. If any instance of broken glass is observed on any 100-foot segment, the entire block fails the standard. If any block fails the standard, then the entire route fails the standard for that evaluation.

Logically, all 100-foot segment scores (P) and (F) are counted for each block, and if the count of (P) is less than the total combined count (P+F), then the entire block receives a score of (F). At the route level, all block scores (P) and (F) are counted for each route, and if the count of (P) is less than the total combined count (P+F), then the entire route receives a score of (F).

Scores are reported as the percent (%) of route evaluation that passed the standard; specifically, the percent (%) of individual evaluations that received a score of (P) for the entire route segment.

Standard 2.6 | Public Odors from DPW Assets

Each 100-foot segment is scored (P) or (F), where (P) means “passing” or no strong public odors were reported coming from DPW assets; and (F) means “failing” or strong public odors *were* reported coming from DPW assets. If any strong public odors are reported along any 100-foot segment, the entire block fails the standard. If any block fails the standard, then the entire route fails the standard for that evaluation.

Logically, all 100-foot segment scores (P) and (F) are counted for each block, and if the count of (P) is less than the total combined count (P+F), then the entire block receives a score of (F). At the route level, all block scores (P) and (F) are counted for each route, and if the count of (P) is less than the total combined count (P+F), then the entire route receives a score of (F).

Scores are reported as the percent (%) of route evaluations that passed the standard; specifically, the percent (%) of individual evaluations that received a score of (P) for the entire route segment.

Standard 2.7 | Public Odors from Non-DPW Assets

Each 100-foot segment is scored (P) or (F), where (P) means “passing” or no strong public odors were reported coming from non-DPW assets; and (F) means “failing” or strong public odors *were* reported coming from non-DPW assets. If any strong public odors are reported along any 100-foot segment, the entire block fails the standard. If any block fails the standard, then the entire route fails the standard for that evaluation.

Logically, all 100-foot segment scores (P) and (F) are counted for each block, and if the count of (P) is less than the total combined count (P+F), then the entire block receives a score of (F). At the route level, all

block scores (P) and (F) are counted for each route, and if the count of (P) is less than the total combined count (P+F), then the entire route receives a score of (F).

Scores are reported as the percent (%) of route evaluation that passed the standard; specifically, the percent (%) of individual evaluations that received a score of (P) for the entire route segment.

Standard 3.1 | Graffiti on Public Property Maintained by DPW

Each 100-foot segment is scored by counting the number of instances of graffiti observed, where (0) means "no graffiti observed". There is no maximum limit. Scores for 100-foot segments are reported in increments of (1). Each route evaluation score is reported as the average value of block scores, where a block score is the average count of graffiti per 100-foot segment.

Logically, scores (counts) for 100-foot segments are averaged by block with even weight. Block scores are then averaged for the entire route to produce a score. Block scores are averaged with even weight, such that the length of each block (or the number of 100-foot segments on each block) is not considered in the calculation.

Standard 3.2 | Graffiti on Public Property Not Maintained by DPW

Each 100-foot segment is scored by counting the number of instances of graffiti observed, where (0) means "no graffiti observed". There is no maximum limit. Scores for 100-foot segments are reported in increments of (1). Each route evaluation score is reported as the average value of block scores, where a block score is the average count of graffiti per 100-foot segment.

Logically, scores (counts) for 100-foot segments are averaged by block with even weight. Block scores are then averaged for the entire route to produce a score. Block scores are averaged with even weight, such that the length of each block (or the number of 100-foot segments on each block) is not considered in the calculation.

Standard 3.3 | Graffiti on Private Property

Each 100-foot segment is scored by counting the number of instances of graffiti observed, where (0) means "no graffiti observed". There is no maximum limit. Scores for 100-foot segments are reported in increments of (1). Each route evaluation score is reported as the average value of block scores, where a block score is the average count of graffiti per 100-foot segment.

Logically, scores (counts) for 100-foot segments are averaged by block with even weight. Block scores are then averaged for the entire route to produce a score. Block scores are averaged with even weight, such that the length of each block (or the number of 100-foot segments on each block) is not considered in the calculation.

Standard 3.4 | Graffiti on Public Sidewalks

Each 100-foot segment is scored by counting the number of instances of graffiti observed, where (0) means "no graffiti observed". There is no maximum limit. Scores for 100-foot segments are reported in

increments of (1). Each route evaluation score is reported as the average value of block scores, where a block score is the average count of graffiti per 100-foot segment.

Logically, scores (counts) for 100-foot segments are averaged by block with even weight. Block scores are then averaged for the entire route to produce a score. Block scores are averaged with even weight, such that the length of each block (or the number of 100-foot segments on each block) is not considered in the calculation.

Standard 4.1 | Fullness of Trash Receptacles

Each 100-foot segment is scored as the number of trash receptacles that are not full or overflowing. The number of trash receptacles not overflowing is summed as a total for each block. Each block is scored as the percent of trash receptacles along all 100-foot segments that are not overflowing. Block scores are then averaged for the entire route with equal weight. A route evaluation is considered “passing” when the average value of block scores exceeds (90%).

Logically, the number of trash receptacles not overflowing along each block is divided by the total number of all trash receptacles along each block (including those that are full and overflowing) to produce an average score, reported as a percentage (%). Block scores are averaged with equal weight to produce a score for each route evaluation, such that the number of trash receptacles along each block is not considered in the calculation.

City-wide scores are reported as the average score (%) of route evaluations.

Standard 4.2 | Cleanliness of Trash Receptacles

Each 100-foot segment is scored as the number of trash receptacles that are sufficiently clean. The number of clean trash receptacles is summed as a total for each block. Each block is scored as the percent of trash receptacles along all 100-foot segments that are sufficiently clean. Block scores are then averaged for the entire route with equal weight, to produce a route evaluation score reported as a percentage (%). A route evaluation is considered “passing” when the average value of block scores exceeds (90%).

Logically, the number of clean trash receptacles along each block is divided by the total number of all trash receptacles along each block (including those that are not clean) to produce an average score, reported as a percentage (%). Block scores are averaged with equal weight to produce a score for each route evaluation, such that the number of trash receptacles along each block is not considered in the calculation.

City-wide scores are reported as the average score (%) of route evaluations.

Standard 4.3 | Cleanliness of Area around Trash Receptacles

Each 100-foot segment is scored as the number of trash receptacles with surrounding areas that are sufficiently clean. The number of clean trash receptacles is summed as a total for each block. Each block is scored as the percent of trash receptacles along all 100-foot segments with surrounding areas that are sufficiently clean. Block scores are then averaged for the entire route with equal weight, to produce a

route evaluation score reported as a percentage (%). A route evaluation is considered “passing” when the average value of block scores exceeds (90%).

Logically, the number of trash receptacles with clean surround areas along each block is divided by the total number of all trash receptacles along each block (including those with litter or debris in their surrounding area) to produce an average score, reported as a percentage (%). Block scores are averaged with equal weight to produce a score for each route evaluation, such that the number of trash receptacles along each block is not considered in the calculation.

City-wide scores are reported as the average score (%) of route evaluations.

Standard 4.4 | Painting of Trash Receptacles

Each 100-foot segment is scored as the number of trash receptacles that with appropriate and uniform paint. The number of appropriately-painted trash receptacles is summed as a total for each block. Each block is scored as the percent of trash receptacles along all 100-foot segments that are appropriately painted. Block scores are then averaged for the entire route with equal weight, to produce a route evaluation score reported as a percentage (%). A route evaluation is considered “passing” when the average value of block scores exceeds (90%).

Logically, the number of appropriately-painted trash receptacles along each block is divided by the total number of all trash receptacles along each block (including those with unauthorized or degraded paint) to produce an average score, reported as a percentage (%). Block scores are averaged with equal weight to produce a score for each route evaluation, such that the number of trash receptacles along each block is not considered in the calculation.

City-wide scores are reported as the average score (%) of route evaluations.

Standard 4.5 | Structural Integrity and Functioning of Trash Receptacles

Each 100-foot segment is scored as the number of trash receptacles that are free of cracks or damage that compromise their functioning. The number of functioning trash receptacles is summed as a total for each block. Each block is scored as the percent of trash receptacles along all 100-foot segments that are functioning. Block scores are then averaged for the entire route with equal weight, to produce a route evaluation score reported as a percentage (%). A route evaluation is considered “passing” when the average value of block scores exceeds (90%).

Logically, the number of functioning trash receptacles along each block is divided by the total number of all trash receptacles along each block (including those with cracks or structural damage) to produce an average score, reported as a percentage (%). Block scores are averaged with equal weight to produce a score for each route evaluation, such that the number of trash receptacles along each block is not considered in the calculation.

City-wide scores are reported as the average score (%) of route evaluations.

Standard 4.4 | Doors of Trash Receptacles

Each 100-foot segment is scored as the number of trash receptacles whose access doors are locked and secured. The number of secured trash receptacles is summed as a total for each block. Each block is scored as the percent of trash receptacles along all 100-foot segments that are secured. Block scores are then averaged for the entire route with equal weight, to produce a route evaluation score reported as a percentage (%). A route evaluation is considered “passing” when the average value of block scores exceeds (90%).

Logically, the number of secured trash receptacles along each block is divided by the total number of all trash receptacles along each block (including those with open or unsecured doors) to produce an average score, reported as a percentage (%). Block scores are averaged with equal weight to produce a score for each route evaluation, such that the number of trash receptacles along each block is not considered in the calculation.

City-wide scores are reported as the average score (%) of route evaluations.

Standard 5.1 | Cleanliness of Trees and Landscaping

Each 100-foot segment is scored as the number of trees that are sufficiently clean. The number of clean trees is summed as a total for each block. Each block is scored as the percent of trees along all 100-foot segments that are sufficiently clean. Block scores are then averaged for the entire route with equal weight, to produce a route evaluation score reported as a percentage (%). A route evaluation is considered “passing” when the average value of block scores exceeds (90%).

Logically, the number of clean trees along each block is divided by the total number of all trees along each block (including those that are not clean) to produce an average score, reported as a percentage (%). Block scores are averaged with equal weight to produce a score for each route evaluation, such that the number of trees along each block is not considered in the calculation.

City-wide scores are reported as the average score (%) of route evaluations.

Standard 5.2 | Appearance of Trees and Landscaping

Each 100-foot segment is scored as the number of trees that have no hanging limbs are free of damage. The number of trees with appropriate appearance is summed as a total for each block. Each block is scored as the percent of trees along all 100-foot segments with appropriate appearance. Block scores are then averaged for the entire route with equal weight, to produce a route evaluation score reported as a percentage (%). A route evaluation is considered “passing” when the average value of block scores exceeds (90%).

Logically, the number of trees with appropriate appearance along each block is divided by the total number of all trees along each block (including those with damage or hanging limbs) to produce an average score, reported as a percentage (%). Block scores are averaged with equal weight to produce a score for each route evaluation, such that the number of trees along each block is not considered in the calculation.

City-wide scores are reported as the average score (%) of route evaluations.

Standard 5.3 | Weediness of Trees and Landscaping

Each 100-foot segment is scored as the number of tree wells and planters that are free of weeds and vines. The number of wells/planters is summed as a total for each block. Each block is scored as the percent of wells/planters along all 100-foot segments that are free of weeds and vines. Block scores are then averaged for the entire route with equal weight, to produce a route evaluation score reported as a percentage (%). A route evaluation is considered “passing” when the average value of block scores exceeds (90%).

Logically, the number of weed-free wells/planters is divided by the total number of all trees along each block (including those weeds and vines) to produce an average score, reported as a percentage (%). Block scores are averaged with equal weight to produce a score for each route evaluation, such that the number of trees along each block is not considered in the calculation.

City-wide scores are reported as the average score (%) of route evaluations.

Standard 5.4 | Public Access Clearance of Trees and Landscaping

Each 100-foot segment is scored as the number of trees with sufficient clearance for sidewalk and street use. The number of trees with sufficient clearance is summed as a total for each block. Each block is scored as the percent of trees along all 100-foot segments with sufficient clearance. Block scores are then averaged for the entire route with equal weight, to produce a route evaluation score reported as a percentage (%). A route evaluation is considered “passing” when the average value of block scores exceeds (90%).

Logically, the number of trees with sufficient clearance along each block is divided by the total number of all trees along each block (including those that may obstruct street or sidewalk use) to produce an average score, reported as a percentage (%). Block scores are averaged with equal weight to produce a score for each route evaluation, such that the number of trees along each block is not considered in the calculation.

City-wide scores are reported as the average score (%) of route evaluations.

APPENDIX: STREET & SIDEWALK MAINTENANCE RESPONSIBILITIES

Not all evaluated elements are the responsibility of the Department of Public Works to maintain. In general, Public Works' maintenance responsibilities are "curb-to-curb," while sidewalk maintenance is the responsibility of private property owners. More information is available at: <http://www.sfbetterstreets.org/learn-the-process/maintenance/>

	Public Works responsible	Private property owners responsible	Other public agencies responsible
(1.0) STREETS	Litter and maintenance issues are Public Works' responsibility "curb to curb." Public Works performs street sweeping operations to keep street surfaces clean and repairs potholes and other damage to road surfaces.	--	--
(2.0) SIDEWALKS	Responsible for curb ramps and odors emanating from Public Works-maintained assets.	City sidewalks are private property and the responsibility of fronting property owners. Illegal sidewalk dumping is the responsibility of property owners. Public Works notifies property owners if repairs are needed; if property owners fail to make repairs, Public Works repairs sidewalks and bills owners.	Light poles, traffic signs, signal boxes, retaining walls, and other public property on the sidewalk are maintained by other public agencies (e.g., BART, MTA, or PUC).
(3.0) GRAFFITI	Responsible for graffiti removal on trash receptacles and street surfaces.	Graffiti on sidewalks or other private property (e.g. newspaper stands) is the responsibility of the property owner. If Public Works finds this graffiti, they will send a notice to the property owner, who must clean the graffiti or face blight penalties.	If Public Works finds graffiti on non-Public Works public property, they remove the graffiti and bill the appropriate city agency.
(4.0) TRASH RECEPTACLES	Public Works owns the city's trash receptacles. Some are cleaned and maintained directly by Public Works, while others are maintained by an independent contractor (Recology).	Private trash bins are not evaluated.	--
(5.0) TREES	Public Works currently maintains about one-third of the city's street trees. Most of those will be transferred to private property owners over the next seven years. Public Works will maintain responsibility for trees on medians and on public property. This evaluation treats all trees as Public Works property.	In general, private property owners are responsible for street trees. Public Works has set up a hotline at (415) 554-7336 to inquire about maintenance responsibility for a street tree.	--

APPENDIX: LIST OF ROUTES EVALUATED

Work Zone	Type	Corridor	Begin Street	End Street
A	Commercial	Broadway St	Powell St	Kearny St
A	Commercial	California St	Hyde St	Larkin St
A	Commercial	Chestnut St	Fillmore St	Divisadero St
A	Commercial	Columbus Ave	Powell St	Pacific Ave
A	Commercial	Drumm St	Market St	Washington St
A	Commercial	Ellis St, Mason St	Market St	Powell St
A	Commercial	Fillmore St	Lombard St	Union St
A	Commercial	Geary St	Mason St	Van Ness Ave
A	Commercial	Grant Ave	Broadway St	California St
A	Commercial	Justin Herman Plaza	Market St	Mission St
A	Commercial	Kearny St	Columbus Ave	California St
A	Commercial	Polk St	California St	Vallejo St
A	Commercial	Stockton St	Green St	Sacramento St
A	Commercial	Van Ness Ave	Broadway St	Greenwich St
A	Commercial	Van Ness Ave	Bush St	Broadway St
A	Commercial	Van Ness Ave	Greenwich St	North Point St
A	Residential	Baker St	Green St	Greenwich St
A	Residential	Broderick St	Bush St	Washington St
A	Residential	Bush St	Mason St	Larkin St
A	Residential	Chestnut St	Van Ness Ave	Laguna St
A	Residential	Filbert St	Franklin St	Webster St
A	Residential	Lake St	23 rd Ave	28 th Ave
A	Residential	Sacramento St	Taylor St	Polk St
A	Residential	Sutter St	Jones St	Larkin St
A	Residential	Webster St	Bromley Pl	Green St
B	Commercial	03rd St	Ballpark	Mariposa St
B	Commercial	06th St	Market St	Folsom St
B	Commercial	07th St, 08th St, Market St	Market St	Mission St
B	Commercial	Fremont St	Mission St	Transbay Hump
B	Commercial	Jones St	Market St	O'Farrell St
B	Commercial	Larkin St	O'Farrell St	Sacramento St
B	Commercial	Market St	11th St	Valencia St
B	Commercial	Market St	3rd St	6th St
B	Commercial	Market St	Steuart St	Spear St
B	Commercial	Minna St	2nd St	Fremont St
B	Commercial	Mission St	5th St	11th St
B	Commercial	Mission St, Otis St	10th St	Otis/13th St
B	Commercial	Natoma St	2nd St	Fremont St
B	Commercial	Polk St	California St	O'Farrell St
B	Commercial	South Van Ness Ave	18th St	Mission St
B	Commercial	Taylor St	Market St	O'Farrell St
B	Commercial	Turk St, Hyde St	Taylor St	Hyde St
C	Commercial	Balboa St	42 nd Ave	34 th Ave
C	Commercial	Clement St	5 th Ave	10 th Ave

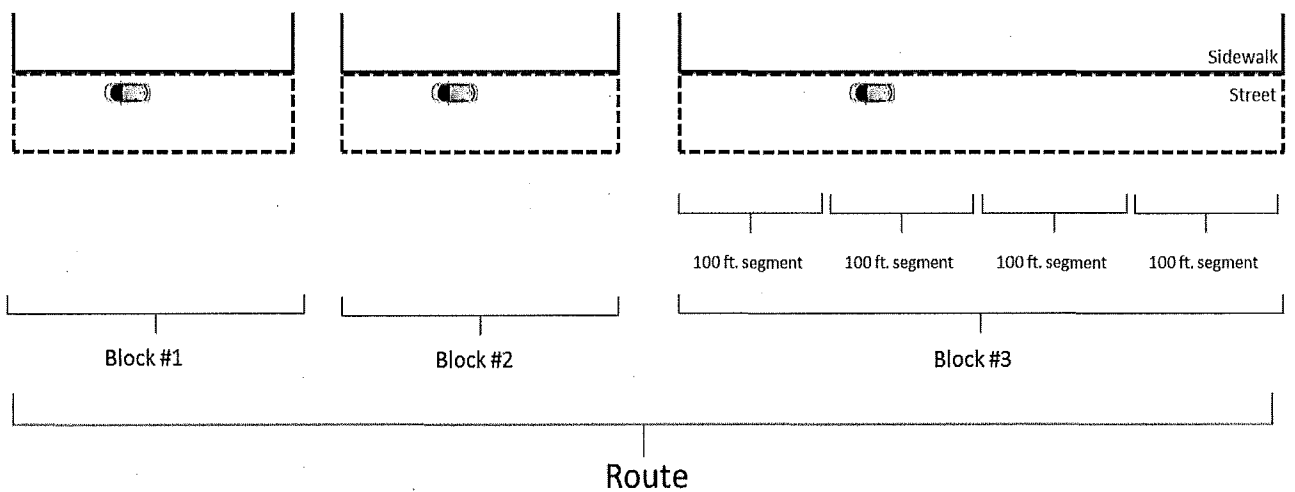
Work Zone	Type	Corridor	Begin Street	End Street
C	Commercial	Clement St	Arguello Blvd	5 th Ave
C	Commercial	Divisadero St	Geary Blvd	McAllister St
C	Commercial	Divisadero St	Haight St	McAllister St
C	Commercial	Eddy St, Fillmore St	Fillmore St	Steiner St
C	Commercial	Eddy St, Fillmore St	Webster St	Fillmore St
C	Commercial	Geary Blvd	17 th Ave	2nd Ave
C	Commercial	Geary Blvd	Arguello Blvd	7 th Ave
C	Commercial	Geary Blvd	Scott St	Webster St
C	Commercial	Golden Gate Ave	Steiner St	Laguna St
C	Commercial	Haight St	Stanyan St	Central Ave
C	Commercial	Haight St	Webster St	Divisadero St
C	Commercial	Hayes St, Laguna St	Laguna St	Gough St
C	Commercial	Irving St	6th Ave	Funston Ave
C	Commercial	Laguna St, Post St	Buchanan St	Webster St
C	Commercial	McAllister St	Steiner St	Laguna St
C	Commercial	O'Farrell St	Fillmore St	Steiner St
C	Commercial	South Van Ness Ave, Van Ness Ave	Mission St	Golden Gate Ave
C	Commercial	Sutter St, Fillmore St	Laguna St	Fillmore St
C	Commercial	Van Ness Ave	Golden Gate Ave	Bush St
C	Residential	03rd Ave	Lincoln Way	Parnassus Ave
C	Residential	20th Ave	California St	Cabrillo St
C	Residential	26th Ave	Clement St	Fulton St
C	Residential	26th Ave	Seacliff Ave	California St
C	Residential	28th Ave	California St	Cabrillo St
C	Residential	36th Ave	Clement St	Fulton St
C	Residential	38th Ave	Clement St	Cabrillo St
C	Residential	Balboa St	21 st Ave	26 th Ave
C	Residential	Cabrillo St	27 th Ave	32nd Ave
C	Residential	Cabrillo St	42 nd Ave	47 th Ave
C	Residential	Central Ave	Buena Vista Ave West	Oak St
C	Residential	Cornwall St	Arguello Blvd	4 th Ave
C	Residential	Judah St	10th Ave	15th Ave
C	Residential	Lyon St	Hayes St	Turk St
C	Residential	Steiner St	Page St	Hayes St
D	Commercial	13th St, Division St, Duboce Ave	Valencia St	Potrero Ave
D	Commercial	16th St, Hoff St	Capp St	Mission St
D	Commercial	16th St, Valencia St	Valencia St	Folsom St
D	Commercial	18th St, Church St	Duboce Ave	18th St
D	Commercial	24th St	Folsom St	Valencia St
D	Commercial	24th St	Potrero Ave	Folsom St
D	Commercial	24th St, Osage Aly	Capp St	Lilac St
D	Commercial	Castro St	Market St	18th St
D	Commercial	Cortland Ave	Folsom St	Bocanna St
D	Commercial	Mission St	18th St	13th St
D	Commercial	Mission St	18th St	22nd St
D	Commercial	Mission St	22nd St	Cesar Chavez St
D	Commercial	San Bruno Ave	Silver Ave	Wayland St
D	Commercial	South Van Ness Ave	22nd St	18th St

Work Zone	Type	Corridor	Begin Street	End Street
D	Commercial	South Van Ness Ave	Cesar Chavez St	22nd St
D	Commercial	Valencia St	16th St	20th St
D	Residential	23rd St	Church St	Diamond St
D	Residential	25th St	Diamond St	Grand View Ave
D	Residential	25th St	Diamond St	Grand View Ave
D	Residential	26th St	Hampshire St	Harrison St
D	Residential	Clayton St	17th St	Market St
D	Residential	Cortland Ave	Folsom St	Bradford St
D	Residential	Diamond St	25th St	Duncan St
D	Residential	Dolores St	27th St	San Jose Ave
D	Residential	Douglass St	Market St	20th St
D	Residential	Grand View Ave	Romain St	Elizabeth St
D	Residential	Guerrero St	21st St	26th St
D	Residential	Hampshire St	18th St	23rd St
D	Residential	Liberty St	Guerrero St	Rayburn St
D	Residential	Market St	Diamond St	18th St
D	Residential	Noe St	Hancock St	21st St
D	Residential	Sanchez St	21st St	26th St
D	Residential	Silliman St	Brussels St	Bowdoin St
E	Commercial	03rd St	23rd St	Galvez Ave
E	Commercial	03rd St	Galvez Ave	Oakdale Ave
E	Commercial	03rd St	Mariposa St	23rd St
E	Commercial	03rd St	Oakdale Ave	Williams Ave
E	Commercial	03rd St	Williams Ave	Key Ave
E	Commercial	03rd St, Bay Shore Blvd	Key/San Bruno Ave	Sunnydale Ave
E	Commercial	Geneva Ave, Naples St	Alemanly Blvd	Naples St
E	Commercial	Leland Ave	Bayshore Blvd	Cora St
E	Commercial	Mission St	Foote Ave	Lawrence Ave
E	Commercial	Mission St	France Ave	Rolph St
E	Commercial	Mission St	Rolph St	Foote Ave
E	Commercial	Mission St	Silver Ave	Harrington St
E	Commercial	Mission St, Ocean Ave, Persia Ave	Harrington St	France Ave
E	Commercial	Ocean Ave	Phelan Ave	Capitol Ave
E	Commercial	Potrero Ave	15th St	20th St
E	Commercial	Potrero Ave	20th St	Cesar Chavez St
E	Residential	Brunswick St	Newton St	Florentine St
E	Residential	Campbell Ave	San Bruno Ave	Delta St
E	Residential	Concord St	Mission St	Hanover St
E	Residential	Farallones St	San Jose Ave	Orizaba Ave
E	Residential	Goettingen St	Ordway St	Campbell Ave
E	Residential	Grafton Ave	Harold Ave	Miramar Ave
E	Residential	Guttenberg St	Mission St	Hanover St
E	Residential	Head St	Randolph St	Ashton Ave
E	Residential	Holloway Ave	Bright St	Monticello St
E	Residential	Lane St	Palou Ave	Underwood Ave
E	Residential	Madrid St	Silver Ave	Persia Ave
E	Residential	Missouri St	Mariposa St	22nd St
E	Residential	Peru Ave	Libson St	Athens St

Work Zone	Type	Corridor	Begin Street	End Street
E	Residential	Prague St	Geneva St	Pope St
E	Residential	Silver Ave	Mission Ave	Cambridge St
E	Residential	Silver Ave	Mission St	Cambridge St
E	Residential	Silver Ave	Santa Fe Ave	Quint St
F	Commercial	Bosworth St, Chenery St, Diamond St	I-280 S On Ramp	Diamond St
F	Commercial	Geneva Ave, Ocean Ave, San Jose Ave	Louisburg St	I-280 S Off Ramp
F	Commercial	Irving St	19th Ave	25th Ave
F	Commercial	Judah St	42nd Ave	48th Ave
F	Commercial	Noriega St	19th Ave	25th Ave
F	Commercial	Noriega St	30th Ave	33rd Ave
F	Commercial	Ocean Ave	Capitol Ave	Manor Dr
F	Commercial	Taraval St	18th Ave	23rd Ave
F	Commercial	West Portal Ave	Ulloa St	15th Ave
F	Residential	10th Ave	Lawton St	Quintara St
F	Residential	10th Ave	Moraga St	Mendosa Ave
F	Residential	18th Ave	Taraval St	Wawona St
F	Residential	19th Ave	Irving St	Noriega St
F	Residential	24th Ave	Taraval St	Wawona St
F	Residential	25th Ave	Lawton St	Ortega St
F	Residential	25th Ave	Lincoln Way	Lawton St
F	Residential	27th Ave	Quintara St	Taraval St
F	Residential	28th Ave	Quintara St	Taraval St
F	Residential	30th Ave	Pacheco St	Taraval St
F	Residential	31st Ave	Pacheco St	Taraval St
F	Residential	32nd Ave	Pacheco St	Taraval St
F	Residential	43rd Ave	Pacheco St	Taraval St
F	Residential	Eucalyptus Dr	19th Ave	23rd Ave
F	Residential	Funston Ave	Kirkham St	Noriega St
F	Residential	Joost Ave	Lippard Ave	Forester St
F	Residential	Judah St	33rd Ave	36th Ave
F	Residential	Kirkham St	20th Ave	25th Ave
F	Residential	Kirkham St	30th Ave	35th Ave
F	Residential	Kirkham St	44th Ave	Great Hwy
F	Residential	Magellan Ave	Castenada Ave	Montalvo Ave
F	Residential	Moncada Way	Urbano Dr	Junipero Serra Blvd
F	Residential	Montecito Ave	Monterey Blvd	Eastwood Dr
F	Residential	Rivera St	19th Ave	22nd Ave
F	Residential	Saint Francis Blvd	Junipero Serra Blvd	Santa Clara Ave
F	Residential	Santiago St	19th Ave	22nd Ave
F	Residential	Santiago St	28th Ave	33rd Ave
F	Residential	Serrano Dr	Cardenas Ave	Arballo Dr
F	Residential	Sloat Blvd	20th Ave	25th Ave
F	Residential	Ulloa St	37th Ave	42nd Ave
F	Residential	Ulloa St	Laguna Honda Blvd	Dorchester Way
F	Residential	Urbano Dr	Corona St	Corona Court

APPENDIX: EVALUATION ROUTE DIAGRAM

An evaluation route is generally made up of 5 contiguous city blocks. Each block is broken down into 100-foot segments for evaluation purposes. One side of the street and sidewalk (from the sidewalk edge to the median of the street) is evaluated for each route, with Standard 1.0 Street Cleanliness evaluated on the street (roadway), Standard 3.0 Graffiti evaluated on both the street and sidewalk, and Standards 2.0 Sidewalk Cleanliness, 4.0 Trash Receptacles, and 5.0 Trees/Landscaping evaluated on the sidewalk.



From: Reports, Controller (CON)
Sent: Tuesday, October 25, 2016 3:22 PM
To: Reports, Controller (CON)
Subject: Issued: Park Maintenance Standards Annual Report (FY 2015-16)

The Controller's Office has issued the San Francisco Park Maintenance Standards Annual Report for fiscal year (FY) 2015-16 that includes a summary and analysis of park evaluations performed between July 1, 2015 and June 30, 2016 as well as recommendations for improving the park evaluation and maintenance program. This is the second year that the Controller's Office and Recreation and Park Department (RPD) staff evaluated parks based on new park standards, which build on the previous standards to provide greater clarity, reduce evaluator interpretation, and allow for deeper analysis of the results.

The overall citywide score was 85.6 percent, a .4 percent increase from last year. The highest scoring district was District 3 (88.1 percent) while the lowest scoring was District 11 (81.3 percent), and there is a 6.8 percent spread between the highest and lowest scoring district, which is a three percent decrease from last year. The highest scoring park feature was Trees (90.7 percent), while the lowest scoring feature was Children's Play Areas (78.8 percent), which decreased one percent from last year and was the lowest scorer for the second year in a row.

To view the full report, please visit our website at: <http://openbook.sfgov.org/webreports/details3.aspx?id=2369>

You can also access the report on the Controller's website (<http://www.sfcontroller.org>) under the News & Events section and on the Park Standards website (<http://sfcontroller.org/index.aspx?page=49>).

You can also view FY 2015-16 scores at <http://sfparkscores.weebly.com/>.

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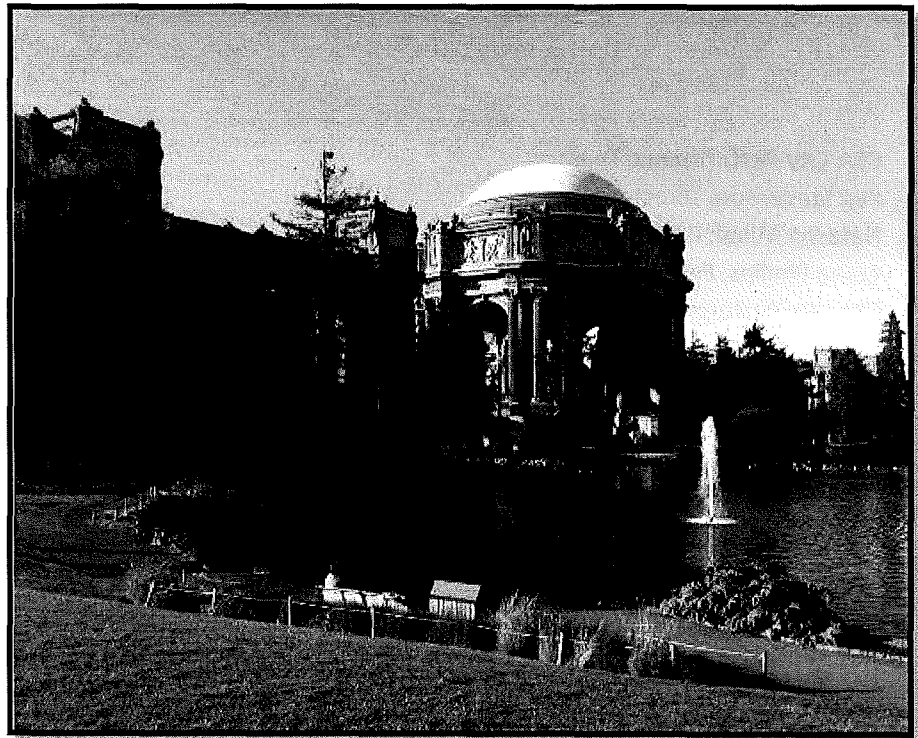
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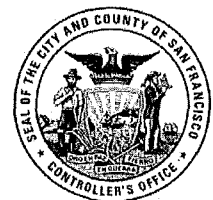
City Services Auditor, City Performance

PARK MAINTENANCE STANDARDS

Fiscal Year 2015-16
Annual Report



October 25, 2016



OFFICE OF THE CONTROLLER CITY SERVICES AUDITOR

The City Services Auditor (CSA) was created in the Office of the Controller through an amendment to the Charter of the City and County of San Francisco (City) that was approved by voters in November 2003. Under Appendix F to the Charter, CSA has broad authority to:

- Report on the level and effectiveness of San Francisco's public services and benchmark the City to other public agencies and jurisdictions.
- Conduct financial and performance audits of city departments, contractors, and functions to assess efficiency and effectiveness of processes and services.
- Operate a whistleblower hotline and website and investigate reports of waste, fraud, and abuse of city resources.
- Ensure the financial integrity and improve the overall performance and efficiency of city government.

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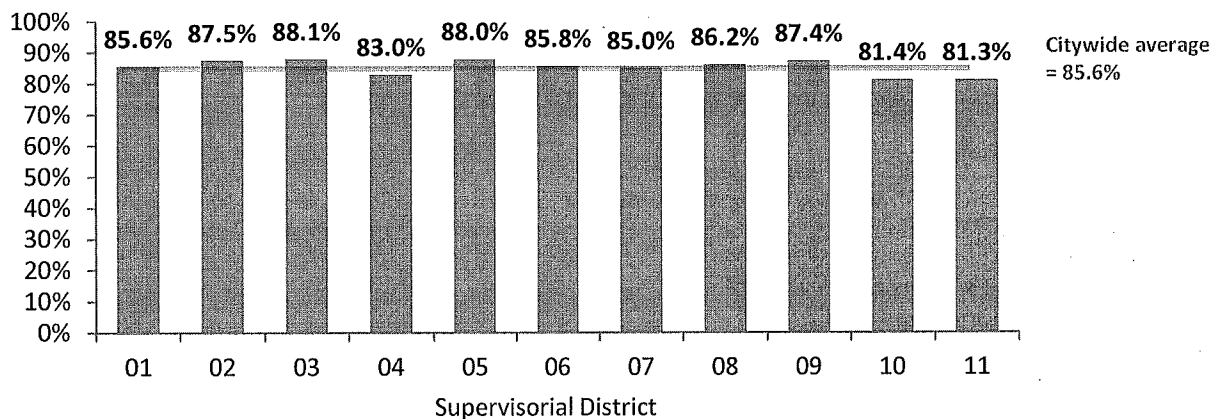
EXECUTIVE SUMMARY

This report contains a summary and analysis of park evaluations performed between July 1, 2015 and June 30, 2016. This is the second year that the Controller's Office and Recreation and Park Department (RPD) staff evaluated parks based on new park standards, which build on the original standards to provide greater clarity, reduce evaluator interpretation, allow for deeper analysis of the results, and provide more complete information to the public.

Highlights

Ten years after the development of the original park maintenance standards, the park evaluation program passed a major milestone in fiscal year 2014-15 with the implementation of revised park evaluation standards. This second year using the standards in fiscal year 2015-16 provided an opportunity to track trends in evaluator application of the revised standards. As a result, final language clarification and streamlining changes were incorporated into the standards at year end, for implementation in fiscal year 2016-17. These changes further improve consistency and reporting for future years.

The citywide average park score for fiscal year 2015-16 was 85.6 percent, which is .4% higher than last year. These results are based on 1,094 evaluations of 165 parks.



RESULTS

- The highest scoring supervisorial district was District 3 (88.1%) and the lowest scoring was District 11 (81.3%), which is a spread of 6.8 percent and is a significantly lower than last year's 9.8 percent spread between the highest and lowest scoring district.
- The lowest scoring feature, for the second year, was Children's Play Areas with 78.8 percent, which is one percent point lower than last year.
- Most parks scored between 80 and 90 percent; 53 parks scored above 90 percent, 10 more parks than last year.
- In general, a score of 85 percent means a park is well maintained and in good condition.

BACKGROUND

This is the eleventh annual report on the condition of the City's parks, which provides results from evaluations in fiscal year (FY) 2015-16. This report discusses the Recreation and Park Department's (RPD) efforts to use the standards and results to inform operational decisions, and includes recommendations to improve the City's performance in these areas.

This is the second year evaluating park sites with the new standards that were adopted in FY 2014-15. FY15 was a transition period for park evaluations, as the City implemented new, revised standards to improve data collection and more accurately report current park maintenance levels. RPD and the Controller's Office jointly implemented the new standards in July 2014. Staff worked closely to finalize the new standards, redesign the evaluation forms, and apply appropriate weighting and scoring metrics to park scores. RPD and the Controller's Office anticipated and saw that the new standards lowered park scores as a result of the new rigorous standards and weighting methodology.

The park scores in this report are a combination of RPD and the Controller's Office's evaluation efforts. Typically, each park is evaluated once a year by the Controller's Office and four times per year by RPD staff. A park's annual final score is the average of all available RPD and Controller's Office evaluation scores. See Appendix C for detailed scores. This year's results are based on 1,094 evaluations of 165 parks and is the second year using the new standards.

You can view park, district, and feature scores at <http://sfparkscores.weebly.com/>.

Park Standards Overview

RPD originally evaluated all parks twice per year, but increased the frequency to all parks once per quarter in October 2007. CSA evaluates all parks once per year. All supervisory and management staff at RPD and all staff at CSA City Performance perform evaluations.

Park scores are based on performance standards for 12 park feature categories:

- Athletic Fields
- Buildings and General Amenities
- Children's Play Areas
- Dog Play Areas
- Greenspace
- Hardscape
- Lawns
- Ornamental Beds
- Outdoor Courts
- Restrooms
- Table Seating Areas
- Trees

Each park has a different set of features to be evaluated based on what is located at the park site. Each feature is evaluated as to whether the condition of various "elements" meets the performance standard set for them. For example, the performance standard for the "mowing" element requires that turf be less than 4.5 inches high. If an evaluator reviews a certain area of lawn and finds sufficient turf that is

taller than the 4.5 inch standard then the evaluator would check the appropriate box to report that this condition exists. Each element is ultimately scored based on the conditions that are reported. (An unmowed lawn results in the failure of the “mowing” element.)

Evaluation criteria include questions about graffiti, paint, fencing, litter and debris, plant health, drainage, surface quality and much more. For a complete list of features, elements, and associated criteria, see Appendix A.

FY 2016-17 Park Evaluations, the Next Generation

For evaluator use in FY 2016-17 and beyond, RPD and CSA staff implemented updated park maintenance standards that were adopted in FY15. The revisions were minor compared to the standards revision that was implemented in FY15. These changes include revised language that is clearer for evaluator understanding in order to reduce interpretation and subjectivity between evaluators in the field and made language more concise as well as consistent across features.

A significant change to how evaluators perform evaluations of parks in the field is being implemented in FY17. Both RPD and CSA evaluators will start using mobile devices rather than paper forms to perform evaluations. The evaluations will be completed using an inspection application. The database system will score the evaluations immediately once an evaluator sends their data to the database, which should occur within 24 hours of the evaluation. This will provide RPD staff with nearly real-time results. Evaluators also have the ability to take photos in the field and upload them to the system where RPD managers can access them to review any reported issues. For more information on how park evaluations are conducted going forward and how the data is used, see Appendix B.

Proposition B (June 2016) and Park Evaluation Scores

Proposition B (Prop B) was passed by 60 percent of voters in June 2016. Prop B requires the City to allocate \$64 million to the parks and open space fund in fiscal year 2016-17, with this baseline allocation increasing by \$3 million each year for ten years, unless the city experienced a deficit of \$200 million or more.

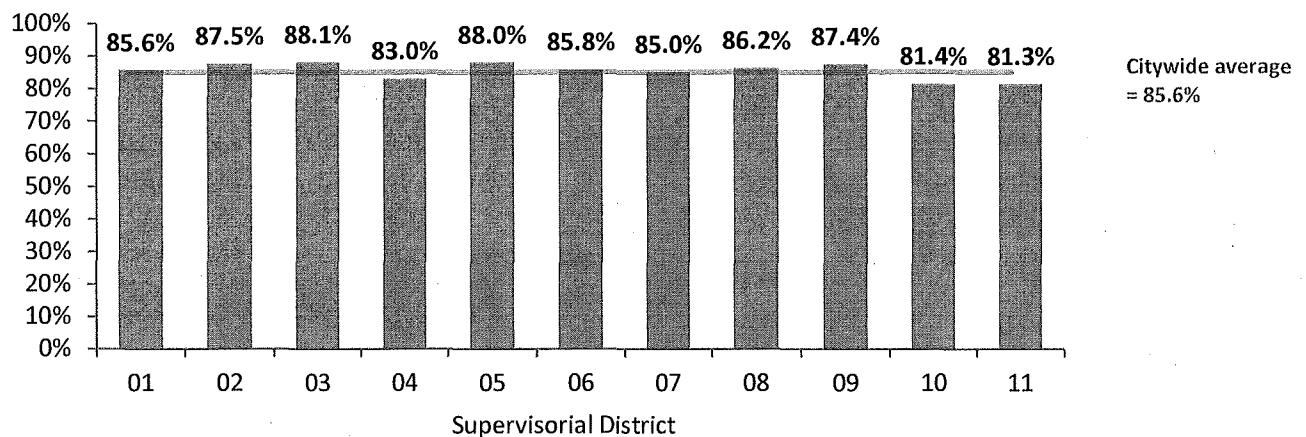
This baseline allocation could improve evaluated features that continually fail due to deferred maintenance issues. RPD has made the policy decision to set aside at least \$15 million for capital and maintenance projects such as paving, court resurfacing, and other improvements that will impact hardscape, outdoor courts and other features. Over time, as the department expends those funds, infrastructure-related features that contribute to consistently low scores at certain sites should show some improvement.

PARK EVALUATION RESULTS

Citywide Results

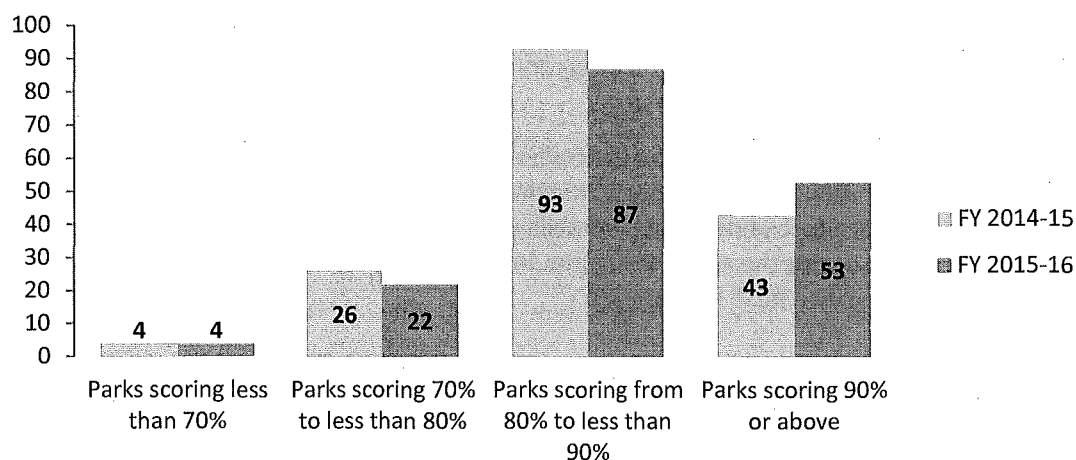
The citywide average park score for fiscal year 2015-16 (FY16) is 85.6 percent. A score of 85 percent generally indicates a well maintained park. Park scores ranged from a high of 98.2 percent (Cabrillo Playground in District 1) to as low as 64.5 percent (Excelsior Playground in District 11). The gap between the highest scoring park and lowest scoring park is 33.7 percent, which is 8 percent less of a spread compared to last year.

Exhibit 1 Average Citywide Park Score In FY 2015-16 is 85.6 percent



The citywide average increased .4 percent from last year; the citywide distribution of parks scoring above 90 percent also increased by ten parks.

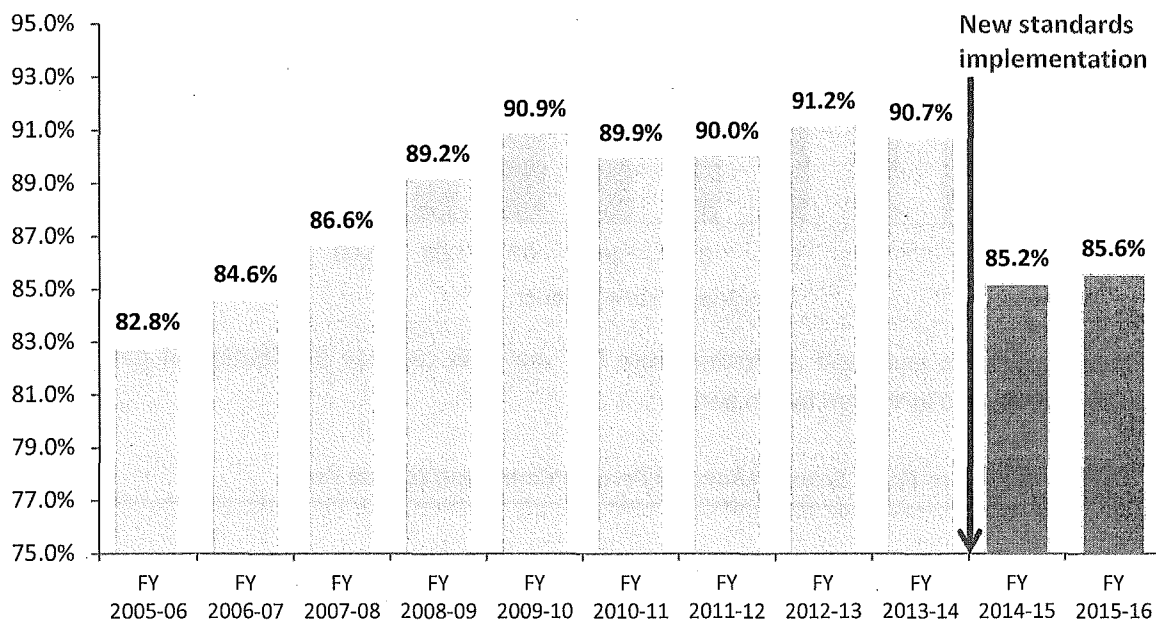
Exhibit 2 Ten More Parks Scored Above 90 Percent Compared to Last Year



- Ten more parks scored 90 percent or above compared to last year.
- Only four parks scored below 70 percent, same as last year. However, these four parks are not the same parks as the four from last year.
- The number of parks scoring between 80 and 90 percent decreased by 6 parks compared to last year.

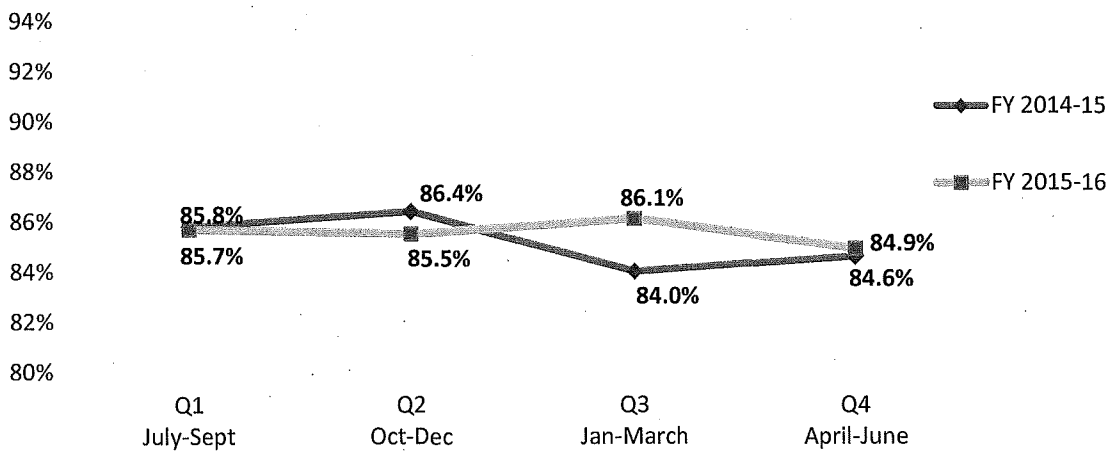
FY 2014-15 was a transition period for park evaluations, as the City implemented new, revised standards to improve data collection and more accurately report current park maintenance levels. RPD and the Controller's Office anticipated that the new standards would lower park scores in FY 2014-15, as a result of the new rigorous standards and weighting methodology. FY16 is the second year of evaluating with the new standards and the average citywide park score increased .4 percent over last year.

Exhibit 3 *FY 2015-16 Average Citywide Park Score Lower After New Standards Implementation, but Higher than FY 2014-15*



Each quarter RPD evaluates all parks and the Controller's Office evaluates one quarter of all parks. Scores are calculated for each park evaluated within the quarter and averaged to show an overall citywide quarterly score. In past years, peaks and valleys were evident that corresponded to low usage in winter and high usage during the summer months. In FY 2015-16, the quarterly scores remain fairly flat throughout the year with a peak in quarter 3.

Last year there were higher overall quarterly scores compared to FY16. The first two quarters of last year had the highest scores, whereas this year quarter 3 was the highest. With two years of data, it is unknown yet if the quarterly trend this year is a result of the revised standards or other factors (such as drought conditions which may have enabled year-long use of some features.)

Exhibit 4 Citywide Results Increase 2.1 percent in Quarter 3

- Quarters 1, 2, and 4 show decreases of less than one percent in FY16.
- Quarter 3 was the highest scoring quarter in FY16, 2.1 percent higher than in FY15.

Greatest Changes in Park Scores

All of the parks with significant decreases are neighborhood parks or playgrounds. In past years there have typically been other park types listed here, but this year shows that the more significant decreases in scores are the neighborhood parks and playgrounds. Throughout the year, these five parks had low scores throughout various features within the park. Scores for the lower scoring features fluctuated throughout the quarters; however, the average resulted in significantly lower scores than in FY15.

Three of the five parks that scored significantly lower than the prior year are in supervisorial District 10. Four of the five parks are in Region/PSA 3, which is the overall lowest scoring region.

Exhibit 5 Top 5 Greatest Decreases Compared to Last Year

Park Name	Supervisorial District	Region	Park Type	FY15 Average	FY16 Average	Percent Change
Buchanan Street Mall	05	PSA 2	Neighborhood Park or Playground	90.1%	73.7%	-16.4
Adam Rogers Park	10	PSA 3	Neighborhood Park or Playground	88.4%	76.1%	-12.3
Visitation Valley Playground	10	PSA 3	Neighborhood Park or Playground	80.4%	68.5%	-11.9
India Basin Shoreline Park	10	PSA 3	Neighborhood Park or Playground	78.8%	65.3%	-13.5
Excelsior Playground	11	PSA 3	Neighborhood Park or Playground	76.1%	64.5%	-11.6

- **Buchanan Street Mall** had particularly low scores on the Hardscape feature, which evaluates for surface quality, litter, structural damage of curbs and other issues specific to concrete and asphalt surfaces.
- **Adam Rogers Park's** lower scores were driven by a significant reduction in Table Seating Area scores. In FY16 average was 17 percent lower than in FY15.
- **Visitacion Valley Playground** continued to show particularly low scores for Athletic Fields, Children's Play Areas, Lawns, Hardscape and Restrooms.
- **India Basin Shoreline Park** continued to show low scores for Buildings and General Amenities, Children's Play Areas, Lawns, and Greenspace.
- **Excelsior Playground** had low scores for the ornamental beds feature, which evaluates litter, plant health, and pruning issues.

Three of the five parks that increased their score the most over last year are in supervisorial District 3 and Region/PSA 1. The top five parks are of three different park types; three of the parks are neighborhood parks or playgrounds and the other two are a mini park and a civic plaza or square.

Exhibit 6 *Top Five Greatest Increases Compared to Last Year*

Park	Supervisorial District	Region	Park Type	FY15 Average	FY16 Average	Percent Change
Gilman Playground	10	PSA 3	Neighborhood Park or Playground	57.3%	76.0%	18.7
Washington Square	03	PSA 1	Civic Plaza or Square	72.7%	91.3%	18.6
Joe DiMaggio North Beach Playground	03	PSA 1	Neighborhood Park or Playground	78.2%	95.1%	17.0
Grattan Playground	05	PSA 2	Neighborhood Park or Playground	74.9%	91.6%	16.7
Ina Coolbrith Park	03	PSA 1	Mini Park	75.1%	90.9%	15.8

- The greatest percent increase was **Gilman Playground**, which increased its score by 18.7 percent. Capital improvements occurred throughout 2016. This park was not evaluated in the 4th quarter due to capital renovations and widespread park feature closures.
- **Washington Square** had particularly high restroom scores in FY16. Restrooms were renovated in March 2015 and include a cleaner and more modern design.
- **Joe DiMaggio North Beach Playground** underwent a significant renovation and reopened in late 2015. This park received high marks for its new playground, outdoor courts, and other features.
- **Grattan Playground** improved Children's Play Area (25.9 percent increase) and Ornamental Bed (25.7 percent) scores in FY16.
- **Ina Coolbrith Park** had significant improvements in its FY15 lowest scoring features: In November 2015, the pathways were replaced with colored concrete and new curbs were installed on uphill

slopes. As a result, Hardscape (28.9 percent increase) and Ornamental Beads (21.7 percent increase).

Highest and Lowest Scoring Parks

Fulton Playground and Cabrillo Playground continue to have high scores. Cabrillo Playground reopened in 2013 after repair and renovation of the children's play areas, picnic area and courts, as well as upgrades to the park infrastructure and landscape funded by the 2008 Clean and Safe Neighborhood Parks Bond. Father Alfred Boeddeker Park is on the highest scoring list for the second year in a row. This park site had a large-scale renovation and reopened in December 2014.

Most parks on the highest scoring list are consistent high scorers for at least the last two years.

Exhibit 7 *Top Ten Highest Scoring Parks*

Park Site	District	Region	Park Score
Cabrillo Playground	01	PSA 1	98.2%
Sunnyside Conservatory	07	PSA 5	97.7%
Golden Gate-Steiner Mini Park	05	PSA 2	97.6%
Fulton Playground	01	PSA 1	97.6%
Utah-18th Street Mini Park	10	PSA 2	97.4%
Betty Ann Ong Chinese Recreation Center	03	PSA 1	96.9%
Fay Park	02	PSA 1	96.6%
Esprit Park	10	PSA 2	96.4%
Father Alfred E. Boeddeker Park	06	PSA 2	96.1%
Cottage Row Mini Park	05	PSA 2	96.0%

- Four of the ten highest scoring parks were recently renovated as part of the 2008 or 2012 Clean and Safe Neighborhood Parks Bonds.
- **Golden-Gate Steiner** increased 3.9 percent in FY16. This may have been due in part to a repaired fence and retaining wall that improved the Buildings and General Amenities feature.
- **Esprit Park** increased 3.8 percent in FY16. Re-landscaping of this site included the removal of failing trees, improved the quality of planted areas and ensured better irrigation management.
- **Cottage Row Mini Park** increased 2.7 percent, which may be due to completed landscape and turf renovations in FY16.

Bay View Playground, Alice Chalmers Playground and Rolph Nicol Playground are the parks that scored in the bottom ten both in FY15 and FY16. The other seven parks are new to the lowest scoring park list.

Exhibit 8 **Top Ten Lowest Scoring Parks**

Park Site	District	Region	Park Score
Alice Chalmers Playground	11	PSA 3	73.9
Buchanan Street Mall	05	PSA 2	73.7
John McLaren Park	09	PSA 3	73.0
Pine Lake Park	04	PSA 4	71.9
Buena Vista Park	08	PSA 5	70.9
Bay View Playground	10	PSA 3	70.8
Visitacion Valley Playground	10	PSA 3	68.5
Rolph Nicol Playground	07	PSA 4	67.3
India Basin Shoreline Park	10	PSA 3	65.3
Excelsior Playground	11	PSA 3	64.5

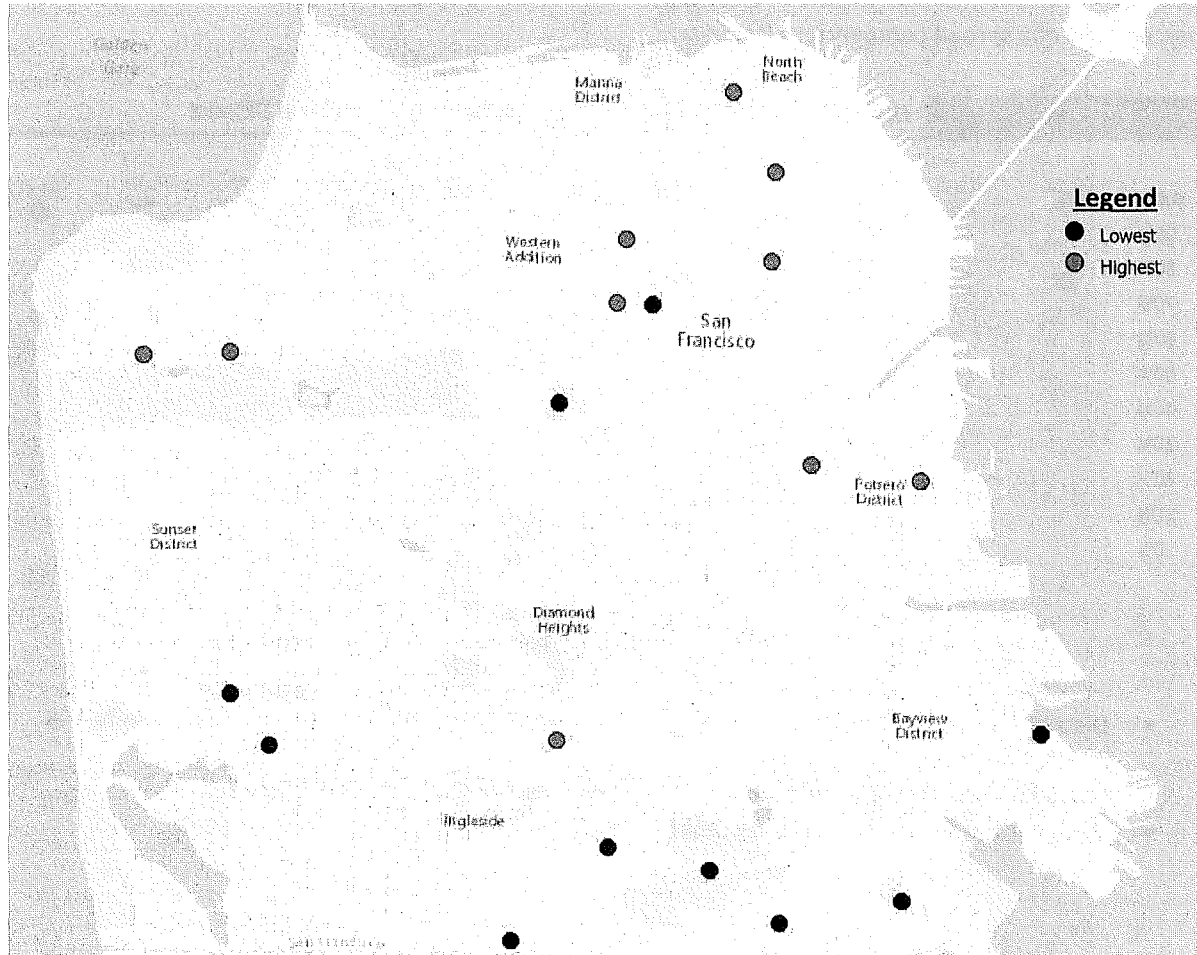
- **Alice Chalmers Playground** has been a consistent low scorer; however, this park increased 10.8 percent in FY16.
- **Buchanan Street Mall** is new to the low scoring list this year due to a significant decrease of 16.4 percent.
- **Bay View Playground** significantly increased its score by 12.6 percent, but is still one of the lowest scorers.

As reported last year, lower scoring parks are mostly located in the southern and southeastern part of the City, while the higher scoring parks are located in the northern neighborhoods.

District 10 has three of the lowest scoring parks. Bay View Playground continues to be a low scorer. Eight of the ten lowest scoring parks are in the southern part of the City. In contrast, nine of the highest scoring parts are in the northern half of the City. See the map on page 14.

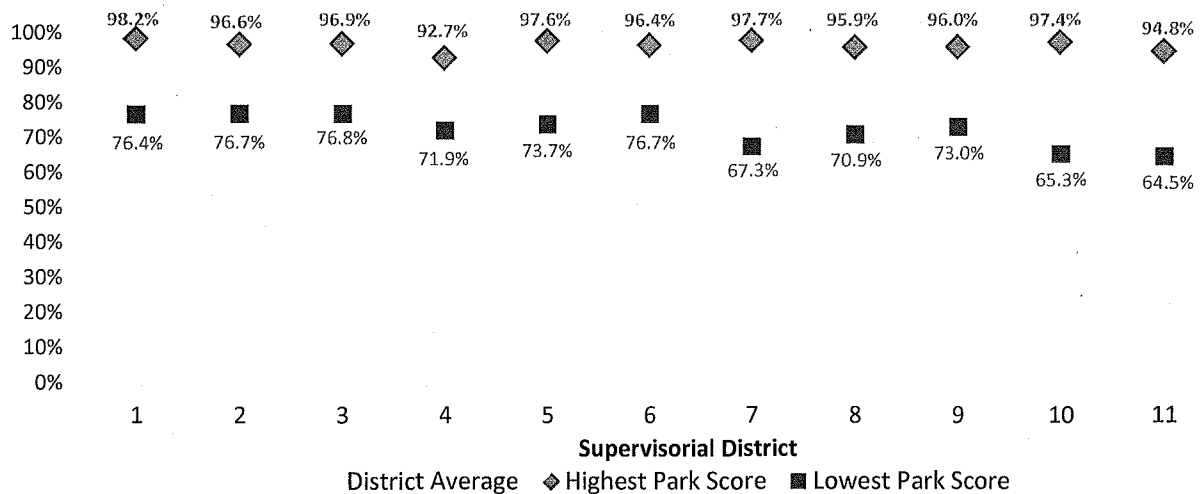
Exhibit 9

Eight of the Ten Lowest Scoring Parks are in Southern Part of the City



In FY15, supervisorial districts 1, 10 and 11 all had lowest scoring parks below 64 percent. This year, the lowest scoring park is in District 11 at 64.5 percent, which is 1.4 percent higher than last year. In FY15, District 1 had the highest scoring park at 99 percent, but this year has the highest scoring park at 98.2 percent. The gap between the highest scoring park and lowest scoring park is 33.7 percent, which is 8 percent less of a spread compared to last year.

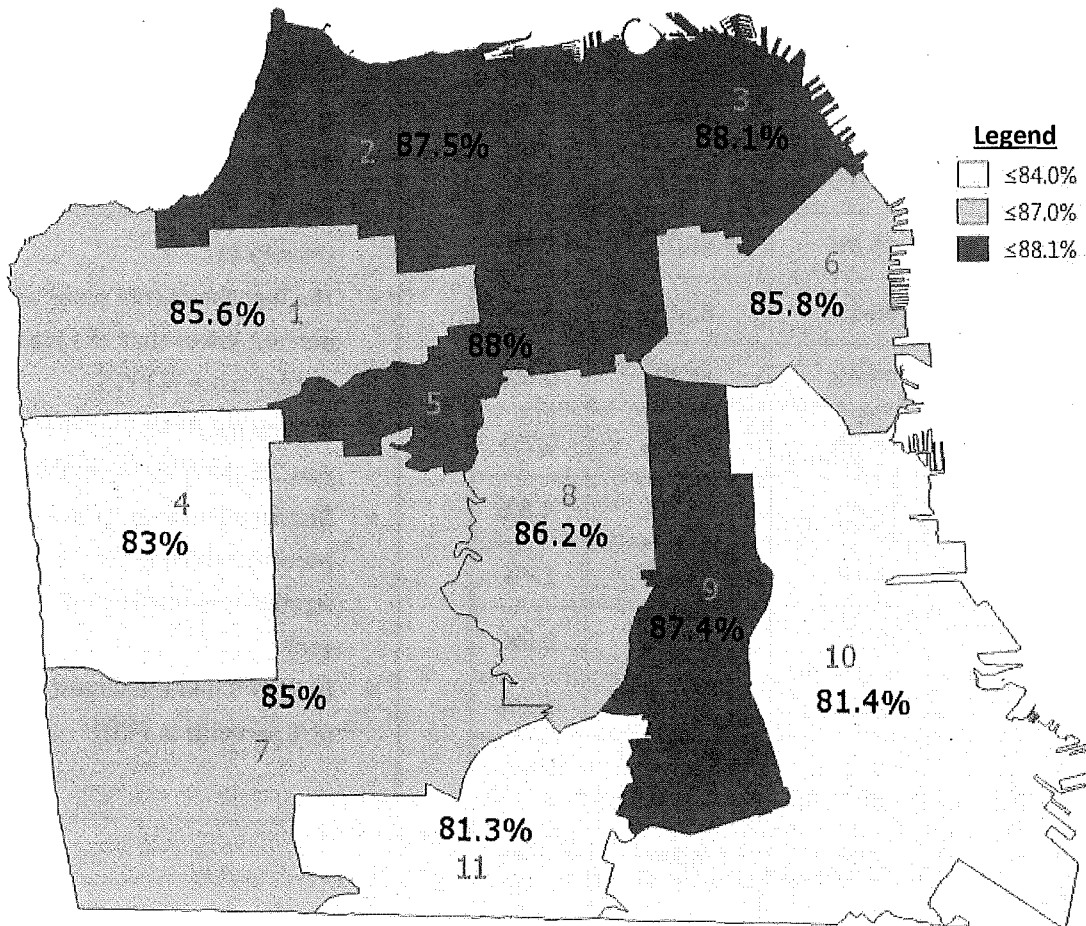
Exhibit 10 Highest and Lowest Scoring Parks in Each Supervisorial District



- District 1's **Cabrillo Playground** has the highest score for the second year in a row despite a .8 percent decrease.
- **Excelsior Playground** in District 11 was the lowest scoring park at 64.5 percent.
- Last year the lowest scorer was **Gilman Playground** (District 10) with 57.3 percent.

In FY15, supervisorial districts 2 and 5 had the highest scoring average. In FY16, districts 3 and 5 are the highest scoring. On the lower end, districts 10 and 11 are again the lowest scoring. However, the District 11 average significantly increased by 3.2 percent, thereby decreasing the spread between highest and lowest scoring districts.

Exhibit 11 *District 3 has the Highest Supervisorial District Average, District 11 the Lowest*



The spread between highest and lowest supervisorial district is three percent lower in FY16 than it was in FY15, which shows a reduction in the gap between the highest and lowest scoring districts. This indicates that there is less of a discrepancy between park maintenance performance throughout the supervisorial districts.

Exhibit 12 *Supervisorial District Spread of Scores Decreased 3 Percent From Last Year*

Supervisorial District	FY15 Average Score	FY16 Average Score	Percent Change
01	88.4%	85.6%	-2.7%
02	88.5%	87.5%	-1.0%
03	85.8%	88.1%	2.3%
04	86.1%	83.0%	-3.1%
05	87.4%	88.0%	0.6%
06	85.5%	85.8%	0.2%
07	86.8%	85.0%	-1.8%
08	84.5%	86.2%	1.7%
09	86.9%	87.4%	0.5%
10	82.2%	81.4%	-0.8%
11	78.7%	81.3%	2.5%
Supervisorial District Spread	9.8%	6.8%	-3.0%

- The spread between districts was 9.8 percent in FY15 and 6.8 percent in FY16, which is the lowest spread since FY13.
- The highest district score was .4 percent lower than the highest district score in FY15.
- The lowest district score in FY16 was 2.5 percent higher than in FY15.
- **District 11's** score increased by 2.5 percent, which is the most significant improvement over last year.
- **District 4** had the largest decrease (3.1 percent) in FY16.

Park Type Results

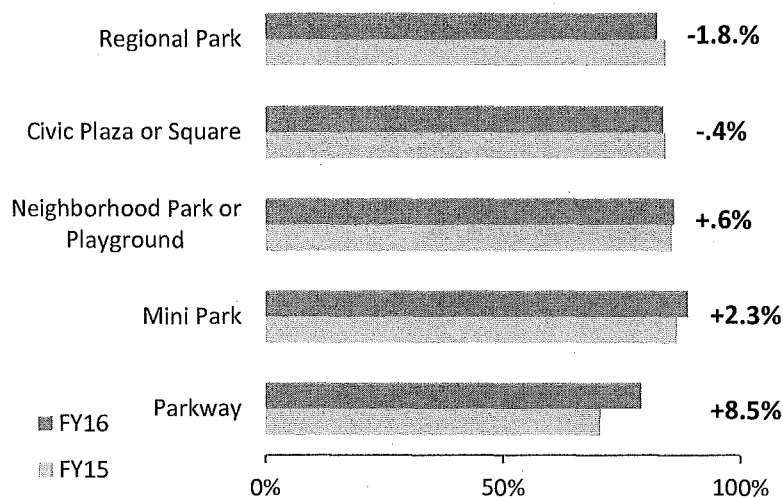
After professional best practice research conducted by the RPD planning staff, the park evaluation program has from its inception distinguished park properties based on their acreage, types of facilities, and the size of geographical area that the park supports and from which it draws users. Park types in this report include the following:

- Civic Plaza or Square
- Mini Park
- Neighborhood Park or Playground
- Parkway
- Regional Park

The highest scoring park type was mini parks. Mini parks are the smallest of the park types, usually 0.5 acre or smaller and are typically landscaped with few facilities. The most common park type is neighborhood parks or playgrounds, which has the second highest score by park type. A neighborhood park or playground is typically 0.5 acre to 30 acres in size, serves a single neighborhood, and contains a range of facilities such as a play structure area, outdoor court and/or athletic field. They are larger than a mini park, but smaller than a regional park like Golden Gate Park which is designed to accommodate a variety of individuals including city residents, regional visitors, and tourists.

Although the lowest scoring park type was parkways, as it was last year, this year the score increased significantly by 8.5 percent. Parkway are landscaped areas developed along a public right of way to provide greenspace and trees rather than specific activity areas such as courts, fields, and playgrounds. Because there are only two parkways, Lower Great Highway and Park Presidio Boulevard, issues found at either of these sites will substantially affect the overall park type score.

Exhibit 13 Parkway Scores Significantly Increased in FY 2015-16

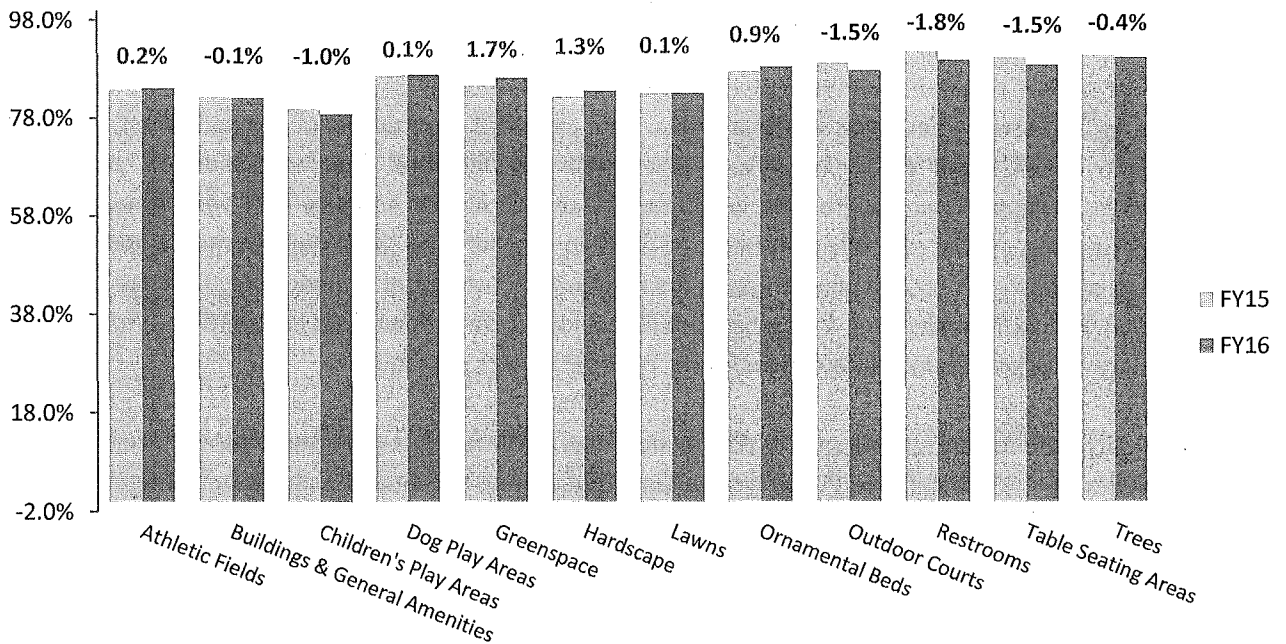


- Improvements at Park Presidio increased its overall score from 61.1 percent in FY15 to 76.4 percent in FY16.
- Regional park scores decreased from 84.3 to 82.5 percent making it the second lowest scoring park type.
- Civic Plazas or Squares decreased by .5 percent.
- Increases in scores were seen for Neighborhood Parks/Playgrounds (.6%) and Mini Parks (2.3%).

Features Results

Each park site is evaluated based on the features located at that site. There are 12 total features that could be evaluated at any one site. For the second year, restrooms, trees, and table seating areas scored amongst the highest, while Children's Play Areas, Buildings and General Amenities, Hardscape and Lawns scored on the low end.

Exhibit 14 Restrooms had the Greatest Percent Change in Average Feature Scores



- Half of the Feature scores decreased from FY15 to FY16; half of the Features increased.
- The top scoring Feature in FY16 was 90.7 percent for **Trees**. In FY15, **Restrooms** scored the highest with 91.9, but dropped to 90.1 in FY16.
- For the second year, **Children's Play Areas (CPA)** was the lowest scoring feature. The CPA feature score decreased by one percent in FY16.
- **Table Seating Areas** and **Outdoor Courts** decreased by 1.5 percent in FY16.
- **Hardscape** and **Greenspace** features made significant improvements in FY16.
 - Hardscape scores significantly increased in some of the lowest scoring parks such as **Gilman Playground** and **Alice Chalmers Playground**.
 - Greenspace significantly improved at Mission Dolores Park.

Children's Play Areas

For the second year in a row, Children's Play Areas (CPA) was the lowest scoring feature with 78.8 percent, 1 percent lower than last year's score. Maintenance for playground equipment, fencing, sand, rubber surfacing, litter, paint, and signage needs the greatest improvement amongst all features.

The following tables present the three parks with the greatest changes in CPA scores, those that had decreased and increased the most compared to FY15.

Exhibit 15 *Children's Play Area Greatest Decreases in Score Compared to Last Year*

Park Site	FY15 CPA Score	FY16 CPA Score	% Change
South Sunset Playground	87.5	64.0	-23.5
Buchanan Street Mall	90.1	67.2	-22.9
Tenderloin Recreation Center	92.0	71.9	-20.2

- Litter, paint and rust/rot on play structures were cited as common issues in the three parks with the greatest decreases in CPA scores.

Exhibit 16 *Children's Play Area Greatest Increases in Score Compared to Last Year*

Park Site	FY15 CPA Score	FY16 CPA Score	% Change
Washington Square	75.6	91.6	15.9
Bay View Playground	58.2	67.5	9.3
Potrero Hill Recreation Center	92.6	96.8	4.2

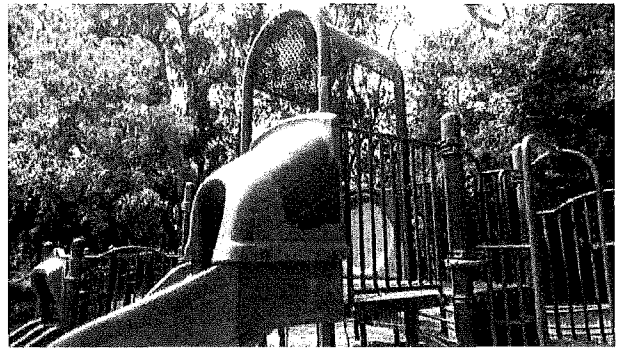
- **Bay View Playground** had low scores for Children's Play Areas, Lawns and Table Seating Areas that significantly improved in some evaluations in FY16.
- **Potrero Hill Recreation Center** continued to score on the higher end for most features.
- **Washington Square** playground is scheduled for future renovations.

There is a wide distribution of scores, with 90 CPAs scoring very high at 100 percent and some very low scores ranging from 16 percent to 50 percent (such as Buchanan Street Mall (16.6 percent, District 5) and Visitacion Valley Playground (20 percent, District 10)).

Gilman Playground and Mountain Lake Park were evaluated only once in FY16 due to closures for reconstruction of the children's play areas. Prior to the closure, these parks were evaluated in Quarter 1 (July-September 2015) and were the lowest scoring, as shown below. West Portal Playground, Turk-Hyde Mini Park and Visitacion Valley Playground had consistently low CPA scores throughout FY16.

Exhibit 17 *Five Lowest Scoring Children's Play Areas*

Park Site	Supervisory District	CPA Score
West Portal Playground	07	58.4
Turk-Hyde Mini Park	06	53.2
Visitacion Valley Playground	10	52.0
Gilman Playground	10	44.4
Mountain Lake Park	02	37.5



The following highest scoring CPAs have been consistent high scorers and have had recent capital renovations, which included new playground equipment.

Exhibit 18 *Five Highest Scoring Children's Play Areas*

Park Site	Supervisory District	CPA Score
Father Alfred E. Boeddeker Park	06	100.0
Fulton Playground	01	98.8
Cabrillo Playground	01	96.5
Carl Larsen Park	04	95.8
Collis P. Huntington Park	03	95.8



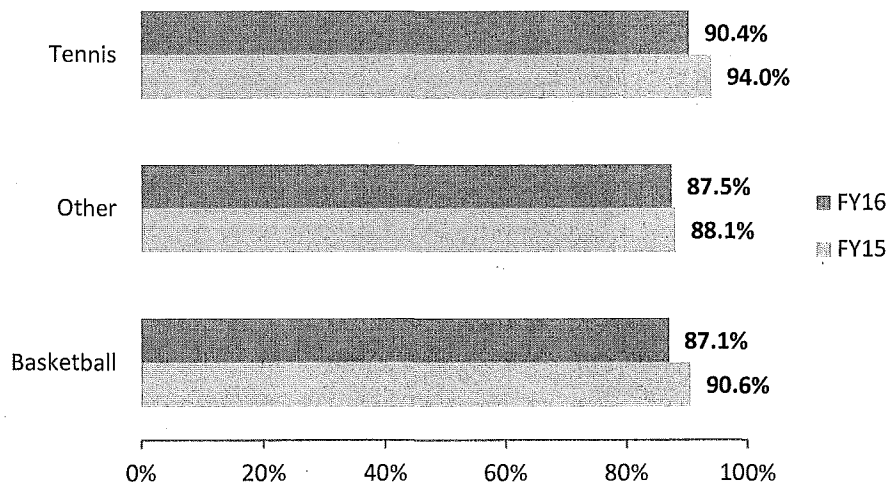
- **Father Alfred E. Boeddeker Park** reopened in Fall 2014 after extensive capital improvements and has consistently scored 100 percent since its reopening.
- **Fulton Playground** reopened after capital improvement construction completed in Fall 2012 and has consistently scored between 87.5 and 100 percent in the last two years.
- **Cabrillo Playground** (pictured above) renovation was completed in July 2013 and has since been a consistently high scoring playground.
- **Carl Larsen Park** was reopened in 2016 after renovation of the Children's Play Area.
- **Collis P. Huntington's** newly renovated Children's Play Area showed significant improvements in scores beginning in late Fall 2014.

Outdoor Courts

The Outdoor Court feature includes various types of courts including basketball, tennis, bocce, skate parks, multi-purpose/use, volleyball, golf cages, racquetball and more. Overall, Outdoor Courts scored 87.8 percent, which is the fifth highest feature score.

Tennis courts were the highest scoring Outdoor Court type at 90.4 percent. Although tennis courts scored the highest for the second year in a row, the overall tennis court score decreased by 3.6 percent.

Exhibit 19 Outdoor Court Scores Were Lower Than in FY15



The following tables show sites with the greatest changes in tennis court scores. All three lowest scoring tennis court sites had issues with seating (splintering, sharp edges), surface quality (cracks and holes), drainage (standing water) and litter.

Exhibit 20 *Tennis Court Greatest Decreases From Last Year*

Park Name	FY15 Tennis Score	FY16 Tennis Score	% Change
Alamo Square	92.7	70.6	-22.1
Silver Terrace Playground	92.6	73.3	-19.3
Hayes Valley Playground	98.2	84.8	-13.4

- 19 of the 64 tennis courts evaluated decreased in score from last year.
- The greatest decrease was Alamo Square (-22.1 percent); however, this site is currently undergoing a significant renovation that includes the courts. This court was only evaluated twice in FY16 due to construction.
- Hayes Valley Playground, although decreasing by over 13 percent, still has tennis courts scoring nearly 85 percent, which is an indicator of good performance.

A number of recent tennis court renovations significantly increased scores. The greatest increase was DuPont Courts, renovated in Fall 2015. The resurfacing of DuPont courts had a significant impact on the park's overall score since the courts are the main feature of the site. States Street tennis courts had a complete renovation in FY15.

Exhibit 21 *Tennis Court Greatest Increases From Last Year*

Park Name	FY15 Tennis Score	FY16 Tennis Score	% Change
DuPont Courts	60.9	91.7	30.8
States Street Playground	78.9	100.0	21.1
Moscone Recreation Center	83.2	100.0	16.8

Basketball scores decreased by 3.5 percent compared to FY15. While some courts decreased in score, others significantly increased due to recent renovations. In addition to Silver Terrace's declining tennis court score, the basketball court also decreased significantly (13.8%) in FY16. The three lowest scoring parks are undergoing recent renovations that will likely improve their scores in FY17.

Exhibit 22 *Basketball Court Greatest Decrease From Last Year*

Park	FY15 Basketball Score	FY16 Basketball Score	% Change
Adam Rogers Park	95.4	76.2	-19.2
West Portal Playground	72.6	55.3	-17.2
Silver Terrace Playground	94.1	80.4	-13.8

Exhibit 23 *Basketball Court Greatest Increase From Last Year*

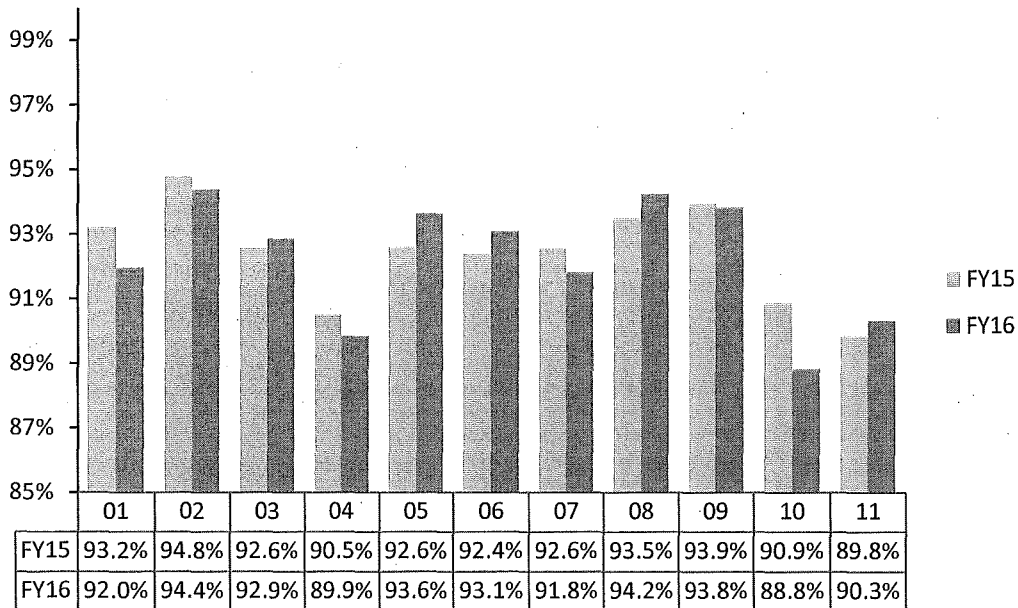
Park	FY15 Basketball Score	FY16 Basketball Score	% Change
Gilman Playground	56.4	80.8	24.4
Kelloch Velasco Mini Park	76.9	99.0	22.1
Alice Chalmers Playground	49.1	70.0	20.9

- **Gilman Playground** was only evaluated once in FY16 due to construction.
- **Gilman Playground and Kelloch Velasco Mini Park** basketball courts were renovated in 2016.

Cleanliness Results

Overall, cleanliness scores averaged 92.3 percent citywide, which shows that few parks exhibited dirty drinking fountains, filth/grime, spillage, odor, needles, glass, feces, litter, debris, large abandoned items etc.

Exhibit 24 Cleanliness and Litter & Debris Scores by Supervisorial District



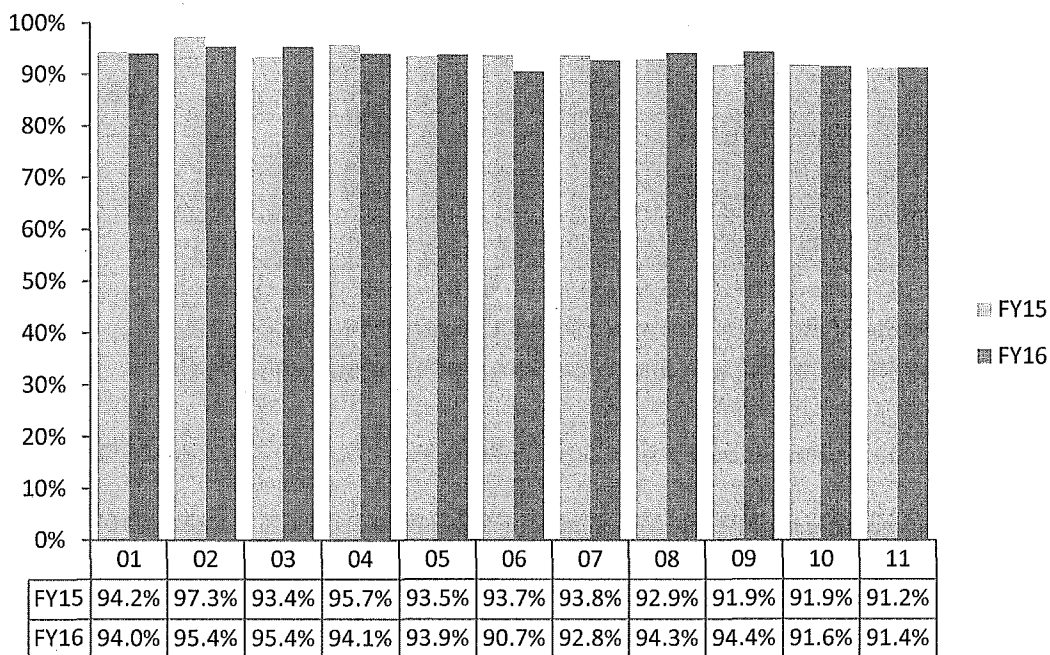
- **District 10** had the greatest change (-2.1%) in cleanliness score from 90.3 percent to 88.8 percent.
- The spread between the highest and lowest scoring supervisorial district increased from 5 percent in FY15 to 5.6 percent in FY16 showing an increasing gap in cleanliness scores.
- **District 2** is again the highest scorer although in FY16 the score decreased .4 percent.
- The greatest positive change was **District 5**, which increased its score by 1 percent over last year.

Graffiti Results

The revised standards have expanded the various types of graffiti-related “vandalism” that are evaluated so that the presence of ink graffiti, painted graffiti, and stickers are all reported. Non-graffiti vandalism is reported under other elements in order to assess maintenance success according to the type of infrastructure (court structure, retaining wall, planting, etc.) that is damaged.

The average score for graffiti vandalism was 93.6 percent, meaning that 93.6 percent of criteria evaluated in the graffiti element were free of graffiti issues. Every feature, except lawns and ornamental beds, is rated for graffiti. Scores above 90 percent are considered a performance indicator of successful graffiti eradication.

Exhibit 25 *Graffiti by Supervisorial District*

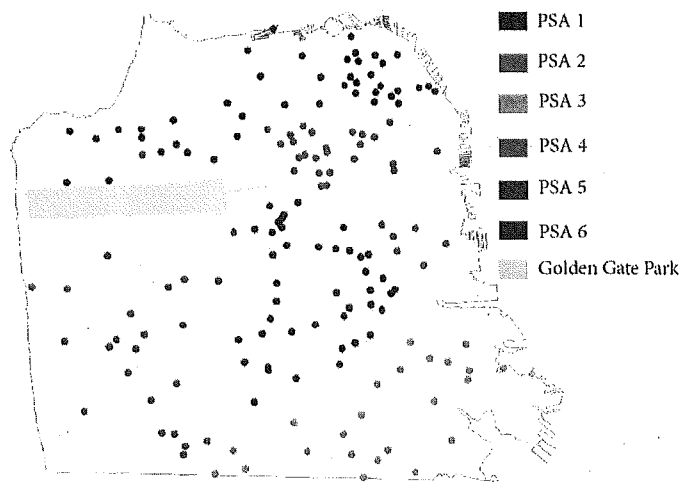


- **Districts 8 and 9** had the greatest increase in graffiti scores in FY16.
- **District 6** had the greatest decrease (3 percent), and has the lowest score at 90.7 percent.

RECREATION AND PARKS DEPARTMENT OPERATIONS

Recreation and Park Department staff and resources for park maintenance are organized into seven regions – Golden Gate Park plus six Park Service Areas (PSAs). Each PSA/Region has a manager who directs horticultural and custodial activities and serves as the main point of contact for the region. PSAs/Regions are not geographically defined, but the properties in each region are in proximity to each other, as shown in the exhibit to the right.

Exhibit 26 *Region/PSA Map*

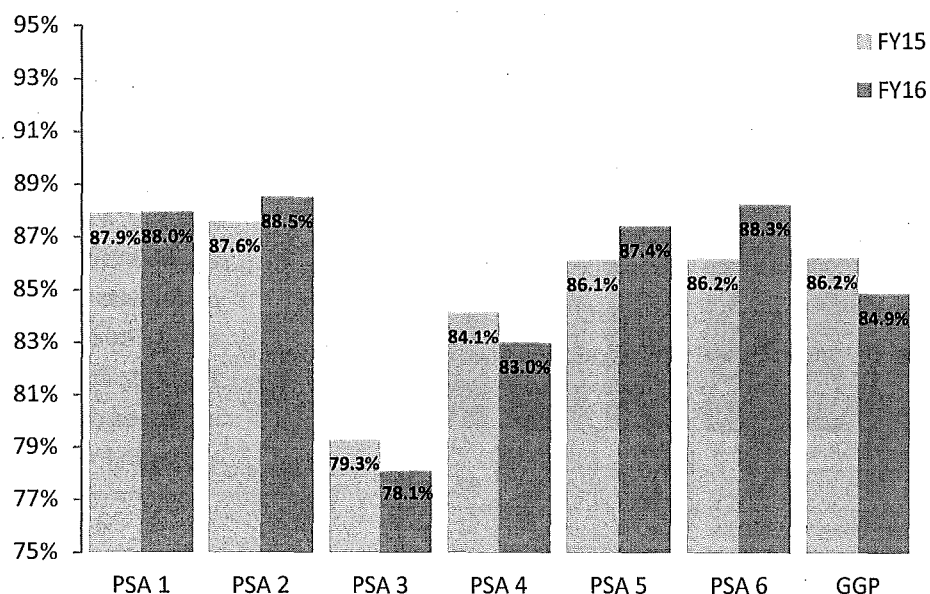


Source: Rec Park

This section presents data to provide RPD managers with scores in their PSA/Region, as well as the distribution of scores for select features.

PSA 3 is the lowest scoring for the second year in a row. This region covers supervisorial districts 10 and 11 in the southeastern part of the City, which has historically been the lower scoring region.

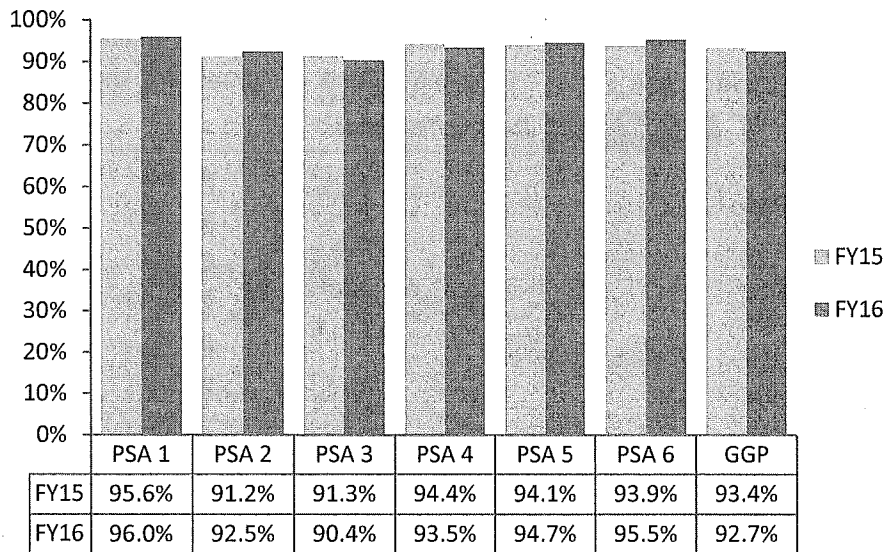
Exhibit 27 *Citywide Average by Park Service Area (PSA)/Region*



- **Region/PSA 6** had the greatest increase in score (2.1%), which is the second highest FY16 score.
- **Golden Gate Park (GGP)** had the greatest decrease in score (1.4%).
- **Region/PSA 3** was the lowest scorer again in FY16 and the score decreased 1.2 percent from FY15, which shows a continuing decline.

Graffiti scores by PSA/Region are all above 90 percent, which is an indicator of good performance. The lowest region is PSA 3, which is also the lowest scoring region overall.

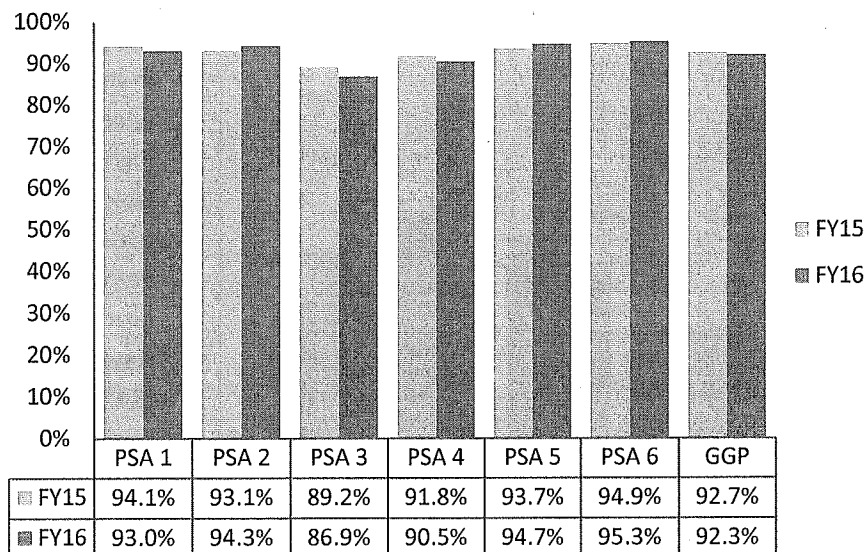
Exhibit 28 *Graffiti by Park Service Area (PSA)/Region*



- **Region/PSA 1** had the highest Graffiti score for the second year in a row, with 96.0 percent, which is an increase of .4 percent from last year.
- **Region/PSA 6** (1.6%) and 2 (1.3%) had the greatest increase in scores over last year.
- **Region/PSA 3** was the lowest scoring for the second year in row, and decreased .9 percent from last year showing a continuing downward trend.

Cleanliness scores are highest in PSA 6 and lowest in PSA 3. PSA 3 cleanliness scores are below 90 percent, and decreased by 2.3 percent compared to FY15. This shows a decreasing trend in PSA 3 cleanliness.

Exhibit 29 *Cleanliness by Park Service Area (PSA)/Region*



- **PSA 6** had the highest cleanliness score for the second year in a row.
- **PSA 1, 3, 4 and GGP** all decreased in cleanliness scores compared to FY15.
- **PSA 2, 5, and 6** increased cleanliness scores in FY16.

RECOMMENDATIONS

1. *Recommendation:* RPD should continue to work towards fully implementing mobile evaluations by November 2016. Further, RPD should ensure that all evaluators are trained on how to use the new mobile application and provide a one page instruction guide as well as other resources and outreach to assist evaluators in completing accurate and timely mobile evaluations.
2. *Recommendation:* RPD should utilize the new Salesforce database reporting tools to develop more frequent and useful reports for park managers and staff to understand park maintenance issues and resolve them in a timely manner.
3. *Recommendation:* RPD should use park evaluation data to identify potential park improvements and features that particularly need to be renovated to prioritize capital funding from Prop B and other sources.
4. *Recommendation:* RPD should continue to update the park site maps for evaluator use in the mobile application and include the location of the features at each site, as appropriate. In addition, the department should include a data collection process to identify the geolocation of where each feature is located at each site.

APPENDIX A: METHODOLOGY

Park evaluations: Then and now

In November 2003, San Francisco voters passed Proposition C establishing the City Services Auditor (CSA) in the Controller's Office. City Charter Appendix F, Section 102 mandates that CSA work with the Recreation and Park Department (RPD) on the following:

- Develop measurable, objective standards for park maintenance
- Issue an annual report evaluating performance to those standards, with geographic detail
- Establish regular maintenance schedules for parks and make them available to the public
- Publish compliance reports regularly showing the extent to which RPD has met its published schedules

Since the park evaluation program began, approximately \$455 million has been expended in over 100 parks from general obligation bond programs approved by the voters in 2000, 2008 and 2012. Bond funds have been used to replace or upgrade playgrounds and to improve restrooms, playing fields, sports courts, accessibility, and many other park facilities and features. While many factors affect the day-to-day cleanliness of parks and drive evaluation scores, it is the City's expectation that bond investments will improve park structural conditions and that the component of park scores related to those conditions will also improve over time.

Features

Elements		Athletic Fields	Buildings & General Amenities	Children's Play Areas	Dog Play Areas	Greenspace	Hardscape	Lawns	Ornamental Beds	Outdoor Courts	Restrooms	Table Seating Areas	Trees
	Cleanliness		X								X	X	
	Curbs						X						
	Drainage	X			X		X	X		X			
	Equipment	X	X	X	X					X	X		
	Fencing	X	X	X	X					X			
	Infield Care	X											
	Lighting & Ventilation										X		
	Litter & Debris	X	X	X	X	X	X	X	X	X	X	X	X
	Mowing	X						X					
	No Mow Grass								X				
	Paint	X	X	X			X			X	X	X	
	Parking & Road Signs						X						
	Plant Condition								X				
	Potholes & Ridges						X						
	Pruning & Edging	X				X		X	X				X
	Retaining Walls		X										
	Rubber Surfacing			X									
	Sand			X									
	Seating	X	X	X						X			
	Signage	X	X	X	X	X				X	X		
	Stairways & Ramps	X				X	X			X			
	Structures	X	X							X	X	X	
	Supplies										X		
	Surface Quality	X			X		X	X		X			
	Tree Condition												X
	Turf Condition	X						X					
	Vandalism	X	X	X	X	X	X			X	X	X	X
	Vines												X
	Waste Receptacles		X								X		
	Water Features		X										
	Weeds	X		X	X		X		X	X		X	X

Park Standards Scoring

As each park is differently configured and has a different set of facilities, a different set of features is to be evaluated at each site. Some parks may have many features while others may only have a few. The number of features does not depend on the size of the park, but on the type of facilities located within the park. A large park, for instance, might have extensive trees and greenspace and little else; while a small park could be filled with children's play areas, dog play areas, ornamental beds, outdoor courts, and many other features. Furthermore, when a park has multiple restrooms, each restroom will receive a full and completely separate evaluation of the restroom feature. Athletic fields, children's play areas, dog play areas and outdoor courts features are treated in the same way – each field, court, etc., will have a separate feature evaluation. Facilities that are closed at the time of evaluation are not scored.

Each feature has a number of elements that are to be evaluated (cleanliness, litter, the integrity of park structures, paint condition, etc.). Elements may have a number of different criteria that are assessed (different questions specifying cleanliness of certain assets, amounts of litter, types of paint issues, etc.). Each element is scored based on the threshold for passing that element's standard, as well as the number and type of criteria conditions that are reported.

All elements associated with a particular feature contribute to that feature's score. The "feature score" is simply determined by the number of passing elements divided by the total number of elements pertinent to the feature. Elements that were not evaluated or were marked as not applicable do not factor into the feature score. When a park has multiple features of the same type (e.g., multiple restrooms), the individual "feature scores" (for each restroom) will be average together to obtain an overall "feature score" (for restrooms at that site).

Overall park scores are calculated by taking the overall feature scores obtained by an evaluation and applying weights to them based on the type of park as shown in the table below.

Property Type	Athletic Fields Weighted	Buildings and General Amenities Weighted	CPA Weighted	DPA Weighted	Greenspace Weighted	Hardscape Weighted	Lawns Weighted	Ornamental Beds Weighted	Outdoor Courts Weighted	Restrooms Weighted	Table Seating Areas Weighted	Trees Weighted
Civic Plaza or Square	No	No	No	No	No	YES	YES	No	No	No	No	No
Mini Park	No	No	No	No	No	No	No	YES	No	No	No	No
Neighborhood Park or Playground	YES	No	YES	Yes	No	No	No	No	YES	No	No	No
Parkway	No	No	No	No	Yes	No	No	No	No	No	No	YES
Regional Park	No	No	No	No	No	No	No	No	No	No	No	No

For instance, all features that exist at a mini park are treated equally, except for Ornamental Beds. The Ornamental Beds are given twice the weight of any other feature, and so the Ornamental Beds feature score is factored in twice. For example, if, a hypothetical mini park had only three features (Hardscape, Lawns, and Ornamental Beds) and the Hardscape feature score was 85%, the Lawns feature score was 85%, but the Ornamental Beds score was 50%, the overall evaluation score would be the average of $(85\% + 85\% + 50\% + 50\%)$, or 67.5%.

The scores in this report represent a combination of RPD and CSA evaluation scores. An evaluation site's annual score is the average of the evaluation scores for all RPD and CSA evaluations of the site that occurred during the year, weighting each evaluation score equally. For large parks divided into multiple evaluation sites, the site/subsection evaluation scores were averaged to get the overall park score. Appendix C includes the park scores for every evaluated park. For citywide, district and PSA scores, all pertinent evaluation scores were averaged to calculate the annual and quarterly scores. Appendix D includes quarterly park scores for each evaluating department with the overall annual average score for the park.

APPENDIX B: DEPARTMENT RESPONSE]



Edwin M. Lee, Mayor
Philip A. Ginsburg, General Manager

October 20, 2018

Ben Rosenfield, Controller
City Hall, 1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Dear Mr. Rosenfield:

The Recreation and Parks Department (RPD) has carefully reviewed the Park Maintenance Standards Annual Report prepared by the City Services Auditor (CSA) for FY 2015-16 and concurs with its findings.

We are pleased to see that the report indicates an average park score of 85.6%, a small improvement from last year in overall ratings. This is notable as the new standards are more stringent and California drought conditions persist. Further, fifty-three parks received over 90% scores, an increase from 43 parks in the last report.

We were particularly pleased to see how parks in underserved communities fared in these evaluations. The Department has a new mandate to evaluate the delivery of recreation and park resources in underserved communities, also known as equity zones, and compare those to the city as a whole. Parks scores in equity zone parks averaged an 85% rating, 0.6% below the citywide average. While there is still work to be done to improve these scores, we are pleased at the strong showing of these parks. Further, in previous years there had been significant spread in average park scores between supervisorial districts. This year the spread was decreased by 3%, indicating more equitable allocation of resources.

Children's play areas, court surfaces and hardscapes continue to be a source of lower park scores. We are aware of these issues and are working to address them through 2012 bond projects that update children's play areas and a new \$15 million commitment to completing deferred maintenance which will enable us to address more of the hardscape and court improvements.

As evidenced through significant improvement in scores at newly renovated sites, our capital improvements are a key to improving lower scoring parks. Recent restorations and repairs in previously low-scoring parks resulted in sustained improvements in scores, in part due to the newness of these facilities. Additionally, design improvements that better serve the public and make maintenance easier seem to yield higher scores.

Over the past year we used the revised evaluation standards to make decisions on where to embark on maintenance projects. Working with the Controller's office we are implementing park evaluations via mobile devices that include photographs and GPS tagging of issues and real-time provision of evaluation results to park managers. These improved features continue to provide better information on which to base maintenance decisions.

I thank CSA for their strong commitment to the continuous upgrading of the park evaluation program, and their resolute partnership in the many tasks involved in completing the standards revision effort. We look forward to having enhanced information for maintenance planning, increased transparency for the public, and more objective data-driven guidance for the improvement of San Francisco parks.

Respectfully submitted,



Philip A. Ginsburg
General Manager

McLaren Lodge in Golden Gate Park | 501 Stanyan Street | San Francisco, CA 94117 | PHONE: (415) 831-2700 | WEB: sfrecreation.org

APPENDIX C: AVERAGE PARK SCORES, FY 2015-16

Park Name	District	Region/PSA	FY16 Park Score
10th Avenue-Clement Mini Park	01	PSA 1	90.8%
24th Street-York Mini Park	09	PSA 6	94.0%
Adam Rogers Park	10	PSA 3	76.1%
Alamo Square	05	PSA 2	81.5%
Alice Chalmers Playground	11	PSA 3	73.9%
Alice Marble Tennis Courts	02	PSA 1	93.1%
Alioto Mini Park	09	PSA 6	88.8%
Allyne Park	02	PSA 1	76.7%
Alta Plaza	02	PSA 1	82.2%
Angelo J. Rossi Playground	01	PSA 1	90.7%
Aptos Playground	07	PSA 4	89.2%
Argonne Playground	01	PSA 1	86.7%
Balboa Park	11	PSA 5	94.8%
Bay View Playground	10	PSA 3	70.8%
Beideman-O'Farrell Mini Park	05	PSA 2	89.8%
Bernal Heights Recreation Center	09	PSA 6	85.8%
Betty Ann Ong Chinese Recreation Center	03	PSA 1	96.9%
Broadway Tunnel West Mini Park	03	PSA 1	90.8%
Brooks Park	11	PSA 4	78.3%
Buchanan Street Mall	05	PSA 2	73.7%
Buena Vista Park	08	PSA 5	70.9%
Bush-Broderick Mini Park	02	PSA 2	94.4%
Cabrillo Playground	01	PSA 1	98.2%
Carl Larsen Park	04	PSA 4	83.7%
Cayuga Playground	11	PSA 3	84.2%
Cayuga-Lamartine Mini Park	08	PSA 5	84.3%
Coleridge Mini Park	09	PSA 6	91.7%
Collis P. Huntington Park	03	PSA 1	89.7%
Corona Heights	08	PSA 5	88.2%
Coso-Precita Mini Park	09	PSA 6	89.3%
Cottage Row Mini Park	05	PSA 2	96.1%
Cow Hollow Playground	02	PSA 1	82.7%
Crocker Amazon Playground	11	PSA 3	81.5%
Douglass Playground	08	PSA 5	86.0%
Duboce Park	08	PSA 6	86.4%
DuPont Courts	01	PSA 1	86.8%
Esprit Park	10	PSA 2	96.5%
Eugene Friend Recreation Center	06	PSA 2	85.1%
Eureka Valley Recreation Center	08	PSA 5	93.4%

Park Name	District	Region/PSA	FY16 Park Score
Excelsior Playground	11	PSA 3	64.5%
Father Alfred E. Boeddeker Park	06	PSA 2	96.4%
Fay Park	02	PSA 1	96.6%
Fillmore-Turk Mini Park	05	PSA 2	89.4%
Franklin Square	10	PSA 2	84.9%
Fulton Playground	01	PSA 1	97.6%
Garfield Square	09	PSA 6	80.0%
George Christopher Playground	08	PSA 5	82.0%
Gilman Playground	10	PSA 3	76.0%
Glen Park	08	PSA 5	81.6%
Golden Gate Heights Park	07	PSA 4	84.7%
Golden Gate Parks	01	GGP	84.8%
Golden Gate-Steiner Mini Park	05	PSA 2	97.6%
Grattan Playground	05	PSA 2	91.6%
Hamilton Recreation Center	05	PSA 2	88.9%
Hayes Valley Playground	05	PSA 2	92.1%
Head-Brotherhood Mini Park	11	PSA 4	78.2%
Helen Wills Playground	03	PSA 1	91.2%
Herz Playground	10	PSA 3	89.5%
Hilltop Park	10	PSA 3	85.8%
Holly Park	09	PSA 6	87.7%
Hyde-Vallejo Mini Park	03	PSA 1	88.0%
Ina Coolbrith Park	03	PSA 1	90.9%
India Basin Shoreline Park	10	PSA 3	65.3%
J. P. Murphy Playground	07	PSA 4	92.1%
Jackson Playground	10	PSA 2	88.8%
James Rolph Jr. Playground	09	PSA 6	87.9%
Japantown Peace Plaza	05	PSA 2	76.6%
Jefferson Square	05	PSA 2	89.5%
Joe DiMaggio North Beach Playground	03	PSA 1	95.1%
John McLaren Park	09	PSA 3	73.0%
Joost-Baden Mini Park	08	PSA 5	91.9%
Jose Coronado Playground	09	PSA 6	86.6%
Joseph Conrad Mini Park	02	PSA 1	88.8%
Joseph L. Alioto Performing Arts Piazza	06	PSA 2	76.7%
Joseph Lee Recreation Center	10	PSA 3	79.7%
Julius Kahn Playground	02	PSA 1	87.8%
Junipero Serra Playground	07	PSA 4	89.1%
Juri Commons	08	PSA 6	79.8%
Justin Herman-Embarcadero Plaza	03	PSA 1	76.9%
Kelloch Velasco Mini Park	10	PSA 3	82.0%

Park Name	District	Region/PSA	FY16 Park Score
Kid Power Park	09	PSA 6	94.4%
Koshland Park	05	PSA 2	86.7%
Lafayette Park	02	PSA 1	93.8%
Lake Merced Park	07	PSA 4	81.4%
Laurel Hill Playground	02	PSA 1	89.2%
Lessing-Sears Mini Park	11	PSA 3	79.1%
Lincoln Park	01	PSA 1	88.2%
Little Hollywood Park	10	PSA 3	83.7%
Louis Sutter Playground	09	PSA 3	87.7%
Lower Great Highway	01	PSA 4	81.8%
Margaret S. Hayward Playground	05	PSA 2	81.0%
Maritime Plaza	03	PSA 1	93.3%
McCoppin Square	04	PSA 4	82.7%
McKinley Square	10	PSA 2	87.3%
Merced Heights Playground	11	PSA 4	81.2%
Michelangelo Playground	02	PSA 1	84.8%
Midtown Terrace Playground	07	PSA 4	91.5%
Minnie & Lovie Ward Playground	11	PSA 4	85.8%
Miraloma Playground	07	PSA 5	89.4%
Mission Dolores Park	08	PSA 6	89.2%
Mission Playground	08	PSA 6	95.9%
Mission Recreation Center	09	PSA 6	86.8%
Moscone Recreation Center	02	PSA 1	83.5%
Mountain Lake Park	02	PSA 1	92.2%
Mt. Olympus	08	PSA 5	90.3%
Mullen-Peralta Mini Park	09	PSA 6	90.8%
Muriel Leff Mini Park	01	PSA 1	94.8%
Noe Valley Courts	08	PSA 5	87.7%
Page-Laguna Mini Park	05	PSA 2	91.8%
Palace Of Fine Arts	02	PSA 1	88.4%
Palega Recreation Center	09	PSA 3	93.8%
Palou-Phelps Mini Park	10	PSA 3	85.3%
Park Presidio Boulevard	01	PSA 1	76.4%
Parkside Square	04	PSA 4	81.5%
Parque Ninos Unidos	09	PSA 6	90.8%
Patricia's Green	05	PSA 2	88.2%
Peixotto Playground	08	PSA 5	87.5%
Pine Lake Park	04	PSA 4	71.9%
Portsmouth Square	03	PSA 1	86.9%
Potrero Del Sol Park	10	PSA 6	86.5%
Potrero Hill Recreation Center	10	PSA 2	93.5%

Park Name	District	Region/PSA	FY16 Park Score
Precita Park	09	PSA 6	84.1%
Prentiss Mini Park	09	PSA 6	95.8%
Presidio Heights Playground	02	PSA 1	88.8%
Randolph-Bright Mini Park	11	PSA 4	94.7%
Raymond Kimbell Playground	05	PSA 2	92.3%
Richmond Playground	01	PSA 1	86.9%
Richmond Recreation Center	01	PSA 1	92.0%
Rochambeau Playground	01	PSA 1	87.8%
Rolph Nicol Playground	07	PSA 4	67.3%
Roosevelt & Henry Stairs	08	PSA 5	93.0%
Saturn Street Steps	08	PSA 5	92.5%
Selby-Palou Mini Park	10	PSA 3	80.2%
Seward Mini Park	08	PSA 5	88.9%
Sgt. John Macaulay Park	06	PSA 2	90.0%
Sigmund Stern Recreation Grove	04	PSA 4	83.1%
Silver Terrace Playground	10	PSA 3	80.3%
SoMa West Dog Park	09	PSA 2	96.0%
SoMa West Skatepark	09	PSA 2	85.5%
South Park	06	PSA 2	92.5%
South Sunset Playground	04	PSA 4	80.8%
St. Mary's Recreation Center	09	PSA 6	91.3%
St. Mary's Square	03	PSA 1	76.8%
States Street Playground	08	PSA 5	90.8%
Sue Bierman Park	03	PSA 1	88.4%
Sunnyside Conservatory	07	PSA 5	97.7%
Sunnyside Playground	07	PSA 5	93.1%
Sunset Playground	04	PSA 4	92.7%
Telegraph Hill/Pioneer Park (Coit Tower)	03	PSA 1	81.8%
Tenderloin Recreation Center	06	PSA 2	82.8%
Turk-Hyde Mini Park	06	PSA 2	79.3%
Union Square	03	PSA 2	86.3%
Upper Noe Recreation Center	08	PSA 5	87.4%
Utah-18th Street Mini Park	10	PSA 2	97.4%
Victoria Manalo Draves Park	06	PSA 2	87.6%
Visitacion Valley Greenway	10	PSA 3	78.6%
Visitacion Valley Playground	10	PSA 3	68.5%
Walter Haas Playground	08	PSA 5	80.7%
Washington Square	03	PSA 1	91.3%
Washington-Hyde Mini Park	03	PSA 1	85.4%
West Portal Playground	07	PSA 4	74.1%
West Sunset Playground	04	PSA 4	88.1%

Park Name	District	Region/PSA	FY16 Park Score
Willie "Woo Woo" Wong Playground	03	PSA 1	84.0%
Woh Hei Yuen Park	03	PSA 1	92.1%
Yacht Harbor & Marina Green	02	PSA 1	82.8%
Youngblood Coleman Playground	10	PSA 3	80.3%

APPENDIX D: PARK SCORES BY SUPERVISORIAL DISTRICT, FY 2015-16

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
District 1					
10th Avenue-Clement Mini Park	86.3	89.5	98.0	90.8	90.8
CON		78.9			78.9
REC	86.3	100.0	98.0	90.8	93.8
Angelo J. Rossi Playground	91.7	80.9	89.2	100.0	90.7
CON		80.9			80.9
REC	91.7		89.2	100.0	93.2
Argonne Playground	77.6	83.3	92.2	96.8	86.7
CON		79.5			79.5
REC	77.6	87.1	92.2	96.8	88.4
Cabrillo Playground	100.0	97.9	97.9	97.1	98.2
CON		99.5			99.5
REC	100.0	96.3	97.9	97.1	97.8
DuPont Courts	88.6	80.9	96.8		86.8
CON		74.7			74.7
REC	88.6	87.1	96.8		90.9
Fulton Playground	96.5	97.8	98.6	97.4	97.6
CON		98.8			98.8
REC	96.5	96.9	98.6	97.4	97.3
Golden Gate Park - Sec 1 (Conservatory Drive)	81.8		81.4	76.8	80.7
CON			76.4		76.4
REC	81.8		86.4	76.8	81.7
Golden Gate Park - Sec 1 (Conservatory Valley)	94.4	89.5	77.0	98.8	87.3
CON			87.5		87.5
REC	94.4	89.5	66.4	98.8	87.2
Golden Gate Park - Sec 1 (Fuchsia Dell)	93.0	89.5	88.7	79.0	87.8
CON			80.6		80.6
REC	93.0	89.5	96.8	79.0	89.6
Golden Gate Park - Sec 2 (Alley Of Humanitarians)		69.4		73.5	71.4
CON				66.3	66.3
REC		69.4		80.7	73.1
Golden Gate Park - Sec 2 (Fern Dell)	86.3	73.6	87.2	100.0	86.9
CON			84.2		84.2
REC	86.3	73.6	90.2	100.0	87.5

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Golden Gate Park - Sec 2 (Music Concourse)	52.2	97.4	93.1	90.3	85.2
CON			86.1		86.1
REC	52.2	97.4	100.0	90.3	85.0
Golden Gate Park - Sec 2 (Shakespeare Garden)	87.5	91.8	87.9	76.9	86.4
CON			90.2		90.2
REC	87.5	91.8	85.5	76.9	85.4
Golden Gate Park - Sec 4 (Crossover Drive)	61.3	85.3	77.2	67.0	73.6
CON			60.5		60.5
REC	61.3	85.3	93.8	67.0	76.9
Golden Gate Park - Sec 4 (Elk Glen Lake)		87.2	95.1	67.4	79.3
CON				58.1	58.1
REC		87.2	95.1	76.6	86.3
Golden Gate Park - Sec 4 (Mallard Lake)	89.9	85.4	69.9	90.6	82.6
CON			61.4		61.4
REC	89.9	85.4	78.3	90.6	86.8
Golden Gate Park - Sec 4 (Rose Garden)	88.8	75.5	87.6	81.6	83.0
CON				87.8	87.8
REC	88.8	75.5	87.6	75.4	81.8
Golden Gate Park - Sec 4 (Stow Lake)	98.6	72.9	92.9	72.5	86.0
CON			96.8		96.8
REC	98.6	72.9	89.0	72.5	83.3
Golden Gate Park - Sec 5 (Hellman Hollow)	79.2	96.7	69.9	98.2	84.6
CON	78.4				78.4
REC	80.0	96.7	69.9	98.2	86.2
Golden Gate Park - Sec 5 (Lloyd Lake)	75.8	93.5	65.0		77.5
CON	63.7				63.7
REC	87.9	93.5	65.0		82.1
Golden Gate Park - Sec 5 (Metson Lake)	72.9	83.3	82.5	87.8	79.9
CON	57.9				57.9
REC	88.0	83.3	82.5	87.8	85.4

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Golden Gate Park - Sec 5 (Polo Field)	89.8	89.9	98.7	97.3	93.1
CON	97.4				97.4
REC	82.2	89.9	98.7	97.3	92.0
Golden Gate Park - Sec 5 (Senior Center)	86.3	84.9	91.9	98.5	89.6
CON	82.2				82.2
REC	90.4	84.9	91.9	98.5	91.4
Golden Gate Park - Sec 5 (Spreckels Lake)	84.5	78.6	84.7	85.6	83.6
CON	80.9				80.9
REC	88.1	78.6	84.7	85.6	84.2
Golden Gate Park - Sec 6 (Beach Chalet)	83.5	85.8	100.0	83.8	87.3
CON	88.2				88.2
REC	78.9	85.8	100.0	83.8	87.1
Golden Gate Park - Sec 6 (Middle Lake)	86.5	70.0	100.0	81.9	85.0
CON	73.1				73.1
REC	100.0	70.0	100.0	81.9	88.0
Golden Gate Park - Sec 6 (North Lake)	85.2	88.1	81.1	81.9	84.3
CON	73.7				73.7
REC	96.8	88.1	81.1	81.9	87.0
Golden Gate Park - Sec 6 (South Lake)	76.9	86.4	88.3	91.7	84.0
CON	64.9				64.9
REC	88.9	86.4	88.3	91.7	88.8
Lincoln Park	82.8	86.5	97.1		88.2
CON		91.5			91.5
REC	82.8	81.5	97.1		87.1
Muriel Leff Mini Park	93.1	91.6	97.6	100.0	94.8
CON		83.1			83.1
REC	93.1	100.0	97.6	100.0	97.7
Park Presidio Boulevard (North of Geary)	59.7	83.1	97.2	73.2	74.6
CON	75.0				75.0
REC	44.4	83.1	97.2	73.2	74.5

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Park Presidio Boulevard (South of Geary)	70.6	82.8	72.8	94.4	78.3
CON	80.6				80.6
REC	60.7	82.8	72.8	94.4	77.7
Richmond Playground	91.7	93.2	70.8	85.5	86.9
CON		90.9			90.9
REC	91.7	95.4	70.8	85.5	85.8
Richmond Recreation Center	85.0	94.6	97.2	88.9	92.0
CON		91.6			91.6
REC	85.0	97.5	97.2	88.9	92.2
Rochambeau Playground	91.9	87.9	80.4	90.5	87.8
CON		91.8			91.8
REC	91.9	84.1	80.4	90.5	86.7
District 2					
Alice Marble Tennis Courts	92.8	95.7	93.7	87.4	93.1
CON	96.1				96.1
REC	89.5	95.7	93.7	87.4	92.6
Allyne Park	75.8	76.1	78.9	76.9	76.7
CON	67.5				67.5
REC	84.2	76.1	78.9	76.9	79.0
Alta Plaza	84.0		80.6	80.2	82.2
CON	78.8				78.8
REC	89.2		80.6	80.2	83.3
Bush-Broderick Mini Park	92.6	92.4	96.5	97.9	94.4
CON		95.8			95.8
REC	92.6	89.0	96.5	97.9	94.0
Cow Hollow Playground	80.5	77.3	94.8	80.5	82.7
CON	61.0				61.0
REC	100.0	77.3	94.8	80.5	88.1
Fay Park	94.4	96.4	97.8	100.0	96.6
CON	100.0				100.0
REC	88.8	96.4	97.8	100.0	95.7
Joseph Conrad Mini Park	84.5	92.7	91.1	87.1	88.8
CON	86.1				86.1
REC	83.0	92.7	91.1	87.1	89.2
Julius Kahn Playground	84.7	94.9	86.9		87.8
CON	80.6				80.6
REC	88.8	94.9	86.9		90.2
Lafayette Park	93.8	99.6	98.6	83.4	93.8
CON	90.0				90.0
REC	97.5	99.6	98.6	83.4	94.8

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Laurel Hill Playground	87.9		83.8	97.1	89.2
CON	84.2				84.2
REC	91.6		83.8	97.1	90.8
Michelangelo Playground	83.3	83.0	83.6	90.7	84.8
CON	86.3				86.3
REC	80.2	83.0	83.6	90.7	84.4
Moscone Recreation Center	95.5	71.2	86.8	92.7	83.5
CON		68.5			68.5
REC	95.5	74.0	86.8	92.7	87.2
Mountain Lake Park	89.0	97.3	93.9	91.7	92.2
CON	82.7				82.7
REC	95.2	97.3	93.9	91.7	94.5
Palace Of Fine Arts	100.0	86.2	94.6	74.8	88.4
CON		78.1			78.1
REC	100.0	94.3	94.6	74.8	90.9
Presidio Heights Playground	85.9	92.2		91.3	88.8
CON	79.9				79.9
REC	91.9	92.2		91.3	91.8
Yacht Harbor & Marina Green (Gashouse Cove)	68.4	91.4	91.9	79.3	82.7
CON		91.4			91.4
REC	68.4		91.9	79.3	79.8
Yacht Harbor & Marina Green (Jetty)	82.9	90.3	94.5	95.3	89.2
CON	72.6				72.6
REC	93.3	90.3	94.5	95.3	93.3
Yacht Harbor & Marina Green (Marina Green)	71.0	84.0	66.9	89.6	76.5
CON	59.4				59.4
REC	82.6	84.0	66.9	89.6	80.8
District 3					
Betty Ann Ong Chinese Recreation Center	93.9	97.8	98.8	100.0	96.9
CON	97.1				97.1
REC	90.7	97.8	98.8	100.0	96.8
Broadway Tunnel West Mini Park	90.5	94.0	90.6	85.6	90.8
CON	92.6				92.6
REC	88.4	94.0	90.6	85.6	90.5
Collis P. Huntington Park	84.4	92.8	85.2	92.3	89.7
CON				91.4	91.4
REC	84.4	92.8	85.2	92.7	89.4

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Helen Wills Playground	93.8	95.6	88.4	84.6	91.2
CON	93.1				93.1
REC	94.4	95.6	88.4	84.6	90.8
Hyde-Vallejo Mini Park	93.3	82.1	76.7	94.4	88.0
CON	96.7				96.7
REC	90.0	82.1	76.7	94.4	85.8
Ina Coolbrith Park	84.8	94.3	91.1	95.5	90.9
CON	92.2				92.2
REC	77.5	94.3	91.1	95.5	90.6
Joe DiMaggio North Beach Playground	100.0	90.9	97.6	96.4	95.1
CON		92.4			92.4
REC	100.0	89.4	97.6	96.4	95.8
Justin Herman-Embarcadero Plaza	59.3	91.5	79.3	95.2	76.9
CON	59.7				59.7
REC	59.0	91.5	79.3	95.2	81.2
Maritime Plaza	96.9	100.0	95.2	77.4	93.3
CON	93.8				93.8
REC	100.0	100.0	95.2	77.4	93.2
Portsmouth Square	80.8	92.8	89.5	84.5	86.9
CON	79.6				79.6
REC	82.1	92.8	89.5	84.5	87.9
St. Mary's Square	59.6	100.0	68.8	77.7	76.8
CON				81.3	81.3
REC	59.6	100.0	68.8	74.1	75.6
Sue Bierman Park	77.4	93.3	96.0	97.6	88.4
CON	73.1				73.1
REC	81.7	93.3	96.0	97.6	92.2
Telegraph Hill/Pioneer Park (Coit Tower)	83.2	79.8	85.1	79.0	81.8
CON	86.1				86.1
REC	80.4	79.8	85.1	79.0	81.1
Union Square	95.2	88.8	88.9	63.5	86.3
CON	90.5				90.5
REC	100.0	88.8	88.9	63.5	85.3
Washington Square	93.0	89.6	93.4	87.5	91.3
CON	86.0				86.0
REC	100.0	89.6	93.4	87.5	92.6
Washington-Hyde Mini Park	87.7	87.1	83.9	83.0	85.4
CON	98.3				98.3
REC	77.0	87.1	83.9	83.0	83.6

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Willie "Woo Woo" Wong Playground	78.7	91.2	79.2	92.0	84.0
CON	86.5				86.5
REC	70.9	91.2	79.2	92.0	83.3
Woh Hei Yuen Park	79.8	97.8	94.4	96.2	92.1
CON	70.8				70.8
REC	88.8	97.8	94.4	96.2	95.1
District 4					
Carl Larsen Park	83.4	99.6	78.5	73.4	83.7
CON	83.6				83.6
REC	83.3	99.6	78.5	73.4	83.7
Lower Great Highway (North)	81.1	81.8	94.7	89.2	85.6
CON	66.5				66.5
REC	95.7	81.8	94.7	89.2	90.3
Lower Great Highway (South)	82.2	82.9	68.1	74.5	78.0
CON	82.8				82.8
REC	81.5	82.9	68.1	74.5	76.7
McCoppin Square	78.4	98.5	80.0	78.2	82.7
CON	79.4				79.4
REC	77.3	98.5	80.0	78.2	83.5
Parkside Square	86.3	68.2	93.1	73.7	81.5
CON	84.5				84.5
REC	88.1	68.2	93.1	73.7	80.8
Pine Lake Park	73.7	62.6	82.3	78.5	71.9
CON	73.7				73.7
REC		62.6	82.3	78.5	71.5
Sigmund Stern Recreation Grove (Clubhouse-19th)	80.3	80.8		93.3	83.7
CON	71.8				71.8
REC	88.9	80.8		93.3	87.7
Sigmund Stern Recreation Grove (Concert Meadow)	94.0	96.7	91.3	91.8	93.5
CON	95.8				95.8
REC	92.1	96.7	91.3	91.8	92.9
Sigmund Stern Recreation Grove (South Slope)	84.4	89.3	43.1		75.3
CON	76.3				76.3
REC	92.5	89.3	43.1		75.0

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Sigmund Stern Recreation Grove (Wawona Trails)	87.3	87.8	76.9	60.8	80.0
CON	86.0				86.0
REC	88.7	87.8	76.9	60.8	78.5
South Sunset Playground	82.6	79.9	78.6	80.2	80.8
CON	79.4				79.4
REC	85.8	79.9	78.6	80.2	81.1
Sunset Playground	93.0	91.0	97.1	89.4	92.7
CON	97.4				97.4
REC	88.7	91.0	97.1	89.4	91.5
West Sunset Playground	79.5	88.6	100.0	92.8	88.1
CON	82.9				82.9
REC	76.1	88.6	100.0	92.8	89.4
District 5					
Alamo Square	79.7	84.3	77.7		81.5
CON		87.1			87.1
REC	79.7	81.6	77.7		79.7
Beideman-O'Farrell Mini Park	86.7	88.7	96.0	88.7	89.8
CON				95.3	95.3
REC	86.7	88.7	96.0	82.1	88.4
Buchanan Street Mall		70.2	90.8	68.6	73.7
CON				65.3	65.3
REC		70.2	90.8	71.9	75.8
Cottage Row Mini Park	100.0	86.0	100.0	97.1	96.1
CON				94.3	94.3
REC	100.0	86.0	100.0	100.0	96.5
Fillmore-Turk Mini Park		91.7	97.6	74.5	89.4
CON		80.0			80.0
REC		97.5	97.6	74.5	91.8
Golden Gate Park - Sec 1 (Alvord Lake)	84.5	55.1	85.6	97.6	81.7
CON			87.0		87.0
REC	84.5	55.1	84.3	97.6	80.4
Golden Gate Park - Sec 1 (Kezar)	85.1	89.5	73.6		80.5
CON			86.5		86.5
REC	85.1	89.5	60.7		78.5
Golden Gate Park - Sec 1 (Panhandle)	84.3	85.6	78.5	92.5	83.9
CON			80.5		80.5
REC	84.3	85.6	76.4	92.5	84.7

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Golden Gate Park - Sec 1 (Sharon Meadow)	85.1		88.0		87.0
CON			79.6		79.6
REC	85.1		96.3		90.7
Golden Gate Park - Sec 2 (AIDS Grove)	94.4	97.6	86.3	94.7	91.9
CON			97.3		97.3
REC	94.4	97.6	75.2	94.7	90.5
Golden Gate Park - Sec 2 (Big Rec)	100.0	94.9	79.2		88.3
CON			73.1		73.1
REC	100.0	94.9	85.3		93.4
Golden Gate Park - Sec 2 (Whiskey Hill)	100.0		89.5	100.0	96.5
CON			89.5		89.5
REC	100.0			100.0	100.0
Golden Gate Park - Sec 3 (Arboretum Interior)	92.2	100.0	92.9	93.5	94.4
CON				88.4	88.4
REC	92.2	100.0	92.9	98.6	95.9
Golden Gate Park - Sec 3 (Arboretum Perimeter)	95.7	92.5	86.9	81.0	87.2
CON				79.6	79.6
REC	95.7	92.5	86.9	81.7	88.2
Golden Gate-Steiner Mini Park	100.0	98.2	100.0	91.7	97.6
CON		97.9			97.9
REC	100.0	98.5	100.0	91.7	97.5
Grattan Playground	96.5	86.6	95.7	87.9	91.6
CON			93.6		93.6
REC	96.5	86.6	96.8	87.9	91.3
Hamilton Recreation Center	80.4	90.2	96.1	89.0	88.9
CON				91.7	91.7
REC	80.4	90.2	96.1	86.3	88.2
Hayes Valley Playground	94.3	89.2	93.1	94.7	92.1
CON		88.4			88.4
REC	94.3	90.0	93.1	94.7	93.0
Japantown Peace Plaza	86.8	84.7	86.7	62.5	76.6
CON				69.4	69.4
REC	86.8	84.7	86.7	55.6	78.4

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Jefferson Square		89.9	98.4	79.4	89.5
CON		82.3			82.3
REC		93.8	98.4	79.4	91.3
Koshland Park	89.1	79.7	90.4	94.4	86.7
CON		75.0			75.0
REC	89.1	84.4	90.4	94.4	89.6
Margaret S. Hayward Playground	66.0	99.2	90.4	59.2	81.0
CON			83.6		83.6
REC	66.0	99.2	97.1	59.2	80.4
Page-Laguna Mini Park	97.2	89.7	93.9	88.3	91.8
CON		92.4			92.4
REC	97.2	87.0	93.9	88.3	91.6
Patricia's Green	95.7	83.8	93.3	84.5	88.2
CON		81.1			81.1
REC	95.7	86.4	93.3	84.5	90.0
Raymond Kimbell Playground	97.1	91.5	90.8	91.0	92.3
CON				93.6	93.6
REC	97.1	91.5	90.8	88.4	92.0
District 6					
Eugene Friend Recreation Center	97.3	91.2	67.6	84.7	85.1
CON				73.4	73.4
REC	97.3	91.2	67.6	95.9	88.0
Father Alfred E. Boeddeker Park	98.5		98.5	94.4	96.4
CON				97.3	97.3
REC	98.5		98.5	91.5	96.2
Joseph L. Alioto Performing Arts Piazza	66.3	86.3	79.2	85.4	76.7
CON	45.8				45.8
REC	86.9	86.3	79.2	85.4	84.4
Sgt. John Macaulay Park		95.2	83.3	92.7	90.0
CON			75.0		75.0
REC		95.2	91.7	92.7	93.7
South Park	100.0	85.0			92.5
REC	100.0	85.0			92.5
Tenderloin Recreation Center	97.2		78.2	77.8	82.8
CON			82.2		82.2
REC	97.2		74.1	77.8	83.1
Turk-Hyde Mini Park	67.3	91.7		79.2	79.3
CON				86.3	86.3
REC	67.3	91.7		72.0	77.0

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Victoria Manalo Draves Park	97.0	82.9	78.4	89.9	87.6
CON				91.9	91.9
REC	97.0	82.9	78.4	87.9	86.5
District 7					
Aptos Playground	88.8	85.1	95.7	88.1	89.2
CON				89.3	89.3
REC	88.8	85.1	95.7	87.0	89.2
Golden Gate Heights Park	78.6	89.2	95.3	80.2	84.7
CON				81.9	81.9
REC	78.6	89.2	95.3	78.4	85.4
J. P. Murphy Playground	83.3	97.6	96.7	91.5	92.1
CON				94.0	94.0
REC	83.3	97.6	96.7	89.0	91.6
Junipero Serra Playground	97.0	89.2	92.4	83.5	89.1
CON				88.9	88.9
REC	97.0	89.2	92.4	78.1	89.2
Lake Merced Park (East Lake)	94.0		91.1	78.0	85.3
CON				71.1	71.1
REC	94.0		91.1	84.9	90.0
Lake Merced Park (Impound Lake)	71.9	85.5	60.3	83.8	77.1
CON				92.0	92.0
REC	71.9	85.5	60.3	75.6	73.3
Lake Merced Park (North Lake)	87.9	88.1	95.0	85.6	88.5
CON				76.3	76.3
REC	87.9	88.1	95.0	95.0	91.5
Lake Merced Park (South Lake)	79.3	79.2	76.8	70.9	75.0
CON				63.5	63.5
REC	79.3	79.2	76.8	74.6	76.9
Midtown Terrace Playground	91.0	95.4		90.4	91.5
CON				94.1	94.1
REC	91.0	95.4		88.5	90.9
Miraloma Playground	86.2	80.6	91.9	96.1	89.4
CON			85.4		85.4
REC	86.2	80.6	98.4	96.1	90.3
Rolph Nicol Playground	100.0	70.2	54.1	56.1	67.3
CON				59.4	59.4
REC	100.0	70.2	54.1	52.8	69.3

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Sunnyside Conservatory	100.0	96.2	98.2	96.0	97.7
CON			96.4		96.4
REC	100.0	96.2	100.0	96.0	98.0
Sunnyside Playground	80.1	90.2	97.5	100.0	93.1
CON			96.3		96.3
REC	80.1	90.2	98.7	100.0	92.2
West Portal Playground	75.8	71.3		74.6	74.1
CON				78.1	78.1
REC	75.8	71.3		72.8	73.1
District 8					
Buena Vista Park (Interior)	72.7	70.3	56.4	93.6	69.9
CON			65.7		65.7
REC	72.7	70.3	47.2	93.6	70.9
Buena Vista Park (Perimeter)	69.6	71.5	78.7	60.8	71.9
CON			88.3		88.3
REC	69.6	71.5	69.2	60.8	67.8
Cayuga-Lamartine Mini Park	76.7	81.7	92.2	84.2	84.3
CON		69.8			69.8
REC	76.7	87.7	92.2	84.2	86.4
Corona Heights	91.6	83.2	92.1	82.1	88.2
CON			96.4		96.4
REC	91.6	83.2	87.8	82.1	86.2
Douglass Playground	79.0	93.9	89.8	83.6	86.0
CON				86.2	86.2
REC	79.0	93.9	89.8	81.0	85.9
Duboce Park	92.4		75.8	88.8	86.4
CON				85.1	85.1
REC	92.4		75.8	92.5	86.9
Eureka Valley Recreation Center	100.0	89.6	94.5	91.5	93.4
CON				89.3	89.3
REC	100.0	89.6	94.5	93.7	94.5
George Christopher Playground	93.9	71.4	83.5	80.7	82.0
CON				95.2	95.2
REC	93.9	71.4	83.5	66.2	78.7
Glen Park	94.9	88.4	70.6	83.5	81.6
CON			71.9		71.9
REC	94.9	88.4	69.2	83.5	84.0

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Joost-Baden Mini Park	100.0	92.0	89.1	85.1	91.9
CON			89.1		89.1
REC	100.0	92.0		85.1	92.5
Juri Commons	77.6	81.6	77.5	82.8	79.8
CON			74.3		74.3
REC	77.6	81.6	79.1	82.8	80.6
Mission Dolores Park	100.0	99.4	79.3	83.8	89.2
CON				82.2	82.2
REC	100.0	99.4	79.3	85.3	91.0
Mission Playground	98.1	90.5	97.3	96.1	95.9
CON			100.0		100.0
REC	98.1	90.5	94.6	96.1	94.8
Mt. Olympus	91.7	95.2	93.3	78.1	90.3
CON			93.8		93.8
REC	91.7	95.2	92.9	78.1	89.5
Noe Valley Courts	84.3	89.5	91.8	86.5	87.7
CON				86.3	86.3
REC	84.3	89.5	91.8	86.7	88.1
Peixotto Playground	86.5	97.2	88.3	82.9	87.5
CON				91.1	91.1
REC	86.5	97.2	88.3	74.7	86.6
Roosevelt & Henry Stairs	96.7	93.0	100.0	87.6	93.0
CON				81.4	81.4
REC	96.7	93.0	100.0	93.9	95.9
Saturn Street Steps	91.0	100.0	90.0	90.7	92.5
CON				97.1	97.1
REC	91.0	100.0	90.0	84.3	91.3
Seward Mini Park	89.1	92.9	88.2	87.1	88.9
CON				91.3	91.3
REC	89.1	92.9	88.2	83.0	88.3
States Street Playground	98.3	90.9	90.0	85.0	90.8
CON			87.8		87.8
REC	98.3	90.9	92.1	85.0	91.6
Upper Noe Recreation Center	97.9	82.5	82.8	95.6	87.4
CON			90.5		90.5
REC	97.9	82.5	78.9	95.6	86.8
Walter Haas Playground	96.1	83.6	71.7	80.7	80.7
CON			73.7		73.7
REC	96.1	83.6	69.7	80.7	82.5

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
District 9					
24th Street-York Mini Park	94.3			93.7	94.0
CON				93.7	93.7
REC	94.3				94.3
Alioto Mini Park	80.6	90.7	91.0	98.7	88.8
CON			83.7		83.7
REC	80.6	90.7	98.4	98.7	89.8
Bernal Heights Recreation Center	85.1	90.0	93.4	80.7	85.8
CON				73.2	73.2
REC	85.1	90.0	93.4	84.4	87.9
Coleridge Mini Park	94.6		78.2	97.0	91.7
CON			78.2		78.2
REC	94.6			97.0	96.2
Coso-Precita Mini Park	100.0		77.8	89.7	89.3
CON				100.0	100.0
REC	100.0		77.8	79.4	85.7
Garfield Square	82.9	82.1	86.0	73.2	80.0
CON				70.8	70.8
REC	82.9	82.1	86.0	75.6	81.9
Holly Park	80.9	78.1		93.1	87.7
CON				88.8	88.8
REC	80.9	78.1		95.3	87.4
James Rolph Jr. Playground	80.6	90.1	91.1	94.0	87.9
CON			88.1		88.1
REC	80.6	90.1	94.2	94.0	87.9
John McLaren Park (26 Acres)	73.2	79.7	77.5	74.8	76.3
CON		79.7			79.7
REC	73.2		77.5	74.8	75.1
John McLaren Park (Jerry Garcia Amphitheater)	61.6	81.7	66.5	79.0	74.1
CON		88.1			88.1
REC	61.6	75.4	66.5	79.0	70.6
John McLaren Park (Tennis Clubhouse)	67.3	62.0	88.9	92.2	74.5
CON		63.2			63.2
REC	67.3	60.8	88.9	92.2	77.3
Jose Coronado Playground	87.0	84.4	86.1	89.5	86.6
CON			91.4		91.4
REC	87.0	84.4	80.9	89.5	85.4

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Kid Power Park	92.7	100.0	93.2	92.9	94.4
CON			100.0		100.0
REC	92.7	100.0	86.3	92.9	93.0
Louis Sutter Playground	90.7	91.9	76.3		87.7
CON		88.6			88.6
REC	90.7	95.2	76.3		87.4
Mission Recreation Center	84.6	74.1	90.6	94.0	86.8
CON			94.1		94.1
REC	84.6	74.1	87.2	94.0	85.0
Mullen-Peralta Mini Park	93.3	95.0	90.3	87.4	90.8
CON				77.1	77.1
REC	93.3	95.0	90.3	92.5	92.7
Palega Recreation Center		89.7	96.5	99.6	93.8
CON		84.3			84.3
REC		95.0	96.5	99.6	97.0
Parque Ninos Unidos	100.0	76.7	92.5	92.4	90.8
CON			90.1		90.1
REC	100.0	76.7	94.9	92.4	91.0
Precita Park	100.0		84.7	75.9	84.1
CON				87.5	87.5
REC	100.0		84.7	64.3	83.0
Prentiss Mini Park	92.6	91.6	100.0	97.3	95.8
CON				96.4	96.4
REC	92.6	91.6	100.0	98.2	95.6
SoMa West Dog Park	92.8	95.6	97.9	98.1	96.0
CON		92.8			92.8
REC	92.8	98.3	97.9	98.1	96.8
SoMa West Skatepark	82.4	86.9	95.1	76.1	85.5
CON		77.0			77.0
REC	82.4	96.8	95.1	76.1	87.6
St. Mary's Recreation Center	87.3	89.8	94.4	92.4	91.3
CON				89.2	89.2
REC	87.3	89.8	94.4	95.6	91.8
District 10					
Adam Rogers Park	93.0	75.8	78.5	57.3	76.1
CON		64.1			64.1
REC	93.0	87.4	78.5	57.3	79.0
Bay View Playground	59.1	71.6	65.6	80.7	70.8
CON		58.6			58.6
REC	59.1	78.1	65.6	80.7	72.5

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Esprit Park	100.0	98.1	89.7	96.7	96.5
CON		97.6			97.6
REC	100.0	98.5	89.7	96.7	96.2
Franklin Square	75.3	87.7	88.2	85.9	84.9
CON		82.3			82.3
REC	75.3	93.1	88.2	85.9	85.6
Gilman Playground	75.7	76.6	75.2		76.0
CON		73.0			73.0
REC	75.7	80.1	75.2		77.0
Herz Playground	82.2	90.9		93.7	89.5
CON		84.1			84.1
REC	82.2	97.7		93.7	91.2
Hilltop Park	92.9	82.3			85.8
CON		67.1			67.1
REC	92.9	97.5			95.2
India Basin Shoreline Park	45.1	72.6	72.8	70.8	65.3
CON		72.6			72.6
REC	45.1		72.8	70.8	62.9
Jackson Playground	95.0	93.2	87.7	74.9	88.8
CON		97.5			97.5
REC	95.0	88.8	87.7	74.9	86.6
John McLaren Park (Observation Tower)	89.2	59.7	46.7	58.9	62.8
CON		71.9			71.9
REC	89.2	47.4	46.7	58.9	60.5
John McLaren Park (Sunnydale-Persia)	96.7	61.6	84.5	82.2	77.3
CON		51.3			51.3
REC	96.7	72.0	84.5	82.2	83.8
Joseph Lee Recreation Center	72.5	75.8	85.3	83.6	79.7
CON		74.3			74.3
REC	72.5	76.6	85.3	83.6	80.5
Kelloch Velasco Mini Park	93.6	84.2	75.9	72.3	82.0
CON		72.7			72.7
REC	93.6	95.7	75.9	72.3	84.4
Little Hollywood Park	94.8	81.4	91.4	69.3	83.7
CON		87.3			87.3
REC	94.8	75.5	91.4	69.3	82.8
McKinley Square	81.3	89.4	85.5	90.7	87.3
CON		88.9			88.9
REC	81.3	89.9	85.5	90.7	86.8

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Palou-Phelps Mini Park	87.5	81.0	88.8	88.2	85.3
CON		84.2			84.2
REC	87.5	77.8	88.8	88.2	85.6
Potrero Del Sol Park	90.0	83.2	86.0	88.6	86.5
CON			89.1		89.1
REC	90.0	83.2	84.5	88.6	86.1
Potrero Hill Recreation Center	93.8	95.5	86.0	96.5	93.5
CON		95.2			95.2
REC	93.8	95.9	86.0	96.5	93.0
Selby-Palou Mini Park	69.0	81.0	83.4	81.2	80.2
CON		77.1			77.1
REC	69.0	83.0	83.4	81.2	80.6
Silver Terrace Playground	95.8	69.2	89.3	78.0	80.3
CON		74.1			74.1
REC	95.8	64.2	89.3	78.0	81.9
Utah-18th Street Mini Park	95.1	100.0	95.8	95.8	97.4
CON		100.0			100.0
REC	95.1	100.0	95.8	95.8	96.7
Visitacion Valley Greenway	92.3	83.6	74.9	58.9	78.6
CON		74.4			74.4
REC	92.3	92.7	74.9	58.9	79.7
Visitacion Valley Playground	51.8	71.2	78.3	70.2	68.5
CON		72.6			72.6
REC	51.8	69.7	78.3	70.2	67.5
Youngblood Coleman Playground	83.2	76.6	90.1	74.9	80.3
CON		74.5			74.5
REC	83.2	78.7	90.1	74.9	81.7
District 11					
Alice Chalmers Playground	87.1	57.3	87.4	80.2	73.9
CON		57.5			57.5
REC	87.1	57.1	87.4	80.2	78.0
Balboa Park	96.1	89.0	98.0	94.7	94.8
CON				91.7	91.7
REC	96.1	89.0	98.0	97.6	95.4
Brooks Park	85.6	83.9	76.1	62.0	78.3
CON		77.2			77.2
REC	85.6	90.7	76.1	62.0	78.6
Cayuga Playground	84.1	85.2	88.3	79.2	84.2
CON		85.5			85.5
REC	84.1	84.9	88.3	79.2	84.0

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Crocker Amazon Playground (Clubhouse)	94.6	85.6	86.1	89.9	88.3
CON		88.5			88.5
REC	94.6	82.6	86.1	89.9	88.3
Crocker Amazon Playground (La Grande)	99.2	88.9	71.0	63.8	82.4
CON		86.0			86.0
REC	99.2	91.9	71.0	63.8	81.5
Crocker Amazon Playground (Soccer)	71.9	90.4	89.8	78.6	82.7
CON		90.4			90.4
REC	71.9		89.8	78.6	80.1
Excelsior Playground	64.5	62.0	64.1	67.4	64.5
CON		61.4			61.4
REC	64.5	62.6	64.1	67.4	65.0
Head-Brotherhood Mini Park	75.5	71.2	79.8	93.0	78.2
CON		64.4			64.4
REC	75.5	78.0	79.8	93.0	81.6
Lessing-Sears Mini Park	91.8	68.7	87.3	79.2	79.1
CON		61.7			61.7
REC	91.8	75.6	87.3	79.2	83.5
Merced Heights Playground	76.1	85.0	79.8	80.0	81.2
CON		86.0			86.0
REC	76.1	83.9	79.8	80.0	79.9
Minnie & Lovie Ward Playground	73.0	85.9	92.7	91.5	85.8
CON		82.5			82.5
REC	73.0	89.4	92.7	91.5	86.6
Randolph-Bright Mini Park	95.8	90.6	98.6	98.0	94.7
CON		89.7			89.7
REC	95.8	91.6	98.6	98.0	96.0

From: Reports, Controller (CON)
Sent: Thursday, November 03, 2016 8:31 AM
To: Calvillo, Angela (BOS); Gosiengfiao, Rachel (BOS); BOS-Supervisors; BOS-Legislative Aides; Kawa, Steve (MYR); Howard, Kate (MYR); Tucker, John (MYR); Hussey, Deirdre (MYR); Tsang, Francis; Elliott, Jason (MYR); Steeves, Asja (CON); Campbell, Severin (BUD); Newman, Debra (BUD); Rose, Harvey (BUD); SF Docs (LIB); CON-EVERYONE; Ivar Satero (AIR); Wallace Tang (AIR); Leo Fermin (AIR); Jeff Littlefield (AIR); Eva Cheong (AIR); Angus Davol (AIR); Lucas, Stacey (CAT)
Subject: Issued: Airport Commission: Audits of Transportation Network Company Operating Permits of Rasier-CA, Lyft, & Tickengo dba Wingz

The Office of the Controller's City Services Auditor Division (CSA) today issued three reports of its audits to determine whether Rasier-CA, LLC, Lyft, Inc., and Tickengo, Inc., dba Wingz, complied with the reporting, payment, and other selected provisions of their Transportation Network Company Commercial Ground Transportation Non-Exclusive Operating Permits (permits) from the Airport Commission (Airport).

Rasier-CA, LLC (Rasier-CA)

The audit found that Rasier-CA, a wholly owned subsidiary of Uber Technologies, Inc., complied with the transportation, reporting, and payment provisions of its permit. As a result, Rasier-CA correctly paid the Airport \$8.5 million for 2.2 million vehicle trips provided during the audit period of October 2014 through September 2015.

To view the full report, please visit our website at: <http://openbook.sfgov.org/webreports/details3.aspx?id=2375>

Lyft, Inc. (Lyft)

The audit found that Lyft did not report 7,803 trips to and from San Francisco International Airport during October 2014 through September 2015, resulting in an underpayment of \$30,042 in trip fees. However, Lyft complied with all tested transportation requirements in its permit for a sample of 50 drivers.

To view the full report, please visit our website at: <http://openbook.sfgov.org/webreports/details3.aspx?id=2376>

Tickengo, Inc., dba Wingz (Wingz)

The audit found that Wingz complied with reporting and payment requirements in its Airport permit during April through September 2015 but did not comply with such provisions during the earlier unpermitted operations period and consequently underpaid the Airport \$1,417 in trip fees. Wingz also complied with all tested transportation requirements for a sample of 32 drivers. However, the audit found that Wingz did not comply with vehicle inspection and background check requirements for its commercially licensed drivers.

To view the full report, please visit our website at: <http://openbook.sfgov.org/webreports/details3.aspx?id=2377>

This is a send-only e-mail address. For questions about the reports, please contact Director of City Audits Tonia Lediju at tonia.lediju@sfgov.org or 415-554-5393 or the CSA Audits Unit at 415-554-7469.

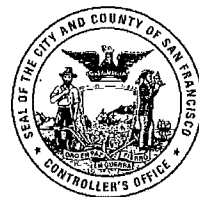
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City and County of San Francisco

Office of the Controller – City Services Auditor

AIRPORT COMMISSION:

**Transportation Network Company
Operating Permit Audit – Rasier-CA,
LLC, Correctly Paid the Airport
\$8.5 Million for 2.2 Million Vehicle
Trips Provided During October 2014
Through September 2015**



November 3, 2016

**OFFICE OF THE CONTROLLER
CITY SERVICES AUDITOR**

The City Services Auditor Division (CSA) was created in the Office of the Controller through an amendment to the Charter of the City and County of San Francisco (City) that was approved by voters in November 2003. Charter Appendix F grants CSA broad authority to:

- Report on the level and effectiveness of San Francisco's public services and benchmark the City to other public agencies and jurisdictions.
- Conduct financial and performance audits of city departments, contractors, and functions to assess efficiency and effectiveness of processes and services.
- Operate a whistleblower hotline and website and investigate reports of waste, fraud, and abuse of city resources.
- Ensure the financial integrity and improve the overall performance and efficiency of city government.

CSA may conduct financial audits, attestation engagements, and performance audits. Financial audits address the financial integrity of both city departments and contractors and provide reasonable assurance about whether financial statements are presented fairly in all material aspects in conformity with generally accepted accounting principles. Attestation engagements examine, review, or perform procedures on a broad range of subjects such as internal controls; compliance with requirements of specified laws, regulations, rules, contracts, or grants; and the reliability of performance measures. Performance audits focus primarily on assessment of city services and processes, providing recommendations to improve department operations.

CSA conducts its audits in accordance with the Government Auditing Standards published by the U.S. Government Accountability Office. These standards require:

- Independence of audit staff and the audit organization.
- Objectivity of the auditors performing the work.
- Competent staff, including continuing professional education.
- Quality control procedures to provide reasonable assurance of compliance with the auditing standards.

For questions about the report, please contact Director of City Audits Tonia Lediju at Tonia.Lediju@sfgov.org or 415-554-5393 or CSA at 415-554-7469.

Audit Team: Mamadou Gning, Lead Audit Manager
Amanda Sobrepeña, Auditor-in-Charge
Megan Siems, Senior Administrative Analyst
Calvin Quock, Staff Auditor



CITY AND COUNTY OF SAN FRANCISCO
OFFICE OF THE CONTROLLER

Ben Rosenfield
Controller

Todd Rydstrom
Deputy Controller

November 3, 2016

Airport Commission
San Francisco International Airport
P.O. Box 8097
San Francisco, CA 94128-8097

Mr. Ivar Satero, Airport Director
San Francisco International Airport
P.O. Box 8097
San Francisco, CA 94128-8097

Dear Commission President, Commissioners, and Mr. Satero:

The Office of the Controller's City Services Auditor Division (CSA) presents its report of the audit to determine whether Rasier-CA, LLC, (Rasier-CA), a wholly owned subsidiary of Uber Technologies, Inc., complied with the reporting, payment, and other selected provisions of its Transportation Network Company Commercial Ground Transportation Non-Exclusive Operating Permit (permit) from the Airport Commission (Airport).

The audit found that Rasier-CA complied with the transportation, reporting, and payment provisions of its permit. As a result, Rasier-CA correctly paid the Airport \$8.5 million for 2.2 million vehicle trips provided during the audit period of October 2014 through September 2015.

The report includes no recommendations for the Airport to address. The responses of the Airport and Rasier-CA are attached to this report.

CSA appreciates the assistance and cooperation of Airport and Rasier-CA staff during the audit. For questions about the report, please contact me at Tonia.Lediju@sfgov.org or 415-554-5393 or CSA at 415-554-7469.

Respectfully,

Tonia Lediju
Director of City Audits

cc: Board of Supervisors
Budget Analyst
Citizens Audit Review Board
City Attorney
Civil Grand Jury
Mayor
Public Library

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INTRODUCTION

Audit Authority

This audit was conducted under the authority of the Charter of the City and County of San Francisco (City), Section 3.105 and Appendix F, which requires that the City Services Auditor Division (CSA) of the Office of the Controller (Controller) conduct periodic, comprehensive financial and performance audits of city departments, services, and activities. In addition, this audit was conducted pursuant to an audit plan agreed to by the Controller and the Airport Commission (Airport) and in accordance with the audit and inspection of records provision stipulated in the Transportation Network Company Commercial Ground Transportation Non-Exclusive Operating Permit (permit) held by Rasier-CA, LLC, (Rasier-CA), a wholly owned subsidiary of Uber Technologies, Inc.

Background

San Francisco International Airport

The Airport Commission¹ operates San Francisco International Airport (SFO), the Bay Area's largest airport, offering flights to more than 36 international destinations and 79 cities in the U.S. and serving more than 50 million domestic and international passengers annually.

The Airport's operating budget for fiscal year 2015-16 was \$963.6 million, \$23.4 million (2.5 percent) greater than its fiscal year 2014-15 budget of \$940.2 million.

The transportation network companies

Transportation Network Companies (TNCs) use a new transportation business model that provides prearranged transportation services for compensation. These companies connect riders and drivers through an online-based application (app) or platform (smartphone technology app). Riders use the app to request a ride to a destination of their choice. Once the rider requests a ride, his or her GPS location is sent to a driver, who can then proceed to pick up the rider. The app allows riders to get an estimate of the driver's arrival time, manage payments, and rate drivers.

¹ A five-member commission, appointed by the mayor, which establishes policies by which the Airport operates. The airport director is the chief executive officer of the Airport and is responsible for the Airport's day-to-day operations.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit – Rasier-CA, LLC, Correctly Paid the Airport \$8.5 Million for
2.2 Million Vehicle Trips Provided During October 2014 Through September 2015

The California Public Utilities Commission has adopted rules and regulations for transportation network companies.

In September 2013 the California Public Utilities Commission (CPUC) established the category of TNCs for this emerging transportation model.² The CPUC defines a TNC as:

[A]n organization, whether a corporation, partnership, sole proprietor, or other form, operating in California, that provides prearranged transportation services for compensation using an online-enabled application or platform (such as smart phone apps) to connect passengers with drivers using their personal vehicles.³

The CPUC asserted jurisdiction over TNCs by classifying them as charter-party carriers, or transportation providers that provide pre-arranged services for a fee and are subject to regulation by the CPUC. Further, the CPUC adopted rules and regulations for TNCs to ensure that public safety is not compromised by the operators of this new transportation business model. One of the requirements set forth by the CPUC states that:

TNCs shall not conduct any operations on the property of or into any airport unless such operations are authorized by the airport authority involved.⁴

Rasier-CA's Permit

Rasier-CA's Airport permit allows it to provide charter-party ground transportation passenger service to airline passengers whose flights are departing or arriving at SFO.

In accordance with CPUC requirements for operation, the Airport requires that Rasier-CA comply with transportation requirements set forth in the permit related to its drivers and vehicles used to provide TNC services.

Trips are based on a geofence surrounding the Airport.

The permit requires that Rasier-CA develop a vehicle tracking protocol to track vehicle trips based on a geofence established by and surrounding the Airport.⁵

Exhibit 1 shows the geofence established by the Airport.

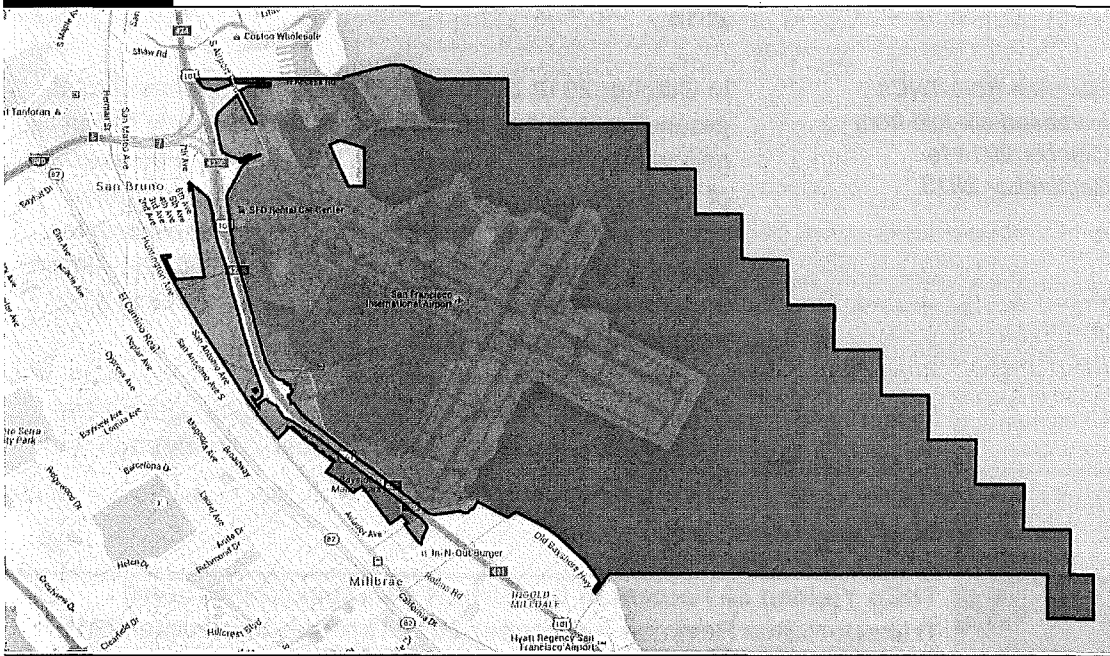
²The CPUC regulates for-hire passenger carriers that operate in California.

³This new classification of passenger carrier was established through CPUC Decision 13-09-045.

⁴Safety and regulatory requirements for TNCs are included in CPUC Decision 13-09-045.

⁵The geofence consists of one or more polygons whose points are geographic coordinates defined by the Airport on city-owned property.

EXHIBIT 1 TNCs Must Pay the Airport for Trips That Occur Within the Airport's Geofence



Source: Airport.

*Permit fees, reporting,
and recordkeeping
requirements*

The permit requires Rasier-CA to pay the Airport a monthly permit fee⁶ based on a per trip⁷ fee of \$3.85 for each drop-off and pick-up on Airport property that occurs within the geofence.

The permit also requires Rasier-CA to either pay the Airport during the unpermitted operations period⁸ a permit activation fee of \$100,000 or an amount representing actual unpaid per-trip fees during the unpermitted operations period.

⁶ The monthly permit fee is the product of the number of trips conducted by the permittee's TNC vehicles in one calendar month and the per trip fee in effect.

⁷ A trip is defined as each instance in which one of the permittee's vehicles drops off or picks up a passenger on Airport property. So if a TNC vehicle drops off a customer and then picks up another customer without leaving Airport property, this would constitute two trips.

⁸ The unpermitted operations period began on April 15, 2014, when the Airport made the pilot permit available. According to the Airport, the initial permit prohibited passenger pick-ups at SFO, but because the TNCs wanted both drop-off and pick-up privileges, no TNC signed the initial pilot permit. Nevertheless, TNCs continued to operate at SFO without permits throughout the summer of 2014. Ultimately, the Airport amended the permit to include drop-offs and pick-ups, and the first TNC permit was issued in October 2014.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit – Rasier-CA, LLC, Correctly Paid the Airport \$8.5 Million for
2.2 Million Vehicle Trips Provided During October 2014 Through September 2015

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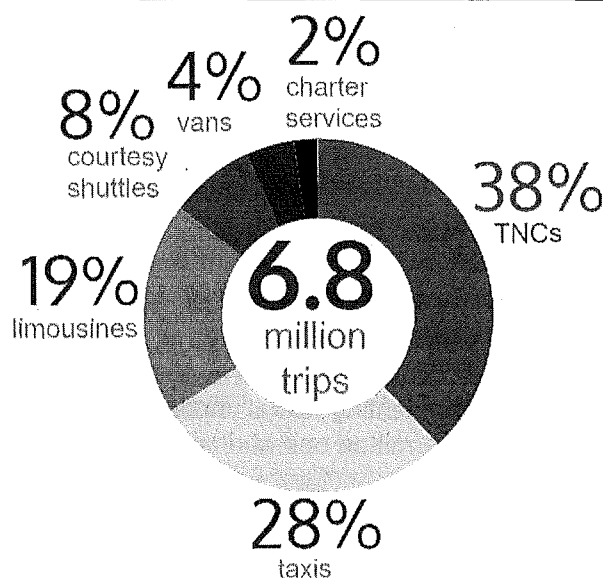
The pilot permit expired in February 2016. Rasier-CA now operates under a permit that is set to expire in June 2018.

*Revenue from TNCs
increased six-fold from
October 2014 to
September 2015.*

In October 2014 through September 2015, commercial ground transportation⁹ services provided nearly seven million trips to and from SFO. TNCs provided an average of 38 percent of the commercial ground transportation services at SFO during the same period, amounting to almost \$10 million in reported trip fees paid to the Airport and generating from \$390,000 in October 2014 to \$1.2 million in September 2015 for the Airport.

Exhibit 2 summarizes the trips provided by commercial ground transportation during October 2014 through September 2015.

EXHIBIT 2 TNCs Yielded 38 Percent of the Airport's Commercial Ground Transportation Revenue in October 2014 Through September 2015^a



^a Method of trip calculation varies by mode of transportation: Taxi = pickups only, TNC = pickups and drop-offs, Others = loop fee.

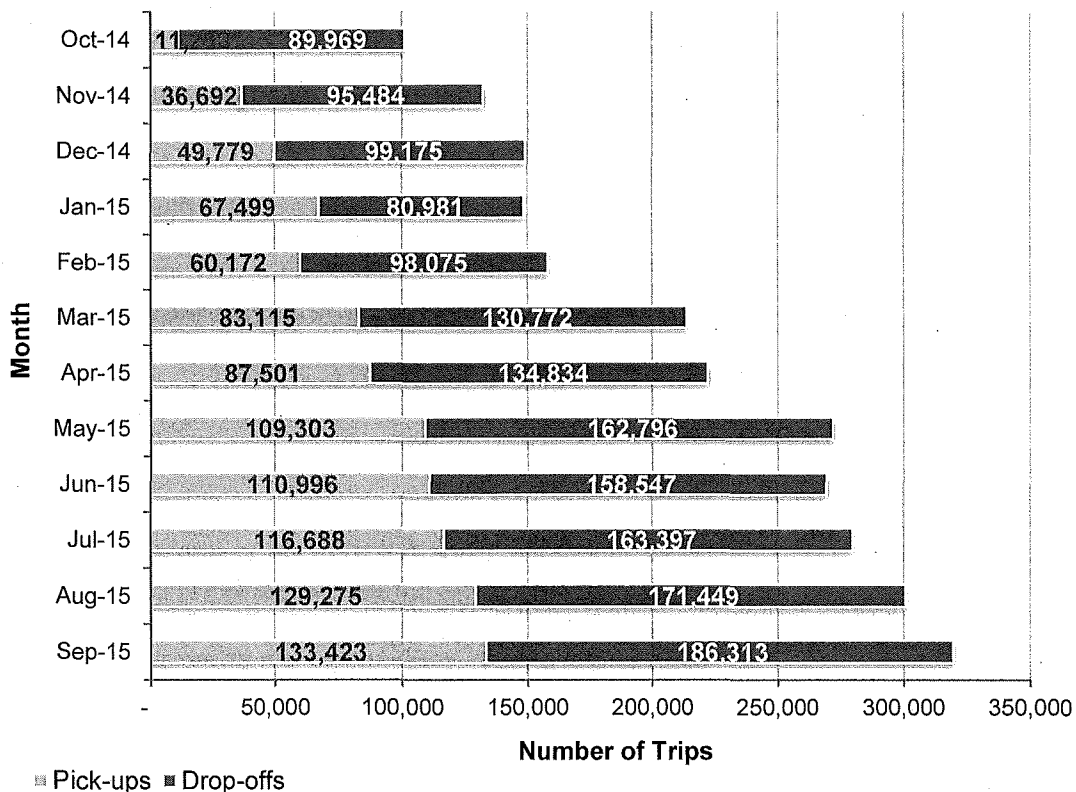
Source: Auditor's analysis based on Airport data.

⁹ Commercial ground transportation includes services by charter, courtesy shuttle, limousine, van, taxi, and TNC.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit – Rasier-CA, LLC, Correctly Paid the Airport \$8.5 Million for
2.2 Million Vehicle Trips Provided During October 2014 Through September 2015

Exhibit 3 summarizes TNC pick-up and drop-off trips during October 2014 through September 2015.

EXHIBIT 3 The Number of TNC Trips Provided at SFO Tripled During
October 2014 Through September 2015



Source: Auditor's analysis of Airport data.

Rasier-CA trips

For October 2014 through September 2015, Rasier-CA reported 2,217,273 trips (drop-offs and pick-ups) provided, for which it paid the Airport \$8,536,501 in trip fees. Rasier-CA also paid the Airport a permit activation fee of \$100,000 to operate during the unpermitted operations period.

**Objective, Scope, and
Methodology**

The purpose of this audit was to determine whether Rasier-CA complied with the reporting and payment provisions of its permit with the Airport.

Specifically, the audit's objectives were to determine whether:

- The revenues and related permit fees that Rasier-CA reported and paid to the Airport are supported by underlying accounting and trip records.
- Rasier-CA complied with certain permit terms and conditions, including that its drivers possess a valid California driver license and insurance, pass a California Department of Motor Vehicles record check and criminal history check, undergo Airport-specific TNC driver training, and that the vehicles used pass a 19-point inspection.

The audit covered October 2014 through September 2015.

Scope Limitations

As of June 2016, the CPUC had yet to release a decision on the meaning of the phrase "personal vehicle" as it relates to TNCs. Specifically, the CPUC must decide whether the phrase requires that the driver own the vehicle used to provide TNC services or, instead, allows a driver who leases or rents a vehicle to provide such services.¹⁰ Without this decision from the CPUC, CSA did not test compliance with the permit requirement that each driver own the vehicle used.

CSA obtained an understanding of the permittee's internal control environment and IT general controls pertaining to revenue reporting to the Airport to plan the audit and to determine the nature, timing, and extent of tests to be performed. However, due to Rasier-CA's lack of documented information technology (IT) policies and procedures during the audit period, CSA could not test the permittee's IT controls and instead performed substantive tests to assess the data reliability of the trips reported to and from SFO and verify the accuracy and completeness of the number of trips Rasier-CA reported.

¹⁰ The Airport and the San Francisco Municipal Transportation Agency consider the term "personal vehicle" to be ambiguous because it does not adequately address the many ways that vehicles are being marketed for use by TNC drivers.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit – Rasier-CA, LLC, Correctly Paid the Airport \$8.5 Million for
2.2 Million Vehicle Trips Provided During October 2014 Through September 2015

Methodology

To conduct this audit, CSA:

- Reviewed the applicable terms of the TNC Commercial Ground Transportation Non-Exclusive Operating Permit – Pilot Program and applicable requirements under the California Public Utilities Code.
- Interviewed key Rasier-CA staff and conducted walkthroughs to observe and understand procedures related to:
 - The driver onboarding process.
 - Transportation requirements, such as driver and vehicle operation requirements.
 - The systems used to generate reports and track performance, compliance, and payments.
 - Trip tracking and reporting (databases and scripts used to run monthly trip reports).
 - Revenue reporting.
- Selected key permit requirements and determined, on a sample basis, whether Rasier-CA complied with driver and vehicle requirements and documentation.
- Assessed the adequacy of Rasier-CA's procedures for collecting, recording, summarizing, and reporting its trips and revenue due to the Airport.
- Obtained and reviewed all monthly reports Rasier-CA submitted to the Airport.
- Compared Rasier-CA's monthly reports to specific source documents and assessed, on a sample basis, the accuracy and completeness of the number of trips reported.
- Obtained from Rasier-CA data on all trips for selected drivers for the audit period, independently recalculated the total trips to or from the Airport (within the geofence), and determined whether the totals agree with those used in the monthly reports.
- Performed various analyses to identify variances in the trip data, including trend analytics.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit – Rasier-CA, LLC, Correctly Paid the Airport \$8.5 Million for
2.2 Million Vehicle Trips Provided During October 2014 Through September 2015

**Statement of Auditing
Standards**

This audit was conducted in accordance with generally accepted government auditing standards. These standards require planning and performing the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for the findings and conclusions based on the audit objectives. We believe that the evidence obtained provides a reasonable basis for the findings and conclusions based on the audit objectives.

RESULTS

Summary

Rasier-CA complied with the transportation, reporting, and payment provisions of its Airport permit during October 2014 through September 2015.

Finding 1

Rasier-CA paid the \$8.5 million in trip fees that were due to the Airport for Rasier-CA's 2.2 million trips.

Rasier-CA complied with the reporting and payment provisions of its Airport permit. As a result, Rasier-CA correctly paid the Airport \$8.5 million for the 2.2 million trips Rasier-CA drivers provided at the Airport during the audit period.

According to the permit, Rasier-CA is required to submit to the Airport a monthly report, in an agreed-upon electronic format, with trip details such as the license plate number of each Rasier-CA vehicle and the time of entry into and exit from Airport property.

CSA tested the accuracy and completeness of key elements of Rasier-CA's trip source data using a geographical information system for a sample of selected months for 13 drivers. CSA obtained a data set for all trips provided by selected drivers and verified that the trips that occurred within the Airport geofence were properly reported to the Airport in the monthly reports submitted, with minimal or no errors. Based on the audit's reliability assessment of trip records, CSA concluded that Rasier-CA's trip data is sufficiently reliable and complete.

Rasier-CA provided accurate, complete, and consistent reports for all months during the audit period within the required 15 calendar days of the close of each calendar month.

Finding 2

Rasier-CA complied with the Airport's requirements for TNC drivers and vehicles operating at the Airport.

Rasier-CA complied with all tested transportation requirements in its Airport permit. Based on documentation for a sample of 50 drivers, Rasier-CA ensured that its drivers and their vehicles complied with the requirements for valid identification and insurance documentation. The sample also indicates that Rasier-CA complied with the permit's driver training requirements.

Exhibit 4 summarizes the permit's transportation requirements, with which Rasier-CA complied.¹¹

EXHIBIT 4 Rasier-CA Complied With All Tested Airport Transportation Requirements*

Category	Transportation Requirement	Complied
TNC Driver and Vehicle Certification	<ul style="list-style-type: none"> Valid California driver's license Valid personal automobile insurance meeting California minimum requirements California Department of Motor Vehicles record check and criminal history check 19-point vehicle inspection 	✓
TNC Driver Identification	<ul style="list-style-type: none"> Establish a unique identifier for each driver for reporting purposes 	✓
Tracking TNC Vehicles on Airport Roadways	<ul style="list-style-type: none"> Develop and use a vehicle-tracking protocol based on geofence established by Airport Provide trip details including date/time, location, and driver-based unique identifier and vehicle license plate number upon: <ul style="list-style-type: none"> Entry into and exit from geofence Passenger drop-off and pick-up (on Airport property) 	✓
TNC Driver Training	<ul style="list-style-type: none"> Ensure that drivers use designated areas approved for passenger drop-off and pick-up and comply with permit and Airport's Rules and Regulations Provide Airport training to all drivers before they operate on Airport roadways 	✓
TNC Notice to Driver	<ul style="list-style-type: none"> Promptly notify drivers of any current and changed conditions Promptly notify driver and Airport in writing if driver has not complied with permit conditions 	✓

Note:

*CSA did not test transportation requirements regarding the trade dress and removable airport permit identifier, suspension of pick-up privileges, or whether or not TNC drivers possess electronic waybills.

Source: Rasier-CA's Airport permit and auditor's analysis.

¹¹ These requirements are consistent with the CPUC's requirements for TNCs.

APPENDIX A: DEPARTMENT RESPONSE



San Francisco International Airport

October 4, 2016

Ms. Tonia Lediju
Director of City Audits
City Hall, Room 476
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

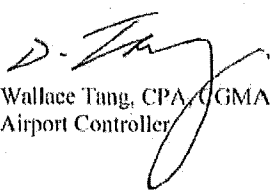
Subject: Performance Audit – TNC Operating Permit Audit Report for Raiser-CA (Uber)

Dear Ms. Lediju:

The Airport received and reviewed the final draft audit report regarding TNC Operating Permit Audit Report for Raiser-CA (Uber). This letter is to confirm that, based upon the details provided, we agree with the audit results.

If you have any questions, please feel free to call me at (650) 821-2850.

Very truly yours,


Wallace Tang, CPA, CGMA
Airport Controller

cc: Ivar C. Satero
Leo Fermin
Eva Cheong
Mamadou Gning (CSA)
Amanda Sobrepena (CSA)

AIRPORT COMMISSION CITY AND COUNTY OF SAN FRANCISCO

EDWIN M. LEE
MAYOR

LARRY MAZZOLA
PRESIDENT

LINDA S. CRAYTON
VICE PRESIDENT

ELEANOR JOHNS

RICHARD J. GUGGENHIME

PETER A. STERN

IVAR C. SATERO
AIRPORT DIRECTOR

Post Office Box 8097 San Francisco, California 94128 Tel 650.821.5000 Fax 650.821.5005 www.flysfo.com

APPENDIX B: CONTRACTOR RESPONSE

RASIER, LLC
1455 MARKET STREET
SAN FRANCISCO, CA 94103

WAYNE TING
GENERAL MANAGER
wayne@uber.com

Tonia Lediju
Director of City Audits
City Hall, Room 476
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

*Subject: Airport Commission: Transportation Network Company Operating Permit Audit – Rasier-CA, LLC,
Correctly Paid the Airport \$8.5 Million for 2.2 Million Vehicle Trips Provided During October 2014 Through
September 2015.*

Dear Tonia:

Thank you for emailing your audit report of Rasier-CA on August 23, 2016. We have thoroughly reviewed the draft report and acknowledge that the audit found that Rasier-CA complied with the transportation, reporting and payment provisions of its permit during the audit period of October 2014 through September 2015. We thank the audit team for their time and efforts in issuing the report.

Sincerely,


Wayne Ting
General Manager

City and County of San Francisco

Office of the Controller – City Services Auditor

AIRPORT COMMISSION:

**Transportation Network Company
Operating Permit Audit – Lyft, Inc.,
Complied With All Tested
Transportation Requirements but
Underpaid the Airport \$30,042 in
Trip Fees During October 2014
Through September 2015**



November 3, 2016

**OFFICE OF THE CONTROLLER
CITY SERVICES AUDITOR**

The City Services Auditor Division (CSA) was created in the Office of the Controller through an amendment to the Charter of the City and County of San Francisco (City) that was approved by voters in November 2003. Charter Appendix F grants CSA broad authority to:

- Report on the level and effectiveness of San Francisco's public services and benchmark the City to other public agencies and jurisdictions.
- Conduct financial and performance audits of city departments, contractors, and functions to assess efficiency and effectiveness of processes and services.
- Operate a whistleblower hotline and website and investigate reports of waste, fraud, and abuse of city resources.
- Ensure the financial integrity and improve the overall performance and efficiency of city government.

CSA may conduct financial audits, attestation engagements, and performance audits. Financial audits address the financial integrity of both city departments and contractors and provide reasonable assurance about whether financial statements are presented fairly in all material aspects in conformity with generally accepted accounting principles. Attestation engagements examine, review, or perform procedures on a broad range of subjects such as internal controls; compliance with requirements of specified laws, regulations, rules, contracts, or grants; and the reliability of performance measures. Performance audits focus primarily on assessment of city services and processes, providing recommendations to improve department operations.

CSA conducts its audits in accordance with the Government Auditing Standards published by the U.S. Government Accountability Office. These standards require:

- Independence of audit staff and the audit organization.
- Objectivity of the auditors performing the work.
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- Quality control procedures to provide reasonable assurance of compliance with the auditing standards.

For questions about the report, please contact Director of City Audits Tonia Lediju at Tonia.Lediju@sfgov.org or 415-554-5393 or CSA at 415-554-7469.

Audit Team: Mamadou Gning, Lead Audit Manager
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CITY AND COUNTY OF SAN FRANCISCO
OFFICE OF THE CONTROLLER

Ben Rosenfield
Controller

Todd Rydstrom
Deputy Controller

November 3, 2016

Airport Commission
San Francisco International Airport
P.O. Box 8097
San Francisco, CA 94128-8097

Mr. Ivar Satero, Airport Director
San Francisco International Airport
P.O. Box 8097
San Francisco, CA 94128-8097

Dear Commission President, Commissioners, and Mr. Satero:

The Office of the Controller's City Services Auditor Division (CSA) presents its report of the audit to determine whether Lyft, Inc., (Lyft) complied with the reporting, payment, and other selected provisions of its Transportation Network Company Commercial Ground Transportation Non-Exclusive Operating Permit (permit) from the Airport Commission (Airport).

The audit found that Lyft did not report 7,803 trips to and from San Francisco International Airport during October 2014 through September 2015, resulting in the underpayment of \$30,042 in trip fees. However, Lyft complied with all tested transportation requirements in its permit, based on a sample of 50 drivers.

The report includes two recommendations for the Airport to address. The responses of the Airport and Lyft are attached to this report.

CSA appreciates the assistance and cooperation of Airport and Lyft staff during the audit. For questions about the report, please contact me at Tonia.Lediju@sfgov.org or 415-554-5393 or CSA at 415-554-7469.

Respectfully,

Tonia Lediju
Director of City Audits

cc: Board of Supervisors
Budget Analyst
Citizens Audit Review Board
City Attorney
Civil Grand Jury
Mayor
Public Library

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INTRODUCTION

Audit Authority

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Background

*San Francisco
International Airport*

The Airport Commission¹ operates San Francisco International Airport (SFO), the Bay Area's largest airport, offering flights to more than 36 international destinations and 79 cities in the U.S. and serving more than 50 million domestic and international passengers annually.

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Office of the Controller, City Services Auditor

**TNC Operating Permit Audit – Lyft, Inc., Complied With All Tested Transportation Requirements
but Underpaid the Airport \$30,042 in Trip Fees During October 2014 Through September 2015**

The California Public Utilities Commission has adopted rules and regulations for transportation network companies.

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TNCs shall not conduct any operations on the property of or into any airport unless such operations are authorized by the airport authority involved.⁴

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Trips are based on a geofence surrounding the Airport.

The permit requires that Lyft develop a vehicle tracking protocol to track vehicle trips based on a geofence established by and surrounding the Airport.⁵

Exhibit 1 shows the geofence established by the Airport.

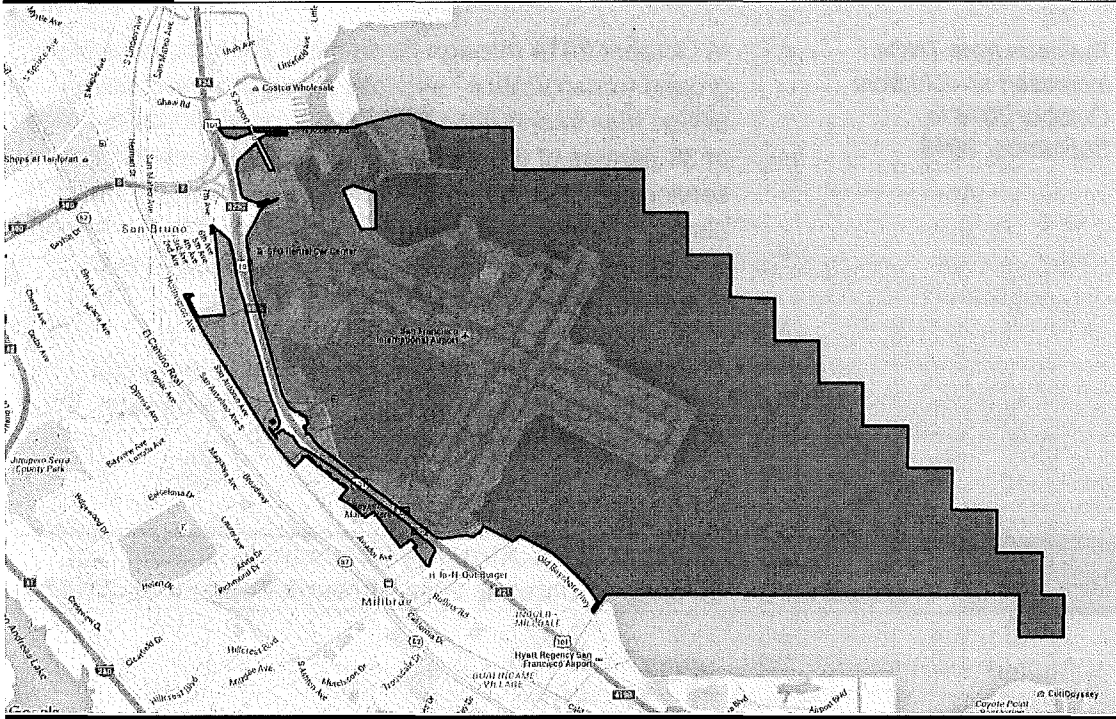
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⁵ The geofence consists of one or more polygons whose points are geographic coordinates defined by the Airport on city-owned property.

EXHIBIT 1 TNCs Must Pay the Airport for Trips That Occur Within the Airport's Geofence



Source: Airport.

*Permit fees, reporting,
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The permit requires Lyft to pay the Airport a monthly permit fee⁶ based on a per-trip⁷ fee of \$3.85 for each drop-off and pick-up on Airport property that occurs within the geofence.

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⁸The unpermitted operations period began on April 15, 2014, when the Airport made the pilot permit available. According to the Airport, the initial permit prohibited passenger pick-ups at SFO but because the TNCs wanted both drop-off and pick-up privileges, no TNC signed the initial pilot permit. Nevertheless, TNCs continued to operate at SFO without permits throughout the summer of 2014. Ultimately, the Airport amended the permit to include drop-offs and pick-ups, and the first TNC permit was issued in October 2014.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit – Lyft, Inc., Complied With All Tested Transportation Requirements
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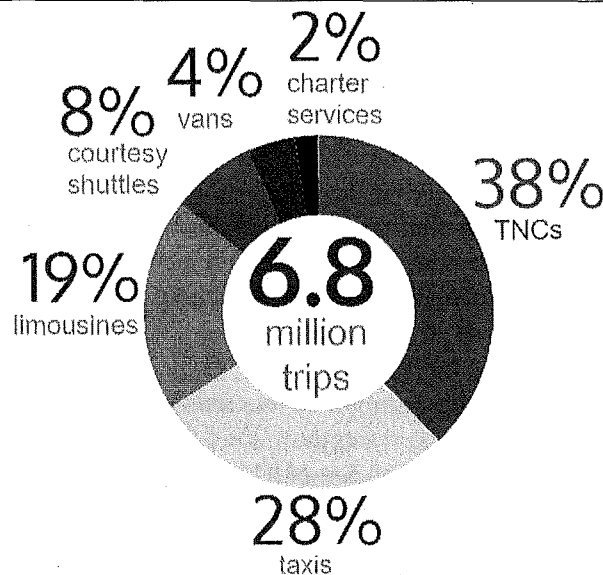
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In October 2014 through September 2015, commercial ground transportation⁹ services provided nearly seven million trips to and from SFO. TNCs provided an average of 38 percent of the commercial ground transportation services at SFO during the same period, amounting to almost \$10 million in reported trip fees paid to the Airport and generating from \$390,000 in October 2014 to \$1.2 million in September 2015 for the Airport.

Exhibit 2 summarizes the revenue from commercial ground transportation during October 2014 through September 2015.

EXHIBIT 2 TNCs Yielded 38 Percent of the Airport's Commercial Ground Transportation Revenue in October 2014 Through September 2015^a



^a Method of trip calculation varies by mode of transportation: Taxi = pickups only, TNC = pickups and drop-offs, Others = loop fee.

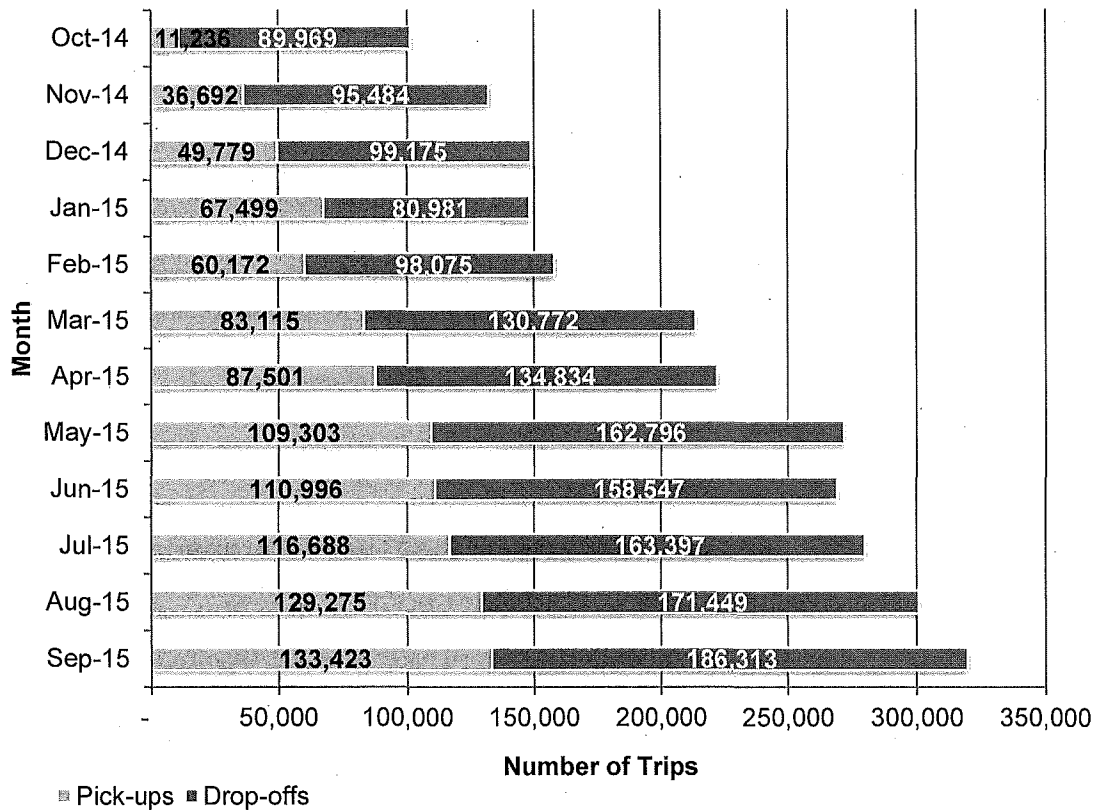
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Office of the Controller, City Services Auditor
**TNC Operating Permit Audit – Lyft, Inc., Complied With All Tested Transportation Requirements
but Underpaid the Airport \$30,042 in Trip Fees During October 2014 Through September 2015**

Exhibit 3 summarizes TNC pick-up and drop-off trips during October 2014 through September 2015.

**EXHIBIT 3 The Number of TNC Trips Provided at SFO Tripled During
October 2014 Through September 2015**



Source: Auditor's analysis of Airport data.

Lyft trips

For October 2014 through September 2015, Lyft reported 321,459 trips (drop-offs and pick-ups) provided, for which it paid the Airport \$1,237,617 in trip fees. Lyft also paid the Airport the permit activation fee of \$100,000 to operate during the unpermitted operations period.

Objective, Scope, and Methodology

The purpose of this audit was to determine whether Lyft complied with the reporting and payment provisions of its permit with the Airport.

Specifically, the audit's objectives were to determine whether:

- The revenues and related permit fees that Lyft reported and paid to the Airport are supported by underlying accounting and trip records.
- Lyft complied with certain permit terms and conditions, including that its drivers possess a valid California driver license and insurance, pass a California Department of Motor Vehicles record check and criminal history check, undergo Airport-specific TNC driver training, and that the vehicles used pass a 19-point inspection.

The audit covered October 2014 through September 2015.

Scope Limitations

As of June 2016 the CPUC had yet to release a decision on the meaning of the phrase "personal vehicle" as it relates to TNCs. Specifically, the CPUC must decide whether the phrase requires that the driver own the vehicle used to provide TNC services or, instead, allows a driver who leases or rents a vehicle to provide such services.¹⁰ Without this decision from the CPUC, CSA did not test compliance with the permit requirement that each driver own the vehicle used.

CSA obtained an understanding of the permittee's internal control environment and IT general controls pertaining to revenue reporting to the Airport to plan the audit and to determine the nature, timing, and extent of tests to be performed. However, due to Lyft's lack of documented information technology (IT) policies and procedures during the audit period, CSA could not test the permittee's IT controls and instead performed substantive tests to assess the data reliability of the trips reported to and from SFO and verify the accuracy and completeness of the number of trips Lyft reported.

¹⁰ The Airport and the San Francisco Municipal Transportation Agency consider the term "personal vehicle" to be ambiguous because it does not adequately address the many ways that vehicles are being marketed for use by TNC drivers.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit – Lyft, Inc., Complied With All Tested Transportation Requirements
but Underpaid the Airport \$30,042 in Trip Fees During October 2014 Through September 2015

Methodology

To conduct this audit, CSA:

- Reviewed the applicable terms of the TNC Commercial Ground Transportation Non-Exclusive Operating Permit – Pilot Program and applicable requirements under the California Public Utilities Code.
- Interviewed key Lyft staff and conducted walkthroughs to observe and understand procedures related to:
 - The driver onboarding process.
 - Transportation requirements, such as driver and vehicle operation requirements.
 - The systems used to generate reports and track performance, compliance, and payments.
 - Trip tracking and reporting (databases and scripts used to run monthly trip reports).
 - Revenue reporting.
- Selected key permit requirements and determined, on a sample basis, whether Lyft complied with driver and vehicle requirements and documentation.
- Assessed the adequacy of Lyft's procedures for collecting, recording, summarizing, and reporting its trips and revenue due to the Airport.
- Obtained and reviewed all monthly reports Lyft submitted to the Airport.
- Compared Lyft's monthly reports to specific source documents and assessed, on a sample basis, the accuracy and completeness of the number of trips reported.
- Obtained from Lyft data on all trips for selected drivers for the audit period, independently recalculated the total trips to or from the Airport (within the geofence), and determined whether the totals agree with those used in the monthly reports.
- Performed various analyses to identify variances in the trip data, including trend analytics.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit – Lyft, Inc., Complied With All Tested Transportation Requirements
but Underpaid the Airport \$30,042 in Trip Fees During October 2014 Through September 2015

**Statement of Auditing
Standards**

This audit was conducted in accordance with generally accepted government auditing standards. These standards require planning and performing the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for the findings and conclusions based on the audit objectives. We believe that the evidence obtained provides a reasonable basis for the findings and conclusions based on the audit objectives.

RESULTS

Summary

Lyft did not report 7,803 trips provided by its drivers to and from SFO during October 2014 through September 2015, resulting in \$30,042 of underpaid trip fees. However, Lyft complied with all tested transportation requirements in its Airport permit, based on a sample of 50 drivers.

Finding 1

Lyft underpaid the Airport \$30,042 for 7,803 unreported trips.

Lyft did not report 7,803 trips and consequently underpaid the Airport \$30,042 in trip fees.

According to the permit, Lyft is required to submit to the Airport a monthly report, in an agreed-upon electronic format, with trip details such as the license plate number of each Lyft vehicle and the time of entry into and exit from Airport property. Although Lyft provided consistent reports for the months during the audit period, it did not report all trips provided.

Based on the audit's reliability assessment of trip source data using a geographical information system for a sample of ten drivers for all months during the permitted period of October 2014 through September 2015, CSA identified variances that also affected the full population of trips provided within the SFO geofence.

Lyft excluded trips outside of Airport terminals, such as trips at car rental locations, resulting in a \$24,956 underpayment.

Before Lyft's system for tracking trips within the SFO geofence was fully implemented in April 2015, Lyft created its own geographic boundary, which was limited to SFO terminals, to track trips provided to and from the Airport. However, as Exhibit 1 shows, the Airport defined a boundary for TNC trips that includes locations beyond the Airport terminals, including car rental locations and airline offices. As a result, Lyft underreported 6,482 trips in October 2014 through March 2015, which represents \$24,956 in trip fees owed to the Airport.

Office of the Controller, City Services Auditor

**TNC Operating Permit Audit – Lyft, Inc., Complied With All Tested Transportation Requirements
but Underpaid the Airport \$30,042 in Trip Fees During October 2014 Through September 2015**

*Lyft's system outages on
three dates caused a
\$5,086 underpayment.*

The audit identified other trips Lyft did not report to the Airport. Lyft attributed these unreported trips to two instances of a system outage:

- In July 2015 27 trips were not logged for approximately a two-hour period.
- In August 2015 728 and 566 trips provided for two sequential days were significantly lower than the daily average of 1,264 trips in the month.

These outages resulted in Lyft underreporting 1,321 trips, resulting in \$5,086 owed to the Airport in trip fees.

Recommendations

The Airport Commission should:

1. Collect the underpayment of \$30,042 in trip fees owed by Lyft for unreported trips.
2. Work with Lyft to address the causes of the system outages and ensure that all trips that should be reported and paid under the current contract are included in the monthly reports provided to the Airport.

Finding 2

Lyft complied with all tested Airport requirements for TNC drivers and vehicles operating at the Airport.

Lyft complied with all tested transportation requirements in its Airport permit. Based on documentation for a sample of 50 drivers, Lyft ensured that its drivers and their vehicles complied with the requirements for valid identification and insurance documentation. The sample also indicates that Lyft complied with the permit's driver training requirements.

Exhibit 4 summarizes some of the permit's transportation requirements, with which Lyft complied.¹¹

¹¹ These requirements are consistent with the CPUC's requirements for TNCs.

Office of the Controller, City Services Auditor

**TNC Operating Permit Audit – Lyft, Inc., Complied With All Tested Transportation Requirements
but Underpaid the Airport \$30,042 in Trip Fees During October 2014 Through September 2015**

EXHIBIT 4 Lyft Complied With All Tested Airport Transportation Requirements*		
Category	Transportation Requirement	Complied
TNC Driver and Vehicle Certification	<ul style="list-style-type: none"> Valid California driver's license Valid personal automobile insurance meeting California minimum requirements California Department of Motor Vehicles record check and criminal history check 19-point vehicle inspection 	✓
TNC Driver Identification	<ul style="list-style-type: none"> Establish a unique identifier for each driver for reporting purposes 	✓
Tracking TNC Vehicles on Airport Roadways	<ul style="list-style-type: none"> Develop and use a vehicle-tracking protocol based on geofence established by Airport Provide trip details including date/time, location, and driver-based unique identifier and vehicle license plate number upon: <ul style="list-style-type: none"> Entry into and exit from geofence Passenger drop-off and pick-up (on Airport property) 	✓
TNC Driver Training	<ul style="list-style-type: none"> Ensure that drivers use designated areas approved for passenger drop-off and pick-up and comply with permit and Airport's Rules and Regulations Provide Airport training to all drivers before they operate on Airport roadways 	✓
TNC Notice to Driver	<ul style="list-style-type: none"> Promptly notify drivers of any current and changed conditions Promptly notify driver and Airport in writing if driver has not complied with permit's conditions 	✓

Note:

*CSA did not test transportation requirements regarding the trade dress and removable airport permit identifier, suspension of pick-up privileges, or whether or not TNC drivers possess electronic waybills.

Source: Lyft's Airport permit and auditor's analysis.

APPENDIX A: DEPARTMENT RESPONSE



San Francisco International Airport

September 30, 2016

Ms. Tonia Lediju
Director of City Audits
City Hall, Room 476
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

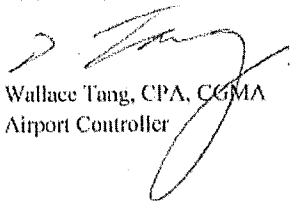
Subject: Transportation Network Company Operating Permit Audit – Lyft, Inc.

Dear Ms. Lediju:

We have received and reviewed the final draft audit report regarding the Transportation Network Company operating permit for Lyft. This letter is to confirm that, based upon the details provided, we agree with the audit results. The Airport's completed Recommendation and Response form is attached.

If you have any questions, please feel free to contact me at (650) 821-2850.

Very truly yours,


Wallace Tang, CPA, CGMA
Airport Controller

cc: Ivar C. Satero
Jeff Littlefield
Leo Fermin
Eva Cheong
Mamadou Gning – CSA

Attachment

AIRPORT COMMISSION CITY AND COUNTY OF SAN FRANCISCO

EDWIN M. LEE
MAYOR

LARRY MAZZOLA
PRESIDENT

LINDA S. CRAYTON
VICE PRESIDENT

ELEANOR JOHNS

RICHARD J. GUGGENHIME

PETER A. STERN

IVAR C. SATERO
AIRPORT DIRECTOR

Post Office Box 8097 San Francisco, California 94128 Tel 650.821.5000 Fax 650.821.5005 www.flysfo.com

Office of the Controller, City Services Auditor
**TNC Operating Permit Audit – Lyft, Inc., Complied With All Tested Transportation Requirements
but Underpaid the Airport \$30,042 in Trip Fees During October 2014 Through September 2015**

For each recommendation, the responsible agency should indicate whether it concurs, does not concur, or partially concurs. If it concurs with the recommendation, it should indicate the expected implementation date and implementation plan. If the responsible agency does not concur or partially concurs, it should provide an explanation and an alternate plan of action to address the identified issue.

RECOMMENDATIONS AND RESPONSES

Recommendation	Response
The Airport Commission should:	
1. Collect the underpayment of \$30,042 in trip fees owed by Lyft for unreported trips.	<input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur Upon receipt of the final audit report, the Airport will invoice Lyft for the unreported trips.
2. Work with Lyft to address the causes of the system outages and ensure that all trips that should be reported and paid under the current contract are included in the monthly reports provided to the Airport.	<input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur Upon receipt of the final audit report, the Airport will issue a letter to Lyft requesting information regarding the causes of the system outages, identification of subsequent outages that affected trip reporting, and resubmission of monthly trip reports and additional trip fees, if warranted.

APPENDIX B: CONTRACTOR RESPONSE



Lyft, Inc.
185 Berry Street
San Francisco, CA 94107

To: Tonia Lediju
Director of City Audits
City Hall, Room 476
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Cc: Mamadou Gning
Audit Manager

Dear Mamadou,

Lyft, Inc. ("Lyft") respectfully objects to the inclusion of its total trips and trip fees, which Lyft considers confidential and proprietary information. Otherwise, Lyft has no other objections to the final audit report.

Sincerely,

Ian Poirier

Ian Poirier
Regulatory Compliance Manager
Lyft, Inc.

City and County of San Francisco

Office of the Controller – City Services Auditor

AIRPORT COMMISSION:

**Transportation Network Company
Operating Permit Audit – Tickengo,
Inc., dba Wingz, Complied With
Most Transportation Requirements
but Underpaid \$1,417 in Trip Fees**



November 3, 2016

**OFFICE OF THE CONTROLLER
CITY SERVICES AUDITOR**

The City Services Auditor Division (CSA) was created in the Office of the Controller through an amendment to the Charter of the City and County of San Francisco (City) that was approved by voters in November 2003. Charter Appendix F grants CSA broad authority to:

- Report on the level and effectiveness of San Francisco's public services and benchmark the City to other public agencies and jurisdictions.
- Conduct financial and performance audits of city departments, contractors, and functions to assess efficiency and effectiveness of processes and services.
- Operate a whistleblower hotline and website and investigate reports of waste, fraud, and abuse of city resources.
- Ensure the financial integrity and improve the overall performance and efficiency of city government.

CSA may conduct financial audits, attestation engagements, and performance audits. Financial audits address the financial integrity of both city departments and contractors and provide reasonable assurance about whether financial statements are presented fairly in all material aspects in conformity with generally accepted accounting principles. Attestation engagements examine, review, or perform procedures on a broad range of subjects such as internal controls; compliance with requirements of specified laws, regulations, rules, contracts, or grants; and the reliability of performance measures. Performance audits focus primarily on assessment of city services and processes, providing recommendations to improve department operations.

CSA conducts its audits in accordance with the Government Auditing Standards published by the U.S. Government Accountability Office. These standards require:

- Independence of audit staff and the audit organization.
- Objectivity of the auditors performing the work.
- Competent staff, including continuing professional education.
- Quality control procedures to provide reasonable assurance of compliance with the auditing standards.

For questions about the report, please contact Director of City Audits Tonia Lediju at Tonia.Lediju@sfgov.org or 415-554-5393 or CSA at 415-554-7469.

Audit Team: Mamadou Gning, Lead Audit Manager
Amanda Sobrepeña, Auditor-in-Charge
Calvin Quock, Staff Auditor
Elaine Wong, Staff Auditor



CITY AND COUNTY OF SAN FRANCISCO
OFFICE OF THE CONTROLLER

Ben Rosenfield
Controller

Todd Rydstrom
Deputy Controller

November 3, 2016

Airport Commission
San Francisco International Airport
P.O. Box 8097
San Francisco, CA 94128-8097

Mr. Ivar Satero, Airport Director
San Francisco International Airport
P.O. Box 8097
San Francisco, CA 94128-8097

Dear Commission President, Commissioners, and Mr. Satero:

The Office of the Controller's City Services Auditor Division (CSA) presents its report of the audit to determine whether Tickengo, Inc., dba Wingz, (Wingz) complied with the reporting, payment, and other selected provisions of its Transportation Network Company (TNC) Commercial Ground Transportation Non-Exclusive Operating Permit (permit) from the Airport Commission (Airport).

The audit found that Wingz complied with reporting and payment requirements in its Airport permit during April through September 2015 but did not comply with such provisions during the unpermitted operations period, and consequently underpaid the Airport \$1,417 in trip fees. Wingz also complied with all tested transportation requirements for 32 TNC drivers sampled. However, the audit found that Wingz did not comply with vehicle inspection and background check requirements for its commercially licensed drivers.

The report includes two recommendations for the Airport to address. The responses of the Airport and Wingz are attached to this report.

CSA appreciates the assistance and cooperation of Airport and Wingz staff during the audit. For questions about the report, please contact me at Tonia.Lediju@sfgov.org or 415-554-5393 or CSA at 415-554-7469.

Respectfully,

Tonia Lediju
Director of City Audits

cc: Board of Supervisors
Budget Analyst
Citizens Audit Review Board
City Attorney
Civil Grand Jury
Mayor
Public Library

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INTRODUCTION

Audit Authority

This audit was conducted under the authority of the Charter of the City and County of San Francisco (City), Section 3.105 and Appendix F, which requires that the City Services Auditor Division (CSA) of the Office of the Controller (Controller) conduct periodic, comprehensive financial and performance audits of city departments, services, and activities. The audit was conducted pursuant to an audit plan agreed to by the Controller and the Airport Commission (Airport) and in accordance with the audit and inspection of records provision stipulated in the Transportation Network Company Commercial Ground Transportation Non-Exclusive Operating Permit (permit) held by Tickengo, Inc., doing business as (dba) Wingz, (Wingz).

Background

*San Francisco
International Airport*

The Airport Commission¹ operates San Francisco International Airport (SFO), the Bay Area's largest airport, offering flights to more than 36 international destinations and to 79 cities in the U.S., and serving more than 50 million domestic and international passengers annually.

The Airport's operating budget for fiscal year 2015-16 was \$963.6 million, \$23.4 million (2.5 percent) greater than its fiscal year 2014-15 budget of \$940.2 million.

*The transportation
network companies*

Transportation Network Companies (TNCs) use a new transportation business model that provides prearranged transportation services for compensation. These companies connect riders and drivers through an online-based application (app) or platform (smartphone technology app). Wingz specifically provides pre-booked rides to and from the airport. The smartphone app allows riders to set the date, pick-up time, address, and airport. Riders get notified when the request is accepted and they are provided with a flat fee for the trip.

¹A five-member Commission, appointed by the mayor, which establishes policies by which the Airport operates. The airport director is the chief executive officer of the Airport and is responsible for the Airport's day-to-day operations.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit -- Tickengo, Inc., Complied With Most Transportation
Requirements but Underpaid \$1,417 in Trip Fees

The California Public Utilities Commission has adopted rules and regulations for transportation network companies.

In September 2013 the California Public Utilities Commission (CPUC) established the category of TNCs for this emerging transportation model.² The CPUC defines a TNC as:

[A]n organization, whether a corporation, partnership, sole proprietor, or other form, operating in California, that provides prearranged transportation services for compensation using an online-enabled application or platform (such as smart phone apps) to connect passengers with drivers using their personal vehicles.³

The CPUC asserted jurisdiction over TNCs by classifying them as charter-party carriers, or transportation providers that provide pre-arranged services for a fee and are subject to regulation by the CPUC. Further, the CPUC adopted rules and regulations for TNCs to ensure that public safety is not compromised by the operators of this new transportation business model. One of the requirements set forth by the CPUC states that:

TNCs shall not conduct any operations on the property of or into any airport unless such operations are authorized by the airport authority involved.⁴

Wingz's Permit

Wingz's permit allows it to provide charter party ground transportation passenger service to airline passengers whose flights are departing or arriving at SFO.

In accordance with CPUC requirements for operation, the Airport requires that Wingz comply with transportation requirements set forth in the permit related to its drivers and the vehicles used to provide TNC services.

Trips are based on a geofence surrounding the Airport.

The permit requires that Wingz develop a vehicle tracking protocol to track vehicle trips based on a geofence established by and surrounding the Airport.⁵

Exhibit 1 shows the geofence established by the Airport.

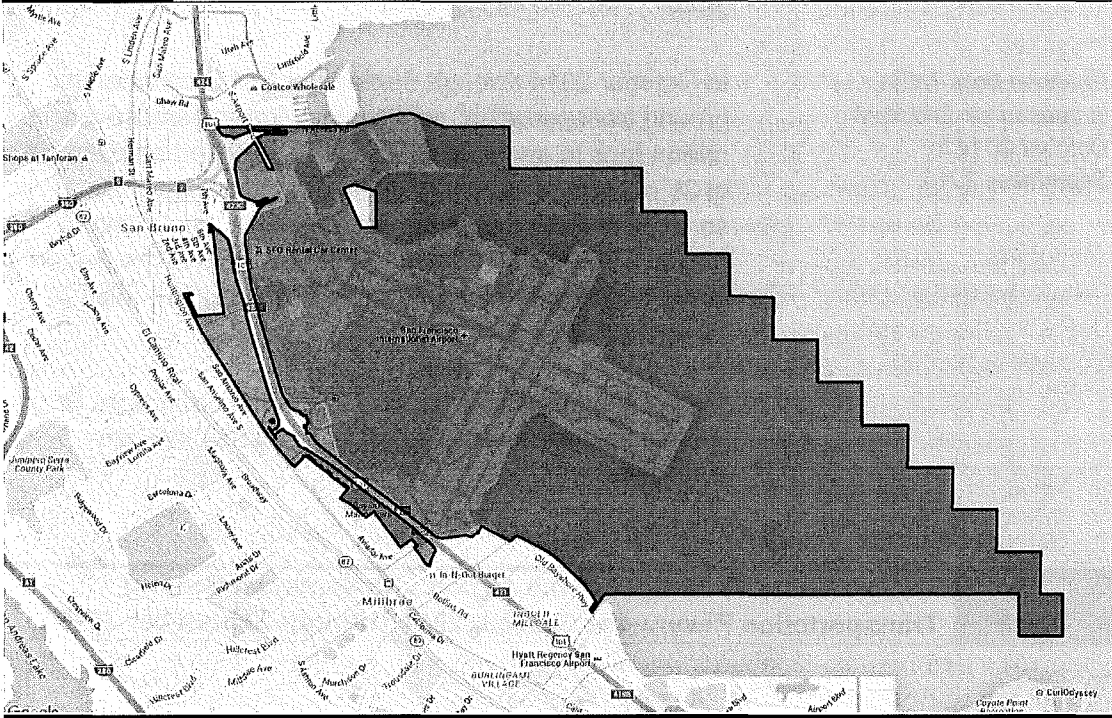
² The CPUC regulates for-hire passenger carriers that operate in California.

³ This new classification of passenger carrier was established through CPUC Decision 13-09-045.

⁴ Safety and regulatory requirements for TNCs are included in CPUC Decision 13-09-045.

⁵ The geofence consists of one or more polygons whose points are geographic coordinates defined by the Airport on city-owned property.

EXHIBIT 1 TNCs Must Pay the Airport for Trips That Occur Within the Airport's Geofence



Source: Airport

*Permit fees, reporting,
and recordkeeping
requirements*

The permit requires Wingz to pay the Airport a monthly permit fee⁶ based on a per-trip⁷ fee of \$3.85 for each drop-off and pick-up on Airport property that occurs within the geofence.

The permit also requires Wingz to either pay the Airport during the unpermitted operations period⁸ a permit activation fee of \$100,000 or an amount representing actual unpaid per-trip fees during the unpermitted operations period.

⁶ The monthly permit fee is the product of the number of trips conducted by the permittee's TNC vehicles in one month and the per-trip fee in effect.

⁷ A trip is defined as each instance in which one of the permittee's vehicles drops off or picks up a passenger on Airport property. So if a TNC vehicle drops off a customer and then picks up another customer without leaving Airport property, this would constitute two trips.

⁸ The unpermitted operations period began on April 15, 2014, when the Airport made the pilot permit available. According to the Airport, the initial permit prohibited passenger pick-ups at SFO but because the TNCs wanted both drop-off and pick-up privileges, no TNC signed the initial pilot permit. Nevertheless, TNCs continued to operate at SFO without permits throughout the summer of 2014. Ultimately, the Airport amended the permit to include drop-offs and pick-ups, and the first TNC permit was issued in October 2014.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit -- Tickengo, Inc., Complied With Most Transportation
Requirements but Underpaid \$1,417 in Trip Fees

The new permit with the Airport will expire in 2018.

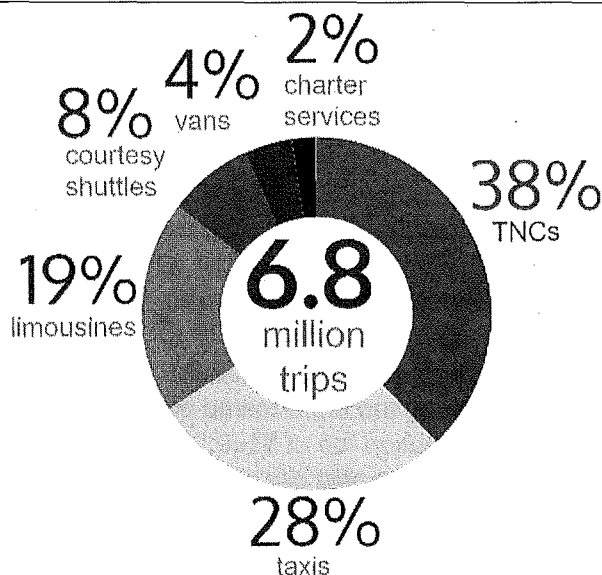
The pilot permit expired in February 2016. Wingz now operates under a permit that is set to expire in June 2018.

Revenue from TNCs increased six-fold from October 2014 to September 2015.

In October 2014 through September 2015, commercial ground transportation⁹ services provided nearly seven million trips to and from SFO. TNCs provided an average of 38 percent of the commercial ground transportation services at SFO during the same period, amounting to almost \$10 million in reported trip fees paid to the Airport and generating from \$390,000 in October 2014 to \$1.2 million in September 2015 for the Airport.

Exhibit 2 summarizes the revenue from commercial ground transportation during October 2014 through September 2015.

EXHIBIT 2 TNCs Yielded 38 Percent of the Airport's Commercial Ground Transportation Revenue in October 2014 Through September 2015^a



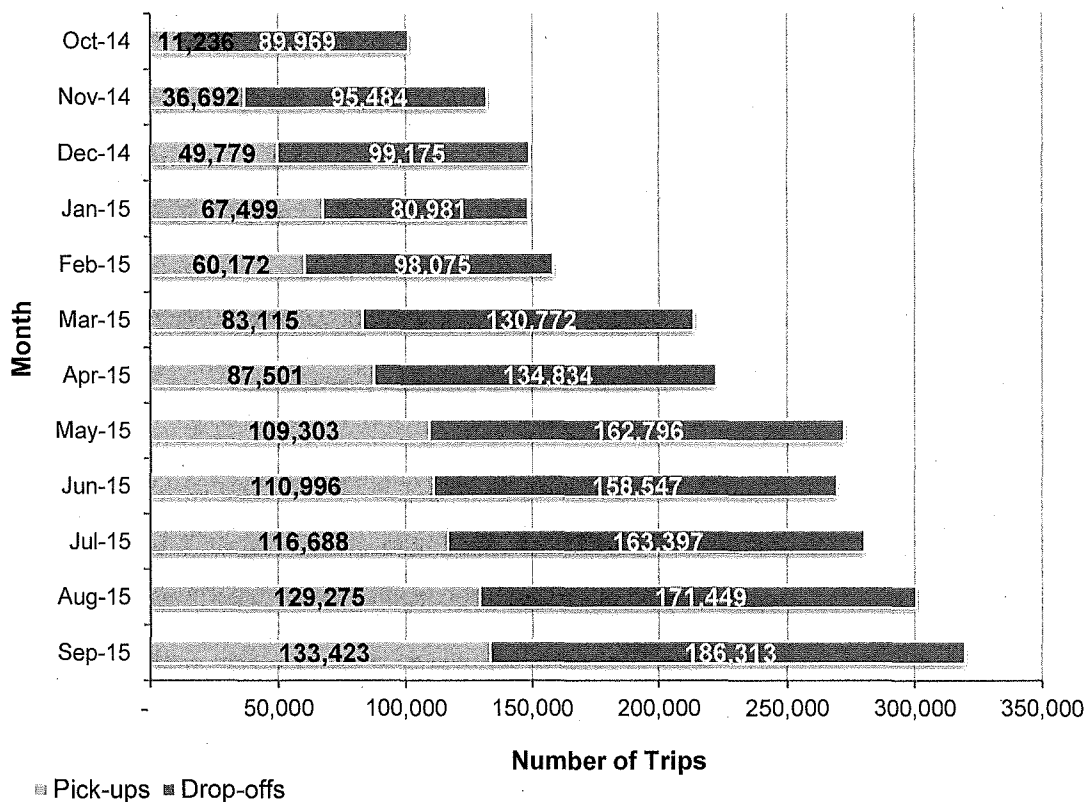
^a Method of trip calculation varies by mode of transportation: Taxi = pickups only, TNC = pickups and drop-offs, Others = loop fee.

Source: Auditor's analysis of Airport data.

⁹ Commercial ground transportation includes services by charter, courtesy shuttle, limousine, van, taxi, and TNC.

Exhibit 3 summarizes TNC pick-up and drop-off trips during October 2014 through September 2015.

EXHIBIT 3 The Number of TNC Trips Provided at SFO Tripled During
October 2014 Through September 2015



Source: Auditor's analysis of Airport data.

Wingz trips

For April through September 2015,¹⁰ Wingz reported 8,562 trips (drop-offs and pick-ups) provided, for which it paid the Airport \$32,964 in trip fees. Wingz also paid the Airport \$49,322 in actual unpaid per-trip fees to operate during the unpermitted operations period.

¹⁰ Wingz's permit became effective in April 2015.

**Objective, Scope, and
Methodology**

The purpose of this audit was to determine whether Wingz complied with the reporting and payment provisions of its permit with the Airport.

Specifically, the audit's objectives were to determine whether:

- The revenues and related permit fees that Wingz reported and paid to the Airport are supported by underlying accounting and trip records.
- Wingz complied with certain permit terms and conditions, including that its drivers possess a valid California driver license and insurance, pass a California Department of Motor Vehicles record check and criminal history check, undergo Airport-specific TNC driver training, and that the vehicles used pass a 19-point inspection.

The audit covered April through September 2015.

Scope Limitations

As of June 2016, the CPUC had yet to release a decision on the meaning of the phrase "personal vehicle" as it relates to TNCs. Specifically, the CPUC must decide whether the phrase requires that the driver own the vehicle used to provide TNC services or, instead, allows a driver who leases or rents a vehicle to provide such services.¹¹ Without this decision from the CPUC, CSA did not test compliance with the permit requirement that each driver own the vehicle used.

CSA obtained an understanding of the permittee's internal control environment and IT general controls pertaining to revenue reporting to the Airport to plan the audit and to determine the nature, timing, and extent of tests to be performed. However, due to Wingz's lack of documented information technology (IT) policies and procedures during the audit period, CSA could not test the permittee's IT controls and instead performed substantive tests to assess the data reliability of the trips reported to and from SFO and verify the accuracy and completeness of the number of trips Wingz reported.

¹¹ The Airport and San Francisco Municipal Transportation Agency consider the term "personal vehicle" to be ambiguous because it does not adequately address the many ways that vehicles are being marketed for use by TNC drivers.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit -- Tickengo, Inc., Complied With Most Transportation
Requirements but Underpaid \$1,417 in Trip Fees

Methodology

To conduct this audit, CSA:

- Reviewed the applicable terms of the TNC Commercial Ground Transportation Non-Exclusive Operating Permit – Pilot Program and applicable requirements under the California Public Utilities Code.
- Interviewed key Wingz staff and conducted walkthroughs to observe and understand procedures related to:
 - The driver onboarding process.
 - Transportation requirements, such as driver and vehicle operation requirements.
 - Systems used to generate reports and track performance, compliance, and payments.
 - Trip tracking and reporting (databases and scripts used to run monthly trip reports).
 - Revenue reporting.
- Selected key permit requirements and determined, on a sample basis, whether Wingz complied with driver and vehicle requirements and documentation.
- Assessed the adequacy of Wingz's procedures for collecting, recording, summarizing, and reporting its trips and revenue due to the Airport.
- Obtained and reviewed all monthly reports Wingz submitted to the Airport.
- Compared Wingz's monthly reports to specific source documents and assessed, on a sample basis, the accuracy and completeness of the number of trips reported.
- Obtained from Wingz data on all trips for selected drivers for the audit period, independently recalculated the total trips to or from the Airport (within the geofence), and determined whether the total agrees with that used in the monthly reports.

**Statement of Auditing
Standards**

This audit was conducted in accordance with generally accepted government auditing standards. These standards require planning and performing the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for the findings and conclusions based on the audit objectives. We believe that the evidence obtained provides a reasonable basis for the findings and conclusions based on the audit objectives.

RESULTS

Summary

Wingz complied with reporting and payment requirements in its Airport permit during April through September 2015 but did not comply with such provisions during the unpermitted operations period. As a result, Wingz underpaid the Airport \$1,417 in trip fees.

Wingz also complied with all tested transportation requirements for 32 TNC drivers sampled. However, Wingz did not comply with vehicle inspection and background check requirements for commercially licensed drivers.

Finding 1

Wingz underpaid the Airport \$1,417 in trip fees for 368 trips provided during the unpermitted operations period.

Wingz did not report some trips provided during the unpermitted operations period, which was from April 2014 through March 2015, during which time TNCs were required to pay the Airport a permit activation fee of \$100,000 or an amount representing actual unpaid per-trip fees.

Wingz paid \$49,322 for trips provided during this period. However, the audit found that an additional 368 trips were provided during the period. As a result, Wingz underpaid the Airport \$1,417 in trip fees.

Recommendation

1. The Airport Commission should collect the underpayment of \$1,417 in trip fees owed by Wingz for unreported trips provided during the unpermitted operations period.

Finding 2

Wingz did not require inspections or background checks for its commercially licensed drivers.

Contrary to the Airport permit and CPUC requirements for TNC operations, Wingz did not require that applicants with commercial (non-taxi) driver licenses comply with the vehicle inspection and background check requirements before permitting drivers to operate on Airport roadways. Specifically, these commercially licensed drivers are authorized by the CPUC to operate vehicles for transportation charter-party carriers (TCPs) that provide charter services for the exclusive use of an individual or group, including limousine or sightseeing services. Similar to TNCs, TCPs provide pre-arranged transportation and operate under the authority of the CPUC.

Exhibit 4 compares what the CPUC requires for drivers and vehicles of TCPs and TNCs.

EXHIBIT 4 CPUC Driver and Vehicle Requirements for TNCs and TCPs^a		
Requirement	TNCs	TCPs
Driver License	Valid California license	Valid California commercial driver license
Background Check	Criminal history check	Not required
Insurance	Valid personal automobile insurance meeting California minimum requirements	Valid public liability and property damage insurance
State Records	California Department of Motor Vehicles (DMV) record check	Participation in DMV Employer Pull Notice Program ^b
Vehicle Inspection	19-point vehicle inspection	Inspection of vehicles seating more than ten (including the driver) ^c

Notes:

^a TCPs are also required to have commercial vehicle registration and participate in CPUC's Controlled Substances and Alcohol Testing Certification Program.

^b This program provides ongoing review of driver records to employers and regulatory agencies.

^c Effective June 27, 2016, TCPs must complete the 19-point inspection required for TNCs. In addition, TCPs and TNCs must require all vehicles to be inspected by a facility licensed by the California Bureau of Automotive Repair at the appropriate 12-month or 50,000-mile mark (per CPUC Decision 16-04-041).

Source: CPUC.

According to Wingz, it has required background checks and vehicle inspections for all its applicants with commercial driver licenses since May 2016.

Recommendation

2. The Airport Commission should require Wingz to verify that applicants with TCP licenses comply with all TNC requirements for operation, including the required background check and 19-point vehicle inspection.

Finding 3

Wingz complied with reporting requirements for trips provided during the audit period.

Wingz provided accurate, complete, and consistent monthly reports to the Airport. According to the permit, Wingz must submit to the Airport a monthly report, in an agreed-upon electronic format, with trip details such as the license plate number of each Wingz vehicle and the time of entry onto and exit from Airport property. The audit tested the accuracy and completeness of key elements of Wingz's trip source data for the permitted period,¹² and found Wingz's trip data to be sufficiently reliable and complete.

Finding 4

Wingz complied with all tested Airport requirements for TNC drivers and vehicles operating at the Airport.

Wingz complied with all tested transportation requirements in its Airport permit. Based on documentation for a sample of 32 drivers,¹³ Wingz ensured that its drivers and their vehicles complied with the requirements for valid identification and insurance documentation. The sample also indicates that Wingz complied with the permit's driver training requirements.

Exhibit 5 summarizes some of the permit's main requirements, with which Wingz complied.¹⁴

¹² To do so, CSA used a geographical information system for a sample of ten Wingz drivers for all months during the audit period, obtained a data set for all trips provided by the selected drivers, and verified that Wingz properly reported in monthly reports to the Airport the trips within the Airport geofence.

¹³ This sample excludes TNC applicants tested who are also TCP drivers.

¹⁴ These requirements are consistent with the CPUC's requirements for TNCs.

Office of the Controller, City Services Auditor
TNC Operating Permit Audit -- Tickengo, Inc., Complied With Most Transportation
Requirements but Underpaid \$1,417 in Trip Fees

EXHIBIT 5 Wingz Complied With All Tested Airport Transportation Requirements*		
Category	Transportation Requirement	Complied
TNC Driver and Vehicle Certification	<ul style="list-style-type: none"> Valid California driver's license Valid personal automobile insurance meeting California minimum requirements California Department of Motor Vehicles record check and criminal history check 19-point vehicle inspection 	✓
TNC Driver Identification	<ul style="list-style-type: none"> Establish unique identifier for each driver for reporting purposes 	✓
Tracking TNC Vehicles on Airport Roadways	<ul style="list-style-type: none"> Develop and use vehicle-tracking protocol based on Airport's geofence Provide trip details including date/time, location, and driver-based unique identifier and vehicle license plate number upon: <ul style="list-style-type: none"> Entry into and exit from geofence Passenger drop-off and pick-up (on Airport property) 	✓
TNC Driver Training	<ul style="list-style-type: none"> Ensure that drivers use designated areas approved for passenger drop-off and pick-up and comply with permit and Airport's Rules and Regulations Provide Airport training to all drivers before they operate on Airport roadways 	✓
TNC Notice to Driver	<ul style="list-style-type: none"> Promptly notify drivers of any current and changed conditions Promptly notify driver and Airport in writing if driver has not complied with permit's conditions 	✓

Note:

*CSA did not test transportation requirements regarding the trade dress and removable airport permit identifier, suspension of pick-up privileges, or whether or not TNC drivers possess electronic waybills.

Source: Wingz's Airport permit and auditor's analysis.

APPENDIX A: DEPARTMENT RESPONSE



San Francisco International Airport

September 30, 2016

Ms. Tonin Lediju
Director of City Audits
City Hall, Room 476
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

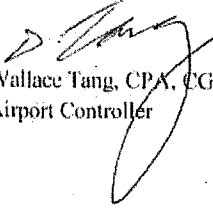
Subject: Transportation Network Company Operating Permit Audit -- Tickengo, Inc.
(dba Wingz)

Dear Ms. Lediju:

We have received and reviewed the final draft audit report regarding the Transportation Network Company operating permit for Wingz. This letter is to confirm that, based upon the details provided, we agree with the audit results. The Airport's completed Recommendation and Response form is attached.

If you have any questions, please feel free to contact me at (650) 821-2850.

Very truly yours,


Wallace Tang, CPA, CGMA
Airport Controller

cc: Ivar C. Satero
Jeff Littlefield
Leo Fermin
Eva Cheong
Mamadou Gning -- CSA

Attachment

AIRPORT COMMISSION CITY AND COUNTY OF SAN FRANCISCO

EDWIN M. LEE
MAYOR

LARRY MAZZOLA
PRESIDENT

LINDA S. CRAYTON
VICE PRESIDENT

ELEANOR JOHNS

RICHARD J. GUGGENHIME

PETER A. STERN

IVAR C. SATERO
AIRPORT DIRECTOR

Post Office Box 8097 San Francisco, California 94128 Tel 650.821.5000 Fax 650.821.5005 www.flysfo.com

Office of the Controller, City Services Auditor
TNC Operating Permit Audit -- Tickengo, Inc., dba Wingz Complied With Most Transportation
Requirements but Underpaid \$1,417 in Trip Fees

For each recommendation, the responsible agency should indicate whether it concurs, does not concur, or partially concurs. If it concurs with the recommendation, it should indicate the expected implementation date and implementation plan. If the responsible agency does not concur or partially concurs, it should provide an explanation and an alternate plan of action to address the identified issue.

RECOMMENDATIONS AND RESPONSES

Recommendation	Response
The Airport Commission should:	
1. Collect the underpayment of \$1,417 in trip fees owed by Wingz for unreported trips provided during the unpermitted operations period.	<input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur Upon receipt of the final audit report, the Airport will invoice Wingz for the unreported trips.
2. Require Wingz to verify that applicants with TCP licenses comply with all TNC requirements for operation, including the required background check and 19-point vehicle inspection.	<input checked="" type="checkbox"/> Concur <input type="checkbox"/> Do Not Concur <input type="checkbox"/> Partially Concur Upon receipt of the final audit report, the Airport will request certification from Wingz that they are in compliance with TNC requirements for all TCP drivers on their platform.

APPENDIX B: CONTRACTOR RESPONSE



September 30, 2016

Tonia Lediju
Director of City Audits
City Hall, Room 476
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Re: *Airport Commission: Transportation Network Company Operating Permit Audit
Tickengo, Inc. dba Wingz*

Dear Ms. Lediju:

Thank you for the opportunity to review the draft operating permit audit report prepared by The Office of the Controller's City Services Auditor Division. Wingz has no additions or corrections and agrees with its findings.

Kind regards,

A handwritten signature in black ink, appearing to read "Christof Baumbach", written in a cursive style.

Christof Baumbach
Co-Founder and Head of Field Operations

BOS-11
~~623~~ CPAGE

From: Fretty, Rochelle (ADM)
Sent: Friday, October 28, 2016 3:08 PM
To: Board of Supervisors, (BOS)
Cc: Asenloo, Romulus (ADM); Cummings, Lakysa (ADM)
Subject: CMD BOS FY 2015-16 COVER LETTER AND ATTACHMENTS
Attachments: CMD FY 2015-16 Cover Letter-Attachments.pdf

To the Clerk of the San Francisco Board of Supervisors:

Pursuant to Chapter 14B.15(A) of the San Francisco Administrative Code, attached please find the Local Business Enterprise ("LBE") Contracting Report for Fiscal Year 2015/16.

Should you have any questions, require any further information, please do not hesitate to contact me at this email address.

Thank you,

Rochelle Fretty, Clerk



Contract Monitoring Division (CMD)

30 Van Ness Avenue | Suite 200 | San Francisco | CA | 94102

Direct 415-581-2314 | Main 415-581-2310

Rochelle.Fretty@sfgov.org

Visit us at sfgov.org/cmd



CONTRACT MONITORING DIVISION CITY ADMINISTRATOR'S OFFICE



Edwin M. Lee, Mayor
Naomi M. Kelly, City Administrator

Romulus Asenloo,
Acting Director

October 26, 2016

San Francisco Board of Supervisors
City Hall
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco, CA 94102-4689

Dear San Francisco Board of Supervisors:

Pursuant to Chapter 14B.15(A) of the San Francisco Administrative code, please find the Local Business Enterprise ("LBE") Contracting Report for the 2015/2016 Fiscal Year. The LBE Contracting Report documents the number of firms that the Contract Monitoring Division ("CMD") has certified and the LBE contract award statistics on contracts covered by Chapter 14B for the Airport, Department of Public Works, Port, Public Utilities Commission and the Recreation and Park Department.

Thank you for your continued support of the CMD and the LBE program. Should you have any questions and/or concerns please do not hesitate to contact me at 415-581-2320.

Sincerely,

Romulus Asenloo
Contract Monitoring Division
Acting Director

LBE Certification

FY 2015/16 Q1

LBE Certified Small & Micro Firms

	FY 2015/16 Q1	%
MBE	445	38.1%
OBE	437	37.4%
WBE	286	24.5%
Total	1168	

PUC-LBE Certified Small & Micro Firms

	FY 2015/16 Q1	%
MBE	34	29.6%
OBE	72	62.6%
WBE	9	7.8%
Total	115	

Small & Micro-MBE Firms by Ethnicity (LBE and PUC-LBE)

Ethnicity	FY 2015/16 Q1	%
African-American	126	23.2%
Arab-American	14	2.6%
Asian-American*	254	46.8%
Iranian-American	23	4.2%
Latino-American	123	22.7%
Native-American	3	0.6%
Total**	543	

LBE Firms by Size (LBE and PUC-LBE)

	FY 2015/16 Q1	%
Micro LBE	1137	84.5%
Small LBE	146	10.9%
SBA LBE	62	4.6%
Total	1345	

*includes firms identifying as Asian, Asian Indian, Asian/PI, Chinese, Filipino, Japanese, Korean, Pacific Islander, Southeast Asian

**Firms with a primary identification as a Women-Owned Business (WBE) may also identify an Ethnicity that is Non-Minority.

LBE Certification

FY 2015/16 Q2

LBE Certified Small & Micro Firms

	FY 2015/16 Q2	%
MBE	445	38.2%
OBE	434	37.3%
WBE	285	24.5%
Total	1164	

PUC-LBE Certified Small & Micro Firms

	FY 2015/16 Q2	%
MBE	35	31.0%
OBE	69	61.1%
WBE	9	8.0%
Total	113	

Small & Micro-MBE Firms by Ethnicity (LBE and PUC-LBE)

Ethnicity	FY 2015/16 Q2	%
African-American	128	23.5%
Arab-American	15	2.8%
Asian-American*	252	46.3%
Iranian-American	21	3.9%
Latino-American	125	23.0%
Native-American	3	0.6%
Total**	544	

LBE Firms by Size (LBE and PUC-LBE)

	FY 2015/16 Q2	%
Micro LBE	1182	84.7%
Small LBE	152	10.9%
SBA LBE	62	4.4%
Total	1396	

LBE Certification

FY 2015/16 Q3

LBE Certified Small & Micro Firms

	FY 2015/16 Q3	%
MBE	425	37.6%
OBE	425	37.6%
WBE	280	24.8%
Total	1130	

PUC-LBE Certified Small & Micro Firms

	FY 2015/16 Q3	%
MBE	34	28.8%
OBE	74	62.7%
WBE	10	8.5%
Total	118	

Small & Micro MBE Firms by Ethnicity (LBE and PUC-LBE)

Ethnicity	FY 2015/16 Q3	%
African-American	125	23.9%
Arab-American	13	2.5%
Asian-American*	240	45.8%
Iranian-American	19	3.6%
Latino-American	124	23.7%
Native-American	3	0.6%
Total**	524	

LBE Firms by Size (LBE and PUC-LBE)

	FY 2015/16 Q3	%
Micro LBE	1092	83.4%
Small LBE	156	11.9%
SBA LBE	62	4.7%
Total	1310	

LBE Certification

FY 2015/16 Q4

LBE Certified Small & Micro Firms

	FY 2015/16 Q4	%
MBE	416	37.4%
OBE	417	37.5%
WBE	278	25.0%
Total	1111	

PUC-LBE Certified Small & Micro Firms

	FY 2015/16 Q4	%
MBE	36	31.0%
OBE	72	62.1%
WBE	8	6.9%
Total	116	

Small & Micro MBE Firms by Ethnicity (LBE and PUC-LBE)

Ethnicity	FY 2015/16 Q4	%
African-American	119	23.1%
Arab-American	13	2.5%
Asian-American*	236	45.8%
Iranian-American	18	3.5%
Latino-American	125	24.3%
Native-American	4	0.8%
Total**	515	

LBE Firms by Size (LBE and PUC-LBE)

	FY 2015/16 Q4	%
Micro LBE	1107	83.2%
Small LBE	160	12.0%
SBA LBE	63	4.7%
Total	1330	

*Includes firms identifying as Asian, Asian Indian, Asian/Pi, Chinese, Filipino, Japanese, Korean, Pacific Islander, Southeast Asian

**Firms with a primary identification as a Women-Owned Business (WBE) may also identify an Ethnicity that is Non-Minority.

Page 1 of 2

Airport	1309.51	As Needed Environmental Consulting Services Contract	Noyes & Moore	Env-ERC	13%	22R	\$	500,000.00	\$	83,923.50	\$	379,076.50	7/1/2014	Raymond Fong	Professional Services	FY 14-15 Q1
Airport	1309.59	As Needed Environmental Consulting Services Contract	Palmer & Kish	Env-ERC	13%	13R	\$	250,000.00	\$	38,943.12	\$	211,056.88	7/1/2014	Raymond Fong	Professional Services	FY 14-15 Q1
Airport	1310	As Needed Environmental Remediation Services	Ashley Management Group of California Inc.	Env-ERC	13%	0%	\$	1,216,977.50	\$		\$		7/1/2014	Raymond Fong	Professional Services	FY 14-15 Q1
Airport	1309.62	Project Management Support Services for South Field Tenant Operations	PM&S Consultants LLC	Env-ERC	14%	21R	\$	775,675.00	\$	763,380.57	\$	1,539,055.57	7/27/2014	Raymond Fong	Professional Services	FY 14-15 Q1
Airport	1309.64	PM&S for the House No. 3 and South Field Checkpoint Relocation	Parsons Brinckerhoff/PM&S JV	Env-ERC	20%	11R	\$	1,200,000.00	\$	813,185.63	\$	3,867,814.37	8/5/2014	Michael Wasson	Professional Services	FY 14-15 Q4
Airport	1309.65	PM&S for T1 Bridge and Pedestrian Improvements (ongoing)	AECOM/PM&S JV	Env-ERC	25%	4%	\$	2,015,000.00	\$	727,484.20	\$	2,792,484.20	8/27/2014	Udo Chiu	Professional Services	FY 14-15 Q4
Airport	1310	International Terminal Capital Improvements (ITCIP)	Golden State Airport Service	Env-ERC	5%	0%	\$	3,365,333.00	\$	355,676.50	\$		4/1/2014	Kelly Dwyer	Construction	FY 13-14 Q4
Airport	1309.61	PM&S for T1 Security Access Office	CounterEdge CM	Env-ERC	19%	30%	\$	728,575.00	\$	207,464.11	\$	632,431.49	5/26/2014	Michael Wasson	Construction	FY 13-14 Q4
Airport	1309.61	PM&S for Temporary Building Area "B"	Hub International	Env-ERC	19%	20%	\$	4,000,000.00	\$	1,266,178.51	\$	5,033,618.51	5/6/2014	Raymond Fong	Professional Services	FY 13-14 Q4
Airport	6319	Security Terminal Inner Level Corridor Lighting (Closed)	ST Construction Inc.	Env-ERC	13%	0%	\$	877,800.00	\$	451,668.47	\$	553,668.47	4/27/2014	Udo Chiu	Construction	FY 13-14 Q4
Airport	1309.61	As Needed PM Support Services for Capital Improvement Plan Projects	ST/Alfa Engineering	Env-ERC	24%	11R	\$	1,500,000.00	\$	568,637.04	\$	1,500,000.00	4/27/2014	Michael Wasson	Construction	FY 13-14 Q4
Airport	1309.61	As Needed PM Support Services for Capital Improvement Plan Projects	Hub International	Env-ERC	14%	20%	\$	1,200,000.00	\$	349,619.80	\$	1,284,100.80	4/27/2014	Michael Wasson	Construction	FY 13-14 Q4
Airport	6319A	Boarding Area to A390 Improvements, Phase A (Closed)	Golden Gate Construction	Env-ERC	12%	0%	\$	2,444,770.00	\$		\$	2,338,844.61	9/4/2014	Kelly Dwyer	Construction	FY 13-14 Q3
Airport	1311A	Boarding Area Transportation and Taxi Management System (Closed)	Stevens DNA Firm Construction	Env-ERC	17%	10R	\$	2,715,177.00	\$	8,664,915.80	\$	8,977,105.51	2/16/2014	Michael Wasson	Construction	FY 13-14 Q3
Airport	1312A	Consolidated Administration Campus Support Services	PM&S	Env-ERC	25%	13R	\$	1,500,000.00	\$	477,938.11	\$	1,543,300.40	7/29/2014	Raymond Fong	Professional Services	FY 13-14 Q3
Airport	6319	Bay Area Airport Boarding Area Survey (Closed)	Survey, Estimation & Global	Env-ERC	10%	100%	\$	211,000.00	\$	242,232.00	\$	243,232.00	12/1/2013	Udo Chiu	Professional Services	FY 13-14 Q3
Airport	1315	Boarding Area & Checkpoint Construction (Closed)	HRCO Construction	Env-ERC	18%	18R	\$	865,544.00	\$	494,770.74	\$	950,134.00	1/26/2013	Kelly Dwyer	Construction	FY 13-14 Q3
Airport	6319	As Needed Airport Personnel & Package Inspection	Paul Smith	Env-ERC	14%	13R	\$	2,388,768.00	\$	2,178,181.51	\$	1,127,700.00	1/27/2013	Raymond Fong	Construction	FY 13-14 Q3
Airport	6319	Check-in and roadside sign placement, repainting, repair (Closed)	Paul Smith	Env-ERC	20%	0%	\$	227,000.00	\$		\$	247,564.00	12/2/2013	Kelly Dwyer	Construction	FY 13-14 Q3
Airport	1316A	Boarding Area "A" A390 Boarding System Infrastructure Improvements (Closed)	Schmidt Construction	Env-ERC	18%	100%	\$	4,714,945.00	\$	6,553,346.33	\$	6,553,346.33	4/14/13	Kelly Dwyer	Construction	FY 13-14 Q1

Airport
FY 15-16 Q1

FY 15-16 Q1 Awarded Contracts		
Total Number of Contracts	6	
Professional Services	5	83%
Construction	1	17%
LBE Primes*	3	50%
Non-LBE Primes*	6	100%
MBE Primes	2	33%
OBE Prime	0	0%
WBE Prime	1	17%
SBA LBE Prime	0	0%

FY 15-16 Q1 Awards		
Amount Awarded	\$ 15,906,227	
Awarded to Non-LBE Primes	\$ 6,415,387	40%
Awarded to Non-LBE Subs	\$ 2,818,107	18%
Awarded to LBEs Primes	\$ 1,517,051	10%
Awarded to LBE Subs	\$ 5,155,682	32%
Awarded to MBE Primes	\$ 1,267,051	8%
Awarded to MBE Subs	\$ 922,269	6%
Awarded to OBE Primes	\$ -	0%
Awarded to OBE Subs	\$ 1,773,070	11%
Awarded to WBE Primes	\$ 250,000	2%
Awarded to WBE Subs	\$ 1,113,395	7%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ 1,346,947	8%

July 1, 2013-September 30, 2015 Payments**			Change from Q4 2014/15
Total Paid	\$ 40,601,125		\$ 40,713,891
Paid to Non-LBEs Primes	\$ 11,655,831	29%	\$ 21,445,509
Paid to Non-LBE Subs	\$ 6,920,021	17%	\$ 5,639,753
Paid to LBEs Primes	\$ 16,460,589	41%	\$ 8,943,887
Paid to LBE Subs	\$ 5,564,684	14%	\$ 4,684,742
Paid to MBE Primes	\$ 1,818,366	4%	\$ 2,498,740
Paid to MBE Subs	\$ 2,665,936	7%	\$ 1,191,926
Paid to OBE Primes	\$ 7,450,994	18%	\$ 1,019,969
Paid to OBE Subs	\$ 2,522,472	6%	\$ 2,549,675
Paid to WBE Primes	\$ 7,191,229	18%	\$ 5,425,178
Paid to WBE Subs	\$ 376,275	1%	\$ 943,141
Paid to SBA-LBE Primes	\$ -	0%	\$ -
Paid to SBA-LBE Subs	\$ -	0%	\$ -

FY 15-16 Q1 Micro Set Aside Contracts	
Total Eligible	0
Total Awarded	0

*Includes joint venture Non-LBE/LBE partnerships

**Based on information from Elation System, October 2015

Airport
FY 15-16 Q2

FY 15-16 Q2 Awarded Contracts		
Total Number of Contracts	2	
Professional Services	2	100%
Construction	0	0%
LBE Primes	1	50%
Non-LBE Primes	1	50%
MBE Primes	1	50%
OBE Prime	0	0%
WBE Prime	0	0%
SBA LBE Prime	0	0%

FY 15-16 Q2 Awards		
Amount Awarded	\$ 1,160,000	
Awarded to Non-LBE Primes	\$ 388,600	34%
Awarded to Non-LBE Subs	\$ 214,600	19%
Awarded to LBEs Primes	\$ 336,400	29%
Awarded to LBE Subs	\$ 220,400	19%
Awarded to MBE Primes	\$ 336,400	29%
Awarded to MBE Subs	\$ 185,600	16%
Awarded to OBE Primes	\$ -	0%
Awarded to OBE Subs	\$ -	0%
Awarded to WBE Primes	\$ -	0%
Awarded to WBE Subs	\$ 34,800	3%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 1, 2013-December 31, 2015 Payments*			Change from Q1 2015/16
Total Paid	\$ 73,070,284		\$ 32,356,393
Paid to Non-LBEs Primes	\$ 30,646,236	44%	\$ 9,200,727
Paid to Non-LBE Subs	\$ 11,183,164	18%	\$ 5,543,412
Paid to LBEs Primes	\$ 22,442,483	22%	\$ 13,498,596
Paid to LBE Subs	\$ 8,798,401	16%	\$ 4,113,659
Paid to MBE Primes	\$ 3,129,078	3%	\$ 630,338
Paid to MBE Subs	\$ 3,844,107	7%	\$ 2,652,181
Paid to OBE Primes	\$ 7,871,550	7%	\$ 6,851,581
Paid to OBE Subs	\$ 3,262,384	5%	\$ 712,709
Paid to WBE Primes	\$ 11,441,855	12%	\$ 6,016,677
Paid to WBE Subs	\$ 1,691,910	3%	\$ 748,769
Paid to SBA-LBE Primes	\$ -	0%	\$ -
Paid to SBA-LBE Subs	\$ -	0%	\$ -

FY 15-16 Q2 Micro Set Aside Contracts	
Total Eligible	0
Total Awarded	0

*paid to-date for formal contracts advertised after 7/1/2013
(LBEUTS start date) with an LBE subcontracting requirement

Airport
FY 15-16 Q3

FY 15-16 Q3 Awarded Contracts		
Total Number of Contracts	10	
Professional Services	6	50%
Construction, D/B, CMGC	4	50%
LBE Primes*	4	25%
Non-LBE Primes*	8	100%
MBE Primes	1	0%
OBE Prime	3	25%
WBE Prime	0	0%
SBA LBE Prime	0	0%

FY 15-16 Q3 Awards		
Amount Awarded:	\$ 112,122,007	
Awarded to Non-LBE Primes	\$ 63,755,949	57%
Awarded to Non-LBE Subs	\$ 25,573,548	23%
Awarded to LBEs Primes	\$ 1,854,758	2%
Awarded to LBE Subs	\$ 20,937,752	19%
Awarded to MBE Primes	\$ 43,758	0%
Awarded to MBE Subs	\$ 10,902,070	10%
Awarded to OBE Primes	\$ 1,811,000	2%
Awarded to OBE Subs	\$ 6,883,008	6%
Awarded to WBE Primes	\$ -	0%
Awarded to WBE Subs	\$ 3,152,674	3%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 1, 2014-March 31, 2016 Payments**			Change from Q2 2015/16
Total Paid	\$ 119,953,366		\$ 46,883,082
Paid to Non-LBEs Primes	\$ 53,680,222	44%	\$ 23,033,986
Paid to Non-LBE Subs	\$ 22,256,331	18%	\$ 11,073,167
Paid to LBEs Primes	\$ 25,612,188	22%	\$ 3,169,705
Paid to LBE Subs	\$ 18,404,626	16%	\$ 9,606,225
Paid to MBE Primes	\$ 3,415,210	3%	\$ 286,131
Paid to MBE Subs	\$ 8,609,093	7%	\$ 4,764,986
Paid to OBE Primes	\$ 8,033,584	7%	\$ 162,034
Paid to OBE Subs	\$ 5,930,062	5%	\$ 2,667,679
Paid to WBE Primes	\$ 14,163,394	12%	\$ 2,721,539
Paid to WBE Subs	\$ 3,599,425	3%	\$ 1,907,515
Paid to SBA Primes	\$ -	0%	\$ -
Paid to SBA Subs	\$ 266,045	0%	\$ -

FY 15-16 Q3 Micro Set Aside Contracts	
Total Eligible	2
Total Awarded	2

*Includes Joint Venture Non-LBE/LBE partnerships

**paid to-date for formal contracts advertised after 7/1/2013
(LBEUTS start date) with an LBE subcontracting requirement

Airport
FY 15-16 Q4

FY 15-16 Q4 Awarded Contracts		
Total Number of Contracts	5	
Professional Services	0	0%
Construction*	5	100%
LBE Primes	3	60%
Non-LBE Primes	2	40%
MBE Primes	1	20%
OBE Prime	2	40%
WBE Prime	0	0%
SBA LBE Prime	0	0%

FY 15-16 Q4 Awards		
Amount Awarded	\$ 57,738,683	
Awarded to Non-LBE Primes	\$ -	0%
Awarded to Non-LBE Subs	\$ 36,405,756	63%
Awarded to LBEs Primes	\$ 5,517,291	10%
Awarded to LBE Subs	\$ 15,815,636	27%
Awarded to MBE Primes	\$ 925,291	2%
Awarded to MBE Subs	\$ 8,721,526	15%
Awarded to OBE Primes	\$ 4,592,000	8%
Awarded to OBE Subs	\$ 5,558,435	10%
Awarded to WBE Primes	\$ -	0%
Awarded to WBE Subs	\$ 1,535,675	3%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 1, 2013-June 30, 2016 Payments**			Change from Q3 2015/16
Total Paid	\$ 161,976,231		\$ 42,022,865
Paid to Non-LBEs Primes	\$ 57,237,842	35%	\$ 3,557,620
Paid to Non-LBE Subs	\$ 50,096,150	31%	\$ 27,839,820
Paid to LBEs Primes	\$ 29,002,312	18%	\$ 3,390,124
Paid to LBE Subs	\$ 25,639,928	16%	\$ 7,235,302
Paid to MBE Primes	\$ 3,866,642	2%	\$ 451,432
Paid to MBE Subs	\$ 12,401,206	8%	\$ 3,792,112
Paid to OBE Primes	\$ 8,348,416	5%	\$ 314,832
Paid to OBE Subs	\$ 8,525,360	5%	\$ 2,595,297
Paid to WBE Primes	\$ 16,787,254	10%	\$ 2,623,860
Paid to WBE Subs	\$ 4,008,520	2%	\$ 409,095
Paid to SBA Primes	\$ -	0%	\$ -
Paid to SBA Subs	\$ 704,842	0%	\$ 438,797

FY 15-16 Q4 Micro Set Aside Contracts	
Total Eligible	0
Total Awarded	0

*Includes two (2) design-build contracts

**paid to-date for formal contracts advertised after 7/1/2013
(LBEUTS start date) with an LBE subcontracting requirement

Airport
FY 15-16

FY 15-16 Awarded Contracts		
Total Number of Contracts	23	
Professional Services	13	57%
Construction	10	43%
LBE Primes*	11	48%
Non-LBE Primes*	17	74%
MBE Primes	5	22%
OBE Prime	5	22%
WBE Prime	1	4%
SBA LBE Prime	0	0%

FY 15-16 Awards		
Amount Awarded	\$ 186,926,917	
Awarded to Non-LBE Primes	\$ 70,559,936	38%
Awarded to Non-LBE Subs	\$ 65,012,010	35%
Awarded to LBEs Primes	\$ 9,225,500	5%
Awarded to LBE Subs	\$ 42,129,470	23%
Awarded to MBE Primes	\$ 2,572,500	1%
Awarded to MBE Subs	\$ 20,731,465	11%
Awarded to OBE Primes	\$ 6,403,000	3%
Awarded to OBE Subs	\$ 14,214,514	8%
Awarded to WBE Primes	\$ 250,000	0%
Awarded to WBE Subs	\$ 5,836,544	3%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ 1,346,947	1%

FY 14-15 Micro Set Aside Contracts	
Total Eligible	0
Total Awarded	0

*Includes Joint Venture Non-LBE/LBE prime partnerships.

Port

FY 2013/14 through 2015/16 Contract List

Chapter 148 CMD LBE Report

Department	Contract Number	Project Name	Prime	Prime LBE Status	LBE Goal	Sub Goal to Date	Original Award Amount	Total Payments to LBEs	Total Contract Payments	Award Notice	CCO	Industry	Quarter
Port	2779	Pier 33 Fire Standpipe System	Value Fire Protection	LBE-MBE	MICRO	0%	\$ 434,900.00	\$ -	\$ -	4/1/2016	Finbarr Jewell	Construction	FY 15-16 Q4
Port	2762R	Pier 31 Roof & Building Repair	Rosback Construction	LBE-OBE	20%	0%	\$ 4,665,000.00	\$ -	\$ -	1/12/2016	Finbarr Jewell	Construction	FY 15-16 Q3
Port	PRT1516-01	Construction Contract Outreach Support	The Thelx Group	LBE-WBE	MICRO	0%	\$ 98,000.00	\$ -	\$ -	11/20/2015	Finbarr Jewell	Professional Services	FY 15-16 Q2
Port	2774R	Pier 94 High Mast Lighting Project	Angotti & Reilly, Inc.	LBE-OBE	20%	0%	\$ 266,218.00	\$ 26,837.50	\$ 26,837.50	10/2/2015	Lupe Arreola	Construction	FY 15-16 Q2
Port	PRT 1415-06	Digital Newsletter	Next Steps Marketing, Inc.	LBE-WBE	MICRO	0%	\$ 98,000.00	\$ 25,533.00	\$ 29,629.00	7/7/2015	Lupe Arreola	Professional Service	FY 15-16 Q1
Port	2772	Pier 49 Wharf II Under-Pier Sewer Replacement Project	Schembri Construction	LBE-OBE	25%	70.8%	\$ 1,149,500	\$ 1,091,798	\$ 1,091,798	4/28/2015	Lupe Arreola	Construction	FY 14/15 Q4
Port	PRT1415-05	Municipal Financial Advisory Services	Public Financial Management, Inc.	Non-LBE	20%	2.3%	\$ 420,000	\$ 3,000	\$ 177,975	3/24/2015	Lupe Arreola	Professional Services	FY 14/15 Q3
Port	PRT1415-01	Earthquake Vulnerability Study of the Northern Seawall	GHD-GTC JV	JV-MBE	25%	16.6%	\$ 467,500	\$ 190,264	\$ 396,580	10/28/2014	Lupe Arreola	Professional Services	FY 14/15 Q2
Port	2765	Pier 35 Building Roof & Repair Project [CLOSED]	Rosback Construction	LBE-OBE	19%	96.5%	\$ 1,898,556	\$ 952,357	\$ 1,495,031	8/12/2014	Lupe Arreola	Construction	FY 14/15 Q1
Port	PRT1314-01.01	As Needed Hazard Waste Disposal and Transportation Services	Environmental Logistics Inc.	Non-LBE	8%	0.0%	\$ 262,500	\$ -	\$ 21,191	7/8/2014	Boris Delephine	Professional Services	FY 14/15 Q1
Port	PRT1314-01.02	As Needed Hazard Waste Disposal and Transportation	Eagle Environmental & Construction	LBE-MBE	8%	0.0%	\$ 262,500	\$ -	\$ -	7/8/2014	Boris Delephine	Professional Services	FY 14/15 Q1
Port	2758R	Bayview Gateway	Bauman Landscape	LBE-OBE	12%	50.7%	\$ 3,667,925	\$ 2,263,065	\$ 3,528,708	7/8/2014	Boris Delephine	Construction	FY 14/15 Q1
Port	PRT1213-07.03	As Needed Environmental and Related Professional	Baseline Environmental	Non-LBE	21%	45.4%	\$ 1,000,000	\$ 69,124	\$ 152,156	9/27/2013	Boris Delephine	Professional Services	FY 13/14 Q2
Port	PRT1213-07.02	As Needed Environmental and Related Professional Services	SCA Environmental	LBE-MBE	21%	32.3%	\$ 1,000,000	\$ 66,427	\$ 112,620	9/27/2013	Boris Delephine	Professional Services	FY 13/14 Q2
Port	PRT 1213-07.01	As Needed Environmental and Related Professional	AquaTerra Associates dba Weiss Associates	Non-LBE	21%	14.4%	\$ 1,000,000	\$ 27,864	\$ 193,107	9/27/2013	Boris Delephine	Professional Service	FY 13/14 Q2
Port	2767	Fisherman's Wharf Triangle Lot & SWL 321 Pedestrian	JDB Construction	LBE-OBE	MICRO	95.9%	\$ 109,730	\$ 97,902	\$ 102,132	12/4/2013	Boris Delephine	Construction	FY 13/14 Q2
Port	2761	Blue Greenway Signage [Closed]	Cal State Constructors	LBE-OBE	8%	70.1%	\$ 625,547	\$ 426,899	\$ 608,651	8/13/2013	Boris Delephine	Construction	FY 13/14 Q1
								Total:	\$ 7,856,414.78				

Port

Contract Award and Payment Summary:
FY 15-16 Q1

FY 15-16 Q1 Awarded Contracts		
Total Number of Contracts	1	
Professional Services	1	100%
Construction	0	0%
LBE Primes	1	100%
Non-LBE Primes	0	0%
MBE Primes	0	0%
OBE Prime	0	0%
WBE Prime	1	100%
SBA LBE Prime	0	0%

FY 15-16 Q1 Awards		
Amount Awarded	\$ 98,000	
Awarded to Non-LBE Primes	\$ -	0%
Awarded to Non-LBE Subs	\$ -	0%
Awarded to LBEs Primes	\$ 98,000	100%
Awarded to LBE Subs	\$ -	0%
Awarded to MBE Primes	\$ -	0%
Awarded to MBE Subs	\$ -	0%
Awarded to OBE Primes	\$ -	0%
Awarded to OBE Subs	\$ -	0%
Awarded to WBE Primes	\$ 98,000	100%
Awarded to WBE Subs	\$ -	0%
Awarded to SBA Primes	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 1, 2013-September 30, 2015 Payments*			Change from Q4 2014/15
Total Paid	\$ 7,188,199		\$ 1,388,262
Paid to Non-LBEs Primes	\$ 335,628	5%	\$ 34,506
Paid to Non-LBE Subs	\$ 2,010,102	28%	\$ 549,109
Paid to LBEs Primes**	\$ 2,531,672	35%	\$ (699,160)
Paid to LBE Subs	\$ 2,310,797	32%	\$ 1,509,806
Paid to MBE Primes	\$ 178,716	2%	\$ 19,131
Paid to MBE Subs	\$ 893,343	12%	\$ 735,209
Paid to OBE Primes**	\$ 2,352,956	33%	\$ (718,291)
Paid to OBE Subs	\$ 1,298,967	18%	\$ 736,963
Paid to WBE Primes	\$ -	0%	\$ -
Paid to WBE Subs	\$ 118,487	2%	\$ 31,635
Paid to SBA-LBE Primes	\$ -	0%	\$ -
Paid to SBA-LBE Subs	\$ -	0%	\$ -

FY 15-16 Q1 Micro Set Aside Contracts	
Total Eligible	1
Total Awarded	1

*Based on information from Elations/LBEUTS dated 10/08/2015.

**reduced from previous quarter due to disbursements from Primes to subcontractors

Port

Contract Award and Payment Summary:
FY 15-16 Q2

FY 15-16 Q2 Awarded Contracts		
Total Number of Contracts	2	
Professional Services	1	50%
Construction	1	50%
LBE Primes	2	100%
Non-LBE Primes	0	0%
MBE Primes	0	0%
OBE Prime	1	50%
WBE Prime	1	50%
SBA LBE Prime	0	0%

FY 15-16 Q2 Awards		
Amount Awarded	\$ 364,218	
Awarded to Non-LBE Primes	\$ -	0%
Awarded to Non-LBE Subs	\$ -	0%
Awarded to LBEs Primes	\$ 182,663	50%
Awarded to LBE Subs	\$ 181,555	50%
Awarded to MBE Primes	\$ -	0%
Awarded to MBE Subs	\$ 181,555	50%
Awarded to OBE Primes	\$ 84,663	23%
Awarded to OBE Subs	\$ -	0%
Awarded to WBE Primes	\$ 98,000	27%
Awarded to WBE Subs	\$ -	0%
Awarded to SBA Primes	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 2013-December 31, 2015 Payments*			Change from Q1 2015/16
Total Paid	\$ 7,699,815		\$ 511,616
Paid to Non-LBEs Primes	\$ 488,216	6%	\$ 152,588
Paid to Non-LBE Subs	\$ 2,043,414	27%	\$ 38,312
Paid to LBEs Primes	\$ 2,628,293	34%	\$ 96,561
Paid to LBE Subs	\$ 2,539,952	33%	\$ 229,155
Paid to MBE Primes	\$ 178,896	2%	\$ 180
Paid to MBE Subs	\$ 1,085,107	14%	\$ 191,764
Paid to OBE Primes	\$ 2,433,022	32%	\$ 80,066
Paid to OBE Subs	\$ 1,334,474	17%	\$ 35,507
Paid to WBE Primes	\$ 16,315	0%	\$ 16,315
Paid to WBE Subs	\$ 120,371	2%	\$ 1,884
Paid to SBA-LBE Primes	\$ -	0%	\$ -
Paid to SBA-LBE Subs	\$ -	0%	\$ -

FY 15-16 Q2 Micro Set Aside Contracts	
Total Eligible	2
Total Awarded	1

*Based on information from Elations/LBEUTS dated 1/05/2016.

Port

Contract Award and Payment Summary
FY 15-16 Q3

FY 15-16 Q3 Awarded Contracts		
Total Number of Contracts	1	
Professional Services	0	0%
Construction	1	100%
LBE Primes	1	100%
Non-LBE Primes	0	0%
MBE Primes	0	0%
OBE Prime	1	100%
WBE Prime	0	0%
SBA LBE Prime	0	0%

FY 15-16 Q3 Awards		
Amount Awarded	\$ 4,665,000	
Awarded to Non-LBE Primes	\$ -	0%
Awarded to Non-LBE Subs	\$ 624,912	13%
Awarded to LBEs Primes	\$ 2,510,998	54%
Awarded to LBE Subs	\$ 1,529,090	33%
Awarded to MBE Primes	\$ -	0%
Awarded to MBE Subs	\$ 45,000	1%
Awarded to OBE Primes	\$ 2,510,998	54%
Awarded to OBE Subs	\$ 1,484,090	32%
Awarded to WBE Primes	\$ -	0%
Awarded to WBE Subs	\$ -	0%
Awarded to SBA Primes	\$ -	0%
Awarded to SBA Sub	\$ -	0%

FY 15-16 Q3 Micro Set Aside Contracts	
Total Eligible	0
Total Awarded	0

*Based on information from Elections/LBEUTS dated 6/29/2016.
(Payments through Q3 not included in this report. See Payments through Q4)

Port

Contract Award and Payment Summary
FY 15-16 Q4

FY 15-16 Q4 Awarded Contracts		
Total Number of Contracts	1	
Professional Services	0	0%
Construction	1	100%
LBE Primes	1	100%
Non-LBE Primes	0	0%
MBE Primes	1	100%
OBE Prime	0	0%
WBE Prime	0	0%
SBA LBE Prime	0	0%

FY 15-16 Q4 Awards		
Amount Awarded	\$ 434,900	
Awarded to Non-LBE Primes	\$ -	0%
Awarded to Non-LBE Subs	\$ -	0%
Awarded to LBEs Primes	\$ 426,400	98%
Awarded to LBE Subs	\$ 8,500	2%
Awarded to MBE Primes	\$ 426,400	98%
Awarded to MBE Subs	\$ -	0%
Awarded to OBE Primes	\$ -	0%
Awarded to OBE Subs	\$ 8,500	2%
Awarded to WBE Primes	\$ -	0%
Awarded to WBE Subs	\$ -	0%
Awarded to SBA Primes	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 2013-June 30, 2016 Payments*		
Total Paid	\$ 7,856,415	
Paid to Non-LBEs Primes	\$ 540,227	7%
Paid to Non-LBE Subs	\$ 2,075,137	26%
Paid to LBEs Primes	\$ 2,708,134	34%
Paid to LBE Subs	\$ 2,532,917	32%
Paid to MBE Primes	\$ 183,468	2%
Paid to MBE Subs	\$ 1,066,732	14%
Paid to OBE Primes	\$ 2,499,152	32%
Paid to OBE Subs	\$ 1,334,474	17%
Paid to WBE Primes	\$ 25,513	0%
Paid to WBE Subs	\$ 131,711	2%

FY 15-16 Q4 Micro Set Aside Contracts	
Total Eligible	1
Total Awarded	1

*Based on information from Elections/LBEUTS dated 6/29/2016.

Port

Contract Award and Payment Summary
FY 15-16

FY 15-16 Awarded Contracts		
Total Number of Contracts	5	
Professional Services	2	40%
Construction	3	60%
LBE Primes	5	100%
Non-LBE Primes	0	0%
MBE Primes	1	20%
OBE Prime	2	40%
WBE Prime	2	40%
SBA LBE Prime	0	0%

FY 15-16 Awards		
Amount Awarded	\$ 5,562,118	
Awarded to Non-LBE Primes	\$ -	0%
Awarded to Non-LBE Subs	\$ 624,912	11%
Awarded to LBEs Primes	\$ 3,218,061	58%
Awarded to LBE Subs	\$ 1,719,145	31%
Awarded to MBE Primes	\$ 426,400	8%
Awarded to MBE Subs	\$ 226,555	4%
Awarded to OBE Primes	\$ 2,595,661	47%
Awarded to OBE Subs	\$ 1,492,590	27%
Awarded to WBE Primes	\$ 196,000	4%
Awarded to WBE Subs	\$ -	0%
Awarded to SBA Primes	\$ -	0%
Awarded to SBA Sub	\$ -	0%

FY 15-16 Micro Set Aside Contracts	
Total Eligible	0
Total Awarded	0

Department	Contract Number	Project Name	Prime	Prime LBE Status	LBE Goal	Sub Goal By Date	Original Award Amount	Total Payments to LBEs	Total Contract Payments	Award Date	Industry	Quarter
DPW	FCF16096	West Sunset Playground Renovation (REBID)	Bruman Landscape & Construction Inc.	LBE-ORE	21%	0%	\$ 9,768,000.00	\$ -	\$ -	6/22/2016	Construction	Q4 FY 15-16
DPW	FCF16080	Bowdoin Street, Bryant Street, and Hale Street Pavement Renovation and Sewer Replacement	Triton Construction, Inc.	LBE-WRE	20%	0%	\$ 2,794,932.25	\$ -	\$ -	6/15/2016	Construction	Q4 FY 15-16
DPW	FCF16025	SPRIFORD CHINATOWN LIVING ALLEY	M H Construction Management Co., Inc.	LBE-MBE	20%	0%	\$ 893,136.55	\$ -	\$ -	6/4/2016	Construction	Q4 FY 15-16
DPW	APF16048	As-Needed Mechanical Engineering Services 2016	GHO Inc.	Non-LBE	20%	0%	\$ 800,000.00	\$ -	\$ -	6/14/2016	Professional Services	Q4 FY 15-16
DPW	APF16047	As-Needed Electrical Engineering Services 2016 (Micro-LBE Set-Aside Program)	YNA Consulting Engineers	LBE-WBE	MICRO	0%	\$ 660,000.00	\$ -	\$ -	6/14/2016	Professional Services	Q4 FY 15-16
DPW	QCF16089	Landscape and Tree Maintenance Walnut Location Contract-2 (Micro-LBE Set-Aside Program)	Capax Group, Inc.	LBE-WRE	MICRO	0%	\$ 750,000.00	\$ -	\$ -	5/10/2016	Construction	Q4 FY 15-16
DPW	TCF16077	Park Complete Street	M Squared Construction, Inc.	SEA-ORE	25%	0%	\$ 18,321,751.00	\$ -	\$ -	5/10/2016	Construction	Q4 FY 15-16
DPW	TCF16079	Pedestrian Countdown Signal Contract No.3	Bay Area Lightworks Inc.	LBE-MBE	25%	0%	\$ 1,206,583.00	\$ -	\$ -	5/5/2016	Construction	Q4 FY 15-16
DPW	TCF16067	As-Needed Curb Ramp Construction for Street Renovation Program No. 6	Engage Engineering & Construction, Inc.	LBE-MBE	25%	0%	\$ 1,475,000.00	\$ -	\$ -	4/26/2016	Construction	Q4 FY 15-16
DPW	APF16047	As-Needed Electrical Engineering Services 2016 (Micro-LBE Set-Aside Program)	Bay Area Consulting Engineers, Inc. (BACE)	MICRO	0%	0%	\$ 620,000.00	\$ -	\$ -	4/26/2016	Professional Services	Q4 FY 15-16
DPW	APF16044	As-Needed Electrical Engineering Services 2016	GHO Inc.	Non-LBE	20%	0%	\$ 1,000,000.00	\$ -	\$ -	4/22/2016	Professional Services	Q4 FY 15-16
DPW	FCF15104	Alamo Square Restroom and Irrigation Upgrade	Anoli Builders Inc.	LBE-ORE	20%	0%	\$ 2,434,100.00	\$ -	\$ -	4/8/2016	Construction	Q4 FY 15-16
DPW	FCF16059	Job Order Contract No. 130 General Building Services (CM/FP)	KLW Constructors, Inc.	LBE-MBE	20%	0%	\$ 5,000,000.00	\$ -	\$ -	3/31/2016	Construction	Q3 FY 15-16
DPW	SCF16057	Job Order Contract No. 129 General Building Services (Micro-LBE Set-Aside Program)	OCGMS Inc.	LBE-MBE	MICRO	0%	\$ 1,500,000.00	\$ -	\$ -	3/31/2016	Construction	Q3 FY 15-16
DPW	SCF16056	Job Order Contract No. 128 General Building Services (Micro-LBE Set-Aside Program)	OCGMS Inc.	LBE-MBE	MICRO	0%	\$ 1,500,000.00	\$ -	\$ -	3/31/2016	Construction	Q3 FY 15-16
DPW	FCF16070	City Hall Fire Alarm Replacement (REBID)	Paganini Electric Corporation	Non-LBE	25%	0%	\$ 483,227.00	\$ -	\$ -	3/28/2016	Construction	Q3 FY 15-16
DPW	FCF16046	Alamo Terminal 2 San Diego	A. Webb Construction Co. & Assoc., Inc.	LBE-MBE	25%	0%	\$ 1,146,644.00	\$ -	\$ -	3/16/2016	Construction	Q3 FY 15-16
DPW	7994A	Central Shops Design-Build Services	Orya Development LLC	Non-LBE	20%	0%	\$ 35,000,000.00	\$ -	\$ -	3/16/2016	Construction	Q3 FY 15-16
DPW	FCF16090	As-Needed Paving Contract No. 12	Precision Engineering, Inc.	LBE-MBE	25%	0%	\$ 6,812,530.00	\$ -	\$ -	3/10/2016	Construction	Q3 FY 15-16
DPW	FCF16087	Telegraph Hill Rock Slope Improvement Project - Phase 2 Upper Section	Drill Tech Drilling & Shoring, Inc.	Non-LBE	13%	0%	\$ 3,370,514.00	\$ -	\$ -	3/10/2016	Construction	Q3 FY 15-16
DPW	FCF16079	Various Locations Pavement Renovation and Sewer Replacement No. 22	Equival Grading & Paving, Inc.	LBE-MBE	20%	0%	\$ 9,850,313.00	\$ -	\$ -	3/10/2016	Construction	Q3 FY 15-16
DPW	FCF16020	Various Locations Pavement Renovation No. 21	Equival Grading & Paving, Inc.	LBE-MBE	20%	0%	\$ 2,014,129.00	\$ -	\$ -	3/10/2016	Construction	Q3 FY 15-16
DPW	APF16044	As-Needed Electrical Engineering Services 2016	AAMS, Inc.	LBE-MBE	20%	0%	\$ 1,000,000.00	\$ -	\$ -	2/25/2016	Professional Services	Q3 FY 15-16
DPW	FCF16048	Hagels Street and Industrial Street Pavement Renovation	Equival Grading & Paving, Inc.	LBE-MBE	25%	0%	\$ 3,693,141.00	\$ -	\$ -	2/25/2016	Construction	Q3 FY 15-16
DPW	APF15102	Alamo Avenue Streetcape Improvements	Shaw Pipeline, Inc.	LBE-ORE	20%	0%	\$ 18,739,035.00	\$ -	\$ -	2/15/2016	Construction	Q3 FY 15-16
DPW	APF15116	As-Needed Geotechnical Engineering Services 2015	ENGEO Inc. / Terra Engineers, Inc. / IV (ENGEO-Terra)	JV-WBE	25%	0%	\$ 1,500,000.00	\$ -	\$ -	2/15/2016	Professional Services	Q3 FY 15-16
DPW	APF15085	As-Needed Multi-Discipline Construction Management Services (Micro-LBE Set-Aside Program)	Equival Grading & Paving, Inc.	LBE-ORE	20%	0%	\$ 400,000.00	\$ -	\$ -	2/12/2016	Professional Services	Q3 FY 15-16
DPW	FCF15129	17th and Fabian Street Park (REBID 2)	Bruman Landscape & Construction Inc.	LBE-ORE	25%	0%	\$ 3,981,951.00	\$ 250,846	\$ 250,846	2/12/2016	Construction	Q3 FY 15-16
DPW	FCF16013	Various Locations Pavement Renovation and Sewer Replacement	Equival Grading & Paving, Inc.	LBE-MBE	25%	0%	\$ 5,815,170.00	\$ -	\$ -	2/9/2016	Construction	Q3 FY 15-16
DPW	FCF16036	Telegraph Hill Rock Slope Improvement Project at Chestnut Street	Drill Tech Drilling & Shoring, Inc.	LBE-MBE	13%	12%	\$ 900,000.00	\$ 565,389	\$ 565,389	1/29/2016	Construction	Q3 FY 15-16
DPW	APF16044	As-Needed Electrical Engineering Services 2016	NAWH America, Inc.	Non-LBE	20%	0%	\$ 1,000,000.00	\$ -	\$ -	1/29/2016	Professional Services	Q3 FY 15-16
DPW	APF15018	As-Needed Sediment Control Installation and Coastal Engineering Consultation Services 2015	Anchor CEA, L.P.	Non-LBE	20%	0%	\$ 1,000,000.00	\$ -	\$ -	1/21/2016	Professional Services	Q3 FY 15-16
DPW	APF16044	As-Needed Electrical Engineering Services 2016	Lee & Ro, Inc.	Non-LBE	20%	0%	\$ 1,000,000.00	\$ -	\$ -	1/21/2016	Professional Services	Q3 FY 15-16
DPW	KAF16024	SPDN Service Building - Landscape (Micro-LBE Set-Aside Program)	Capax Group, Inc.	LBE-WBE	MICRO	0%	\$ 400,000.00	\$ -	\$ -	1/21/2016	Construction	Q3 FY 15-16
DPW	APF15088	As-Needed Multi-Discipline Construction Management Services (Micro-LBE Set-Aside Program)	Equival Grading & Paving, Inc.	LBE-WBE	MICRO	0%	\$ 400,000.00	\$ -	\$ -	1/18/2016	Professional Services	Q3 FY 15-16
DPW	FCF15006	City Hall Backup Power Generator	Schenkel Construction Co., Inc.	LBE-ORE	25%	0%	\$ 1,789,000.00	\$ -	\$ -	1/16/2016	Professional Services	Q3 FY 15-16
DPW	APF15116	As-Needed Geotechnical Engineering Services 2015	Acip BY Chem Geotechnical JV (Acip/BCYS, JV)	JV-MBE	20%	0%	\$ 1,500,000.00	\$ -	\$ -	1/17/2016	Professional Services	Q3 FY 15-16
DPW	APF15113	As-Needed Surveying Services 2015	Telamon Engineering Consultants Inc. (TECI)	LBE-WBE	20%	0%	\$ 660,000.00	\$ -	\$ -	1/7/2016	Professional Services	Q3 FY 15-16
DPW	FCF15097	IRVING STREET/CAPE IMPROVEMENTS	Shaw Pipeline, Inc.	LBE-ORE	25%	0%	\$ 6,114,506.00	\$ 626,190	\$ 626,190	12/4/2015	Professional Services	Q2 FY 15-16
DPW	APF15113	As-Needed Surveying Services 2015	Towill, Inc.	Non-LBE	20%	0%	\$ 660,000.00	\$ -	\$ -	11/18/2015	Professional Services	Q2 FY 15-16
DPW	FCF15127	E.S.E.R. Bond No. 2044, Road, HVAC and Emergency Generator Replacement at Fire Station 3 (REBID)	Centric Construction, Inc.	Non-LBE	22%	5%	\$ 1,387,400.00	\$ 12,300	\$ 252,803	11/22/2015	Construction	Q2 FY 15-16
DPW	FCF15125	Waterbury Street Pavement Renovation, Sewer Replacement and Watermain Installation	Shaw Pipeline, Inc.	LBE-ORE	25%	0%	\$ 4,589,672.00	\$ 564,409	\$ 564,409	11/12/2015	Construction	Q2 FY 15-16
DPW	FCF15120	Randall Museum Renovation Project	Rebeck Construction	LBE-ORE	12%	10%	\$ 8,132,000.00	\$ 1,254,343	\$ 1,616,869	11/4/2015	Construction	Q2 FY 15-16
DPW	KCF16017	7th Avenue and Lincoln Way Traffic Signal Upgrade (Micro-LBE Set-Aside Program)	Bay Area Lightworks Inc.	LBE-MBE	MICRO	0%	\$ 290,488.00	\$ -	\$ -	11/4/2015	Construction	Q2 FY 15-16
DPW	APF15113	As-Needed Surveying Services 2015	R.E.T. Engineers, Inc.	Non-LBE	20%	0%	\$ 660,000.00	\$ -	\$ -	10/29/2015	Professional Services	Q2 FY 15-16
DPW	FCF15120	Muni Forward - Sanction Transit Line	Bay Area Lightworks Inc.	LBE-MBE	20%	0%	\$ 935,729.00	\$ -	\$ -	10/22/2015	Construction	Q2 FY 15-16
DPW	FCF16016	Traffic Signal Control Contract No.4 (Micro-LBE Set-Aside Program)	Bay Area Lightworks Inc.	LBE-MBE	MICRO	1%	\$ 296,000.00	\$ 242,205	\$ 242,205	10/22/2015	Construction	Q2 FY 15-16
DPW	APF15051	As-Needed Structural Engineering Services 2015	Riggs Cardosa / Anzari, JV (RCA/ASE, JV)	JV-WBE	25%	0%	\$ 1,000,000.00	\$ -	\$ -	10/19/2015	Professional Services	Q2 FY 15-16
DPW	FPF15084	Materials Testing and Special Inspection Services for Office of the Chief Medical Examiner Facility	TS Inspection Services, Inc.	Non-LBE	15%	0%	\$ 483,917.00	\$ -	\$ 25,905	10/19/2015	Professional Services	Q2 FY 15-16
DPW	FCF15105	South Park Renovation	Bruman Landscape & Construction Inc.	LBE-ORE	20%	6%	\$ 7,487,650.00	\$ 1,062,049	\$ 1,062,049	10/15/2015	Construction	Q2 FY 15-16
DPW	FCF15049	Columbus Avenue Pavement Renovation and Water Main Replacement	Equival Grading & Paving, Inc.	LBE-MBE	20%	91%	\$ 5,735,436.00	\$ 466,842	\$ 466,842	10/9/2015	Construction	Q2 FY 15-16
DPW	7400A	Construction Manager/General Contractor (CM/GC) for a new Fire Station 5	Thompson Builders Corporation	Non-LBE	20%	0%	\$ 13,574,500.00	\$ -	\$ -	10/5/2015	Construction	Q2 FY 15-16
DPW	APF15031	As-Needed Structural Engineering Services 2015	Kernick (COWI) / O'Neil, JV	JV-MBE	25%	0%	\$ 1,000,000.00	\$ -	\$ -	10/5/2015	Professional Services	Q2 FY 15-16
DPW	APF15116	As-Needed Geotechnical Engineering Services 2015	ACCS, Inc.	LBE-MBE	25%	0%	\$ 1,500,000.00	\$ -	\$ -	10/5/2015	Professional Services	Q2 FY 15-16
DPW	APF15116	As-Needed Geotechnical Engineering Services 2015	Geotechnical Consultants, Inc.	LBE-MBE	25%	0%	\$ 1,500,000.00	\$ -	\$ -	10/5/2015	Professional Services	Q2 FY 15-16
DPW	FCF15090	9-11 Emergency Communications Center Infrastructure Upgrade (REBID)	Anoli Builders Inc.	LBE-ORE	25%	10%	\$ 408,884.00	\$ 334,859	\$ 334,859	9/29/2015	Construction	Q2 FY 15-16
DPW	KCF16015	Palm Tree Removal and Replanting (Micro-LBE Set-Aside Program)	Capax Group, Inc.	LBE-WBE	MICRO	4%	\$ 387,000.00	\$ 283,414	\$ 283,414	9/18/2015	Construction	Q2 FY 15-16
DPW	APF15113	As-Needed Surveying Services 2015	Chunathay & Associates, Inc.	Non-LBE	20%	0%	\$ 660,000.00	\$ -	\$ -	9/18/2015	Professional Services	Q2 FY 15-16
DPW	FPF15019	Architectural and Engineering Team Led by an Executive Architect for Traffic Company and Precinct Services Division Facility	Holmuth, Ohata & Kinsabaum (HOK)	Non-LBE	20%	0%	\$ 923,952.00	\$ -	\$ 787,496	9/15/2015	Professional Services	Q2 FY 15-16
DPW	APF15087	As-Needed Multi-Discipline Construction Management Services	CPM/ACS, JV	JV-MBE	25%	0%	\$ 900,000.00	\$ -	\$ -	9/8/2015	Professional Services	Q2 FY 15-16
DPW	APF15113 - 1	As-Needed Surveying Services 2015	F3 & Associates Inc.	Non-LBE	20%	0%	\$ 660,000.00	\$ -	\$ -	9/8/2015	Professional Services	Q2 FY 15-16
DPW	FCF15095	Franklin and Divisadero Street Traffic Signal Upgrade	Bay Area Lightworks Inc.	LBE-MBE	25%	26%	\$ 2,046,352.00	\$ 1,160,405	\$ 1,160,405	8/27/2015	Construction	Q2 FY 15-16
DPW	FCF15091	Pavement Renovation and Sewer Replacement - Guerrero St, San Jose Ave and Corbett Ave	M Squared Construction, Inc.	LBE-ORE	25%	14%	\$ 6,523,720.00	\$ 1,517,888	\$ 1,891,701	8/21/2015	Construction	Q2 FY 15-16
DPW	FCF15093	Oliver Canyon Recreation Center Renovation	Rebeck Construction	LBE-ORE	20%	19%	\$ 9,527,240.00	\$ 1,879,447	\$ 2,483,409	8/20/2015	Construction	Q2 FY 15-16

Department	Contract Number	Project Name	Prime	Prime LBE Status	LBE Goal	Sub Goal to Date	Original Award Amount	Total Payments to LBEs	Total Contract Payments	Award Date	Industry	Quarter
DPW	FPA15013	Architectural and Engineering Team Design Criteria Consulting Services for a new San Francisco Sheriff's Department Rehabilitation Detention Facility	ASCON Technical Services, Inc.	Non-LBE	15%	0%	\$ 4,020,000	\$ 46,501	\$ 727,235	8/19/2015	Professional Services	Q1 FY 15-16
DPW	APF15031 - 4	As-Needed Structural Engineering Services 2015	Rutherford + Clineburn (R/C) / Tennessee - Mannheim Engineers, JV	JV-LBE	25%	0%	\$ 1,000,000	\$ -	\$ -	8/17/2015	Construction	Q1 FY 15-16
DPW	FCM15017	SGH MOD Polero Avenue Ramp	Fontenay Engineering	LBE-LBE	25%	47%	\$ 813,000	\$ 609,819	\$ 623,074	8/17/2015	Construction	Q1 FY 15-16
DPW	FCM15112	San Mateo Avenue and Santa Rita Avenue Roadway Improvement	Alta Engineering Group Inc.	LBE-WBE	27%	0%	\$ 1,299,999	\$ 37,860	\$ 37,860	8/14/2015	Construction	Q1 FY 15-16
DPW	KCE15114	911 Emergency Building Filter Rack Replacement (Micro-LBE Set-Aside Program) (REDUC)	Total Filtration Specialists	LBE-WBE	MICRO	0%	\$ 84,000	\$ 84,000	\$ 84,000	8/12/2015	Construction	Q1 FY 15-16
DPW	YCA15121	U.S.E.R. Road No. 2014 Shower Restoration At Fire Stations 33, 20, 22, and 34 (MICRO)	R & S Construction Management, Inc.	LBE-MBE	MICRO	0%	\$ 157,280	\$ 133,516	\$ 168,964	8/10/2015	Construction	Q1 FY 15-16
DPW	YCA15038	Mission Cultural Center For Latino Arts ADA Barrier Removal	Roebeck Construction	LBE-LBE	25%	85%	\$ 894,000	\$ 712,887	\$ 876,234	7/21/2015	Construction	Q1 FY 15-16
DPW	APF15031 - 3	As-Needed Structural Engineering Services 2015	SOHA Engineers / M. Etkin Engineers, JV (SOHA-MHE, JV)	JV-MBE	25%	0%	\$ 1,000,000	\$ -	\$ -	7/20/2015	Professional Services	Q1 FY 15-16
DPW	FCP15055	Mountain Lake Park Playground Renovation	CF Contracting, Inc.	LBE-LBE	15%	37%	\$ 1,058,750	\$ 1,070,010	\$ 1,070,010	7/16/2015	Construction	Q1 FY 15-16
DPW	FCM15028-1	Clement Street Pavement Renovation and Sewer Replacement	Fontenay Engineering	LBE-LBE	30%	0%	\$ 499,000	\$ -	\$ -	6/30/2015	Construction	FY 14-15 Q4
DPW	FCM15050-1	Clement Street Pavement Renovation and Sewer Replacement	Shaw Pipeline, Inc.	LBE-LBE	25%	15%	\$ 1,855,818	\$ 185,747	\$ 1,219,059	6/7/2015	Construction	FY 14-15 Q4
DPW	FCM15082-1	Ocean Avenue Streetscape Improvements	Baird Landscape & Construction Inc.	LBE-LBE	20%	9%	\$ 625,336	\$ 40,900	\$ 655,188	6/7/2015	Construction	FY 14-15 Q4
DPW	FCM15026-1	FULTON STREET PAVEMENT RENOVATION AND MINI FORWARD PROJECT	Southland Grading & Paving, Inc.	LBE-MBE	25%	86%	\$ 4,160,100	\$ 768,526	\$ 898,631	6/25/2015	Construction	FY 14-15 Q4
DPW	FCM15079-1	Contract No. 62 New Traffic Signals	Bay Area Lightworks Inc.	LBE-MBE	25%	21%	\$ 1,178,572	\$ 192,095	\$ 904,647	6/18/2015	Construction	FY 14-15 Q4
DPW	APF15031-1	As-Needed Structural Engineering Services 2015	Structus, Inc.	LBE-MBE	25%	0%	\$ 1,000,000	\$ -	\$ -	6/19/2015	Professional Services	FY 14-15 Q4
DPW	FCM15042-1	San Jose Parking Garage Ventilation Improvements	CLW Builders, Inc.	LBE-MBE	20%	64%	\$ 1,463,000	\$ 586,485	\$ 913,763	6/18/2015	Construction	FY 14-15 Q4
DPW	FCM15041-1	Golden Gateway Parking Garage Ventilation Improvements	CLW Builders, Inc.	LBE-MBE	20%	21%	\$ 2,517,000	\$ 435,349	\$ 1,993,859	6/18/2015	Construction	FY 14-15 Q4
DPW	FCM15094-1	Various Locations Pavement Renovation and Sewer Replacement No. 19	Harley Pipelines, Inc.	LBE-WBE	25%	8%	\$ 8,140,000	\$ 245,291	\$ 2,962,441	6/18/2015	Construction	FY 14-15 Q4
DPW	OCM15081-1	AS-NEEDED SIDEWALK INSPECTION AND REPAIR PROGRAM (LIFE) No. 9	Bauman Landscape & Construction Inc.	LBE-LBE	25%	0%	\$ 2,186,415	\$ -	\$ 782,641	6/18/2015	Construction	FY 14-15 Q4
DPW	FCM15086-1	City Clinic Barrier Removal and ADA Compliance Work	Svala Construction Inc.	LBE-LBE	20%	21%	\$ 863,430	\$ 70,144	\$ 335,444	6/12/2015	Construction	FY 14-15 Q4
DPW	FCM15028-1	SUTTER STATION PARKING GARAGE TOP AND PARTIAL BTJ FLOOR WATERPROOFING	Rainbow Waterproofing & Restoration Company	SBA-LBE	20%	23%	\$ 757,245	\$ 201,855	\$ 874,558	6/2/2015	Construction	FY 14-15 Q4
DPW	FCM15026-1	STH AND MISSION PARKING GARAGE TOP FLOOR WATERPROOFING	CF Contracting, Inc.	LBE-LBE	20%	60%	\$ 1,158,000	\$ 875,080	\$ 1,454,080	5/29/2015	Construction	FY 14-15 Q4
DPW	APF15030-1	As-Needed Hydraulic Engineering Services 2014	RMC Water and Environment / Hydroconsult Engineers	JV-MBE	25%	0%	\$ 1,000,000	\$ -	\$ -	5/27/2015	Professional Services	FY 14-15 Q4
DPW	APF15030-2	As-Needed Hydraulic Engineering Services 2014	RWH Associates, Inc. / Water Resources Engineering	JV-MBE	25%	0%	\$ 1,000,000	\$ -	\$ -	4/30/2015	Professional Services	FY 14-15 Q4
DPW	FCM15120-1	SGH PLANT SERVICES BUILDING NPG-4 SEISMIC UPGRADE	Angotti & Beilly, Inc.	LBE-LBE	25%	16%	\$ 2,407,000	\$ 250,778	\$ 1,581,392	5/29/2015	Construction	FY 14-15 Q4
DPW	FCM15079-1	Pavement Renovation and Sewer Replacement - Garfield Street and Graham Avenue (2271)	Equival Construction Inc.	LBE-MBE	25%	42%	\$ 2,719,872	\$ 693,775	\$ 1,640,815	5/21/2015	Construction	FY 14-15 Q4
DPW	JCE15055-1	Job Order Contract No. 327 General Engineering Services (JOC 327)	TriNet Construction, Inc.	LBE-WBE	15%	0%	\$ 5,600,000	\$ -	\$ -	5/21/2015	Construction	FY 14-15 Q4
DPW	FCM15074-1	As-Needed Curb Ramp Construction for Paving Program No.3	Empire Engineering & Construction, Inc.	LBE-MBE	25%	27%	\$ 1,355,235	\$ 123,802	\$ 464,240	5/19/2015	Construction	FY 14-15 Q4
DPW	FCM15072-1	As-Needed Curb Ramp Construction for Paving Program No.1	Anell Builders Inc.	LBE-LBE	20%	26%	\$ 1,416,100	\$ 109,068	\$ 390,282	5/19/2015	Construction	FY 14-15 Q4
DPW	YCA15050-1	Fire Station No. 13 CO2 Detection System (Micro-LBE Set-Aside Program)	Becker Electric, Inc.	LBE-LBE	MICRO	0%	\$ 100,850	\$ -	\$ 110,733	5/15/2015	Construction	FY 14-15 Q4
DPW	FCM15073-1	As-Needed Curb Ramp Construction for Paving Program No.2	LC General Engineering and Constructors, Inc.	LBE-LBE	25%	13%	\$ 1,383,801	\$ 91,624	\$ 705,718	5/12/2015	Construction	FY 14-15 Q4
DPW	FCM15031-1	Alamo Elementary Safe Routes To School Project (Federal Aid Project No. SH15L-632P (0401)	Bay Area Lightworks Inc.	LBE-MBE	25%	21%	\$ 304,779	\$ 59,248	\$ 284,171	4/30/2015	Construction	FY 14-15 Q4
DPW	YCE15075-1	As-Needed Pavement Preservation FY 15/16	Teller Highway Technologies	Non-LBE	12%	11%	\$ 3,561,500	\$ 66,117	\$ 607,423	4/24/2015	Construction	FY 14-15 Q4
DPW	FCM15133-1	Twin Peaks Boulevard Cold-In-Place Recycling Pavement Renovation	NCK Services Inc.	Non-LBE	13%	0%	\$ 246,117	\$ -	\$ 55,210	4/17/2015	Construction	FY 14-15 Q4
DPW	FCM15068-1	Ulfass Playground Renovation	Anell Builders Inc.	LBE-LBE	14%	0%	\$ 1,093,505	\$ 3,392	\$ 914,077	4/16/2015	Construction	FY 14-15 Q4
DPW	APF15029-2	As-Needed Civil Engineering Services 2014	BMC Water and Environment / Urban Design Consult	JV-MBE	27%	0%	\$ 2,700,000	\$ -	\$ -	4/28/2015	Professional Services	FY 14-15 Q4
DPW	FCM15025	Union Square ADA Enhancement	Asadara Engineering, Inc.	LBE-WBE	10%	27%	\$ 399,718	\$ 118,730	\$ 536,800	2/29/2015	Construction	FY 14-15 Q3
DPW	FCM15060-1	SGH Building 5 Ground Floor and Second Floor Remodel at Tunnel and Bridge Connection	Redon Builders, Inc.	Non-LBE	25%	12%	\$ 5,694,000	\$ 335,320	\$ 2,709,813	3/23/2015	Construction	FY 14-15 Q3
DPW	FCM15072-1	As-Needed Paving Contract No. 11	A. Ruff Construction Co. & Assoc., Inc.	SBA-MBE	25%	3%	\$ 9,218,864	\$ 85,528	\$ 3,884,723	2/23/2015	Construction	FY 14-15 Q3
DPW	FCM15132-1	Various Locations Curb Ramps No. 6	Empire Engineering & Construction, Inc.	LBE-MBE	25%	25%	\$ 357,550	\$ 74,403	\$ 322,541	2/11/2015	Construction	FY 14-15 Q3
DPW	FCM15018-1	Pavement Renovation, Sewer Replacement, and Water Main Installation - Haight St and Hayes St.	Gilbert Bros., Inc.	Non-LBE	25%	66%	\$ 13,748,718	\$ 3,151,519	\$ 1,606,570	2/10/2015	Construction	FY 14-15 Q3
DPW	APF15029-3	As-Needed Civil Engineering Services 2014	Kennedy/Rebs Consultants, Inc. / AGS, Inc., JV (K-AGS, JV)	JV-MBE	25%	0%	\$ 2,700,000	\$ -	\$ -	2/9/2015	Professional Services	FY 14-15 Q3
DPW	APF15029-2	As-Needed Learning and Training Services	Don Unicks	LBE-LBE	SOLE SOURCE	0%	\$ 400,000	\$ -	\$ -	2/4/2015	Professional Services	FY 14-15 Q3
DPW	APF15029-1	As-Needed Learning and Training Services	Sara Ellis Conant	LBE-WBE	SOLE SOURCE	0%	\$ 400,000	\$ -	\$ -	2/4/2015	Professional Services	FY 14-15 Q3
DPW	APF15029-1	As-Needed Civil Engineering Services 2014	URS Corporation Associates/Telamon Engineering Consultants, Inc. Joint Venture (URS/TEC JV)	JV-WBE	25%	0%	\$ 2,700,000	\$ -	\$ -	1/16/2015	Professional Services	FY 14-15 Q3
DPW	APF14072-2	As-Needed Cost Estimating Services 2014 (Micro-LBE Set-Aside Program)	Dahl, Inc.	LBE-WBE	MICRO	0%	\$ 100,000	\$ -	\$ -	1/16/2015	Professional Services	FY 14-15 Q3
DPW	APF14072-1	As-Needed Cost Estimating Services 2014 (Micro-LBE Set-Aside Program)	Design and Construction Management Services (DCMS), Inc.	LBE-LBE	MICRO	0%	\$ 100,000	\$ -	\$ -	1/15/2015	Professional Services	FY 14-15 Q3
DPW	FCM15131-2	Proposition 8 Curb Ramp Fiscal Year 2013-2014	Bay Area Lightworks Inc.	LBE-MBE	25%	20%	\$ 697,221	\$ 660,791	\$ 660,791	12/31/2014	Construction	FY 14-15 Q2
DPW	FCM15015-1	Transit Signal Improvement Project	Bay Area Lightworks Inc.	LBE-MBE	25%	13%	\$ 1,726,330	\$ 1,414,938	\$ 1,414,938	12/30/2014	Construction	FY 14-15 Q2
DPW	FCM1417-1	Pavement Renovation and Sewer Replacement Crescent and Hudson Avenues	Shaw Pipeline, Inc.	LBE-LBE	25%	45%	\$ 2,516,716	\$ 2,282,139	\$ 2,289,259	12/12/2014	Construction	FY 14-15 Q2
DPW	FCM1430-1	West Portal Avenue and Oakridge Street Pavement Renovation, Sewer Replacement and Watermain Installation	Carl-Duest Contractors, Inc.	LBE-LBE	25%	21%	\$ 4,764,403	\$ 4,573,812	\$ 4,685,796	12/10/2014	Construction	FY 14-15 Q2
DPW	FCM1432-1	Various Locations Pavement Renovation No. 17 Sewer Replacement and Water Main Installation	Shaw Pipeline, Inc.	LBE-LBE	25%	68%	\$ 5,888,070	\$ 4,520,880	\$ 4,557,692	12/18/2014	Construction	FY 14-15 Q2
DPW	HCC1400D-2	As-Needed Environmental Contracting Services (Micro-LBE Set-Aside Program)	ECA Environmental, Inc.	LBE-MBE	MICRO	0%	\$ 1,000,000	\$ -	\$ -	12/18/2014	Construction	FY 14-15 Q2
DPW	HCC1400B-3	As-Needed Environmental Contracting Services (Micro-LBE Set-Aside Program)	Zaccon Companies, Inc.	LBE-LBE	MICRO	0%	\$ 1,000,000	\$ -	\$ -	12/18/2014	Construction	FY 14-15 Q2

Department	Contract Number	Project Name	Prime	Prime LBE Status	LBE Goal	Sub Goal to Date	Original Award Amount	Total Payments to LBEs	Total Contract Payments	Award Date	Industry	Quarter
DPW	HCC1085-5	As-Needed Environmental Contracting Services (Micro-LBE Set-Aside Program)	Eagle Engineering Construction	LBE-MRO	0%	0%	\$ 1,000,000	\$ -	\$ -	12/12/2014	Construction	FY 14-15 Q2
DPW	APC14079-4	As-Needed Asbestos, Lead, and Industrial Hygiene Consultation Services	JAI Environmental, a Tetra Tech Company	LBE-MBE	20%	0%	\$ 600,000	\$ -	\$ -	12/11/2014	Professional Services	FY 14-15 Q2
DPW	FCCE1011-1	Ocean Avenue and Perilla Avenue Pavement Renovation and Sewer Replacement	Precision Engineering, Inc.	Non-LBE	25%	2%	\$ 3,035,955	\$ 4,132,010	\$ 4,189,014	12/10/2014	Construction	FY 14-15 Q2
DPW	FCCE1031-1	Various Locations Pavement Preservation FY 14-15 No. 2	VSS International Inc.	Non-LBE	17%	15%	\$ 1,314,500	\$ 106,009	\$ 577,829	12/2/2014	Construction	FY 14-15 Q2
DPW	FCCE1158-1	Pavement Renovation and Sewer Replacement Various Locations No. 18	Precision Engineering, Inc.	LBE-MBE	25%	22%	\$ 1,536,205	\$ 1,730,907	\$ 1,740,927	11/7/2014	Construction	FY 14-15 Q2
DPW	OCF14125-1	Landscape and Tree Maintenance Various Locations Contract 3	Baumgardner & Construction Inc.	LBE-ORE	30%	0%	\$ 1,067,050	\$ 170,274	\$ 230,074	11/26/2014	Construction	FY 14-15 Q2
DPW	FCCE1136-1	Vicente Street and Ocean Avenue Pavement Rehabilitation, Sewer Replacement, and Water Main Installation	L.C. General Engineering and Construction, Inc.	Non-LBE	25%	61%	\$ 3,477,400	\$ 1,819,909	\$ 2,921,672	11/23/2014	Construction	FY 14-15 Q2
DPW	FCCE14136-1	Bayview Opera House Renovation	M.H. Construction Management Co., Inc.	LBE-MBE	25%	15%	\$ 2,286,000	\$ 2,110,929	\$ 2,238,669	11/21/2014	Construction	FY 14-15 Q2
DPW	FCCE14136-1	North Beach / Joe Di Maggio Playground Renovation	Remman Landscape & Construction Inc.	LBE-ORE	11%	28%	\$ 4,387,000	\$ 4,925,831	\$ 5,351,132	11/20/2014	Construction	FY 14-15 Q2
DPW	FCCE14134-1	South of Market Cultural Center Roofing and Related Work	Andri's Roofing Company Inc.	Non-LBE	7%	6%	\$ 351,300	\$ 24,746	\$ 398,920	10/31/2014	Construction	FY 14-15 Q2
DPW	FCCE1404-1	Steel Building's Accessibility Compliance Improvements	Modan Builders, Inc.	Non-LBE	30%	20%	\$ 1,446,400	\$ 150,541	\$ 753,022	10/29/2014	Construction	FY 14-15 Q2
DPW	APC14079-3	As-Needed Asbestos, Lead, and Industrial Hygiene Consultation Services	Umsurvey, Inc.	LBE-ORE	20%	0%	\$ 460,000	\$ -	\$ -	10/29/2014	Professional Services	FY 14-15 Q2
DPW	FCP15022-1	Chic Center Plaza Pavement Maintenance	CP Contracting, Inc.	LBE-ORE	10%	6%	\$ 957,381	\$ 770,048	\$ 979,296	10/16/2014	Construction	FY 14-15 Q2
DPW	FCCE14111-1	Dulles Street Pavement Renovation, Sewer Replacement, and Water Main Installation	A. Ruiz Construction Co. & Assoc., Inc.	SBA-MBE	25%	37%	\$ 5,559,986	\$ 5,780,054	\$ 5,853,789	9/30/2014	Construction	FY 14-15 Q1
DPW	FCCE14106	Bandolph Streetscape Improvements	Preston Engineering, Inc.	LBE-MBE	25%	12%	\$ 914,500	\$ 1,024,536	\$ 1,024,536	9/11/2014	Construction	FY 14-15 Q1
DPW	OCM14127	As-Needed Sidewalk Repair For Accelerated Sidewalk Abatement Program	L.C. General Engineering and Construction, Inc.	LBE-ORE	25%	22%	\$ 1,579,520	\$ 1,286,854	\$ 1,656,004	9/9/2014	Construction	FY 14-15 Q1
DPW	JCC14024-2	Job Order Contract No. 123 General Building Services (Rebid)	Angelo & Reilly, Inc.	LBE-ORE	25%	0%	\$ 3,000,000	\$ -	\$ -	8/29/2014	Construction	FY 14-15 Q1
DPW	APC14105-1	Construction Management Support Services (CMSS) for Moscone Expansion Project	URS Corporation Americas/URS Partners, JV	JV-MBE	15%	7%	\$ 3,590,000	\$ 627,817	\$ 2,045,110	8/29/2014	Professional Services	FY 14-15 Q1
DPW	FCCE14109	As-Needed Paving Contract No. 10	Equival Grading & Paving, Inc.	LBE-MBE	25%	68%	\$ 6,010,440	\$ 7,834,918	\$ 7,834,918	8/25/2014	Construction	FY 14-15 Q1
DPW	APC14079-5	As-Needed Asbestos, Lead, and Industrial Hygiene Consultation Services	North Tower Environmental, Inc.	LBE-WBE	20%	0%	\$ 600,000	\$ -	\$ -	8/21/2014	Professional Services	FY 14-15 Q1
DPW	APC14079-2	As-Needed Asbestos, Lead, and Industrial Hygiene Consultation Services (INACTIVE)	Milkenium Consulting Associates	Non-LBE	20%	0%	\$ 600,000	\$ -	\$ -	8/21/2014	Professional Services	FY 14-15 Q1
DPW	FCCE14121	24th Street Urban Village	A. Ruiz Construction Co. & Assoc., Inc.	SBA-MBE	25%	34%	\$ 513,903	\$ 522,195	\$ 616,094	8/7/2014	Construction	FY 14-15 Q1
DPW	FCCE14081	San Francisco Public Library Tech Center Renovation Work	Chang C.H. Construction, Inc.	LBE-MBE	21%	80%	\$ 2,657,612	\$ 1,858,894	\$ 2,315,335	8/7/2014	Construction	FY 14-15 Q1
DPW	FCP13107	Porthmouth Square Park Restroom Renovation	Chiang C.H. Construction, Inc.	LBE-MBE	18%	20%	\$ 1,380,000	\$ 1,335,853	\$ 1,485,989	7/31/2014	Construction	FY 14-15 Q1
DPW	APM14052-3	As-Needed Surveying Services 2014 (INACTIVE)	Chandhary & Associates, Inc.	Non-LBE	70%	0%	\$ 750,000	\$ -	\$ -	7/16/2014	Professional Services	FY 14-15 Q1
DPW	HCC14088-4	As-Needed Environmental Contracting Services (Micro-LBE Set-Aside Program)	ACW Engineering, Inc.	LBE-MBE	20%	0%	\$ 1,000,000	\$ -	\$ -	7/14/2014	Construction	FY 14-15 Q1
DPW	FCCE14101	Higdon Avenue Bridge Traffic Railing Replacement	M.H. Construction Management Co., Inc.	LBE-MBE	20%	10%	\$ 894,958	\$ 1,174,260	\$ 1,484,379	7/10/2014	Construction	FY 14-15 Q1
DPW	FCCE14086	Barkley Streetscape Improvements	Bauman Landscape & Construction Inc.	LBE-MBE	25%	35%	\$ 1,742,367	\$ 1,862,410	\$ 1,893,931	7/8/2014	Construction	FY 14-15 Q1
DPW	APC14079	As-Needed Asbestos, Lead, and Industrial Hygiene Consultation Services (INACTIVE)	SCA Environmental	LBE-MBE	20%	0%	\$ 600,000	\$ -	\$ -	6/27/2014	Professional Services	FY 13/14 Q4
DPW	FCCE14065	Construction Management Support Services for Office of Chief Medical Examiner	Vent/Saylor A Joint Venture Partnership	JV-WBE	21%	17%	\$ 1,600,000	\$ 637,121	\$ 1,285,226	6/27/2014	Professional Services	FY 13/14 Q4
DPW	FCCE14065	Taraval Streetscape Improvements	L.C. General Engineering & Construction, Inc.	LBE-ORE	25%	22%	\$ 869,355	\$ 984,470	\$ 1,092,077	6/5/2014	Construction	FY 13/14 Q4
DPW	FFA14098	BFP for Environmental Services - Rehabilitation Detection Facility - H2J Replacement Jail	Turnstone Consulting	Non-LBE	20%	28%	\$ 500,000	\$ 134,004	\$ 473,906	6/3/2014	Professional Services	FY 13/14 Q4
DPW	HCC14122	9-1-1 Alarm System and Electrical Upgrades	Paganini Electric Corporation	Non-LBE	12%	7%	\$ 570,000	\$ 43,250	\$ 604,838	5/30/2014	Construction	FY 13/14 Q4
DPW	FFA14060	Jail Station and Oak Street Streetscape Enhancements	Bauman Landscape & Construction Inc.	LBE-ORE	25%	30%	\$ 1,110,315	\$ 1,131,161	\$ 1,121,161	5/30/2014	Construction	FY 13/14 Q4
DPW	FCCE14077	Fire Stations No. 13, 25, 38, 40 & 41 Shower Renovations (Rebid)	Wickman Development and Construction	LBE-ORE	25%	71%	\$ 525,001	\$ 443,845	\$ 566,662	5/30/2014	Construction	FY 13/14 Q4
DPW	FCCE14061	Various Locations Pavement Preservation FY 2014-2015 (COMPLETE)	Teller Oil Company d.b.a. Winshore Fuel Co.	Non-LBE	72%	13%	\$ 1,319,000	\$ 289,941	\$ 2,208,763	5/27/2014	Construction	FY 13/14 Q4
DPW	FCP14103	TOILET HIGHWAY RESTROOM RENOVATION	D.P.F.P., Corp. dba Fine Line Construction	Non-LBE	23%	51%	\$ 3,451,000	\$ 816,029	\$ 1,607,099	5/15/2014	Construction	FY 13/14 Q4
DPW	FCP14096	Carl Lansen Playground Renovation	DB & Song Construction Inc.	LBE-ORE	14%	15%	\$ 728,434	\$ 847,463	\$ 958,335	5/15/2014	Construction	FY 13/14 Q4
DPW	FCP14085	Booth Chapel Soccer Renovations, Electrical Work (Rebid)	Phoenix Electric	LBE-ORE	14%	63%	\$ 1,621,642	\$ 1,636,641	\$ 1,636,641	5/15/2014	Construction	FY 13/14 Q4
DPW	ICCE14093	Roadway Structures - New Handrails at Various Locations No. 2 (Micro-LBE Set-Aside Program)	Fountain Engineering	LBE-ORE	Micro	100%	\$ 94,761	\$ 98,997	\$ 98,997	5/12/2014	Construction	FY 13/14 Q4
DPW	ICCE14092	San Marcos Avenue and Santa Rita Avenue Wall and Stairs Repairs (Micro-LBE Set-Aside Program)	CP Contracting	LBE-ORE	Micro	72%	\$ 191,940	\$ 253,200	\$ 353,200	4/28/2014	Construction	FY 13/14 Q4
DPW	ICCE14089	El Camino Del Mar Wall and Stairs (Micro-LBE Set-Aside Program)	Horowitz Demolition, Inc.	LBE-ORE	Micro	100%	\$ 128,673	\$ 155,772	\$ 155,772	4/18/2014	Construction	FY 13/14 Q4
DPW	HCC14081-1	As-Needed Environmental Contracting Services	Brown and Caldwell	Non-LBE	20%	0%	\$ 3,000,000	\$ -	\$ -	4/17/2014	Construction	FY 13/14 Q4
DPW	APM14067-4	As-Needed Surveying Services 2014 (INACTIVE)	Towell, Inc.	Non-LBE	20%	0%	\$ 750,000	\$ -	\$ -	4/21/2014	Professional Services	FY 13/14 Q4
DPW	APM14067-2	As-Needed Surveying Services 2014 (INACTIVE)	J.S. & Associates Inc.	Non-LBE	20%	0%	\$ 750,000	\$ -	\$ -	4/21/2014	Professional Services	FY 13/14 Q4
DPW	APM14067-3	As-Needed Surveying Services 2014 (INACTIVE)	Sandoz Civil Engineers, Surveyors and Planners	Non-LBE	20%	0%	\$ 750,000	\$ -	\$ -	4/21/2014	Professional Services	FY 13/14 Q4
DPW	FCP14046	Washington Square Conference Center	D.P.F.P., Corp. dba Fine Line Construction	Non-LBE	20%	59%	\$ 979,000	\$ 664,277	\$ 1,124,734	4/17/2014	Construction	FY 13/14 Q4
DPW	HCC14081-3	As-Needed Environmental Contracting Services	Engineering/Remediation Resources Group, Inc.	Non-LBE	20%	0%	\$ 3,000,000	\$ -	\$ -	4/15/2014	Construction	FY 13/14 Q4
DPW	HCC14081-2	As-Needed Environmental Contracting Services	CES Controlled Environmental Services	Non-LBE	20%	0%	\$ 3,000,000	\$ -	\$ -	4/14/2014	Construction	FY 13/14 Q4
DPW	TCF14059	Pavement Renovation and Sewer Replacement Upper Market Street	Equival Grading & Paving, Inc.	LBE-MBE	25%	34%	\$ 2,886,476	\$ 2,994,402	\$ 3,163,628	4/14/2014	Construction	FY 13/14 Q4
DPW	ICCE14088	Various Locations Curb Ramp Contract No. 5 (Micro-LBE Set-Aside Program)	Empire Engineering & Construction, Inc.	LBE-MBE	Micro	100%	\$ 405,240	\$ 403,316	\$ 403,316	4/8/2014	Construction	FY 13/14 Q4
DPW	ICCE14070	Cherwell Street Storm Drain (Micro-LBE Set-Aside Program)	Empire Engineering & Construction, Inc.	LBE-MBE	Micro	100%	\$ 205,000	\$ 241,257	\$ 241,257	3/24/2014	Construction	FY 13/14 Q3
DPW	TCF13076	Raymond S. Kinsler Playground Renovation	Amel Builders Inc.	LBE-ORE	15%	21%	\$ 2,419,000	\$ 2,419,287	\$ 2,764,403	3/14/2014	Construction	FY 13/14 Q3
DPW	FCCE14028	Broadway Tunnel Ventilation Upgrade (Second Rebid)	M. Hernandez Construction, Inc. dba Hernandez Engineering	LBE-MBE	25%	25%	\$ 1,293,700	\$ 765,313	\$ 1,426,668	3/7/2014	Construction	FY 13/14 Q3
DPW	FCCE14082	ESB1 Fire Station No. 12 and 31 Emergency Generators	Becker Electric, Inc.	LBE-ORE	25%	43%	\$ 379,200	\$ 393,574	\$ 393,574	3/7/2014	Construction	FY 13/14 Q3
DPW	IPS14099	Handover Clean Up Program	San Francisco Clean City Coalition	Non-LBE	25%	5%	\$ 300,000	\$ 573,881	\$ 523,881	3/17/2014	Professional Services	FY 13/14 Q3
DPW	FFA12018	ESB Material Testing and Special Inspection Services	Construction Testing Services, Inc.	Non-LBE	15%	0%	\$ 493,300	\$ -	\$ 6,853	3/14/2014	Professional Services	FY 13/14 Q3
DPW	FCCE14041	McAuliffe Street Pavement Renovation and Sewer Replacement	Equival Grading & Paving, Inc.	LBE-MBE	25%	13%	\$ 2,592,705	\$ 2,614,664	\$ 2,653,219	3/13/2014	Construction	FY 13/14 Q3
DPW	FCCE14039	Point Lobos Avenue and Worthington Street Pavement Renovation, Sewer Replacement, and Water Main Installation	Equival Grading & Paving, Inc.	LBE-MBE	25%	64%	\$ 4,106,431	\$ 4,135,834	\$ 4,318,834	2/26/2014	Construction	FY 13/14 Q3

Department	Contract Number	Project Name	Prime	Prime LRE Status	LRE Goal	Sub Goal to Date	Original Award Amount	Total Payments to LREs	Total Contract Payments	Award Date	Industry	Quarter
DPW	FCE14045	Contract 01 - New Traffic Signals	Bay Area Lightworks, Inc.	LRE-MBE	25%	20%	\$ 1,084,000	\$ 1,361,672	\$ 1,361,672	2/12/2014	Construction	FY 13/14 Q3
DPW	APC14087	As Needed Sewer Inspection and Testing Services 2013 (INACTIVE)	BE'S Engineers, Inc.	LRE-MBE	20%	0%	\$ 600,000	\$ -	\$ -	2/13/2014	Professional Services	FY 13/14 Q3
DPW	FCE14040	Casero Streetscape Improvements Project	Shilout Bros., Inc.	Non-LRE	25%	43%	\$ 6,813,776	\$ 3,682,127	\$ 8,609,528	1/17/2014	Construction	FY 13/14 Q3
DPW	FPE14021	Better Market Street Environmental Review Services	KCF Jones & Stokes, Inc.	Non-LRE	25%	15%	\$ 2,004,855	\$ 160,503	\$ 1,065,933	1/23/2014	Professional Services	FY 13/14 Q3
DPW	FCE14012	Mission Dolores Park Rehabilitation Project	Kohn Construction, Inc.	Non-LRE	20%	37%	\$ 17,395,541	\$ 5,459,788	\$ 14,758,334	1/23/2014	Construction	FY 13/14 Q3
DPW	APR13110	As Needed Design Services for Health Facility (INACTIVE)	MEI Architects	LRE-MBE	20%	0%	\$ 1,000,000	\$ -	\$ -	1/23/2014	Professional Services	FY 13/14 Q3
DPW	FCE13052	McCOPPIN HUB PLAZA (COMPLETE)	Bauman Landscape & Construction, Inc.	LRE-MBE	25%	22%	\$ 1,473,666	\$ 1,424,506	\$ 1,568,131	1/15/2014	Construction	FY 13/14 Q3
DPW	FCE14016	Pavement Renovation, Sewer Replacement, and Water Main Installation - Gough Street	M Separated Construction, Inc.	LRE-MBE	25%	29%	\$ 9,281,000	\$ 9,015,545	\$ 9,090,597	1/11/2014	Construction	FY 13/14 Q3
DPW	FCE14025	Various Locations Multi-Phase Micro Surfing FY 13-14	Teller Oil Company d/b/a: Window Fuel Co.	Non-LRE	10%	0%	\$ 1,948,500	\$ 7,000	\$ 1,491,986	3/4/2014	Construction	FY 13/14 Q1
DPW	ICE14044	San Jose Shale and Wall Repair (Micro LRE Set Aside Program)	Cogent Construction and Consulting	LRE-MBE	Micro	100%	\$ 114,690	\$ 125,851	\$ 125,851	1/8/2014	Construction	FY 13/14 Q3
DPW	FCE14032	USER Bond No. 1, Firestations No. 2, 18 and 31 Exterior Envelopes	Rothback Construction	LRE-MBE	15%	14%	\$ 494,500	\$ 567,481	\$ 732,915	1/16/2014	Construction	FY 13/14 Q2
DPW	7372A	Office of Chief Medical Examiner Request for Proposal (RFP) for Construction Manager/General Contractor	Clark Construction Group - Carlsbad, LP	Non-LRE	20%	0%	\$ 31,357,200	\$ -	\$ 555,870	12/18/2013	Construction	FY 13/14 Q2
DPW	2048U/ICE14033	Retaining Wall at Market Street between 19th and Damers (Micro LRE Set Aside) (Closed)	Phoenix Electric	LRE-MBE	Micro	0%	\$ 158,460	\$ 218,171	\$ 218,171	12/12/2013	Construction	FY 13/14 Q2
DPW	FPA13103	Architectural & Engineering Design Services for the Office of the Chief Medical Examiner Facility	KMD Architects	Non-LRE	15%	29%	\$ 4,585,129	\$ 1,396,331	\$ 4,777,415	11/4/2013	Professional Services	FY 13/14 Q2
DPW	1942U/ICE14017	County Jail No. 3 & 4 Fire Alarm Replacement (Micro LRE Set Aside) - (CLOSED)	Fidam	LRE-MBE	Micro	0%	\$ 345,000	\$ 122,157	\$ 161,268	12/2/2013	Construction	FY 13/14 Q2
DPW	ICE14038	Highway Structures - New Handrails at Various Locations (Micro LRE Set Aside)	Phoenix Electric	LRE-MBE	Micro	100%	\$ 136,725	\$ 140,164	\$ 140,164	11/2/2013	Construction	FY 13/14 Q2
DPW	1835D-B/GCM4024	So Heated Sidewalk Inspection and Repair Program (SRP) No. 6	Empire Engineering & Construction, Inc.	LRE-MBE	25%	2%	\$ 1,593,365	\$ 1,705,848	\$ 2,765,848	11/2/2013	Construction	FY 13/14 Q1
DPW	2313U/ICE14030	Insulation Improvements - Innes Avenue and Arbolito Walker Drive (Micro LRE Set Aside) (CLOSED)	Empire Engineering & Construction, Inc.	LRE-MBE	Micro	0%	\$ 108,475	\$ 134,503	\$ 134,503	11/20/2013	Construction	FY 13/14 Q2
DPW	ICE14015	San Francisco General Hospital Emergency Generator Project - Steel Work (Micro LRE Set Aside)	Detail General Inc DBA Detail	LRE-MBE	Micro	100%	\$ 85,500	\$ 90,193	\$ 90,193	11/13/2013	Construction	FY 13/14 Q3
DPW	2200U/FCE14020	Proposition K FY 12-13 Various Curb Ramps (CLOSED)	A Ruit Construction	Non-LRE	25%	9%	\$ 574,505	\$ 579,611	\$ 579,611	10/28/2013	Construction	FY 13/14 Q2
DPW	2159U/FCE14015	As Needed Parking Contract No. 3 (CLOSED)	Synergy Project Management	LRE-MBE	25%	11%	\$ 4,010,610	\$ 1,702,002	\$ 1,702,002	10/25/2013	Construction	FY 13/14 Q2
DPW	7318AU/ICE14044	San Francisco County Jail #8 Replacement Phase 2 Deputy Station	KCF Builders	LRE-MBE	15%	22%	\$ 2,225,000	\$ 1,901,080	\$ 2,570,954	10/9/2013	Construction	FY 13/14 Q2
DPW	7438A-S/ICE14014	C.S.T.A. Bond No. 1, Fire Stations No. 10, 13, 17 and 26 Sanitary Enclosure (CLOSED)	CF Centering	LRE-MBE	15%	37%	\$ 345,296	\$ 405,906	\$ 448,504	10/9/2013	Construction	FY 13/14 Q2
DPW	1817U/ICE13104	Duncan Street Stair Replacement Project (Micro LRE Set Aside) - (CLOSED)	Cogent Construction and Consulting	LRE-MBE	Micro	14%	\$ 116,500	\$ 104,119	\$ 310,749	10/9/2013	Construction	FY 13/14 Q2
DPW	FCE14039	ESER Bond No. 1, Firestations No. 36 and No. 44 Renovations	Rothback Construction	LRE-MBE	14%	47%	\$ 6,413,984	\$ 4,694,777	\$ 5,170,452	9/25/2013	Construction	FY 13/14 Q4
DPW	ICE13048/21421	Various Locations Pedestrian Countdown Signals Contract No. 1	Bay Area Lightworks, Inc.	LRE-MBE	27%	25%	\$ 502,000	\$ 1,684,405	\$ 1,084,405	9/20/2013	Construction	FY 13/14 Q1
DPW	APC13095.2	As Needed Environmental Consulting and Planning Services (INACTIVE)	SCA Environmental	LRE-MBE	25%	0%	\$ 600,000	\$ -	\$ -	9/18/2013	Professional Services	FY 13/14 Q1
DPW	FCE13072/1452U (Rebid)	SCMA West Skate Park and Dog Park	Trident Construction, Inc.	LRE-MBE	12%	13%	\$ 3,322,450	\$ 2,625,266	\$ 3,706,681	9/17/2013	Construction	FY 13/14 Q1
DPW	APC13095	As Needed Environmental Consulting and Planning Services (INACTIVE)	Fugro Consultants	Non-LRE	25%	0%	\$ 600,000	\$ -	\$ -	9/12/2013	Professional Services	FY 13/14 Q1
DPW	FCE13093/20671	Pavement Renovation, Sewer Replacement, and Water Main Installation - Silver Avenue	Ranger Pipefitters, Inc.	Non-LRE	20%	45%	\$ 5,425,815	\$ 2,717,116	\$ 6,033,396	9/9/2013	Construction	FY 13/14 Q1
DPW	ICE13084/7115U (Rebid)	Various Locations Pavement Preservation FY 20013-2014 (REBID)	Intermountain Sherry Seal Inc.	Non-LRE	12%	13%	\$ 1,247,247	\$ 135,841	\$ 1,049,012	9/6/2013	Construction	FY 13/14 Q1
DPW	APC13036.4	As Needed Architectural Services Contract (INACTIVE)	Town Eject Picky/Mon Day	Non-LRE	20%	0%	\$ 1,000,000	\$ -	\$ -	9/5/2013	Professional Services	FY 13/14 Q1
DPW	FCE13096/20111	Various Locations Curb Ramps Project No. 4 (COMPLETE)	Bay Area Lightworks, Inc.	LRE-MBE	27%	20%	\$ 493,381	\$ 755,808	\$ 755,808	8/26/2013	Construction	FY 13/14 Q1
DPW	KCP13075/2077	Mitolo Levee Ward Athletic Field Renovation	OC Jones & Sons	Non-LRE	27%	44%	\$ 4,483,000	\$ 2,169,264	\$ 6,856,814	8/16/2013	Construction	FY 13/14 Q1
DPW	3097U/FCE13098	Romero Playground Restroom Renovation (CLOSED)	DFFP DBA Fine Line Construction	Non-LRE	23%	14%	\$ 720,000	\$ 400,651	\$ 775,141	8/15/2013	Construction	FY 13/14 Q1
DPW	GCM4330U/2035D-7	As Needed Sidewalk Inspection and Repair Program (SRP) No. 5	Empire Engineering & Construction, Inc.	LRE-MBE	25%	13%	\$ 1,735,111	\$ 2,181,086	\$ 2,181,086	8/15/2013	Construction	FY 13/14 Q1
DPW	APC13036.3	As Needed Architectural Services Contract (INACTIVE)	Levy Design	LRE-MBE	20%	0%	\$ 1,000,000	\$ -	\$ -	7/29/2013	Professional Services	FY 13/14 Q1
DPW	FCE13091/20611	Pavement Renovation and Sewer Replacement - 20th Ave and Lincoln Way	L.C. General Engineering & Construction, Inc.	LRE-MBE	30%	64%	\$ 3,148,635	\$ 2,728,650	\$ 2,728,650	7/26/2013	Construction	FY 13/14 Q1
DPW	APC13036.2	As Needed Architectural Services Contract (INACTIVE)	Mark Casagrande Associates/Carly Remstels	Non-LRE	20%	0%	\$ 1,000,000	\$ -	\$ -	7/19/2013	Professional Services	FY 13/14 Q1
DPW	APC13036.1	As Needed Architectural Services Contract (INACTIVE)	Paulkett Treppert Architects	LRE-MBE	20%	0%	\$ 2,000,000	\$ -	\$ -	7/19/2013	Professional Services	FY 13/14 Q1
DPW	APC13095.1	As Needed Environmental Consulting and Planning Services (INACTIVE)	Voets Associates	Non-LRE	25%	0%	\$ 600,000	\$ -	\$ -	7/15/2013	Professional Services	FY 13/14 Q1
DPW	APC13095.2	As Needed Environmental Consulting and Planning Services (INACTIVE)	Northgate Environmental	Non-LRE	25%	0%	\$ 600,000	\$ -	\$ -	7/15/2013	Professional Services	FY 13/14 Q1
DPW	APC13095.1	As Needed Environmental Consulting and Planning Services (INACTIVE)	Redline Environmental	Non-LRE	25%	0%	\$ 600,000	\$ -	\$ -	7/15/2013	Professional Services	FY 13/14 Q1
DPW	ICE1310K/22451	Balboa Park Station - Geneva Avenue and Henth Street New Traffic Signal Micro Set Aside	Phoenix Electric	LRE-MBE	Micro	100%	\$ 108,665	\$ 103,211	\$ 103,211	7/9/2013	Construction	FY 13/14 Q1
DPW	ICE1306K/2253A	San Francisco General Hospital Buildings 80 & 90 - Elevator Upgrade	Galbraith Inc. DBA Trico Construction	LRE-MBE	15%	0%	\$ 2,773,065	\$ 117,815	\$ 137,000	7/9/2013	Construction	FY 13/14 Q1

Public Works
FY 15-16 Q1

FY 15-16 Q1 Awarded Contracts		
Total Number of Contracts	19	
Professional Services	6	32%
Construction	13	68%
LBE Primes*	15	79%
Non-LBE Primes*	5	32%
MBE Primes	4	21%
OBE Prime	2	42%
WBE Prime	3	16%
SBA LBE Prime	0	0%

FY 15-16 Q1 Awards		
Amount Awarded	\$ 33,669,436	
Awarded to Non-LBE Primes	\$ 5,100,125	15%
Awarded to Non-LBE Subs	\$ 5,537,027	16%
Awarded to LBEs Primes	\$ 13,691,098	41%
Awarded to LBE Subs	\$ 9,341,185	28%
Awarded to MBE Primes	\$ 2,769,282	8%
Awarded to MBE Subs	\$ 2,953,096	9%
Awarded to OBE Primes	\$ 9,512,478	28%
Awarded to OBE Subs	\$ 4,112,489	12%
Awarded to WBE Primes	\$ 1,409,338	4%
Awarded to WBE Subs	\$ 2,275,600	7%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Subs	\$ -	0%

July 1, 2013-September 30, 2015 Payments**		
Total Paid	\$ 161,902,684	
Paid to Non-LBEs Primes	\$ 29,719,401	18%
Paid to Non-LBE Subs**	\$ 13,874,958	9%
Paid to LBEs Primes	\$ 70,965,407	44%
Paid to LBE Subs	\$ 47,342,918	29%
Paid to MBE Primes	\$ 29,693,324	18%
Paid to MBE Subs	\$ 15,814,755	10%
Paid to OBE Primes	\$ 32,974,045	20%
Paid to OBE Subs	\$ 27,038,904	17%
Paid to WBE Primes	\$ 4,329,184	3%
Paid to WBE Subs	\$ 4,489,259	3%
Paid to SBA-LBE Primes	\$ 3,968,855	2%
Paid to SBA-LBE Subs	\$ -	0%

FY 15-16 Q1 Micro Set Aside Contracts	
Total Eligible	6
Total Awarded	3

*Includes joint venture partnerships with LBE and Non-LBE members
**Based on information from DPW CAT System, January/February 2016

Public Works
FY 15-16 Q2
updated 10/19/2016

FY 15-16 Q2 Awarded Contracts		
Total Number of Contracts	17	
Professional Services	7	41%
Construction	10	59%
LBE Primes	12	71%
Non-LBE Primes	7	41%
MBE Primes	7	41%
OBE Prime	4	24%
WBE Prime	1	6%
SBA LBE Prime	0	0%

FY 15-16 Q2 Awards		
Amount Awarded	\$ 46,537,897	
Awarded to Non-LBE Primes	\$ 15,090,399	32%
Awarded to Non-LBE Subs	\$ 3,598,495	8%
Awarded to LBEs Primes	\$ 18,151,887	39%
Awarded to LBE Subs	\$ 9,697,116	21%
Awarded to MBE Primes	\$ 7,334,023	16%
Awarded to MBE Subs	\$ 4,631,711	10%
Awarded to OBE Primes	\$ 10,537,865	23%
Awarded to OBE Subs	\$ 4,390,005	9%
Awarded to WBE Primes	\$ 280,000	1%
Awarded to WBE Subs	\$ 675,400	1%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 1, 2013-December 31, 2015 Payments**		
Total Paid	\$ 169,574,929	
Paid to Non-LBEs Primes	\$ 30,225,092	19%
Paid to Non-LBE Subs	\$ 13,874,958	9%
Paid to LBEs Primes	\$ 77,096,352	48%
Paid to LBE Subs	\$ 47,342,918	29%
Paid to MBE Primes	\$ 33,603,734	21%
Paid to MBE Subs	\$ 15,814,755	10%
Paid to OBE Primes	\$ 33,162,581	20%
Paid to OBE Subs	\$ 27,038,904	17%
Paid to WBE Primes	\$ 4,329,184	3%
Paid to WBE Subs	\$ 4,489,259	3%
Paid to SBA-LBE Primes	\$ 5,000,854	4%
Paid to SBA-LBE Subs	\$ -	0%

FY 14-15 Q2 Micro Set Aside Contracts	
Total Eligible	3
Total Awarded	2

*Includes joint venture partnerships with LBE and Non-LBE members
**Based on information from DPW CAT System, January/February 2016

Public Works
FY 15-16 Q3

FY 15-16 Q3 Awarded Contracts		
Total Number of Contracts	26	
Professional Services	10	38%
Construction	16	62%
LBE Primes*	20	77%
Non-LBE Primes*	8	31%
MBE Primes	11	42%
OBE Prime	5	19%
WBE Prime	4	15%
SBA LBE Prime	0	0%

FY 15-16 Q3 Awards		
Amount Awarded	\$ 128,042,874	
Awarded to Non-LBE Primes	\$ 61,852,942	55%
Awarded to Non-LBE Subs	\$ 5,363,163	4%
Awarded to LBEs Primes	\$ 41,856,574	24%
Awarded to LBE Subs	\$ 18,970,195	18%
Awarded to MBE Primes	\$ 27,092,070	6%
Awarded to MBE Subs	\$ 6,164,522	9%
Awarded to OBE Primes	\$ 13,324,140	9%
Awarded to OBE Subs	\$ 8,237,913	8%
Awarded to WBE Primes	\$ 1,440,364	9%
Awarded to WBE Subs	\$ 4,467,760	1%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 1, 2013-March 31, 2016 Payments**		
Total Paid	\$ 191,015,142	
Paid to Non-LBEs Primes	\$ 31,812,643.01	19%
Paid to Non-LBE Subs	\$ 16,247,462.43	8%
Paid to LBEs Primes	\$ 86,779,679.92	63%
Paid to LBE Subs	\$ 56,175,356.40	10%
Paid to MBE Primes	\$ 36,346,656.57	15%
Paid to MBE Subs	\$ 19,832,937.94	4%
Paid to OBE Primes	\$ 38,517,023.29	29%
Paid to OBE Subs	\$ 30,800,227.52	6%
Paid to WBE Primes	\$ 5,345,216.23	14%
Paid to WBE Subs	\$ 3,542,190.94	1%
Paid to SBA Primes	\$ 6,570,783.83	5%
Paid to SBA Subs	\$ -	0%

FY 15-16 Q3 Micro Set Aside Contracts		
Total Eligible	5	
Total Awarded	5	

*Includes joint venture partnerships with LBE and Non-LBE members

**Based on information from DPW CAT System, September 2016

Public Works
FY 15-16 Q4

FY 15-16 Q4 Awarded Contracts		
Total Number of Contracts	12	
Professional Services	4	33%
Construction	8	67%
LBE Primes	10	83%
Non-LBE Primes	2	17%
MBE Primes	5	42%
OBE Prime	2	17%
WBE Prime	2	17%
SBA LBE Prime	1	0%

FY 15-16 Q4 Awards		
Amount Awarded	\$ 40,665,683	
Awarded to Non-LBE Primes	\$ 1,328,000	3%
Awarded to Non-LBE Subs	\$ 2,693,452	7%
Awarded to LBEs Primes	\$ 28,349,032	70%
Awarded to LBE Subs	\$ 8,295,200	20%
Awarded to MBE Primes	\$ 3,682,873	9%
Awarded to MBE Subs	\$ 3,924,079	10%
Awarded to OBE Primes	\$ 9,451,405	23%
Awarded to OBE Subs	\$ 3,562,726	8%
Awarded to WBE Primes	\$ 2,519,082	6%
Awarded to WBE Subs	\$ 1,008,395	2%
Awarded to SBA Prime	\$ 12,695,672	31%
Awarded to SBA Sub	\$ -	0%

July 1, 2013-June 30, 2016 Payments*		
Total Paid	\$ 213,490,963	
Paid to Non-LBEs Primes	\$ 33,409,194	
Paid to Non-LBE Subs	\$ 18,619,967	
Paid to LBEs Primes	\$ 96,463,008	
Paid to LBE Subs	\$ 65,007,795	
Paid to MBE Primes	\$ 39,089,579	
Paid to MBE Subs	\$ 23,851,121	
Paid to OBE Primes	\$ 43,871,466	
Paid to OBE Subs	\$ 34,561,551	
Paid to WBE Primes	\$ 6,361,249	
Paid to WBE Subs	\$ 6,595,123	
Paid to SBA Primes	\$ 7,140,714	
Paid to SBA Subs	\$ -	

FY 15-16 Q4 Micro Set Aside Contracts		
Total Eligible	3	
Total Awarded	3	

*Based on information from DPW CAT System, September 2016

Public Works

FY 15-16

FY 15-16 Awarded Contracts		
Total Number of Contracts	74	
Professional Services	27	36%
Construction	47	64%
LBE Primes*	57	77%
Non-LBE Primes*	23	31%
MBE Primes	27	36%
OBE Prime	19	26%
WBE Prime	10	14%
SBA LBE Prime	1	1%

FY 15-16 Awards		
Amount Awarded	\$ 248,915,889	
Awarded to Non-LBE Primes	\$ 85,371,467	33%
Awarded to Non-LBE Subs	\$ 17,192,137	7%
Awarded to LBEs Primes	\$ 102,048,591	41%
Awarded to LBE Subs	\$ 45,303,695	19%
Awarded to MBE Primes	\$ 40,878,248	16%
Awarded to MBE Subs	\$ 17,673,407	7%
Awarded to OBE Primes	\$ 42,825,888	17%
Awarded to OBE Subs	\$ 20,203,133	8%
Awarded to WBE Primes	\$ 5,548,784	2%
Awarded to WBE Subs	\$ 8,427,155	3%
Awarded to SBA Prime	\$ 12,695,672	5%
Awarded to SBA Sub	\$ -	0%

*Includes joint venture partnerships with LBE and Non-LBE members

FY 15-16 Micro Set Aside Contracts	
Total Eligible	17
Total Awarded	13

Department	Contract Number	Project Name	Prime	Prime LRE	Status	LRE Goal	Sub Goal To	Amount	Total Payments to LREs	Total Contract Payments	MT/PO	Contract Compliance Officer	Industry	Quarter
PUC	WW-530	DDP Mainline Connection/Hillside Sewer Replacement (Closed)	PRECISION ENGINEERING INC	LRE-MRE	10%	5%	\$	2,861,147	\$	3,517,479	6/16/2013	Hadas Rivera-Weiss	Construction	FY 13-14 Q1
PUC	CS-296.C	HSP Professional and Engineering Support Services	ALCONGAS JV	Non-LRE	10%	0%	\$	2,500,000	\$	172,025	7/2/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	CS-296.D	HSP Professional and Engineering Support Services	M W R AMERICA INC	Non-LRE	10%	20%	\$	2,500,000	\$	572,127	7/2/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	WD-2095	Auxiliary Water Supply System - New Citrus A (Closed)	Triton Construction	LRE-ORE	22%	22%	\$	3,436,500	\$	3,436,156	7/15/2013	Bayard Fong	Construction	FY 13-14 Q1
PUC	CS-295	Digester Southwest Planning	Brown and Calabro	Non-LRE	30%	15%	\$	89,800.00	\$	4,280,000	8/2/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	CS-296.B	HSP Professional and Engineering Support Services	CH2M HILL ENGINEERS INC	Non-LRE	10%	23%	\$	2,500,000	\$	593,690	7/25/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	CS-296.E	HSP Professional and Engineering Support Services (Inactive)	CB&I GOVERNMENT SOLUTIONS INC	Non-LRE	30%	43%	\$	2,500,000	\$	482,413	7/25/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	WD-2020	8 and 12 inch DI Mains Installation and Pavement Renovation in Bryant Street (CLOSED)	M Squared Construction	LRE-ORE	10%	22%	\$	3,737,165	\$	3,884,127	8/12/2013	Hadas Rivera-Weiss	Construction	FY 13-14 Q1
PUC	CS-296.A	HSP Professional and Engineering Support Services	BLACK & VEATCH CORPORATION	Non-LRE	30%	0%	\$	2,500,000	\$	404,220	8/19/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	CS-315.A	As-Needed Geotechnical Engineering Services	AGS	LRE-MRE	15%	100%	\$	1,500,000	\$	218,794	8/19/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	CS-315.B	As-Needed Geotechnical Engineering Services	ARUP/Terra Engineering	Non-LRE	15%	0%	\$	1,500,000	\$	42,236	8/19/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	CS-315.C	As-Needed Geotechnical Engineering Services	Geotechnical Consultants, Inc.	LRE-MRE	15%	0%	\$	2,500,000	\$	383,085	8/19/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	WD-2008B	Branch DDP Mains Installation in Jackson, Washington, Sparrows and Davis Streets	Engle Engineering & Construction, Inc.	LRE-MRE	10%	1%	\$	3,130,389	\$	3,268,979	8/19/2013	Hadas Rivera-Weiss	Construction	FY 13-14 Q1
PUC	WW-551	18th/25th/Carroll/Connecticut/Indiana/Monroe/Texas/ Workman Streets Sewer	Harty Pipelines, Inc	LRE-MRE	10%	15%	\$	3,385,000	\$	2,322,113	8/19/2013	Regina (Chen) Du	Construction	FY 13-14 Q1
PUC	WW-558R	As-Needed Sewer Cleaning (Closed)	Powen Management	Non-LRE	9%	4%	\$	1,594,500	\$	72,096	8/19/2013	Regina (Chen) Du	Construction	FY 13-14 Q1
PUC	CS-211.B	Specified and Technical Services, Natural Resources and Land Mgmt Dir., Water Enterprise	ICF-AVILA JV	Non-LRE	13%	0%	\$	5,000,000	\$	3,579,806	8/21/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	CS-211.C	Specified and Technical Services, Natural Resources and Land Mgmt Dir., Water Enterprise	CB&I GOVERNMENT SOLUTIONS INC	Non-LRE	10%	34%	\$	5,000,000	\$	164,518	8/21/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	CS-211.D	Specified and Technical Services, Natural Resources and Land Mgmt Dir., Water Enterprise	U R S CORPORATION	Non-LRE	13%	8%	\$	5,000,000	\$	218,500	8/21/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	WD-2082	Branch Ductile Iron Pipe Main Installation in 25th St, Nac, Hoffman (Closed)	M Hernandez	LRE-MRE	10%	11%	\$	1,218,345	\$	1,223,318	8/21/2013	Regina (Chen) Du	Construction	FY 13-14 Q1
PUC	WW-557	As-Needed Spot Sewer Repair (Closed)	J FLORES CONSTRUCTION COMPANY INC	LRE-ORE	10%	0%	\$	6,944,815	\$	7,608,979	8/26/2013	Regina (Chen) Du	Construction	FY 13-14 Q1
PUC	WW-545	10th, 21st, 22nd Avenue & Catalina/California Streets (Closed)	Shaw Pipeline	LRE-ORE	10%	100%	\$	3,099,477	\$	3,099,477	9/1/2013	Bayard Fong	Construction	FY 13-14 Q1
PUC	WW-549	Rechanal/Alvarado/Plummer/Green/Pierce and Street Streets Sewer Replacement (CLOSED)	PRECISION ENGINEERING INC	LRE-MRE	10%	8%	\$	2,128,500	\$	2,102,507	9/3/2013	Hadas Rivera-Weiss	Construction	FY 13-14 Q1
PUC	CS-211.A	Specified and Technical Services, Natural Resources and Land Mgmt Dir., Water Enterprise	CDM SMITH & A-T-S JV	Non-LRE	13%	6%	\$	5,000,000	\$	894,182	9/3/2013	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	WW-548	Avila/Bar/Brady/Chapman/Franco/Scott/Scott Streets and Capra Way Sewer Replacement (Closed)	O'Arcy & Hart	LRE-ORE	17%	98%	\$	2,430,595	\$	2,452,144	9/9/2013	Regina (Chen) Du	Construction	FY 13-14 Q1
PUC	WD-2083R	Branch Ductile Iron Pipe Main Installation in Indiana and Tennessee Streets and 26th St	M Squared Construction	LRE-ORE	21%	4%	\$	2,445,050	\$	2,042,168	9/10/2013	Bayard Fong	Construction	FY 13-14 Q1
PUC	CS-306.B	As-Needed Contracting and Employment Technical Support Services	Bueller Enterprises Group	LRE-MRE	Micro	0%	\$	50,000	\$	79,459	9/10/2013	Regina (Chen) Du	Professional Services	FY 13-14 Q1
PUC	CS-306.C	As-Needed Contracting and Employment Technical Support Services	IBM Partners	LRE-MRE	Micro	50%	\$	50,000	\$	97,205	10/28/2013	Regina (Chen) Du	Professional Services	FY 13-14 Q1
PUC	CS-306.F	As-Needed Contracting and Employment Technical Support Services	Wentworth & Williams Insurance	LRE-MRE	Micro	100%	\$	50,000	\$	49,528	10/15/2013	Regina (Chen) Du	Professional Services	FY 13-14 Q1
PUC	CS-306.H	As-Needed Contracting and Employment Technical Support Services	The Tech Group	LRE-MRE	Micro	100%	\$	50,000	\$	14,758	11/6/2013	Regina (Chen) Du	Professional Services	FY 13-14 Q1
PUC	CS-287	Head Entry and Land Negotiation Services	ECONOMIC & PLANNING SYSTEMS INC	Non-LRE	22%	4%	\$	430,000	\$	874,250	10/28/2013	Mindy Lee	Professional Services	FY 13-14 Q1
PUC	CS-191.B	As-Needed Energy Efficiency Services	Insivity Inc	Non-LRE	6%	0%	\$	2,125,000	\$	111,499	11/19/2013	Mindy Lee	Professional Services	FY 13-14 Q1
PUC	WD-2085	Auxiliary Water Supply System, Reservoir, and Tanks Improvement Project	Cal State Constructors	LRE-ORE	14%	24%	\$	8,400,000	\$	6,979,954	11/19/2013	Bayard Fong	Construction	FY 13-14 Q1
PUC	WD-2096	AWSS New Citrus B Corridor	And Works Inc	LRE-MRE	15%	50%	\$	5,013,590	\$	4,004,083	12/2/2013	Mindy Lee	Construction	FY 13-14 Q1
PUC	CS-137	Specified and Technical Services - Restoration and Rehabilitation	Orion Environmental Associates	LRE-MRE	11%	25%	\$	1,960,000	\$	643,191	1/2/2014	Hadas Rivera-Weiss	Professional Services	FY 13-14 Q1
PUC	CS-193.A	As-Needed Energy Efficiency Services	ENV Engineering	Non-LRE	6%	0%	\$	2,315,000	\$	399,302	12/27/2013	Mindy Lee	Professional Services	FY 13-14 Q1
PUC	CS-193.C	As-Needed Energy Efficiency Services	ENERGIC INC	Non-LRE	6%	4%	\$	2,375,000	\$	22,781	1/13/2014	Mindy Lee	Professional Services	FY 13-14 Q1
PUC	WW-546R	15th/16th/17th/18th/20th Avenues & California/Clement St Sewer Replacement & Reservoir Renovation (Closed)	Harty Pipelines, Inc	LRE-MRE	17%	100%	\$	3,899,730	\$	4,044,162	1/13/2014	Regina (Chen) Du	Construction	FY 13-14 Q1
PUC	WW-522R	Clay, Cherry, and Catfish St. Sewer Replacement, Water Main Installation, and Pavement Renovation (Closed)	Shaw Pipeline	LRE-ORE	14%	45%	\$	4,466,518	\$	4,383,492	2/4/2014	Regina (Chen) Du	Construction	FY 13-14 Q1
PUC	WW-584	As-Needed Spot Sewer Repair (Closed)	J FLORES CONSTRUCTION COMPANY INC	LRE-ORE	10%	7%	\$	6,589,265	\$	7,743,265	2/10/2014	Regina (Chen) Du	Construction	FY 13-14 Q1
PUC	WW-583	As-Needed Main Sewer Replacement	PRECISION ENGINEERING INC	LRE-MRE	10%	1%	\$	3,134,100	\$	3,128,565	2/18/2014	Bayard Fong	Construction	FY 13-14 Q1
PUC	WW-585	15th, 17th, 21st, 44th, Granada, Mela and Catalina Avenues Sewer Replacement (CLOSED)	Shaw Pipeline	LRE-ORE	16%	16%	\$	2,634,535	\$	2,837,002	2/18/2014	Hadas Rivera-Weiss	Construction	FY 13-14 Q1
PUC	WW-586	18th, 25th, 35th, 4th Avenues and Delaney/Fulton/Fern/Huachuca/Madison Streets Sewer Replacement (Closed)	J FLORES CONSTRUCTION COMPANY INC	LRE-ORE	14%	21%	\$	2,354,190	\$	2,918,766	3/3/2014	Bayard Fong	Construction	FY 13-14 Q1
PUC	WD-2097	Auxiliary Water Supply System - New Citrus C (Closed)	Cal State Constructors	LRE-ORE	15%	17%	\$	4,147,000	\$	3,817,886	3/3/2014	Mindy Lee	Construction	FY 13-14 Q1
PUC	WW-556	Southeast Water Pollution Control Plant Chemical System Relocation and Facility Upgrades	H T K CONSTRUCTION INC	Non-LRE	33%	18%	\$	14,025,000	\$	10,063,623	3/18/2014	Hadas Rivera-Weiss	Construction	FY 13-14 Q1
PUC	WW-547	Alvarado/Alvarado/Alvarado Streets and Malibu/Reino/Toledo Way Sewer Replacement (Closed)	PRECISION ENGINEERING INC	LRE-MRE	17%	15%	\$	2,626,560	\$	2,497,585	4/7/2014	Hadas Rivera-Weiss	Construction	FY 13-14 Q1
PUC	CS-283.D	As-Needed Energy Efficiency Services	CE&ERS JV	Non-LRE	6%	0%	\$	2,375,000	\$	345,756	4/17/2014	Mindy Lee	Professional Services	FY 13-14 Q1
PUC	WD-2076A	Catholic Protection for 36, 38, 42 & 48 Steel Pipe Transmission Main of University Island System	And Works Inc	LRE-MRE	16%	8%	\$	640,300	\$	829,372	4/28/2014	Bayard Fong	Construction	FY 13-14 Q1
PUC	WD-2772	Panorama Pipelines Scheme Upgrade	RANGER PIPELINES INC	Non-LRE	13%	22%	\$	20,736,300	\$	2,718,069	4/28/2014	Bayard Fong	Construction	FY 13-14 Q1
PUC	CS-258.A	As-Needed Environmental Services for SSP HSP	ICF/Panorama Environmental	Non-LRE	15%	91%	\$	4,850,000	\$	355,666	5/12/2014	Regina (Chen) Du	Professional Services	FY 13-14 Q1
PUC	CS-258.B	As-Needed Environmental Services for SSP HSP	URS	Non-LRE	15%	0%	\$	4,850,000	\$	12,716	5/12/2014	Regina (Chen) Du	Professional Services	FY 13-14 Q1
PUC	CS-258.C	As-Needed Environmental Services for SSP HSP	CSA & Olson	Non-LRE	15%	0%	\$	4,850,000	\$	-	5/12/2014	Regina (Chen) Du	Professional Services	FY 13-14 Q1
PUC	WD-2705	Town of Santa Fe Suppression System	And Works Inc	LRE-MRE	23%	13%	\$	2,863,465	\$	3,973,465	5/20/2014	Bayard Fong	Construction	FY 13-14 Q1
PUC	WW-559R	Flood Gas Monitoring System Upgrade	U.S. ELECTRIC TECHNOLOGIES INC	Non-LRE	10%	3%	\$	2,484,000	\$	65,680	5/23/2014	Mindy Lee	Construction	FY 13-14 Q1

Department	Contract Number	Project Name	Firm	Prime LBL Status	Est. Cost	Est. Cost To Date	Amount	Total Payments to LBLs	Total Contract Payments	M/F/P/O (Construction/PS)	Contract Compliance Officer	Industry	Quarter
PUC	WD-2667	8-inch Ductile Iron Water Main Replacement and Pavement Renovation on Mission Street	M HERNANDEZ CONSTEN DBA HERNANDEZ ENGINEERS	LBE-MBE	18%	0%	\$ 2,441,679	\$ 2,054,004	\$ 2,052,204	7/21/2014	Nadine Rivera Weiss	Construction	FY 14-15 Q3
PUC	WW-562	Instrumentation & Back-Up Power Systems Improvements	PHIDEN ELECTRIC COMPANY	LBE-MBE	10%	0%	\$ 1,190,735	\$ 1,098,437	\$ 1,098,437	7/21/2014	Mindy Lee	Construction	FY 14-15 Q1
PUC	CS-24.8	Security Consulting Design Services	THE CONSULTING GROUP	Non-LBE	9%	0%	\$ 2,000,000	\$ -	\$ 1,167,763	8/7/2014	Bayard Fong	Professional Services	FY 14-15 Q1
PUC	WD-2622	San Francisco Gas and/or Sewer Supply Pipeline	RANGER PIPELINES INC	Non-LBE	30%	23%	\$ 7,654,115	\$ 7,851,451	\$ 8,241,014	8/24/2014	Nadine Rivera Weiss	Construction	FY 14-15 Q1
PUC	WW-591	As-Needed Spot Sewer Replacement	J FLORIS CONSTRUCTION COMPANY INC	LBE-MBE	7%	7%	\$ 6,415,224	\$ 6,915,225	\$ 6,915,225	8/25/2014	Regina Chan (In)	Construction	FY 14-15 Q1
PUC	WW-592	As-Needed Main Sewer Replacement (Closed)	BARTY PIPELINE INC	LBE-MBE	14%	10%	\$ 2,766,898	\$ 3,911,741	\$ 3,911,741	8/25/2014	Bayard Fong	Construction	FY 14-15 Q1
PUC	HN-3638	Mountain Control and Sewer Building	ROBERT E KOPPEL CONSTRUCTION INC	PUC-LBE	13%	14%	\$ 6,611,150	\$ 5,835,041	\$ 7,000,296	7/26/2014	Regina Chan (In)	Construction	FY 14-15 Q1
PUC	WD-2685	Alameda Water Supply System Pumping Station No. 1 Improvements (2014)	N T C CONSTRUCTION INC	Non-LBE	10%	8%	\$ 2,947,000	\$ 475,611	\$ 5,814,565	10/29/2014	Mindy Lee	Construction	FY 14-15 Q2
PUC	WW-595	San Francisco Water Pollution Control Plant Total Solids and BOD/Water Streets Sewer Replacement	SHAW PIPELINE INC	LBE-MBE	13%	0%	\$ 2,564,815	\$ 1,411,828	\$ 3,413,828	10/27/2014	Mindy Lee	Construction	FY 14-15 Q2
PUC	CS-29.1A	R-13 Framework Unemployment Library and Education	CENTER FOR COMMUNITY	Non-LBE	23%	0%	\$ 100,000	\$ -	\$ 61,572	11/13/2014	Regina Chan (In)	Professional Services	FY 14-15 Q2
PUC	DB-124	San Francisco Valley Communication System Upgrade	COMMUNICATION SERVICES INC	Non-LBE	6%	8%	\$ 6,990,959	\$ 477,010	\$ 6,000,441	11/17/2014	Mindy Lee	Construction	FY 14-15 Q2
PUC	CS-386.0	As-Needed Engineering Design Services	MAWH AGES INC	Non-LBE	14%	7%	\$ 3,000,000	\$ 260,985	\$ 840,452	11/24/2014	Bayard Fong	Professional Services	FY 14-15 Q2
PUC	CS-386.A	As-Needed Engineering Design Services	IS AGS INC	Non-LBE	14%	16%	\$ 3,000,000	\$ 280,300	\$ 855,800	11/24/2014	Bayard Fong	Professional Services	FY 14-15 Q2
PUC	CS-386.C	As-Needed Engineering Design Services	URS CORPORATION	Non-LBE	14%	23%	\$ 3,000,000	\$ 318,493	\$ 1,152,086	11/24/2014	Bayard Fong	Professional Services	FY 14-15 Q2
PUC	CS-38	Planning and Engineering Services Southeast Plant New 250 MGD Headworks Facility	CAROLLO ENGINEERS PC	Non-LBE	12%	11%	\$ 14,000,000	\$ 374,725	\$ 3,508,706	12/19/2014	Bayard Fong	Professional Services	FY 14-15 Q2
PUC	WW-527A	Westlake Pump Station Discharge Pipe Main/Field Upgrade	CAL STATE CONSTRUCTORS INC	LBE-MBE	10%	13%	\$ 3,400,000	\$ 2,247,218	\$ 2,572,139	09/15/2014	Regina Chan (In)	Construction	FY 14-15 Q2
PUC	HN-976	Coast Range Tunnel Inspection Access Work (CLOSED)	TRINITY CONSTRUCTION INC	LBE-MBE	11%	11%	\$ 785,651	\$ 876,276	\$ 876,176	11/18/2014	Nadine Rivera Weiss	Construction	FY 14-15 Q2
PUC	WW-938	As-Needed Sewer Cleaning and Inspection	A KURE CONSTRUCTION CO AND ASSOC INC	Non-LBE	10%	9%	\$ 1,594,300	\$ 417,818	\$ 1,818,924	12/1/2014	Regina Chan (In)	Construction	FY 14-15 Q2
PUC	WD-2712	8-inch LBM Installation on Alameda & 25th Streets	M SQUARED CONSTRUCTION INC	LBE-MBE	12%	7%	\$ 1,375,000	\$ 1,374,801	\$ 1,374,801	12/16/2014	Nadine Rivera Weiss	Construction	FY 14-15 Q2
PUC	JOC-47K	General Engineering (A License), San Francisco/Panama/Est Bay	VERBA BUEHA ENGINEERING & CONSULTING INC	Non-LBE	30%	4%	\$ 5,000,000	\$ 65,556	\$ 1,557,017	12/19/2014	Regina Chan (In)	Construction	FY 14-15 Q2
PUC	JOC-408	General Engineering (A License), San Francisco/Panama/Est Bay	TRINITY CONSTRUCTION INC	LBE-MBE	10%	14%	\$ 3,000,000	\$ 2,458,510	\$ 2,458,480	12/29/2014	Mindy Lee	Construction	FY 14-15 Q2
PUC	CS-25.4F	HAZWOPER Training	GOLDEN GATE ENVIRONMENTAL	LBE-MBE	5%	0%	\$ 746,500	\$ 39,337	\$ 39,337	1/13/2015	Mindy Lee	Professional Services	FY 14-15 Q3
PUC	WW-599	Oceanwide Water Pollution Control Plant Total Solid Control and Waste Activated Sludge System Upgrade	ANPOL BUILDERS INC	LBE-MBE	14%	10%	\$ 1,540,500	\$ 996,431	\$ 1,525,061	1/18/2015	Bayard Fong	Construction	FY 14-15 Q3
PUC	CS-296	Engineering Services for the Placitas Dam and Reservoir Improvements Project	URS CORPORATION	Non-LBE	14%	0%	\$ 3,000,000	\$ -	\$ 173,152	2/6/2015	Mindy Lee	Professional Services	FY 14-15 Q3
PUC	WD-2745	Avalon Water Supply System New Customs D	AVALON WORKS INC	LBE-MBE	14%	42%	\$ 4,247,350	\$ 5,565,561	\$ 5,901,004	2/9/2015	Regina Chan (In)	Construction	FY 14-15 Q3
PUC	CS-380	San Francisco Groundwater Monitoring Services	NORTHGATE AGS JOINT VENTURE	Non-LBE	14%	3%	\$ 1,500,000	\$ 25,910	\$ 114,154	2/11/2015	Nadine Rivera Weiss	Professional Services	FY 14-15 Q3
PUC	WW-601	As-Needed Spot Sewer Replacement	J FLORIS CONSTRUCTION COMPANY INC	Non-LBE	7%	8%	\$ 5,981,565	\$ 489,820	\$ 6,031,624	2/12/2015	Regina Chan (In)	Construction	FY 14-15 Q3
PUC	WD-2506	8" BIM Installation on Clement from Arguello to 24th Ave	M SQUARED CONSTRUCTION INC	LBE-MBE	13%	1%	\$ 3,071,131	\$ 2,170,195	\$ 2,170,195	2/19/2015	Regina Chan (In)	Construction	FY 14-15 Q3
PUC	WD-2671A	San Francisco Groundwater Supply Well Stations	WESTERLY WATER CONSTRUCTORS INC	Non-LBE	18%	46%	\$ 14,955,100	\$ 2,306,512	\$ 5,039,541	3/14/2015	Bayard Fong	Construction	FY 14-15 Q3
PUC	CS-388	Over System Science Support	MCBRIN ASSOCIATES	Non-LBE	12%	4%	\$ 1,500,000	\$ 6,241	\$ 141,531	3/24/2015	Nadine Rivera Weiss	Professional Services	FY 14-15 Q3
PUC	JOC-52	Electrical (C-10 License), San Francisco, Peninsula, and East Bay	US ELECTRIC TECHNOLOGIES INC	LBE-MBE	13%	0%	\$ 3,040,000	\$ 699,570	\$ 699,570	3/16/2015	Regina Chan (In)	Construction	FY 14-15 Q3
PUC	CS-297.C	As-Needed Construction Management Services	ENVIRONMENTAL & CONSTRUCTION DESIGN INC	LBE-MBE	15%	0%	\$ 4,000,000	\$ 499,811	\$ 499,811	4/3/2015	Bayard Fong	Professional Services	FY 14-15 Q4
PUC	WD-2648	Regional Groundwater Storage & Recovery	HANGER PIPELINES INC	Non-LBE	14%	28%	\$ 41,920,047	\$ 6,096,018	\$ 31,657,388	4/6/2015	Mindy Lee	Construction	FY 14-15 Q4
PUC	CS-297.A	As-Needed Construction Management Services	AVILA & ASSOC CONSULTING ENGINEERS INC	LBE-MBE	15%	0%	\$ 4,000,000	\$ 756,786	\$ 756,786	4/6/2015	Bayard Fong	Professional Services	FY 14-15 Q4
PUC	CS-297.B	As-Needed Construction Management Services	CPWA ALTA IV	Non-LBE	15%	17%	\$ 4,000,000	\$ 356,085	\$ 606,445	4/6/2015	Bayard Fong	Professional Services	FY 14-15 Q4
PUC	CS-297.D	As-Needed Construction Management Services	MAC & ASSOCIATES LLC	LBE-MBE	10%	24%	\$ 4,000,000	\$ 718,786	\$ 911,466	4/6/2015	Bayard Fong	Professional Services	FY 14-15 Q4
PUC	JOC-55	Electrical (C-10 License), Hetch, Hetch	SYSTEM 3 INC	Non-LBE	6%	0%	\$ 5,000,000	\$ -	\$ 8,038	4/16/2015	Bayard Fong	Construction	FY 14-15 Q4
PUC	WD-2750	Site Remediation at 570 India Blvd Drive	ENGINEERING/REMEDIATION RESOURCES GROUP	Non-LBE	17%	45%	\$ 9,710,716	\$ 4,668,610	\$ 10,360,023	4/17/2015	Bayard Fong	Construction	FY 14-15 Q4
PUC	CS-284.6	HAZWOPER Training	SAFETY COMPLIANCE MANAGEMENT INC	Non-LBE	10%	0%	\$ 220,910	\$ -	\$ -	4/20/2015	Mindy Lee	Professional Services	FY 14-15 Q4
PUC	WW-530A	Schenck Street Sewer System Improvement	J M B CONSTRUCTION	Non-LBE	10%	0%	\$ 3,814,353	\$ 7,049	\$ 3,881,016	4/22/2015	Nadine Rivera Weiss	Construction	FY 14-15 Q4
PUC	CS-266	Emergency Planning, Technical Assistance and Training Support	ARETAM TECHNICAL SERVICES INC	Non-LBE	10%	0%	\$ 1,000,000	\$ -	\$ 188,009	4/29/2015	Regina Chan (In)	Professional Services	FY 14-15 Q4
PUC	CS-100.4B	Engineering Design Services for Oceanwide Plant	M W H AMERICAS INC	Non-LBE	13%	38%	\$ 3,500,000	\$ 206,285	\$ 1,130,716	5/14/2015	Bayard Fong	Professional Services	FY 14-15 Q4
PUC	WD-2615	8" Ductile Iron Water Main Installation on McAllister, Fulton & Grove Streets	M SQUARED CONSTRUCTION INC	LBE-MBE	14%	0%	\$ 1,633,030	\$ 1,495,850	\$ 1,495,850	4/8/2015	Bayard Fong	Construction	FY 14-15 Q4
PUC	CS-29.1L	As-Needed Communication and Marketing Services	BARNES MOSHER WHITTONS LAUTER & JAYNES	LBE-MBE	10%	0%	\$ 500,000	\$ 67,450	\$ 67,450	4/10/2015	Regina Chan (In)	Professional Services	FY 14-15 Q4
PUC	WW-540	Oceanwide Water Pollution Control Plant, W2 & W3 Water System Improvements	CAL STATE CONSTRUCTORS INC	LBE-MBE	18%	8%	\$ 3,484,047	\$ 933,513	\$ 1,119,608	4/17/2015	Bayard Fong	Construction	FY 14-15 Q4
PUC	HN-640	San Pedro Reservoir Crossing 155V & 250V Transmission Lines	ELITE SYSTEM 3 INC	Non-LBE	11%	17%	\$ 3,014,446	\$ 504,708	\$ 2,975,065	4/22/2015	Regina Chan	Construction	FY 14-15 Q4
PUC	PRO-000A	Hetch Hetch Study (CANCELLED)	N T C GOVERNMENT FINANCE GROUP	Non-LBE	10%	0%	\$ 200,000,000	\$ -	\$ 92,384.10	7/17/2015	Nadine Rivera Weiss	Professional Services	Q1 FY 15-16
PUC	CS-100	As-Needed Green Infrastructure Design and Engineering Services	MAWH AGES INC IV	Non-LBE	10%	11%	\$ 2,000,000.00	\$ 12,631.86	\$ 27,134.47	7/18/2015	Bayard Fong	Professional Services	Q1 FY 15-16
PUC	CS-172.B	Structural Engineering Services for the Seismic Upgrade of the Southeast Plant	SEMA HILL INC	Non-LBE	14%	0%	\$ 2,500,000.00	\$ 176,738.21	\$ 440,595.35	7/28/2015	Nadine Rivera Weiss	Professional Services	Q1 FY 15-16
PUC	CS-27.2A	Structural Engineering Services for the Seismic Upgrade of the Southeast Plant	STRUCTUS INC	LBE-MBE	10%	1%	\$ 2,500,000.00	\$ 507,800.11	\$ 509,133.82	7/28/2015	Nadine Rivera Weiss	Professional Services	Q1 FY 15-16
PUC	CS-100.A	Engineering Design Services for Oceanwide Plant	CARDOLLO ENGINEERS INC/CAE INC IV	Non-LBE	13%	0%	\$ 3,500,000.00	\$ 24,466.33	\$ 61,235.89	7/28/2015	Bayard Fong	Professional Services	Q1 FY 15-16
PUC	WW-612	Various Locations Sewer Replacement & Pavement Renovation	PRECISION ENGINEERING INC	LBE-MBE	20%	7%	\$ 4,872,001.00	\$ 1,029,281.59	\$ 1,029,281.59	8/16/2015	Mindy Lee / Michelle Thras	Construction	Q1 FY 15-16
PUC	WW-559	Bayview Street Sewer Replacement & Pavement Renovation	SHAW PIPELINE INC	LBE-MBE	10%	23%	\$ 1,453,278.00	\$ 1,411,275.92	\$ 1,411,275.92	8/26/2015	Mindy Lee / Michelle Thras	Construction	Q1 FY 15-16
PUC	PRO-000A	As-Needed Wastewater Process Engineering	WATER AND SANITARY	Non-LBE	6%	0%	\$ 1,000,000.00	\$ -	\$ 24,947.86	8/11/2015	Nadine Rivera Weiss	Professional Services	Q1 FY 15-16
PUC	CS-391.B	As-Needed Operations and Maintenance Services for HWPP	BLACK & VEATCH CORPORATION	Non-LBE	18%	0%	\$ 4,000,000.00	\$ -	\$ 137,896.68	8/12/2015	Bayard Fong	Professional Services	Q1 FY 15-16
PUC	CS-391.C	As-Needed Operations and Maintenance Services for HWPP	M W H AMERICAS INC	Non-LBE	18%	10%	\$ 4,000,000.00	\$ 65,361.97	\$ 638,905.44	8/24/2015	Bayard Fong	Professional Services	Q1 FY 15-16
PUC	WW-617	As-Needed Sewer Cleaning & Inspection (FY15)	PVC AND PLANT SOLUTIONS INC	Non-LBE	20%	0.02/0.06/0.98	\$ 1,800,700.00	\$ 24,417.80	\$ 584,335.81	8/25/2015	Bayard Fong	Construction	Q1 FY 15-16
PUC	PRO-000.4B	As-Needed Wastewater Process Engineering	INAC-SIT (IN)	Non-LBE	6%	0%	\$ 2,000,000.00	\$ -	\$ 31,434.58	9/11/2015	Nadine Rivera Weiss	Professional Services	Q1 FY 15-16
PUC	CS-368.A	Program Scheduling and Cost Control Staff Augmentation Services	ENVIRONMENTAL & CONSTRUCTION DESIGN INC	LBE-MBE	16%	0%	\$ 4,752,000.00	\$ 138,008.47	\$ 138,008.47	9/11/2015	Regina Chan	Professional Services	Q1 FY 15-16

Department	Contract Number	Project Name	Prime	Prime L&E Status	L&E Goal	Sub-Goal To Date	Amount	Total Payments to L&Es	Tenants/Unit Paymen	NTF/RPO Construction (Y/S)	Contract Compliance Officer	Industry	Quarter
PUC	CS-391A	As-Needed Operations and Maintenance Services for HHWP	AMEC FOSTER WHEELER-SOINCA CORP JV	IV-MBE	18%	0%	\$ 4,000,000.00	\$ 34,316.28	\$ 39,620.70	9/18/2015	Marcus Lange	Professional Service	Q1 FY 15-16
PUC	WD-2779	College Hill Learning Garden	JOB CONSTRUCTION	LSE-CBE	16%	15%	\$ 532,600.00	\$ 454,374.20	\$ 529,585.30	9/21/2015	Regina Chan	Construction	Q1 FY 15-16
PUC	WD-2746	Auxiliary Water Supply System New Citizens E	AJUL WORKS INC	LSE-MBE	25%	25%	\$ 4,216,950	\$ 975,061	\$ 1,012,332	10/5/2015	Regina Chan	Construction	Q2 FY 15-16
PUC	WW-589	SWPCC and Brack Run Pump Station Fire Alarm System Upgrades	KC GENERAL ENGINEERING & CONSTRUCTION CO	LBE-CBE	10%	87%	\$ 746,492.00	\$ 297,440.25	\$ 227,440.35	10/13/2015	Regina Chan	Construction	Q2 FY 15-16
PUC	CS-369 B	Program Scheduling and Cost Control Staff Augmentation Services	PMAX RABIN JV LLC	PV-MBE	35%	34%	\$ 4,216,000	\$ 185,269	\$ 234,457	10/27/2015	Regina Chan	Professional Service	Q2 FY 15-16
PUC	CS-160	Planning and Engineering Services CRSP	H W H AMERICAS INC	Non-LBE	32%	14%	\$ 30,000,000	\$ 125,347	\$ 59,410	11/3/2015	Raymond Ford	Professional Service	Q2 FY 15-16
PUC	CS-291 J	Communications Support for SF PUC Community Benefits Program	DAVIS & ASSOCIATES COMMUNICATIONS INC	LBE-MBE	20%	0%	\$ 600,000	\$ 13,652	\$ 13,652	11/9/2015	Regina Chan	Professional Service	Q2 FY 15-16
PUC	CS-247(H)	Customer and Administrative Services for Community Choice Aggregation Program	MOBILE AMERICAS ENERGY SOLUTIONS LLC	Non-LBE	6%	0%	\$ 5,000,000	\$ -	\$ -	11/10/2015	Nadav Rivera-Weiss	Professional Service	Q2 FY 15-16
PUC	WW-579	North Point Facility Dewatering Pump Replacement & Electrical Upgrade	ANVIL BUILDERS INC	LBE-CBE	14%	0%	\$ 849,750.00	\$ 145,661.32	\$ 145,661.32	11/19/2015	Regina Chan	Construction	Q2 FY 15-16
PUC	JOC 35	Electrical (C-19 UConn), Heds, Hensley	HES SYSTEM 3 LLC	Non-LBE	6%	0%	\$ 5,000,000.00	\$ -	\$ 8,038.13	1/6/2016	Nichole Truitt	Professional Service	Q3 FY 15-16
PUC	CS-387(H)	Headwater Geyser and Rainwater Harvesting Program	THE URBAN FARMER STORE INC	LBE-CBE	5%	0%	\$ 500,000.00	\$ 31,784.24	\$ 13,784.24	3/7/2016	Regina Chan	Professional Service	Q3 FY 15-16
PUC	WW-607	As-Needed Ocean Beach Immediate Action Plan	VERBA BUEHA ENGINEERING & CONSTRUCTION INC	LBE-MBE	20%	0%	\$ 1,307,250.00	\$ 385,006.18	\$ 385,006.18	3/7/2016	Mindy Lee	Construction	Q3 FY 15-16
PUC	WW-509	Highway Green Street Stormwater Improvement	M SQUARE CONSTRUCTION INC	LBE-CBE	11%	0%	\$ 5,291,492.00	\$ 1,407,814.17	\$ 1,367,204.17	3/21/2016	Stefan Rivera-Weiss	Construction	Q3 FY 15-16
PUC	WD-265R	Peninsula Vegetation Removal	YTK CONSTRUCTION INC	SEA-LBE	11%	0%	\$ 11,062,544.00	\$ 49,334.56	\$ 194,334.56	7/1/2016	Marcus Lange	Construction	Q3 FY 15-16
PUC	WAY-608R	Mission and Valencia Street Green Gateway	ANVIL BUILDERS INC	LBE-CBE	11%	17%	\$ 2,549,013.00	\$ 286,331.55	\$ 286,331.55	2/6/2016	Regina Chan	Construction	Q3 FY 15-16
PUC	HH-981	Mountain Tunnel Access and Arts Improvement	SHIMMICK CONSTRUCTION CO INC	Non-LBE	0%	0%	\$ 4,785,500.00	\$ -	\$ 96,615.71	2/12/2016	Regina Chan	Construction	Q3 FY 15-16
PUC	CS-137 B	As-Needed Building Commissioning	MOORESTY ESTERSON LLC	Non-LBE	10%	0%	\$ 3,000,000.00	\$ -	\$ 329,460.71	7/19/2016	Nichole Truitt	Professional Service	Q3 FY 15-16
PUC	WW-613	Southeast Water Pollution Control Plant S21/S22 & Disinfection Upgrades	WESTERN WATER CONSTRUCTORS INC	Non-LBE	20%	0%	\$ 25,750,000.00	\$ -	\$ -	3/7/2016	Mindy Lee	Construction	Q3 FY 15-16
PUC	WD-2723	Fish Passage Facilities within the Alameda Creek Watershed	SHIMMICK CONSTRUCTION CORP INC	Non-LBE	9%	0%	\$ 26,949,300.00	\$ -	\$ 1,008,937.50	3/7/2016	Regina Chan	Construction	Q3 FY 15-16
PUC	CS-249	Planning & Design Services - Mountain Tunnel Improvements	MCNULLEN JACOBS ASSOCIATES INC	Non-LBE	10%	0%	\$ 21,000,000.00	\$ -	\$ -	3/10/2016	James Chow	Professional Service	Q3 FY 15-16
PUC	WW-626	Southeast Water Pollution Control Plant (SEP) Primary & Secondary Clarifier Upgrade	MONTREY MECHANICAL CO	Non-LBE	20%	0%	\$ 23,580,000.00	\$ -	\$ 579,367.31	3/14/2016	Marcus Lange	Construction	Q3 FY 15-16
PUC	WW-639	As-Needed Spot Sewer Replacement No. 34	FLORES CONSTRUCTION COMPANY INC	LBE-CBE	20%	0%	\$ 4,000,000.00	\$ 230,790.24	\$ 230,790.24	3/21/2016	Regina Chan	Construction	Q3 FY 15-16
PUC	PHD-030	As-Needed Strategic Planning Services for the External Affairs Bureau and Division	TEJASWATHAN	LBE-MBE	35%	0%	\$ 300,000.00	\$ -	\$ -	4/9/2016	Marcus Lange	Professional Service	Q4 FY 15-16
PUC	WD-2757	127th Road Replacement on 6th from Market to Howard & on Taylor from Market to Pitta St	M SQUARE CONSTRUCTION INC	LBE-CBE	20%	0%	\$ 4,184,725.00	\$ -	\$ -	4/6/2016	Nichole Truitt	Construction	Q4 FY 15-16
PUC	WD-2747	Auxiliary Water Supply System - New Citizens F	AJUL WORKS INC	LBE-MBE	13%	0%	\$ 3,840,225.00	\$ -	\$ -	4/18/2016	Regina Chan	Construction	Q4 FY 15-16

Total Payments To Policy

\$ 330,536,087

Public Utilities Commission
FY 15-16 Q1

FY 15-16 Q1 Awarded Contracts		
Total Number of Contracts*	16	
Professional Services	11	69%
Construction	5	31%
LBE Primes**	10	63%
Non-LBE Primes**	10	63%
MBE Primes	6	38%
OBE Prime	3	19%
WBE Prime	1	6%
SBA LBE Prime	0	0%

FY 15-16 Q1 Awards		
Amount Awarded	\$ 45,519,475	
Awarded to Non-LBE Primes	\$ 18,300,420	40%
Awarded to Non-LBE Subs	\$ 5,738,144	13%
Awarded to LBEs Primes	\$ 13,721,075	30%
Awarded to LBE Subs	\$ 7,759,836	17%
Awarded to MBE Primes	\$ 9,146,987	20%
Awarded to MBE Subs	\$ 2,823,290	6%
Awarded to OBE Primes	\$ 3,974,087	9%
Awarded to OBE Subs	\$ 1,785,761	4%
Awarded to WBE Primes	\$ 600,000	1%
Awarded to WBE Subs	\$ 3,150,785	7%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 1, 2013-September 30, 2015 Payments***			Change from Q4 2014/15
Total Paid****	\$ 217,582,805		\$ 46,238,924
Paid to Non-LBEs Primes	\$ 60,363,491	28%	\$ 17,236,438
Paid to Non-LBE Subs	\$ 25,080,179	12%	\$ 6,721,304
Paid to LBEs Primes	\$ 100,495,172	46%	\$ 12,555,400
Paid to LBE Subs	\$ 31,643,963	15%	\$ 9,725,782
Paid to MBE Primes	\$ 20,713,001	10%	\$ 2,296,987
Paid to MBE Subs	\$ 14,872,024	7%	\$ 7,136,845
Paid to OBE Primes	\$ 54,038,555	25%	\$ 6,103,163
Paid to OBE Subs	\$ 14,260,055	7%	\$ 1,950,592
Paid to WBE Primes	\$ 18,867,170	9%	\$ 3,577,747
Paid to WBE Subs	\$ 2,511,884	1%	\$ 638,244
Paid to SBA-LBE Primes	\$ 6,776,346	3%	\$ 577,503
Paid to SBA-LBE Subs	\$ -	0%	\$ -

FY 15-16 Q1 Micro Set Aside Contracts	
Total Eligible	0
Total Awarded	0

*Includes HH-960. NTP date updated to 6/22/2015 following FY 2014-15 report.

**Includes joint venture Non-LBE/LBE prime partnerships.

***Based on information from PUC's SOLISV2 CMS, dated October 9, 2015.

****paid to-date for formal contracts advertised after 7/1/2013
(LBE/UTS start date) with an LBE subcontracting requirement.Public Utilities Commission
FY 15-16 Q2

FY 15-16 Q2 Awarded Contracts		
Total Number of Contracts	7	
Professional Services	4	57%
Construction	3	43%
LBE Primes**	5	71%
Non-LBE Primes**	3	43%
MBE Primes	1	14%
OBE Prime	2	29%
WBE Prime	2	29%
SBA LBE Prime	0	0%

FY 15-16 Q2 Awards		
Amount Awarded	\$ 62,233,847	
Awarded to Non-LBE Primes	\$ 25,223,699	41%
Awarded to Non-LBE Subs	\$ 10,219,203	16%
Awarded to LBEs Primes	\$ 14,365,596	23%
Awarded to LBE Subs**	\$ 10,845,082	17%
Awarded to MBE Primes	\$ 1,806,000	3%
Awarded to MBE Subs**	\$ 6,505,796	10%
Awarded to OBE Primes	\$ 8,300,124	13%
Awarded to OBE Subs**	\$ 2,128,484	3%
Awarded to WBE Primes	\$ 4,737,020	8%
Awarded to WBE Subs	\$ 3,098,788	5%
Awarded to SBA Prime	\$ 214,732	0.3%
Awarded to SBA Sub	\$ -	0%

July 1, 2013-December 31, 2015 Payments***			Change from Q1 2015/16
Total Paid****	\$ 256,756,354		\$ 39,173,549
Paid to Non-LBEs Primes	\$ 69,355,771	14%	\$ 8,992,280
Paid to Non-LBE Subs	\$ 34,921,870	14%	\$ 9,841,691
Paid to LBEs Primes	\$ 111,043,734	85%	\$ 10,548,563
Paid to LBE Subs	\$ 41,434,978	19%	\$ 9,791,015
Paid to MBE Primes	\$ 23,437,055	22%	\$ 2,724,054
Paid to MBE Subs	\$ 16,630,111	10%	\$ 1,758,088
Paid to OBE Primes	\$ 59,320,559	43%	\$ 5,281,903
Paid to OBE Subs	\$ 20,662,423	8%	\$ 6,402,368
Paid to WBE Primes	\$ 20,333,006	18%	\$ 1,365,636
Paid to WBE Subs	\$ 4,142,444	1%	\$ 1,630,539
Paid to SBA-LBE Primes	\$ 7,953,115	\$ -	\$ 1,176,769
Paid to SBA-LBE Subs	\$ -	\$ -	\$ -

FY 15-16 Q2 Micro Set Aside Contracts	
Total Eligible	0
Total Awarded	0

*Includes one (1) Joint Venture Non-LBE/LBE-WBE partnership.

**Includes PUC-LBE and SF-LBE firms.

***based on information from PUC's SOLISV2 CMS, dated January 4, 2016.

****paid to-date for formal contracts advertised after 7/1/2013
(LBE/UTS start date) with an LBE subcontracting requirement.

Public Utilities Commission
FY 15-16 Q3

FY 15-16 Q3 Awarded Contracts		
Total Number of Contracts	13	
Professional Services	4	31%
Construction	9	69%
LBE Primes	6	46%
Non-LBE Primes	7	54%
MBE Primes	1	8%
OBE Prime	4	31%
WBE Prime	0	0%
SBA LBE Prime	1	8%

FY 15-16 Q3 Awards*		
Amount Awarded	\$ 137,449,499	
Awarded to Non-LBE Primes	\$ 71,367,976	55%
Awarded to Non-LBE Subs	\$ 28,052,472	4%
Awarded to LBEs Primes	\$ 14,995,073	24%
Awarded to LBE Subs	\$ 23,033,977	18%
Awarded to MBE Primes	\$ 1,582,232	6%
Awarded to MBE Subs	\$ 12,196,755	9%
Awarded to OBE Primes	\$ 9,275,450	9%
Awarded to OBE Subs	\$ 8,965,692	8%
Awarded to WBE Primes	\$ -	0%
Awarded to WBE Subs	\$ 1,871,530	1%
Awarded to SBA Prime	\$ 4,137,391	0%
Awarded to SBA Sub	\$ -	0%

July 1, 2013-March 31, 2016 Payments**		Change from Q3 2015/16	
Total Paid***	\$ 314,966,949	\$ 58,210,595	
Paid to Non-LBEs Primes	\$ 94,703,045	\$ 25,347,274	
Paid to Non-LBE Subs	\$ 45,178,114	\$ 10,256,244	
Paid to LBEs Primes	\$ 122,180,286	\$ 11,136,552	
Paid to LBE Subs	\$ 52,905,504	\$ 11,470,526	
Paid to MBE Primes	\$ 26,917,287	\$ 3,480,232	
Paid to MBE Subs	\$ 22,163,410	\$ 5,533,299	
Paid to OBE Primes	\$ 62,887,726	\$ 8,567,168	
Paid to OBE Subs	\$ 24,100,600	\$ 3,438,177	
Paid to WBE Primes	\$ 24,035,277	\$ 3,702,272	
Paid to WBE Subs	\$ 6,641,493	\$ 2,489,050	
Paid to SBA Primes	\$ 8,339,896	\$ 386,881	
Paid to SBA Subs	\$ -	\$ -	

FY 15-16 Q3 Micro Set Aside Contracts	
Total Eligible	0
Total Awarded	0

*NTP/BOP date:

**based on information from PUC SOLIS extract dated May 2015:

***paid to-date for formal contracts advertised after 7/1/2013:
(LBE/UTS start date) with an LBE subcontracting requirementPublic Utilities Commission
FY 15-16 Q4

FY 15-16 Q4 Awarded Contracts		
Total Number of Contracts	3	
Professional Services	1	33%
Construction	2	67%
LBE Primes	3	100%
Non-LBE Primes	0	0%
MBE Primes	0	0%
OBE Prime	1	33%
WBE Prime	2	67%
SBA LBE Prime	0	0%

FY 15-16 Q4 Awards*		
Amount Awarded	\$ 8,144,950	
Awarded to Non-LBE Primes	\$ -	0%
Awarded to Non-LBE Subs	\$ 296,112	4%
Awarded to LBEs Primes	\$ 5,866,774	72%
Awarded to LBE Subs	\$ 1,982,064	24%
Awarded to MBE Primes	\$ -	0%
Awarded to MBE Subs	\$ 936,990	12%
Awarded to OBE Primes	\$ 3,316,813	41%
Awarded to OBE Subs	\$ 974,862	12%
Awarded to WBE Primes	\$ 2,549,961	31%
Awarded to WBE Subs	\$ 70,211	1%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 1, 2013-June 30, 2016 Payments**		Change from Q3 2015/16	
Total Paid***	\$ 330,936,087	\$ 15,969,138	
Paid to Non-LBEs Primes	\$ 101,822,768	\$ 7,119,718	
Paid to Non-LBE Subs	\$ 48,224,640	\$ 3,046,526	
Paid to LBEs Primes	\$ 125,509,767	\$ 3,329,481	
Paid to LBE Subs	\$ 55,378,917	\$ 2,473,413	
Paid to MBE Primes	\$ 27,552,138	\$ 634,852	
Paid to MBE Subs	\$ 23,107,559	\$ 944,149	
Paid to OBE Primes	\$ 64,741,556	\$ 1,853,829	
Paid to OBE Subs	\$ 25,272,744	\$ 1,172,144	
Paid to WBE Primes	\$ 24,681,743	\$ 846,466	
Paid to WBE Subs	\$ 6,998,614	\$ 357,121	
Paid to SBA Primes	\$ 8,534,330	\$ 194,395	
Paid to SBA Subs	\$ -	\$ -	

FY 15-16 Q4 Micro Set Aside Contracts	
Total Eligible	0
Total Awarded	0

*based on BPO / NTP date

**based on information from PUC SOLIS dated June 30, 2015:

***paid to-date for formal contracts advertised after 7/1/2013:
(LBE/UTS start date) with an LBE subcontracting requirement

Public Utilities Commission
FY 15-16 (total)

FY 15-16 Awarded Contracts		
Total Number of Contracts	39	
Professional Services	20	51%
Construction	19	49%
LBE Primes*	24	62%
Non-LBE Primes*	20	51%
MBE Primes	8	21%
OBE Prime	10	26%
WBE Prime	5	13%
SBA LBE Prime	1	3%

FY 15-16 Awards*		
Amount Awarded	\$ 253,347,771	
Awarded to Non-LBE Primes	\$ 114,892,095	45%
Awarded to Non-LBE Subs	\$ 44,305,932	17%
Awarded to LBEs Primes	\$ 48,948,518	19%
Awarded to LBE Subs	\$ 43,620,960	17%
Awarded to MBE Primes	\$ 12,535,219	5%
Awarded to MBE Subs	\$ 22,462,832	9%
Awarded to OBE Primes	\$ 24,866,475	10%
Awarded to OBE Subs	\$ 13,854,800	5%
Awarded to WBE Primes	\$ 7,886,981	3%
Awarded to WBE Subs	\$ 8,191,314	3%
Awarded to SBA Prime	\$ 4,352,123	2%
Awarded to SBA Sub	\$ -	0%

*includes Joint Venture Non-LBE/LBE prime partnerships.

**based on BPO / NTP date

FY 15-16 Micro Set Aside Contracts	
Total Eligible	0
Total Awarded	0

Dept.	Contract Number	Project Name	Prime	Prime LBE Status	LBE Goal	Sub Goal to Date	Original Award Amount	Total Payments to LBEs	Total Contract Payments	Date	CCD	Industry	Quarter
RPD	CON16-007	Franklin and Garfield Square Field Synthetic Turf Replacement	Robert A. Bothman	Non-LBE	20%	0%	\$ 2,293,999	\$ -	\$ -	6/17/2016	Finbarr Jewell	Construction	FY 15-16 Q4
RPD	CON16-001	Nova Courts Barrier Removal Project	Minerva Construction	LBE-OBE	MICRO	0%	\$ 388,000	\$ -	\$ -	6/14/2016	Finbarr Jewell	Construction	FY 15-16 Q4
RPD	CON15-014	Great Highway Frontage Project	Anvil Builders	LBE-OBE	25%	93%	\$ 267,000	\$ 248,710	\$ 248,710	10/2/2015	Finbarr Jewell	Construction	FY 15-16 Q2
RPD	CON15-004	Grocker Amazon Park - Trail, Steps and Tree Maintenance	Alta Engineering	LBE-WBE	MICRO	29%	\$ 216,000	\$ 63,133	\$ 213,744	8/20/2015	Finbarr Jewell	Construction	FY 15-16 Q1
RPD	CON14-022	Shark Park Safety, Infrastructure Improvement Project	Sean W. Smith	Non-LBE	10%	0%	\$ 374,000	\$ 59,368.50	\$ 657,878.24	6/4/2015	Finbarr Jewell	Construction	FY 14-15 Q4
RPD	CON14-020	Light and Court Improvements at Kollochi Velasco and John McLaren Park	JB B. Sons	LBE-OBE	MICRO	43%	\$ 215,893	\$ 123,306.12	\$ 213,116.12	4/21/2015	Finbarr Jewell	Construction	FY 14-15 Q4
RPD	CON14-023	Beach Chalet Renovation - Fencing	Chastader Fence	Non-LBE	5%	0%	\$ 311,405	\$ -	\$ 446,774	3/16/2015	Finbarr Jewell	Construction	FY 14-15 Q3
RPD	47233-13/14	Willie "Woo Woo" Wong Playground Renovation	Conger Moss Guillard (CMG) Landscape Architecture	Non-LBE	25%	26%	\$ 645,200.00	\$ 65,786.95	\$ 254,730.06	11/24/2014	Finbarr Jewell	Construction	FY 14-15 Q2
RPD	CON14-017	Trocadero Clubhouse	Ho's Construction	LBE-MBE	MICRO	152%	\$ 213,300.00	\$ 323,463.10	\$ 323,463.10	12/22/2014	Finbarr Jewell	Construction	FY 14-15 Q2
RPD	CON14-011	Micro LBE Lawn Bowling Green	Anvil Builders	LBE-OBE	MICRO	0%	\$ 140,490.00	\$ -	\$ -	10/8/2014	Finbarr Jewell	Construction	FY 14-15 Q2
RPD	CON14-004	Little Hollywood Park Renovation	C.F. Contracting	LBE-OBE	MICRO	101%	\$ 323,500.00	\$ 322,780.18	\$ 322,782.18	12/17/2014	Finbarr Jewell	Construction	FY 14-15 Q2
RPD	CON14-008	Sharp Park Golf Course Eastside Recycled Water Irrigation Retrofits Project	Continental Golf	Non-LBE	14%	19%	\$ 380,881	\$ 70,377	\$ 364,810	8/8/2014	Finbarr Jewell	Construction	FY 14-15 Q1
RPD	CON14-007	Kozar Track Replacement Project	Robert A. Bothman	Non-LBE	15%	0%	\$ 2,569,088.00	\$ -	\$ -	9/29/2014	Finbarr Jewell	Construction	FY 14-15 Q1
RPD	CON14-007	Kozar Track Replacement Project	Robert A. Bothman	Non-LBE	15%	0%	\$ 2,569,088	\$ -	\$ 2,571,734	9/30/2014	Finbarr Jewell	Construction	FY 14-15 Q1
RPD	4112-1213-D	As Needed Construction Management Services	Swinerton/MCK JV	JV-OBE	21%	90%	\$ 850,000	\$ 23,397	\$ 26,097	11/2/2013	Finbarr Jewell	Professional Services	FY 13-14 Q2
RPD	4112-1213-C	As Needed Construction Management Services	CPM/ECS JV	JV-OBE	21%	50%	\$ 850,000	\$ 108,845	\$ 217,689	11/2/2013	Finbarr Jewell	Professional Services	FY 13-14 Q2
RPD	4112-1213-B	As Needed Construction Management Services	CM Pros	LBE-MBE	21%	100%	\$ 850,000	\$ 82,556	\$ 82,556	11/2/2013	Finbarr Jewell	Professional Services	FY 13-14 Q2
RPD	4112-1213-A	As Needed Construction Management Services	ABA Global, INC	LBE-WBE	21%	100%	\$ 850,000	\$ 19,955	\$ 19,955	11/25/2013	Finbarr Jewell	Professional Services	FY 13-14 Q2
RPD	CON13-002R	Coil Tower Renovation	Anvil Builders	LBE-OBE	25%	93%	\$ 1,109,895	\$ 1,247,215	\$ 1,398,659	11/15/2013	Finbarr Jewell	Construction	FY 13-14 Q2
RPD	4025-1213	Randall Museum Renovation	Flau Long Architecture/Kathy Ranieri	JV-OBE	26%	51%	\$ 735,538	\$ 365,223	\$ 722,567	10/18/2013	Finbarr Jewell	Professional Services	FY 13-14 Q2

Recreation and Parks Department

Contract Award and Payment Summary

FY 15-16 Q1

FY 15-16 Q1 Awarded Contracts		
Total Number of Contracts	1	
Professional Services	0	0%
Construction	1	100%
LBE Primes	1	100%
Non-LBE Primes	0	0%
MBE Primes	0	0%
OBE Prime	0	0%
WBE Prime	1	100%
SBA LBE Prime	0	0%

FY 15-16 Q1 Awards		
Amount Awarded	\$ 216,000	
Awarded to Non-LBE Primes	\$ -	0%
Awarded to Non-LBE Subs	\$ 67,500	31%
Awarded to LBEs Primes	\$ 148,500	69%
Awarded to LBE Subs	\$ -	0%
Awarded to MBE Primes	\$ -	0%
Awarded to MBE Subs	\$ -	0%
Awarded to OBE Primes	\$ -	0%
Awarded to OBE Subs	\$ -	0%
Awarded to WBE Primes	\$ 148,500	69%
Awarded to WBE Subs	\$ -	0%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 2013-September 30, 2015 Payments**				Change from Q4 2014/15
Total Paid	\$ 5,244,725			\$ 207,234
Paid to Non-LBEs Primes	\$ 2,967,498	14%		\$ 83,899
Paid to Non-LBE Subs	\$ 270,256	13%		\$ -
Paid to LBEs Primes	\$ 787,511	14%		\$ 23,421
Paid to LBE Subs	\$ 1,219,470	59%		\$ 99,914
Paid to MBE Primes	\$ 388,068	5%		\$ -
Paid to MBE Subs	\$ 959,687	51%		\$ 81,234
Paid to OBE Primes	\$ 379,488	8%		\$ 23,421
Paid to OBE Subs	\$ 192,346	3%		\$ 18,680
Paid to WBE Primes	\$ 19,955	1%		\$ -
Paid to WBE Subs	\$ 127,437	2%		\$ -
Paid to SBA-LBE Primes	\$ -	0%		\$ -
Paid to SBA-LBE Subs	\$ -	0%		\$ -

FY 15-16 Q1 Micro Set Aside Contracts	
Total Eligible Contracts	1
Total Awarded Micro Set Asides	1

**Based on information from Elation/LBEUTS dated 10/1/2015

Recreation and Parks Department

Contract Award and Payment Summary

FY 15-16 Q2

FY 15-16 Q2 Awarded Contracts		
Total Number of Contracts	1	
Professional Services	0	0%
Construction	1	100%
LBE Primes	1	100%
Non-LBE Primes	0	0%
MBE Primes	0	0%
OBE Prime	1	100%
WBE Prime	0	0%
SBA LBE Prime	0	0%

FY 15-16 Q2 Awards		
Amount Awarded	\$ 1,337,590	
Awarded to Non-LBE Primes	\$ 390,672	29%
Awarded to Non-LBE Subs	\$ 127,800	10%
Awarded to LBEs Primes	\$ 542,790	41%
Awarded to LBE Subs	\$ 276,328	21%
Awarded to MBE Primes	\$ 319,990	24%
Awarded to MBE Subs	\$ 127,670	10%
Awarded to OBE Primes	\$ 222,800	17%
Awarded to OBE Subs	\$ 100,555	8%
Awarded to WBE Primes	\$ -	0%
Awarded to WBE Subs	\$ 48,103	4%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ -	0%

July 2013-December 31, 2015 Payments†				Change from Q1 2015/16
Total Paid	\$ 7,043,187			\$ 2,790,541
Paid to Non-LBEs Primes	\$ 3,410,967	53%		\$ 1,319,258
Paid to Non-LBE Subs	\$ 446,471	8%		\$ 344,148
Paid to LBEs Primes	\$ 1,836,150	22%		\$ 985,869
Paid to LBE Subs	\$ 1,349,599	17%		\$ 181,267
Paid to MBE Primes	\$ 496,912	6%		\$ 125,796
Paid to MBE Subs	\$ 972,614	12%		\$ 19,997
Paid to OBE Primes	\$ 1,133,558	14%		\$ 775,940
Paid to OBE Subs	\$ 225,453	3%		\$ 101,111
Paid to WBE Primes	\$ 205,680	1%		\$ 63,133
Paid to WBE Subs	\$ 151,532	2%		\$ 40,158
Paid to SBA-LBE Primes	\$ -	0%		\$ -
Paid to SBA-LBE Subs	\$ -	0%		\$ -

FY 15-16 Q2 Micro Set Aside Contracts	
Total Eligible Contracts	0
Total Awarded Micro Set Asides	0

†Based on information from Elation/LBEUTS dated 1/1/2016

Recreation and Parks Department

Contract Award and Payment Summary

FY 15-16 Q3

FY 15-16 Q3 Awarded Contracts		
Total Number of Contracts	0	
Professional Services	0	N/A
Construction	0	N/A
LBE Primes	0	N/A
Non-LBE Primes	0	N/A
MBE Primes	0	N/A
OBE Prime	0	N/A
WBE Prime	0	N/A
SBA LBE Prime	0	N/A

FY 15-16 Q3 Awards		
Amount Awarded	\$ -	
Awarded to Non-LBE Primes	\$ -	N/A
Awarded to Non-LBE Subs	\$ -	N/A
Awarded to LBEs Primes	\$ -	N/A
Awarded to LBE Subs	\$ -	N/A
Awarded to MBE Primes	\$ -	N/A
Awarded to MBE Subs	\$ -	N/A
Awarded to OBE Primes	\$ -	N/A
Awarded to OBE Subs	\$ -	N/A
Awarded to WBE Primes	\$ -	N/A
Awarded to WBE Subs	\$ -	N/A
Awarded to SBA Prime	\$ -	N/A
Awarded to SBA Sub	\$ -	N/A

July 2015-March 31, 2016 Payments*				Change from Q2 2015/16
Total Paid	\$ 7,440,018.61			\$ 396,832
Paid to Non-LBEs Primes	\$ 3,761,278.53	51%		\$ 350,312
Paid to Non-LBE Subs	\$ 513,643.76	7%		\$ 67,173
Paid to LBEs Primes	\$ 1,803,042.09	24%		\$ (33,108)
Paid to LBE Subs	\$ 1,362,054.24	18%		\$ 12,455
Paid to MBE Primes	\$ 504,092.89	7%		\$ 7,181
Paid to MBE Subs	\$ 975,442.46	13%		\$ 2,828
Paid to OBE Primes**	\$ 1,142,305.20	15%		\$ 8,748
Paid to OBE Subs	\$ 228,654.43	3%		\$ 3,202
Paid to WBE Primes	\$ 156,643.00	2%		\$ (49,037)
Paid to WBE Subs	\$ 157,957.35	2%		\$ 6,425
Paid to SBA-LBE Primes	\$ -	0%		\$ -
Paid to SBA-LBE Subs	\$ -	0%		\$ -

FY 15-16 Q3 Micro Set Aside Contracts		
Total Eligible Contracts	0	
Total Awarded Micro Set Asides	0	

*Based on information from Elections/LBEUTS October 2016

Recreation and Parks Department

Contract Award and Payment Summary

FY 15-16 Q4

FY 15-16 Q4 Awarded Contracts		
Total Number of Contracts	2	
Professional Services	0	0%
Construction	2	100%
LBE Primes	1	50%
Non-LBE Primes	1	50%
MBE Primes	0	0%
OBE Prime	1	50%
WBE Prime	0	0%
SBA LBE Prime	0	0%

FY 15-16 Q4 Awards		
Amount Awarded	\$ 2,681,999	
Awarded to Non-LBE Primes	\$ 1,811,313	68%
Awarded to Non-LBE Subs	\$ -	0%
Awarded to LBEs Primes	\$ 388,000	14%
Awarded to LBE Subs	\$ 482,686	18%
Awarded to MBE Primes	\$ -	0%
Awarded to MBE Subs	\$ 179,656	7%
Awarded to OBE Primes	\$ 388,000	14%
Awarded to OBE Subs	\$ 7,600	0%
Awarded to WBE Primes	\$ -	0%
Awarded to WBE Subs	\$ 295,450	11%
Awarded to SBA Prime	\$ -	0%
Awarded to SBA Sub	\$ -	0%

January 1, 2015-June 30, 2016 Payments*				Change from Q3 2015/16
Total Paid	\$ 8,035,266			\$ 595,248
Paid to Non-LBEs Primes	\$ 4,286,746	58%		\$ 525,468
Paid to Non-LBE Subs	\$ 614,403	8%		\$ 100,760
Paid to LBEs Primes	\$ 1,753,380	24%		\$ (49,662)
Paid to LBE Subs	\$ 1,380,737	19%		\$ 18,683
Paid to MBE Primes	\$ 514,864	7%		\$ 10,771
Paid to MBE Subs	\$ 979,684	13%		\$ 4,242
Paid to OBE Primes	\$ 1,155,428	16%		\$ 13,122
Paid to OBE Subs	\$ 233,457	3%		\$ 4,803
Paid to WBE Primes	\$ 83,088	1%		\$ (73,556)
Paid to WBE Subs	\$ 167,595	2%		\$ 9,638
Paid to SBA-LBE Primes	\$ -	0%		\$ -
Paid to SBA-LBE Subs	\$ -	0%		\$ -

FY 15-16 Q4 Micro Set Aside Contracts		
Total Eligible	1	
Total Awarded	1	

*Based on information from Elections/LBEUTS October 2016

Recreation and Parks Department

Contract Award and Payment Summary:
FY 15-16

FY 14-15 Awarded Contracts		
Total Number of Contracts	4	
Professional Services	0	0%
Construction	4	100%
LBE Primes	3	66%
Non-LBE Primes	1	33%
MBE Primes	0	0%
OBE Prime	2	0%
WBE Prime	1	0%
SBA LBE Prime	0	0%

FY 14-15 Awards		
Amount Awarded	\$ 4,235,589	
Awarded to Non-LBE Primes	\$ 2,201,985	52%
Awarded to Non-LBE Subs	\$ 195,300	5%
Awarded to LBEs Primes	\$ 1,079,290	25%
Awarded to LBE Subs	\$ 759,014	18%
Awarded to MBE Primes	\$ 319,990	8%
Awarded to MBE Subs	\$ 307,306	7%
Awarded to OBE Primes	\$ 610,800	14%
Awarded to OBE Subs	\$ 108,155	3%
Awarded to WBE Primes	\$ 148,500	4%
Awarded to WBE Subs	\$ 343,553	8%
Awarded to SBA Prime (MBE)	\$ -	0%
Awarded to SBA Sub	\$ -	0%

FY 15-16 Micro Set Aside Contracts	
Total Eligible	2
Total Awarded	2



BOARD OF SUPERVISORS
SAN FRANCISCO

2016 OCT 28 PM 2:56

Capital Planning Committee

Bas-11,
B+E clerk
cpage

Naomi M. Kelly, City Administrator, Chair

MEMORANDUM

October 28, 2016

To: Supervisor London Breed, Board President

From: Naomi Kelly, City Administrator and Capital Planning Committee Chair

Copy: Members of the Board of Supervisors
Angela Calvillo, Clerk of the Board
Capital Planning Committee

Regarding: (1) Public Health and Safety General Obligation (G.O.) Bond Sale (2) Animal Care and Control Shelter Certificates of Participation (COPs) (3) 2017 Annual Infrastructure Construction Cost Inflation Estimate (AICCIE)

In accordance with Section 3.21 of the Administrative Code, on October 17, 2016, the Capital Planning Committee (CPC) approved the following action items to be considered by the Board of Supervisors. The CPC's recommendations are set forth below.

1. Board File Number: TBD

Approval of the resolution authorizing and directing the sale of the 2016 Public Health and Safety General Obligation (G.O.) Bond in an amount not to exceed \$176,000,000; and approval of the related supplemental request.

Recommendation:

Recommend the Board of Supervisors approve the resolution and the related supplemental request; *with the condition that the Fire Department and the Department of Homeless and Supportive Housing work with the Controller's Office of Public Finance and the Capital Planning Program to develop detailed projects that will be funded by the Neighborhood Fire Stations and Homeless Service Sites categories. These projects will be reviewed by the Capital Planning Committee prior to the initiation of project construction.*

Comments:

The CPC recommends approval of these items by a vote of 11-0.

Committee members or representatives in favor include: Ken Bukowski, Deputy City Administrator; Conor Johnston, Board President's Office; Melissa Whitehouse, Mayor's Budget Director; Ben Rosenfield, Controller; Edgar Lopez, Public Works; Thomas DiSanto, Planning Department; Kathy How,

(14)

SFPUC; Kevin Kone, San Francisco International Airport; Phil Ginsburg, General Manager, Recreation and Parks Department; Ed Reiskin, Director, SFMTA; and Elaine Forbes, Director, Port of San Francisco.

2. Board File Number: TBD

Approval of the resolution authorizing the issuance of up to \$60,500,000 in Certificates of Participation (COPs) to support the construction of Animal Care and Control's replacement facility.

Recommendation:

Recommend the Board of Supervisors approve the resolution.

Comments:

The CPC recommends approval of these items by a vote of 11-0.

Committee members or representatives in favor include: Ken Bukowski, Deputy City Administrator; Conor Johnston, Board President's Office; Melissa Whitehouse, Mayor's Budget Director; Ben Rosenfield, Controller; Edgar Lopez, Public Works; Thomas DiSanto, Planning Department; Kathy How, SFPUC; Kevin Kone, San Francisco International Airport; Phil Ginsburg, General Manager, Recreation and Parks Department; Ed Reiskin, Director, SFMTA; and Elaine Forbes, Director, Port of San Francisco.

3. CPC Action Item:

Adoption of the AICCIE for CY 2017 at 5.0%. This rate was determined using a combination of cost inflation data; market trends; national, state and local construction cost inflation indices; and discussion with local construction experts. A copy of the analysis is available under the October 17th CPC meeting at <http://onesanfrancisco.org/>

Action:

The CPC approves adoption of AICCIE at 5.0% for CY 2017.

Comments:

The CPC approves this item by a vote of 11-0.

Committee members or representatives in favor include: Ken Bukowski, Deputy City Administrator; Conor Johnston, Board President's Office; Melissa Whitehouse, Mayor's Budget Director; Ben Rosenfield, Controller; Edgar Lopez, Public Works; Thomas DiSanto, Planning Department; Kathy How, SFPUC; Kevin Kone, San Francisco International Airport; Phil Ginsburg, General Manager, Recreation and Parks Department; Ed Reiskin, Director, SFMTA; and Elaine Forbes, Director, Port of San Francisco.

From: Major, Erica (BOS)
Sent: Friday, November 04, 2016 11:33 AM
To: BOS-Supervisors
Cc: Board of Supervisors, (BOS); BOS-Legislative Aides; Kathie Lowry; 'Kitsaun King'; jcunningham@sfcgj.org; 'ascott@sfcgj.org'; Howard, Kate (MYR); Kirkpatrick, Kelly (MYR); Elliott, Nicole (MYR); Givner, Jon (CAT); Kelly, Jr, Harlan (PUC); Ellis, Juliet (PUC); Hood, Donna (PUC); Newman, Debra (BUD); Wasilco, Jadie (BUD); Clark, Ashley (BUD)
Subject: Official Board Response - Civil Grand Jury Report: Drinking Water Safety in San Francisco: A Reservoir of Good Practice
Attachments: Official Board Response - 160812 - Drinking Water Safety.pdf

Greetings:

Attached is the Board's official response to the Presiding Judge John K. Stewart on the Civil Grand Jury Report entitled "Drinking Water Safety in San Francisco: A Reservoir of Good Practice."

ERICA MAJOR

Assistant Clerk

Board of Supervisors

1 Dr. Carlton B. Goodlett Place, City Hall, Room 244 San Francisco, CA 94102

Phone: (415) 554-4441 | Fax: (415) 554-5163

Erica.Major@sfgov.org | www.sfbos.org



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***Disclosures:** Personal information that is provided in communications to the Board of Supervisors is subject to disclosure under the California Public Records Act and the San Francisco Sunshine Ordinance. Personal information provided will not be redacted. Members of the public are not required to provide personal identifying information when they communicate with the Board of Supervisors and its committees. All written or oral communications that members of the public submit to the Clerk's Office regarding pending legislation or hearings will be made available to all members of the public for inspection and copying. The Clerk's Office does not redact any information from these submissions. This means that personal information—including names, phone numbers, addresses and similar information that a member of the public elects to submit to the Board and its committees—may appear on the Board of Supervisors website or in other public documents that members of the public may inspect or copy.*

BOARD of SUPERVISORS



City Hall
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco 94102-4689
Tel. No. 554-5184
Fax No. 554-5163
TDD/TTY No. 544-5227

November 4, 2016

The Honorable John K. Stewart
Presiding Judge
Superior Court of California, County of San Francisco
400 McAllister Street
San Francisco, CA 94102

Dear Judge Stewart:

The following is a status report on the 2015-2016 Civil Grand Jury Report (Report), "Drinking Water Safety in San Francisco: A Reservoir of Good Practice."

The Board of Supervisors Government Audit and Oversight Committee conducted a public hearing on October 7, 2016, to discuss the findings and recommendations of the Civil Grand Jury and the departments' responses to the Report.


The following City departments submitted a response to the Civil Grand Jury (copies enclosed):

- Mayor's Office submitted a consolidated response for the San Francisco Public Utilities Commission received on September 16, 2016

The Report was heard in Committee and Resolution No. 443-16 was prepared for the Board of Supervisors' approval that formally accepted or rejected the findings and recommendations. The Board of Supervisors provided the required response on October 18, 2016 (copy enclosed).

If you have any questions, please contact Erica Major at (415) 554-4441.

Sincerely,


Angela Calvillo
Clerk of the Board

cc: Members, Board of Supervisors
Honorable John K. Stewart, Presiding Judge
Kathie Lowry, 2016-2017 San Francisco Civil Grand Jury
Kitsaun King, 2016-2017 San Francisco Civil Grand Jury
Jay Cunningham, 2015-2016 San Francisco Civil Grand Jury
Alison Scott, 2015-2016 San Francisco Civil Grand Jury
Kate Howard, Mayor's Office
Kelly Kirkpatrick, Mayor's Office
Nicole Elliott, Mayor's Office
Jon Givner, City Attorney's Office
Harlan Kelly, Jr., Public Utilities Commission
Juliet Ellis, Public Utilities Commission
Donna Hood, Public Utilities Commission
Severin Campbell, Budget and Legislative Analyst
Debra Newman, Budget and Legislative Analyst
Jadie Wasilco, Budget and Legislative Analyst
Ashley Clark, Budget and Legislative Analyst

AMENDED IN COMMITTEE

FILE NO. 160812

10/07/2016

RESOLUTION NO. 443-16

[Board Response - Civil Grand Jury - Drinking Water Safety in San Francisco: A Reservoir of Good Practice]

Resolution responding to the Presiding Judge of the Superior Court on the findings and recommendations contained in the 2015-2016 Civil Grand Jury Report, entitled "Drinking Water Safety in San Francisco: A Reservoir of Good Practice;" and urging the Mayor to cause the implementation of accepted findings and recommendations through his/her department heads and through the development of the annual budget.

WHEREAS, Under California Penal Code, Section 933 et seq., the Board of Supervisors must respond, within 90 days of receipt, to the Presiding Judge of the Superior Court on the findings and recommendations contained in Civil Grand Jury Reports; and

WHEREAS, In accordance with California Penal Code, Section 933.05(c), if a finding or recommendation of the Civil Grand Jury addresses budgetary or personnel matters of a county agency or a department headed by an elected officer, the agency or department head and the Board of Supervisors shall respond if requested by the Civil Grand Jury, but the response of the Board of Supervisors shall address only budgetary or personnel matters over which it has some decision making authority; and

WHEREAS, Under San Francisco Administrative Code, Section 2.10(a), the Board of Supervisors must conduct a public hearing by a committee to consider a final report of the findings and recommendations submitted, and notify the current foreperson and immediate past foreperson of the civil grand jury when such hearing is scheduled; and

WHEREAS, In accordance with San Francisco Administrative Code, Section 2.10(b), the Controller must report to the Board of Supervisors on the implementation of recommendations that pertain to fiscal matters that were considered at a public hearing held by a Board of Supervisors Committee; and

1 WHEREAS, The 2015-2016 Civil Grand Jury Report, entitled "Drinking Water Safety in
2 San Francisco: A Reservoir of Good Practice (Report) is on file with the Clerk of the Board of
3 Supervisors in File No. 160812, which is hereby declared to be a part of this Resolution as if
4 set forth fully herein; and

5 WHEREAS, The Civil Grand Jury has requested that the Board of Supervisors respond
6 to Finding Nos. F.A.1, F.A.2 and F.A.5 contained in the subject Report; and

7 WHEREAS, Finding No. F.A.1 states: "The Jury was satisfied with San Francisco
8 Public Utilities Commission (SFPUC) water stewardship as well as the near term drinking
9 water supply/demand outlook. SFPUC is to be commended;" and

10 WHEREAS, Finding No. F.A.2 states: "We see little risk of lead from SFPUC water
11 lines;" and

12 WHEREAS, Finding No. F.A.5 states: "The SFPUC Regional Water System has not
13 been associated with any waterborne illnesses, and since 1993 this has been documented
14 monthly. SFPUC is to be commended;" and

15 WHEREAS, In accordance with California Penal Code, Section 933.05(c), the Board of
16 Supervisors must respond, within 90 days of receipt, to the Presiding Judge of the Superior
17 Court on Finding Nos. F.A.1, F.A.2 and F.A.5 contained in the Report; now, therefore, be it

18 RESOLVED, That the Board of Supervisors reports to the Presiding Judge of the
19 Superior Court that they agree with Finding No. F.A.1 and also wish to commend the SFPUC
20 on its water stewardship, which has resulted in arguable the best drinking water in the
21 country, and, be it

22 FURTHER RESOLVED, That the Board of Supervisors reports that they agree with
23 Finding No. F.A.2 given that California plumbing components for drinking water has been
24 lead-free since 2010, including San Francisco where lead piping is rare, and, be it

1 FURTHER RESOLVED, That the Board of Supervisors reports that they agree with
2 Finding No. F.A.5 and, once again, wish to commend the SFPUC on its safeguarding of our
3 water supply and impeccable record ensuring that the regional water system has not been
4 associated with any waterborne illness; and, be it

5 FURTHER RESOLVED, That the Board of Supervisors urges the Mayor to cause the
6 implementation of the accepted findings and recommendations through his/her department
7 heads and through the development of the annual budget.



City and County of San Francisco
Tails
Resolution

City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4689

File Number: 160812

Date Passed: October 18, 2016

Resolution responding to the Presiding Judge of the Superior Court on the findings and recommendations contained in the 2015-2016 Civil Grand Jury Report, entitled "Drinking Water Safety in San Francisco: A Reservoir of Good Practice;" and urging the Mayor to cause the implementation of accepted findings and recommendations through his/her department heads and through the development of the annual budget.

October 07, 2016 Government Audit and Oversight Committee - AMENDED, AN
AMENDMENT OF THE WHOLE BEARING SAME TITLE

October 07, 2016 Government Audit and Oversight Committee - RECOMMENDED AS
AMENDED

October 18, 2016 Board of Supervisors - ADOPTED

Ayes: 11 - Avalos, Breed, Campos, Cohen, Farrell, Kim, Mar, Peskin, Tang,
Wiener and Yee

File No. 160812

I hereby certify that the foregoing
Resolution was ADOPTED on 10/18/2016
by the Board of Supervisors of the City and
County of San Francisco.

Angela Calvillo
Clerk of the Board

Unsigned

Mayor

10/28/2016

Date Approved

I hereby certify that the foregoing resolution, not being signed by the Mayor within the time limit as set forth in Section 3.103 of the Charter, or time waived pursuant to Board Rule 2.14.2, became effective without his approval in accordance with the provision of said Section 3.103 of the Charter or Board Rule 2.14.2.

for Angela Calvillo
Clerk of the Board

10/28/16
Date



City and County of San Francisco

Certified Copy

Resolution

City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4689

160812 [Board Response - Civil Grand Jury - Drinking Water Safety in San Francisco:
A Reservoir of Good Practice]

Resolution responding to the Presiding Judge of the Superior Court on the findings and recommendations contained in the 2015-2016 Civil Grand Jury Report, entitled "Drinking Water Safety in San Francisco: A Reservoir of Good Practice;" and urging the Mayor to cause the implementation of accepted findings and recommendations through his/her department heads and through the development of the annual budget. (Government Audit and Oversight Committee)

10/18/2016 Board of Supervisors - ADOPTED

Ayes: 11 - Avalos, Breed, Campos, Cohen, Farrell, Kim, Mar, Peskin, Tang, Wiener and Yee

10/28/2016 Mayor - RETURNED UNSIGNED

STATE OF CALIFORNIA
CITY AND COUNTY OF SAN FRANCISCO

CLERK'S CERTIFICATE

I do hereby certify that the foregoing Resolution is a full, true, and correct copy of the original thereof on file in this office.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the City and County of San Francisco.

November 01, 2016

Date

Peggy Neme

for Angela Calvillo
Clerk of the Board

OFFICE OF THE MAYOR
SAN FRANCISCO



EDWIN M. LEE
MAYOR

Received via email
9/16/2016
File Nos. 160811 and 160812

September 16, 2016

The Presiding Judge
Superior Court of California, County of San Francisco
400 McAllister Street
San Francisco, CA 94102

Dear Judge Stewart:

Pursuant to Penal Code sections 933 and 933.05, the following is in response to the 2015-16 Civil Grand Jury report, *Drinking Water Safety in San Francisco: A Reservoir of Good Practice*. We would like to thank the members of the Civil Grand Jury for their interest in ensuring the continued excellence of water quality in San Francisco.

We are pleased that the Jury's report is largely favorable of the San Francisco Public Utilities Commission (SFPUC) for its stewardship of the City and region's water system. Highlighting the high quality and safety of drinking water in San Francisco, the report offers minor recommendations for improving the dissemination of water quality information. The main findings are that 1) the risk of lead in the water system is extremely low, 2) the SFPUC Water Quality Annual Report does not include drinking water contaminants that are below detection levels, and 3) water quality certification notices are not posted at City buildings and their drinking water taps. To address its findings, the report recommends disclosing all drinking water contaminants analyzed in the SFPUC Water Quality Annual Report, including those that are below detection levels and do not pose a public security issue; and creating a water quality certification program for buildings and posting signage at drinking water fixtures deeming them lead-safe.

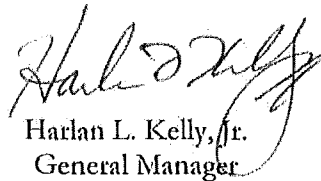
A detailed response from the Mayor's Office and the San Francisco Public Utilities Commission to the Civil Grand Jury's findings and recommendations follows.

Thank you again for the opportunity to comment on this Civil Grand Jury report.

Sincerely,

A handwritten signature in cursive script, appearing to read "Edwin Lee".

Edwin Lee
Mayor

A handwritten signature in cursive script, appearing to read "Harlan L. Kelly, Jr.". The signature is written over the printed name and title.

Harlan L. Kelly, Jr.
General Manager

Findings:

Finding F.A.1: The Jury was satisfied with San Francisco Public Utilities Commission (SFPUC) water stewardship as well as the near-term drinking water supply/demand outlook. SFPUC is to be commended.

Agree with finding.

Finding F.A.2: We see little risk of lead from SFPUC water lines.

Agree with finding.

Finding F.A.3: Currently, drinking water contaminants that are below detection limits for reporting are not shown in the annual water quality report, in accord with regulatory guidance.

Agree with finding.

Finding F.A.4: There are no water quality certification programs for buildings. Our public buildings, especially drinking fountains, would benefit from displaying dated, lead-safe seal/sticker from the SFPUC on our drinking water taps.

Agree with finding.

The SFPUC is not aware of any water quality certification program for buildings and agrees that there would be some public benefit associated with such a program. Yet, the creation of such a certification program would be extremely resource intensive and not provide public health value. The SFPUC has existing practical and cost effective means to provide assurances to our customers about lead (i.e., customers can already request lead tests for a nominal fee of \$25). We will investigate other cost-effective strategies to make any available data for our public facilities accessible through our city open data portals.

Finding F.A.5: The SFPUC Regional Water System has not been associated with any waterborne illnesses, and since 1993 this has been documented monthly. SFPUC is to be commended.

Agree with finding.

Recommendations:

Recommendation R.A.3: In the interest of transparency, all drinking water contaminants analyzed (analytes) that do not pose a public security issue should be disclosed in the SFPUC Water Quality Annual Report.

The recommendation has not been, but will be, implemented in the future.

This recommendation will be implemented in the City of San Francisco Annual Water Quality Report beginning with next year's 2016 Water Quality Report. Staff will insert a list of the aforementioned analytes either as a link inside or a part of the San Francisco Water Quality Report.

Recommendation R.A.4: SFPUC should create a water quality certification program for buildings, offering at least a dated, lead-safe seal/sticker on/near the fixture and visible to the consumer.

The recommendation will not be implemented because it is not warranted or reasonable.

This recommendation will not be implemented. The creation and regular implementation of an entirely new water quality certification program regarding lead would be extremely resource intensive. We appreciate the need to provide assurances to our customers about lead, we believe we achieve this goal in other ways - (i.e., customers can already request lead tests for a nominal fee of \$25).

We already implement an extensive ongoing lead abatement program. We removed all known lead service lines from the City distribution system decades ago. We are systematically checking the small percentage of service connections that are of unknown composition. We also regularly check the transmission system for appropriate corrosion control and periodically check for actionable lead levels at taps throughout the City. Furthermore, our Annual Water Quality Reports consistently contain information about lead and how consumers can test their individual faucets.

The SFPUC's lead program has been touted as an exemplary program for other water agencies to follow.

From: Major, Erica (BOS)
Sent: Friday, November 04, 2016 2:09 PM
To: BOS-Supervisors
Cc: Board of Supervisors, (BOS); BOS-Legislative Aides; Kathie Lowry; 'Kitsaun King'; jcunningham@sfcgj.org; 'ascott@sfcgj.org'; Howard, Kate (MYR); Kirkpatrick, Kelly (MYR); Elliott, Nicole (MYR); Kelly, Naomi (ADM); Givner, Jon (CAT); Hennessy, Vicki (SHF); Toet, Theodore (SHF); Chaplin, Toney (POL); Fountain, Christine (POL); Callahan, Micki (HRD); Gard, Susan (HRD); Garcia, Barbara (DPH); Wagner, Greg (DPH); Chawla, Colleen (DPH); Alfaro, Nancy (311); Maimoni, Andy (311); Campbell, Severin (BUD); Newman, Debra (BUD); Wasilco, Jadie (BUD); Clark, Ashley (BUD)
Subject: Official Board Response - Civil Grand Jury Report: San Francisco County Jails: Our Largest Mental Health Facility Needs Attention
Attachments: Official Board Response - 160620 - SF County Jails.pdf

Greetings:

Attached is the Board's official response to the Presiding Judge John K. Stewart on the Civil Grand Jury Report entitled "San Francisco County Jails: Our Largest Mental Health Facility Needs Attention"

ERICA MAJOR

Assistant Clerk

Board of Supervisors

1 Dr. Carlton B. Goodlett Place, City Hall, Room 244 San Francisco, CA 94102

Phone: (415) 554-4441 | Fax: (415) 554-5163

Erica.Major@sfgov.org | www.sfbos.org



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BOARD of SUPERVISORS



City Hall
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco 94102-4689
Tel. No. 554-5184
Fax No. 554-5163
TDD/TTY No. 544-5227

November 4, 2016

The Honorable John K. Stewart
Presiding Judge
Superior Court of California, County of San Francisco
400 McAllister Street
San Francisco, CA 94102

Dear Judge Stewart:

The following is a status report on the 2015-2016 Civil Grand Jury Report (Report), "San Francisco County Jails: Our Largest Mental Health Facility Needs Attention."

The Board of Supervisors Government Audit and Oversight Committee conducted a public hearing on October 7, 2016, to discuss the findings and recommendations of the Civil Grand Jury and the departments' responses to the Report.


The following City departments submitted a response to the Civil Grand Jury (copies enclosed):

- Sheriff's Department received on September 12, 2016
- Mayor's Office submitted a consolidated response for the Police Department, Department of Human Resources, Department of Public Health, and the City Administrator received on September 12, 2016
- Office of the City Attorney received on September 16, 2016

The Report was heard in Committee and Resolution No. 442-16 was prepared for the Board of Supervisors' approval that formally accepted or rejected the findings and recommendations. The Board of Supervisors provided the required response on October 18, 2016 (copy enclosed).

If you have any questions, please contact Erica Major at (415) 554-4441.

Sincerely,


Angela Calvillo
Clerk of the Board

cc: Members, Board of Supervisors
Honorable John K. Stewart, Presiding Judge
Kathie Lowry, 2016-2017 San Francisco Civil Grand Jury
Kitsaun King, 2016-2017 San Francisco Civil Grand Jury
Jay Cunningham, 2015-2016 San Francisco Civil Grand Jury
Alison Scott, 2015-2016 San Francisco Civil Grand Jury
Kate Howard, Mayor's Office
Kelly Kirkpatrick, Mayor's Office
Nicole Elliott, Mayor's Office
Naomi Kelly, Office of the City Administrator
Jon Givner, City Attorney's Office
Vicki Hennessy, Sheriff's Department
Theodore Toet, Sheriff's Department
Acting Chief Toney Chaplin, Police Department
Christine Fountain, Police Department
Micki Callahan, Department of Human Resources
Susan Gard, Department of Human Resources
Barbara Garcia, Department of Public Health
Greg Wagner, Department of Public Health
Colleen Chawla, Department of Public Health
Nancy Alfaro, 311
Andy Maimoni, 311
Severin Campbell, Budget and Legislative Analyst's Office
Debra Newman, Budget and Legislative Analyst's Office
Jadie Wasilco, Budget and Legislative Analyst's Office
Ashley Clark, Budget and Legislative Analyst's Office

1 [Board Response - Civil Grand Jury - San Francisco County Jails: Our Largest Mental Health
2 Facility Needs Attention]

3 **Resolution responding to the Presiding Judge of the Superior Court on the findings**
4 **and recommendations contained in the 2015-2016 Civil Grand Jury Report, entitled**
5 **“San Francisco County Jails: Our Largest Mental Health Facility Needs Attention;” and**
6 **urging the Mayor to cause the implementation of accepted findings and**
7 **recommendations through his/her department heads and through the development of**
8 **the annual budget.**

9
10 WHEREAS, Under California Penal Code, Section 933 et seq., the Board of
11 Supervisors must respond, within 90 days of receipt, to the Presiding Judge of the Superior
12 Court on the findings and recommendations contained in Civil Grand Jury Reports; and

13 WHEREAS, In accordance with California Penal Code, Section 933.05(c), if a finding or
14 recommendation of the Civil Grand Jury addresses budgetary or personnel matters of a
15 county agency or a department headed by an elected officer, the agency or department head
16 and the Board of Supervisors shall respond if requested by the Civil Grand Jury, but the
17 response of the Board of Supervisors shall address only budgetary or personnel matters over
18 which it has some decision making authority; and

19 WHEREAS, Under San Francisco Administrative Code, Section 2.10(a), the Board of
20 Supervisors must conduct a public hearing by a committee to consider a final report of the
21 findings and recommendations submitted, and notify the current foreperson and immediate
22 past foreperson of the civil grand jury when such hearing is scheduled; and

23 WHEREAS, In accordance with San Francisco Administrative Code, Section 2.10(b),
24 the Controller must report to the Board of Supervisors on the implementation of
25

1 recommendations that pertain to fiscal matters that were considered at a public hearing held
2 by a Board of Supervisors Committee; and

3 WHEREAS, The 2015-2016 Civil Grand Jury Report, entitled "San Francisco County
4 Jails: Our Largest Mental Health Facility Needs Attention" (Report) is on file with the Clerk of
5 the Board of Supervisors in File No. 160620, which is hereby declared to be a part of this
6 Resolution as if set forth fully herein; and

7 WHEREAS, The Civil Grand Jury has requested that the Board of Supervisors respond
8 to Recommendation Nos. R.B.1.c, R.C.2.c and R.D.4.c contained in the subject Report; and

9 WHEREAS, Recommendation No. R.B.1.c states: "The Board of Supervisors should
10 approve the Mayor's supplemental budget request for funds to address the problems with old
11 locks at Jail #4 and any other remaining serious maintenance issues;" and

12 WHEREAS, Recommendation No. R.C.2.c states: "The Board of Supervisors should
13 approve the amount for 24/7 staffing when the budget reaches them;" and

14 WHEREAS, Recommendation No. R.D.4.c states: "The Board of Supervisors should
15 approve the Sheriff's request for the purpose of training all Deputies at County Jails on suicide
16 prevention and crisis intervention;" and

17 WHEREAS, In accordance with California Penal Code, Section 933.05(c), the Board of
18 Supervisors must respond, within 90 days of receipt, to the Presiding Judge of the Superior
19 Court on Recommendation Nos. R.B.1.c, R.C.2.c and R.D.4.c contained in the Report; now,
20 therefore, be it

21 RESOLVED, That the Board of Supervisors reports that Recommendation No. R.B.1.c
22 has been implemented for reasons as follows: As part of the annual budget process, the
23 adopted budget appropriation for FY2016-2017 and FY2017-2018 includes \$132,300 and
24 \$138,915, respectively, for County Jail #3 and County Jail #4 lights and locks maintenance.
25

1 The Board of Supervisors will seriously consider any additional funding needs for safety
2 problems at County Jail #4; and, be it

3 FURTHER RESOLVED, That the Board of Supervisors reports that Recommendation
4 No. R.C.2.c will not implemented for reasons as follows: The FY2017-2018 and FY2018-2019
5 budget will not reach the Board of Supervisors for several months, and the Board cannot
6 make funding commitments at this time, especially since its own composition will be different
7 when the next budget is passed. However, in consultation with the Sheriff's Department and
8 Department of Public Health, the Board of Supervisors will carefully consider the prospect of
9 staffing Jail Behavioral Health Services 24 hours 7 days a week; and, be it

10 FURTHER RESOLVED, That the Board of Supervisors reports Recommendation No.
11 R.D.4.c has been implemented for reasons as follows: Per the Mayor's Office response: "The
12 FY2017-2018 budget includes training all Deputies at County Jails on suicide prevention and
13 crisis intervention, including enough for a training float;" and, be it

14 FURTHER RESOLVED, That the Board of Supervisors urges the Mayor to cause the
15 implementation of the accepted findings and recommendations through his/her department
16 heads and through the development of the annual budget.



City and County of San Francisco

Tails
Resolution

City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4689

File Number: 160620

Date Passed: October 18, 2016

Resolution responding to the Presiding Judge of the Superior Court on the findings and recommendations contained in the 2015-2016 Civil Grand Jury Report, entitled "San Francisco County Jails: Our Largest Mental Health Facility Needs Attention," and urging the Mayor to cause the implementation of accepted findings and recommendations through his/her department heads and through the development of the annual budget.

October 07, 2016 Government Audit and Oversight Committee - AMENDED, AN
AMENDMENT OF THE WHOLE BEARING SAME TITLE


October 07, 2016 Government Audit and Oversight Committee - RECOMMENDED AS
AMENDED

October 18, 2016 Board of Supervisors - ADOPTED

Ayes: 11 - Avalos, Breed, Campos, Cohen, Farrell, Kim, Mar, Peskin, Tang,
Wiener and Yee

File No. 160620

I hereby certify that the foregoing
Resolution was ADOPTED on 10/18/2016
by the Board of Supervisors of the City and
County of San Francisco.


Angela Calvillo
Clerk of the Board

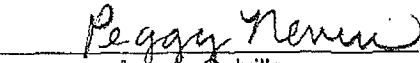
Unsigned

Mayor

10/28/2016

Date Approved

I hereby certify that the foregoing resolution, not being signed by the Mayor within the time limit as set forth in Section 3.103 of the Charter, or time waived pursuant to Board Rule 2.14.2, became effective without his approval in accordance with the provision of said Section 3.103 of the Charter or Board Rule 2.14.2.


for Angela Calvillo
Clerk of the Board

10/28/16
Date



City and County of San Francisco

Certified Copy

Resolution

City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4689

160620

[Board Response - Civil Grand Jury - San Francisco County Jails: Our Largest Mental Health Facility Needs Attention]

Resolution responding to the Presiding Judge of the Superior Court on the findings and recommendations contained in the 2015-2016 Civil Grand Jury Report, entitled "San Francisco County Jails: Our Largest Mental Health Facility Needs Attention;" and urging the Mayor to cause the implementation of accepted findings and recommendations through his/her department heads and through the development of the annual budget. (Government Audit and Oversight Committee)

10/18/2016 Board of Supervisors - ADOPTED

Ayes: 11 - Avalos, Breed, Campos, Cohen, Farrell, Kim, Mar, Peskin, Tang, Wiener and Yee

10/31/2016 Mayor - RETURNED UNSIGNED

STATE OF CALIFORNIA
CITY AND COUNTY OF SAN FRANCISCO

CLERK'S CERTIFICATE

I do hereby certify that the foregoing Resolution is a full, true, and correct copy of the original thereof on file in this office.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the City and County of San Francisco.

November 01, 2016

Date

Peggy Reven
for Angela Calvillo
Clerk of the Board



OFFICE OF THE SHERIFF
CITY AND COUNTY OF SAN FRANCISCO

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



VICKI L. HENNESSY
SHERIFF

September 12, 2016
Ref: VLH 2016-118

Honorable John K. Stewart
Presiding Judge
San Francisco Superior Court
400 McAllister Street
San Francisco, CA 94102

Dear Judge Stewart;

I write in response to the report of the 2015-2016 Civil Grand Jury, *San Francisco County Jails: Our Largest Mental Health Facility Needs Attention*. The members of the Civil Grand Jury are to be commended for choosing to focus on one of the most complex issues facing county jails today, that of providing compassionate, medically appropriate treatment for individuals with mental illness who become involved in the criminal justice system.

My responses to the Civil Grand Jury's findings and recommendations are as follows:

Finding A.1. *There is currently no jail procedure that accounts for those arrestees referred for hospital care.*

Agree.

Recommendation R.A.1.a. *Jail intake should develop a system to communicate and track cases where the triage nurse determines that the arrestee must be taken to a hospital for emergency medical or psychiatric care before admission to Jail.*

The recommendation has not been, but will be implemented as part of an effort to improve the booking process, including enhanced documentation. The entire effort is anticipated to take approximately six months. While the Department of Public Health enters this information into their data system, federal law, specifically the Health Information Portability and Accountability Act (HIPAA), prohibits the sharing of the information contained in it with the Sheriff's Department.

Recommendation A.1.b. *The SF Police Chief and Sheriff should revisit their MOU regarding transport and custody transfer.*

The recommendation has not been, but will be implemented. The Sheriff's Department has already begun meeting with the Police Department to revise the field arrest card to include more information about detainees and circumstances of arrest. The effort is estimated to take six months.

Finding A.2. Arrestees and their arresting officer may not always understand the importance of full disclosure of medical history.

Agree.

Recommendation A.2. In the interest of obtaining a more complete medical history, the Sheriff and the Director of Jail Health Services should update intake policies and practices to seek informed consent to contact and receive records from the arrestee's case manager, primary provider, and family or friends who may have information about the arrestee's medical history and therapeutic medications.

The taking of medical history and the maintenance of medical information are responsibilities placed with the Department of Public Health. Thus, this recommendation is more appropriately addressed by the Director of Public Health, but the Sheriff's Department will assist in any way possible.

Finding A.3. When an arresting agency brings an arrestee to the Jail for intake, there is a field arrest card.

Agree.

Recommendation A.3. The Sheriff should review current Field Arrest Card content and procedures to assure that best practices are employed, and information necessary for the health and safety of the arrestee and jail personnel is communicated in writing. The information should include circumstances of arrest and any observations or concerns the arresting officer may have about the medical or psychiatric condition of the arrestee.

This recommendation will be implemented in collaboration with the Police Department as part of an effort to improve the booking process. Additional information will include circumstances of arrest and documentation of medical or psychological trauma or distress, which will assist jail staff to appropriately assess and classify individuals on intake. The effort is anticipated to take approximately six months.

Finding A.4. Although the Sheriff has access to multiple criminal data bases, the arresting agencies do not necessarily share arrest records with the Sheriff's custody staff at the time of custody transfer.

Agree.

Recommendation A.4.a By early 2017, the Sheriff should implement a policy and procedure requiring arresting agencies to provide a digital copy of the arrest report, including charges and a description of the arrest, within six hours of the transfer of the arrestee.

This recommendation will not be implemented. Digital copies of arrest reports are generally not available within six hours, and to impose this requirement on the more than 20 agencies who bring their arrestees to the San Francisco County Jail for booking, would be unfairly burdensome to the agencies that are small and lack the resources to comply. The improvements we are making to the field arrest card will capture much of this information.

Recommendation A.4.b Once the "share the arrest record" process of R.A.4a is in place, the Sheriff should require all arresting agencies to comply with the process.

This recommendation will not be implemented. Digital copies of arrest reports are generally not available within six hours, and to impose this requirement on the more than 20 agencies who bring their arrestees to the San Francisco County Jail for booking, would be unfairly burdensome to the agencies that are small and lack the resources to comply. All agencies that book arrestees into County Jail #1 will be required to use the improved field arrest card referenced above.

Finding A.5. *The results of a preliminary psychiatric evaluation conducted by Jail Behavioral Health at intake could be helpful to the arrestee's long term mental health care if shared with the arrestee's case manager, if any.*

Agree.

Recommendation A.5. *The Sheriff and Director of Public Health, in consultation with the City Attorney for issues related to HIPAA, should develop and implement a policy for sharing with an arrestee's case manager (if any), the results of a preliminary psychiatric evaluation conducted at intake.*

This recommendation will not be implemented. The Sheriff's Department already receives generalized information which allows us to properly classify and house individuals with behavioral health issues. The confidentiality of prisoner medical information serves to encourage prisoners to share sensitive information with Jail Health Services staff. It is ill-advised to expand the role of custody staff to include communication with a prisoner's case manager regarding specific diagnoses or personal information not required or approved by law.

Finding A.6. *Although there are several ways for family members and friends to contact custody staff regarding concerns about their loved ones who are in jail, models for improvement are available.*

Agree.

Recommendation A.6. *The Sheriff should add to the inmate handbook a paragraph about the importance of contacting a family member or friend and should provide a 24/7 number that the inmate could give to this contact.*

This recommendation has not yet been, but will be implemented. This information will be included in the next revision of the prisoner orientation guide, anticipated to be updated within the next six months. We are in the process of identifying a dedicated telephone line and implementing a procedure for responding to calls that are received, which we anticipate completing in one month. As soon as that is accomplished, we will post the number on our website.

Finding B. 1. *In Jail #4, old locks jam frequently, causing safety concerns. Other maintenance issues continue to arise.*

Agree.

Recommendation B.1.a. *The Sheriff should prepare a supplemental budget request for funds to immediately address problems with old locks and any other remaining serious maintenance issues.*

The recommendation as to the repair of the locks has been implemented. It was not necessary to seek a supplemental budget request as funds for this purpose were already available in the Sheriff's Department's budget. As the Jury noted, the locks are only one of many outstanding maintenance and capital improvements that fill a list of long-term unmet needs. The Sheriff's Department is working closely with the Department of Public Works on a general conditions assessment that will serve as a roadmap of projects prioritized according to operational needs. These will be costed out and funding sources identified, resulting in a plan for moving forward.

The future of County Jail #4 is dependent on several factors, including population trends and the outcome of the Re-Envisioning the Jail Replacement Project's report to the Board of Supervisors, anticipated to be completed by the end of 2016. Repairs and upgrades needed to ensure the safety and security of County Jails #4 are analyzed and prioritized in light of the outcome of that effort, the condition of other jail facilities that require attention, and the availability of funds from all possible sources. The Sheriff's Department works closely with the Real Estate Division, which is responsible for repairs at the Hall of Justice, to address acute critical maintenance issues.

The simple fact is that County Jail #4 is dangerously obsolete in both function and design, and has been for more than 25 years. It is widely accepted that the jail must be closed and the prisoners moved to another facility. Of concern, however, is that since January 2016, a period of just nine months, the average daily population of the jails has risen by approximately 88.

The closure of County Jail #4 will necessitate retrofitting of County Jail #2 to accommodate high security prisoners, and to provide kitchen and laundry services now provided by County Jail #4. In addition, the Department of Public Works has identified critically needed roof repairs to 425 7th Street, which houses County Jails #1 and #2, including replacement of mechanical systems that are beyond their useful life. We must also reconfigure space in County Jail #1, to provide greater privacy for detainees during medical/psychiatric triage, consistent with HIPAA requirements.

The challenge is to do what we can to keep County Jail #4 as safe and secure as possible by responding to critical maintenance issues, while avoiding throwing good money after bad by investing in long-term improvements that will not solve the structural issues of that facility.

Finding B.2. Ending use of Jail #4 would also require finding a new kitchen and laundry facility for Jails #1 and #2.

Agree.

Recommendation B.2. The Sheriff should make interim plans for replacing kitchen and laundry facilities for Jails #1 and #2 by the end of 2016.

The recommendation requires further analysis. Plans to repair and upgrade County Jails #1 and #2 will be evaluated and prioritized in light of the Re-Envisioning the Jail Replacement Project's report to the Board of Supervisors, anticipated to be completed in November 2016, as well as by the condition of other jail facilities that require attention, and the availability of funds from all possible sources. The Sheriff has advised the Mayor's Office and the Department of Public Works that planning for renovation of the kitchen and laundry area of County Jail #2 should be a priority for funding.

Finding C.1. Jail #4 lacks suitable space for observation and treatment programs.

Agree.

Recommendation C.1. The Sheriff and the Director of Health should find a new replacement facility where Jail #4 inmates can be housed and receive appropriate treatment programs.

This recommendation requires further analysis, which will be informed by the outcome of the Re-Envisioning the Jail Replacement Project. The Sheriff's Department, the Department of Public Health and the Department of Public Works capital planning team developed a plan for a modern, code-compliant rehabilitation and detention facility, with appropriate treatment areas, intended to replace County Jail #4, but it was not approved by the Board of Supervisors.

Finding C.2. Jails have Jail Behavioral Health Services during the day but not at night. Without more behavioral health services in the jails to prepare inmates for reentry, the community mental health model recommended by Dr. Kupers and other experts will not be feasible.

Recommendation C.2.a. The City should staff Jail Behavioral Health Services 24/7. The Sheriff and the Director of Public Health should determine the amount to be included in the 2017-2018 budget request.

As Jail Behavioral Health Services is a division of the Department of Public Health, the finding and recommendation are best addressed by the Director of Public Health. However, I agree that having Jail Behavioral Health Services available 24/7 is extremely important to the well-being of prisoners and to staff safety. I support this expansion of services and will assist in its implementation in any way possible.

Finding C.3. Drug diversion is a serious issue in the Jail.

Agree.

Recommendation C.3 The Director of Public Health and the Sheriff need to develop better methods of informing custody staff which patients are being prescribed narcotic medications so that custody staff may pay extra attention to diversion risks to and from those getting "high value" medications.

This recommendation will not be implemented. Federal law prohibits the disclosure of medical information to custody staff. Policy and procedures are already in place to minimize medication diversion.

Finding C.4. The San Francisco Sheriff's website provides minimal information about mental health issues of those detained in the jail. As seen on Exhibit Figure 2, the link to "Behavioral Health and Reentry Programs" leads to a general discussion of these programs, and provides a phone number. A caller can only reach a human being at that number during regular business hours.

Agree.

Recommendation C.4.a. The San Francisco Sheriff should update the Department's website to provide additional information about mental health issues concerning those detained in jail, using the Cook County, Illinois Sheriff's Department website (Figure 3) as a "best practices" guideline.

The recommendation has not been, but will be implemented. In coordination with Behavioral Health Services, this information will be provided on the Sheriff's Department's website. We will also include this information in the prisoner orientation handbook and improve the information available by phone. The work will be completed within six months.

Recommendation C.4.b. The Sheriff should also, in cooperation with the Department of Emergency Services and SF311, develop a mental health information script for use by 311 operators when the Jail Health's Administrative Office is closed. The script should include communication tips for family members and suggest how to provide jail staff with concerns about the potential of detainees to engage in self-harm.

The recommendation will not be implemented. In addition to the providing the information referenced in the response to Recommendation C.4.a., the Sheriff's Department is in the process of providing a dedicated telephone number for family members and others to report their concerns directly to appropriate Jail Behavioral Health staff. The telephone number will be provided to 311, so staff can direct callers to the appropriate on-duty Jail Health Services supervisor who can better assist them.

Finding C.5 The Sheriff's Department provides data to the controller and the State Department of Corrections but does not make this data available to the public

Disagree partially. The Sheriff's Department provides monthly and quarterly reports to the Board of State and Community Corrections. These reports are public and accessible on the BSCC website. We will, however, place links to the BSCC website on the Sheriff's Department's website within one month.

Recommendation C.5 The Sheriff's Department should provide jail data for inclusion on the SF OpenData website.

The recommendation has not been, but will be implemented. The Sheriff's Department will work with the appropriate city staff to make this data available through OpenSF. The time frame for this effort is anticipated to be six months.

Finding D.1. The Sheriff's Department expenditure for overtime is increasing. Increased overtime results in fatigue and stress on the staff.

Agree.

Recommendation D.1.a. To reduce the need for overtime, the Sheriff should, in coordination with the City and County Human Resources Department, put high priority on filling existing vacancies by redoubling recruiting efforts and expediting the hiring process, with the assistance of a dedicated Sheriff's Department recruitment staff.

The recommendation has been implemented. The Sheriff's Department is in the process of selecting a recruitment deputy who will coordinate all recruitment activities. In addition, we have worked with the Department of Human Resources to include emotional intelligence in entry-level testing and we have filled vacancies in promotional ranks of senior deputy, sergeant and lieutenant. As of this writing, full staffing of the Sheriff's Department requires 874 sworn positions filled. We now have 771 sworn staff working, plus 49 in various stages of training. These trainees will assume full duty in groups starting in late September through early December 2016. The Department's 2016-2017 budget provides for the hiring of an additional 60 sworn positions, who will assume full duty in groups starting late April through December 2017. If all trainees succeed in completing the POST academy and CORE jail operations course, it will bring our staffing to 880. Unfortunately, we are expecting 20 to 30 retirements during this time period. Thus, we will need to rely on overtime to fill shift vacancies.

Recommendation D.1.b. Identify positions that might be re-classified as administrative support, i.e. civilian, rather than requiring sworn deputies to handle those duties.

The recommendation requires further analysis. The Sheriff's Department is currently conducting a management audit of the Sheriff's Department's Information Technology and Support Services unit to, among other things, determine if some sworn positions are suitable for re-classification. The FY 2016-2017 budget provides for re-classification of selected positions in the Records Unit. We will continue to look for opportunities to re-classify positions that can be performed by civilian staff, thus shifting sworn positions to fill vacancies in the jails.

Finding D.2. The San Francisco Sheriff's Department has an assignment process that enables deputies to keep one position for many years.

Agree.

Recommendation D.2. The Sheriff's Department should have a rotation policy similar to policies in effect at other law enforcement agencies: every five years, one third of the staff gets rotated. The Station Transfer Unit and other additional duties to enrich rotation opportunities should be implemented.

The recommendation requires further analysis. I am exploring model policies and best practices among similar law enforcement agencies with the objective of implementing a fair and practical assignment rotation policy. This will represent a change in policy that will be subject to meet-and-confer with the Deputy Sheriffs' Association and the Managers' and Supervisors' Association. Once the staffing infrastructure is in place, consideration will be given to discussing the re-instituting of the Station Transfer Unit.

Finding D.3. Some Deputy Sheriffs appreciate the opportunity to work hours more compatible with family life and/or closer to home.

Agree.

Recommendation D.3. The Sheriff should negotiate with the San Francisco Deputy Sheriff's Association for recognition of the benefits to be gained by rotation and should negotiate incentives that balance the desire of deputies for preferable assignments with the needs of the service.

This recommendation requires further analysis of incentives permissible by existing MOU's, City policy and available funding.

Finding D.4. There is a need for all Deputies at County Jails to be trained on suicide prevention and crisis intervention as a priority, and for additional training to meet annual POST requirements. Training will require a training float.

Agree.

Recommendation D.4.a. The Sheriff should include in the 2017-18 budget request sufficient funds for the purpose of training all Deputies at County Jails on suicide prevention and crisis intervention, including enough for a training float.

The recommendation has been implemented. Funding was requested and approved in the FY 2016-2017 budget.

Finding D.5. The Sheriff's Department management concurs that all staff need training in crisis intervention, incident debriefing, and stress management. The Sheriff Department's policy to only send two people for training at one time due to staff vacancies means that Deputies trained in Crisis Intervention will continue to be a limited group for some time to come.

Agree, however, there is no policy that provides for sending only two people to training at a time. Crisis intervention training is currently provided to classes that contain 20 to 25 people each.

Recommendation D.5.a. New recruits should complete crisis intervention training either at the Academy or within one year of graduation from POST academy.

The recommendation has been implemented. New recruits currently receive 24 hours of crisis intervention training in the CORE course, which covers basic jail operations, in addition to the introduction to crisis intervention they receive in their POST academy training.

Recommendation D.5.b. All sworn officers, medical, and psychiatric services staff should complete crisis intervention, debriefing, and stress management training within three years of employment.

The recommendation has been implemented. Sworn Sheriff's Department staff are scheduled to receive crisis intervention training, in addition to their required Advanced Officer Training. It is anticipated that all sworn staff will receive the training within three years. All staff participate in debriefs of critical incidents, and after-action reports, and have access to Peer Support, the Department chaplains, and law-enforcement-specific counseling provided by the city's Employee Assistance Program. The portion of this recommendation regarding medical and behavioral health staff is best addressed by the Department of Public Health.

Recommendation D.5.c. To accomplish this, the Sheriff should recruit extra help from the roster of retired Deputies and arrange for more "train the trainer" sessions.

The recommendation has been implemented. Retired deputy sheriffs are used, and will continue to be used, to perform important administrative tasks. However, it is cost- and time-prohibitive to employ them in law enforcement duties, which is where the need exists, because to do so requires that they bring their POST certifications up to date, pass firearms requalification, and undergo a complete background investigation. The Department routinely uses a "train the trainer" strategy to enable us to deploy training throughout the Department quickly and efficiently.

Finding E.1 The Sheriff and the Director of Public Health staff could do more to plan for the critical first few hours after discharge of a person with mental illness.

Agree.

Recommendation E.1. The Sheriff and the Director of Public Health should update the San Francisco Jail's Discharge Planning Policies and Practices to add Wellness Recovery Plan Procedures, including:

Provide a "warm handoff" to a Case Manager in the community who will arrange for a full continuum of care. (Note that this requires identification of receiving hands ready to accept the patient).

Have case manager or designee accompany the patient to at least the first continuing care appointment and assess patient needs to assure future appointment compliance.

Set up a meeting of the Community Case Manager with the patient prior to his release, in order to have a visual connection.

The recommendation has been implemented. Sheriff's Department's Program Coordinators are responsible for coordinating release of eligible custodies to community case managers who deliver them directly to the program designated and ready to receive them. Eligible individuals are clients of the collaborative courts, including Behavioral Health Court, Veterans' Court and Drug Court, as well as the Assertive Case Management program. In addition, Peer Specialists funded by the MIOGR (Mentally Ill Offender Grant) accompany misdemeanor clients of Behavioral Health Court to medical appointments and remind clients of upcoming court dates.

Finding E.2. *Jail Behavioral Health Services does not currently conduct "release assessments" on patients discharged from the San Francisco Jails.*

Recommendation E.2. *The Sheriff and the Director of Public Health should request the Controller to conduct a benchmark survey of "release assessment" and other performance measures for mental health services in county jails and suggest best practices for adoption at the San Francisco Jails.*

As mental health services are provided by the Department of Public Health, the Sheriff will defer to the Director on this recommendation, and assist in every way possible.

Finding E.3. *Bay Area universities represent a source of impartial data reviewers of San Francisco Jail's mental health services.*

Recommendation E.3. *The Sheriff and the Director of Public Health should contact appropriate departments in Bay Area universities to determine potential interest in having graduate students analyze performance metrics and prepare reports on mental health services provided in San Francisco Jails.*

As mental health services are provided by the Department of Public Health, the Sheriff will defer to the Director on this recommendation and assist in every way possible.

Finding E.4. *Bay Area mental health organizations such as NAMI could provide useful recommendations on mental health services in San Francisco Jails*

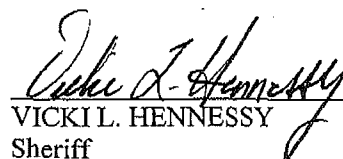
Agree.

Recommendation E.4. *The Sheriff and the Director of Public Health should seek out local mental health organizations, such as NAMI and MHB, for recommendations on mental health services provided in the San Francisco Jails and related reentry services.*

The recommendation has not been but will be implemented. I will seek information and advice from the suggested organizations and others within the next three months.

I wish to thank the Civil Grand Jury for their thoughtful, thorough and informative report. Having the benefit of their insight and fresh eyes on the issues is extremely valuable to me, and I am grateful for their dedication and service. Should they require further information, I shall be happy to provide it.

Sincerely,


VICKI L. HENNESSY
Sheriff

OFFICE OF THE MAYOR
SAN FRANCISCO



EDWIN M. LEE
MAYOR

Received via email
09/12/016
File Nos. 160619 and 160620

September 12, 2016

The Honorable John K. Stewart
Presiding Judge
Superior Court of California, County of San Francisco
400 McAllister Street
San Francisco, CA 94102

Dear Judge Stewart:

Pursuant to California Penal Code sections 933 and 933.05, the following is in reply to the 2015-16 Civil Grand Jury report, *San Francisco County Jails – Our Largest Mental Health Facility Needs Attention*. The Civil Grand Jury's evaluation of the Custody Operations and Mental Health/Psychiatric Services is an important contribution to the ongoing planning of the Jail Replacement Project and behavioral health needs of people in jail.

The response describes existing processes across the Sheriff's Department and the Department of Public Health (DPH), which includes Jail Health Services and Jail Behavioral Health Services, for the coordinated provision of safe conditions and appropriate services for jail inmates who may be mentally ill. DPH recently commissioned a forensic mental health consultant to review the operations, policies and standard work of Jail Behavioral Health Services, and is evaluating and implementing the recommendations.

The City has also convened a working group co-chaired by the Sheriff and the Director of Health, and including community members, criminal justice experts, and mental health experts, to plan for the permanent closure of County Jail Nos. 3 and 4 and any corresponding investments to uphold public safety and better serve at-risk individuals. Meeting regularly since March 2016, and using the Sequential Intercept Model as a framework, the Work Group to Re-Envision the Jail Replacement Project has sought to address the following:

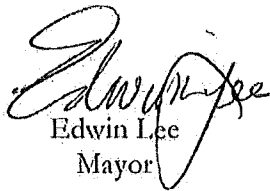
- Identifying strategies for reducing the jail population, including alternatives to incarceration and other programs or policies;
- Identifying effective and humane investments in behavioral health programs for those who may otherwise find themselves incarcerated; and
- Reviewing the current state of the City's facilities and identifying what new facility or facilities are needed.

Recommendations from this effort are expected to be finalized in November 2016.

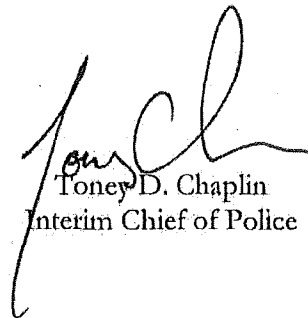
A detailed response from the Mayor's Office, Police Department, Department of Human Resources, Department of Public Health, and City Administrator to the Civil Grand Jury's findings and recommendations follows.

Thank you again for the opportunity to comment on this Civil Grand Jury report.


Sincerely,



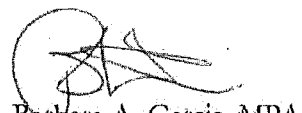
Edwin Lee
Mayor



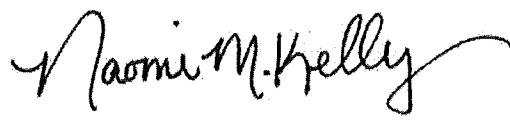
Toney D. Chaplin
Interim Chief of Police



Micki Callahan
Human Resources Director



Barbara A. Garcia, MPA
Director of Health



Naomi M. Kelly
City Administrator

Findings:

Finding F.A.1. There is currently no jail procedure that accounts for those arrestees referred for hospital care.

Disagree with finding, wholly.

Triage procedures identify those who are too acute or unstable (medically or psychiatrically) to be cared for in the jail. These patients are then referred to the emergency department or psychiatric emergency services at Zuckerberg San Francisco General Hospital (ZSFG) for care. A report is generated each day that identifies these patients in the electronic medical record. These referrals are reviewed daily by the Jail Health Services Director and the triage nurse manager of County Jail #1.

Finding F.A.2. Arrestees and their arresting officer may not always understand the importance of full disclosure of medical history.

Agree with finding.

Finding F.A.5. The results of a preliminary psychiatric evaluation conducted by Jail Behavioral Health at intake could be helpful to the arrestee's long term mental health care if shared with the arrestee's Case Manager, if any.

Agree with finding.

Finding F.A.6. Although there are several ways for family members and friends to contact custody staff regarding concerns about their loved ones who are in jail, models for improvement are available.

Agree with finding.

Finding F.C.1 Jail #4 lacks suitable space for observation and treatment programs.

Agree with finding.

Finding F.C.2. Jails have Jail Behavioral Health Services during day shifts but not at night. Without more behavioral health services in the jails to prepare inmates for reentry, the community mental health model recommended by Dr. Kupers and other experts will not be feasible.

Disagree with finding, partially.

Jail Behavioral Health Services (JBHS) staff are available on site until 10pm on Fri/Sat and until 8pm Sun-Thurs. There is significant JBHS coverage throughout the jails and psychiatry coverage is available 24/7. There are indeed opportunities for more robust re-entry services to augment the existing services provided to those with serious mental illness, HIV and identified complex medical conditions to include other people leaving the jail.

Finding F.C.3. Drug diversion is a serious issue in the Jail.

Disagree with finding, partially.

Consistent with the larger community, the issue of prescription drug diversion has received increasing attention. The disproportionately high prevalence of substance use disorders in jails correlates with greater risk of diversion in this setting.

Finding F.C.4. The San Francisco Sheriff's website provides minimal information about mental health issues of those detained in the jail. As seen on Exhibit Figure 2, the link to "Behavioral Health and Reentry Programs" leads to a general discussion of these programs, and provides a phone number. A caller can only reach a human being at that number during regular business hours.

Agree with finding.

Finding F.C.5. The Sheriff's Department provides data to the Controller and the State Department of Corrections but does not make this data available to the public.

Agree with finding.

The Sheriff's Department provides monthly and quarterly reports to the Board of State and Community Corrections (BSCC). These reports are public and accessible on the BSCC website.

Finding F.D.1. The Sheriff's Department expenditure for overtime is increasing. Increased overtime results in fatigue and stress on the staff.

Agree with finding.

Over the past several years the Sheriff's Department has seen higher than anticipated retirements, coupled with a lower than anticipated ability to hire and train sufficient replacement staff. To reduce overtime usage and get the Sheriff Department back up to an appropriate level of staffing, the budget includes a one-time increase of \$2.5 million in FY 2016-17 to fund additional overtime while the Department plans to hold three classes next year. It is anticipated that in FY 2017-18, the Department's overtime levels will return to FY 2015-16 levels, adjusted for inflation, and the Department will be able to hold one class per year to backfill retirements as they occur.

Finding F.E.1. The Sheriff and the Director of Public Health staff could do more to plan for the critical first few hours after discharge of a person with mental illness.

Agree with finding.

Finding F.E.2. Jail Behavioral Health Services does not currently conduct "release assessments" on patients discharged from the San Francisco Jails.

Disagree with finding, partially.

Release assessments are provided to those with serious mental illness, HIV and identified complex medical conditions.

Finding F.E.3. Bay Area universities represent a source of impartial data reviewers of San Francisco Jail's mental health services.

Disagree with finding, partially.

While Bay Area universities can represent a source of impartial data reviewers, DPH relies on the consult of experts in designated fields for data review and analysis.

Finding F.E.4. Bay Area mental health organizations such as NAMI could provide useful recommendations on mental health services in San Francisco Jails.

Agree with finding.

Recommendations:

Recommendation R.A.1.a. Jail intake should develop a system to communicate and track cases where the triage nurse determines that the arrestee must be taken to a hospital for emergency medical or psychiatric care before admission to Jail.

Recommendation has been implemented.

Triage procedures identify those who are too acute or unstable (medically or psychiatrically) to be cared for in the jail. These patients are then referred to the emergency department or psychiatric emergency services at Zuckerberg San Francisco General Hospital (ZSFG) for care. A report is generated each day that identifies these patients in the electronic medical record. These referrals are reviewed daily by the Jail Health Services Director and the triage nurse manager of County Jail #1.

Recommendation R.A.1.b. The SF Police Chief and Sheriff should revisit their MOU regarding transport and custody transfer.

Recommendation requires further analysis.

The SFPD and SFSD conducted a 6-month pilot involving station transfers (Mission and Tenderloin). The Mayor's Budget Instructions are provided to departments in December of each year and the Mayor proposes a balanced two year budget the following June for consideration by the Board of Supervisors. The MOU regarding transport and custody transfer will be revisited in connection with the City's budget process for FY 2017-18 and FY 2018-19, as provided by the City Charter.

Recommendation R.A.2. In the interest of obtaining a more complete medical history, the Sheriff and the Director of Jail Health Services should update Intake policies and practices to seek informed consent to contact and receive records from the arrestee's Case Manager, primary provider, and family or friends who may have information about the arrestee's medical history and therapeutic medications.

Recommendation has been implemented.

It is the practice for the triage nurse at intake to inform patients of the importance of medical history, to attempt to obtain a complete medical history and to obtain collateral information from outside sources. At the time the patient is seen by a provider, additional records are requested. At any time during the period of incarceration, a patient may request a Release of Information form from medical staff to allow communication between the jail staff and any outside entity that is so designated.

Recommendation R.A.5. The Sheriff and Director of Public Health, in consultation with the City Attorney for issues related to HIPAA, should develop and implement a policy for sharing with an arrestee's Case Manager (if any), the results of a preliminary psychiatric evaluation conducted at Intake.

Recommendation has been implemented.

Jail Behavioral Health Services staff contact community providers to obtain collateral information, and verify medications. These contacts also include a discussion of how the patient is currently presenting in jail.

Communication with community providers while their patient is in jail is ongoing and community providers are encouraged to come in to the jail to provide ongoing care.

Recommendation R.A.6. The Sheriff should add to the inmate handbook a paragraph about the importance of contacting a family member or friend and should provide a 24/7 number that the inmate could give to this contact.

Recommendation has not been, but will be, implemented in the future.

The recommendation will be implemented within six months of this response. SFSD will provide this information in the inmate handbook and Jail Health Services will provide any assistance needed to achieve this.

Recommendation R.B.1.b. The Mayor should include in a supplemental budget request the Sheriff's request for funds to address the problems with old locks at Jail #4 and any other remaining serious maintenance issues.

Recommendation has been implemented.

Repairs to address acute critical maintenance at the Hall of Justice are coordinated with the Sheriff's Department and Real Estate Division of the City Administrator's Office. The FY 2016-17 and FY 2017-18 budget includes \$132,300 and \$138,915, respectively, for Jail #3 and Jail #4 lights and locks maintenance. In addition, the final report of the Work Group to Re-Envision the Jail, anticipated to be completed by November 2016, will provide recommendations for investments in mental health and/or new facilities to needed to close Jail #4.

Recommendation R.C.1. The Sheriff and the Director of Health should find a new replacement facility where Jail #4 inmates can be housed and receive appropriate treatment programs.

Requires further analysis.

The Director of Health and the Sheriff are co-chairing the Work Group to Re-Envision the Jail Replacement Project to plan for the permanent closure of County Jails #3 and #4 and any corresponding investments in new mental health facilities and current jail retrofits needed to uphold public safety and better serve at-risk individuals. Recommendations from this effort are expected to be finalized in November 2016.

Recommendation R.C.2.a. The City should staff Jail Behavioral Health Services 24/7. The Sheriff and the Director of Health should determine the amount to be included in the 2017-2018 budget request.

Requires further analysis.

Further analysis of the impact of staffing Jail Behavioral Health Services 24/7 is required. Such an analysis would include, but not be limited to, anticipated benefit, projected cost, and benchmarking of other jail health service systems.

Recommendation R.C.2.b. The Mayor should include the Sheriff's request for funds for this purpose in his proposed budget.

Requires further analysis.

The Sheriff and the Director of Health are jointly reviewing staffing of Jail Behavioral Health Services 24/7. Additionally, the Mayor's Budget Instructions are provided to departments in December of each year and the Mayor proposes a balanced two year budget the following June for consideration by the Board of Supervisors. The anticipated benefit, projected cost, and benchmarking of other jail health service systems will be considered in connection with the City's budget process for FY 2017-18 and FY 2018-19, as provided by the City Charter.

Recommendation R.C.3. The Director of Public Health and the Sheriff need to develop better methods of informing custody staff which patients are being prescribed narcotic medications so that custody staff may pay extra attention to diversion risks to and from those getting "high-value" medications.

Recommendation will not be implemented.

Medication is protected health information. Under federal law, health care staff are prohibited from disclosing this information to individuals not directly treating a patient. Diverted drugs may or may not be prescribed medications and may or may not be prescribed to the patient in possession of the medication. There are clear policies with regard to the administration of medication (including opioids) and these policies are enforced both by nursing and custody staff.

Recommendation R.C.4.b. The Sheriff should also, in cooperation with the Department of Emergency Services and SF311, develop a mental health information script for use by 311 operators when the Jail Health's Administrative Office is closed. The script should include communication tips for family members and suggest how to provide jail staff with concerns about the potential of detainees to engage in self harm.

Recommendation will not be implemented.

311's Customer Service Representatives, who are responsible for answering incoming calls to 311, provide basic non-emergency information and/or handle the intake of non-emergency requests for general City services (e.g. potholes, street cleaning). To address the concern of lack of access stated on the report, SFSD is developing a process to enable family members who have concerns about detainees to contact trained jail staff directly. Jail Health Services will assist in this effort.

Recommendation R.C.5. The Sheriff's Department should provide jail data for inclusion on the SF OpenData website.

Recommendation has not been, but will be, implemented in the future.

The Sheriff's Department provides data and other information to the Board of State and Community Corrections (BSCC). The Mayor's Office supports including data provided to BSCC in SF OpenData. The Sheriff's Department will work with DataSF to publish their data on SF OpenData as well as complete their inventory and publishing plan per open data requirements. The expected timeframe for this effort is six months.

Recommendation R.D.1.a. To reduce the need for overtime, the Sheriff should, in coordination with the City and County Human Resources Department, put high priority on filling existing vacancies by redoubling recruiting efforts and expediting the hiring process, with the assistance of a dedicated Sheriff's Department recruitment staff.

Recommendation has been implemented.

To reduce overtime usage and get the Sheriff Department back up to an appropriate level of staffing, the budget includes a one-time increase of \$2.5 million in FY 2016-17 to fund additional overtime while the Department plans to hold three classes next year. It is anticipated that in FY 2017-18, the Department's overtime levels will return to FY 2015-16 levels, adjusted for inflation, and the Department will be able to hold one class per year to backfill retirements as they occur.

Deputy Sheriffs (job classification 8302) are civil service employees hired through a process governed by the City Charter and the Civil Service Commission. The Department of Human Resources (DHR) is responsible for administering the civil service examination for 8302 Deputy Sheriffs. DHR conducted a selection process for 8302 in 2015 and adopted a list of 297 eligible candidates in July of 2015, which has since expired. DHR conducted another selection process for 8302 Deputy Sheriffs in early 2016, and adopted a list of 305 eligible candidates in May of 2016. That list will expire on May 30, 2017.

Over the last several years the deputy sheriff exam has been given on an "as needed" basis. Beginning in fiscal year 16/17 DHR plans to dedicate the necessary resources to test and place new candidates on the eligible list approximately every four months. The exam announcement will be open continuously. Continuous testing, a process through which candidates are regularly added to the eligible list, is utilized by both the San Francisco Police and Fire Departments. Continuous testing improves the impact of recruitment and outreach efforts by significantly reducing the time between first contact with someone interested in the job and testing. It is anticipated that continuous testing will help meet departmental needs by ensuring the eligible list is regularly updated with qualified candidates.

Additionally, DHR's recruiter will continue to coordinate efforts with the Sheriff's Department to support recruitment.

Recommendation R.D.4.b. The Mayor should include the Sheriff's request for funds for this purpose (training all Deputies at County Jails on suicide prevention and crisis intervention) in the Mayor's proposed budget.

Recommendation has been implemented.

The FY 2017-18 budget includes training all Deputies at County Jails on suicide prevention and crisis intervention, including enough for a training float.

Recommendation R.E.1. The Sheriff and the Director of Public Health should update the San Francisco Jail's Discharge Planning Policies and Practices to add Wellness Recovery Plan Procedures, including:

- Provide a "warm handoff" to a Case Manager in the community who will arrange for a full continuum of care. (Note that this requires identification of receiving hands ready to accept the patient).

- Have case manager or designee accompany the patient to at least the first continuing care appointment and assess patient needs to assure future appointment compliance.
- Set up a meeting of the Community Case Manager with the patient prior to his release, in order to have a visual connection."
- "Recommendation R.E.1. The Sheriff and the Director of Public Health should update the San Francisco Jail's Discharge Planning Policies and Practices to add Wellness Recovery Plan Procedures, including:
- Provide a "warm handoff" to a Case Manager in the community who will arrange for a full continuum of care. (Note that this requires identification of receiving hands ready to accept the patient).
- Have case manager or designee accompany the patient to at least the first continuing care appointment and assess patient needs to assure future appointment compliance.
- Set up a meeting of the Community Case Manager with the patient prior to his release, in order to have a visual connection.

Recommendation has been implemented.

Community mental health providers may come into the jail to see their patients at any time during the period of incarceration. Patients enrolled in behavioral health court released to case management, those on LPS conservatorship are placed in treatment and transportation provided by SFPD, those released to community residential treatment programs are accompanied by a case manager. Patients who are found incompetent to stand trial on misdemeanor charges are provided a "warm handoff" to all designated community programs. Additionally, those released to the community who are not linked to case management (and are awaiting Intensive Case Management Services) receive an expedited appointment with outpatient case management within a week of discharge.

Recommendation R.E.2. The Sheriff and the Director of Public Health should request the Controller to conduct a benchmark survey of "release assessment" and other, performance measures for mental health services in county jails and suggest best practices for adoption at the San Francisco Jails.

Recommendation has not been, but will be, implemented in the future.

This recommendation will be implemented within two months of this response. We do not currently understand the full range of each patient's needs at discharge (beyond those with SMI, HIV and identified complex medical conditions) and thus this type of assessment could help us target current resources and build capacity for those services we do not provide.

Recommendation R.E.3. The Sheriff and the Director of Public Health should contact appropriate departments in Bay Area universities to determine potential interest in having graduate students analyze performance metrics and prepare reports on mental health services provided in San Francisco Jails.

Recommendation will not be implemented.

DPH relies on the consult of experts in the field for this kind of analysis. This recommendation will be implemented within two months of this response. In fiscal year 2015, DPH commissioned a forensic mental health consultant to review the operations, policies and standard work of Jail Behavioral Health

Services. DPH is currently evaluating and implementing the consultant's recommendations. In addition, DPH will explore opportunities to engage academic partners in defining, capturing and analyzing performance metrics for behavioral health services.

Recommendation R.E.4. The Sheriff and the Director of Public Health should seek out local mental health organizations, such as NAMI and MHB, for recommendations on mental health services provided in the San Francisco Jails and related reentry services.

Recommendation has been implemented.

Local mental health advocate organizations are deeply involved in the SF Workgroup to Re-envision the Jail Replacement Project efforts, including MHA the Mental Health Association of SF and the MHB Mental Health Board of SF. Formal recommendations about mental health services in the jail are under draft. In addition, DPH works closely with client advisory councils and interacts regularly with the Mental Health Board.

CITY AND COUNTY OF SAN FRANCISCO



OFFICE OF THE CITY ATTORNEY

DENNIS J. HERRERA
City Attorney

DIRECT DIAL: (415) 554-4700

September 16, 2016

Hon. John K. Stewart
Presiding Judge
San Francisco Superior Court
400 McAllister Street
San Francisco, CA 94102

Received via email
9/16/2016
File Nos. 160619 and 160620

Re: **City Attorney Office's response to the June 2016 Civil Grand Jury Report released on July 14, 2016 and entitled, "*San Francisco County Jails: Our Largest Mental Health Facility Needs Attention*"**

Dear Judge Stewart:

In accordance with Penal Code Sections 933 and 933.05, the Office of the City Attorney submits the following response to the June 2016 Civil Grand Jury Report entitled, *San Francisco County Jails: Our Largest Mental Health Facility Needs Attention*. The Grand Jury requested that this office respond to the report.

For each Civil Grand Jury finding for which the Grand Jury has requested a response, the statutes require the respondent to either:

1. agree with the finding; or
2. disagree with it, wholly or partially, and explain why.

For each Civil Grand Jury recommendation for which the Grand Jury has requested a response, the statutes require the respondent to report:

1. that the recommendation has been implemented, with a summary explanation of how it was implemented;
2. the recommendation has not been implemented, but will be implemented in the future, with a time frame for the implementation;
3. the recommendation requires further analysis, with an explanation of the scope of that analysis and a time frame for the officer or agency head to be prepared to discuss it (less than six months from the release of the report); or
4. that the recommendation will not be implemented because it is not warranted or reasonable, with an explanation of why that is.

Letter to Hon. John K. Stewart

Page 2

September 16, 2016

The Grand Jury has asked the City Attorney's Office to respond to Finding F.A.5 and Recommendation R.A.5 as provided below:

Finding F.A.5.

The results of a preliminary psychiatric evaluation conducted by Jail Behavioral Health at intake could be helpful to the arrestee's long-term mental health care if shared with the arrestee's Case Manager, if any.

City Attorney's Office Response To Finding F.A.5.

The substance of this finding is beyond the expertise and jurisdiction of the City Attorney, and the City Attorney therefore cannot agree or disagree with it.

Recommendation R.A.5.

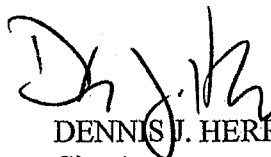
The Sheriff and Director of Public Health, in consultation with the City Attorney for issues related to HIPAA, should develop and implement a policy for sharing with an arrestee's Case Manager (if any), the results of a preliminary psychiatric evaluation conducted at Intake.

City Attorney's Office Response To Recommendation R.A.5.

The City Attorney will consult with the Sheriff and Director of Public Health, if requested, on the development and implementation of a policy for sharing with an arrestee's Case Manager (if any), the results of a preliminary psychiatric evaluation conducted at Intake. The City Attorney will advise the Sheriff and Director of Public Health on HIPAA requirements or any other relevant legal issues.

We hope this response is helpful.

Very truly yours,



DENNIS J. HERRERA
City Attorney

From: Major, Erica (BOS)
Sent: Friday, October 28, 2016 8:49 AM
To: BOS-Supervisors
Cc: BOS-Legislative Services; pkilkenny@sftc.org; Steeves, Asja (CON)
Subject: Official Board Response - Civil Grand Jury Report: San Francisco's Crime Lab - Promoting Confidence and Building Credibility
Attachments: 160609.pdf

Greetings:

Attached is the Board's official follow-up response to the Presiding Judge John K. Stewart on the Civil Grand Jury Report entitled "San Francisco's Crime Lab - Promoting Confidence and Building Credibility."

ERICA MAJOR

Assistant Clerk

Board of Supervisors

1 Dr. Carlton B. Goodlett Place, City Hall, Room 244 San Francisco, CA 94102

Phone: (415) 554-4441 | Fax: (415) 554-5163

Erica.Major@sfgov.org | www.sfbos.org



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***Disclosures:** Personal information that is provided in communications to the Board of Supervisors is subject to disclosure under the California Public Records Act and the San Francisco Sunshine Ordinance. Personal information provided will not be redacted. Members of the public are not required to provide personal identifying information when they communicate with the Board of Supervisors and its committees. All written or oral communications that members of the public submit to the Clerk's Office regarding pending legislation or hearings will be made available to all members of the public for inspection and copying. The Clerk's Office does not redact any information from these submissions. This means that personal information—including names, phone numbers, addresses and similar information that a member of the public elects to submit to the Board and its committees—may appear on the Board of Supervisors website or in other public documents that members of the public may inspect or copy.*

BOARD of SUPERVISORS



City Hall
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco 94102-4689
Tel. No. 554-5184
Fax No. 554-5163
TDD/TTY No. 544-5227

October 28, 2016

The Honorable John K. Stewart
Presiding Judge
Superior Court of California, County of San Francisco
400 McAllister Street, Department 206
San Francisco, CA 94102-4514


Dear Judge Stewart:

The Board of Supervisors' Government Audit and Oversight Committee conducted an additional public hearing on October 6, 2016, to receive updates from various City departments on the status of the continued Recommendation Nos. R.A.2 and R.B.1 from the 2015-2016 Civil Grand Jury Report (Report), "San Francisco's Crime Lab - Promoting Confidence and Building Credibility."

On October 18, 2016, the Board of Supervisors unanimously adopted Motion No. M16-140 as the updated response to the Report (attached).

If you have any questions, please contact myself or Erica Major, Government Audit and Oversight Committee Clerk, at (415) 554-5184.

Sincerely,


Angela Calvillo
Clerk of the Board

c: Pat Kilkenny, Superior Court
Asja Steeves, Civil Grand Jury Coordinator

PREPARED IN COMMITTEE
10/06/2016

FILE NO. 160609

MOTION NO. M16-140

1 [Follow-Up Board Response - Civil Grand Jury Report - San Francisco's Crime Lab -
2 Promoting Confidence and Building Credibility]

3 **Motion responding to the Civil Grand Jury's request to provide a status update on the**
4 **Board of Supervisors response to Recommendation Nos. R.A.2 and R.B.1 contained in**
5 **the 2015-2016 Civil Grand Jury Report, entitled "San Francisco's Crime Lab -**
6 **Promoting Confidence and Building Credibility;" and urging the Mayor to cause the**
7 **implementation of accepted recommendations through his/her department heads and**
8 **through the development of the annual budget.**

9
10 WHEREAS, The 2015-2016 San Francisco Civil Grand Jury published a report, entitled
11 "San Francisco's Crime Lab - Promoting Confidence and Building Credibility" (Report) on
12 June 1, 2016; and

13 WHEREAS, The Board of Supervisors' Government Audit and Oversight Committee
14 (GAO) conducted a public hearing to hear and respond to the Report on September 1, 2016;
15 and, the Board of Supervisors adopted Resolution No. 382-16 on September 6, 2016
16 reflecting the GAO responses to the Report on September 1, 2016; a copy of which is on file
17 with the Clerk of the Board of Supervisors in File No. 160610; and

18 WHEREAS, Recommendation No. R.A.2 states: "The Mayor should direct, the Board of
19 Supervisors (BOS) should approve, and the Controller should facilitate a transfer of budget,
20 facilities, assets, personnel, and management of the Crime Lab from the SFPD [San
21 Francisco Police Department] to the General Services Agency, Department of Administrative
22 Services;" and

23 WHEREAS, The Board of Supervisors on September 6, 2016, responded in Resolution
24 No. 160610 that Recommendation No. R.A.2 requires further analysis because the Board of
25 Supervisors requires the SFPD and the General Services Agency to formulate a proposal,

1 timeline and feasibility of how the transfer of budget, facilities, assets, personnel and
2 management would be handled. The Board requests the proposal be presented to the GAO
3 Committee by October 6, 2016; and

4 WHEREAS, Recommendation No. R.B.1 states: "The Crime Lab and the Police
5 Department's Office of Technology should devote all necessary resources to install and
6 implement a user friendly Laboratory Information Management System (LIMS) that will track
7 cases, increase laboratory efficiency, facilitate outcomes evaluation, and allow real time
8 sharing of information;" and

9 WHEREAS, The Board of Supervisors on September 6, 2016, responded in Resolution
10 No. 160610 that Recommendation R.B.1 has not yet been fully implemented but will be
11 implemented in the future as reported by the Mayor, Police Department and the City
12 Administrator in their responses to the Civil Grand Jury for reasons as follows: The LIMS
13 contract was finalized and the system purchased in the spring of 2016. It is currently being
14 customized and implemented through interactions between the vendor and the Crime Lab.
15 The LIMS system will be fully operational in the spring of 2017 and will allow improved
16 operations of and effective communications for the Forensics Services Division; and

17 WHEREAS, the GAO conducted an additional hearing on October 6, 2016, to receive
18 an update from City departments on Recommendation Nos. R.A.2 and R.B.1; now, therefore,
19 be it

20 MOVED, That Recommendation No. R.A. 2 will not be implemented, for reasons as
21 follows: The Board of Supervisors agrees that the SFPD and General Services Agency need
22 further time to meet, thoroughly formulate, and evaluate a proposal of how to transfer the
23 budget, facilities, assets, personnel and management from the SFPD to the General Services
24 Agency. However until the two Departments complete and submit their proposal to the Board
25 of Supervisors for further evaluation, we cannot approve this transfer; and, be it

1 MOVED, That Recommendation R.B.1 has been implemented. The LIMS has been
2 purchased and is in the process of being customized with full-implementation expected in
3 spring of 2017. The LIMS system will be fully operational in the spring of 2017. The Mayor's
4 Office is still in the process of hiring the new Forensic Services Director; and, be it

5 FURTHER MOVED, That the Board of Supervisors urges the Mayor to cause the
6 implementation of the accepted recommendation through his/her department heads and
7 through the development of the annual budget.



City and County of San Francisco

Tails

Motion: M16-140

City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4689

File Number: 160609

Date Passed: October 18, 2016

Motion responding to the Civil Grand Jury's request to provide a status update on the Board of Supervisors response to Recommendation Nos. R.A.2 and R.B.1 contained in the 2015-2016 Civil Grand Jury Report, entitled "San Francisco's Crime Lab - Promoting Confidence and Building Credibility," and urging the Mayor to cause the implementation of accepted recommendations through his/her department heads and through the development of the annual budget.

September 01, 2016 Government Audit and Oversight Committee - CONTINUED

October 06, 2016 Government Audit and Oversight Committee - PREPARED IN COMMITTEE AS A MOTION

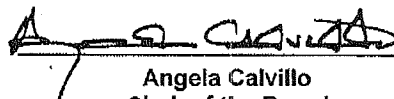
October 06, 2016 Government Audit and Oversight Committee - RECOMMENDED

October 18, 2016 Board of Supervisors - APPROVED

Ayes: 11 - Ayala, Breed, Campos, Cohen, Farrell, Kim, Mar, Peskin, Tang, Wiener and Yee

File No. 160609

I hereby certify that the foregoing Motion was APPROVED on 10/18/2016 by the Board of Supervisors of the City and County of San Francisco.


Angela Calvillo
Clerk of the Board



City and County of San Francisco

Certified Copy

Motion

City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4689

160609 [Follow-Up Board Response - Civil Grand Jury Report - San Francisco's Crime Lab - Promoting Confidence and Building Credibility]

Motion responding to the Civil Grand Jury's request to provide a status update on the Board of Supervisors response to Recommendation Nos. R.A.2 and R.B.1 contained in the 2015-2016 Civil Grand Jury Report, entitled "San Francisco's Crime Lab - Promoting Confidence and Building Credibility;" and urging the Mayor to cause the implementation of accepted recommendations through his/her department heads and through the development of the annual budget. (Government Audit and Oversight Committee)

10/18/2016 Board of Supervisors - APPROVED

Ayes: 11 - Avalos, Breed, Campos, Cohen, Farrell, Kim, Mar, Peskin, Tang, Wiener and Yee

STATE OF CALIFORNIA
CITY AND COUNTY OF SAN FRANCISCO

CLERK'S CERTIFICATE

I do hereby certify that the foregoing Motion is a full, true, and correct copy of the original thereof on file in this office.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the City and County of San Francisco.

October 27, 2016

Date

Angela Calvillo
Angela Calvillo
Clerk of the Board

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: 2016 Annual Urban Forest Report
Attachments: 2016 Annual Report FINAL adopted 9 23 2016.pdf

From: Valdez, Anthony E (ENV)
Sent: Monday, October 24, 2016 3:13 PM
To: Calvillo, Angela (BOS) <angela.calvillo@sfgov.org>
Cc: Hui, Mei Ling (ENV) <meiling.hui@sfgov.org>
Subject: 2016 Annual Urban Forest Report

Dear Angela:

Attached please find the Urban Forestry Council's 2016 Annual Urban Forest Report to the Honorable Board of Supervisors.

Sincerely,
Anthony

Anthony E. Valdez
Commission Affairs Manager
San Francisco Department of the Environment
1455 Market Street, Suite 1200, San Francisco, CA 94103
T: (415) 355-3709 | anthony.e.valdez@sfgov.org

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Please consider the environment before printing this email.

2016 ANNUAL URBAN FOREST REPORT

JULY 1, 2015-JUNE 30, 2016



The **Urban Forestry Council** advises city departments, including the Board of Supervisors and the mayor. Its tasks are to develop a comprehensive urban forest plan; educate the public; develop tree-care standards; identify funding needs, staffing needs, and opportunities for urban forest programs; secure adequate resources for urban forest programs; facilitate coordination of tree-management responsibilities among agencies; and report on the state of the urban forest.



Urban Forestry Council members from left to right:

Andrew Sullivan, Landscape Architect
Malcolm Hillan, Environmental Horticulture Professor, City College of San Francisco
Yolanda Manzone, Public Utilities Commission
Tom Carter, Deputy Director, Maintenance, Port of San Francisco
Carla Short, Bureau of Urban Forestry Chief, San Francisco Public Works–VICE CHAIR
Mike Sullivan, Partner, Orrick, Herrington & Sutcliffe Law Firm
Rose Hillson, Community Representative
Meg Lowman, Director of Global Initiatives, California Academy of Sciences
Igor Lacan, Urban Forestry Advisor, UC Cooperative Extension

Not pictured:

Dan Flanagan, Executive Director of Friends, the Urban Forest – CHAIR
Dan Kida, Sr. Program Manager, Vegetation Management Quality Control, PG&E
Jon Swae, Urban Forest Plan Manager, San Francisco Planning Department
Zack Taylor, Park Services Manager, San Francisco Recreation and Park Department

Urban Forestry Council Staff Support:

Mei Ling Hui, SF Environment Urban Forestry Council Coordinator
Anthony Valdez, Commission Affairs Manager

Cover photo provided by Friends of the Urban Forest

Approved by the Urban Forestry Council at their September 23, 2016 hearing.

Submitted to Mayor Edwin M. Lee and the Board of Supervisors by the Department of the Environment, pursuant to San Francisco Environment Code Chapter 12 Sec. 1209.

Corrected Copy Published 10/6/16

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Please note: While this report seeks to be as comprehensive as possible, it is based on data provided by responding organizations and does not include complete information on all urban forestry work performed within the City and County of San Francisco. Additionally, some organizations did not provide complete survey responses. In these cases, throughout the report, there are spaces that are blank or where an organization may be entirely omitted from a table or narrative section.

Overview of San Francisco's Urban Forest, FY 2015-2016

SF Environment staff surveyed 21 City departments, public agencies, and non-government organizations that oversee or manage a portion of the urban forest in San Francisco. Organizations were asked to provide information on forestry budget and staffing, maintenance activities, accomplishments, and concerns in fiscal year 2015-2016. Of the 21 organizations surveyed, 19 provided full or partial responses.

This data is tracked to:

- Better understand the resources used to maintain the urban forest across the city.
- Track the priorities, needs, and concerns of city departments and local nonprofits, and monitor how they change over time.
- Better understand threats to the future well-being of our urban forest.
- Find ways to increase the contributions that trees provide to our community.

Primary Findings:

In fiscal year 2015-2016, all reporting organizations planted 2,683 (significant decrease from the 3,277 reported tree plantings last year), removed 2,381 trees (significant increase from the 1,810 reported tree removals last year), and took care of 12,480 trees (significant decrease from the 14,104 reported trees pruned and otherwise cared for last year.)

Friends of the Urban Forest, SFO, SFUSD, and UCSF increased tree planting this year. General Hospital, SFSU, and Public Works decreased tree planting this year, with a significant reduction for Public Works (last year 1,243 trees planted, this year 520.) Public Works also significantly reduced tree removals this year (last year 1172, this year 488), along with PG&E and RPD who also reduced tree removals. TIDA and UCSF both significantly increase tree removals this year (TIDA 12 last year and 752 this year; UCSF 19 last year 390 this year) along with increased tree removals from SFSU, SFO, Presidio Trust, and General Hospital.

Several urban forestry programs increased staffing this year, including Public Works (25 FTE last year, 28 FTE this year), FUF (12.5 FTE last year, 17 FTE this year), RPD (23 FTE last year, 25 FTE this year), SFO (2 FTE last year, 12 FTE this year). Several programs also increased funding this year, including RPD (\$1.88M last year, \$4.6M this year with bond funding), SFO (\$125K last year, \$220K this year), SFUSD (\$60K last year, \$90K this year), and TIDA (\$943K last year, \$1.14M this year.) Public Works forestry budget significantly decreased from \$4.96M last year, \$3.03M this year.

Loss of mature and established trees, and the lack of resources to properly manage these trees, were forestry managers' greatest concerns in fiscal year 2015-2016. Forestry managers reporting increasing tree health concerns due to drought stress, which exacerbated pest problems and health issues in mature and aging trees. Some departments, for example SFO, have begun replacing trees that require summer water with species that are adapted to dry summer conditions.

A census of all street trees in San Francisco was started in January 2016 and will be complete September 2016. In addition to identifying the species and condition of every street in the city, the census surveys vacant sites to determine where additional street trees could be planted. The data collected through this project will help San Francisco make more informed species selections for new tree planting and will identify maintenance priorities.

In July 2016, the Board of Supervisors approved Proposition E for the November 2016 ballot. If this measure passes, it will require the City to take responsibility for all street trees, including tree-related sidewalk repairs and trip-and-fall liability, and create a \$19M set-aside for street tree care with a provision of \$500,000 per year for the San Francisco Unified School District's tree care and maintenance needs.

List of Participating Organizations

The following organizations and city departments responded to the survey:

- California Department of Transportation, District 4 (CalTrans)
- City College of San Francisco (CCSF)
- San Francisco General Hospital (DPH - SFGH)
- Friends of the Urban Forest (FUF)
- Golden Gate National Recreation Area (GGNRA)
- Municipal Transportation Agency (MTA)
- Port of San Francisco (PORT)
- Pacific Gas and Electric (PG&E)
- Presidio Trust (Trust)
- Recreation and Park Department (RPD)
- San Francisco International Airport (SFO)
- San Francisco Planning Department (Planning)
- San Francisco Public Library (SFPL)
- San Francisco Public Works (Public Works)
- San Francisco Public Utilities Commission (PUC)
- San Francisco Unified School District (SFUSD)
- San Francisco State University (SFSU)
- Treasure Island Development Authority (TIDA)
- University of California, San Francisco (UCSF)

The following organizations and departments did not respond to the survey request:

- Laguna Honda Hospital (LHH)
- San Francisco Housing Authority (SFHA)

Major opportunities and challenges reported by participating organizations

Management of San Francisco's urban forest is divided among many stakeholders who provide direct care to trees within their jurisdiction, as well as nonprofit organizations who engage with agency partners to support forestry activities on city-owned land.

The California Department of Transportation, District 4 (CalTrans) manages trees and green spaces on state rights-of-way in the Bay Area and works with the Adopt-A-Highway division to allow neighborhood groups access to land for community gardening. CalTrans reported an increase in tree removal due to severe drought conditions and an increase staffing and funding to manage homeless populations, including concerns with illegal campfires and destruction of water lines for water access. CalTrans is additionally concerned with preventing illegal tree pruning for billboard visibility, which is causing canopy die-back in affected trees.

City College of San Francisco (CCSF) manages several campus locations throughout the city and provided information on their tree management activities for the Ocean Campus. CCSF reported no changes from last year, in which CCSF stated concerns with the health of Monterey Pine and eucalyptus, general low tree canopy cover, and competing land use priorities that may negatively impact tree canopy coverage, and funding for trees and landscaped areas.

San Francisco General Hospital (DPH - SFGH) is a San Francisco Department of Public Health facility that serves as the city's only trauma hospital and serves over 100K patients a year. The facility completed new hospital construction and landscaping this fiscal year. SFGH reported a priority shift that has increased funding for tree care and pruning this year. Landscape staff have ongoing concerns with the long terms effects of drought conditions, the facility's aging irrigation system, future construction projects that may result in additional tree removal, and monitoring the health of mature notable trees.

Friends of the Urban Forest (FUF) helps individuals and neighborhood groups plant and care for street trees and sidewalk gardens in San Francisco. In the past year, FUF has been heavily focused on advocating for improved management of street tree care with San Francisco residents, neighborhood leaders and government officials. Last year FUF launched new engagement strategies to increase volunteerism and the number of trees planted, such as their new partnership program with community groups PODER & SF Bike Coalition to organize "Bike Plantings", where we utilize bicycles instead of trucks to move people, tools, and trees. FUF also sought to increase tree care work by changing their tree care package to include pruning to five years after planting as standard care, where they previously supplied standard care to three years, with an option of additional care visits. FUF continued to expand their community-based Sidewalk Landscaping Program to maximize concrete removal in and around existing trees to improve the longevity of street trees while providing improved environmental benefits, such as increased storm water infiltration. Looking forward, FUF is developing new educational programming, including an Arborist Apprentice program for college level interns with a goal of improving street tree care. FUF reported that their primary concern is the condition of the urban forest due to the impacts of drought, climate change, the lack of long-term maintenance and lack of a comprehensive city-supported tree planting program that negatively impact the city's canopy coverage.

The **Golden Gate National Recreation Area** (GGNRA) is the of the largest urban parks in the world, covering 80,000 acres that span San Francisco, San Mateo, and Marin counties, attracting over 14.5M visitors every year. GGNRA sites in San Francisco include the Fort Mason, Land's End, Sutro Baths, Fort Funston, Alcatraz Island, and the Presidio which is primarily

managed by the Presidio Trust. GGNRA forestry managers report concern with tree die-off and disease in the lands that they oversee.

The **Municipal Transportation Agency (MTA)** remains very concerned about tree and plant health in the ongoing drought conditions. As in previously years, MTA reported a need for two additional gardeners to meet maintenance needs of new Muni Facilities with landscape areas. Additionally, their Landscape Shop reported unmet equipment needs, specifically a landscape dump truck to aid in maintaining trees and other plants, which has been on request since 2005.

Pacific Gas and Electric Company (PG&E) works with property owners to resolve conflicts between trees and power lines. As in past reports, they identified concerns with public safety and service reliability due to conflicts between power lines and trees, especially palm trees, which, due to their structure and growth habit, cannot be effectively pruned away from power lines and may create public safety hazards. PG&E is additionally concerned with safely pruning trees in a dense urban environment where there is little room for contractors to safely perform necessary pruning. This year, PG&E reported an increase in the number of dead and declining trees due to drought stress, which represent potential safety hazards.

SF Planning Department (Planning) develops policies, studies and plans to support the long-term health of the city's urban forest. The Department also provides technical and financial assistance for urban forestry administration and management. Planning has begun scoping work for the Urban Forest Plan Phases II (Parks and Open Spaces) & III (Private Property Trees) and is planning a Pop-up Forest for the Market Street Prototyping Festival in October 2016. This year, the Planning Department initiated the citywide street tree census, EveryTreeSF, in January 2016 which is set to be complete in late summer 2016. Planning is primarily concerned with implementation of the Urban Forest Plan (Phase 1: Street Trees) recommendation to identify and secure dedicated, ongoing, stable maintenance funding for street tree maintenance in San Francisco. In July 2016, the Board of Supervisors approved a ballot initiative that would implement the Urban Forest Plan (Phase 1: Street Trees) by transferring maintenance responsibility for all San Francisco street trees back to the City and creating dedicated funding to do so.

The **Port of San Francisco (PORT)** manages the care of trees along the San Francisco Bay waterfront. The Port continues to be highly concerned with the loss of palm trees, due to *Fusarium* wilt fungal infections. Additionally, trees under PORT management were affected by drought stress. This year, the PORT planted 43 new trees in the Bayview Gateway Park at Cargo Way, along with replacing 13 palm trees along the Embarcadero. In the fiscal year 2016-2017, the PORT will hire an additional gardener staff and allocated \$175K to tree replacement, care, and maintenance.

The **Presidio Trust** (Trust) oversees approximately 70,000 trees in the Presidio of San Francisco, the 1,491 acre National Historic Landmark located within GGNRA lands. The Trust actively manages more than 10,000 trees. The Trust cited ongoing concerns with the health of aging trees, drought stress, and wind stress.

San Francisco Public Works (Public Works) provides oversight and care to trees within the City's public rights-of-way, including planting and maintaining street trees, issuing street tree planting and removal permits to residents, and responding to emergency street tree issues. The Bureau of Urban Forestry was officially reconstituted as of the beginning of fiscal year 2016-

2017, appointing Carla Short as the new Superintendent, who was Public Works previous, long-term Urban Forester. Public Works hired a new Urban Forester, Chris Buck, who was Acting Urban Forester for one year, and an Urban Forestry Inspector for eight years. Public Works has partnered closely with the Planning Department to oversee the City's first city-wide street tree census, which will be complete in late summer 2016. In July 2016, the Board of Supervisors approved a ballot measure for voter consideration in November 2016, which would require the City to take responsibility for all street trees, including tree-related sidewalk repairs and trip-and-fall liability, and provide \$500,000 per year for San Francisco Unified School District tree care and maintenance from a General Fund set-aside of \$19 million for tree care.

The **San Francisco Public Utilities Commission (PUC)** manages trees and green space around reservoirs. The PUC's primarily urban forestry concern is the rising costs associated with management of trees that are diseased and at the end of their life span. To help address this, the PUC's City Distribution Division increased their budget for work orders to RPD's tree crew again this year, to better manage the aging and diseased trees on PUC properties. The PUC is concerned with increasing cost for management of diseased and senescing trees, with an expanded scope of work based off the completed Lake Merced tree survey. The PUC's Natural Resources and Lands Management Division have hired a new San Francisco Lands Manager Position (Damon Spigelman) who will be working on holdings such as Lake Merced, Laguna Honda Twin Peaks, and working with other City entities such as RPD and other divisions of the PUC.

The **Recreation and Parks Department (RPD)** maintains over 3,400 acres of open space with an estimated 131,000 trees in San Francisco. RPD is primarily concerned with increasing staffing and budget. RPD reporting ongoing concerns with tree loss due to age, disease, and drought stress.

The **San Francisco International Airport (SFO)** manages natural areas, trees, and landscaped areas surrounding the San Francisco Airport. SFO was subject to severe water use restrictions in the past year, which limited regular watering during the dry summer months and reported challenges in managing mature trees that were planted without consideration of potential future drought conditions. Drought stress is causing increased pest populations on some of the airport's landscape trees, with Redwoods particularly impacted by scale insects. In response, SFO has shifted new tree plantings to species that are better adapted to dry summer conditions and are advocating for establishment of minimum soil volume levels for urban planted trees, which can also help reduce drought stress.

The **San Francisco Public Library (SFPL)** includes the 28 library locations, many of which have trees and landscaping. The SFPL primary landscaping concerns surround ensuring street tree species are well adapted to sidewalk growing conditions, noting particular issues with ficus trees planted at library locations.

The **San Francisco Unified School District (SFUSD)** provides care and maintenance for approximately 3,000 trees on 430 acres of school district property. As in past years, SFUSD remains highly concerned with ongoing staffing and funding needs for forestry work, though they have been budgeted an additional \$35k to remove dead, dying, and hazardous trees this year. SFUSD is additionally concerned with increasing new tree planting to replace the removed trees.

San Francisco State University's (SFSU) urban forestry maintenance program productivity was reduced this year, due to failing equipment and loss of one (out of two total) tree trimmers who work at the university. The University contracted WRT Landscape Architecture firm to create a landscape and forest management master plan. WRT held three information-gathering

meetings and the final report is set to be released in Fall 2016. be completed in the Fall. The University continues to remove hazardous old-growth trees, to increase pedestrian safety and reduce property damages. SFSU is concerned with increasing damage from drought stressing on campus Redwoods and Monterey Pines and damage to paved areas caused by tree root growth.

The **Treasure Island Development Authority (TIDA)** oversees the care of all trees on Treasure Island and the majority of trees on Yerba Buena Island. Of importance to TIDA is assuring proper, thoughtful implementation of the Habitat Management Plan, including revegetation and tree replanting elements of that Plan. TIDA commenced implementation of the initial sub phases of the Treasure Island Development Project, which has included necessary removal of trees on both Treasure and Yerba Buena Island. At the same time, TIDA, master developer Treasure Island Community Development LLC, and SF Environment's Senior Biodiversity Manager have begun collaborative work on the planning and implementation of the Yerba Buena Island Habitat Management Plan which calls for the ultimate protection, enhancement and restoration of natural areas on Yerba Buena Island, including eventual replanting of approximately 1,500 trees within this first sub phase of work. TIDA adopted the Treasure Island Tree Removal Policy in September 2015, which specifies the Public Notice procedures required ahead of on-Island tree removals, both for development-project purposes and day-to-day operational purposes. The procedures contemplate specific tree-removal scenarios and their associated pre-removal public notice methods, including work area postings and, in certain circumstances, postings of individual trees. The Policy includes specific Public Notice requirements for contemplated removals of specially categorized trees initially contemplated for preservation, enhancement or relocation, either by HMP or as part of the project's larger cultural purposes. In addition to coast live oak, Yerba Buena Island harbors willows, large toyons, blue elderberry and California buckeyes. SF Environment has documented almost 20 individual buckeye trees on Yerba Buena Island. A famed grove of three apparent "old growth" buckeyes occurs along MaCalla Road, though one of the tree trees failed last winter due to a split trunk. This winter one of the three trees failed. First the east half of the tree fell over splitting the trunk. TIDA made plans to leave the tree in place as habitat, but subsequently the western side of the tree failed as well. TIDA now plans to remove the tree and try to find a creative reuse and to increase care for the remaining two trees, which have large eucalyptus limbs hanging into them. In December 2015, TIDA staff harvested olives from approximately 6 on-Island olive trees and subsequently tested the olives for known contaminants. All test results indicated no contamination in these olives. TIDA intends to continue this program of harvest and testing of on-Island olive trees in order to collect appropriate data on the olives ahead of potential future "farm-to-table" use of olives from these trees on-Island.

The **University of California, San Francisco (UCSF)** owns a largely undeveloped 61-acre open space area just south of the Parnassus Heights campus called the Mount Sutro Open Space Reserve. UCSF is committed to maintaining the Reserve as a safe and accessible resource that San Francisco residents and visitors can enjoy. UCSF has ongoing concerns with an ageing urban forest on the Parnassus campus, extreme drought stress, disease and pests, mitigating fire hazards, community engagement and funding constraints. UCSF added additional staff to in-house forestry management operations and hired contract forester and consulting arborists to draft management plant for Mt. Sutro. The Draft Management Plan was released in summer 2016 and UCSF will begin the EIR process in Fall 2016.

Table 1: Respondents were asked about staffing and budget

Department	Urban forest-related staff positions	# Staff (or FTE equiv) performing forestry work	Total department budget	Urban forestry related budget	Est. % of UF budget spent on tree planting, care, and removal	
					Amount	%
CalTrans	4-7	3*	\$7M*	\$0	0	
CCSF	2	0	\$900K	\$10k	\$10k	100%
DPH-GH	2.5	0.25	\$600M	\$80K**	\$10K	12.5%
FUF	14.5	8	\$2.3M	\$1.88M	\$1.88M	100%
GGNRA	5	0	No Answer	No Answer	No Answer	No Answer
MTA	3	1	No Answer	\$200K	\$20K	10%
PG&E	1**	3	"Varies"	No Answer	No Answer	No Answer
Planning	.25 FTE		\$200k	No Answer	No Answer	No Answer
PORT	2	0	\$100.1M	\$372.26K	\$175K	47%
Presidio	10	10	No Answer	No Answer	No Answer	No Answer
Public Works	28	19	\$241.34M	\$15.17M	\$3.03M	~20%
SFPUC	.25	0		274K	274K	100%
RPD	25	25	\$178.7M	\$4.6M	\$4.6M	100%
SFO	12	2	"N/A"	\$220k	\$55K	25%
SFPL	0	0	\$125M	0	0	0%
SFUSD	0	0	\$1M	\$95K	\$95K	100%
SFSU	5	1	\$1.8M	\$200K	\$200K	100%
TIDA	2	0	\$15.44M	\$1.14M	\$342K	~30%
UCSF	5	5	\$8M	~\$220	~\$220K	100%
TOTAL	123 FTE	77.25 FTE	\$1.63B	\$19.86M	\$3.01M	-

*For San Mateo and San Francisco Counties

**Does not include staff salaries.

***PG&E has one on-staff forester who oversees contractors.

Table 2: Respondents were asked about work plans

Dept.	How many trees within the department's purview were:			Work the department performed for others:	Work other organizations performed for the department/org:
	Planted	Cared for	Removed		
Caltrans	0	Unknown	20--25 *Vandalized and dead from drought conditions, bark Beetle, etc. Pines and cypresses.	1 tree for community org	None
CCSF	5	20	4	No answer	Contractors cared for 20
DPH-GH	23	30	39	None	Public Works planted 7 trees; Contractors cared for 5, removed 16
FUF	1242	3,423	0	SFE: planted 203, cared for 242 Community orgs: planted 4, cared for 4	None
GGNRA	<5	~15	>10	None	None
MTA	19	90	2	None	PORT planted and cared for 15; Private contractors cared for 15 and removed 2.
PG&E	0	1,850	90	None	Private contractors cared for 1850 and removed 90.
PORT	56	200	13	None	Public Works planted 6 trees, cared for 10, and removed 13.
Presidio	300	1,000	150	None	Volunteers planted 100 and cared for 300

Public Works	520	2,102 pruned 1,488 watered	488 *144 total fines were issued for excessive pruning, illegal removals and failure to protect trees during construction.	SFPUC: cared for 41, removed 10; SFMTA: cared for 17, removed 5; SFFD: cared for 5, removed 2; SFPD: cared for 13, removed 6; DT: cared for 1	None
PUC-CDD	0	25	30	None	RPD cared for 100 and removed 20; Public Works removed 6; Private contractors removed 4.
RPD	214	304	147	None	RPD Capital performed tree work; data not available or included here
SFO	115	~500	~91	CALTRANS: planted 100; cared for 200; removed 80	none
SFPL	0	0	0	No answer	No answer
SFUSD	125	175	75	No answer	Private contractors cared for 35 and removed 60; FUF planted 65 and took care of 20 trees.
SFSU	19	133	78	No answer	FUF: cared for 61 Private contractors: cared for 10, removed 63.
TIDA	0	~400	752	None	Public Works: removed 155; Private contractors: cared for ~400 and removed 597.
UCSF	40	725	390	None	Private contractors cared for 459 trees and removed 354 trees.
TOTAL	2683 Planted	12480 Cared for	2381 Removed	—	—

Table 3: Respondents were asked about species selection

Department	Most commonly planted species	Struggling species	Experimental species
Caltrans	None	Eucalyptus due to fire danger and weak limbs under drought conditions.	None
CCSF	None	Monterey Pine and Eucalyptus	None
DPH-GH	Podocarpus elongata 'Blue Ice' Cercis occidentalis Tibouchina	Pines Brugmansia Redwoods	Grevillea Calocedrus decurrens
FUF	Tristania laurina Arbutus 'Marina' Lagunaria patersonii	Pyrus k. and Pyrus c. reduced due to disease chill factor. Prunus c. 'KV' short lived. Prunus serrulata 'Kwanzan' uneven performer. Arbutus 'Marina' declining early. Acer buergerianum uneven performer.	Quercus tomentella Syzigium smithii (Acmena smithii)
GGNRA	No answer	Blue gum eucalyptus	No answer
MTA	Liquidambar, Lombardy Poplar, Camellia	No	No
PG&E	No answer	No answer	No answer
PORT	Melaleuca quinquenervia Corylus colurna Olea europa	Phoenix canariensis Myoporum laetum Pinus radiata	Corylus colurna Citrus eureka
Presidio	Monterey cypress, Sargent's cypress, Gowen cypress	Shore pine	Bishop pine

Public Works	Lophostemon confertus Tristanopsis laurina Magnolia grandiflora	<i>Prunus serrulata</i> 'Kwanzan' and <i>Pyrus calleryana</i> had early bud break due to cold winter. Infrequent issues with <i>Arbutus</i> 'Marina' and <i>Eriobotrya deflexa</i>	None
PUC-CDD	None	Monterey Pine, Monterey Cypress and Myoporum.	No
RPD	Pine, Cypress, Oak	Pine	Canker resistant Pine
SFO	Catalina Ironwood; Coast Live Oak; Madrone	Eastern Redbud; Coastal Redwood	No new species this year
SFPL	No trees planted	Ficus	No
SFUSD	Cedrus deodara, Podocarpus macrophyllus	Monterey Pine, Monterey Cypress, Ficus, Myoporum	Cedrus deodara
SFSU	Monterey Cypress, Apples, Ginkgo	Monterey Pines, Redwoods, and Acacia	No
TIDA	No tree planted	No	None
UCSF	Coast live oak, buckeye, California Bay Laurel, Redwood	Metrosideros	Big leaf maple, willows

Table 4: Respondents were asked to rate commonly cited urban forest-related concerns on a 1-5 scale, with 1 being "not significant" and 5 being "extremely significant"

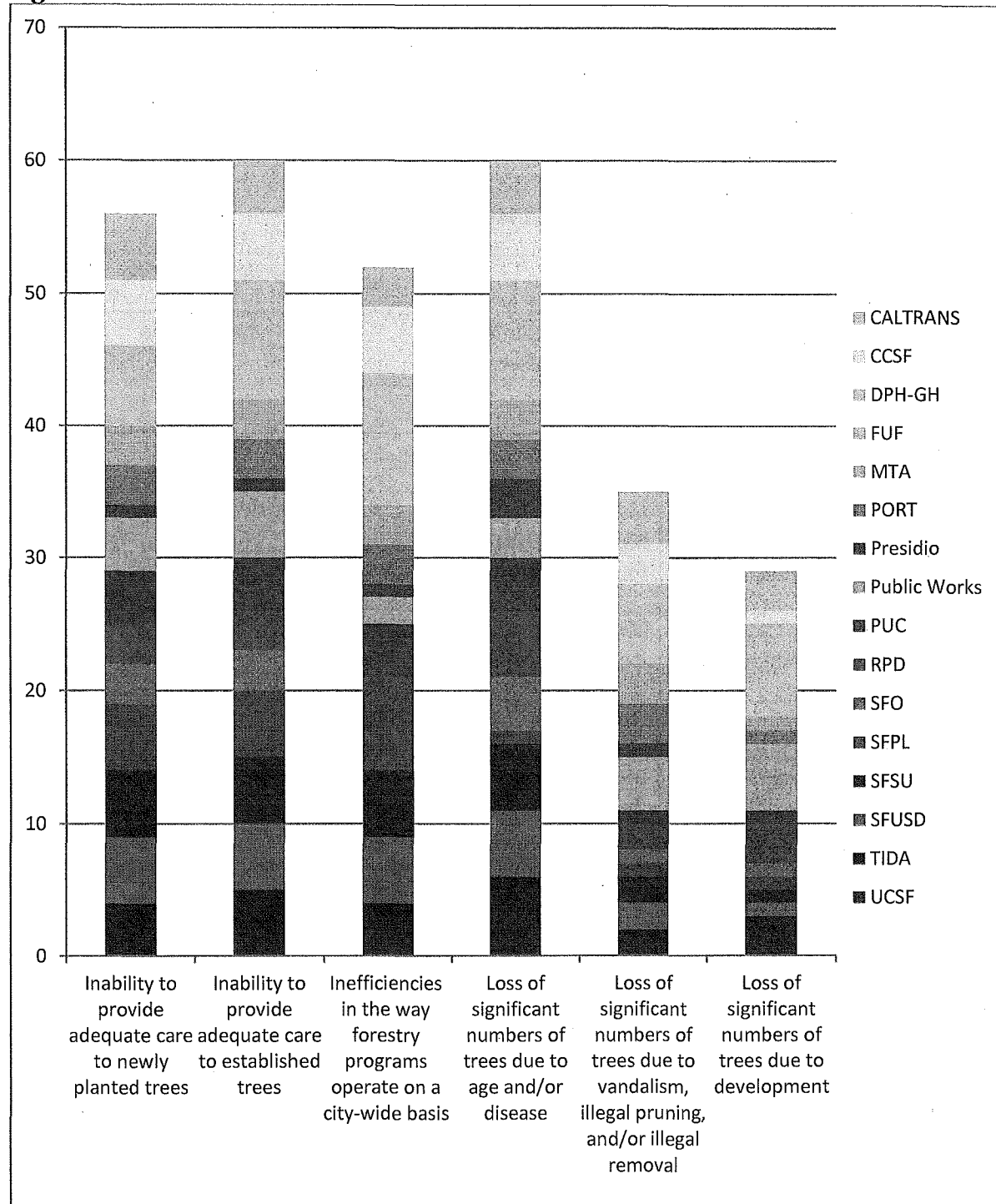
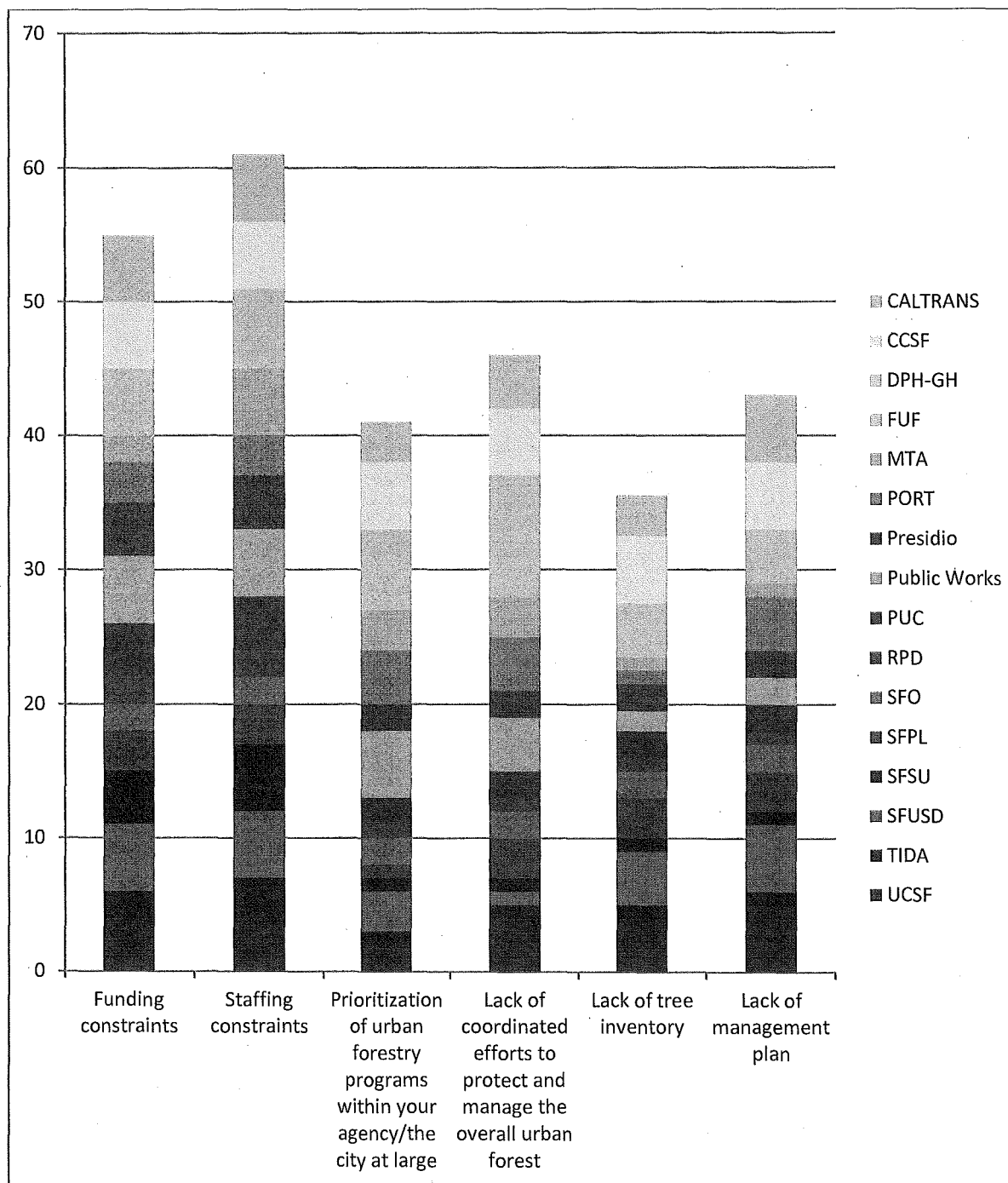


Table 5: Respondents were asked to rate commonly cited limitations on a 1-5 scale, with 1 being "not significant" and 5 being "extremely significant"

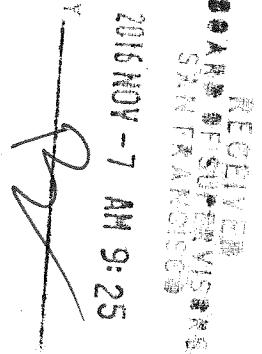




Edwin M. Lee, Mayor
Philip A. Ginsburg, General Manager

November 3, 2016

Board of Supervisors
City Hall, Room 244
1 Dr. Carlton B. Goodlett Pl.
San Francisco, CA 94102



Supervisors,

I am pleased to share with you this year's Annual Park Maintenance Report. As you know, each year the Department works closely with the Controller's Office to evaluate the physical state of the City's parks. The Department uses this data to direct maintenance dollars, staff and volunteer time and capital resources. I attach the full report for your reference. Some highlights include:

- Overall average park scores are up from last year (85.6%)
- Citywide, some of the highest scores in the report were for overall cleanliness (92.3%) and restrooms (90.1%)
- The spread between the highest scoring district and lowest scoring district decreased by 3%
- Parks scoring above 90% increased by 10 over last year (53-43)
- Parks scoring less than 80% decreased by 4 over last year (30-26)

As you know, since the passage of Proposition B on last June's ballot, our Department has begun to measure our work through an equity lens. We are pleased to note that in 2016, 40% of the 20 highest scoring parks are in "equity zones" compared to 25% in 2015.

Despite our progress, we still confront a number of lower scoring parks with deferred maintenance and capital needs, including updates to children's play areas, cracked courts and heavily used fields. These conditions contribute significantly to lower parks scores. We continue to effectively use our bond program to update facilities and, thanks to your support of Proposition B, we are poised to tackle a number of these needed projects in lower scoring parks over the next two years. Examples of a few such planned projects in lower scoring districts include:


- Field renovations at **Crocker Amazon, Alice Chalmers, Youngblood Coleman, Garfield, and Merced Heights;**
- Court resurfacings at **Excelsior Playground, McLaren Park, Minnie-Lovie Ward, Hayes Valley Playground, Crocker Amazon, Alice Chalmers, Merced Heights, Adam Rogers and Jose Coronado.**
- Children's Play Area renovations at **Merced Heights, Alice Chalmers, Sgt. Macaulay and Hyde-Turk Mini Park.**

Over the next few years a number of park renovations in equity zones will also be completed. Such projects include *Hilltop Park (which reopens in December), 17th and Folsom, McLaren Park, Balboa Pool, Garfield Pool, Willie Woo Wong Playground, Saint Mary's Square, Margaret Hayward, Geneva Car Barn and Civic Center Playground.* There are also major park projects in the planning phases at *Gene Friend Recreation Center, Portsmouth Square, Schlage Lock, Buchanan Mall, India Basin, Shoreview Park and the HOPE SF sites at Potrero and Sunnysdale.*

It will take some time, but with more stable general fund resources, our ongoing capital bond program, strong collaborative partnerships and staff's tireless efforts, I am confident we will continue to see park scores rise across the city and the gap between our lower scoring and higher scoring parks continue to narrow.

Thank you for your support of our parks and please feel free to contact me with questions.

Sincerely,



Phil Ginsburg
General Manager

cc: Mayor Edwin M. Lee
Honorable Members of the Recreation and Park Commissioners

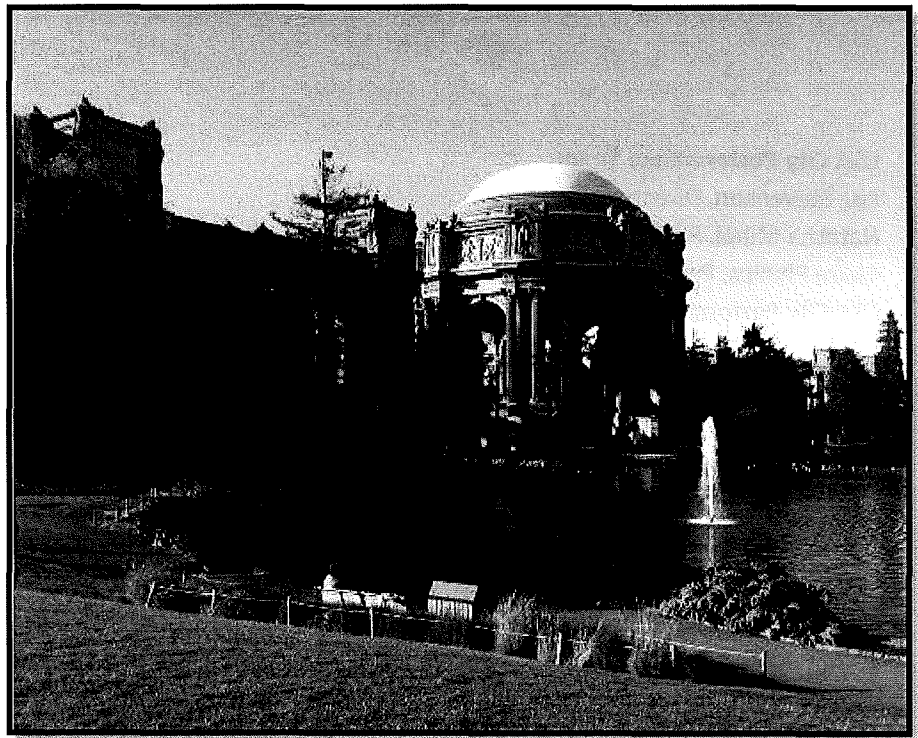
CITY & COUNTY OF SAN FRANCISCO

Office of the Controller

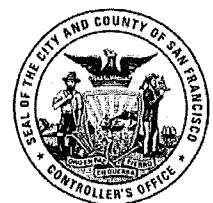
City Services Auditor, City Performance

PARK MAINTENANCE STANDARDS

Fiscal Year 2015-16
Annual Report



October 25, 2016



OFFICE OF THE CONTROLLER CITY SERVICES AUDITOR

The City Services Auditor (CSA) was created in the Office of the Controller through an amendment to the Charter of the City and County of San Francisco (City) that was approved by voters in November 2003. Under Appendix F to the Charter, CSA has broad authority to:

- Report on the level and effectiveness of San Francisco's public services and benchmark the City to other public agencies and jurisdictions.
- Conduct financial and performance audits of city departments, contractors, and functions to assess efficiency and effectiveness of processes and services.
- Operate a whistleblower hotline and website and investigate reports of waste, fraud, and abuse of city resources.
- Ensure the financial integrity and improve the overall performance and efficiency of city government.

CSA City Performance Team:

Peg Stevenson, Director

Natasha Mihal, Project Manager

Claire Phillips, Performance Analyst

CSA City Performance Staff

For more information, please contact:

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Office of the Controller

City and County of San Francisco

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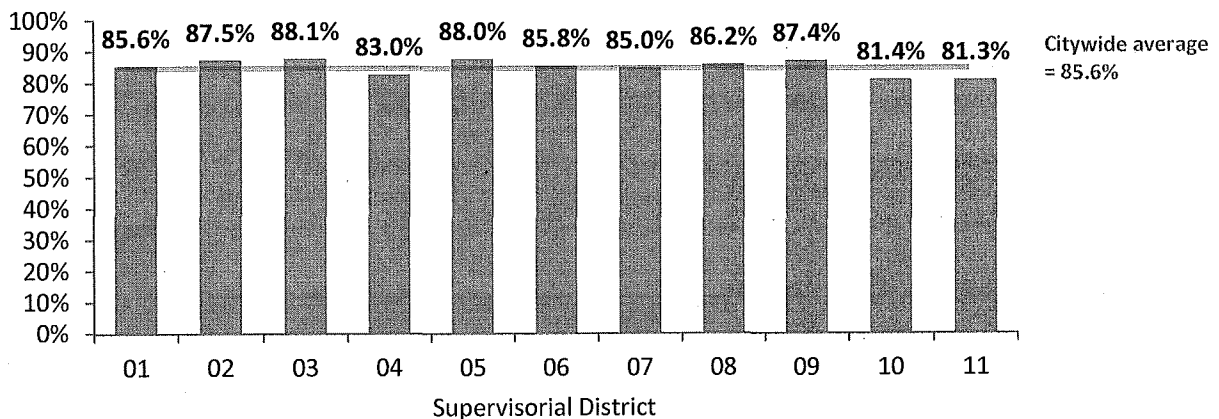
EXECUTIVE SUMMARY

This report contains a summary and analysis of park evaluations performed between July 1, 2015 and June 30, 2016. This is the second year that the Controller's Office and Recreation and Park Department (RPD) staff evaluated parks based on new park standards, which build on the original standards to provide greater clarity, reduce evaluator interpretation, allow for deeper analysis of the results, and provide more complete information to the public.

Highlights

Ten years after the development of the original park maintenance standards, the park evaluation program passed a major milestone in fiscal year 2014-15 with the implementation of revised park evaluation standards. This second year using the standards in fiscal year 2015-16 provided an opportunity to track trends in evaluator application of the revised standards. As a result, final language clarification and streamlining changes were incorporated into the standards at year end, for implementation in fiscal year 2016-17. These changes further improve consistency and reporting for future years.

The citywide average park score for fiscal year 2015-16 was 85.6 percent, which is .4% higher than last year. These results are based on 1,094 evaluations of 165 parks.



RESULTS

- The highest scoring supervisorial district was District 3 (88.1%) and the lowest scoring was District 11 (81.3%), which is a spread of 6.8 percent and is a significantly lower than last year's 9.8 percent spread between the highest and lowest scoring district.
- The lowest scoring feature, for the second year, was Children's Play Areas with 78.8 percent, which is one percent point lower than last year.
- Most parks scored between 80 and 90 percent; 53 parks scored above 90 percent, 10 more parks than last year.
- In general, a score of 85 percent means a park is well maintained and in good condition.

BACKGROUND

This is the eleventh annual report on the condition of the City's parks, which provides results from evaluations in fiscal year (FY) 2015-16. This report discusses the Recreation and Park Department's (RPD) efforts to use the standards and results to inform operational decisions, and includes recommendations to improve the City's performance in these areas.

This is the second year evaluating park sites with the new standards that were adopted in FY 2014-15. FY15 was a transition period for park evaluations, as the City implemented new, revised standards to improve data collection and more accurately report current park maintenance levels. RPD and the Controller's Office jointly implemented the new standards in July 2014. Staff worked closely to finalize the new standards, redesign the evaluation forms, and apply appropriate weighting and scoring metrics to park scores. RPD and the Controller's Office anticipated and saw that the new standards lowered park scores as a result of the new rigorous standards and weighting methodology.

The park scores in this report are a combination of RPD and the Controller's Office's evaluation efforts. Typically, each park is evaluated once a year by the Controller's Office and four times per year by RPD staff. A park's annual final score is the average of all available RPD and Controller's Office evaluation scores. See Appendix C for detailed scores. This year's results are based on 1,094 evaluations of 165 parks and is the second year using the new standards.

You can view park, district, and feature scores at <http://sfparkscores.weebly.com/>.

Park Standards Overview

RPD originally evaluated all parks twice per year, but increased the frequency to all parks once per quarter in October 2007. CSA evaluates all parks once per year. All supervisory and management staff at RPD and all staff at CSA City Performance perform evaluations.

Park scores are based on performance standards for 12 park feature categories:

- Athletic Fields
- Buildings and General Amenities
- Children's Play Areas
- Dog Play Areas
- Greenspace
- Hardscape
- Lawns
- Ornamental Beds
- Outdoor Courts
- Restrooms
- Table Seating Areas
- Trees

Each park has a different set of features to be evaluated based on what is located at the park site. Each feature is evaluated as to whether the condition of various "elements" meets the performance standard set for them. For example, the performance standard for the "mowing" element requires that turf be less than 4.5 inches high. If an evaluator reviews a certain area of lawn and finds sufficient turf that is

taller than the 4.5 inch standard then the evaluator would check the appropriate box to report that this condition exists. Each element is ultimately scored based on the conditions that are reported. (An unmowed lawn results in the failure of the “mowing” element.)

Evaluation criteria include questions about graffiti, paint, fencing, litter and debris, plant health, drainage, surface quality and much more. For a complete list of features, elements, and associated criteria, see Appendix A.

FY 2016-17 Park Evaluations, the Next Generation

For evaluator use in FY 2016-17 and beyond, RPD and CSA staff implemented updated park maintenance standards that were adopted in FY15. The revisions were minor compared to the standards revision that was implemented in FY15. These changes include revised language that is clearer for evaluator understanding in order to reduce interpretation and subjectivity between evaluators in the field and made language more concise as well as consistent across features.

A significant change to how evaluators perform evaluations of parks in the field is being implemented in FY17. Both RPD and CSA evaluators will start using mobile devices rather than paper forms to perform evaluations. The evaluations will be completed using an inspection application. The database system will score the evaluations immediately once an evaluator sends their data to the database, which should occur within 24 hours of the evaluation. This will provide RPD staff with nearly real-time results. Evaluators also have the ability to take photos in the field and upload them to the system where RPD managers can access them to review any reported issues. For more information on how park evaluations are conducted going forward and how the data is used, see Appendix B.

Proposition B (June 2016) and Park Evaluation Scores

Proposition B (Prop B) was passed by 60 percent of voters in June 2016. Prop B requires the City to allocate \$64 million to the parks and open space fund in fiscal year 2016-17, with this baseline allocation increasing by \$3 million each year for ten years, unless the city experienced a deficit of \$200 million or more.

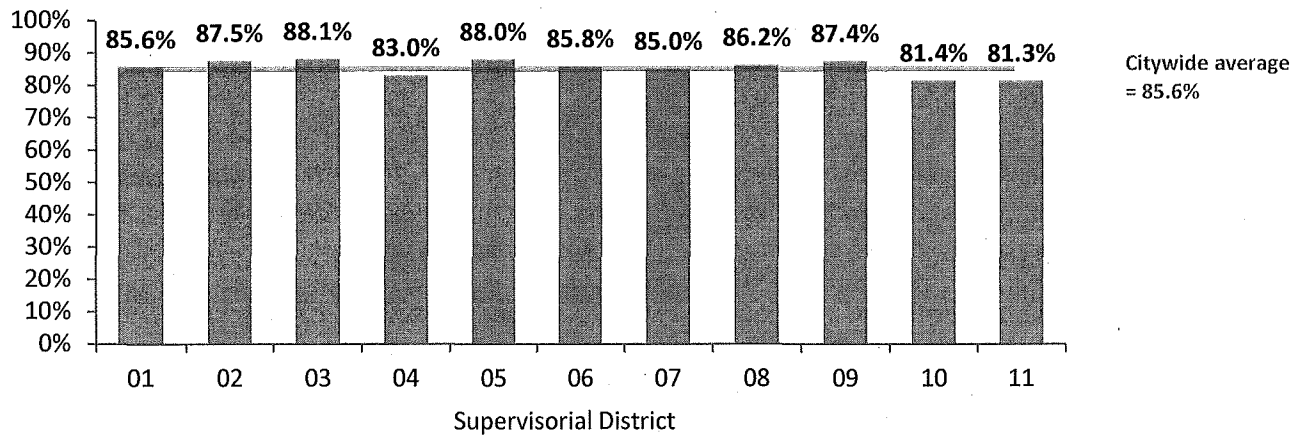
This baseline allocation could improve evaluated features that continually fail due to deferred maintenance issues. RPD has made the policy decision to set aside at least \$15 million for capital and maintenance projects such as paving, court resurfacing, and other improvements that will impact hardscape, outdoor courts and other features. Over time, as the department expends those funds, infrastructure-related features that contribute to consistently low scores at certain sites should show some improvement.

PARK EVALUATION RESULTS

Citywide Results

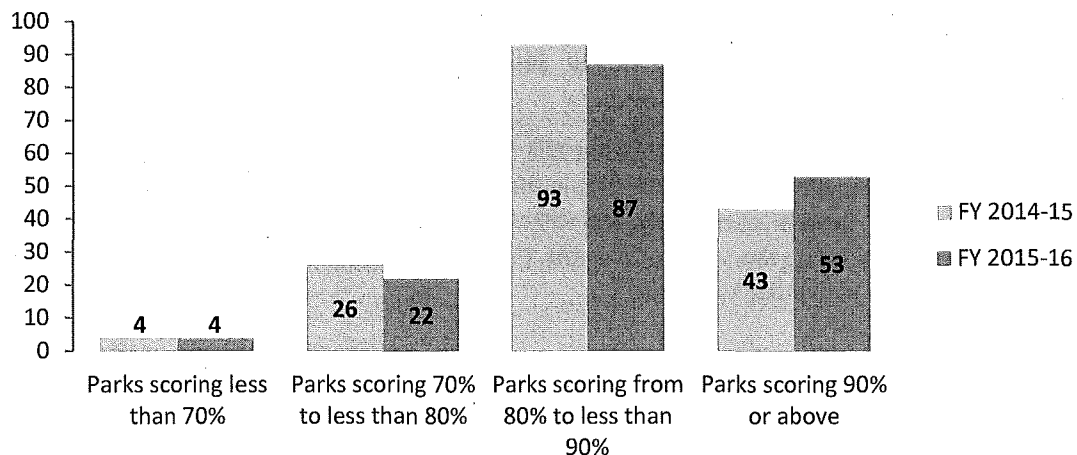
The citywide average park score for fiscal year 2015-16 (FY16) is 85.6 percent. A score of 85 percent generally indicates a well maintained park. Park scores ranged from a high of 98.2 percent (Cabrillo Playground in District 1) to as low as 64.5 percent (Excelsior Playground in District 11). The gap between the highest scoring park and lowest scoring park is 33.7 percent, which is 8 percent less of a spread compared to last year.

Exhibit 1 Average Citywide Park Score In FY 2015-16 is 85.6 percent



The citywide average increased .4 percent from last year; the citywide distribution of parks scoring above 90 percent also increased by ten parks.

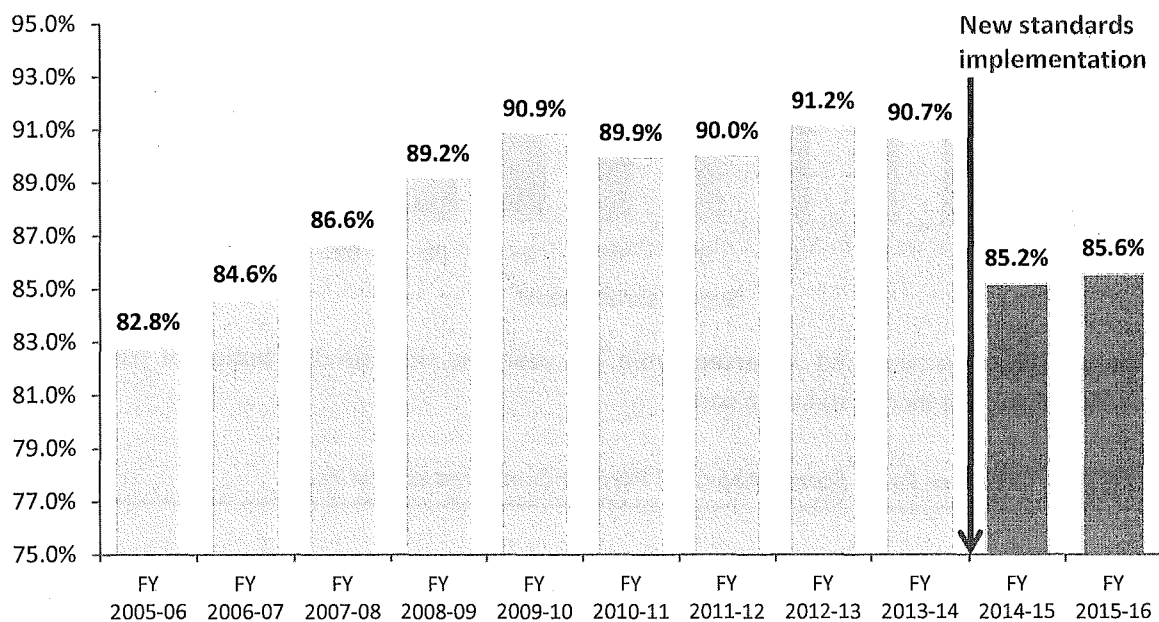
Exhibit 2 Ten More Parks Scored Above 90 Percent Compared to Last Year



- Ten more parks scored 90 percent or above compared to last year.
- Only four parks scored below 70 percent, same as last year. However, these four parks are not the same parks as the four from last year.
- The number of parks scoring between 80 and 90 percent decreased by 6 parks compared to last year.

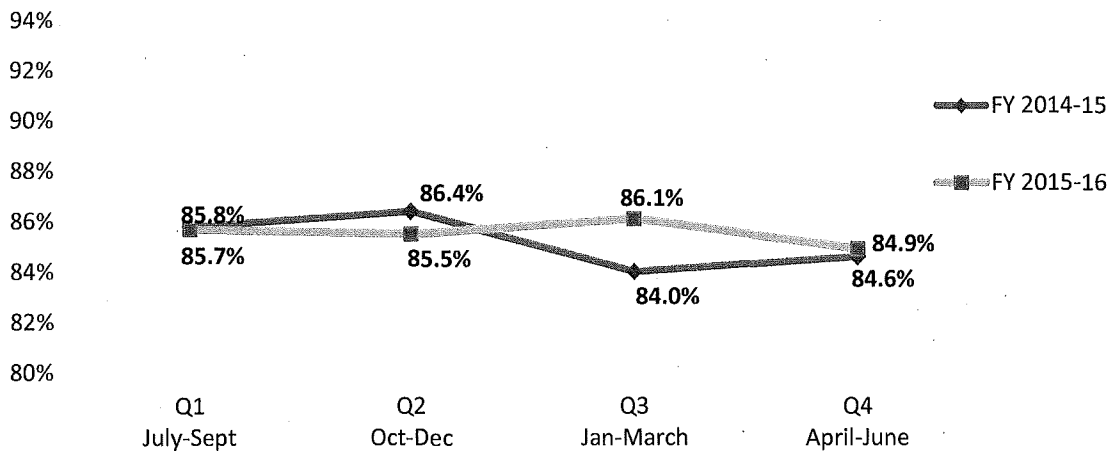
FY 2014-15 was a transition period for park evaluations, as the City implemented new, revised standards to improve data collection and more accurately report current park maintenance levels. RPD and the Controller's Office anticipated that the new standards would lower park scores in FY 2014-15, as a result of the new rigorous standards and weighting methodology. FY16 is the second year of evaluating with the new standards and the average citywide park score increased .4 percent over last year.

Exhibit 3 *FY 2015-16 Average Citywide Park Score Lower After New Standards Implementation, but Higher than FY 2014-15*



Each quarter RPD evaluates all parks and the Controller's Office evaluates one quarter of all parks. Scores are calculated for each park evaluated within the quarter and averaged to show an overall citywide quarterly score. In past years, peaks and valleys were evident that corresponded to low usage in winter and high usage during the summer months. In FY 2015-16, the quarterly scores remain fairly flat throughout the year with a peak in quarter 3.

Last year there were higher overall quarterly scores compared to FY16. The first two quarters of last year had the highest scores, whereas this year quarter 3 was the highest. With two years of data, it is unknown yet if the quarterly trend this year is a result of the revised standards or other factors (such as drought conditions which may have enabled year-long use of some features.)

Exhibit 4 Citywide Results Increase 2.1 percent in Quarter 3

- Quarters 1, 2, and 4 show decreases of less than one percent in FY16.
- Quarter 3 was the highest scoring quarter in FY16, 2.1 percent higher than in FY15.

Greatest Changes in Park Scores

All of the parks with significant decreases are neighborhood parks or playgrounds. In past years there have typically been other park types listed here, but this year shows that the more significant decreases in scores are the neighborhood parks and playgrounds. Throughout the year, these five parks had low scores throughout various features within the park. Scores for the lower scoring features fluctuated throughout the quarters; however, the average resulted in significantly lower scores than in FY15.

Three of the five parks that scored significantly lower than the prior year are in supervisorial District 10. Four of the five parks are in Region/PSA 3, which is the overall lowest scoring region.

Exhibit 5 Top 5 Greatest Decreases Compared to Last Year

Park Name	Supervisorial District	Region	Park Type	FY15 Average	FY16 Average	Percent Change
Buchanan Street Mall	05	PSA 2	Neighborhood Park or Playground	90.1%	73.7%	-16.4
Adam Rogers Park	10	PSA 3	Neighborhood Park or Playground	88.4%	76.1%	-12.3
Visitation Valley Playground	10	PSA 3	Neighborhood Park or Playground	80.4%	68.5%	-11.9
India Basin Shoreline Park	10	PSA 3	Neighborhood Park or Playground	78.8%	65.3%	-13.5
Excelsior Playground	11	PSA 3	Neighborhood Park or Playground	76.1%	64.5%	-11.6

- **Buchanan Street Mall** had particularly low scores on the Hardscape feature, which evaluates for surface quality, litter, structural damage of curbs and other issues specific to concrete and asphalt surfaces.
- **Adam Rogers Park's** lower scores were driven by a significant reduction in Table Seating Area scores. In FY16 average was 17 percent lower than in FY15.
- **Visitacion Valley Playground** continued to show particularly low scores for Athletic Fields, Children's Play Areas, Lawns, Hardscape and Restrooms.
- **India Basin Shoreline Park** continued to show low scores for Buildings and General Amenities, Children's Play Areas, Lawns, and Greenspace.
- **Excelsior Playground** had low scores for the ornamental beds feature, which evaluates litter, plant health, and pruning issues.

Three of the five parks that increased their score the most over last year are in supervisorial District 3 and Region/PSA 1. The top five parks are of three different park types; three of the parks are neighborhood parks or playgrounds and the other two are a mini park and a civic plaza or square.

Exhibit 6 *Top Five Greatest Increases Compared to Last Year*

Park	Supervisorial District	Region	Park Type	FY15 Average	FY16 Average	Percent Change
Gilman Playground	10	PSA 3	Neighborhood Park or Playground	57.3%	76.0%	18.7
Washington Square	03	PSA 1	Civic Plaza or Square	72.7%	91.3%	18.6
Joe DiMaggio North Beach Playground	03	PSA 1	Neighborhood Park or Playground	78.2%	95.1%	17.0
Grattan Playground	05	PSA 2	Neighborhood Park or Playground	74.9%	91.6%	16.7
Ina Coolbrith Park	03	PSA 1	Mini Park	75.1%	90.9%	15.8

- The greatest percent increase was **Gilman Playground**, which increased its score by 18.7 percent. Capital improvements occurred throughout 2016. This park was not evaluated in the 4th quarter due to capital renovations and widespread park feature closures.
- **Washington Square** had particularly high restroom scores in FY16. Restrooms were renovated in March 2015 and include a cleaner and more modern design.
- **Joe DiMaggio North Beach Playground** underwent a significant renovation and reopened in late 2015. This park received high marks for its new playground, outdoor courts, and other features.
- **Grattan Playground** improved Children's Play Area (25.9 percent increase) and Ornamental Bed (25.7 percent) scores in FY16.
- **Ina Coolbrith Park** had significant improvements in its FY15 lowest scoring features: In November 2015, the pathways were replaced with colored concrete and new curbs were installed on uphill

slopes. As a result, Hardscape (28.9 percent increase) and Ornamental Beads (21.7 percent increase).

Highest and Lowest Scoring Parks

Fulton Playground and Cabrillo Playground continue to have high scores. Cabrillo Playground reopened in 2013 after repair and renovation of the children's play areas, picnic area and courts, as well as upgrades to the park infrastructure and landscape funded by the 2008 Clean and Safe Neighborhood Parks Bond. Father Alfred Boeddeker Park is on the highest scoring list for the second year in a row. This park site had a large-scale renovation and reopened in December 2014.

Most parks on the highest scoring list are consistent high scorers for at least the last two years.

Exhibit 7 *Top Ten Highest Scoring Parks*

Park Site	District	Region	Park Score
Cabrillo Playground	01	PSA 1	98.2%
Sunnyside Conservatory	07	PSA 5	97.7%
Golden Gate-Steiner Mini Park	05	PSA 2	97.6%
Fulton Playground	01	PSA 1	97.6%
Utah-18th Street Mini Park	10	PSA 2	97.4%
Betty Ann Ong Chinese Recreation Center	03	PSA 1	96.9%
Fay Park	02	PSA 1	96.6%
Esprit Park	10	PSA 2	96.4%
Father Alfred E. Boeddeker Park	06	PSA 2	96.1%
Cottage Row Mini Park	05	PSA 2	96.0%

- Four of the ten highest scoring parks were recently renovated as part of the 2008 or 2012 Clean and Safe Neighborhood Parks Bonds.
- **Golden-Gate Steiner** increased 3.9 percent in FY16. This may have been due in part to a repaired fence and retaining wall that improved the Buildings and General Amenities feature.
- **Esprit Park** increased 3.8 percent in FY16. Re-landscaping of this site included the removal of failing trees, improved the quality of planted areas and ensured better irrigation management.
- **Cottage Row Mini Park** increased 2.7 percent, which may be due to completed landscape and turf renovations in FY16.

Bay View Playground, Alice Chalmers Playground and Rolph Nicol Playground are the parks that scored in the bottom ten both in FY15 and FY16. The other seven parks are new to the lowest scoring park list.

Exhibit 8 *Top Ten Lowest Scoring Parks*

Park Site	District	Region	Park Score
Alice Chalmers Playground	11	PSA 3	73.9
Buchanan Street Mall	05	PSA 2	73.7
John McLaren Park	09	PSA 3	73.0
Pine Lake Park	04	PSA 4	71.9
Buena Vista Park	08	PSA 5	70.9
Bay View Playground	10	PSA 3	70.8
Visitacion Valley Playground	10	PSA 3	68.5
Rolph Nicol Playground	07	PSA 4	67.3
India Basin Shoreline Park	10	PSA 3	65.3
Excelsior Playground	11	PSA 3	64.5

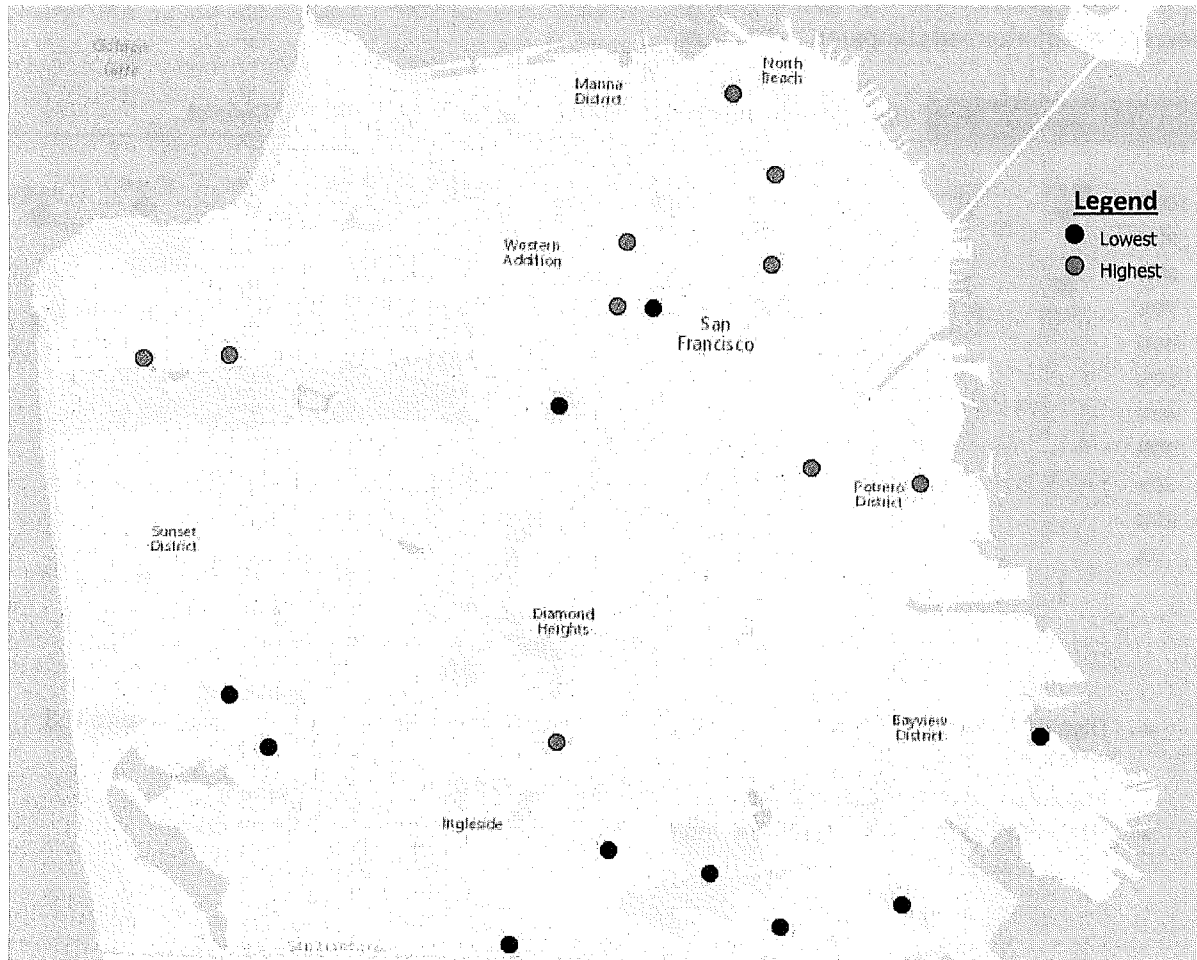
- **Alice Chalmers Playground** has been a consistent low scorer; however, this park increased 10.8 percent in FY16.
- **Buchanan Street Mall** is new to the low scoring list this year due to a significant decrease of 16.4 percent.
- **Bay View Playground** significantly increased its score by 12.6 percent, but is still one of the lowest scorers.

As reported last year, lower scoring parks are mostly located in the southern and southeastern part of the City, while the higher scoring parks are located in the northern neighborhoods.

District 10 has three of the lowest scoring parks. Bay View Playground continues to be a low scorer. Eight of the ten lowest scoring parks are in the southern part of the City. In contrast, nine of the highest scoring parts are in the northern half of the City. See the map on page 14.

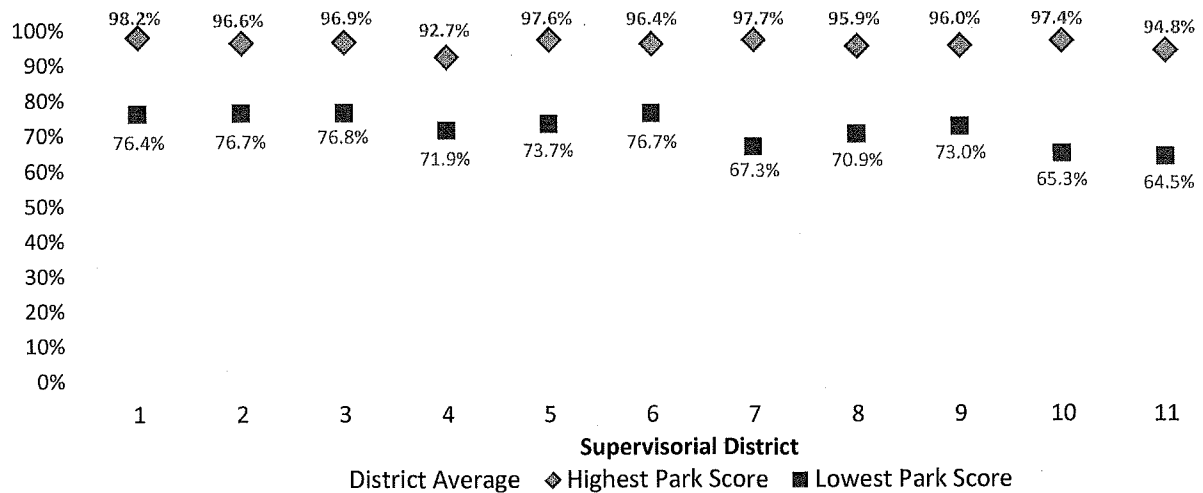
Exhibit 9

Eight of the Ten Lowest Scoring Parks are in Southern Part of the City



In FY15, supervisorial districts 1, 10 and 11 all had lowest scoring parks below 64 percent. This year, the lowest scoring park is in District 11 at 64.5 percent, which is 1.4 percent higher than last year. In FY15, District 1 had the highest scoring park at 99 percent, but this year has the highest scoring park at 98.2 percent. The gap between the highest scoring park and lowest scoring park is 33.7 percent, which is 8 percent less of a spread compared to last year.

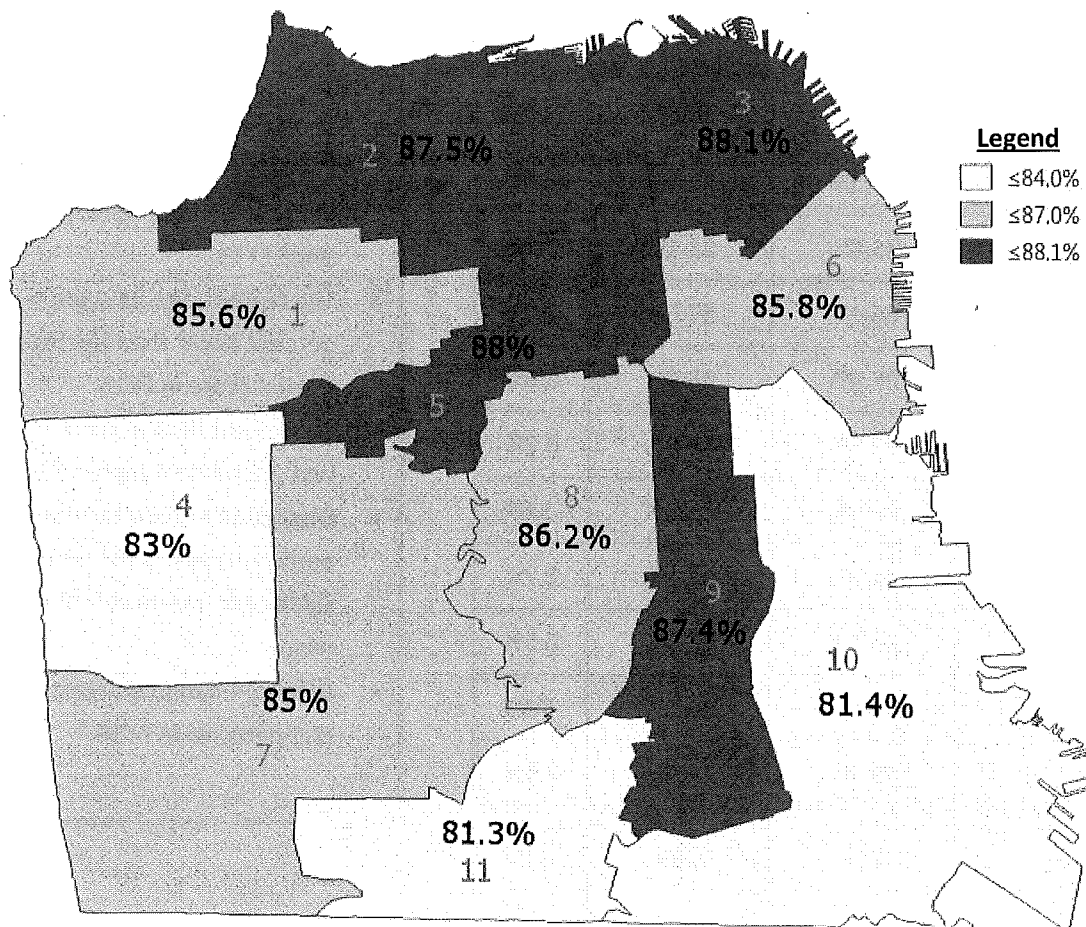
Exhibit 10 *Highest and Lowest Scoring Parks in Each Supervisorial District*



- District 1's **Cabrillo Playground** has the highest score for the second year in a row despite a .8 percent decrease.
- **Excelsior Playground** in District 11 was the lowest scoring park at 64.5 percent.
- Last year the lowest scorer was **Gilman Playground** (District 10) with 57.3 percent.

In FY15, supervisorial districts 2 and 5 had the highest scoring average. In FY16, districts 3 and 5 are the highest scoring. On the lower end, districts 10 and 11 are again the lowest scoring. However, the District 11 average significantly increased by 3.2 percent, thereby decreasing the spread between highest and lowest scoring districts.

Exhibit 11 *District 3 has the Highest Supervisorial District Average, District 11 the Lowest*



The spread between highest and lowest supervisorial district is three percent lower in FY16 than it was in FY15, which shows a reduction in the gap between the highest and lowest scoring districts. This indicates that there is less of a discrepancy between park maintenance performance throughout the supervisorial districts.

Exhibit 12 *Supervisorial District Spread of Scores Decreased 3 Percent From Last Year*

Supervisorial District	FY15 Average Score	FY16 Average Score	Percent Change
01	88.4%	85.6%	-2.7%
02	88.5%	87.5%	-1.0%
03	85.8%	88.1%	2.3%
04	86.1%	83.0%	-3.1%
05	87.4%	88.0%	0.6%
06	85.5%	85.8%	0.2%
07	86.8%	85.0%	-1.8%
08	84.5%	86.2%	1.7%
09	86.9%	87.4%	0.5%
10	82.2%	81.4%	-0.8%
11	78.7%	81.3%	2.5%
Supervisorial District Spread	9.8%	6.8%	-3.0%

- The spread between districts was 9.8 percent in FY15 and 6.8 percent in FY16, which is the lowest spread since FY13.
- The highest district score was .4 percent lower than the highest district score in FY15.
- The lowest district score in FY16 was 2.5 percent higher than in FY15.
- **District 11's** score increased by 2.5 percent, which is the most significant improvement over last year.
- **District 4** had the largest decrease (3.1 percent) in FY16.

Park Type Results

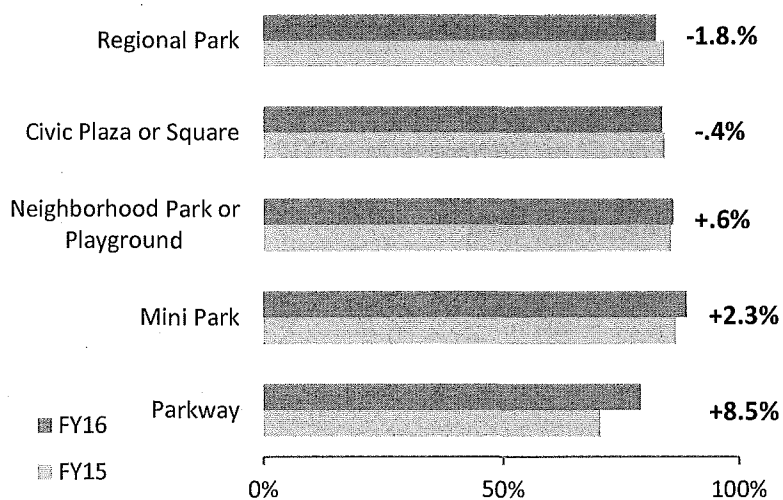
After professional best practice research conducted by the RPD planning staff, the park evaluation program has from its inception distinguished park properties based on their acreage, types of facilities, and the size of geographical area that the park supports and from which it draws users. Park types in this report include the following:

- Civic Plaza or Square
- Mini Park
- Neighborhood Park or Playground
- Parkway
- Regional Park

The highest scoring park type was mini parks. Mini parks are the smallest of the park types, usually 0.5 acre or smaller and are typically landscaped with few facilities. The most common park type is neighborhood parks or playgrounds, which has the second highest score by park type. A neighborhood park or playground is typically 0.5 acre to 30 acres in size, serves a single neighborhood, and contains a range of facilities such as a play structure area, outdoor court and/or athletic field. They are larger than a mini park, but smaller than a regional park like Golden Gate Park which is designed to accommodate a variety of individuals including city residents, regional visitors, and tourists.

Although the lowest scoring park type was parkways, as it was last year, this year the score increased significantly by 8.5 percent. Parkway are landscaped areas developed along a public right of way to provide greenspace and trees rather than specific activity areas such as courts, fields, and playgrounds. Because there are only two parkways, Lower Great Highway and Park Presidio Boulevard, issues found at either of these sites will substantially affect the overall park type score.

Exhibit 13 Parkway Scores Significantly Increased in FY 2015-16

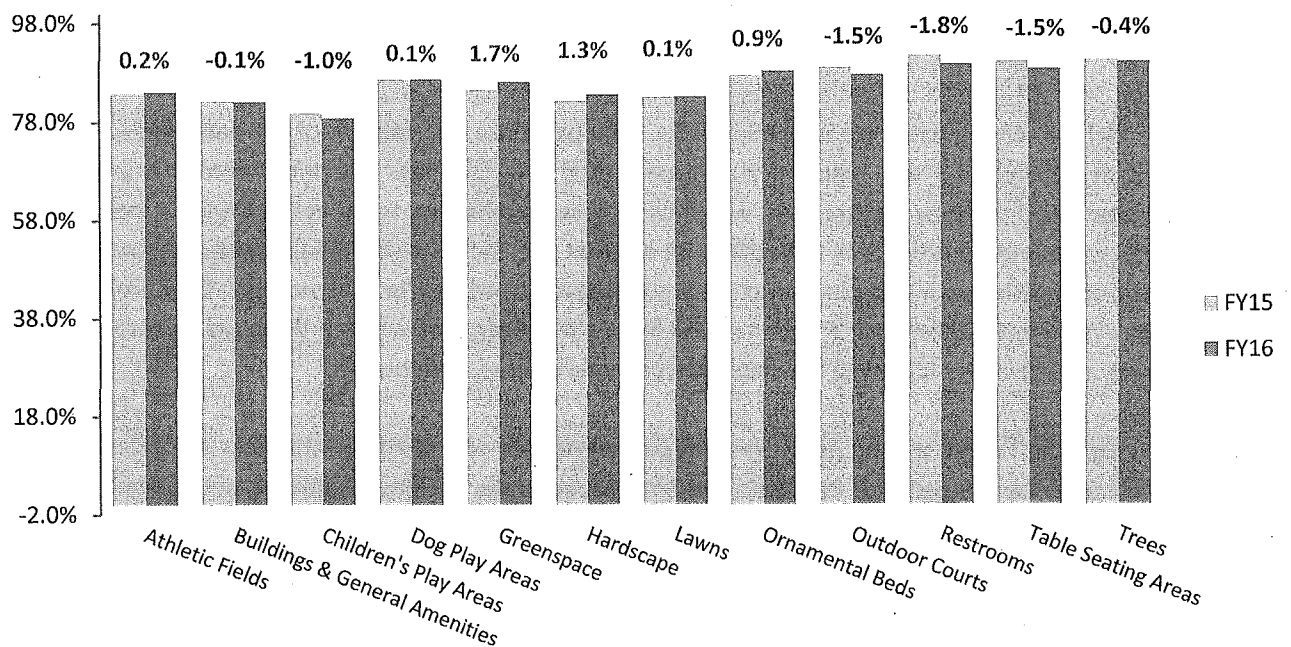


- Improvements at Park Presidio increased its overall score from 61.1 percent in FY15 to 76.4 percent in FY16.
- Regional park scores decreased from 84.3 to 82.5 percent making it the second lowest scoring park type.
- Civic Plazas or Squares decreased by .5 percent.
- Increases in scores were seen for Neighborhood Parks/Playgrounds (.6%) and Mini Parks (2.3%).

Features Results

Each park site is evaluated based on the features located at that site. There are 12 total features that could be evaluated at any one site. For the second year, restrooms, trees, and table seating areas scored amongst the highest, while Children's Play Areas, Buildings and General Amenities, Hardscape and Lawns scored on the low end.

Exhibit 14 Restrooms had the Greatest Percent Change in Average Feature Scores



- Half of the Feature scores decreased from FY15 to FY16; half of the Features increased.
- The top scoring Feature in FY16 was 90.7 percent for **Trees**. In FY15, **Restrooms** scored the highest with 91.9, but dropped to 90.1 in FY16.
- For the second year, **Children's Play Areas (CPA)** was the lowest scoring feature. The CPA feature score decreased by one percent in FY16.
- **Table Seating Areas** and **Outdoor Courts** decreased by 1.5 percent in FY16.
- **Hardscape** and **Greenspace** features made significant improvements in FY16.
 - Hardscape scores significantly increased in some of the lowest scoring parks such as **Gilman Playground** and **Alice Chalmers Playground**.
 - Greenspace significantly improved at Mission Dolores Park.

Children's Play Areas

For the second year in a row, Children's Play Areas (CPA) was the lowest scoring feature with 78.8 percent, 1 percent lower than last year's score. Maintenance for playground equipment, fencing, sand, rubber surfacing, litter, paint, and signage needs the greatest improvement amongst all features.

The following tables present the three parks with the greatest changes in CPA scores, those that had decreased and increased the most compared to FY15.

Exhibit 15 *Children's Play Area Greatest Decreases in Score Compared to Last Year*

Park Site	FY15 CPA Score	FY16 CPA Score	% Change
South Sunset Playground	87.5	64.0	-23.5
Buchanan Street Mall	90.1	67.2	-22.9
Tenderloin Recreation Center	92.0	71.9	-20.2

- Litter, paint and rust/rot on play structures were cited as common issues in the three parks with the greatest decreases in CPA scores.

Exhibit 16 *Children's Play Area Greatest Increases in Score Compared to Last Year*

Park Site	FY15 CPA Score	FY16 CPA Score	% Change
Washington Square	75.6	91.6	15.9
Bay View Playground	58.2	67.5	9.3
Potrero Hill Recreation Center	92.6	96.8	4.2

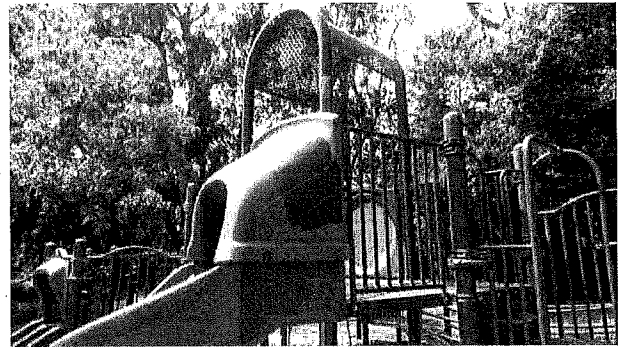
- **Bay View Playground** had low scores for Children's Play Areas, Lawns and Table Seating Areas that significantly improved in some evaluations in FY16.
- **Potrero Hill Recreation Center** continued to score on the higher end for most features.
- **Washington Square** playground is scheduled for future renovations.

There is a wide distribution of scores, with 90 CPAs scoring very high at 100 percent and some very low scores ranging from 16 percent to 50 percent (such as Buchanan Street Mall (16.6 percent, District 5) and Visitacion Valley Playground (20 percent, District 10)).

Gilman Playground and Mountain Lake Park were evaluated only once in FY16 due to closures for reconstruction of the children's play areas. Prior to the closure, these parks were evaluated in Quarter 1 (July-September 2015) and were the lowest scoring, as shown below. West Portal Playground, Turk-Hyde Mini Park and Visitacion Valley Playground had consistently low CPA scores throughout FY16.

Exhibit 17 *Five Lowest Scoring Children's Play Areas*

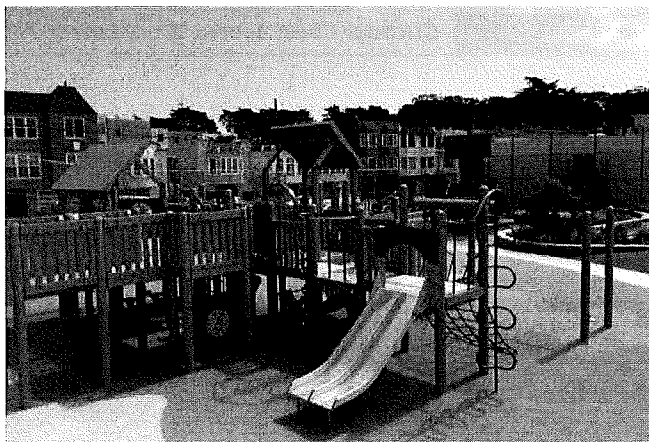
Park Site	Supervisory District	CPA Score
West Portal Playground	07	58.4
Turk-Hyde Mini Park	06	53.2
Visitacion Valley Playground	10	52.0
Gilman Playground	10	44.4
Mountain Lake Park	02	37.5



The following highest scoring CPAs have been consistent high scorers and have had recent capital renovations, which included new playground equipment.

Exhibit 18 *Five Highest Scoring Children's Play Areas*

Park Site	Supervisory District	CPA Score
Father Alfred E. Boeddeker Park	06	100.0
Fulton Playground	01	98.8
Cabrillo Playground	01	96.5
Carl Larsen Park	04	95.8
Collis P. Huntington Park	03	95.8



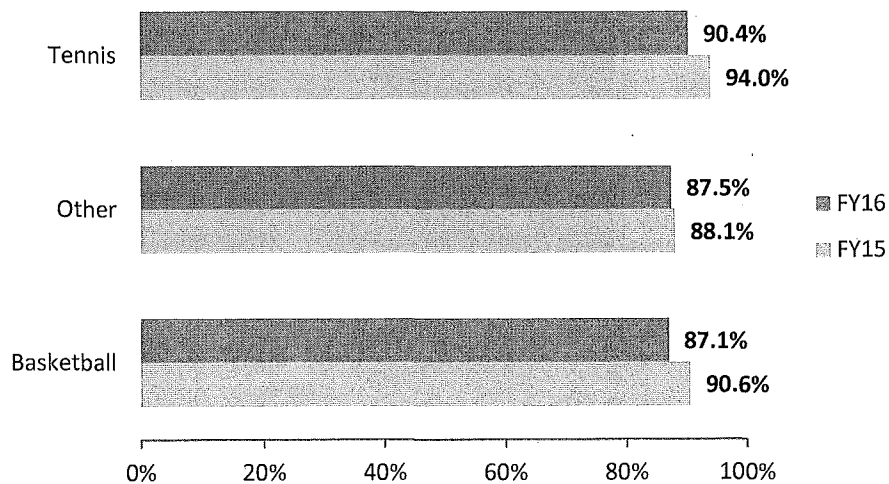
- **Father Alfred E. Boeddeker Park** reopened in Fall 2014 after extensive capital improvements and has consistently scored 100 percent since its reopening.
- **Fulton Playground** reopened after capital improvement construction completed in Fall 2012 and has consistently scored between 87.5 and 100 percent in the last two years.
- **Cabrillo Playground** (pictured above) renovation was completed in July 2013 and has since been a consistently high scoring playground.
- **Carl Larsen Park** was reopened in 2016 after renovation of the Children's Play Area.
- **Collis P. Huntington's** newly renovated Children's Play Area showed significant improvements in scores beginning in late Fall 2014.

Outdoor Courts

The Outdoor Court feature includes various types of courts including basketball, tennis, bocce, skate parks, multi-purpose/use, volleyball, golf cages, racquetball and more. Overall, Outdoor Courts scored 87.8 percent, which is the fifth highest feature score.

Tennis courts were the highest scoring Outdoor Court type at 90.4 percent. Although tennis courts scored the highest for the second year in a row, the overall tennis court score decreased by 3.6 percent.

Exhibit 19 *Outdoor Court Scores Were Lower Than in FY15*



The following tables show sites with the greatest changes in tennis court scores. All three lowest scoring tennis court sites had issues with seating (splintering, sharp edges), surface quality (cracks and holes), drainage (standing water) and litter.

Exhibit 20 *Tennis Court Greatest Decreases From Last Year*

Park Name	FY15 Tennis Score	FY16 Tennis Score	% Change
Alamo Square	92.7	70.6	-22.1
Silver Terrace Playground	92.6	73.3	-19.3
Hayes Valley Playground	98.2	84.8	-13.4

- 19 of the 64 tennis courts evaluated decreased in score from last year.
- The greatest decrease was Alamo Square (-22.1 percent); however, this site is currently undergoing a significant renovation that includes the courts. This court was only evaluated twice in FY16 due to construction.
- Hayes Valley Playground, although decreasing by over 13 percent, still has tennis courts scoring nearly 85 percent, which is an indicator of good performance.

A number of recent tennis court renovations significantly increased scores. The greatest increase was DuPont Courts, renovated in Fall 2015. The resurfacing of DuPont courts had a significant impact on the park's overall score since the courts are the main feature of the site. States Street tennis courts had a complete renovation in FY15.

Exhibit 21 *Tennis Court Greatest Increases From Last Year*

Park Name	FY15 Tennis Score	FY16 Tennis Score	% Change
DuPont Courts	60.9	91.7	30.8
States Street Playground	78.9	100.0	21.1
Moscone Recreation Center	83.2	100.0	16.8

Basketball scores decreased by 3.5 percent compared to FY15. While some courts decreased in score, others significantly increased due to recent renovations. In addition to Silver Terrace's declining tennis court score, the basketball court also decreased significantly (13.8%) in FY16. The three lowest scoring parks are undergoing recent renovations that will likely improve their scores in FY17.

Exhibit 22 *Basketball Court Greatest Decrease From Last Year*

Park	FY15 Basketball Score	FY16 Basketball Score	% Change
Adam Rogers Park	95.4	76.2	-19.2
West Portal Playground	72.6	55.3	-17.2
Silver Terrace Playground	94.1	80.4	-13.8

Exhibit 23 *Basketball Court Greatest Increase From Last Year*

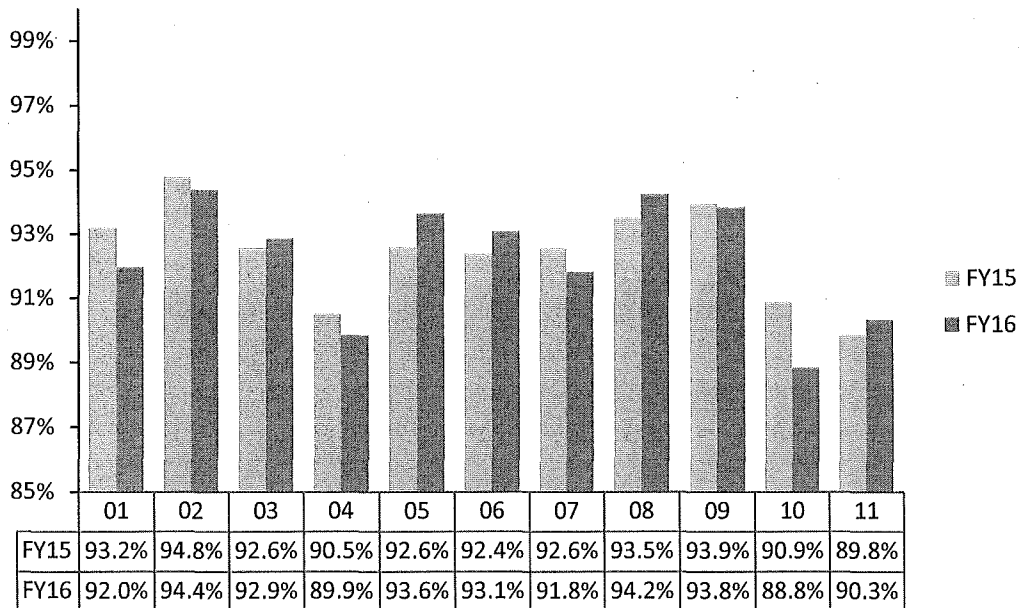
Park	FY15 Basketball Score	FY16 Basketball Score	% Change
Gilman Playground	56.4	80.8	24.4
Kelloch Velasco Mini Park	76.9	99.0	22.1
Alice Chalmers Playground	49.1	70.0	20.9

- **Gilman Playground** was only evaluated once in FY16 due to construction.
- **Gilman Playground and Kelloch Velasco Mini Park** basketball courts were renovated in 2016.

Cleanliness Results

Overall, cleanliness scores averaged 92.3 percent citywide, which shows that few parks exhibited dirty drinking fountains, filth/grime, spillage, odor, needles, glass, feces, litter, debris, large abandoned items etc.

Exhibit 24 Cleanliness and Litter & Debris Scores by Supervisorial District



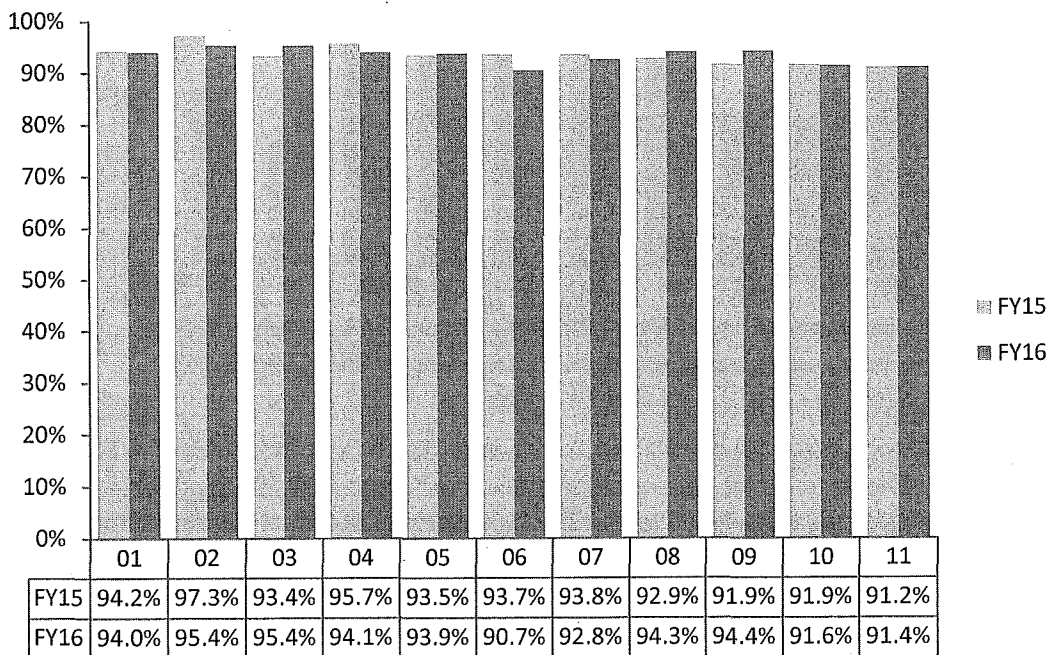
- **District 10** had the greatest change (-2.1%) in cleanliness score from 90.3 percent to 88.8 percent.
- The spread between the highest and lowest scoring supervisorial district increased from 5 percent in FY15 to 5.6 percent in FY16 showing an increasing gap in cleanliness scores.
- **District 2** is again the highest scorer although in FY16 the score decreased .4 percent.
- The greatest positive change was **District 5**, which increased its score by 1 percent over last year.

Graffiti Results

The revised standards have expanded the various types of graffiti-related “vandalism” that are evaluated so that the presence of ink graffiti, painted graffiti, and stickers are all reported. Non-graffiti vandalism is reported under other elements in order to assess maintenance success according to the type of infrastructure (court structure, retaining wall, planting, etc.) that is damaged.

The average score for graffiti vandalism was 93.6 percent, meaning that 93.6 percent of criteria evaluated in the graffiti element were free of graffiti issues. Every feature, except lawns and ornamental beds, is rated for graffiti. Scores above 90 percent are considered a performance indicator of successful graffiti eradication.

Exhibit 25 *Graffiti by Supervisorial District*

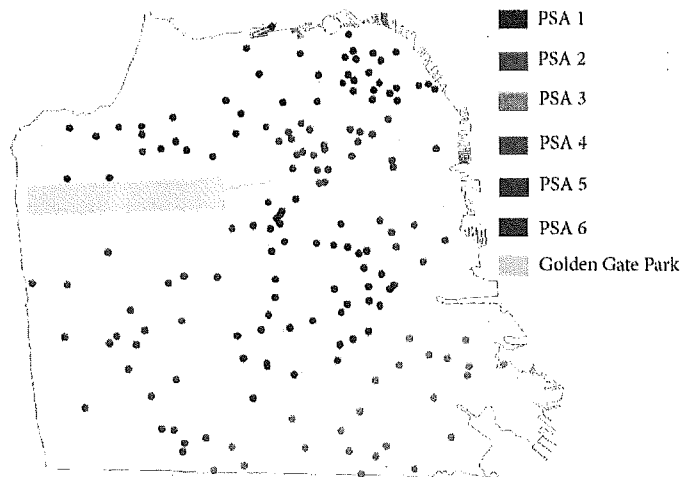


- **Districts 8 and 9** had the greatest increase in graffiti scores in FY16.
- **District 6** had the greatest decrease (3 percent), and has the lowest score at 90.7 percent.

RECREATION AND PARKS DEPARTMENT OPERATIONS

Recreation and Park Department staff and resources for park maintenance are organized into seven regions – Golden Gate Park plus six Park Service Areas (PSAs). Each PSA/Region has a manager who directs horticultural and custodial activities and serves as the main point of contact for the region. PSAs/Regions are not geographically defined, but the properties in each region are in proximity to each other, as shown in the exhibit to the right.

Exhibit 26 Region/PSA Map

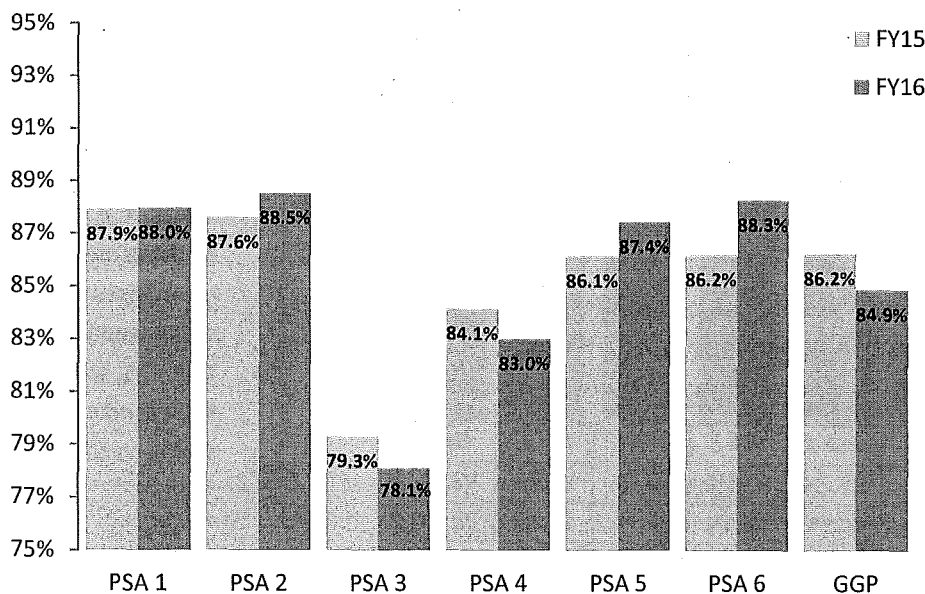


Source: Rec Park

This section presents data to provide RPD managers with scores in their PSA/Region, as well as the distribution of scores for select features.

PSA 3 is the lowest scoring for the second year in a row. This region covers supervisorial districts 10 and 11 in the southeastern part of the City, which has historically been the lower scoring region.

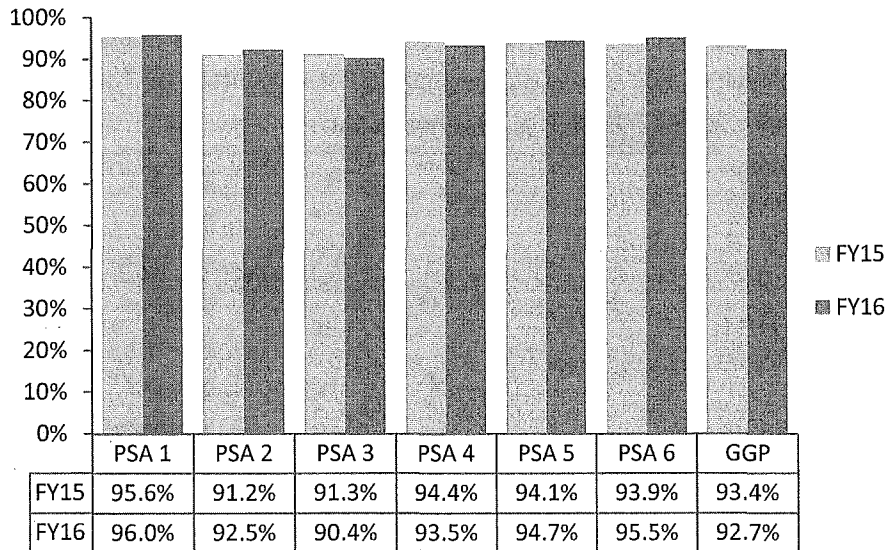
Exhibit 27 Citywide Average by Park Service Area (PSA)/Region



- **Region/PSA 6** had the greatest increase in score (2.1%), which is the second highest FY16 score.
- **Golden Gate Park (GGP)** had the greatest decrease in score (1.4%).
- **Region/PSA 3** was the lowest scorer again in FY16 and the score decreased 1.2 percent from FY15, which shows a continuing decline.

Graffiti scores by PSA/Region are all above 90 percent, which is an indicator of good performance. The lowest region is PSA 3, which is also the lowest scoring region overall.

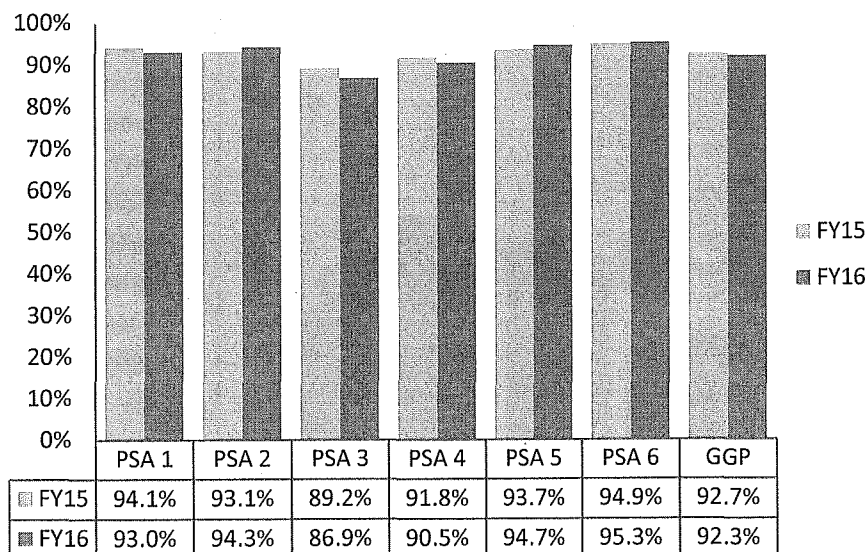
Exhibit 28 Graffiti by Park Service Area (PSA)/Region



- **Region/PSA 1** had the highest Graffiti score for the second year in a row, with 96.0 percent, which is an increase of .4 percent from last year.
- **Region/PSA 6** (1.6%) and 2 (1.3%) had the greatest increase in scores over last year.
- **Region/PSA 3** was the lowest scoring for the second year in row, and decreased .9 percent from last year showing a continuing downward trend.

Cleanliness scores are highest in PSA 6 and lowest in PSA 3. PSA 3 cleanliness scores are below 90 percent, and decreased by 2.3 percent compared to FY15. This shows a decreasing trend in PSA 3 cleanliness.

Exhibit 29 Cleanliness by Park Service Area (PSA)/Region



- **PSA 6** had the highest cleanliness score for the second year in a row.
- **PSA 1, 3, 4 and GGP** all decreased in cleanliness scores compared to FY15.
- **PSA 2, 5, and 6** increased cleanliness scores in FY16.

RECOMMENDATIONS

1. *Recommendation:* RPD should continue to work towards fully implementing mobile evaluations by November 2016. Further, RPD should ensure that all evaluators are trained on how to use the new mobile application and provide a one page instruction guide as well as other resources and outreach to assist evaluators in completing accurate and timely mobile evaluations.
2. *Recommendation:* RPD should utilize the new Salesforce database reporting tools to develop more frequent and useful reports for park managers and staff to understand park maintenance issues and resolve them in a timely manner.
3. *Recommendation:* RPD should use park evaluation data to identify potential park improvements and features that particularly need to be renovated to prioritize capital funding from Prop B and other sources.
4. *Recommendation:* RPD should continue to update the park site maps for evaluator use in the mobile application and include the location of the features at each site, as appropriate. In addition, the department should include a data collection process to identify the geolocation of where each feature is located at each site.

APPENDIX A: METHODOLOGY

Park evaluations: Then and now

In November 2003, San Francisco voters passed Proposition C establishing the City Services Auditor (CSA) in the Controller's Office. City Charter Appendix F, Section 102 mandates that CSA work with the Recreation and Park Department (RPD) on the following:

- Develop measurable, objective standards for park maintenance
- Issue an annual report evaluating performance to those standards, with geographic detail
- Establish regular maintenance schedules for parks and make them available to the public
- Publish compliance reports regularly showing the extent to which RPD has met its published schedules

Since the park evaluation program began, approximately \$455 million has been expended in over 100 parks from general obligation bond programs approved by the voters in 2000, 2008 and 2012. Bond funds have been used to replace or upgrade playgrounds and to improve restrooms, playing fields, sports courts, accessibility, and many other park facilities and features. While many factors affect the day-to-day cleanliness of parks and drive evaluation scores, it is the City's expectation that bond investments will improve park structural conditions and that the component of park scores related to those conditions will also improve over time.

Features

Elements

	Athletic Fields	Buildings & General Amenities	Children's Play Areas	Dog Play Areas	Greenspace	Hardscape	Lawns	Ornamental Beds	Outdoor Courts	Restrooms	Table Seating Areas	Trees
Cleanliness		X								X	X	
Curbs						X						
Drainage	X			X		X	X		X			
Equipment	X	X	X	X					X	X		
Fencing	X	X	X	X					X			
Infield Care	X											
Lighting & Ventilation										X		
Litter & Debris	X	X	X	X	X	X	X	X	X	X	X	X
Mowing	X						X					
No Mow Grass								X				
Paint	X	X	X			X			X	X	X	
Parking & Road Signs						X						
Plant Condition								X				
Potholes & Ridges						X						
Pruning & Edging	X				X		X	X				X
Retaining Walls		X										
Rubber Surfacing			X									
Sand			X									
Seating	X	X	X						X			
Signage	X	X	X	X	X				X	X		
Stairways & Ramps	X				X	X			X			
Structures	X	X							X	X	X	
Supplies										X		
Surface Quality	X			X		X	X		X			
Tree Condition												X
Turf Condition	X						X					
Vandalism	X	X	X	X	X	X			X	X	X	X
Vines												X
Waste Receptacles		X								X		
Water Features		X										
Weeds	X		X	X		X		X	X		X	X

Park Standards Scoring

As each park is differently configured and has a different set of facilities, a different set of features is to be evaluated at each site. Some parks may have many features while others may only have a few. The number of features does not depend on the size of the park, but on the type of facilities located within the park. A large park, for instance, might have extensive trees and greenspace and little else; while a small park could be filled with children's play areas, dog play areas, ornamental beds, outdoor courts, and many other features. Furthermore, when a park has multiple restrooms, each restroom will receive a full and completely separate evaluation of the restroom feature. Athletic fields, children's play areas, dog play areas and outdoor courts features are treated in the same way – each field, court, etc., will have a separate feature evaluation. Facilities that are closed at the time of evaluation are not scored.

Each feature has a number of elements that are to be evaluated (cleanliness, litter, the integrity of park structures, paint condition, etc.). Elements may have a number of different criteria that are assessed (different questions specifying cleanliness of certain assets, amounts of litter, types of paint issues, etc.). Each element is scored based on the threshold for passing that element's standard, as well as the number and type of criteria conditions that are reported.

All elements associated with a particular feature contribute to that feature's score. The "feature score" is simply determined by the number of passing elements divided by the total number of elements pertinent to the feature. Elements that were not evaluated or were marked as not applicable do not factor into the feature score. When a park has multiple features of the same type (e.g., multiple restrooms), the individual "feature scores" (for each restroom) will be average together to obtain an overall "feature score" (for restrooms at that site).

Overall park scores are calculated by taking the overall feature scores obtained by an evaluation and applying weights to them based on the type of park as shown in the table below.

Property Type	Athletic Fields Weighted	Buildings and General Amenities Weighted	CPA Weighted	DPA Weighted	Greenspace Weighted	Hardscape Weighted	Lawns Weighted	Ornamental Beds Weighted	Outdoor Courts Weighted	Restrooms Weighted	Table Seating Areas Weighted	Trees Weighted
Civic Plaza or Square	No	No	No	No	No	YES	YES	No	No	No	No	No
Mini Park	No	No	No	No	No	No	No	YES	No	No	No	No
Neighborhood Park or Playground	YES	No	YES	Yes	No	No	No	No	YES	No	No	No
Parkway	No	No	No	No	Yes	No	No	No	No	No	No	YES
Regional Park	No	No	No	No	No	No	No	No	No	No	No	No

For instance, all features that exist at a mini park are treated equally, except for Ornamental Beds. The Ornamental Beds are given twice the weight of any other feature, and so the Ornamental Beds feature score is factored in twice. For example, if, a hypothetical mini park had only three features (Hardscape, Lawns, and Ornamental Beds) and the Hardscape feature score was 85%, the Lawns feature score was 85%, but the Ornamental Beds score was 50%, the overall evaluation score would be the average of $(85\% + 85\% + 50\% + 50\%)$, or 67.5%.

The scores in this report represent a combination of RPD and CSA evaluation scores. An evaluation site's annual score is the average of the evaluation scores for all RPD and CSA evaluations of the site that occurred during the year, weighting each evaluation score equally. For large parks divided into multiple evaluation sites, the site/subsection evaluation scores were averaged to get the overall park score. Appendix C includes the park scores for every evaluated park. For citywide, district and PSA scores, all pertinent evaluation scores were averaged to calculate the annual and quarterly scores. Appendix D includes quarterly park scores for each evaluating department with the overall annual average score for the park.

APPENDIX B: DEPARTMENT RESPONSE]



Edwin M. Lee, Mayor
Philip A. Ginsburg, General Manager

October 20, 2016

Ben Rosenfield, Controller
City Hall, 1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Dear Mr. Rosenfield:

The Recreation and Parks Department (RPD) has carefully reviewed the Park Maintenance Standards Annual Report prepared by the City Services Auditor (CSA) for FY 2015-16 and concurs with its findings.

We are pleased to see that the report indicates an average park score of 85.6%, a small improvement from last year in overall ratings. This is notable as the new standards are more stringent and California drought conditions persist. Further, fifty-three parks received over 90% scores, an increase from 43 parks in the last report.

We were particularly pleased to see how parks in underserved communities fared in these evaluations. The Department has a new mandate to evaluate the delivery of recreation and park resources in underserved communities, also known as equity zones, and compare those to the city as a whole. Parks scores in equity zone parks averaged an 85% rating, 0.6% below the citywide average. While there is still work to be done to improve these scores, we are pleased at the strong showing of these parks. Further, in previous years there had been significant spread in average park scores between supervisorial districts. This year the spread was decreased by 3%, indicating more equitable allocation of resources.

Children's play areas, court surfaces and hardscapes continue to be a source of lower park scores. We are aware of these issues and are working to address them through 2012 bond projects that update children's' play areas and a new \$15 million commitment to completing deferred maintenance which will enable us to address more of the hardscape and court improvements.

As evidenced through significant improvement in scores at newly renovated sites, our capital improvements are a key to improving lower scoring parks. Recent restorations and repairs in previously low-scoring parks resulted in sustained improvements in scores, in part due to the newness of these facilities. Additionally, design improvements that better serve the public and make maintenance easier seem to yield higher scores.

Over the past year we used the revised evaluation standards to make decisions on where to embark on maintenance projects. Working with the Controller's office we are implementing park evaluations via mobile devices that include photographs and GPS tagging of issues and real-time provision of evaluation results to park managers. These improved features continue to provide better information on which to base maintenance decisions.

I thank CSA for their strong commitment to the continuous upgrading of the park evaluation program, and their resolute partnership in the many tasks involved in completing the standards revision effort. We look forward to having enhanced information for maintenance planning, increased transparency for the public, and more objective data-driven guidance for the improvement of San Francisco parks.

Respectfully submitted,


Philip A. Ginsburg
General Manager

McLaren Lodge in Golden Gate Park | 501 Shattuck Street | San Francisco, CA 94117 | PHONE: (415) 831-2700 | WEB: sfreopark.org

APPENDIX C: AVERAGE PARK SCORES, FY 2015-16

Park Name	District	Region/PSA	FY16 Park Score
10th Avenue-Clement Mini Park	01	PSA 1	90.8%
24th Street-York Mini Park	09	PSA 6	94.0%
Adam Rogers Park	10	PSA 3	76.1%
Alamo Square	05	PSA 2	81.5%
Alice Chalmers Playground	11	PSA 3	73.9%
Alice Marble Tennis Courts	02	PSA 1	93.1%
Alioto Mini Park	09	PSA 6	88.8%
Allyne Park	02	PSA 1	76.7%
Alta Plaza	02	PSA 1	82.2%
Angelo J. Rossi Playground	01	PSA 1	90.7%
Aptos Playground	07	PSA 4	89.2%
Argonne Playground	01	PSA 1	86.7%
Balboa Park	11	PSA 5	94.8%
Bay View Playground	10	PSA 3	70.8%
Beideman-O'Farrell Mini Park	05	PSA 2	89.8%
Bernal Heights Recreation Center	09	PSA 6	85.8%
Betty Ann Ong Chinese Recreation Center	03	PSA 1	96.9%
Broadway Tunnel West Mini Park	03	PSA 1	90.8%
Brooks Park	11	PSA 4	78.3%
Buchanan Street Mall	05	PSA 2	73.7%
Buena Vista Park	08	PSA 5	70.9%
Bush-Broderick Mini Park	02	PSA 2	94.4%
Cabrillo Playground	01	PSA 1	98.2%
Carl Larsen Park	04	PSA 4	83.7%
Cayuga Playground	11	PSA 3	84.2%
Cayuga-Lamartine Mini Park	08	PSA 5	84.3%
Coleridge Mini Park	09	PSA 6	91.7%
Collis P. Huntington Park	03	PSA 1	89.7%
Corona Heights	08	PSA 5	88.2%
Coso-Precita Mini Park	09	PSA 6	89.3%
Cottage Row Mini Park	05	PSA 2	96.1%
Cow Hollow Playground	02	PSA 1	82.7%
Crocker Amazon Playground	11	PSA 3	81.5%
Douglass Playground	08	PSA 5	86.0%
Duboce Park	08	PSA 6	86.4%
DuPont Courts	01	PSA 1	86.8%
Esprit Park	10	PSA 2	96.5%
Eugene Friend Recreation Center	06	PSA 2	85.1%
Eureka Valley Recreation Center	08	PSA 5	93.4%

Park Name	District	Region/PSA	FY16 Park Score
Excelsior Playground	11	PSA 3	64.5%
Father Alfred E. Boeddeker Park	06	PSA 2	96.4%
Fay Park	02	PSA 1	96.6%
Fillmore-Turk Mini Park	05	PSA 2	89.4%
Franklin Square	10	PSA 2	84.9%
Fulton Playground	01	PSA 1	97.6%
Garfield Square	09	PSA 6	80.0%
George Christopher Playground	08	PSA 5	82.0%
Gilman Playground	10	PSA 3	76.0%
Glen Park	08	PSA 5	81.6%
Golden Gate Heights Park	07	PSA 4	84.7%
Golden Gate Parks	01	GGP	84.8%
Golden Gate-Steiner Mini Park	05	PSA 2	97.6%
Grattan Playground	05	PSA 2	91.6%
Hamilton Recreation Center	05	PSA 2	88.9%
Hayes Valley Playground	05	PSA 2	92.1%
Head-Brotherhood Mini Park	11	PSA 4	78.2%
Helen Wills Playground	03	PSA 1	91.2%
Herz Playground	10	PSA 3	89.5%
Hilltop Park	10	PSA 3	85.8%
Holly Park	09	PSA 6	87.7%
Hyde-Vallejo Mini Park	03	PSA 1	88.0%
Ina Coolbrith Park	03	PSA 1	90.9%
India Basin Shoreline Park	10	PSA 3	65.3%
J. P. Murphy Playground	07	PSA 4	92.1%
Jackson Playground	10	PSA 2	88.8%
James Rolph Jr. Playground	09	PSA 6	87.9%
Japantown Peace Plaza	05	PSA 2	76.6%
Jefferson Square	05	PSA 2	89.5%
Joe DiMaggio North Beach Playground	03	PSA 1	95.1%
John McLaren Park	09	PSA 3	73.0%
Joost-Baden Mini Park	08	PSA 5	91.9%
Jose Coronado Playground	09	PSA 6	86.6%
Joseph Conrad Mini Park	02	PSA 1	88.8%
Joseph L. Alioto Performing Arts Piazza	06	PSA 2	76.7%
Joseph Lee Recreation Center	10	PSA 3	79.7%
Julius Kahn Playground	02	PSA 1	87.8%
Junipero Serra Playground	07	PSA 4	89.1%
Juri Commons	08	PSA 6	79.8%
Justin Herman-Embarcadero Plaza	03	PSA 1	76.9%
Kelloch Velasco Mini Park	10	PSA 3	82.0%

Park Name	District	Region/PSA	FY16 Park Score
Kid Power Park	09	PSA 6	94.4%
Koshland Park	05	PSA 2	86.7%
Lafayette Park	02	PSA 1	93.8%
Lake Merced Park	07	PSA 4	81.4%
Laurel Hill Playground	02	PSA 1	89.2%
Lessing-Sears Mini Park	11	PSA 3	79.1%
Lincoln Park	01	PSA 1	88.2%
Little Hollywood Park	10	PSA 3	83.7%
Louis Sutter Playground	09	PSA 3	87.7%
Lower Great Highway	01	PSA 4	81.8%
Margaret S. Hayward Playground	05	PSA 2	81.0%
Maritime Plaza	03	PSA 1	93.3%
McCoppin Square	04	PSA 4	82.7%
McKinley Square	10	PSA 2	87.3%
Merced Heights Playground	11	PSA 4	81.2%
Michelangelo Playground	02	PSA 1	84.8%
Midtown Terrace Playground	07	PSA 4	91.5%
Minnie & Lovie Ward Playground	11	PSA 4	85.8%
Miraloma Playground	07	PSA 5	89.4%
Mission Dolores Park	08	PSA 6	89.2%
Mission Playground	08	PSA 6	95.9%
Mission Recreation Center	09	PSA 6	86.8%
Moscone Recreation Center	02	PSA 1	83.5%
Mountain Lake Park	02	PSA 1	92.2%
Mt. Olympus	08	PSA 5	90.3%
Mullen-Peralta Mini Park	09	PSA 6	90.8%
Muriel Leff Mini Park	01	PSA 1	94.8%
Noe Valley Courts	08	PSA 5	87.7%
Page-Laguna Mini Park	05	PSA 2	91.8%
Palace Of Fine Arts	02	PSA 1	88.4%
Palega Recreation Center	09	PSA 3	93.8%
Palou-Phelps Mini Park	10	PSA 3	85.3%
Park Presidio Boulevard	01	PSA 1	76.4%
Parkside Square	04	PSA 4	81.5%
Parque Ninos Unidos	09	PSA 6	90.8%
Patricia's Green	05	PSA 2	88.2%
Peixotto Playground	08	PSA 5	87.5%
Pine Lake Park	04	PSA 4	71.9%
Portsmouth Square	03	PSA 1	86.9%
Potrero Del Sol Park	10	PSA 6	86.5%
Potrero Hill Recreation Center	10	PSA 2	93.5%

Park Name	District	Region/PSA	FY16 Park Score
Precita Park	09	PSA 6	84.1%
Prentiss Mini Park	09	PSA 6	95.8%
Presidio Heights Playground	02	PSA 1	88.8%
Randolph-Bright Mini Park	11	PSA 4	94.7%
Raymond Kimbell Playground	05	PSA 2	92.3%
Richmond Playground	01	PSA 1	86.9%
Richmond Recreation Center	01	PSA 1	92.0%
Rochambeau Playground	01	PSA 1	87.8%
Rolph Nicol Playground	07	PSA 4	67.3%
Roosevelt & Henry Stairs	08	PSA 5	93.0%
Saturn Street Steps	08	PSA 5	92.5%
Selby-Palou Mini Park	10	PSA 3	80.2%
Seward Mini Park	08	PSA 5	88.9%
Sgt. John Macaulay Park	06	PSA 2	90.0%
Sigmund Stern Recreation Grove	04	PSA 4	83.1%
Silver Terrace Playground	10	PSA 3	80.3%
SoMa West Dog Park	09	PSA 2	96.0%
SoMa West Skatepark	09	PSA 2	85.5%
South Park	06	PSA 2	92.5%
South Sunset Playground	04	PSA 4	80.8%
St. Mary's Recreation Center	09	PSA 6	91.3%
St. Mary's Square	03	PSA 1	76.8%
States Street Playground	08	PSA 5	90.8%
Sue Bierman Park	03	PSA 1	88.4%
Sunnyside Conservatory	07	PSA 5	97.7%
Sunnyside Playground	07	PSA 5	93.1%
Sunset Playground	04	PSA 4	92.7%
Telegraph Hill/Pioneer Park (Coit Tower)	03	PSA 1	81.8%
Tenderloin Recreation Center	06	PSA 2	82.8%
Turk-Hyde Mini Park	06	PSA 2	79.3%
Union Square	03	PSA 2	86.3%
Upper Noe Recreation Center	08	PSA 5	87.4%
Utah-18th Street Mini Park	10	PSA 2	97.4%
Victoria Manalo Draves Park	06	PSA 2	87.6%
Visitacion Valley Greenway	10	PSA 3	78.6%
Visitacion Valley Playground	10	PSA 3	68.5%
Walter Haas Playground	08	PSA 5	80.7%
Washington Square	03	PSA 1	91.3%
Washington-Hyde Mini Park	03	PSA 1	85.4%
West Portal Playground	07	PSA 4	74.1%
West Sunset Playground	04	PSA 4	88.1%

Park Name	District	Region/PSA	FY16 Park Score
Willie "Woo Woo" Wong Playground	03	PSA 1	84.0%
Woh Hei Yuen Park	03	PSA 1	92.1%
Yacht Harbor & Marina Green	02	PSA 1	82.8%
Youngblood Coleman Playground	10	PSA 3	80.3%

APPENDIX D: PARK SCORES BY SUPERVISORIAL DISTRICT, FY 2015-16

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
District 1					
10th Avenue-Clement Mini Park	86.3	89.5	98.0	90.8	90.8
CON		78.9			78.9
REC	86.3	100.0	98.0	90.8	93.8
Angelo J. Rossi Playground	91.7	80.9	89.2	100.0	90.7
CON		80.9			80.9
REC	91.7		89.2	100.0	93.2
Argonne Playground	77.6	83.3	92.2	96.8	86.7
CON		79.5			79.5
REC	77.6	87.1	92.2	96.8	88.4
Cabrillo Playground	100.0	97.9	97.9	97.1	98.2
CON		99.5			99.5
REC	100.0	96.3	97.9	97.1	97.8
DuPont Courts	88.6	80.9	96.8		86.8
CON		74.7			74.7
REC	88.6	87.1	96.8		90.9
Fulton Playground	96.5	97.8	98.6	97.4	97.6
CON		98.8			98.8
REC	96.5	96.9	98.6	97.4	97.3
Golden Gate Park - Sec 1 (Conservatory Drive)	81.8		81.4	76.8	80.7
CON			76.4		76.4
REC	81.8		86.4	76.8	81.7
Golden Gate Park - Sec 1 (Conservatory Valley)	94.4	89.5	77.0	98.8	87.3
CON			87.5		87.5
REC	94.4	89.5	66.4	98.8	87.2
Golden Gate Park - Sec 1 (Fuchsia Dell)	93.0	89.5	88.7	79.0	87.8
CON			80.6		80.6
REC	93.0	89.5	96.8	79.0	89.6
Golden Gate Park - Sec 2 (Alley Of Humanitarians)		69.4		73.5	71.4
CON				66.3	66.3
REC		69.4		80.7	73.1
Golden Gate Park - Sec 2 (Fern Dell)	86.3	73.6	87.2	100.0	86.9
CON			84.2		84.2
REC	86.3	73.6	90.2	100.0	87.5

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Golden Gate Park - Sec 2 (Music Concourse)	52.2	97.4	93.1	90.3	85.2
CON			86.1		86.1
REC	52.2	97.4	100.0	90.3	85.0
Golden Gate Park - Sec 2 (Shakespeare Garden)	87.5	91.8	87.9	76.9	86.4
CON			90.2		90.2
REC	87.5	91.8	85.5	76.9	85.4
Golden Gate Park - Sec 4 (Crossover Drive)	61.3	85.3	77.2	67.0	73.6
CON			60.5		60.5
REC	61.3	85.3	93.8	67.0	76.9
Golden Gate Park - Sec 4 (Elk Glen Lake)		87.2	95.1	67.4	79.3
CON				58.1	58.1
REC		87.2	95.1	76.6	86.3
Golden Gate Park - Sec 4 (Mallard Lake)	89.9	85.4	69.9	90.6	82.6
CON			61.4		61.4
REC	89.9	85.4	78.3	90.6	86.8
Golden Gate Park - Sec 4 (Rose Garden)	88.8	75.5	87.6	81.6	83.0
CON				87.8	87.8
REC	88.8	75.5	87.6	75.4	81.8
Golden Gate Park - Sec 4 (Stow Lake)	98.6	72.9	92.9	72.5	86.0
CON			96.8		96.8
REC	98.6	72.9	89.0	72.5	83.3
Golden Gate Park - Sec 5 (Hellman Hollow)	79.2	96.7	69.9	98.2	84.6
CON	78.4				78.4
REC	80.0	96.7	69.9	98.2	86.2
Golden Gate Park - Sec 5 (Lloyd Lake)	75.8	93.5	65.0		77.5
CON	63.7				63.7
REC	87.9	93.5	65.0		82.1
Golden Gate Park - Sec 5 (Metson Lake)	72.9	83.3	82.5	87.8	79.9
CON	57.9				57.9
REC	88.0	83.3	82.5	87.8	85.4

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Golden Gate Park - Sec 5 (Polo Field)	89.8	89.9	98.7	97.3	93.1
CON	97.4				97.4
REC	82.2	89.9	98.7	97.3	92.0
Golden Gate Park - Sec 5 (Senior Center)	86.3	84.9	91.9	98.5	89.6
CON	82.2				82.2
REC	90.4	84.9	91.9	98.5	91.4
Golden Gate Park - Sec 5 (Spreckels Lake)	84.5	78.6	84.7	85.6	83.6
CON	80.9				80.9
REC	88.1	78.6	84.7	85.6	84.2
Golden Gate Park - Sec 6 (Beach Chalet)	83.5	85.8	100.0	83.8	87.3
CON	88.2				88.2
REC	78.9	85.8	100.0	83.8	87.1
Golden Gate Park - Sec 6 (Middle Lake)	86.5	70.0	100.0	81.9	85.0
CON	73.1				73.1
REC	100.0	70.0	100.0	81.9	88.0
Golden Gate Park - Sec 6 (North Lake)	85.2	88.1	81.1	81.9	84.3
CON	73.7				73.7
REC	96.8	88.1	81.1	81.9	87.0
Golden Gate Park - Sec 6 (South Lake)	76.9	86.4	88.3	91.7	84.0
CON	64.9				64.9
REC	88.9	86.4	88.3	91.7	88.8
Lincoln Park	82.8	86.5	97.1		88.2
CON		91.5			91.5
REC	82.8	81.5	97.1		87.1
Muriel Leff Mini Park	93.1	91.6	97.6	100.0	94.8
CON		83.1			83.1
REC	93.1	100.0	97.6	100.0	97.7
Park Presidio Boulevard (North of Geary)	59.7	83.1	97.2	73.2	74.6
CON	75.0				75.0
REC	44.4	83.1	97.2	73.2	74.5

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Park Presidio Boulevard (South of Geary)	70.6	82.8	72.8	94.4	78.3
CON	80.6				80.6
REC	60.7	82.8	72.8	94.4	77.7
Richmond Playground	91.7	93.2	70.8	85.5	86.9
CON		90.9			90.9
REC	91.7	95.4	70.8	85.5	85.8
Richmond Recreation Center	85.0	94.6	97.2	88.9	92.0
CON		91.6			91.6
REC	85.0	97.5	97.2	88.9	92.2
Rochambeau Playground	91.9	87.9	80.4	90.5	87.8
CON		91.8			91.8
REC	91.9	84.1	80.4	90.5	86.7
District 2					
Alice Marble Tennis Courts	92.8	95.7	93.7	87.4	93.1
CON	96.1				96.1
REC	89.5	95.7	93.7	87.4	92.6
Allyne Park	75.8	76.1	78.9	76.9	76.7
CON	67.5				67.5
REC	84.2	76.1	78.9	76.9	79.0
Alta Plaza	84.0		80.6	80.2	82.2
CON	78.8				78.8
REC	89.2		80.6	80.2	83.3
Bush-Broderick Mini Park	92.6	92.4	96.5	97.9	94.4
CON		95.8			95.8
REC	92.6	89.0	96.5	97.9	94.0
Cow Hollow Playground	80.5	77.3	94.8	80.5	82.7
CON	61.0				61.0
REC	100.0	77.3	94.8	80.5	88.1
Fay Park	94.4	96.4	97.8	100.0	96.6
CON	100.0				100.0
REC	88.8	96.4	97.8	100.0	95.7
Joseph Conrad Mini Park	84.5	92.7	91.1	87.1	88.8
CON	86.1				86.1
REC	83.0	92.7	91.1	87.1	89.2
Julius Kahn Playground	84.7	94.9	86.9		87.8
CON	80.6				80.6
REC	88.8	94.9	86.9		90.2
Lafayette Park	93.8	99.6	98.6	83.4	93.8
CON	90.0				90.0
REC	97.5	99.6	98.6	83.4	94.8

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Laurel Hill Playground	87.9		83.8	97.1	89.2
CON	84.2				84.2
REC	91.6		83.8	97.1	90.8
Michelangelo Playground	83.3	83.0	83.6	90.7	84.8
CON	86.3				86.3
REC	80.2	83.0	83.6	90.7	84.4
Moscone Recreation Center	95.5	71.2	86.8	92.7	83.5
CON		68.5			68.5
REC	95.5	74.0	86.8	92.7	87.2
Mountain Lake Park	89.0	97.3	93.9	91.7	92.2
CON	82.7				82.7
REC	95.2	97.3	93.9	91.7	94.5
Palace Of Fine Arts	100.0	86.2	94.6	74.8	88.4
CON		78.1			78.1
REC	100.0	94.3	94.6	74.8	90.9
Presidio Heights Playground	85.9	92.2		91.3	88.8
CON	79.9				79.9
REC	91.9	92.2		91.3	91.8
Yacht Harbor & Marina Green (Gashouse Cove)	68.4	91.4	91.9	79.3	82.7
CON		91.4			91.4
REC	68.4		91.9	79.3	79.8
Yacht Harbor & Marina Green (Jetty)	82.9	90.3	94.5	95.3	89.2
CON	72.6				72.6
REC	93.3	90.3	94.5	95.3	93.3
Yacht Harbor & Marina Green (Marina Green)	71.0	84.0	66.9	89.6	76.5
CON	59.4				59.4
REC	82.6	84.0	66.9	89.6	80.8
District 3					
Betty Ann Ong Chinese Recreation Center	93.9	97.8	98.8	100.0	96.9
CON	97.1				97.1
REC	90.7	97.8	98.8	100.0	96.8
Broadway Tunnel West Mini Park	90.5	94.0	90.6	85.6	90.8
CON	92.6				92.6
REC	88.4	94.0	90.6	85.6	90.5
Collis P. Huntington Park	84.4	92.8	85.2	92.3	89.7
CON				91.4	91.4
REC	84.4	92.8	85.2	92.7	89.4

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Helen Wills Playground	93.8	95.6	88.4	84.6	91.2
CON	93.1				93.1
REC	94.4	95.6	88.4	84.6	90.8
Hyde-Vallejo Mini Park	93.3	82.1	76.7	94.4	88.0
CON	96.7				96.7
REC	90.0	82.1	76.7	94.4	85.8
Ina Coolbrith Park	84.8	94.3	91.1	95.5	90.9
CON	92.2				92.2
REC	77.5	94.3	91.1	95.5	90.6
Joe DiMaggio North Beach Playground	100.0	90.9	97.6	96.4	95.1
CON		92.4			92.4
REC	100.0	89.4	97.6	96.4	95.8
Justin Herman-Embarcadero Plaza	59.3	91.5	79.3	95.2	76.9
CON	59.7				59.7
REC	59.0	91.5	79.3	95.2	81.2
Maritime Plaza	96.9	100.0	95.2	77.4	93.3
CON	93.8				93.8
REC	100.0	100.0	95.2	77.4	93.2
Portsmouth Square	80.8	92.8	89.5	84.5	86.9
CON	79.6				79.6
REC	82.1	92.8	89.5	84.5	87.9
St. Mary's Square	59.6	100.0	68.8	77.7	76.8
CON				81.3	81.3
REC	59.6	100.0	68.8	74.1	75.6
Sue Bierman Park	77.4	93.3	96.0	97.6	88.4
CON	73.1				73.1
REC	81.7	93.3	96.0	97.6	92.2
Telegraph Hill/Pioneer Park (Coit Tower)	83.2	79.8	85.1	79.0	81.8
CON	86.1				86.1
REC	80.4	79.8	85.1	79.0	81.1
Union Square	95.2	88.8	88.9	63.5	86.3
CON	90.5				90.5
REC	100.0	88.8	88.9	63.5	85.3
Washington Square	93.0	89.6	93.4	87.5	91.3
CON	86.0				86.0
REC	100.0	89.6	93.4	87.5	92.6
Washington-Hyde Mini Park	87.7	87.1	83.9	83.0	85.4
CON	98.3				98.3
REC	77.0	87.1	83.9	83.0	83.6

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Willie "Woo Woo" Wong Playground	78.7	91.2	79.2	92.0	84.0
CON	86.5				86.5
REC	70.9	91.2	79.2	92.0	83.3
Woh Hei Yuen Park	79.8	97.8	94.4	96.2	92.1
CON	70.8				70.8
REC	88.8	97.8	94.4	96.2	95.1
District 4					
Carl Larsen Park	83.4	99.6	78.5	73.4	83.7
CON	83.6				83.6
REC	83.3	99.6	78.5	73.4	83.7
Lower Great Highway (North)	81.1	81.8	94.7	89.2	85.6
CON	66.5				66.5
REC	95.7	81.8	94.7	89.2	90.3
Lower Great Highway (South)	82.2	82.9	68.1	74.5	78.0
CON	82.8				82.8
REC	81.5	82.9	68.1	74.5	76.7
McCoppin Square	78.4	98.5	80.0	78.2	82.7
CON	79.4				79.4
REC	77.3	98.5	80.0	78.2	83.5
Parkside Square	86.3	68.2	93.1	73.7	81.5
CON	84.5				84.5
REC	88.1	68.2	93.1	73.7	80.8
Pine Lake Park	73.7	62.6	82.3	78.5	71.9
CON	73.7				73.7
REC		62.6	82.3	78.5	71.5
Sigmund Stern Recreation Grove (Clubhouse-19th)	80.3	80.8		93.3	83.7
CON	71.8				71.8
REC	88.9	80.8		93.3	87.7
Sigmund Stern Recreation Grove (Concert Meadow)	94.0	96.7	91.3	91.8	93.5
CON	95.8				95.8
REC	92.1	96.7	91.3	91.8	92.9
Sigmund Stern Recreation Grove (South Slope)	84.4	89.3	43.1		75.3
CON	76.3				76.3
REC	92.5	89.3	43.1		75.0

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Sigmund Stern Recreation Grove (Wawona Trails)	87.3	87.8	76.9	60.8	80.0
CON	86.0				86.0
REC	88.7	87.8	76.9	60.8	78.5
South Sunset Playground	82.6	79.9	78.6	80.2	80.8
CON	79.4				79.4
REC	85.8	79.9	78.6	80.2	81.1
Sunset Playground	93.0	91.0	97.1	89.4	92.7
CON	97.4				97.4
REC	88.7	91.0	97.1	89.4	91.5
West Sunset Playground	79.5	88.6	100.0	92.8	88.1
CON	82.9				82.9
REC	76.1	88.6	100.0	92.8	89.4
District 5					
Alamo Square	79.7	84.3	77.7		81.5
CON		87.1			87.1
REC	79.7	81.6	77.7		79.7
Beideman-O'Farrell Mini Park	86.7	88.7	96.0	88.7	89.8
CON				95.3	95.3
REC	86.7	88.7	96.0	82.1	88.4
Buchanan Street Mall		70.2	90.8	68.6	73.7
CON				65.3	65.3
REC		70.2	90.8	71.9	75.8
Cottage Row Mini Park	100.0	86.0	100.0	97.1	96.1
CON				94.3	94.3
REC	100.0	86.0	100.0	100.0	96.5
Fillmore-Turk Mini Park		91.7	97.6	74.5	89.4
CON		80.0			80.0
REC		97.5	97.6	74.5	91.8
Golden Gate Park - Sec 1 (Alvord Lake)	84.5	55.1	85.6	97.6	81.7
CON			87.0		87.0
REC	84.5	55.1	84.3	97.6	80.4
Golden Gate Park - Sec 1 (Kezar)	85.1	89.5	73.6		80.5
CON			86.5		86.5
REC	85.1	89.5	60.7		78.5
Golden Gate Park - Sec 1 (Panhandle)	84.3	85.6	78.5	92.5	83.9
CON			80.5		80.5
REC	84.3	85.6	76.4	92.5	84.7

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Golden Gate Park - Sec 1 (Sharon Meadow)	85.1		88.0		87.0
CON			79.6		79.6
REC	85.1		96.3		90.7
Golden Gate Park - Sec 2 (AIDS Grove)	94.4	97.6	86.3	94.7	91.9
CON			97.3		97.3
REC	94.4	97.6	75.2	94.7	90.5
Golden Gate Park - Sec 2 (Big Rec)	100.0	94.9	79.2		88.3
CON			73.1		73.1
REC	100.0	94.9	85.3		93.4
Golden Gate Park - Sec 2 (Whiskey Hill)	100.0		89.5	100.0	96.5
CON			89.5		89.5
REC	100.0			100.0	100.0
Golden Gate Park - Sec 3 (Arboretum Interior)	92.2	100.0	92.9	93.5	94.4
CON				88.4	88.4
REC	92.2	100.0	92.9	98.6	95.9
Golden Gate Park - Sec 3 (Arboretum Perimeter)	95.7	92.5	86.9	81.0	87.2
CON				79.6	79.6
REC	95.7	92.5	86.9	81.7	88.2
Golden Gate-Steiner Mini Park	100.0	98.2	100.0	91.7	97.6
CON		97.9			97.9
REC	100.0	98.5	100.0	91.7	97.5
Grattan Playground	96.5	86.6	95.7	87.9	91.6
CON			93.6		93.6
REC	96.5	86.6	96.8	87.9	91.3
Hamilton Recreation Center	80.4	90.2	96.1	89.0	88.9
CON				91.7	91.7
REC	80.4	90.2	96.1	86.3	88.2
Hayes Valley Playground	94.3	89.2	93.1	94.7	92.1
CON		88.4			88.4
REC	94.3	90.0	93.1	94.7	93.0
Japantown Peace Plaza	86.8	84.7	86.7	62.5	76.6
CON				69.4	69.4
REC	86.8	84.7	86.7	55.6	78.4

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Jefferson Square		89.9	98.4	79.4	89.5
CON		82.3			82.3
REC		93.8	98.4	79.4	91.3
Koshland Park	89.1	79.7	90.4	94.4	86.7
CON		75.0			75.0
REC	89.1	84.4	90.4	94.4	89.6
Margaret S. Hayward Playground	66.0	99.2	90.4	59.2	81.0
CON			83.6		83.6
REC	66.0	99.2	97.1	59.2	80.4
Page-Laguna Mini Park	97.2	89.7	93.9	88.3	91.8
CON		92.4			92.4
REC	97.2	87.0	93.9	88.3	91.6
Patricia's Green	95.7	83.8	93.3	84.5	88.2
CON		81.1			81.1
REC	95.7	86.4	93.3	84.5	90.0
Raymond Kimbell Playground	97.1	91.5	90.8	91.0	92.3
CON				93.6	93.6
REC	97.1	91.5	90.8	88.4	92.0
District 6					
Eugene Friend Recreation Center	97.3	91.2	67.6	84.7	85.1
CON				73.4	73.4
REC	97.3	91.2	67.6	95.9	88.0
Father Alfred E. Boeddeker Park	98.5		98.5	94.4	96.4
CON				97.3	97.3
REC	98.5		98.5	91.5	96.2
Joseph L. Alioto Performing Arts Piazza	66.3	86.3	79.2	85.4	76.7
CON	45.8				45.8
REC	86.9	86.3	79.2	85.4	84.4
Sgt. John Macaulay Park		95.2	83.3	92.7	90.0
CON			75.0		75.0
REC		95.2	91.7	92.7	93.7
South Park	100.0	85.0			92.5
REC	100.0	85.0			92.5
Tenderloin Recreation Center	97.2		78.2	77.8	82.8
CON			82.2		82.2
REC	97.2		74.1	77.8	83.1
Turk-Hyde Mini Park	67.3	91.7		79.2	79.3
CON				86.3	86.3
REC	67.3	91.7		72.0	77.0

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Victoria Manalo Draves Park	97.0	82.9	78.4	89.9	87.6
CON				91.9	91.9
REC	97.0	82.9	78.4	87.9	86.5
District 7					
Aptos Playground	88.8	85.1	95.7	88.1	89.2
CON				89.3	89.3
REC	88.8	85.1	95.7	87.0	89.2
Golden Gate Heights Park	78.6	89.2	95.3	80.2	84.7
CON				81.9	81.9
REC	78.6	89.2	95.3	78.4	85.4
J. P. Murphy Playground	83.3	97.6	96.7	91.5	92.1
CON				94.0	94.0
REC	83.3	97.6	96.7	89.0	91.6
Junipero Serra Playground	97.0	89.2	92.4	83.5	89.1
CON				88.9	88.9
REC	97.0	89.2	92.4	78.1	89.2
Lake Merced Park (East Lake)	94.0		91.1	78.0	85.3
CON				71.1	71.1
REC	94.0		91.1	84.9	90.0
Lake Merced Park (Impound Lake)	71.9	85.5	60.3	83.8	77.1
CON				92.0	92.0
REC	71.9	85.5	60.3	75.6	73.3
Lake Merced Park (North Lake)	87.9	88.1	95.0	85.6	88.5
CON				76.3	76.3
REC	87.9	88.1	95.0	95.0	91.5
Lake Merced Park (South Lake)	79.3	79.2	76.8	70.9	75.0
CON				63.5	63.5
REC	79.3	79.2	76.8	74.6	76.9
Midtown Terrace Playground	91.0	95.4		90.4	91.5
CON				94.1	94.1
REC	91.0	95.4		88.5	90.9
Miraloma Playground	86.2	80.6	91.9	96.1	89.4
CON			85.4		85.4
REC	86.2	80.6	98.4	96.1	90.3
Rolph Nicol Playground	100.0	70.2	54.1	56.1	67.3
CON				59.4	59.4
REC	100.0	70.2	54.1	52.8	69.3

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Sunnyside Conservatory	100.0	96.2	98.2	96.0	97.7
CON			96.4		96.4
REC	100.0	96.2	100.0	96.0	98.0
Sunnyside Playground	80.1	90.2	97.5	100.0	93.1
CON			96.3		96.3
REC	80.1	90.2	98.7	100.0	92.2
West Portal Playground	75.8	71.3		74.6	74.1
CON				78.1	78.1
REC	75.8	71.3		72.8	73.1
District 8					
Buena Vista Park (Interior)	72.7	70.3	56.4	93.6	69.9
CON			65.7		65.7
REC	72.7	70.3	47.2	93.6	70.9
Buena Vista Park (Perimeter)	69.6	71.5	78.7	60.8	71.9
CON			88.3		88.3
REC	69.6	71.5	69.2	60.8	67.8
Cayuga-Lamartine Mini Park	76.7	81.7	92.2	84.2	84.3
CON		69.8			69.8
REC	76.7	87.7	92.2	84.2	86.4
Corona Heights	91.6	83.2	92.1	82.1	88.2
CON			96.4		96.4
REC	91.6	83.2	87.8	82.1	86.2
Douglass Playground	79.0	93.9	89.8	83.6	86.0
CON				86.2	86.2
REC	79.0	93.9	89.8	81.0	85.9
Duboce Park	92.4		75.8	88.8	86.4
CON				85.1	85.1
REC	92.4		75.8	92.5	86.9
Eureka Valley Recreation Center	100.0	89.6	94.5	91.5	93.4
CON				89.3	89.3
REC	100.0	89.6	94.5	93.7	94.5
George Christopher Playground	93.9	71.4	83.5	80.7	82.0
CON				95.2	95.2
REC	93.9	71.4	83.5	66.2	78.7
Glen Park	94.9	88.4	70.6	83.5	81.6
CON			71.9		71.9
REC	94.9	88.4	69.2	83.5	84.0

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Joost-Baden Mini Park	100.0	92.0	89.1	85.1	91.9
CON			89.1		89.1
REC	100.0	92.0		85.1	92.5
Juri Commons	77.6	81.6	77.5	82.8	79.8
CON			74.3		74.3
REC	77.6	81.6	79.1	82.8	80.6
Mission Dolores Park	100.0	99.4	79.3	83.8	89.2
CON				82.2	82.2
REC	100.0	99.4	79.3	85.3	91.0
Mission Playground	98.1	90.5	97.3	96.1	95.9
CON			100.0		100.0
REC	98.1	90.5	94.6	96.1	94.8
Mt. Olympus	91.7	95.2	93.3	78.1	90.3
CON			93.8		93.8
REC	91.7	95.2	92.9	78.1	89.5
Noe Valley Courts	84.3	89.5	91.8	86.5	87.7
CON				86.3	86.3
REC	84.3	89.5	91.8	86.7	88.1
Peixotto Playground	86.5	97.2	88.3	82.9	87.5
CON				91.1	91.1
REC	86.5	97.2	88.3	74.7	86.6
Roosevelt & Henry Stairs	96.7	93.0	100.0	87.6	93.0
CON				81.4	81.4
REC	96.7	93.0	100.0	93.9	95.9
Saturn Street Steps	91.0	100.0	90.0	90.7	92.5
CON				97.1	97.1
REC	91.0	100.0	90.0	84.3	91.3
Seward Mini Park	89.1	92.9	88.2	87.1	88.9
CON				91.3	91.3
REC	89.1	92.9	88.2	83.0	88.3
States Street Playground	98.3	90.9	90.0	85.0	90.8
CON			87.8		87.8
REC	98.3	90.9	92.1	85.0	91.6
Upper Noe Recreation Center	97.9	82.5	82.8	95.6	87.4
CON			90.5		90.5
REC	97.9	82.5	78.9	95.6	86.8
Walter Haas Playground	96.1	83.6	71.7	80.7	80.7
CON			73.7		73.7
REC	96.1	83.6	69.7	80.7	82.5

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
District 9					
24th Street-York Mini Park	94.3			93.7	94.0
CON				93.7	93.7
REC	94.3				94.3
Alioto Mini Park	80.6	90.7	91.0	98.7	88.8
CON			83.7		83.7
REC	80.6	90.7	98.4	98.7	89.8
Bernal Heights Recreation Center	85.1	90.0	93.4	80.7	85.8
CON				73.2	73.2
REC	85.1	90.0	93.4	84.4	87.9
Coleridge Mini Park	94.6		78.2	97.0	91.7
CON			78.2		78.2
REC	94.6			97.0	96.2
Coso-Precita Mini Park	100.0		77.8	89.7	89.3
CON				100.0	100.0
REC	100.0		77.8	79.4	85.7
Garfield Square	82.9	82.1	86.0	73.2	80.0
CON				70.8	70.8
REC	82.9	82.1	86.0	75.6	81.9
Holly Park	80.9	78.1		93.1	87.7
CON				88.8	88.8
REC	80.9	78.1		95.3	87.4
James Rolph Jr. Playground	80.6	90.1	91.1	94.0	87.9
CON			88.1		88.1
REC	80.6	90.1	94.2	94.0	87.9
John McLaren Park (26 Acres)	73.2	79.7	77.5	74.8	76.3
CON		79.7			79.7
REC	73.2		77.5	74.8	75.1
John McLaren Park (Jerry Garcia Amphitheater)	61.6	81.7	66.5	79.0	74.1
CON		88.1			88.1
REC	61.6	75.4	66.5	79.0	70.6
John McLaren Park (Tennis Clubhouse)	67.3	62.0	88.9	92.2	74.5
CON		63.2			63.2
REC	67.3	60.8	88.9	92.2	77.3
Jose Coronado Playground	87.0	84.4	86.1	89.5	86.6
CON			91.4		91.4
REC	87.0	84.4	80.9	89.5	85.4

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Kid Power Park	92.7	100.0	93.2	92.9	94.4
CON			100.0		100.0
REC	92.7	100.0	86.3	92.9	93.0
Louis Sutter Playground	90.7	91.9	76.3		87.7
CON		88.6			88.6
REC	90.7	95.2	76.3		87.4
Mission Recreation Center	84.6	74.1	90.6	94.0	86.8
CON			94.1		94.1
REC	84.6	74.1	87.2	94.0	85.0
Mullen-Peralta Mini Park	93.3	95.0	90.3	87.4	90.8
CON				77.1	77.1
REC	93.3	95.0	90.3	92.5	92.7
Palega Recreation Center		89.7	96.5	99.6	93.8
CON		84.3			84.3
REC		95.0	96.5	99.6	97.0
Parque Ninos Unidos	100.0	76.7	92.5	92.4	90.8
CON			90.1		90.1
REC	100.0	76.7	94.9	92.4	91.0
Precita Park	100.0		84.7	75.9	84.1
CON				87.5	87.5
REC	100.0		84.7	64.3	83.0
Prentiss Mini Park	92.6	91.6	100.0	97.3	95.8
CON				96.4	96.4
REC	92.6	91.6	100.0	98.2	95.6
SoMa West Dog Park	92.8	95.6	97.9	98.1	96.0
CON		92.8			92.8
REC	92.8	98.3	97.9	98.1	96.8
SoMa West Skatepark	82.4	86.9	95.1	76.1	85.5
CON		77.0			77.0
REC	82.4	96.8	95.1	76.1	87.6
St. Mary's Recreation Center	87.3	89.8	94.4	92.4	91.3
CON				89.2	89.2
REC	87.3	89.8	94.4	95.6	91.8
District 10					
Adam Rogers Park	93.0	75.8	78.5	57.3	76.1
CON		64.1			64.1
REC	93.0	87.4	78.5	57.3	79.0
Bay View Playground	59.1	71.6	65.6	80.7	70.8
CON		58.6			58.6
REC	59.1	78.1	65.6	80.7	72.5

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Esprit Park	100.0	98.1	89.7	96.7	96.5
CON		97.6			97.6
REC	100.0	98.5	89.7	96.7	96.2
Franklin Square	75.3	87.7	88.2	85.9	84.9
CON		82.3			82.3
REC	75.3	93.1	88.2	85.9	85.6
Gilman Playground	75.7	76.6	75.2		76.0
CON		73.0			73.0
REC	75.7	80.1	75.2		77.0
Herz Playground	82.2	90.9		93.7	89.5
CON		84.1			84.1
REC	82.2	97.7		93.7	91.2
Hilltop Park	92.9	82.3			85.8
CON		67.1			67.1
REC	92.9	97.5			95.2
India Basin Shoreline Park	45.1	72.6	72.8	70.8	65.3
CON		72.6			72.6
REC	45.1		72.8	70.8	62.9
Jackson Playground	95.0	93.2	87.7	74.9	88.8
CON		97.5			97.5
REC	95.0	88.8	87.7	74.9	86.6
John McLaren Park (Observation Tower)	89.2	59.7	46.7	58.9	62.8
CON		71.9			71.9
REC	89.2	47.4	46.7	58.9	60.5
John McLaren Park (Sunnydale-Persia)	96.7	61.6	84.5	82.2	77.3
CON		51.3			51.3
REC	96.7	72.0	84.5	82.2	83.8
Joseph Lee Recreation Center	72.5	75.8	85.3	83.6	79.7
CON		74.3			74.3
REC	72.5	76.6	85.3	83.6	80.5
Kelloch Velasco Mini Park	93.6	84.2	75.9	72.3	82.0
CON		72.7			72.7
REC	93.6	95.7	75.9	72.3	84.4
Little Hollywood Park	94.8	81.4	91.4	69.3	83.7
CON		87.3			87.3
REC	94.8	75.5	91.4	69.3	82.8
McKinley Square	81.3	89.4	85.5	90.7	87.3
CON		88.9			88.9
REC	81.3	89.9	85.5	90.7	86.8

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Palou-Phelps Mini Park	87.5	81.0	88.8	88.2	85.3
CON		84.2			84.2
REC	87.5	77.8	88.8	88.2	85.6
Potrero Del Sol Park	90.0	83.2	86.0	88.6	86.5
CON			89.1		89.1
REC	90.0	83.2	84.5	88.6	86.1
Potrero Hill Recreation Center	93.8	95.5	86.0	96.5	93.5
CON		95.2			95.2
REC	93.8	95.9	86.0	96.5	93.0
Selby-Palou Mini Park	69.0	81.0	83.4	81.2	80.2
CON		77.1			77.1
REC	69.0	83.0	83.4	81.2	80.6
Silver Terrace Playground	95.8	69.2	89.3	78.0	80.3
CON		74.1			74.1
REC	95.8	64.2	89.3	78.0	81.9
Utah-18th Street Mini Park	95.1	100.0	95.8	95.8	97.4
CON		100.0			100.0
REC	95.1	100.0	95.8	95.8	96.7
Visitacion Valley Greenway	92.3	83.6	74.9	58.9	78.6
CON		74.4			74.4
REC	92.3	92.7	74.9	58.9	79.7
Visitacion Valley Playground	51.8	71.2	78.3	70.2	68.5
CON		72.6			72.6
REC	51.8	69.7	78.3	70.2	67.5
Youngblood Coleman Playground	83.2	76.6	90.1	74.9	80.3
CON		74.5			74.5
REC	83.2	78.7	90.1	74.9	81.7
District 11					
Alice Chalmers Playground	87.1	57.3	87.4	80.2	73.9
CON		57.5			57.5
REC	87.1	57.1	87.4	80.2	78.0
Balboa Park	96.1	89.0	98.0	94.7	94.8
CON				91.7	91.7
REC	96.1	89.0	98.0	97.6	95.4
Brooks Park	85.6	83.9	76.1	62.0	78.3
CON		77.2			77.2
REC	85.6	90.7	76.1	62.0	78.6
Cayuga Playground	84.1	85.2	88.3	79.2	84.2
CON		85.5			85.5
REC	84.1	84.9	88.3	79.2	84.0

Park Name	Q1 (July-Sept)	Q2 (Oct-Dec)	Q3 (Jan-March)	Q4 (April-June)	Average Score
Crocker Amazon Playground (Clubhouse)	94.6	85.6	86.1	89.9	88.3
CON		88.5			88.5
REC	94.6	82.6	86.1	89.9	88.3
Crocker Amazon Playground (La Grande)	99.2	88.9	71.0	63.8	82.4
CON		86.0			86.0
REC	99.2	91.9	71.0	63.8	81.5
Crocker Amazon Playground (Soccer)	71.9	90.4	89.8	78.6	82.7
CON		90.4			90.4
REC	71.9		89.8	78.6	80.1
Excelsior Playground	64.5	62.0	64.1	67.4	64.5
CON		61.4			61.4
REC	64.5	62.6	64.1	67.4	65.0
Head-Brotherhood Mini Park	75.5	71.2	79.8	93.0	78.2
CON		64.4			64.4
REC	75.5	78.0	79.8	93.0	81.6
Lessing-Sears Mini Park	91.8	68.7	87.3	79.2	79.1
CON		61.7			61.7
REC	91.8	75.6	87.3	79.2	83.5
Merced Heights Playground	76.1	85.0	79.8	80.0	81.2
CON		86.0			86.0
REC	76.1	83.9	79.8	80.0	79.9
Minnie & Lovie Ward Playground	73.0	85.9	92.7	91.5	85.8
CON		82.5			82.5
REC	73.0	89.4	92.7	91.5	86.6
Randolph-Bright Mini Park	95.8	90.6	98.6	98.0	94.7
CON		89.7			89.7
REC	95.8	91.6	98.6	98.0	96.0

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: San Francisco Sheriff's Department 96A Third Quarter Report
Attachments: 11_01_2016 96A Report Q3-Final.pdf

From: Toet, Theodore (SHF)
Sent: Tuesday, November 01, 2016 2:33 PM
To: Calvillo, Angela (BOS) <angela.calvillo@sfgov.org>
Cc: Gosiengfiao, Rachel (BOS) <rachel.gosiengfiao@sfgov.org>; Hennessy, Vicki (SHF) <vicki.hennessy@sfgov.org>
Subject: San Francisco Sheriff's Department 96A Third Quarter Report

Good Afternoon Madam Clerk,

Attached please find a copy of the Sheriff Department's third quarter report required by city ordinance 96A. Please distribute a copy of this report to each member of the Board of supervisors and their staff.

Please do not hesitate to contact me if I might be of further assistance.

Thank you,
Ted Toet

Ted Toet
Executive Assistant to the Sheriff
San Francisco Sheriff's Department
1 Carlton B. Goodlett Pl. Room 456
San Francisco, CA 94102
Office: (415) 554-7015
Cell: (415) 852-0374



OFFICE OF THE SHERIFF CITY AND COUNTY OF SAN FRANCISCO

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



VICKI L. HENNESSY
SHERIFF

November 01, 2016
Reference: 2016-142

The Honorable Edwin Lee
Mayor
City Hall, Room 200
1 Dr. Carlton B. Goodlett Place
San Francisco CA 94102

Re: Chapter 96A 2016 Third Quarter Report

Dear Mayor Lee,

In accordance with San Francisco's Administrative Code Chapter 96A, passed by the Board of Supervisors in October of 2015, I am submitting the Sheriff's Department's third quarter report for the period of July 1, 2016-September 30, 2016.

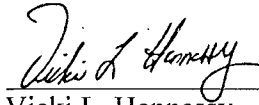
Administrative Code Chapter 96A defines the Sheriff Department's use of force as, "use of force on an individual that results in a known injury." California Penal Code §834 defines an arrest as the, "taking of a person into custody, in a case and manner authorized by law." Arrests reported this quarter are individuals who were transported to, and booked into, County Jail #1 by sheriff's deputies. This quarter the Sheriff's Department is reporting eight uses of force and 98 arrests.

The Sheriff's Department is composed of three divisions, Administration and Programs, Custody Operations and Field Operations. Each division has many worksites that require staffing 24 hours-a-day, seven days-a-week. The deputies working at the sites we secure are dedicated to ensuring safety for everyone conducting business there.

Attached is the Sheriff Department's third quarter report summarizing our arrests and uses of force across all three divisions.

If you have any questions, please do not hesitate to contact my Chief of Staff, Eileen Hirst, at 415-554-7225.

Sincerely,

A handwritten signature in cursive script, appearing to read "Vicki L. Hennessy", written over a horizontal line.

Vicki L. Hennessy
Sheriff

Cc: President of the Board London Breed
Supervisor John Avalos
Supervisor David Campos
Supervisor Malia Cohen
Supervisor Mark Farrell
Supervisor Jane Kim
Supervisor Eric Mar
Supervisor Aaron Peskin
Supervisor Katy Tang
Supervisor Scott Weiner
Supervisor Norman Yee
President Suzy Loftus, San Francisco Police Commission
Zoe Polk, Human Rights Commission



OFFICE OF THE SHERIFF CITY AND COUNTY OF SAN FRANCISCO

1 DR. CARLTON B. GOODLETT PLACE
ROOM 456, CITY HALL
SAN FRANCISCO, CALIFORNIA 94102



VICKI L. HENNESSY
SHERIFF

San Francisco Sheriff's Department Chapter 96A Third Quarter Report - July 1, 2016 – September 30, 2016

The San Francisco Sheriff's Department includes three divisions. Each division is dedicated to ensuring the public's safety. This report contains statistics and summaries of the Department's arrests and uses of force.

Arrests

- Total arrests (department-wide): **98**
 - Administration and Programs Division: **2**
 - Custody Operations Division: **49**
 - Field Operations Division: **47**
- For a complete breakdown of arrests by Race, Age and Gender: Please see table titled *San Francisco Sheriff's Department, Arrests, July 1, 2016 – September 30, 2016* on page six.

Uses of Force

- Total uses of force (department-wide): **8**
 - Administration and Programs Division: **0**
 - Custody Operations Division: **4**
 - Field Operations Division: **4**
 - Off Duty Encounters: **0**
- For a complete breakdown of uses of force by Race, Age and Gender: Please see table titled *San Francisco Sheriff's Department, Uses of Force, July 1, 2016 – September 30, 2016* on page seven.

Below are summaries of the Sheriff Department's eight uses of force during the third quarter of 2016.

Report Number: 16-1-7-009

Date: July 5, 2016

Location: County Jail #1

At County Jail #1, an inmate was shouting loudly from his cell. A deputy approached the cell and asked the inmate to stop shouting and reminded the inmate that he was sharing the cell with other inmates. The inmate refused to comply with the deputy's request. Upon over hearing the interaction with the inmate, additional deputies responded to the cell. The inmate continued to refuse to comply with the deputy's instructions. Based on the inmate's behavior, the deputies decided to re house the inmate. As the deputies began the rehousing process, the inmate pulled away from them. To maintain control of the inmate, the deputies' used force, bringing the inmate to the ground and handcuffing them. The deputies then proceeded to rehouse the inmate.

After the inmate was re housed, a deputy noticed the inmate rubbing the left side of his face and noticed a cut above the inmate's left eyebrow. Jail Medical Services (JMS) was notified and the inmate refused to be medically examined. The JMS nurse was able to convince the inmate to place a band aid over the wound.

Report Number: R041607012

Date: July 14, 2016

Location: County Jail #4

At County Jail #4 two inmates began fighting in their cell. A deputy overheard the commotion, responded to the cell and instructed the inmates to stop fighting. The inmates refused to stop fighting and the deputy radioed for back up. The deputy repeated the instructions for the inmates to stop fighting several times. The deputy warned the inmates they would be pepper sprayed if they continued to fight. The inmates did not comply and the deputy used pepper spray to break up the fight.

After the deputies separated the inmates, they were examined by Jail Medical Services (JMS). One inmate was treated for an allergic reaction to the pepper spray and cleared by jail medical.

Report Number: R041608003

Date: August 2, 2016

Location: County Jail #4

A BART police detective arrived at County Jail #4 with a phlebotomist and a court order to draw blood from an inmate. After determining that the court order was valid, the deputies allowed the BART detective and the phlebotomist to enter interview room #1. As the phlebotomist attempted to conduct the blood draw, the inmate resisted. The detective and phlebotomist were escorted out of the interview room. The inmate then began to fight the deputies. The deputies used force to gain control of the inmate. The inmate was examined by Jail Medical Services (JMS) and treated for a swollen lip resulting from the altercation.

Report Number: 160-668-881

Date: August 18, 2016

Location: Zuckerberg San Francisco Hospital

Sheriff's deputies responded to reports of a suspect throwing rocks at cars in Zuckerberg San Francisco Hospital's (ZSFH) parking lot. After arriving on the scene, deputies were unable to locate the suspect. The Sheriff's Operations Center (SOC) advised the deputies that the suspect had gone around the corner.

Based on the initial reports of the suspect's behavior, three deputies upholstered their department issued firearms as they rounded the corner where they encountered the suspect. The deputies ordered the suspect to get on the ground. The suspect complied and one deputy holstered his firearm and withdrew his rapid containment baton (RCB). Another deputy holstered his firearm and upholstered his taser. At this time the suspect was on the ground and began shouting, "I'm a cop." The suspect proceeded to stand up, ignoring repeated directions from deputies to remain on the ground. The deputy holding the taser fired it, but it was ineffective. Two deputies rushed the suspect taking him to the ground and taking control of his arms. The suspect was arrested as a result of the incident.

Report Number: 160 750 256

Date: September 15, 2016

Location: Zuckerberg San Francisco Hospital

Two Sheriff's deputies were dispatched to assist medical staff with re housing a patient to Psychiatric Emergency Services (PES) at Zuckerberg San Francisco Hospital (ZSFH). The patient had resisted medical staff and was refusing to cooperate. While attempting to transport the patient to PES, the patient moderately resisted the deputies until they reached the elevator. In the elevator, one deputy attempted to restrain the patient at the back of the elevator while the other deputy keyed in the floor number. The deputy attempting to enter the floor number noticed and heard the patient attempting to bite the restraining deputy. He responded with a distraction strike to stop the inmate from biting the restraining deputy. The strike achieved its goal, but the patient then began kicking the restraining deputy in the shins. Both deputies used force to take the patient to the ground.

While on the ground, a deputy noticed blood coming from the patient's head. A spit mask was placed over the patient's mouth to prevent him from spitting blood on the deputies and medical staff. The patient was escorted for medical examination immediately following the altercation. While being examined, the patient attempted to kick the triage nurse. A deputy performed a leg sweep and took the patient to the ground. Medical staff responded with soft restraints to secure the patients limbs during the medical examination. Once the patient was cleared by medical staff, the patient was taken to PES.

Report Number: 160-777-078

Date: September 24, 2016

Location: Zuckerberg San Francisco Hospital

Sheriff's deputies responded to reports of a discharged Psychiatric Emergency Services (PES) patient refusing to leave. When the deputies arrived the discharged patient leapt up from the couch he was laying on and took a fighting stance against the deputies. One deputy upholstered his taser and advised the discharged patient that he would be tased if he did not comply with their instructions. The discharged patient complied with the deputies, and was arrested for trespassing. While escorting the discharged patient to the holding cell, the discharged patient began resisting the deputies again and was taken to the ground. While on the ground, a deputy noticed a small gash under the discharged patient's ear. The deputies transported him for medical treatment without incident, then booked the suspect into county jail.

Report Number: 160 778 258

Date: September 25, 2016

Location: Zuckerberg San Francisco Hospital

Sheriff's deputies responded to the Psychiatric Emergency Services (PES) unit at Zuckerberg San Francisco Hospital (ZSFH) to assist medical staff. A PES patient was refusing staff instructions to enter the seclusion room for treatment. After ten minutes of trying to convince the PES patient to enter the seclusion room, PES staff called the deputies for assistance.

The PES patient was large, muscular, and only spoke Spanish. A Spanish speaking deputy translated. After several minutes of attempting to gain verbal compliance from the PES patient, the deputies attempted to use physical force to place the patient in the seclusion room. The size of the patient made it impossible for the deputies to gain control and compliance from the patient using physical force.

Eventually, a deputy upholstered his taser. The deputy advised the PES patient that if he did not cooperate, he would be tased. The patient continued to resist the deputies. The deputy holding the taser instructed the other deputies to release the patient and fired the taser when the deputies were clear. The taser incapacitated the PES patient and the deputies were able to place the patient on a gurney where medical staff placed soft restraints on the patient.

Report Number: 1602000398

Date: September 28, 2016

Location: County Jail #5

While conducting the prisoner count, a deputy instructed all inmates to return to their cells. All of the inmates complied except for one who remained on the phone. The deputy instructed the inmate several times to get off the phone and the inmate refused. After ignoring several more orders from the deputy, the inmate finally complied and began to return to their cell on the second floor. While returning to his cell, the inmate continued to be disruptive and in an aggressive manner yelled, " You can't do shit to me, I'm leaving next week." As the deputy walked to the inmate's cell to open it and let the inmate in, the inmate refused to enter the cell. Instead, he continued to disruptive and walked from cell to cell.

Since the inmate refused to return to his cell and had been disruptive, the deputy requested assistance from the deputy in the adjacent pod. When the backup deputy arrived the inmate was placed in hand cuffs. While escorting the inmate down from the second floor via the pod stairwell, the inmate began pulling away from the deputy. The deputy then radioed for backup deputies to the pod.

The arriving deputies escorted the inmate from the pod, to another pod to be interviewed. When they arrived a Lieutenant instructed the deputy to place the inmate on the ground in the prone position. The deputy directed the inmate to get on the ground several times. The inmate refused to comply with the deputy's instructions and the deputy used force to place the inmate on the ground. While removing the inmate's hand cuffs, a small abrasion was noticed by the deputy. The deputy then requested medical staff examine the inmate and he was medically cleared by Jail Medical Services (JMS).

SAN FRANCISCO SHERIFF'S DEPARTMENT
ARRESTS BY RACE, AGE & GENDER
July 1, 2016 – September 30, 2016

		Total Department (98)		Admin/Programs (2)		Custody (49)		Field (47)	
		Number	Percentage	Number	Percentage	Number	Percentage	Number	Percentage
RACE	Asian/Pacific Islander	12	12	1	50	7	14	4	9
	Black	46	47	0	-	20	41	26	55
	Hispanic	4	4	0	-	3	6	1	2
	White	33	34	0	-	18	37	15	32
	Unknown	3	3	1	50	1	2	1	2
AGE	>18	0	-	0	-	0	-	0	-
	18 – 29	34	35	1	50	17	35	16	34
	30 – 39	21	21	1	50	11	22	9	19
	40 – 49	20	20	0	-	10	20	10	21
	50+	23	24	0	-	11	22	12	26
GENDER	Male	88	90	1	50	45	92	42	89
	Female	10	10	1	50	4	8	5	11

Percentages were rounded to the nearest whole number.

SAN FRANCISCO SHERIFF'S DEPARTMENT
USES OF FORCE BY RACE, AGE & GENDER
July 1, 2016 - September 30, 2016

		Total Department (8)		Admin/Programs (0)		Custody (4)		Field (4)	
		Number	Percentage	Number	Percentage	Number	Percentage	Number	Percentage
RACE	Asian/Pacific Islander	0	-	0	-	0	-	0	-
	Black	5	62.5	0	-	4	100	1	25
	Hispanic	1	12.5	0	-	0	-	1	25
	White	2	25	0	-	0	-	2	50
	Unknown	0	-	0	-	0	-	0	-
AGE	>18	0	-	0	-	0	-	0	-
	18 – 29	3	37.5	0	-	2	50	1	25
	30 – 39	3	37.5	0	-	2	50	1	25
	40 – 49	1	12.5	0	-	0	-	1	25
	50+	1	12.5	0	-	0	-	1	25
GENDER	Male	7	87.5	0	-	4	100	3	75
	Female	1	12.5	0	-	0	-	1	25

Bos-11
cpagl

From: Hui, Tom (DBI)
Sent: Friday, October 28, 2016 8:58 AM
To: Angus McCarthy
Cc: Lee, Mayor (MYR); Avalos, John (BOS); Breed, London (BOS); Calvillo, Angela (BOS); Campos, David (BOS); Cohen, Malia (BOS); Farrell, Mark (BOS); Gosiengfiao, Rachel (BOS); Kim, Jane (BOS); Mar, Eric (BOS); Peskin, Aaron (BOS); Tang, Katy (BOS); Wiener, Scott; Yee, Norman (BOS); Kelly, Naomi (ADM); Kronenberg, Anne (DEM); Sweeney, Edward (DBI); Lowrey, Daniel (DBI); Tom, Hanson (DBI); Ho, Gary (DBI); Madjus, Lily (DBI); Strawn, William (DBI); Major, Erica (BOS); Angulo, Sunny (BOS); Harris, Sonya (DBI)
Subject: 301 MISSION: DBI Letter to BIC McCarthy 10/27/16
Attachments: TCH 301 Mission letter to BIC McCarthy 10-27-16.pdf

President McCarthy,

Please see attached regarding 301 Mission.

Should you have any questions, please do not hesitate to contact my office.

Thank you.

Tom C. Hui, S.E., C.B.O.

Director

許子湯, 局長

City & County of San Francisco

Department of Building Inspection

1660 Mission Street, Sixth Floor

San Francisco CA 94103

415-558-6131 Phone

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Subscribe to our DBI e-Newsletter



October 27, 2016

Angus McCarthy
President, Building Inspection Commission
1660 Mission Street, Suite 600
San Francisco, CA 94103

Dear President McCarthy and Members of the Commission:

Per the discussion at last week's regular Building Inspection Commission meeting, where you requested more details on what DBI has been doing to address the settlement issues at 301 Mission Street, please note the following:

Generally, as you know, DBI's overall mission is to oversee the effective, efficient, fair and safe enforcement of the City and County of San Francisco's Building, Housing, Plumbing, Electrical and Mechanical Codes, along with Disability Access regulations, as applied to the more than 200,000 residential and commercial buildings in the City. Through a long-established complaint process, any San Francisco citizen can contact DBI with a concern, which may trigger an immediate inspection of any alleged building code violation and related life safety hazards.

Our housing code protects renters and homeowners from a wide range of reported habitability issues. Our building safety work includes responding to structural integrity and imminent public safety hazards from possible structure collapse following severe fires, as well as being among the City's 'First Responders' following an earthquake and/or natural disaster.

In addition to these broad building safety responsibilities, DBI's core services includes oversight of building code compliance through three specific activities: (1) to review plans and designs developed and stamped by licensed, registered architects and engineers hired by project sponsors for compliance with building code provisions in effect at the time the plans are submitted for review; (2) to conduct site inspections to verify that the performance of construction work is in accordance with approved plans; and (3) to address code compliance issues raised through complaints submitted by San Francisco residents.

301 Mission Street's Building Permit Process (2002-2009)

DBI provided a careful and thorough review of the 301 Mission Street building's permit application from 2002 to 2005, checking to ensure that the plans conformed to the requirements of the 1998-2001 San Francisco Building Code – the code in effect at the time the original project application was filed at DBI. This project consisted of a 12-story mixed-use building, tied to a 58-story concrete tower of more than 400 residential condo units, with a mat-slab foundation and piles that go down approximately 90 feet into Bay mud. After DBI's issuance of the

OFFICE OF THE DIRECTOR
1660 Mission Street – San Francisco CA 94103
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Certificate of Final Completion (CFC) and occupancy for the 301 Mission project in 2009, the project's immediate neighbor, the Transbay Joint Powers Authority (TJPA), as part of its construction of the Transbay Transit Center, installed an impermeable wall around the entire Transit Center site that reaches down into the clay layer substrate. In addition, along its property boundary line with 301 Mission Street, the TJPA constructed an approximately 30-foot wide buttress wall that goes down to bedrock, approximately 200-feet below grade.

The engineer of record submitted plans for this project as a code-prescriptive design building, which meant the project would adhere strictly adhering to design and construction requirements set forth in the 1998 SF Building code (SFBC). The SFBC provides the minimum code requirements—though more restrictive than State codes to help manage the City's unique geography, topography and location adjacent to major earthquake faults -- developers must follow when constructing their buildings. DBI's role in this process is to ensure they do this by reviewing the plans and addenda submitted during the plan review process.

At the time DBI was reviewing 301 Mission, DBI did not have the authority to require the developer to retain a geotechnical engineer as prescriptive code requirements—the design submitted for this project—did not require it; however, DBI did negotiate with the developer and persuaded the engineer of record to retain a third-party structural engineer, and a highly respected academic with seismic expertise, to review and approve the addenda produced by the developer's retained licensed experts.

The peer-review panel members were: (1) Jack P. Moehle, Ph.D., PE, a nationally recognized U.C. Berkeley engineering professor with expertise in the design and behavior of structures with emphasis on seismic performance of concrete buildings and infrastructure; and (2) Hardip S. Pannu, S.E., a Principal in the engineering firm of Middlebrook & Louie. The developer's engineer of record rejected DBI's explicit request to fund the addition of a geotechnical engineer to this peer-review panel. Nonetheless, Professor Moehle issued a letter to DBI dated January 29, 2006, stating: "On the basis of my review, it is my opinion that the foundation design is compliant with the principles and requirements of the building code, and that a foundation permit can be issued for this project."

From January 2006 (project construction start) to August 2009 (certificate of final completion issuance), DBI conducted more than 500 visual site inspections, in addition to hundreds of special inspections conducted by third-party experts hired by the project sponsors to review Building Code-compliant installations of specific technical building components. The purpose of all of these inspections was to ensure that the general contractor's construction activities were in accordance with the various Building Codes and DBI-permitted, and approved, plans and specifications.

On February 2, 2009, based on concerns of settlement at the site, DBI's Deputy Director Raymond Lui sent a letter to the projects Engineer of Record, DeSimone Consulting Engineers, raising specific questions about larger than anticipated amount of settlement that the 301 Mission building experienced. Mr. Lui asked pointed questions about the settlement of the building, including the actual amount and rate of settlement, differential settlement, reasons for

the settlement, how the existing settlement might affect the structural safety of the building then and in the future.

The Engineer of Record DeSimone Consulting Engineers; the Geotechnical Engineer of Record, Treadwell & Rollo; and the project Architect, Handel Architects, provided written responses in a letter from DeSimone dated February 25, 2009. DeSimone wrote:

The original project design by DeSimone and Handel Architects accommodated 6 inches of total settlement under the Tower... No differential settlements between the adjacent walls/columns are expected and none have been reported to DeSimone... Since settlement of the Tower was anticipated and planned for during design, it has created no known problems for the Tower or Mid-rise Structures... It is our professional opinion that the structures are safe.

Treadwell & Rollo's response stated:

The actual settlement of the Tower is 8.3 inches... The results of our latest evaluations indicate that approximately two to four inches of additional settlement could occur in the future... Treadwell & Rollo, Inc., as the geotechnical engineer of record has been aware of the settlement of the Tower and continues to evaluate the results of monitoring... While the settlement of the Tower is greater than originally anticipated, this settlement should not pose issues with foundation support for the Tower.

Handel Architects offered the following additional information:

We are aware that additional settlement has occurred, and may continue to occur, and we have taken these conditions into account with modifications to the original design where necessary... Utility lines have been designed and installed with flexible connections (allowing for horizontal and vertical movement... to avoid possible interference from future anticipated settlement.

In short, these responses from 301 Mission's engineers of record made it very clear to DBI that the building was stable and safe for occupancy even though the building had settled more than originally estimated. DBI engineers were satisfied with these explanations and the assurances of overall building safety. In addition, DBI's site inspections for all critical building systems and design showed that the design team and general contractor had achieved code compliance in the building's construction. In reliance on the information, assurances, and professional opinions expressed by DeSimone, Treadwell & Rollo, and Handel Architects, DBI issued a certificate of final completion (CFC) in August 2009 upon construction completion. The CFC allowed occupation of the building by homeowners and other tenants.

Millennium Tower was one of the first high-rise buildings constructed in the downtown neighborhood. Since then, DBI has expanded the breadth of its peer-review process to apply to any buildings built over 240 feet high and to buildings using performance-based design, which uses an alternative method of construction and differs greatly from a code-prescriptive design building. Based in part on DBI's experience with 301 Mission and other tall building projects being proposed at that time, DBI issued in March 2008 two new Administrative Bulletins (AB), Numbers 082 and 083, requiring peer review of any proposed "performance-based" designs by a geotechnical specialist, a structural specialist, and by an academic professor with expertise in seismic safety elements. This expansion of required peer review by DBI was regarded as 'cutting edge' in 2008, and is now used by other major cities throughout the U.S. These 2008 AB technical guidelines and requirements added an extra dimension of building safety scrutiny – and continue to help DBI staff review the complex designs of tall buildings.

New Concerns Surface over Additional Settlement at Millennium Tower (July 2016 to Present)

Until DBI received a phone inquiry from SF Chronicle reporter Andy Ross in July 2016, DBI had been unaware of ongoing settlement issues at 301 Mission Street. DBI records show that DBI did not receive a single homeowner or citizen complaint, or information from any source expressing concern from 2009 until this contact from the SF Chronicle about possible settlement impact on any of the building's essential systems, or any impact on any residents' homes, such as plumbing or electrical problems, a non-functioning elevator, etc.

Once DBI heard about the settlement concerns from the Chronicle and other media in mid-July, 2016, DBI Director Tom Hui also heard from a representative of Millennium Partners about a draft engineering report. Millennium Partners then delivered to DBI on July 20, 2016 a Draft copy of a 2014 report by Structural Engineer, Ronald Hamburger, of Simpson, Gumpertz & Heger, who had been retained by Millennium Partners. DBI also requested and received some settlement monitoring data from ARUP Engineers, one of the Transbay Joint Powers Authority's consultants, who had been tracking settlement data from equipment installed inside the basement of 301 Mission Street. After reviewing this information, Director Hui directed staff to perform an informal visual site inspection, pull together all relevant building records, and draft a preliminary report on the status of the 301 Mission buildings based upon the still limited available data. DBI staff conducted the informal site visit on July 20, 2016 and, on August 4, 2016, DBI engineering staff completed a draft preliminary engineering report relying upon available information in its possession at that time. Having been told by Mr. Hamburger that he was continuing to work on updating his review and analysis, DBI decided to await the arrival of requested additional engineering updates before finalizing and releasing its draft August 4th preliminary report.

Mr. Hamburger's final and signed report was recently issued on October 3, 2016. This report concludes, "...On the basis of our updated analysis of the 301 Mission tower, **we conclude that the effect of settlement on most building elements is negligible...We conclude that the settlements experienced by the 301 Mission tower have not compromised the building's ability to resist strong earthquakes and have not had a significant impact on the building's safety.**" DBI has performed a preliminary review of this report and, based upon this report and other evidence such as site visits from City staff representing DBI, Fire and PUC,

concludes that the building is currently safe for occupancy. DBI is awaiting the input of a peer review team of experts the City is in the process of hiring before it will issue a final opinion on the conclusions reached in this report and any other reports or information directly related to the safety of the 301 Mission Street buildings.

In addition to Mr. Hamburger's signed 2016 report, DBI also requested updated engineering reports from the Homeowners' Association by the end of September 2016, per a Correction Notice a DBI inspector issued on August 26, 2016 in response to a 311 complaint and site inspection on August 19, 2016. The HOA has engaged a geotechnical engineer, Mr. Patrick Shires, to conduct extensive tests and analyses that began on September 26th. Consequently, the HOA asked DBI for a time extension in producing its engineering report, which DBI granted with the stipulation that the engineer of record keep DBI updated monthly on findings and results. DBI also has been provided over 140 data records -- with thousands of pages of data -- from Millennium Partners and is in the process of reviewing these records.

In summary, DBI professionals did exactly what they were supposed to do with respect to the 301 Mission plan review and approvals from submittal in 2002, to multiple inspections performed over several years during the building construction by building inspectors, fire inspectors, and Special Inspectors, up to the issuance of the Certificate of Final Completion in August 2009. As noted above, and based upon reports provided to DBI to date by the owners' engineering experts, and upon our own inspectors' observations during recent visits, the building remains safe for occupancy.

DBI staff members and other affected City departments are continuing to monitor the building's settlement situation closely, especially with respect to any possible impact upon the building's life-safety systems. We are obtaining, and reviewing carefully, updated technical studies by the owners' technical teams that also will be given to the expert peer review panel once that panel is engaged by the City.

DBI Next Steps

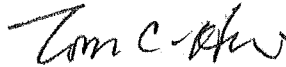
We also have initiated a number of immediate action steps to consider more stringent construction requirements for tall buildings over 240 feet located on soft soils, including:

- Changing immediately the selection process for peer review experts, as announced at the October 17, 2016 Building Inspection Commission, whereby DBI will make these appointments without participation by the project sponsor.
- Reviewing and modifying ABs 082 and 083 to reflect best engineering practices and to benefit from 'lessons learned' for the 301 Mission settlement issues.
- Working closely with the City Administrator to identify, and engage, independent peer review experts and establish an effective process for obtaining highly skilled professionals on an as-needed basis to ensure we have the expertise required to review and approve highly complex tall building construction.

- Taking immediate steps to improve DBI's records' retention process, including making certain that all engineering letters related to tall building construction projects are retained, and made more readily retrievable.

I will continue to provide you with periodic updates on the 301 Mission settlement situation as new information becomes available to DBI. Please call me directly if I may answer any questions on this important, and highly complicated, building safety matter.

Sincerely,



Tom C. Hui, S.E., C.B.O.
Director

cc: Mayor Ed Lee
President London Breed and Members of the Board of Supervisors
City Administrator Naomi Kelly
Department of Emergency Management Director Anne Kronenberg
Ed Sweeney, Deputy Director, Permit Services
Dan Lowrey, Deputy Director, Inspection Services
Hanson Tom, Principal Engineer
Gary Ho, Structural Engineer
Lily Madjus, Communications Officer
William Strawn, Legislative and Public Affairs Manager

From: Kandel, Minouche (WOM)
Sent: Friday, October 28, 2016 7:33 AM
To: Kandel, Minouche (WOM)
Subject: 2016 Human Trafficking in San Francisco Report
Attachments: 2016 Human Trafficking in San Francisco Report.pdf; 2016 Human Trafficking in San Francisco Report.docx

MEDIA RELEASE

For Immediate Release: October 28, 2016

CONTACT: Minouche Kandel, Director of Women's Policy, San Francisco Department on the Status of Women, 415-252-3203 (work), minouche.kandel@sfgov.org

San Francisco Mayor's Task Force on Anti-Human Trafficking Issues 2016 Human Trafficking Report

Today, the San Francisco Mayor's Task Force on Anti-Human Trafficking releases the 2016 Human Trafficking Report in San Francisco. The report details the number and types of human trafficking survivors identified by 15 government and community-based agencies in San Francisco in 2015. This is the first report to track a full year's worth of data in San Francisco. In 2015, reporting agencies identified 499 survivors of human trafficking. While the number of cases is not unduplicated, as multiple agencies may be reporting the same case, it helps provide a baseline.

Some of the data in the 2016 report includes:

- Of survivors with a known type of trafficking, 77% are survivors of sex trafficking, and 18% are survivors of labor trafficking.
- 30% of survivors with known ages are minors (under 18 years old) and 60% are transitional aged youth (18-24 years old).
- Of the identified survivors with known gender, 76 percent are cisgender women, 7 percent are transgender women, and 18 percent are cisgender men.

The Report profiles the major accomplishments of the Task Force and its partners in 2015, which include:

- San Francisco Unified School District adopting a policy to train all staff on human trafficking and develop a curriculum for students on healthy relationships and human trafficking;
- Funding a community-based 24-hour response to commercially, sexually exploited youth in San Francisco;
- Passing local legislation to strengthen licensing of massage establishments in San Francisco;
- Funding of bilingual health outreach workers to focus on industries vulnerable to trafficking and labor abuses, like massage establishments and restaurants;
- Passing the No Traffick Ahead local government resolution, to leverage the City's purchasing power to encourage hotels and restaurants to address human trafficking;

"Our efforts and strategies to end human trafficking must be responsive, collaborative and must harness resources across the community," said Mayor Lee. "This Report highlights the kinds of collaboration across government and non-profit agencies that is needed and should be built upon to address trafficking in our City."

Dr. Emily Murase, Executive Director of the San Francisco Department on the Status of Women, which staffs the Mayor's Task Force on Anti-Human Trafficking, added: "Human trafficking is often hidden, and we can't fix what we can't or don't see. This report shines a bright light on the dark recesses of modern day slavery that, unbeknownst to many, pervades San Francisco."

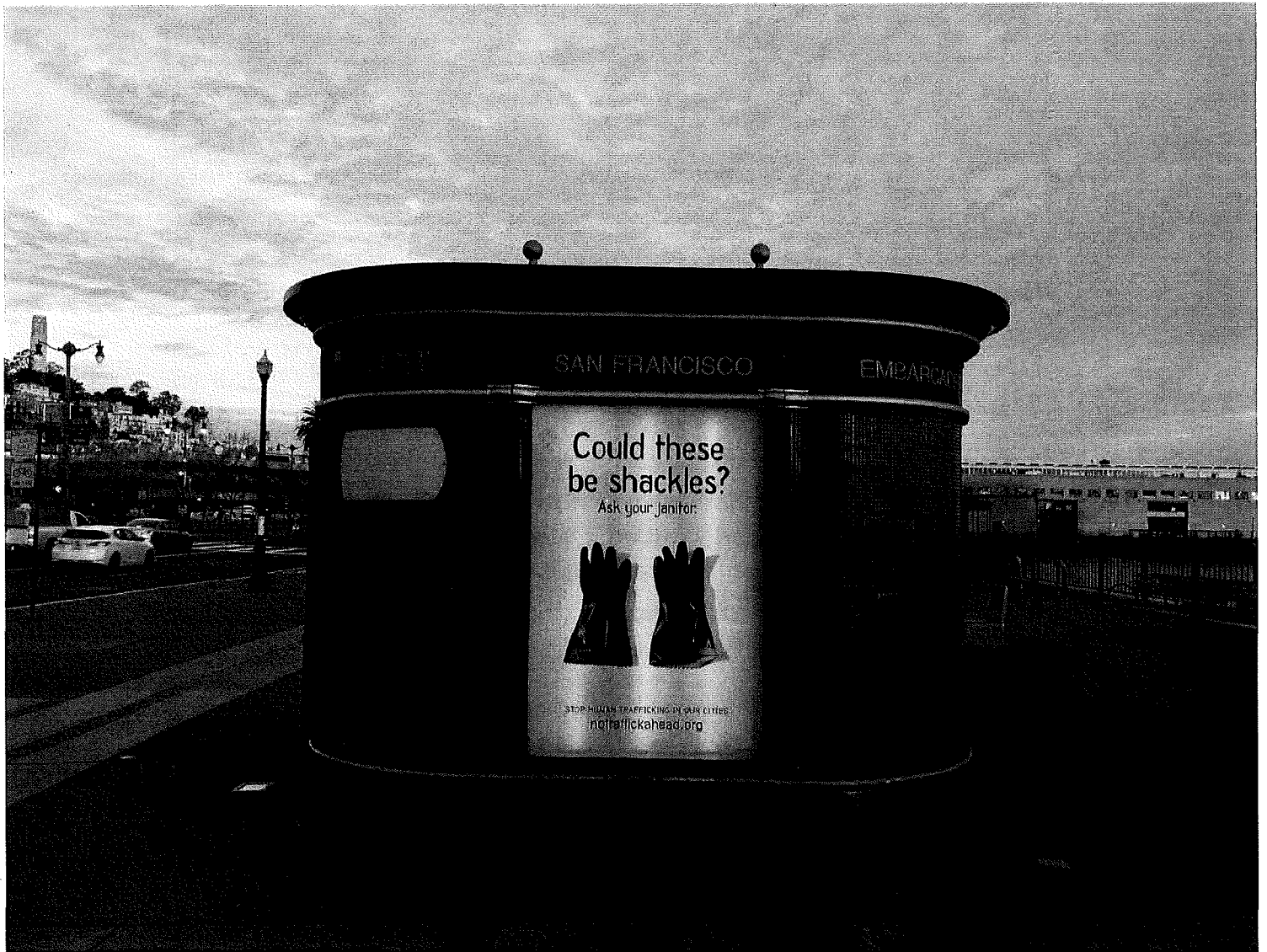
The full 2016 Human Trafficking Report in San Francisco is available at the San Francisco Department on the Status of Women website: <http://sfgov.org/dosw/human-trafficking-reports>

Human Trafficking Reports | Department on the Status of Women

sfgov.org

The San Francisco Mayor's Task Force on Anti-Human Trafficking's 2015 Human Trafficking Report in San Francisco covers data from the last six months of 2014 ...

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MAYOR'S TASK FORCE ON ANTI-HUMAN TRAFFICKING

HUMAN TRAFFICKING IN SAN FRANCISCO REPORT

2016



City and County of San Francisco
Department on the Status of Women



Compiled by Maria Tourtchaninova, MSW Candidate, UC Berkeley &
Minouche Kandel, Esq., Women's Policy Director
with editing assistance from intern Madeline Murnane

This report would not have been possible without the tremendous efforts of Department on the Status of Women Policy Fellow Maria Tourtchaninova, who tracked down data and wrestled it into the report. Members of the Mayor's Task Force on Anti-Human Trafficking provided feedback, Women's Policy Director Minouche Kandel supervised the production of the report, and intern Madeline Murnane helped with editing.

The Mayor's Task force on Anti-Human Trafficking is administered by the Department on the Status of Women, under the supervision of Dr. Emily M. Murase, Executive Director.

© October 2016, San Francisco Department on the Status of Women

Visit <http://sfgov.org/dosw/mayors-task-force-anti-human-trafficking-0> for more information about the Task Force and to download a copy of this report.

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Executive Summary

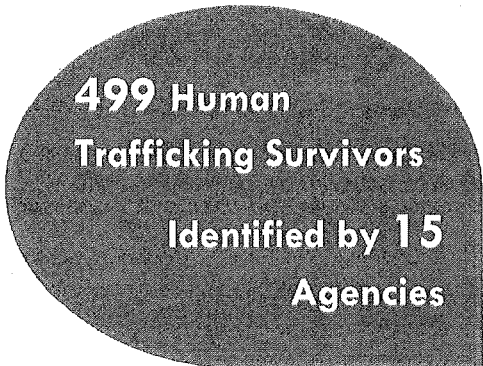
Trafficking in persons is one of the most significant human rights issues of the 21st century. Experts estimate that human trafficking is a \$32-billion-a-year industry around the world.¹ The United States is both a destination for and a source of human trafficking victims. The U.S. Department of Justice estimates that approximately 17,500 men, women and children are trafficked into the United States every year.² Human trafficking and the wide range of labor abuses are not new, but have long flourished under the radar.

California, together with New York, Texas, and Oklahoma, has the largest concentration of reported survivors of human trafficking in the United States.³ California is particularly vulnerable to trafficking in persons involving migrant labor, because of its proximity to international borders, its seaports and airports, its significant immigrant population, and its large economy that includes industries that are vulnerable to exploitation.⁴ In one study of undocumented Spanish speaking immigrants in San Diego, 31 percent had been subjected to human trafficking.⁵ Human trafficking is found throughout California, with most activity centered around Los Angeles, Sacramento, San Diego, and San Francisco.⁶ The FBI has identified San Francisco as one of the worst areas in the country for the commercial sexual exploitation of children.⁷ There is a great deal of discrepancy in trafficking statistics based on the wide range of definitions of trafficking and other factors.

In the past few years, San Francisco has increased efforts to recognize and respond to the trafficking of persons in a systematic way. In March 2013, Mayor Edwin Lee launched the Mayor's Task Force on Anti-Human Trafficking to identify gaps in services, improve anti-trafficking policies, and bolster the City's response to human trafficking. The Task Force takes a comprehensive, victim-centered approach and includes partners from law enforcement, social services agencies, and community-based organizations. It focuses on long-term, local solutions to this complex issue that affects the whole community.

The mission of the Task Force is to advance anti-trafficking efforts in the following ways:

- (1) Examine the nature and scope of human trafficking across San Francisco and the Bay Area;
 - (2) Evaluate progress in combating human trafficking in San Francisco;
 - (3) Identify challenges and opportunities in protecting and assisting victims and bringing traffickers to justice;
 - (4) Identify and address gaps in services for survivors of human trafficking;
 - (5) Create a city-wide strategic plan including milestones and timelines; and
 - (6) Release an annual report on Task Force activities.
- The Department on the Status of Women staffs the Mayor's Task Force whose participants are listed in Appendix A.



**499 Human
Trafficking Survivors
Identified by 15
Agencies**

The Task Force is pleased to provide the first *Human Trafficking Report in San Francisco* to capture a full year's worth of data, covering calendar year 2015. This report compiles data from 15 government and community-based agencies, and provides a snapshot of identified human trafficking cases. In 2015, these agencies identified 499 human trafficking survivors. The Report also includes program information from the San Francisco Unified School District.

It is important to note that the data is duplicated. In order to protect confidentiality, we obtained aggregated, anonymous case counts from each agency, so it is possible, indeed likely, that the same individual is counted by multiple agencies. At the same time, we realize that many survivors are not identified by any agencies. This is not prevalence data. Instead, the data represents cases currently identified by these agencies. We hope that the information contained in this report serves as a catalyst for discussion around the most effective methods for identifying the needs of survivors, how trafficking frameworks impact reporting and statistics, and that it provides a baseline for tracking successes in San Francisco's anti-trafficking efforts.

Human Rights Impact Assessment and Anti-Trafficking

One of the concerns brought to our attention by community advocates on the Task Force was the "collateral damage" from anti-trafficking policies that may impact broader populations such as sex workers, youth, or migrants. Through discussion and collaboration, we have begun to see how best the Task Force can avoid the common conflation of commercial sex work with human trafficking. While human trafficking is commonly divided between sex trafficking and labor trafficking, sex trafficking can be viewed as a type of labor trafficking that occurs in the sex industry.

Definition of Human Trafficking

In an effort to utilize a consistent definition of trafficking and be more inclusive of labor trafficking outside of commercial sex, we asked agencies to use the following definitions of human trafficking, which we constructed based on state and federal code references to "severe forms of trafficking":

- Sex trafficking is the recruitment, harboring, transportation, provision, or obtaining of a person for the purposes of a commercial sex act, in which the commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such an act has not attained 18 years of age.
- Labor trafficking is the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purposes of subjection to involuntary servitude, peonage, debt bondage, or slavery.⁸

In response to suggestions from last year's report, this report no longer includes "suspected" human trafficking cases, as there was no consistent definition of a "suspected"

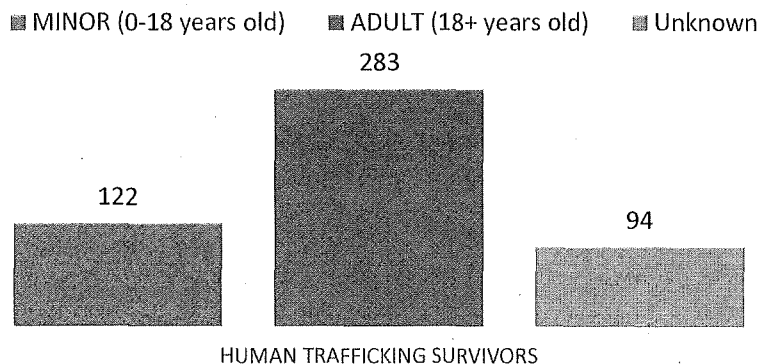
case. Despite this change, there is still an element of subjectivity in how providers classify cases. Cases reported are identified by service providers who have a different perspective than their clients, who may not identify themselves as trafficking victims. This includes adults engaged in commercial sex work in a broad range of contexts, as well as youth engaged in survival sex. These individuals may not see themselves as victims even though the law defines them that way.

Not all agencies provided detailed demographic data, either because of confidentiality or because they did not have the resources to gather this data from cases, so totals in various categories will not add up to the total number of survivors. “Unknown” in this report will be used to represent cases without specific demographic details. The details may have been known to the reporting agency, but were not provided to us. Very few agencies reported on sexual orientation, and some that did requested that the information not be included in the report.

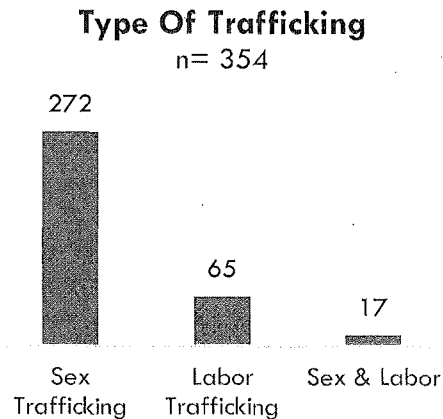
We developed data forms for criminal justice agencies and non-criminal justice agencies to use in reporting their data, and these are attached in Appendix D.

Trafficking Survivors By Age

499 Total Survivors

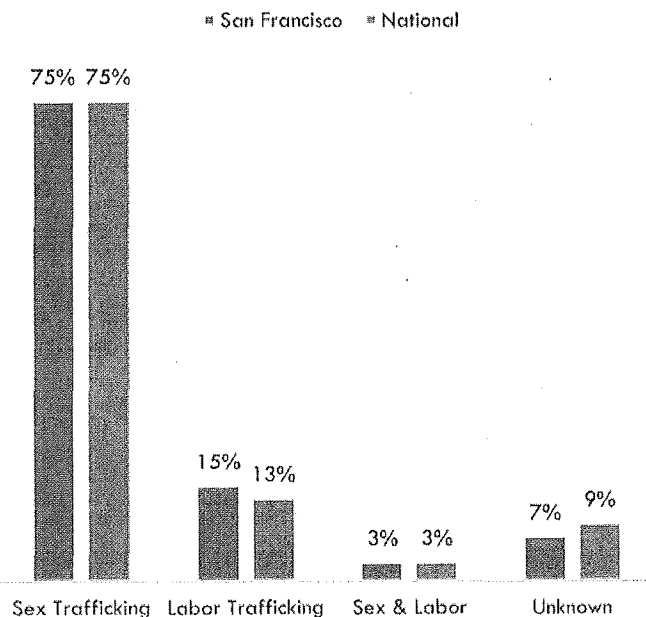


145 of the cases reported had an unknown type of trafficking. In cases where the type of trafficking was known, sex trafficking made up 77 percent of cases. If we include the unknown cases, sex trafficking makes up 55 percent of cases.



The National Human Trafficking Hotline run by Polaris provided data on the number of calls the hotline received nationally and from San Francisco. In 2015, there was a total of **59 calls from San Francisco** referencing potential trafficking. Polaris receives many calls for requests for information, and these were not included.

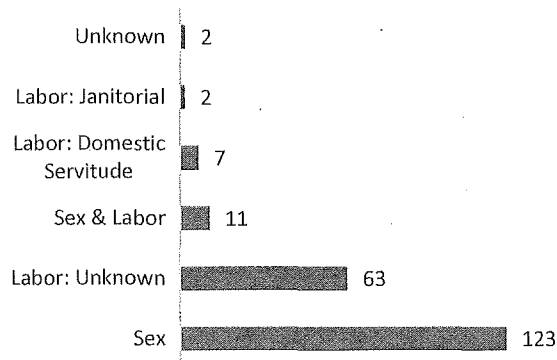
Type Of Trafficking: Polaris San Francisco Data versus National Data



In 2015, Polaris received a total of **5,544 calls nationally** referencing potential trafficking. The breakdown of the type of trafficking calls received from San Francisco is similar to the breakdown of the national data.

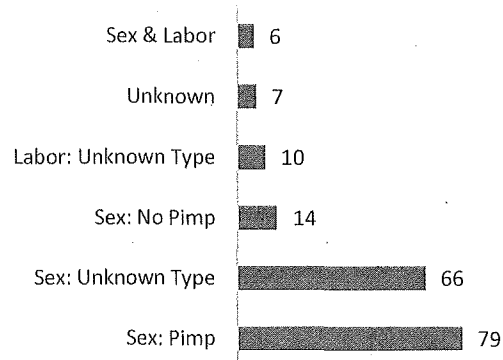
Adult Trafficking Survivors By Type of Trafficking

n=208



Minor Trafficking Survivors by Type of Trafficking

n=182



In 2015, the Task Force identified 499 known survivors of human trafficking.

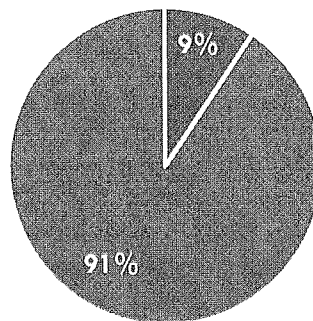
- Far fewer human trafficking cases in other labor contexts were identified compared to trafficking cases involving sexual exploitation of minors and commercial sex. If we exclude the 27% of cases of unknown type of trafficking, 77% of survivors identified by the Task Force were listed as sex trafficking survivors, while only 18% were identified as labor trafficking survivors, and 5% included both sectors. The National Human Trafficking Resource Center provided national human trafficking statistics in 2015 that are similar to what we found.⁹ Nationally, 75% of the reported cases involved trafficking in commercial sex and only 13% involved other sectors of labor trafficking.¹⁰ In contrast, data from the International Labor Organization (ILO) indicates that internationally, labor trafficking outside of sex sectors is three times as prevalent as within those sectors.¹¹ It is likely that diverse labor trafficking cases outside the sex sectors are under-identified and under-investigated in San Francisco. This is because there is much more emphasis on sex trafficking. In addition, it is easier to identify minors who are commercially sexually exploited because, unlike other forms of labor trafficking, legal definitions applied to commercial sex and sexual exploitation of minors do not require proof of force, fraud, or coercion.
- Women, including transgender women, comprised 80% of the identified human trafficking survivors where the gender was known, while men, including transgender men, comprised 20% of those cases.
- Agencies identified 119 victims of trafficking under the age of 18, including 115 survivors of commercial sexual exploitation.

Fifteen public and non-profit agencies provided data for this report. The Task Force reached out to agencies it knew to be working with trafficking survivors. Many agencies do not systematically screen cases for trafficking. Also, agencies use different screening tools, so criteria for identifying trafficking cases vary among agencies. For many agencies, the numbers of survivors identified most certainly is an under-count. However, this report is a starting point for exposing the issue of human trafficking occurring in San Francisco.

English Proficiency Among Trafficking Survivors

n= 349

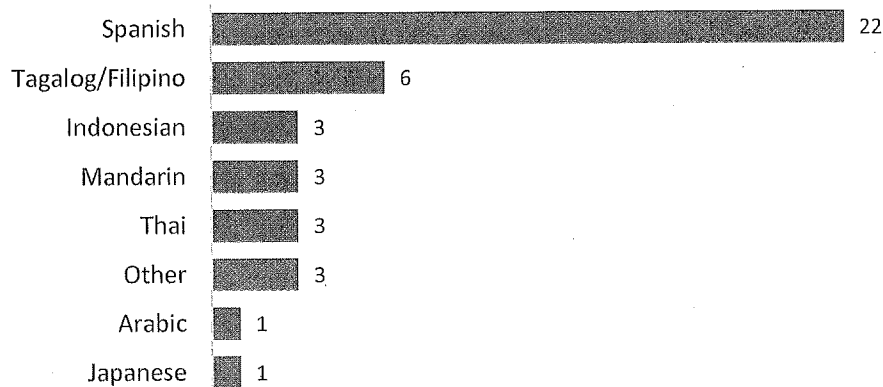
■ Monolingual/Limited English Proficiency ■ Fluent in English



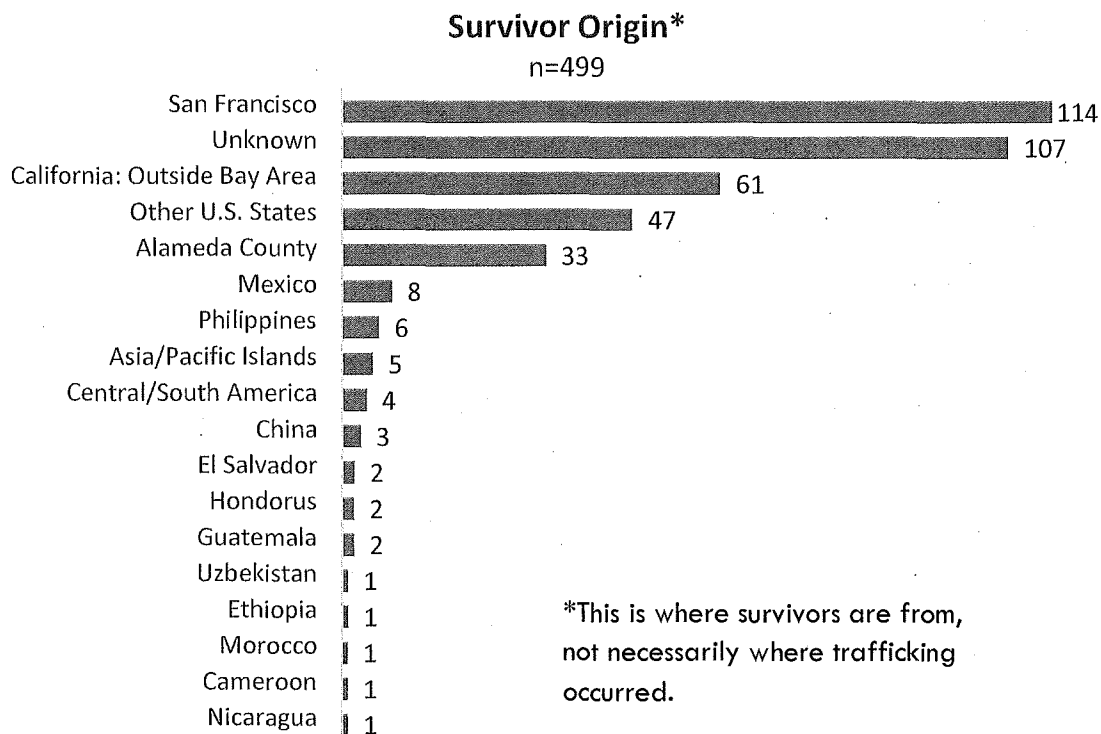
The majority of survivors identified are English speakers. Most trafficking survivors being identified are minor and transitional aged survivors of commercial sexual exploitation who are almost all U.S. citizens or legal permanent residents.¹²

Non-English Languages Spoken By Survivors

n= 42

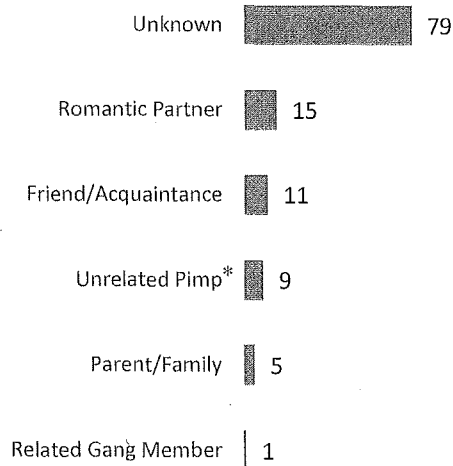


Survivor Ethnicity and Gender n=401				
	Cisgender Woman	Transgender Woman	Cisgender Man	Transgender Man
African American	144	3	24	0
Hispanic/ Latino/a	66	6	11	1
White	36	5	22	0
Asian/ Pacific Islander	26	0	5	0
Multi-Ethnic	19	2	7	0
Unknown or Other	11	2	6	1
Middle Eastern	1	0	2	0
Native American	0	0	1	0
Total	303	18	78	2



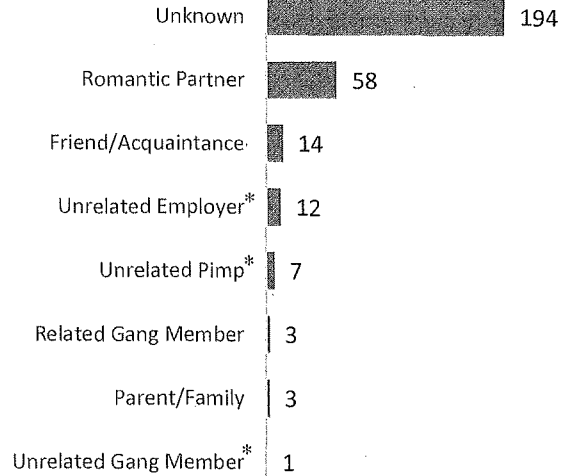
Survivor's Relationship to Trafficker: Minors

n=120



Survivor's Relationship to Trafficker: Adults

n=292



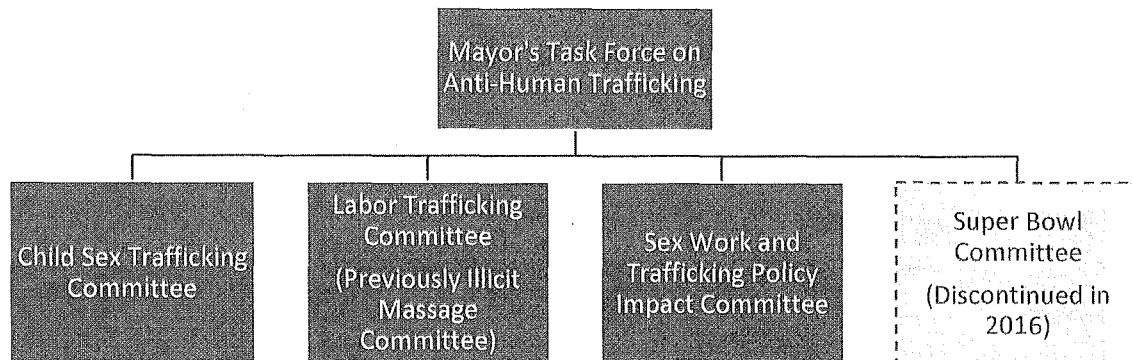
*The term "unrelated" is used to describe a non-familial relationship.

Trafficker Ethnicity and Gender n=39		
	Cisgender Man	Cisgender Woman
Unknown or Other	13	0
African American	9	0
Hispanic/ Latino/a	7	0
Asian/ Pacific Islander	4	2
White	2	2
Total	35	4

Human Trafficking Survivors Identified by Agency in 2015	
Larkin Street Youth Services	120
APILO Legal Outreach	82
Department of Human Services: Family & Children's Services	60
Not for Sale	53
Huckleberry Youth Programs	48
San Francisco Police Department: Special Victim's Unit	34
San Francisco District Attorney	34
Sojourner Truth Foster Family Service Agency	22
Asian Women's Shelter	12
LYRIC	8
CASARC	7
Department of Public Health: Newcomers Health Program	6
Young Woman's Freedom Center	6
Mujeres Unidas y Activas	4
Juvenile Probation Department	3
Total	499

Structure of the Mayor's Task Force on Anti-Human Trafficking

The Mayor's Task Force on Anti-Human Trafficking meets every other month. In 2015, there were four active committees of the Task Force.



Child Sex Trafficking Committee

The Child Sex Trafficking Subcommittee works to improve services to commercially sexually exploited children in San Francisco. The committee identified the need for a round-the-clock response to child sex trafficking and successfully advocated to fund this program.

Illicit Massage Subcommittee/Labor Trafficking Committee

The Illicit Massage Subcommittee was created to address the prevalence of labor trafficking in San Francisco. In 2015, the Illicit Massage Subcommittee identified best practices for reaching potential human trafficking survivors in massage establishments, and successfully advocated for the funding of bilingual health outreach advocates to focus on the workers in massage establishments and restaurants. In 2016, the committee was expanded to include all labor trafficking.

Sex Work and Trafficking Policy Impact Committee

The Sex Work and Trafficking Policy Impact Committee was formed in recognition that policies to address human trafficking can adversely impact sex workers and other marginalized groups.¹³ The Task Force distinguishes sex work from sex trafficking. The primary purpose of the Sex Work and Trafficking Policy Impact Committee is to evaluate and minimize adverse impacts.

In general discussions of human trafficking, sex trafficking is commonly conflated with sex work. Prior to the 1990s trafficking applied only to prostitution rather than the broader category of labor.¹⁴ Although the broader definition of trafficking, inclusive of all labor contexts, has been adopted by the United Nations and many countries, historic trends and ideologies underlie the current conflation of sex work and trafficking. This conflation is also due in part to the federal definition of sex trafficking. The federal definition of a victim of human trafficking contains categories of “severe human trafficking” and “sex trafficking.”¹⁵ “Sex trafficking” is defined as “the recruitment, harboring, transportation, provision, obtaining, patronizing, or soliciting of a person for the purpose of a commercial sex act.”¹⁶ The definition of severe human trafficking includes a definition of labor trafficking, and sex trafficking that involves “force, fraud, or coercion, or in which the person induced to perform [a commercial sex act] has not attained 18 years of age.”¹⁷ Under federal law, adult sex work is a form of sex trafficking, but not “severe human trafficking.”

California state law incorporates the federal definition of “severe human trafficking” when defining human trafficking.¹⁸ This report identifies human trafficking cases based on the definition of severe forms of human trafficking.

Super Bowl Committee

The Super Bowl Committee worked on local and regional organizing and outreach on human trafficking in advance of Super Bowl 50 to take advantage of the media attention and heightened tourism in San Francisco in January 2016. The Committee helped create the *No Traffick Ahead* public awareness campaign, began work on an on-line human trafficking training for hospitality workers, and was part of a regional human trafficking public awareness campaign in January 2016. In 2016, the committee was discontinued.

Implementation of Recommendations for 2015 Report

1. Systematic Screening Tool for Human Trafficking

Institutionalized and systematic screenings for survivors of human trafficking would produce a more accurate and comprehensive report. Data from screenings informs research and can help to identify trends, demographics, and specific challenges in San Francisco. Systematic screening also allows agencies to evaluate their provisions of services. In 2015, some agencies in the Mayor's Task Force began systematic screenings of their caseloads. The Human Services Agency's Family and Children's Services Division is piloting a screening tool and Juvenile Probation Department has agreed to utilize the tool once it is finalized.

2. Consistent Definition of Human Trafficking

A clear and consistent definition of human trafficking enables agencies to accurately reflect how many survivors they served. In 2015, the Mayor's Task Force's *Human Trafficking Report* once again used the definition of human trafficking from the federal Trafficking Victims Protection Act. This year's report only included known cases, instead of known or suspected cases, to avoid a lack of consistency as in last year's report.

3. Increased Efforts on Labor Trafficking

It is likely that labor trafficking outside of commercial sex is more prevalent in San Francisco than is suggested by this report, but government and community-based agencies are not identifying and serving this population at the same rate as survivors of commercial sexual exploitation of minors or abuses in the sex industries. In late 2015, we expanded the Massage Parlor Committee to become the Labor Trafficking Committee. We brought in new members to the committee from agencies that work on labor rights. We began work on an online human trafficking training that focuses on labor trafficking outside the context of commercial sex or exploitation of minors as much as on sex trafficking. We also participated in development of an ad campaign on human trafficking in which three of the four ads focused on labor trafficking. Our No Traffick Ahead resolution highlighted labor trafficking in supply chains (found in Appendix C.)

Major Accomplishments in 2015

In 2015, the Mayor's Task Force on Anti-Human Trafficking met bi-monthly. Four committees also met regularly: Child Sex Trafficking, Illicit Massage, Super Bowl and Sex Work and Trafficking Policy Impact. One of the recommendations of last year's report was to increase efforts on labor trafficking, and in 2016, the Illicit Massage Committee has expanded to become the Labor Trafficking Committee. The following highlights some of San Francisco's most significant accomplishments in addressing human trafficking in 2015:

Commercial Sexual Exploitation of Minors

- The Human Services Agency Family and Children's Services Division led a Steering Committee that developed a protocol and Memorandum of Understanding on Commercially Sexually Exploited Children (Interagency CSEC MOU), signed by 11 agencies that prioritizes the child welfare system, and not the juvenile justice system as the primary institution for responding to sexually trafficked youth.
- Funding for a 24-hour response to commercially sexually exploited youth was awarded to Huckleberry Youth Programs, and the CSEC advocates funded by this program are an integral part of the Interagency CSEC MOU.
- The San Francisco Unified School District adopted a Resolution requiring: (1) all staff to get training in recognizing human trafficking; (2) the child abuse reporting policy be updated to include trafficking as a reportable event; (3) the health curriculum to include a unit on healthy relationships and human trafficking; (4) engagement of student leaders to communicate the signs of and resources for human trafficking among their peers; and (5) development of an educational unit on historical and modern day sex-trafficking.

Trafficking in Massage Establishments

- Supervisor Katy Tang carried local legislation to strengthen licensing and enforcement of massage establishments in San Francisco. See the legislation at: <https://sfgov.legistar.com/LegislationDetail.aspx?ID=2103559&GUID=C407BA0A-14E7-4B0A-9F7E-CE2798B304C0&Options=&Search=> and <https://sfgov.legistar.com/LegislationDetail.aspx?ID=2103557&GUID=5808A348-212D-42F0-B447-DF4DEDA2C2BA&Options=&Search=>. All massage businesses must now go through a conditional use permit process.
- New funding for bilingual health outreach advocates to focus on workers in industries vulnerable to trafficking and labor abuses was awarded to the Department of Public Health. They will begin focusing on massage establishments and restaurants.

No Traffick Ahead Campaign & Regional Collaboration

- San Francisco developed and was the first jurisdiction to pass a “No Traffick Ahead” resolution, authored by Supervisor Katy Tang, urging use of the city’s purchasing power to encourage hotels and restaurants to address human trafficking, available here: <https://sfgov.legistar.com/View.ashx?M=F&ID=3905256&GUID=F8ECCC08-8582-4519-9860-45D65FCE8AEC>. Twenty-five other cities and counties in the San Francisco Bay Area have also enacted a similar resolution (the sample resolution is attached in Exhibit B).
- Members of the Task Force were active participants in the regional No Traffick Ahead collaborative, which sought to coordinate activities and messaging around human trafficking in advance of Super Bowl 50. A visually striking public outreach campaign was developed in 2015 and ran in early 2016.

Training

- The San Francisco Hotel Council, the Golden Gate Restaurant Association, SF Travel, and the Super Bowl Host Committee sponsored a training for hotel staff on human trafficking in diverse labor sectors, including the sex sector, as one of several Bay Area trainings aimed at hotel staff in advance of Super Bowl 50.
- A recommendation from last year’s report was to focus more on labor trafficking in diverse labor contexts. The California Attorney General’s Office, in collaboration with the U.S. Attorney’s Office, and Department on the Status of Women sponsored a training focused on labor trafficking outside the sex industry, attended by over 100 persons, including staff from Uber, Lyft, Adult Probation, San Francisco Port, and BART.

Data Collection

- The Task Force published its first *Report on Human Trafficking in San Francisco* in 2015, covering data from the last six months of 2014. San Francisco is one of only a few counties in California to publish this kind of report.

Policy & Protocol Development

- The Sex Work and Trafficking Policy Impact Committee continued work on policies that prioritize safety for sex workers. The District Attorney’s portion of the policy was completed, and progress was made with the Police Department. This policy institutionalizes San Francisco’s priority on investigating violent crimes to help create a climate where all victims and witnesses, regardless of age (juvenile and adult), and occupation have equal access to reporting such crimes.
- Media guidelines to assist city departments on media access to human trafficking survivors was developed to prioritize a victim-centered approach when considering media access to human trafficking investigations. These guidelines were developed in response to a television series that exploited potential human trafficking victims in San Francisco massage establishments. Task Force members wanted to ensure that city departments were mindful of the needs of victims before granting media access. The guidelines are attached in Appendix D.

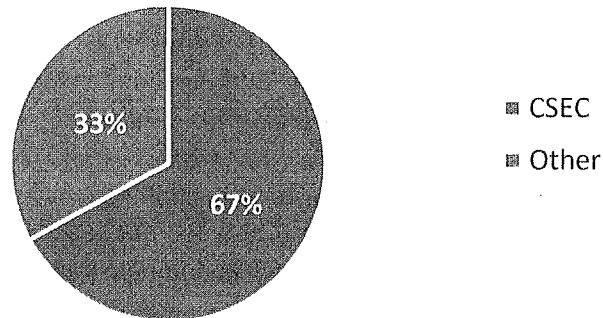
Commercially Sexually Exploited Youth

In 2015, city agencies identified **115** known minor survivors of commercial sexual exploitation. We also include below the number of transitional aged youth (TAY) (18-24 years old) survivors of trafficking served by child serving agencies because these agencies report that many TAY survivors were first trafficked as minors. Including TAY survivors, agencies identified **237** trafficking survivors. While many of these cases may be duplicated, there are many cases that are not being counted at all. With the adoption of the new Inter Agency Protocol on Commercially Sexually Exploited Youth in 2016, which requires all human trafficking cases involving commercial sexual exploitation of minors to be referred to the Family and Children's Services Division, we hope in future years to be able to use these numbers as a more accurate count of identified sexually trafficked youth in San Francisco.

Agencies	0-13	14-17	18-24	Total
Department of Human Services: Family & Children's Services	2	23	35	60
Huckleberry Youth Programs	2	29	17	48
Larkin Street Youth Services	0	18	24	42
San Francisco Police Department: Special Victim's Unit	0	9	21	30
San Francisco District Attorney	0	2	20	22
Sojourner Truth Foster Family Service Agency	0	17	5	22
CASARC	1	6	0	7
Young Women's Freedom Center	0	6	0	6
Totals	5	110	122	237

**Percent of Identified Human Trafficking Cases in
San Francisco that Involve Commercially Sexually
Exploited Youth**

n=354



The following data is from agencies that only identified minor or transitional-aged youth (18-24 years old) survivors of sex trafficking. These agencies include Family & Children's Services, Huckleberry Youth Programs, Sojourner Truth Foster Family Service Agency, Child and Adolescent Support Advocacy and Resource Center, and Young Women's Freedom Center. Agencies that identified child labor trafficking survivors were not included as we could not break out these data points by age, gender, ethnicity, and type of trafficking.

Gender and Race/ Ethnicity of Minor and Transitional Aged Youth Survivors

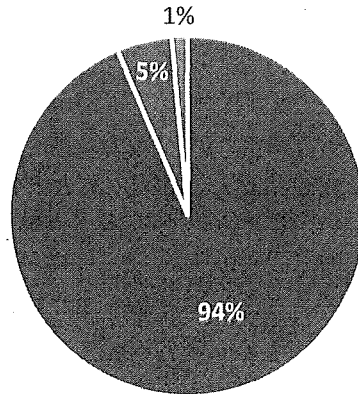
n=132

	Cisgender Woman	Transgender Woman	Cisgender Man
African American	80		4
Hispanic/ Latino/a	17	1	1
White	11	1	3
Bi/ Multi-Ethnic	6		2
Unknown or Other	4		
Asian/ Pacific Islander	2		

Language Breakdown of Minors and Transitional Aged Youth (TAY)

n=141

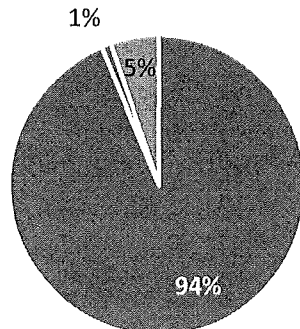
■ Fluent English ■ Monolingual or Limited English Proficiency ■ Unknown



Gender of CSEC Survivors

n=95

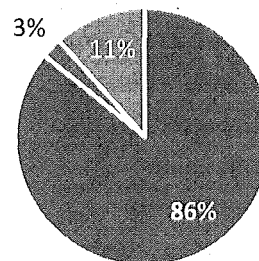
■ Cisgender Girls ■ Transgender Girls
■ Cisgender Boys



Gender of TAY Sex Trafficking Survivors

n=78

■ Cisgender Women
■ Transgender Women
■ Cisgender Men





Origins of Minor and Transitional Aged Youth Survivors

San Francisco County	89	Solano County	1
Alameda County	18	Stanislaus County	1
Contra Costa County	6	California: Unknown	3
Santa Clara County	5	Georgia, USA	1
Sacramento County	4	Oregon, USA	1
Marin County	4	Washington, USA	1
Fresno County	2	Canada	1
San Mateo County	2	El Salvador	1
Mendocino, CA	1	Honduras	1
Modesto, CA	1	Mexico	1

Spotlight on Massage Establishments

There are 220 licensed massage establishments in San Francisco. Polaris has found around 79 (36%) have active listings on websites that advertise erotic services. Not all of these necessarily involve human trafficking. Inspections of these facilities do reveal that some of them have indicators of human trafficking, such as locked doors or prohibited living quarters. (Locked doors could also be indicators of commercial sex activity in general, and not necessarily human trafficking.)

The Department of Public Health conducts both regular inspections of massage establishments and periodic Human Trafficking Task Force inspections at establishments that have been flagged as possible trouble spots for a wide range of activities, including prostitution and possible human trafficking. Establishments are flagged when the city receives complaints from community members or a regular inspection reveals violations.

In 2015, the Department of Public Health engaged in the following activities with massage establishments in San Francisco:

- Conducted 563 inspections.
- Issued 272 violations:
 - 212 for unsanitary conditions
 - 134 for unlicensed practitioners
 - 70 for unapproved equipment/ceilings/wall surfaces
 - 53 for prohibited living quarters
 - 31 for locked doors posing a safety hazard.
- Obtained 12 suspensions:
 - 6 for failure to obtain a permit
 - 4 for operating after 10:00 PM and/or locked doors
 - 1 for illegal/lewd acts
 - 1 for having residential sleeping areas at the facility.

No arrests for human trafficking were made as a result of these inspections. In 2015, significant Department of Public Health resources were devoted to the new permit requirements established by changes in how massage establishments are licensed in San Francisco.

Agency Data*

Asian Pacific Islander Legal Outreach

Asian Women's Shelter

Child and Adolescent Support Advocacy and Resource Center

Department of Human Services: Family & Children's Services

Department of Public Health: Newcomers Health Program

Huckleberry Youth Programs

Juvenile Probation Department

Larkin Street Youth Services

LYRIC

Mujeres Unidas y Activas

Not for Sale

San Francisco District Attorney

San Francisco Police Department

San Francisco Unified School District

Sojourner Truth

Young Women's Freedom Center

* Agencies provided varying levels of demographic information, so differing data points exist for different agencies.

Asian Pacific Islander Legal Outreach

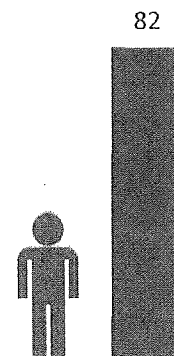
Asian Pacific Islander Legal Outreach (APILO) offers legal representation and assistance to trafficking survivors, including help to stabilize their immigration status. APILO has long been committed to immigrants' rights and remains one of the few agencies providing direct legal services to immigrant victims of crime and their families.

APILO has represented and counseled thousands of immigrants and their loved ones in immigration court, naturalization and adjustment hearings, and complex motions for relief for immigrants who had been exploited by human traffickers.

APILO has also conducted human trafficking identification training with San Francisco Unified School District High School Wellness Counselors and School Counselors, Oakland International High School, San Francisco Women Against Rape, Riley Center, and Building Futures with Women and Children.

In 2015, APILO's Anti-Human Trafficking Project provided 82 survivors of trafficking with direct legal assistance. APILO did not provide any other details on trafficking cases.

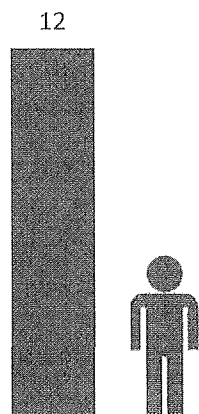
Total Survivors 2015



HUMAN TRAFFICKING SURVIVORS

Asian Women's Shelter

Total Survivors 2015

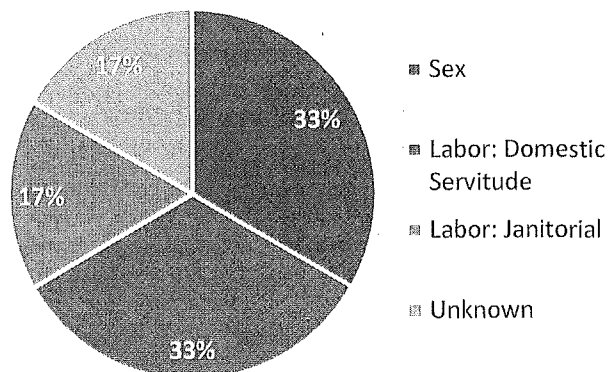


HUMAN TRAFFICKING SURVIVORS

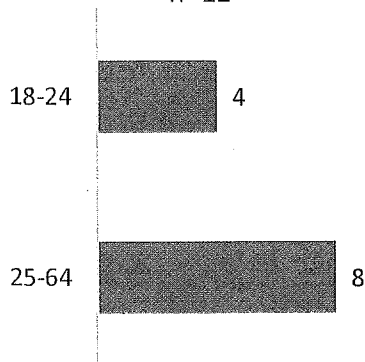
Asian Women's Shelter provides shelter, comprehensive case management, accompaniment, and advocacy for adult trafficking and domestic violence survivors. Asian Women's Shelter is dedicated to meeting the urgent needs of survivors of human trafficking. Asian Women's Shelter welcomes survivors of all backgrounds, though they specialize in the needs of Asian Pacific Islander individuals and families.

In 2015, Asian Women's Shelter served 12 adult survivors of trafficking.

Type of Trafficking n=12

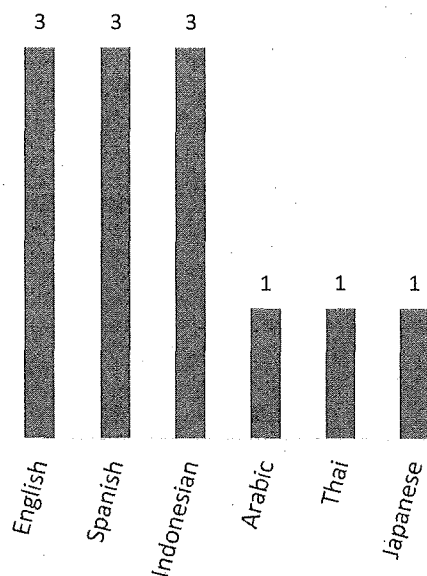


Age of Survivor n=12



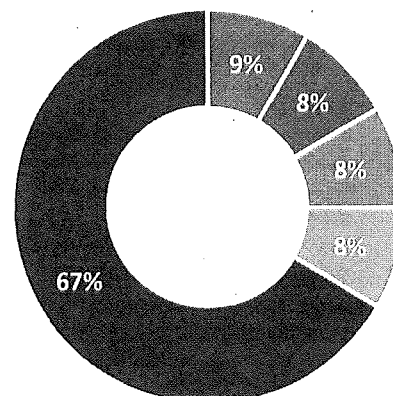
Survivor's Gender and Race/Ethnicity n=12			
	Cisgender Woman	Cisgender Man	Transgender Man
Asian/ Pacific Islander	6		
Hispanic/ Latino/a	1	2	
Middle Eastern	1		1
Unknown or Other	1		

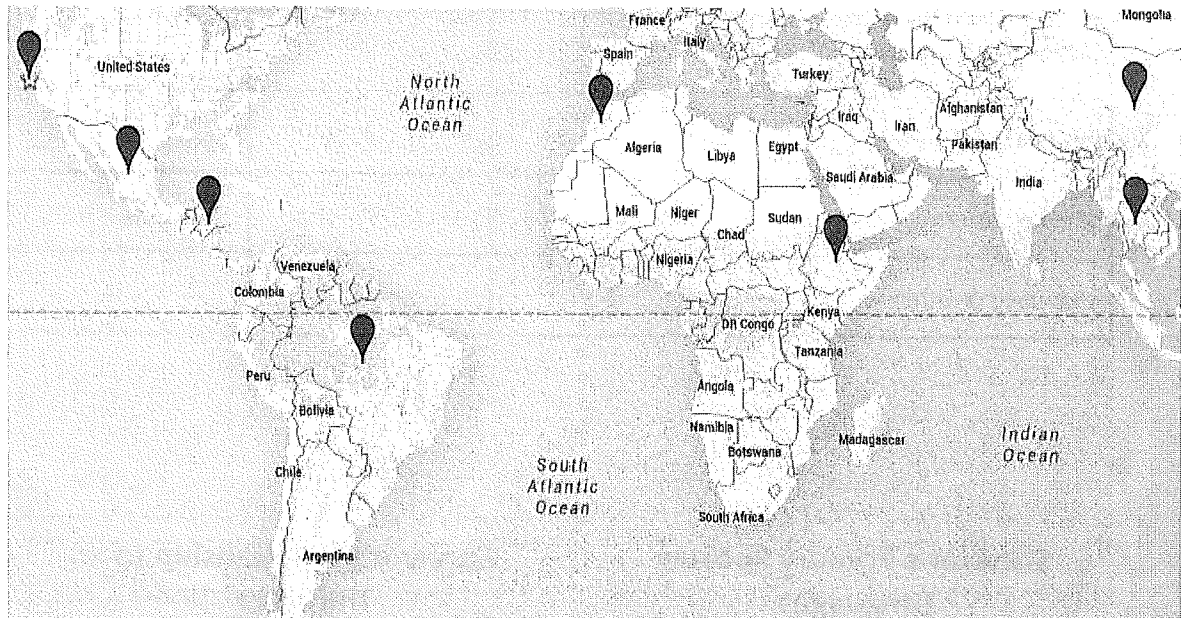
Survivor's Primary Spoken Languages
n=12



Survivor's Relationship to Trafficker
n=12

- Parent/Guardian/Family
- Romantic Partner
- Friend/Acquaintance
- Unrelated Employer
- Unrelated Employer

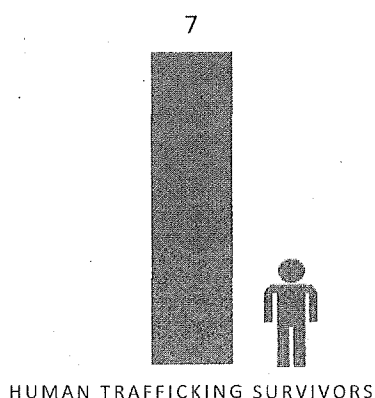




Origin of Survivors	
Asia/Pacific Islands	4
San Francisco County	2
Ethiopia	1
Honduras	1
Mexico	1
Morocco	1
South America	1
Thailand	1

Child and Adolescent Support Advocacy and Resource Center (CASARC)

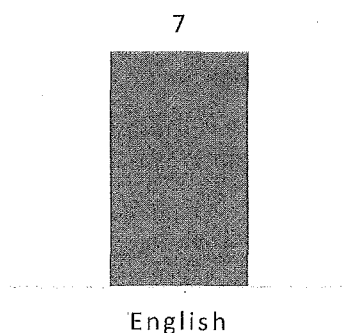
**Total Survivors
2015**



HUMAN TRAFFICKING SURVIVORS

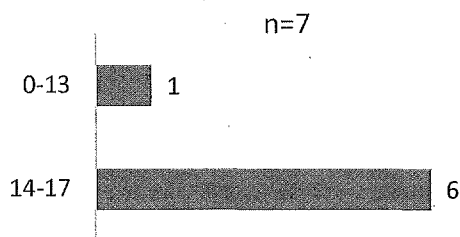
Child and Adolescent Support Advocacy and Resource Center (CASARC) serves children and adolescents (up to age 18) who have been sexually or physically abused or who have witnessed severe violence. Forensic medical and crisis management services are available 24 hours a day. CASARC provides trauma-focused psychotherapy for individuals, groups, and families. CASARC also provides educational trainings for community providers, including teachers, students, health care providers, and mental health professionals. CASARC is also available to provide training to youth and nonprofessionals. CASARC is located on the San Francisco General Hospital campus and provides services at the Children's Advocacy Center.

**Survivor's Primary
Spoken Languages**



In 2015, CASARC served 7 minor survivors of sex trafficking at the Children's Advocacy Center.

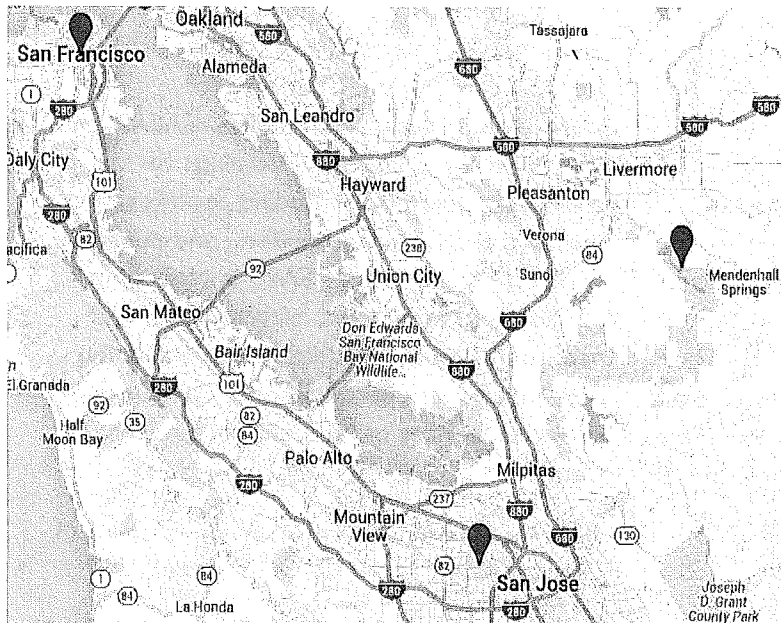
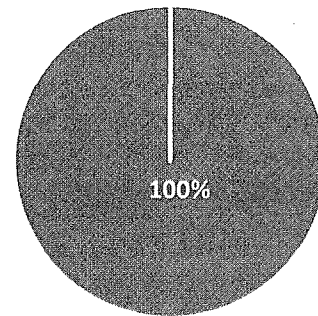
Age of Survivor



Survivor's Gender and Race/Ethnicity n=7	
	Cisgender Woman
Hispanic/ Latina	4
African American	1
Bi/ Multi-Ethnic	1
White	1

Type of Trafficking n=7

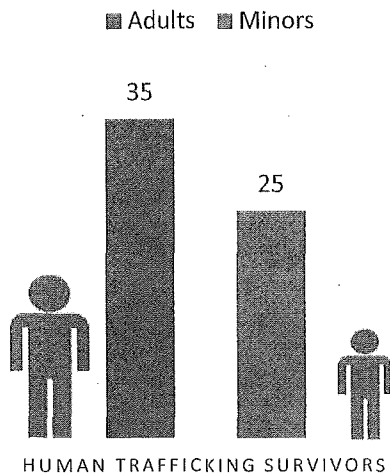
■ Sex: Unrelated Pimp



Origin of Survivors	
Unknown	3
San Francisco County	1
Alameda County	1
Santa Clara County	2

Department of Human Services: Family & Children's Services

Total Survivors: 60 2015

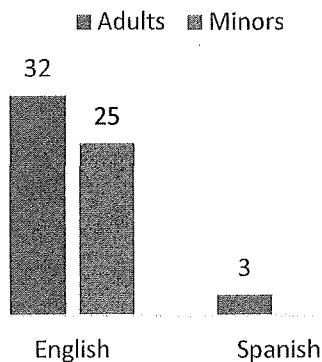


San Francisco Family and Children's Services is a division of the Department of Human Services within the Human Services Agency that runs the 24-hour child abuse hotline and responds to cases of children who have been abused or neglected.

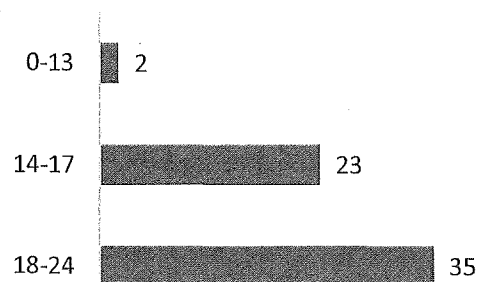
Family and Children's Services led efforts in 2015 to develop a San Francisco County interagency protocol for serving Commercially Sexually Exploited Children (CSEC). Family and Children's Services is also working with West Coast Children's Clinic to pilot a screening tool to assess children for their risk of involvement in commercial sexual exploitation.

In 2015, Family and Children Services served 35 adult and 25 minor survivors of trafficking.

Survivor's Primary Spoken Language n=60



Age of Survivor n=60



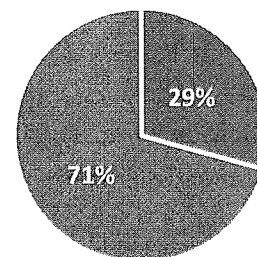
n=60

	Cisgender Woman	Transgender Woman	Cisgender Man
African American	36		1
Hispanic/ Latino/a	15		
White	5	1	
Unknown or Other	1	1	



n=58

- ☐ Pimp Involvement
☐ Unknown for Pimp Involvement



Origin of Survivors			
San Francisco County	46	Honduras	1
Marin County	3	Mendocino	1
Alameda County	2	Mexico	1
Santa Clara County	2	Modesto	1
El Salvador	1	Washington	1
Fresno County	1		

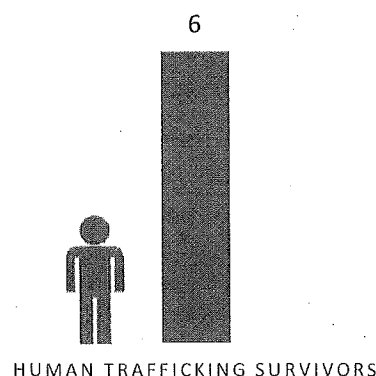
Department of Public Health: Newcomers Health Program

The Newcomers Health Program, a program of the San Francisco Department of Public Health, is a clinic and community-based refugee and immigrant health program that promotes the health of refugees and immigrants in San Francisco. Through the collaborative relationships with community service agencies, the Newcomers Health Program provides culturally and linguistically appropriate and comprehensive health services to refugees, asylees, and eligible victims of trafficking regardless of their immigration status. Clinic-based staff works at San Francisco General Hospital's Family Health Center's Refugee Medical Clinic.

In 2015, the Newcomer's Health Program provided health services to 6 adult survivors of trafficking, all of whom were from the Philippines.

Newcomer's Health Program received funding in 2015 for bilingual health outreach workers to focus on workers in industries vulnerable to trafficking and labor abuses, such as massage establishments and restaurants.

Total Survivors 2015

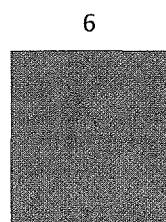


Survivor's Gender and Race/Ethnicity n=6		
	Cisgender Woman	Cisgender Man
Asian/ Pacific Islander	4	2



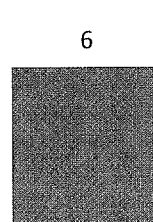
Origin of Survivor	
Philippines	6

Age of Survivor



24-64

Survivor's Primary Language

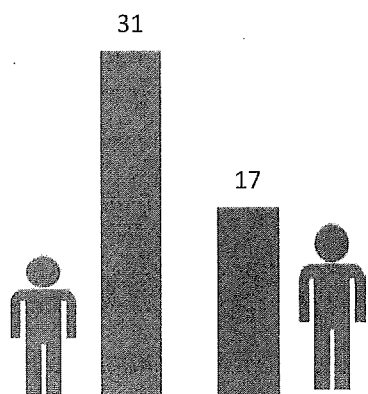


Tagalog/Filipino

Huckleberry Youth Programs

Total Survivors: 48 2015

■ Minors
■ Transitional Aged Youth (18-24)



HUMAN TRAFFICKING SURVIVORS

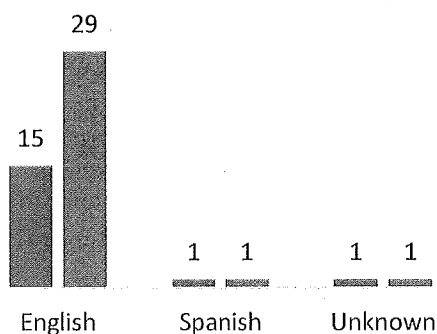
Huckleberry Youth Programs has been providing a continuum of services to at risk, runaway, and homeless youth and their families for nearly 50 years. Services include a 24-hour crisis line and emergency shelter for youth ages 11-17, a juvenile justice diversion program, counseling services, health center, and college pipeline program. Trauma-informed screening processes identify exploited youth at each program site, and case managers work to provide linkages and referrals for services.

Specialized case management and groups for commercially sexually exploited youth are provided to youth ages 11-24. In 2015, Huckleberry Youth Programs received funding to create a 24-hour response to commercially sexually exploited youth, and began running groups for young women held at the Juvenile Justice Center.

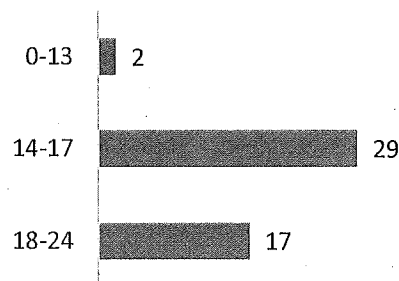
In 2015, Huckleberry Youth served 31 minor and 17 transitional aged youth survivors of trafficking.

Survivor's Primary Spoken Language n=48

■ Adults ■ Minors



Age of Survivor n=48

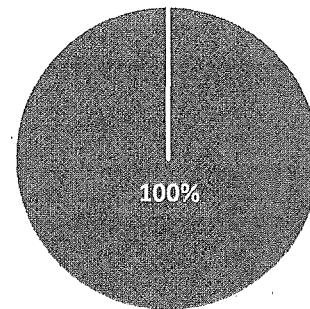


Survivor's Gender and Race/Ethnicity n=48		
	Cisgender Woman	Cisgender Man
African American	24	3
Bi/ Multi-Ethnic	5	2
Hispanic/ Latino/a	4	1
Unknown or Other	3	
White	2	3
Asian/ Pacific Islander	1	

Type of Trafficking

n=48

■ Sex



Origin of Survivors			
San Francisco County	28	Portland, Oregon	1
Contra Costa County	6	Sacramento County	1
Alameda County	4	San Mateo County	1
Marin County	1	Santa Clara County	1
Forsyth, Georgia	1	Solano County	1
Fresno County	1	Stanislaus County	1

Juvenile Probation

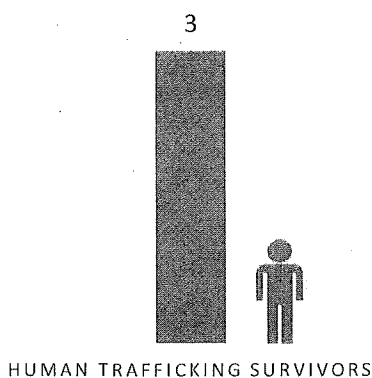
The Juvenile Probation Department investigates referrals of youth who are alleged to be beyond parental control, or who are alleged to have committed a crime, by providing supervision services for youth who are wards of the court or who have been deemed in need of such services by the court.

In 2015, the Juvenile Probation Department had 3 youth booked for charges related to commercial sexual exploitation. All 3 were white females from other counties. The factor that the girls were from another county contributed to their arrest. San Francisco continues to make best efforts to avoid using the juvenile justice system to respond to youth who are commercially, sexually exploited. However, if there are outstanding warrants from other counties, or other issues related to out of county cases, it may complicate these efforts.

Juvenile Probation had not yet instituted screening of all cases for human trafficking in 2015, so it is quite likely that there are youth involved with the Juvenile Probation Department who have histories of human trafficking, and were brought in on another charge.

In 2015, all staff at Juvenile Probation Department received training in human trafficking. Huckleberry Youth Programs began running groups for young women at the Juvenile Justice Center in 2015

Total Survivors 2015



The Juvenile Probation Department also runs the Girls Court for young women considered most at risk, many of whom have histories of commercial sexual exploitation. Girls Court is a one-day per month calendar to provide gender-specific services to increase the retention and success of this target population. Two leading community providers, Huckleberry Youth Programs and the Young Women's Freedom Center, are present during Girls Court and in pre-court meetings to share their expertise and to advise the team. Girls Court is committed to working with at risk girls and will continue to build their community connections and partnerships to advance the provision of out of custody services.

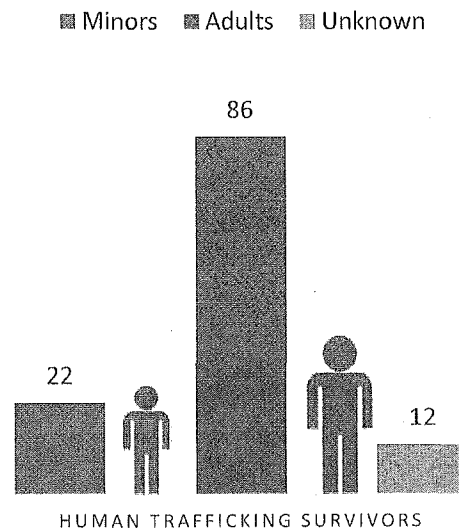
Survivor's Gender and Race/Ethnicity n=3	
	Cisgender Woman
White	3

Larkin Street Youth Services

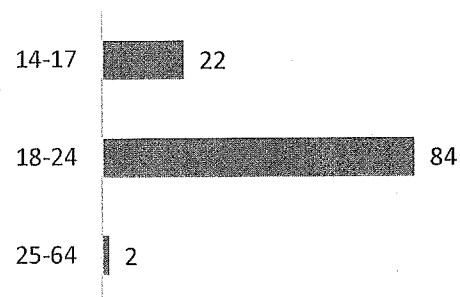
Larkin Street Youth Services provides services to homeless youth in San Francisco, staffs a 24-hour hotline, runs drop-in centers, offers basic services such as food, resources, and referrals, and provides a range of housing options—from emergency homeless shelters to longer-term housing. Each Larkin Street housing program and facility offers youth age-appropriate support to accommodate each stage of their journey, keeping them on track toward rejoining their families or progressing toward independence and self-sufficiency.

In 2015, Larkin Street provided services to a total of 120 youth, including 22 minors, 84 transitional aged youth, and 2 adults over 24 who were survivors of human trafficking. There are 12 youth whose demographic data is not included in this report.

Total Survivors: 120 2015

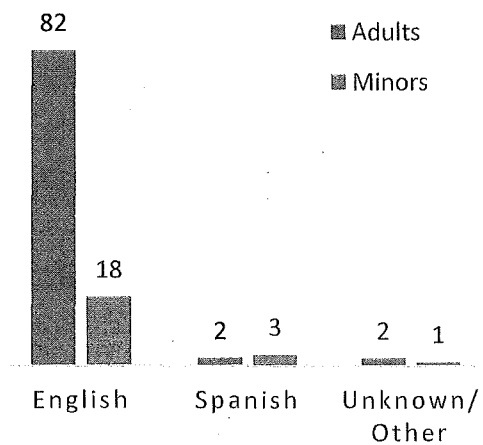


Age of Survivor n=108



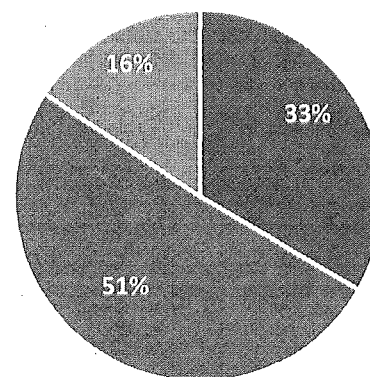
Survivor's Gender and Race/Ethnicity n=108				
	Cisgender Woman	Transgender Woman	Cisgender Man	Transgender Man
African American	14		16	
White	11	2	18	
Hispanic/ Latino/a	9	4	6	
Unknown or Other	3	2	5	1
Asian/ Pacific Islander	2		3	
Bi/ Multi-Ethnic	2	1	6	
Middle Eastern			2	
Native American			1	

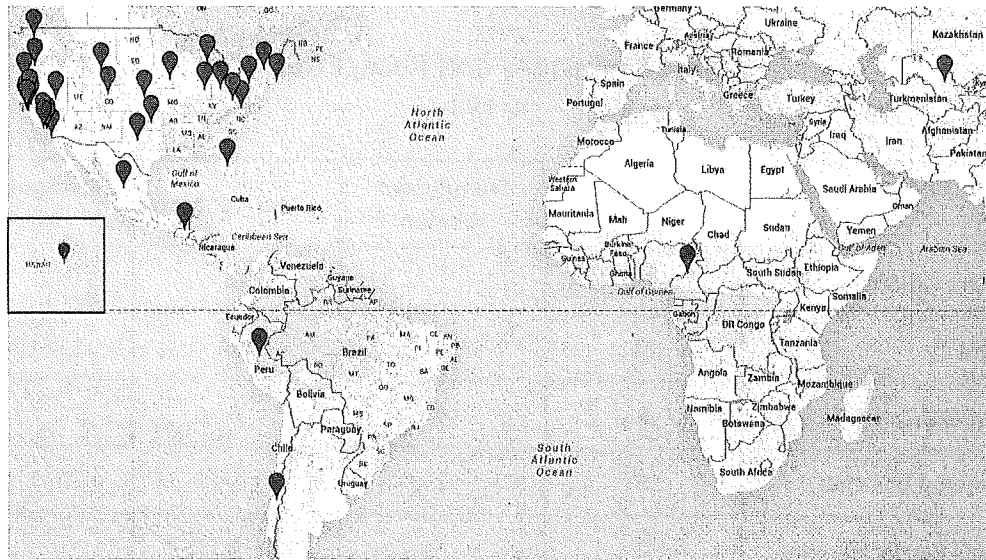
Survivor's Primary Spoken Language
n=108



Type of Trafficking
n=108

■ Sex ■ Labor ■ Labor & Sex





Origin of Survivors

San Francisco County	18	Hawaii, USA	2
Alameda County	12	Pennsylvania, USA	2
Hayward, CA	3	Oklahoma, USA	2
Sacramento, CA	2	Oregon, USA	2
Los Angeles, CA	2	Texas, USA	2
Bakersfield, CA	1	Washington, USA	2
Brisbane, CA	1	Indiana, USA	1
Lancaster, CA	1	Iowa, USA	1
Marysville, CA	1	Kansas, USA	1
Napa, CA	1	Michigan, USA	1
Paramount, CA	1	Nevada, USA	1
Redwood City, CA	1	Ohio, USA	1
Santa Cruz, CA	1	Rhode Island, USA	1
San Diego, CA	1	Virginia, USA	1
San Jose, CA	1	West Virginia, USA	1
San Mateo, CA	1	Wyoming, USA	1
San Rafael, CA	1	United States: Unknown	10
Stockton, CA	1	Mexico	4
Vallejo, CA	1	Cameroon	1
Westlake Village, CA	1	Chile	1
Yreka, CA	1	Guatemala	1
California: unknown	2	Peru	1
New York, USA	4	Uzbekistan	1
Florida, USA	3	Country: Unknown	3
Colorado, USA	2		

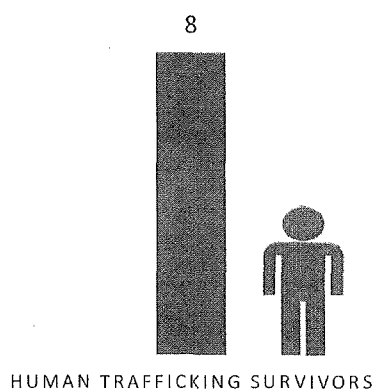
Lavender Youth Recreation and Information Center (LYRIC)

LYRIC is a youth center located in the Castro district serving lesbian, gay, bisexual, transgender, queer, and questioning (LGBTQQ) youth ages 12-24. LYRIC works to build community and inspire positive social change through education enhancement, career trainings, health promotion, and leadership development with LGBTQQ youth, their families, and allies of all races, classes, genders, and abilities.

LYRIC is part of the SF-OCAY collaborative, along with Asian Women's Shelter and Asian Pacific Islander Legal Outreach, which provides services to LGBTQ youth involved in commercial sexual activity. LYRIC hosts a prevention group that meets weekly and teaches youth their rights, builds awareness around resources, and promotes leadership. Paid leadership programs for youth are available to promote self-sufficiency.

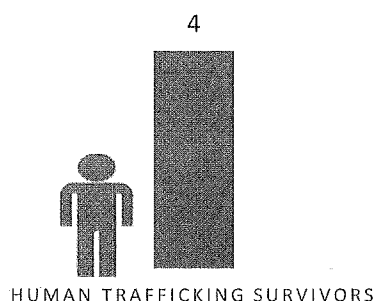
In 2015, LYRIC provided services to 8 adult survivors of human trafficking. In order to ensure the safety of LGBTQQ youth survivors and the confidentiality of LYRIC services, additional demographic details are not included in this report.

Total Survivors 2015



Mujeres Unidas y Activas

Total Survivors 2015

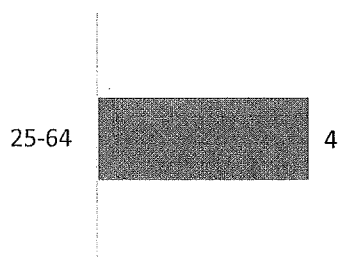


Mujeres Unidas y Activas (MUA) creates a multi-layered program that meets Latina immigrants where they are, addressing basic needs and dismantling the barriers — low self-esteem, domestic violence, and economic hardship — that could easily prevent them from recognizing their own potential to make change. MUA works with Latina immigrant women to become skilled advocates for themselves, their families, and their community.

MUA utilizes group support sessions and political education workshops and leadership trainings, to make links between personal problems and broader social and economic injustices, and build community and collective power.

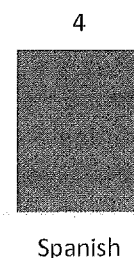
In 2015, MUA provided services for 4 adult survivors of human trafficking.

Age of Survivor



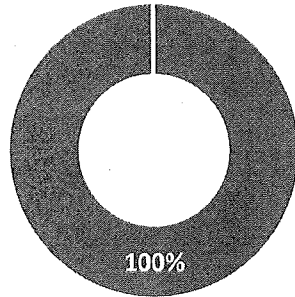
Survivor's Gender and Race/Ethnicity n=4		
	Cisgender Woman	Cisgender Man
Hispanic/ Latino/a	3	1

Survivor's Primary Spoken Language



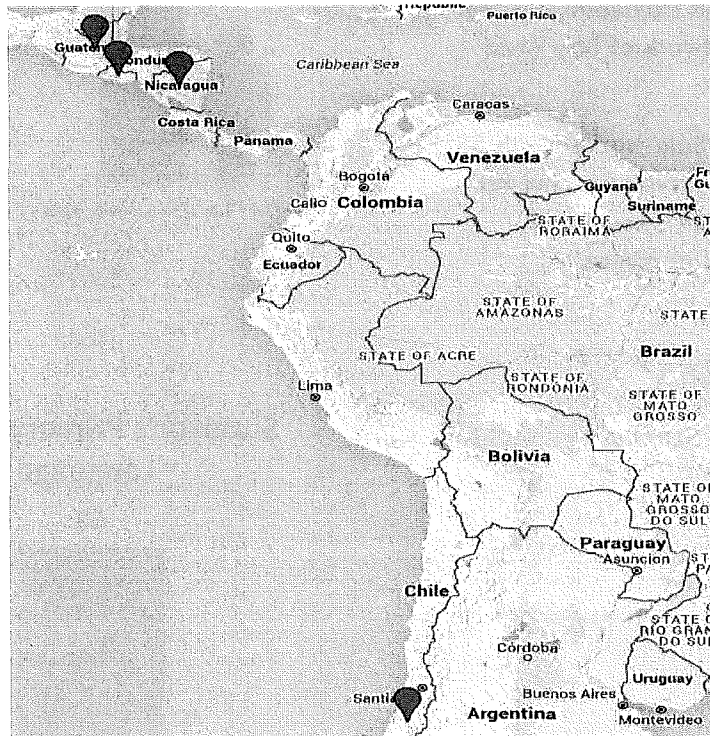
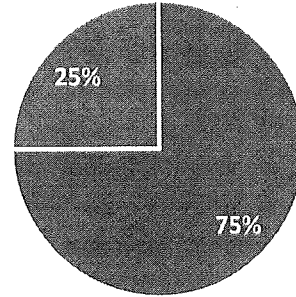
Survivor's Relationship to Trafficker

■ Unrelated Employer



Type of Trafficking

■ Domestic Servitude
■ Labor: Unknown Type



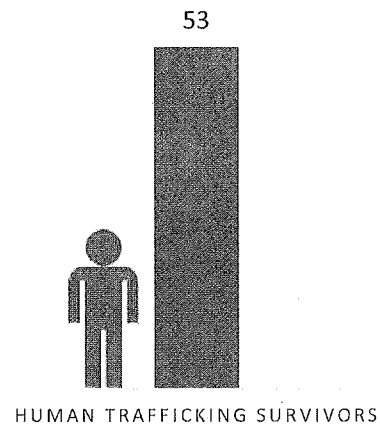
Origin of Survivors	
Chile	1
El Salvador	1
Guatemala	1
Nicaragua	1

Not for Sale

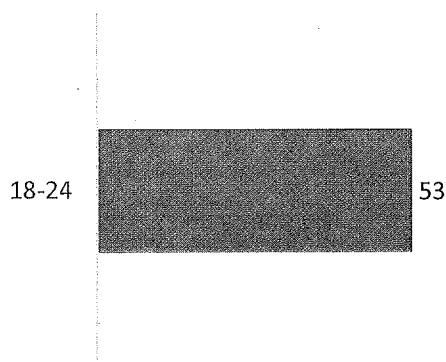
Not For Sale designed its Reinvent Program to prepare disconnected youth ages 18-24, affected by trafficking, exploitation, and related traumas, for work in the Bay Area's booming industries. After four weeks of work-readiness training and life-skills coaching, graduates of the program are placed in paid traineeships within Not For Sale's network of Bay Area businesses.

In 2015, Not For Sale worked with 53 transitional aged survivors of human trafficking, many of whom were initially trafficked as minors.

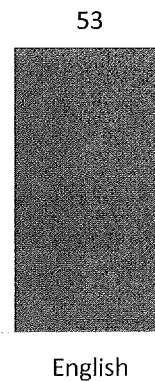
Total Survivors 2015



Age of Survivor



Survivor's Primary Spoken Language

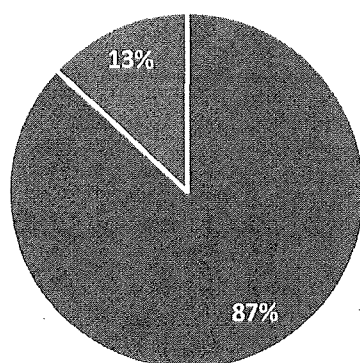


Survivor's Gender and Race/Ethnicity n=53		
	Cisgender Woman	Transgender Woman
African American	30	
Bi/Multi-Ethnic	11	
Hispanic/ Latina	4	1
Unknown or Other	2	
Asian/ Pacific Islander	2	
White	2	
Middle Eastern	1	

Type of Trafficking

n=53

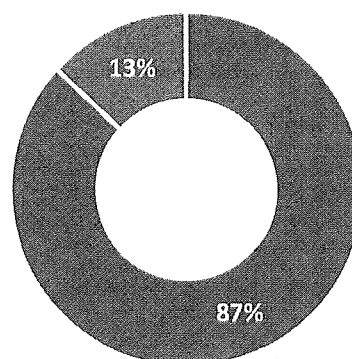
■ Sex ■ Unknown



Survivor's Relationship to Trafficker

n=53

■ Romantic Partner ■ Unknown



San Francisco District Attorney

Prosecution

The San Francisco District Attorney is responsible for prosecuting crimes committed within the City and County of San Francisco. This agency includes the Criminal Division and the Victim Services Division. The District Attorney's adult Sexual Assault Unit prosecutes human trafficking cases.

In 2015, the District Attorney prosecuted 6 human trafficking cases.

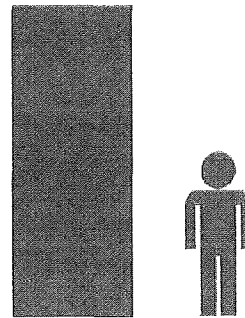
Human Trafficking Prosecutions in 2015	
Number of Cases Charged	6
Number of Convictions by Plea Bargain or Trial	3
Pending Case Load	3

Victim Services

The District Attorney's Victim Services offers case management, advocacy, and assistance with the criminal justice process for trafficking survivors. Trained advocates help victims navigate the criminal justice system by assisting with crisis intervention, victim compensation program claims, court escort, case status, transportation, resources, referrals, and more. Services are provided in English, Cantonese, Mandarin, Spanish, and Vietnamese. Services are offered not only to victims whose cases have been charged, but also to victims whose cases have not and will not be charged.

Total Survivors 2015

34



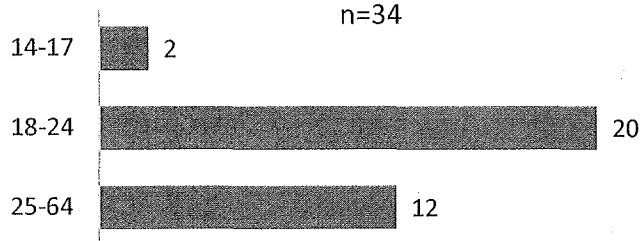
HUMAN TRAFFICKING SURVIVORS

In 2015, the Victim Services served 34 sex trafficking survivors.

Survivor's Gender and Race/Ethnicity n=34		
	Cisgender Woman	Cisgender Man
African American	11	2
Hispanic/ Latino/a	8	1
White	6	
Asian/ Pacific Islander	5	
Unknown or Other		1

Age of Survivor

n=34



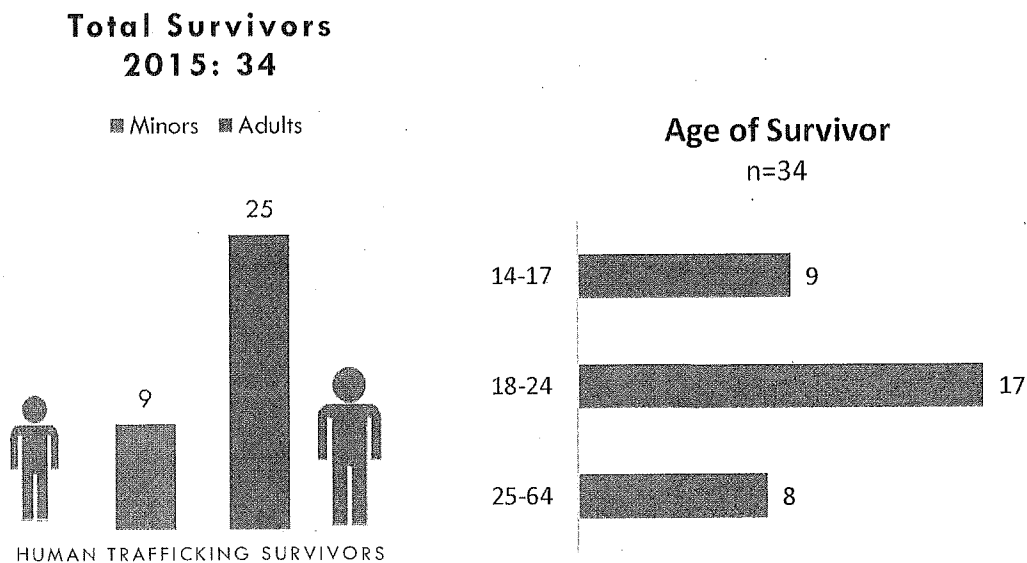
San Francisco Police Department Special Victims Unit

The Special Victims Unit of the San Francisco Police Department Unit investigates human trafficking cases for the Police Department.

During 2015, the Special Victims Unit identified 34 human trafficking survivors and 39 suspected human traffickers.

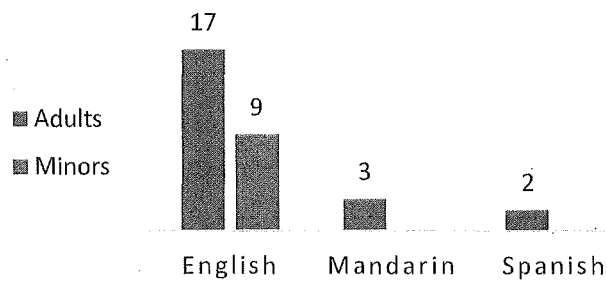
The Special Victims Unit participated in 35 massage establishment inspections with the Department of Public Health, City Attorney's Office, Building Department, and Fire Department.

The Special Victims Unit received 15 referrals from the National Human Trafficking Hotline.



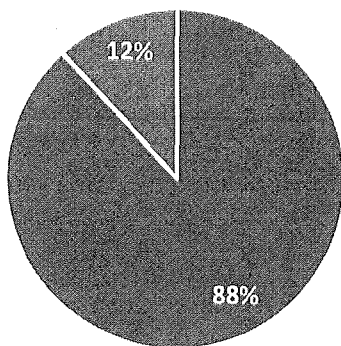
Survivor's Gender and Race/Ethnicity n=34	
	Cisgender Woman
Hispanic/ Latina	14
African American	8
White	6
Asian/ Pacific Islander	5
Unknown or Other	1

Survivor's Spoken Language n=31



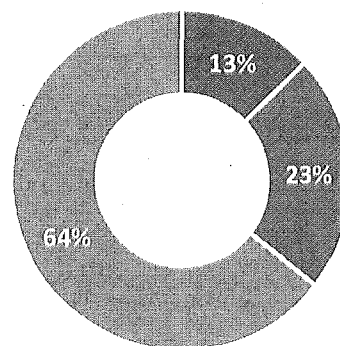
Type of Trafficking n=34

■ Sex: Pimp Involvement ■ Unknown



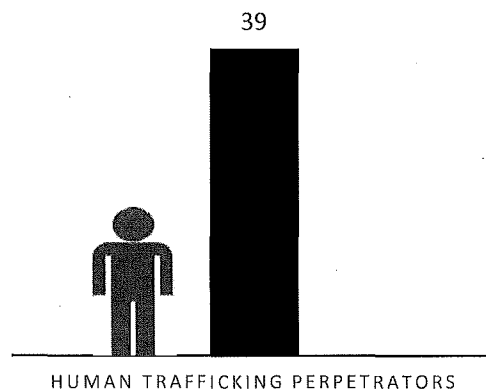
Survivor's Relationship to Trafficker n=34

■ Romantic Partner ■ Friend/ Acquaintance
■ Unknown/Other



TRAFFICKER DEMOGRAPHICS

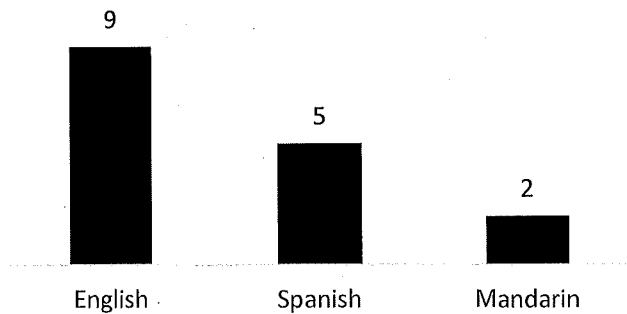
Total Traffickers 2015



Trafficker's Gender and Race/Ethnicity n=39		
	Cisgender Man	Cisgender Woman
Unknown or Other	13	
African American	9	
Hispanic / Latino/a	7	
Asian/ Pacific Islander	4	2
White	2	2

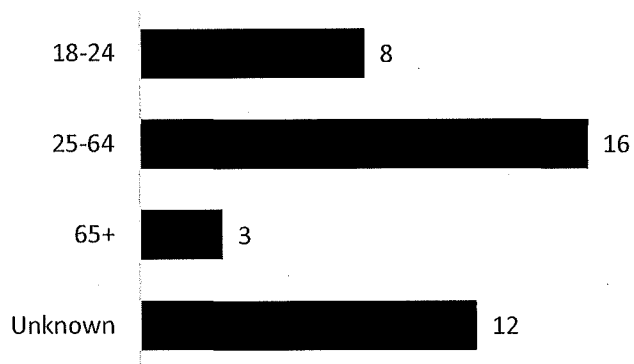
Trafficker's Primary Spoken Languages

n=16



Age of Trafficker

n=39



SFPD SVU Criminal Investigations*

Number of Trafficking Cases Investigated	28
Number of Suspects Arrested	8
*The current data collection form did not ask to separate criminal investigations by type of trafficking	

San Francisco Unified School District

In October of 2015, the San Francisco Board of Education adopted a resolution to assist with identification and prevention of human trafficking in San Francisco's public schools. The resolution requires the San Francisco Unified School District:

- (1) to ensure that all school administrators, teachers, counselors, social workers, nurses and other wellness and support staff get comprehensive training in recognizing human trafficking;
- (2) to update the child abuse reporting policy to include trafficking as a reportable event;
- (3) to include a unit on healthy relationships and human trafficking in the health curriculum;
- (4) to engage student leaders to communicate the signs of and resources for human trafficking among their peers; and
- (5) to develop an educational unit to teach the community about historical and modern day sex-trafficking.

The school district is currently working with local human trafficking experts to develop its curriculum and update its child abuse reporting policy.

Sojourner Truth Foster Family Service Agency, INC.

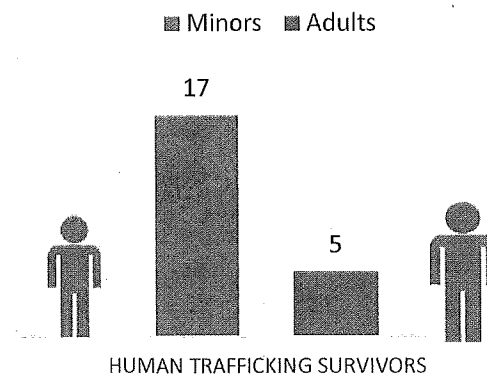
Sojourner Truth Foster Family Service Agency, Inc. addresses the alarming increase of foster youth who are being sexually exploited in the state of California.

Sojourner Truth serves children, youth, transition aged youth, and families of the greater Bay Area. The services target the CSEC population, ages 12 through 21, including pregnant and parenting teenagers. Sojourner Truth provides direct specialized services for foster children who are CSEC survivors. The staff of licensed clinicians provides individual case management, counseling and therapy, as well as mental health assessment and wrap-around services.

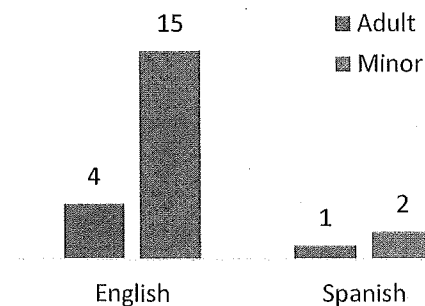
In 2015, Sojourner Truth served 5 transitional aged and 17 minor survivors of human trafficking.

Survivor's Gender and Race/Ethnicity n=22	
	Cisgender Woman
African American	17
Hispanic/ Latina	2
White	2
Asian/ Pacific Islander	1

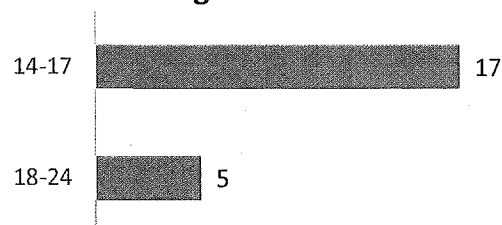
Total Survivors: 22 2015



Survivor's Primary Spoken Language n=22



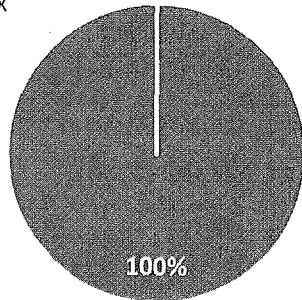
Age of Survivor



Type of Trafficking

n=22

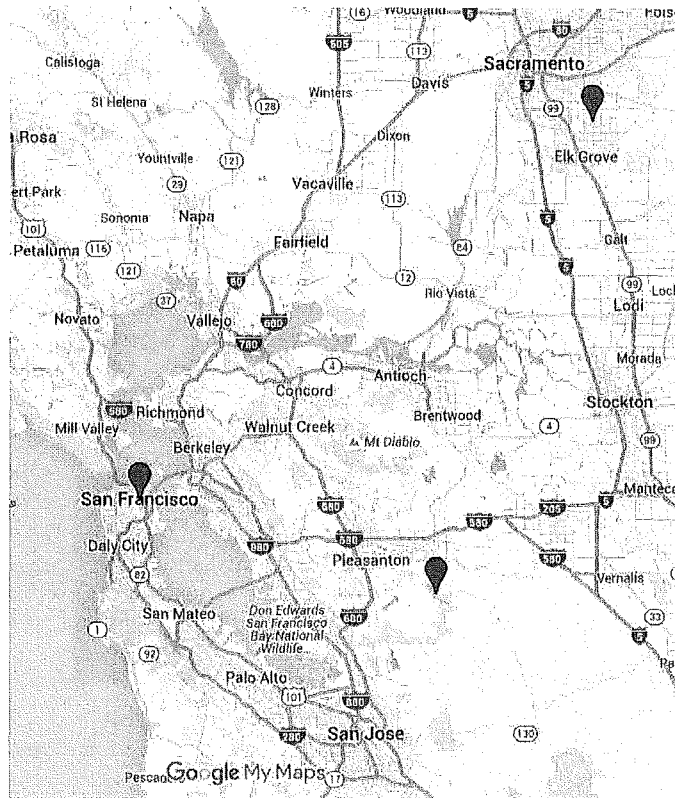
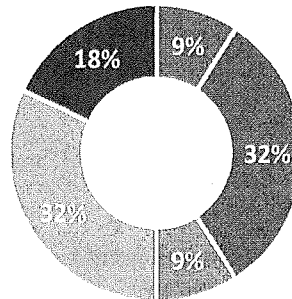
■ Sex



Survivor's Relationship to Trafficker

n=22

- Parent, Family Member, Guardian
- Romantic Partner
- Unrelated Pimp
- Friend, Acquaintance
- Related Gang Member



Origin of Survivors	
San Francisco County	11
Alameda County	10
Sacramento County	1

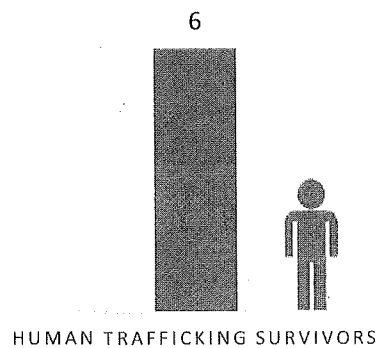
Young Women's Freedom Center

The Young Women's Freedom Center has long worked to empower low-income and system involved women with leadership opportunities, training, employment and advocacy work. Services include mental and physical wellness programs, intergenerational learning curriculums, employment opportunities, detention advocacy, and identity groups.

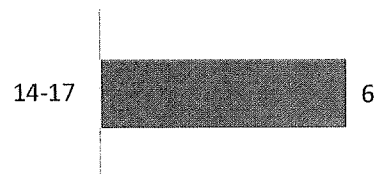
In 2015, the Young Women's Freedom Center's Sisters on the Rise program provided services for 6 minor survivors of human trafficking.

Survivor's Gender and Race/Ethnicity		
n=6		
	Cisgender Woman	Transgender Woman
Hispanic/ Latina	2	
African American	2	
White	1	
Bi/ Multi-Ethnic		1

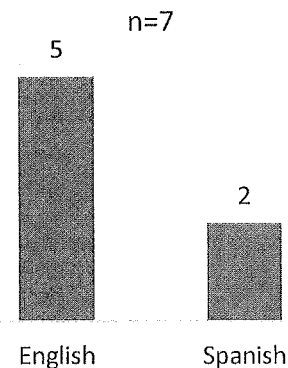
Total Survivors 2015



Age of Survivor



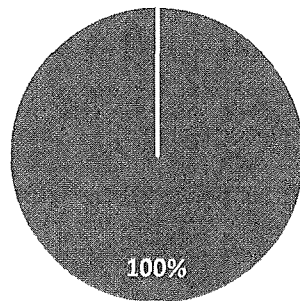
Survivor's Spoken Languages



Type of Trafficking

n=6

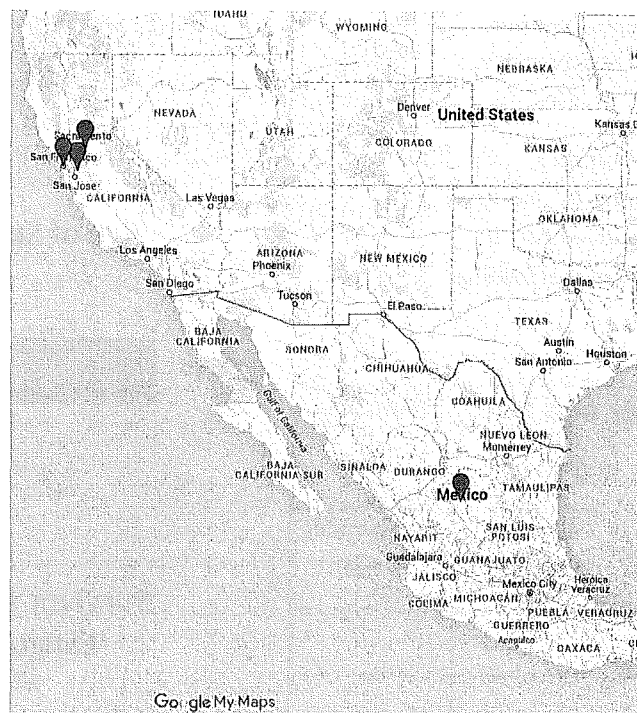
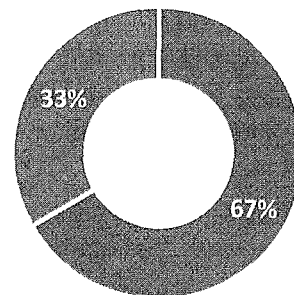
■ Sex



Survivor's Relationship to Traffickers

n=6

■ Romantic Partner ■ Friend or Acquaintance



Origin of Survivor	
San Francisco County	3
Sacramento County	2
Alameda County	1
Mexico	1

Case Summaries

To help illustrate the manner in which trafficking cases present in San Francisco, we describe a few human trafficking stories from the past year.

Adult Sex Trafficking: Sojourner Truth FFSA received a referral from a collaborative CBO. The survivor was a previous foster child in San Francisco County who was commercially sexually exploited when she was a minor. She is currently 23 years old and was found on the streets of East Oakland stating she wanted help to get away from her perpetrator and enter into a Safe House Program. Upon evaluation, she admitted to substance abuse as well as previous hospitalization for PTSD, complex trauma due to rape by gun point, and early signs of acute psychosis and depression. She was not able to remain in the program due to failing the 90-day requirement of sobriety. She came to Sojourner Truth FFSA because she also has two grade school-aged daughters who were unlawfully kidnapped by their father and relocated to an undisclosed location outside the San Francisco Bay Area. She stated that the only reason she had continued to be exploited by her perpetrator was to get enough money to hire a lawyer to recover her children. *This case highlights the numerous types of trauma and multiple barriers faced by human trafficking survivors, which often create challenges in receiving services. It also raises the question of the accessibility and relevancy of services.*

Labor Trafficking in Construction: San Francisco Police Department (SVU-Human Trafficking) received information from the Office of Labor Standards and Enforcement-San Francisco (OLSE) that a suspect was not paying his workers at a construction site. Investigation revealed that approximately 20 documented and undocumented workers from Mexico and other South American countries had all answered a newspaper ad regarding construction employment in Northern California. They were told to cross the US border into San Ysidro, where they were driven to a location in the San Francisco Bay Area and kept in a locked warehouse. The living conditions at the warehouse were deplorable and unsanitary. In the evenings, the workers were kept locked in the warehouse. In the mornings, the workers were transported to San Francisco where they worked long hours (14 to 20 hours) at the job site without breaks. The workers worked for several months without any days off. None of the workers received payment or compensation. This case is currently on-going. *This case highlights how even in high demand industries, like construction in San Francisco, trafficking can be taking place.*

Labor Trafficking in Janitorial Services: While the Asian Women's Shelter mostly receives cases of survivors over 25 years old that are referred by attorneys or community organizations, in 2015, they received a case of a 19-year-old male survivor from a social worker in his high school. The survivor was forced into janitorial work at night by his parents, so he was exhausted during school hours. Asian Women's Shelter worked with him to find a safe home with friends, and provided a bus pass, food cards, and

accompaniment to apply for benefits. Asian Pacific Islander Legal Outreach handled the legal work. *This case highlights the crucial role school personnel can play in identifying students who are trafficked and referring them to services.*

Commercial Sexual Exploitation of Minors: Special Victims Unit (SVU)-Human

Trafficking/SFPD received a call from 911 from a father stating his 17-year-old daughter was being trafficked out of a motel in San Francisco with two other minors. The police responded to the motel and contacted three minor victims, aged 14, 16, and 17, who had been coerced into prostitution by their controller. SVU-Human Trafficking and FBI-SF secured safe shelter for the night and follow up services for the victim. After much investigation, SVU-Human Trafficking and FBI-SF were able to identify, locate, and arrest the two exploiters. The three juveniles are currently safe at home and still receiving services. The case was filed by the District Attorney's Office for trafficking charges. *This case highlights the role of local hotels/motels as locations of child sex trafficking, and the need to do outreach to staff at these facilities.*

Commercial Sexual Exploitation of Minors: K is a 15-year-old African American female who was brought to Huckleberry Youth Programs by her school counselor after a conflict with her mother. She had a history of physical and sexual abuse and mental health issues. While at Huckleberry House, K mentioned that she wanted to become involved with sex work so she could leave home. She ran away from Huckleberry House for one week and upon her return shared with staff the risky sexual behavior with older men that had occurred while she was gone. K exited from the shelter to stay with an aunt because of her refusal to return home to her mother. Two weeks later, K ran away from her aunt's and she was seen hanging out with older men in the Bayview. K was missing for many months until one day she returned to Huckleberry saying she wanted help. Huckleberry House staff called the police to cancel the missing person's report, but unfortunately they came and arrested her as there was a bench warrant out for her from another county. K was in Juvenile Hall in San Mateo for several weeks before being placed in a group home in the East Bay. K ran away from this group home and is currently missing. *This case highlights the complexities of youth who may be involved in multiple systems in multiple counties, and who have experienced so much trauma that they perceive prostitution as a better option than the situation they may be escaping, or the services and alternatives available to them.*

Commercial Sexual Exploitation of Minors: J is a 17-year-old male Caucasian boy from Georgia that was provided services by Huckleberry Youth Programs. J's mother sent him to San Francisco because she was unable to care for him. J has a history of severe mental health issues, including hospitalization for suicidality and self-harm. While he was on the Greyhound from Georgia to San Francisco, J met an older man who gave him money and a bus ticket. J stayed in touch with this man who bought him alcohol and drugs and convinced him to come to Las Vegas to "meet girls." When he arrived in Las Vegas, he was detained in a hotel room with older men. J denied any sexual abuse; however, there are concerns about the validity of this claim. J was eventually found by the police and sent back to Georgia. J returned to San Francisco after his adoptive mother kicked him out

again. Huckleberry staff worked with the FBI and CPS in San Francisco and Georgia. J was returned home to his adoptive mother's custody with a plan to join the Job Corps. Huckleberry stayed in contact with J who stayed at home with his mother and is preparing to start a career in the military.

Commercial Sexual Exploitation of Minors: The Department of Human Services: Family & Children's Services received a case when D, a young Black girl and her siblings were removed from her home due to allegations of child neglect. The family reported that the mother had a history with substance abuse and the father, who was the main caregiver, had recently died of a medical condition. The conditions of the home were deplorable and there were multiple health and safety risks to the children. There were further concerns that the children had untreated medical conditions and were not going to school.

D lived with a relative caregiver for a number of years. The new relative provided a stable home, and for a while D was thriving in the home and doing well. While D was in middle school, she began to experience a decline in progress; her grades dramatically dropped from A's to F's and she began to show mental health and behavioral issues that are typically seen in children who have experienced deep seated trauma and neglect.

In high school, the caregiver reported she could no longer handle the behavioral outbursts, and D was placed into a group home. D, while commuting to school, met an older man who would begin to groom and victimize D into being sexually exploited. In that same year, D's former caregiver's home was burglarized and trashed while the family was away in Reno. Police arrested both D and the boyfriend after finding the stolen items in his home.

Later, D was missing. She reported that in this time she was a part of a group of young girls who were being sex trafficked, used heroin and methamphetamines, and would fondly talk about her "family like" feeling in the group of these young women. Eventually D began to connect with a relative, and the decision was made at this time for D to be placed in this relative's home in an attempt to give her a sense of hope for her life and belonging (outside of her sex traffic "family"). D was approved for intensive wraparound services in this home, and was required to attend therapy and to see a psychiatrist for medication management. D progressed significantly for the first few months of this placement. However, she continued to engage in unhealthy relationships and started a toxic relationship with a young man. During this time, D was connected and attended case management services at Huckleberry Youth Programs but disengaged after a few months.

In her teenage years, D decided not to take her medication anymore and her impulsivity and mood swings increased to the point where the relative stated she could not have her in her home. Wraparound services closed, but D has refused to be placed in a higher level of care and ran away stating she needed to "make money." She refuses to let her social worker, or her relative know her whereabouts. D was offered every service available to safe guard her from being victimized and it was still not enough in helping keep her safe from this type of exploitation. *This case is described in detail to illustrate the history of*

childhood trauma suffered by some child sex trafficking survivors, and the extreme challenges family and service providers face in keeping these youths engaged in services.

Limitations of the Data

While the information in this report is a crucial baseline to track human trafficking cases identified by city agencies, it has limitations. Most notably, it is not an accurate reflection of the prevalence of human trafficking in San Francisco. The report contains information only on cases that come to the attention of participating agencies; we know that many cases are not identified. The number of survivors identified most certainly is an underrepresentation and should be considered a starting point for further study. Furthermore, many government and community-based agencies do not screen their clients for human trafficking, which would identify other cases.

Also, the data is most likely duplicated in certain cases. The same survivors might have been identified by more than one government or community-based agency. In order to protect confidentiality, we gathered unidentifiable, aggregate data from each agency, so there is no way to capture duplicated cases. However, given the lack of comprehensive screening and the number of victims who are not accessing services (and thus not counted), it is probable that the number of duplicated cases is far less than the number of undercounted cases.

There may be an element of subjectivity in how agencies identify cases, which may result in some inconsistencies in determining which cases are categorized as trafficking cases.

Conclusions and Recommendations

1. Create a Standard Human Trafficking Identification Tool for Youth Serving Organizations to Use

Child sex trafficking is defined in state law as a form of child abuse. Protocol developed by the Family and Children's Services Steering Committee requires all cases of CSEC to be reported to the child abuse hotline. The Memorandum of Understanding of the San Francisco's CSEC Program can be found here: <http://sfgov.org/dosw/sites/default/files/SF%20CSEC%20MOU%20signed%200.pdf>. If all agencies used the same criteria to identify CSEC and reported to Family and Children's Services, then we could use Family and Children's Services' data as an unduplicated count on minor victims of trafficking. Accurate data can inform research and report on trends, demographics, and specific challenges in San Francisco. A standard identification tool would create a more accurate and consistent report.

2. Gather Information on Restitution for Human Trafficking Victims

Future reports would benefit from gathering information on how victims are helped in addition to how many cases agencies identify.

3. Expand the Task Force Membership to Other Service Providers Working with Labor Trafficking Survivors

It is likely that labor trafficking is more prevalent in San Francisco than is suggested by this report. Next year, the Mayor's Task Force will reach out to more agencies that work with labor trafficking survivors for data that will better represent human trafficking in San Francisco.

4. Prioritize The Implementation of Commercially Sexually Exploited Children Multidisciplinary Response Protocol by Members of the Commercially Sexually Exploited Children Steering Committee

Building on the Memorandum of Understanding for Commercially Sexually Exploited Children signed by thirteen agencies in 2015, agencies are required to develop individual policies to implement the Memorandum of Understanding. Finalizing those policies and training agency staff on their implementation should be a priority for this year.

Endnotes

- ¹ International Labour Organization, *ILO Action Against Trafficking in Human Beings* (2008).
- ² Siskin, Alison and Wyler, Liana, *Trafficking in Persons: U.S. Policies and Issues for Congress*, Congress Research Service (2010).
- ³ *Hidden Slaves: Forced Labor in the United States*, Human Rights Center, University of California, Berkeley, September 19004.
- ⁴ California Department of Justice, *The State of Human Trafficking in California* (2012).
- ⁵ Zhang, S. X., *Trafficking of Migrant Laborers in San Diego County: Looking for a Hidden Population*. San Diego, CA: San Diego State University (2012).
- ⁶ <http://www.law.berkeley.edu/files/FreedomDenied.pdf>
- ⁷ U.S. Dept. of Justice, *The Federal Bureau of Investigation's Efforts to Combat Crimes Against Children, Audit Report 09-08* (2009).
- ⁸ 22 USC section 7102(8); California Penal Code Section 236.1(g).
- ⁹ Polaris Project. What is Human trafficking?
http://www.polarisproject.org/index.php?option=com_content&task=view&id=26&Itemid=86
- ¹⁰ National Human Trafficking Resource Center, *2015 NHTRC Annual Report*,
<https://traffickingresourcecenter.org/sites/default/files/NHTRC%202015%20United%20States%20Report%20-%20USA%20-%2001.01.15%20-%2012.31.pdf>. These figures may reflect stakeholder and reporting parties' perspectives due to the diverse definitions of 'sex trafficking' in common use and within laws and policies.
http://www.polarisproject.org/index.php?option=com_content&task=view&id=26&Itemid=86
- ¹¹ International Labour Organization, New ILO Global Estimate of Forced Labour: 20.9 million victims
http://www.ilo.org/global/about-the-ilo/newsroom/news/WCMS_182109/lang--en/index.htm
- ¹² California Child Welfare Council, *Ending the Commercial Sexual Exploitation of Children: A Call for Multi-System Collaboration in California* (2013)
- ¹³ Global Alliance Against Traffic in Women, *Collateral Damage: The Impact of Anti-Trafficking Measures on Human Rights around the World* (2007) at
http://www.gaatw.org/Collateral%20Damage_Final/singlefile_CollateralDamagefinal.pdf
- ¹⁴ Ertürk, Yakin. *United Nations Special Rapporteur on Violence against Women: Its Causes and Consequences: (1994-2009) A Critical Review*, A/HRC/11/6/Add.5 (2009), available from
<https://documents-dds-ny.un.org/doc/UNDOC/GEN/G09/134/56/PDF/G0913456.pdf?OpenElement>
- ¹⁵ 22 USC section 7102(15).
- ¹⁶ 22 USC section 7102(10).
- ¹⁷ 22 USC section 7102(9).
- ¹⁸ California Penal Code Section 236.1(g).

Appendix A: Participants in Mayor's Task Force on Anti-Human Trafficking in 2015

GOVERNMENT AGENCIES	STAFF
SAN FRANCISCO BOARD OF SUPERVISORS	Katy Tang, Dyanna Quizon,
SAN FRANCISCO CITY ATTORNEY'S OFFICE	Anne Pearson, Vicki Wong, Melissa Millsaps
SAN FRANCISCO DISTRICT ATTORNEY'S OFFICE	Tara Anderson, Jackie Ortiz, Vanessa Cerda, Julius DeGuia, Gena Castro Rodriguez, Patricia Barragan, Sharon Woo,
US DEPARTMENT ON HOMELAND SECURITY	Tatum King
SAN FRANCISCO HUMAN SERVICES AGENCY	Johanna Gendelman, Barret Johnson
SAN FRANCISCO JUVENILE PROBATION DEPARTMENT	Toni Powell, Ana Villagran, Lorena De Jesus
SAN FRANCISCO LABOR STANDARDS ENFORCEMENT	Beverly Popek
OFFICE OF MAYOR ED LEE	Diana Olivia-Aroche, Ragnhild Schaap, Catherine McCracken
MAYOR'S OFFICE OF HOUSING	Anne Romero
SAN FRANCISCO POLICE DEPARTMENT	Lt. Michael Dutoroff, Lt. Ed Santos, Sgt. Antonio Flores, Rodney Chan
SAN FRANCISCO PUBLIC DEFENDER'S OFFICE	Patricia Lee, Rebecca Marcus, Simin Shamji
SAN FRANCISCO DEPARTMENT OF PUBLIC HEALTH	Cristy Dieterich, Kevin Lee, Cyndy Comerford, Samira Causevic, Alison Lustbader
SENATOR DIANNE FEINSTEIN'S OFFICE	Caitlin Meyer
SAN FRANCISCO DEPARTMENT ON THE STATUS OF WOMEN	Dr. Emily Murase, Minouche Kandel, Allison Ipsen, Julie Lim, Alana Rotti, Maria Tourtchaninova, Jenna Waldman, Sage Fanucchi-Funes
SAN FRANCISCO UNIFIED SCHOOL DISTRICT	Angelina Romano
US ATTORNEY'S OFFICE	AnneMarie Conroy
COMMUNITY AGENCIES	
ANNIE CANNONS	Laura Hackney
ASIAN PACIFIC ISLANDER LEGAL OUTREACH	Hyun-Mi Kim, Leah Chen Price
ASIAN WOMEN'S SHELTER	Hediana Utarti
CALIFORNIA MASSAGE THERAPY COUNCIL	Beverly May
SF CHILD ABUSE PREVENTION CENTER	Andrea Rush, Sherry Ezhuthachan
COMMUNITY UNITED AGAINST VIOLENCE	Lidia Salazar, Carolina Morales
EMERGE GLOBAL	Alia Whitney Johnson
FREEDOM HOUSE SF	Frances Byrne
HUCKLEBERRY YOUTH PROGRAMS	Patrick Buckalew, Mollie Brown, Kailey Norris
LA CASA DE LAS MADRES	Katherine Berg
LARKIN STREET YOUTH SERVICES	Irene Casanova, Linda Walubengo

LEGAL SERVICES FOR CHILDREN	Semuteh Freeman, Linn Chiu
LOVE NEVER FAILS	Susanna Shin, Vanessa Scott, Susanna Choi, Benita Hopkins
LAVENDER YOUTH RESOURCE INFORMATION CENTER	Alan Guttirez
MISSEY	Falila "Aisha" Bilal, Ade Ngen, Ehb Teng
NALLS FOUNDATION	Kelly Gilliam
NATIONAL COUNCIL OF JEWISH WOMEN	Robin Brasso
SAN FRANCISCO COLLABORATIVE AGAINST HUMAN TRAFFICKING	Antonia Lavine
NOT FOR SALE	Venus Rodriguez, Darien Eastman
RTI INTERNATIONAL	Alexandra Lutnick
SAN FRANCISCO SAFE HOUSE	Jessica Li
SEX WORKERS OUTREACH PROJECT	Shannon Williams, Kristin Di Angelo
SAN FRANCISCO WOMEN AGAINST RAPE	Zully Batres
SISTERS AGAINST TRAFFICKING	Sister Marie Gaillac
SISTERS OF MERCY	Sister Therese Randolph
SOJOURNER TRUTH FOSTER FAMILY SERVICE AGENCY	Carletta Jackson-Lane
ST. JAMES INFIRMARY	Pratima Gupta, Stephanie Ashley, Dee Michel, Cyd Nova
SF TRANSITIONAL AGED YOUTH	Mia Satya
YOUNG WOMEN'S FREEDOM CENTER	Julia Arroyo, Quora Epps, Jada Green, Ophelia Williams
COMMUNITY MEMBERS	JaMel Perkins, Natasha Dolby

Appendix B: Services of Participating Agencies

Asian Pacific Islander Legal Outreach

Offers legal representation and case management assistance to trafficking survivors, including help to obtain legal immigration status.
(415) 567-6255
1121 Mission Street, SF

Asian Women's Shelter

Provides shelter, complete case management, accompaniment, and advocacy for trafficking survivors.
(415) 751-0880
3543 18th St #19, San Francisco

Annie Cannons

Provides education and job training in digital literacy, web design, and coding for survivors of human trafficking
(415) 780-4693

Newcomers Health Program – SF Dept. of Public Health

Offers comprehensive health assessments, primary health care support and referrals, and medical and mental health interpretation.
(415) 581-2479

Freedom House

Offers two long-term shelter and aftercare programs for trafficking survivors: The Monarch for women 18 years and older and The Nest for girls 12 to 17. (650) 488-0831

Huckleberry Youth Programs

♦ **Huckleberry House**
Staffs a 24-hour hotline. Short-term, comprehensive crisis counseling and shelter program for adolescents between the ages of 11 and 17. Individual and family counseling available.
(415) 621-2929 (24-hr)
1292 Page St, San Francisco

♦ **Huckleberry Youth Health Center/Cole Street Clinic**

Specialized case management services for exploited youth ages 12-24. Primary, reproductive and mental health services for youth ages 12-24.
(415) 386-9398
555 Cole Street, SF

Human Services Agency - Family and Children's Services

Runs 24-hour hotline with resources for children who have been abused or neglected. (800) 856-5553
170 Otis Street, San Francisco

Larkin Street Youth Services

Staffs 24-hour hotline; Drop-In centers offer basic services such as food, resources, and referrals, shelter to youth between the ages of 12 and 24. (800) 669-6196
134 Golden Gate, San Francisco
536 Central Ave, San Francisco
869 Ellis Street, San Francisco

Legal Services for Children

Offers legal counsel, advice and, in some situations, legal advocacy to victims of human trafficking who are under the age of 18 to assist them with their living situation, immigration status or other legal issues. (415) 863-3762
1254 Market St. 3rd Floor, SF

LYRIC

Offers support group for sexually trafficked LGBTQ youth.
(415) 703-6150
127 Collingwood Street, SF

Nails Foundation/F.D. Home

Runs a foster group home with expertise in trafficked youth.
(415) 505-4571

Not For Sale

Offers employment training and education to survivors of trafficking.
(650) 560-9990
2225 3rd Street, SF

District Attorney's Victim Services

Offers case management, advocacy, and assistance with criminal justice process for trafficking survivors.
(415) 553-9044
850 Bryant Street #320, SF

San Francisco Safe House

Offers shelter, case management, therapy, food and clothing, job readiness training, and assistance in locating permanent housing for adult survivors of sex trafficking.
(415) 643-7861 - 559 Ellis St, SF

Sojourner Truth

Provides individual case management, counseling and therapy, as well as mental health assessment, and wrap-around services for youth ages 12-21.
(415) 647-0662 150 Executive Park Blvd, #3300, SF

St. James Infirmary

Offers primary medical care, holistic care, harm reduction services, case management, therapy and transgender health services (including HRT) for current and former sex workers.
(415) 554-8494
234 Eddy Street, San Francisco

**San Francisco Police Department
Human Trafficking Tip Line
(415) 643-6233**

**National Human Trafficking
Resource Center
Call 1-888-373-7888
Text BeFree (233733)**

Appendix C: No Traffick Ahead Resolution



No Traffick Ahead Local Government Resolution

**Taking a Stand Against Human Trafficking in the Bay Area
Before the 2016 Super Bowl and Beyond**

WHEREAS, the crime of human trafficking is present in every country and every U.S. state; and

WHEREAS, the State of California and its constituent communities are considered to be receptor sites for trafficking due to economic conditions and proximity to international borders; and

WHEREAS, forced labor, commercial sexual exploitation and involuntary domestic servitude have been found to exist within local communities; and

WHEREAS, The FBI has identified the San Francisco Bay Area as one of the top 13 sites for child sex trafficking in the country and the National Human Trafficking Hotline receives more calls from California than from any other state. Over 10% of the labor trafficking calls received by the National Human Trafficking Hotline involve restaurant or food service industries; and

WHEREAS, the 2016 Super Bowl provides an opportunity for cities and counties in the Bay Area San Francisco to ramp up their efforts to respond to human trafficking. While no definitive data exists linking the Super Bowl to increased human trafficking in the regions that host the game, the Super Bowl creates an occasion to reach out to industries, stakeholders, and the broader community that can play a role in combatting trafficking 365 days a year; and

WHEREAS, the California Transparency in Supply Chain Act requires any retail or manufacturing company doing business in California that has more than 100 million in annual gross receipts worldwide to disclose their efforts to monitor human trafficking in their supply chain; and

WHEREAS, by engaging our hotels, restaurants, other businesses, and the general public to take a stand against human trafficking, the San Francisco Bay Area can be a model for how we can all work together to tackle human trafficking;

NOW THEREFORE BE IT RESOLVED that the City/County of _____,
("Local Jurisdiction") by adopting this resolution, does hereby state that:

1. Local jurisdiction will use its best efforts to host events at hotels that have signed on to the Code of Conduct for the Protection of Minors from Sexual Exploitation in Travel and Tourism (<http://www.thecode.org>) or have enacted a similar policy, including training their employees on recognizing signs of human trafficking on their premises, and conducting risk analysis for human trafficking with their suppliers and leveraging their purchasing power to protect the freedom of those in their supply chain.
2. Local jurisdiction will use its best efforts to host events at restaurants or other venues that have taken a stand against human trafficking by training employees, displaying anti-trafficking posters, and/or conducting risk analysis for human trafficking with their suppliers and leveraging their purchasing power to protect the freedom of those in their supply chain.
3. Local jurisdiction will ensure that staff of Police Department, District Attorney, Sheriff, Adult Probation, Juvenile Probation, Public Defender, Victim Services, Child Welfare, and Public Health agencies will participate in available human trafficking training. Training will be complete by _____.

Appendix D: Media Guidelines

Recommendations for City Departments on Media Access to Human Trafficking Survivors

Need For Recommendations

The Mayor's Task Force on Anti-Human Trafficking has adopted these recommendations to ensure that City departments consider the effects on human trafficking survivors before granting media access. Media presence has the ability to shed light on an important and often ignored subject; however, this presence must be sensitive to survivors and not inflict a 'second victimization' in which survivors are once again subjected to feelings of violation, disorientation, and loss of agency. Survivors of human trafficking should be empowered to make informed decisions regarding their own media coverage. San Francisco should take steps to eradicate insensitive and sensationalized media coverage and ensure that coverage is trauma-informed. These recommendations use the term "survivor" to encompass victims, survivors and potential victims of human trafficking.

Confidentiality

First and foremost, the identities of survivors of human trafficking must remain confidential, unless a survivor wishes to disclose their own identity. Releasing information about survivors could potentially put their safety at jeopardy, especially if their case has not been adjudicated. In addition, survivors may be fearful of experiencing further isolation and/or stigmatization. It is important that survivors' safety is supported, both physically and mentally, and that they are not further victimized. Names and/or images of those involved in human trafficking investigations should not be portrayed without their consent.

Informed Consent

All interviewees must be aware of the potential benefits and harm of speaking with the media and give informed consent to be contacted by the media. The City employee arranging for the media contact with the survivor has the responsibility to obtain this consent. A sample form to be used to obtain this consent is attached at Attachment A. Informed consent must be received in writing and includes:

- Consent given in a language the survivor prefers;
- Understanding that survivors have no legal obligations to speak with media and that they will still receive help, regardless of their decision to speak with the media;
- Understanding that legal consequences may arise should the survivor choose to speak to the media and that they have the right to speak with a lawyer or case manager regarding the possible legal consequences;

- Survivors have no authority over the final product;
- Survivors will not receive monetary compensation for speaking with the media.

Ideally, the survivor will be well-prepared to speak with the media.

Media Presence During City Department Staff Interviews with Survivors

Media should not be permitted to be present during interviews with human trafficking survivors by city investigators/inspectors (police, department of public health inspectors, etc.) because it may complicate the confidentiality and fact-finding of these interviews. Media presence may deter victims from feeling safe and disclosing information. This section is not meant to apply to interviews conducted as part of a public proceeding, such as a court hearing.

Recording Inspections and Raids

Media recording during trafficking investigations/inspections should not be permitted. It is nearly impossible to receive informed consent and ensure confidentiality of victims of trafficking prior to the investigation/inspection.

Information to Provide the Media

Research has shown that media on human trafficking often sensationalizes and ignores the complexity of the survivors' lives.¹ To encourage media coverage of the larger issue, some information should be readily available to the media. This information should include but is not limited to:

- Myths and facts regarding human trafficking;
- State and federal laws;
- How people can report crimes of trafficking;
- Community and system-based resources for victim assistance;
- Best practices on messaging around human trafficking

Background information on human trafficking which addresses these topics can be found on the Mayor's Task Force on Anti-Human Trafficking website at sfgov.org/dosw/mayors-task-force-anti-human-trafficking. The Wichita State Center for Combating Human Trafficking also has a helpful guide, "Sharing the Message of Human Trafficking: A Public Awareness and Media Guide," which can be found at:

http://combatinghumantrafficking.org/Document/CCHT_Public_Awareness_and_Media_Guide_06052014.pdf

Minors

In case of minors, every step to protect their identity must be taken. Their cases should not be shared by agencies until all investigation is complete and all civil and criminal proceedings are

¹ Sillesen, Lene Bech, "Covering Sex Trafficking: Journalists Can Do Better," Columbia Journalism Review, 2014, http://www.cjr.org/behind_the_news/covering_sex_trafficking_journ.php.

resolved, and their family or legal guardians have given consent to share their story with the media. Minors cannot give informed consent to speak with the media.

Authorized Personnel

Authorizing specific personnel in the office who are either trained or have a knowledge base around human trafficking to speak to media on these issues is important. Having these personnel will ensure that information is accurate and sensitive to survivors of trafficking.

Directory of Survivors

Keeping a directory of survivors who want to speak with the media or have expressed interest, may be of interest, in case the media contacts a department asking to be connected to a survivor. However, do not give survivor information out without first speaking and receiving informed consent with the survivor to release their personal information to any media outlet. S.H.A.D.E. is one organization that can connect you to survivors and their number is: 510-306-5316.

9/8/15

Appendix E: Data Collection Template

Criminal Justice Agency Form:

San Francisco's **MAYOR'S TASK FORCE ON ANTI-HUMAN TRAFFICKING**

DATA COLLECTION FORM: Criminal Justice Agencies

Police, District Attorney, FBI, U.S. Attorney, Homeland Security.

Reporting Period: January 1, 2015-December 31, 2015

Agency: Your Agency Name

Program: Program Name (if applicable)

Prepared by: _____

Phone: _____

Email: _____

Instructions:

Please provide data related to human trafficking survivors and perpetrators seen by your agency during Calendar Year 2015 by clicking on the labeled excel tabs. PLEASE FILL OUT ALL APPLICABLE INFORMATION.

PLEASE NOTE: This year we are requesting data on **CONFIRMED** cases only. Please **DO NOT** include "suspected" cases.

For the purposes of this report, the terms "human trafficking" and "trafficking in persons" will refer to the definition of "severe forms of trafficking in persons" set forth in the Trafficking Victims Protection Act (TVPA) under U.S. federal law, which states that:

A. Sex trafficking is the recruitment, harboring, transportation, provision, or obtaining of a person for the purposes of a commercial sex act, in which the commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such an act has not attained 18 years of age, (22 USC § 7102; 8 CFR § 214.11(a)); and

B. Labor trafficking is the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purposes of subjection to involuntary servitude, peonage, debt bondage, or slavery, (22 USC § 7102).

PLEASE NOTE: The Mayor's Task Force on Anti-Human Trafficking is committed to preserving client confidentiality. Identifiable information will be collected in this process.

Technical Assistance

If you have questions, or need additional information or technical assistance, please contact:

Maria Tourtchaninova, Public Policy Fellow, Department on the Status of Women

Email: maria.tourtchaninova@sfgov.org Phone: (415) 252-2578

DEFINITIONS

The following definitions of common terms and data collection variables are used for the purposes of this data collection system.

Sex trafficking: The recruitment, harboring, transportation, provision, or obtaining of a person for the purpose of a commercial sex act, which commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age.

Labor trafficking: The recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery.

Other forms of trafficking:

The recruitment, harboring, transportation, provision, or obtaining of a person through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery, where no elements of sex or labor trafficking have been identified.

Cisgender

Denoting or relating to a person whose self-identity conforms with the gender that corresponds to their biological sex.

REFERENCES:

Section 7102(8) of Title 22 US Code.

"7102 (8) SEVERE FORMS OF TRAFFICKING IN PERSONS.—The term "severe forms of trafficking in persons" means—

(A) sex trafficking in which a commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age ((9) The term "sex trafficking" means the recruitment, harboring, transportation, provision, or obtaining of a person for the purpose of a commercial sex act).;

or

(B) the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery."

Section 236.1(g) of the California Penal Code

"(g) The Legislature finds that the definition of human trafficking in this section is equivalent to the federal definition of a severe form of trafficking found in Section 7102(8) of Title 22 of the United States Code."

The Trafficking Victims Protection Act of 2000 (TVPA) and its 2003, 2005, and 2008 reauthorizations)

Age definition:

Age 17 includes children aged 17 up until their 18th birthday.

History of Trafficking:

Survivors/victims include clients who are currently receiving services and have had a history of human trafficking.

Demographics of Victims/Survivors of Human Trafficking

Agency: _____ Your Agency Name
Program: _____ Program Name (if applicable)

CHECK: Total Number of Human Trafficking Victims/Survivors:

Number of Female Trafficking Survivors by Ethnicity, Age of First Contact with Agency	CISGENDER WOMAN						Subtotal (Gender Identity & Ethnicity)	TRANSGENDER-WOMAN (Male to Female)						Subtotal (Gender Identity & Ethnicity)
	0-13	14-17	18-24	25-64	65+	Unknown		0-13	14-17	18-24	25-64	65+	Unknown	
African American							-							-
Asian Pacific Islander							-							-
White							-							-
Hispanic/Latina/o							-							-
Middle Eastern							-							-
Native American							-							-
BI/Multi Ethnic							-							-
Unknown or Other							-							-
Subtotal (Age & Gender)	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Number of Male Trafficking Survivors by Ethnicity, Age of First Contact with Agency	CISGENDER MAN						Subtotal (Gender Identity & Ethnicity)	TRANSGENDER-MAN (Female to Male)						Subtotal (Gender Identity & Ethnicity)
	0-13	14-17	18-24	25-64	65+	Unknown		0-13	14-17	18-24	25-64	65+	Unknown	
African American							-							-
Asian Pacific Islander							-							-
White							-							-
Hispanic/Latina/o							-							-
Middle Eastern							-							-
Native American							-							-
BI/Multi Ethnic							-							-
Unknown or Other							-							-
Subtotal (Age & Gender)	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Sexual Orientation of Survivors/Victims	
Heterosexual	
Queer/Questioning	
Lesbian	
Gay	
Bisexual	
Decline to State/Unknown	

NOTES:

Demographics of Human Trafficking Perpetrators

Agency: _____ Your Agency Name _____
 Program: _____ Program Name (if applicable) _____

CHECK: Total Number of Human Trafficking Perpetrators:

Number of Female Perpetrators by Ethnicity, Age of First Contact with Agency	CISGENDER WOMAN						Subtotal (Gender, Identity & Ethnicity)	TRANS WOMAN (Male to Female)						Subtotal (Gender, Identity & Ethnicity)
	0-13	14-17	18-24	25-64	65+	Unknown		0-13	14-17	18-24	25-64	65+	Unknown	
African American														
Asian Pacific Islander														
White														
Hispanic Latina/o														
Middle Eastern														
Native American														
BI/Multi-ethnic														
Unknown or Other														
Subtotal (Age x Gender)														

Number of Male Perpetrators by Ethnicity, Age of First Contact with Agency	CISGENDER MAN						Subtotal (Gender, Identity & Ethnicity)	TRANS MAN (Female to Male)						Subtotal (Gender, Identity & Ethnicity)
	0-13	14-17	18-24	25-64	65+	Unknown		0-13	14-17	18-24	25-64	65+	Unknown	
African American														
Asian Pacific Islander														
White														
Hispanic Latina/o														
Middle Eastern														
Native American														
BI/Multi-ethnic														
Unknown or Other														
Subtotal (Age x Gender)														

Sexual Orientation of Perpetrators	
Heterosexual	
Queer/Questioning	
Lesbian	
Gay	
Bisexual	
Decline to State/Unknown	

NOTES:

Details of CONFIRMED Trafficking Cases

Agency: _____ Your Agency Name
Program: _____ Program Name (if applicable)

Trafficker was a: unrelated gang member		
Trafficker was a: unrelated employer		
Trafficker was a: other (specify below)		
Trafficker was: unknown		
SITE(S) OF TRAFFICKING (First Contact/Occurrence)	Number of Child	Number of Adult
BY NEIGHBORHOOD	Victim/Survivors	Victim/Survivors
Alamo Square		
Bayview		
Bernal Heights		
Castro		
Chinatown		
Civic Center		
Cole Valley		
Cow Hollow		
Diamond Heights		
Embarcadero		
Excelsior		
Fillmore		
Financial District		
Fisherman's Wharf		
Glen Park		
Haight-Ashbury		
Hayes Valley		
Hunters Point		
Ingleside		
Japantown		
Laurel Heights		
Marina District		
Midtown Terrace		
Mission District		
Nob Hill		
Noe Valley		
North Beach		
Outer Mission		
Pacific Heights		
Parkmerced		
Parnassus		
Portola		
Potrero Hill		
Presidio		
Richmond District		
Russian Hill		
Sea Cliff		
South Beach		

Details of CONFIRMED Trafficking Cases

Agency: Your Agency Name
Program: Program Name (if applicable)

South of Market			
Sunset District			
Telegraph Hill			
Tenderloin			
Twin Peaks			
Union Square			
Upper Market			
Visitation Valley			
West Portal			
Western Addition			
Yerba Buena			
Other (please list):			
ORIGIN OF SURVIVOR / PERPETRATOR	Number of Child Victim/Survivors	Number of Adult Victim/Survivors	Number of Child Perpetrators
Domestic			
San Francisco County			
California (outside San Francisco, list counties/cities if known)			
Alameda County			
Sacramento County			
United States (list cities/states if known)			
Total Domestic Trafficking	0		
International			
Central & South America (list countries if known)			
Guatemala			
North America (list countries if known)			
Mexico			
Canada			
Europe (list countries if known)			
Middle East (list countries if known)			
Asia/Pacific Islands (list countries if known)			

Details of CONFIRMED Trafficking Cases

Agency: Your Agency Name
 Program: Program Name (if applicable)

Thailand			
India			
Philippines			
Africa (list countries if known)			
Other (please list):			
CHECK: Total International Trafficking	0		(

Human Trafficking Criminal Investigations

Agency: #REF!

Program: #REF!

DETAILS ON CRIMINAL INVESTIGATIONS

Police Department, FBI, Homeland Security

Number of trafficking case investigated by your agency:

Number of suspects arrested:

District Attorney, US Attorney

Number of Cases Charged:

Number of Conviction by Plea Bargain or Trial:

Languages Spoken

Agency: _____ Your Agency Name
Program: _____ Program Name (if applicable)

Please enter the total number of CONFIRMED survivors or perpetrators that your agency has worked with for each subcategory.

	Number of Child Victim/Survivors	Number of Adult Victim/Survivors
Number of monolingual or limited-English proficiency		
Number who are fluent in English		
Total Number of Survivors/Perpetrators		
Primary language for those survivors/perpetrators who speak limited or no English:		
Amharic		
Arabic		
ASL		
Burmese		
Cambodian		
Cantonese		
Creole		
Farsi		
Fijian		
French		
German		
Hindi		
Hmong		
Indonesian		
Italian		
Japanese		
Korean		
Laotian		
Mandarin		
Mien		
Mongolian		
Moroccan		
Nigerian		
Portuguese		
Punjabi		
Russian		
Samoan		
Spanish		
Tagalog/Filipino		
Taiwanese		
Thai		
Tongan		
Urdu		
Vietnamese		
Other		

Human Trafficking Trainings

Agency:	#REF!
Program:	#REF!

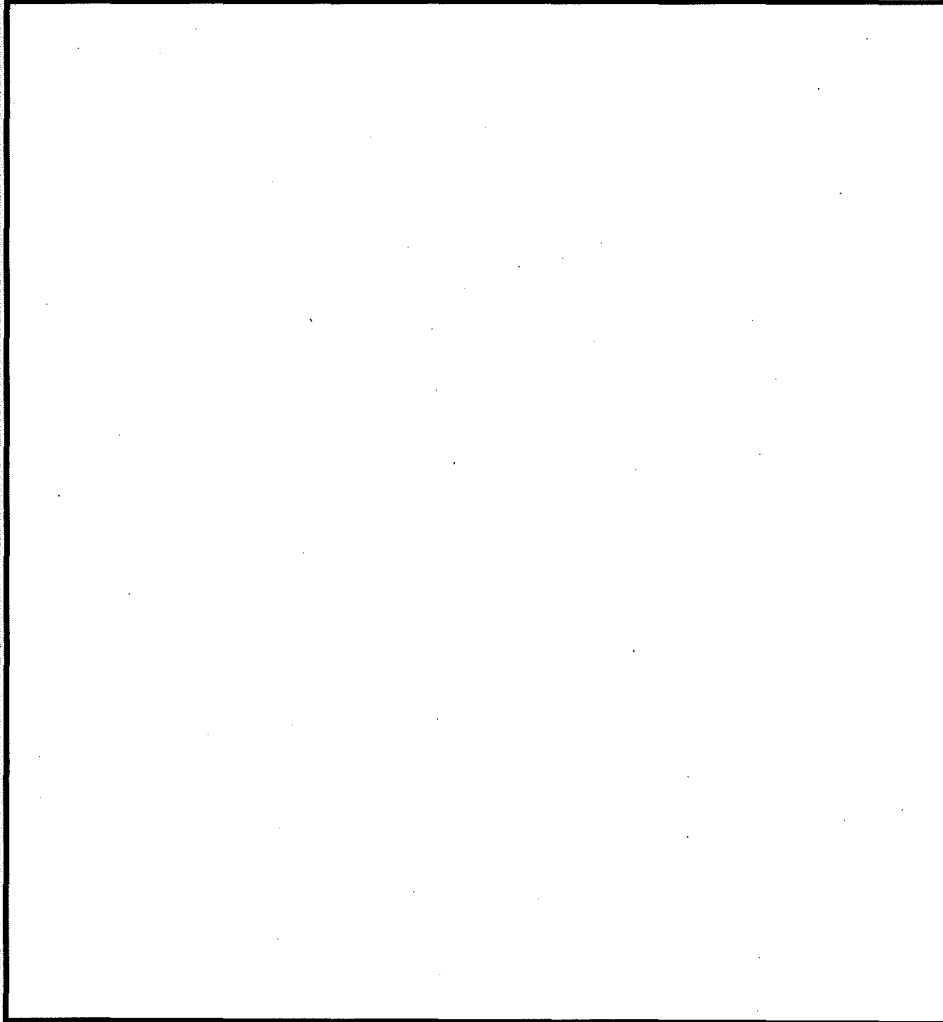
HUMAN TRAFFICKING TRAININGS

Number of human trafficking trainings your agency has held:
Number of people trained (overall):
Types of audience:
Ex: Educators, Service Providers, law enforcement...(please list)
Results of any evaluations done on trainings: Please list below.

CASE PROFILE

Directions: Briefly describe a human trafficking case profile for the year of 2015.

Questions to consider: How was the case identified? What were the victim and perpetrator demographics: age, race, type of trafficking (sex/labor) and industry (massage/construction, etc)? How did the case develop? What was the end result? Why did you decide to profile this case? Is it similar to other cases at your agency? If not, what makes it unique? (Double click into the white box to begin typing).



Non-Criminal Justice Agency Form:

San Francisco's **MAYOR'S TASK FORCE ON ANTI-HUMAN TRAFFICKING**

DATA COLLECTION FORM: Non-Criminal Justice Agencies

Reporting Period: January 1, 2015-December 31, 2015

Agency: Your Agency Name

Program: Program Name (if applicable)

Prepared by: _____

Phone: _____

Email: _____

Type of Agency (check one): ☐ Social Service Community Based Organization

☐ Government Agency (non-criminal justice)

Instructions:

Please provide data related to human trafficking survivors and perpetrators seen by your agency during Calendar Year 2015 only, by clicking on the labeled excel tabs. PLEASE FILL OUT ALL APPLICABLE INFORMATION.

PLEASE NOTE: This year we are requesting data on **CONFIRMED** cases only. Please **DO NOT** include "suspected" cases.

For the purposes of this report, the terms "human trafficking" and "trafficking in persons" will refer to the definition of "severe forms of trafficking in persons" set forth in the Trafficking Victims Protection Act (TVPA) under U.S. federal law, which states that:

A. Sex trafficking is the recruitment, harboring, transportation, provision, or obtaining of a person for the purposes of a commercial sex act, in which the commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such an act has not attained 18 years of age, (22 USC § 7102; 8 CFR § 214.11(a)); and

B. Labor trafficking is the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purposes of subjection to involuntary servitude, peonage, debt bondage, or slavery, (22 USC § 7102).

PLEASE NOTE: The Mayor's Task Force on Anti-Human Trafficking is committed to preserving client confidentiality. No personally identifiable information will be collected in this process.

Technical Assistance

If you have questions, or need additional information or technical assistance, please contact:

Maria Tourtchaninova, Public Policy Fellow, Department on the Status of Women

Email: maria.tourtchaninova@sfgov.org

Phone: (415) 252-2578

DEFINITIONS

The following definitions of common terms and data collection variables are used for the purposes of this data collection system.

Sex trafficking: The recruitment, harboring, transportation, provision, or obtaining of a person for the purpose of a commercial sex act, which commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age.

Labor trafficking: The recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery.

Other forms of trafficking:

The recruitment, harboring, transportation, provision, or obtaining of a person through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery, where no elements of sex or labor trafficking have been identified.

Cisgender

Denoting or relating to a person whose self-identity conforms with the gender that corresponds to their biological sex.

REFERENCES:

Section 7102(8) of Title 22 US Code.

"7102 (8) SEVERE FORMS OF TRAFFICKING IN PERSONS.—The term "severe forms of trafficking in persons" means—

(A) sex trafficking in which a commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age ((9) The term "sex trafficking" means the recruitment, harboring, transportation, provision, or obtaining of a person for the purpose of a commercial sex act),;

or

(B) the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery."

Section 236.1(a) of the California Penal Code

"(g) The Legislature finds that the definition of human trafficking in this section is equivalent to the federal definition of a severe form of trafficking found in Section 7102(8) of Title 22 of the United States Code."

The Trafficking Victims Protection Act of 2000 (TVPA) and its 2003, 2005, and 2008 reauthorizations)

Age definition:

Age 17 includes children aged 17 up until their 18th birthday.

History of Trafficking:

Survivors/victims include clients who are currently receiving services and have had a history of human trafficking.

Demographics of Victims/Survivors of Human Trafficking

Agency: _____ Your Agency Name
 Program: _____ Program Name (if applicable)

CHECK: Total Number of Human Trafficking Victims/Survivors

Number of Female Trafficking Survivors by Ethnicity, Age of First Contact with Agency	CISGENDER WOMAN						Subtotal (Gender Identity & Ethnicity)
	0-13	14-17	18-24	25-64	65+	Unknown	
African American							
Asian Pacific Islander							
White							
Hispanic Latin/a							
Middle Eastern							
Native American							
BI/Multi Ethnic							
Unknown or Other							
Subtotal (Age & Gender)							

Number of Male Trafficking Survivors by Ethnicity, Age of First Contact with Agency	CISGENDER MAN						Subtotal (Gender Identity & Ethnicity)
	0-13	14-17	18-24	25-64	65+	Unknown	
African American							
Asian Pacific Islander							
White							
Hispanic Latin/a							
Middle Eastern							
Native American							
BI/Multi Ethnic							
Unknown or Other							
Subtotal (Age & Gender)							

Number of Female Trafficking Survivors by Ethnicity, Age of First Contact with Agency	TRANSGENDER-WOMAN (Male to Female)						Subtotal (Gender Identity & Ethnicity)
	0-13	14-17	18-24	25-64	65+	Unknown	
African American							
Asian Pacific Islander							
White							
Hispanic Latin/a							
Middle Eastern							
Native American							
BI/Multi Ethnic							
Unknown or Other							
Subtotal (Age & Gender)							

Number of Male Trafficking Survivors by Ethnicity, Age of First Contact with Agency	TRANSGENDER-MAN (Female to Male)						Subtotal (Gender Identity & Ethnicity)
	0-13	14-17	18-24	25-64	65+	Unknown	
African American							
Asian Pacific Islander							
White							
Hispanic Latin/a							
Middle Eastern							
Native American							
BI/Multi Ethnic							
Unknown or Other							
Subtotal (Age & Gender)							

Sexual Orientation of Survivors/Victims	
Heterosexual	
Queer/Questioning	
Lesbian	
Gay	
Bisexual	
Decline to State/Unknown	

NOTES:

Details and Services For CONFIRMED Trafficking Cases

Agency: Your Agency Name
 Program: Program Name (if applicable)

Please enter the total number of CONFIRMED (not suspected) victims/survivors that your agency has worked with for each subcategory.	Number of Confirmed Victim/Survivors
TYPE OF TRAFFICKING	
Child Trafficking (0-17)	
Sex Trafficking (e.g. pimping and pandering)	
Sex Trafficking (no pimp involvement)	
Labor Trafficking: door-to-door sales crew	
Labor Trafficking: street begging	
Labor Trafficking: agriculture	
Labor Trafficking: domestic servitude	
Labor Trafficking: janitorial	
Labor Trafficking: construction	
Labor Trafficking: restaurant industry	
Labor Trafficking: other (specify below)	
Trafficking: unknown type	
Total Child Trafficking	
CHECK: Total Child calculated from Demographics Tab	0
Adult Trafficking (18+)	
Sex Trafficking: massage establishment	
Sex Trafficking: other (specify below)	

Details and Services For CONFIRMED Trafficking Cases

Agency: Your Agency Name
 Program: Program Name (if applicable)

Labor Trafficking: door-to-door sales crew		
Labor Trafficking: street begging		
Labor Trafficking: agriculture		
Labor Trafficking: domestic servitude		
Labor Trafficking: janitorial		
Labor Trafficking: construction		
Labor Trafficking: restaurant industry		
Labor Trafficking: other (specify below)		
Trafficking: unknown type		
Total Adult Trafficking		
CHECK: Total Adult calculated from Demographics Tab	0	
VICTIM'S RELATIONSHIP TO TRAFFICKER	Child Victim/Survivors	Adult Victim/Survivors
Trafficker was a: parent/family member/guardian		
Trafficker was a: romantic partner		
Trafficker was a: unrelated pimp		
Trafficker was a: friend/acquaintance		
Trafficker was a: related gang member		
Trafficker was a: unrelated gang member		
Trafficker was a: unrelated employer		
Trafficker was a: other (specify below)		
Trafficker was: unknown		

Details and Services For CONFIRMED Trafficking Cases

Agency: Your Agency Name
 Program: Program Name (if applicable)

SITE(S) OF TRAFFICKING (First Contact/Occurrence) BY NEIGHBORHOOD	Child Victim/Survivors	Adult Victim/Survivors
Alamo Square		
Bayview		
Bernal Heights		
Castro		
Chinatown		
Civic Center		
Cole Valley		
Cow Hollow		
Diamond Heights		
Embarcadero		
Excelsior		
Fillmore		
Financial District		
Fisherman's Wharf		
Glen Park		
Haight-Ashbury		
Hayes Valley		
Hunters Point		
Ingleside		
Japantown		
Laurel Heights		
Marina District		
Midtown Terrace		

Details and Services For CONFIRMED Trafficking Cases

Agency: Your Agency Name
 Program: Program Name (if applicable)

Mission District		
Nob Hill		
Noe Valley		
North Beach		
Outer Mission		
Pacific Heights		
Parkmerced		
Parnassus		
Portola		
Potrero Hill		
Presidio		
Richmond District		
Russian Hill		
Sea Cliff		
South Beach		
South of Market		
Sunset District		
Telegraph Hill		
Tenderloin		
Twin Peaks		
Union Square		
Upper Market		
Visitacion Valley		
West Portal		
Western Addition		

Details and Services For CONFIRMED Trafficking Cases

Agency: Your Agency Name
Program: Program Name (if applicable)

Yerba Buena		
Other (please list):		
ORIGIN OF VICTIM/SURVIVOR	Child Victim/Survivors	Adult Victim/Survivors
Domestic		
San Francisco County		
California (outside San Francisco, list counties/cities if known)		
Alameda County		
Sacramento County		
United States (list cities/states if known)		
Total Domestic Trafficking	0	
International		
Central & South America (list countries if known)		
Guatemala		
North America (list countries if known)		
Mexico		
Canada		

Details and Services For CONFIRMED Trafficking Cases

Agency: Your Agency Name
Program: Program Name (if applicable)

Europe (list countries if known)		
Middle East (list countries if known)		
Asia/Pacific Islands (list countries below if known)		
Thailand		
India		
Philippines		
Africa (list countries if known)		
Other (please list):		
Total International Trafficking	0	
SERVICES RECEIVED FROM SOCIAL SERVICE PROVIDERS	Child Victim/Survivors	Adult Victim/Survivors
Emergency Shelter		
Transitional or Permanent Housing		

Details and Services For CONFIRMED Trafficking Cases

Agency: Your Agency Name
Program: Program Name (if applicable)

Food Assistance		
Case Management		
Physical Health Services		
Advocacy and Accompaniment		
Education and Training		
Mental Health Services		
Counseling and Support Groups		
Financial Assistance		
Legal Assistance		
Out of Home Placement (HSA/CFS)		
Referral to Criminal Justice Agencies		
Referral to Juvenile Justice System		
Other (please list):		
Average number of hours (staff time) needed to assist a trafficking survivor / work a trafficking case:		

Languages Spoken

Agency: Your Agency Name

Program: Program Name (if applicable)

Please enter the total number of CONFIRMED victims/survivors that your agency has worked with for each subcategory.	
	Number of Child Victim/Survivors
Number of monolingual or limited-English proficiency	
Number who are fluent in English	
Total Number of Victims/Survivors	
Primary language for those victims/survivors who speak limited or no English:	Number of Child Victim/Survivors
Amharic	
Arabic	
ASL	
Burmese	
Cambodian	
Cantonese	
Creole	
Farsi	
Fijian	
French	
German	
Hindi	
Hmong	
Indonesian	
Italian	
Japanese	
Korean	
Laotian	
Mandarin	
Mien	
Mongolian	
Moroccan	
Nigerian	
Portuguese	
Punjabi	
Russian	
Samoa	
Spanish	
Tagalog/Filipino	
Taiwanese	
Thai	
Tongan	
Urdu	
Vietnamese	
Other	

CASE PROFILE

Directions: Briefly describe a human trafficking case profile for the year of 2015.

Questions to consider: How was the case referred to your agency? What were the victim and perpetrator demographics: age, race, type of trafficking (sex/labor) and industry (massage/construction, etc)? How did the case develop? What was the end result? Why did you decide to profile this case? Is it similar to other cases at your agency? If not, what makes it unique? (Double click into the white box to begin typing).

DEPARTMENT OF CALIFORNIA HIGHWAY PATROL

455 Eighth Street
San Francisco, CA 94103
(415) 557-1094
(800) 735-2929 (TT/TDD)
(800) 735-2922 (Voice)



October 28, 2016

File No.: 335.14995.17763.16-6552

San Francisco County Board of Supervisors
1 Dr. Carlton B. Goodlett Place #244
San Francisco, CA 94102

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2016 NOV -2 PM 3:22

Dear Board Members:

The enclosed report is submitted pursuant to Health and Safety Code Section 25180.7. The report documents information regarding the discharge of hazardous materials, which could cause injury to the public's health or safety. The report is submitted on behalf of all designated employees of the Department of the California Highway Patrol.

Sincerely,

A handwritten signature in cursive script, appearing to read "C. J. Sherry".

C. J. SHERRY, Captain
Commander
San Francisco Area

Enclosure



HAZARDOUS MATERIALS INCIDENT REPORT

CHP 407E (Rev. 3-15) OPI 062 Refer to HPM 84.2, Chapter 2

OES CONTROL NUMBER

16-6552

COLLISION REPORT

☒ Yes NUMBER 9335-2016-7384

☐ No

HAZMAT CASUALTIES	NO. EXPOSED/ DECONNED	NO. INJURED	NO. KILLED	CITY	JUDICIAL DISTRICT	PHOTOGRAPHS BY <input type="checkbox"/> NONE
AGENCY PERSONNEL	0	0	0	San Francisco	San Francisco Superior	K. Lal & A. Armando
OTHERS	0	0	0	COUNTY San Francisco	NCIC 9335	HAZMAT PLACARDS DISPLAYED <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
INCIDENT DATE (MM/DD/YYYY)	INCIDENT TIME		TIME CALTRANS/COUNTY ROADS NOTIFIED		TIME O.E.S. NOTIFIED	
10/28/2016	0455 HOURS		0509 HOURS		0616 HOURS	
INCIDENT OCCURRED ON				<input type="checkbox"/> AT INTERSECTION WITH <input checked="" type="checkbox"/> OR East of First Street (376 feet)		

I-80 E/B east of the First Street on ramp

MILEPOST INFORMATION

376.00 feet East of 80 SF 5.544

GPS COORDINATES

LATITUDE 37.785840°

LONGITUDE -122.391370°

NAME (FIRST, MIDDLE, LAST)	DRIVER'S LICENSE NUMBER	STATE	VEH. YEAR	MAKE	LICENSE NUMBER	STATE
Sebastian Guerrero	B4612042	CA	2008	Freightliner Century Truck	9F39790	CA
STREET ADDRESS	VEH. YEAR	MAKE	LICENSE NUMBER	STATE		
805 Crippen Ave.	2015	Vanguard Semi-Trailer	48127B	AZ		
CITY/STATE/ZIP CODE	VEH. YEAR	MAKE	LICENSE NUMBER	STATE		
Modesto, CA 95351						
HOME PHONE	BUSINESS PHONE	CARRIER NAME				
(510) 432-9568	(831) 229-9172	Cosme Lopez				

HAZMAT IDENTIFICATION SOURCES (CHECK ALL THAT APPLY)

- | | |
|---|--|
| <input checked="" type="checkbox"/> On-site fire services | <input type="checkbox"/> Chemtrec |
| <input type="checkbox"/> Private info source | <input type="checkbox"/> Poison Control Center |
| <input type="checkbox"/> Off-site fire services | <input type="checkbox"/> Safety Data Sheet |
| <input type="checkbox"/> On-site non-fire services | <input type="checkbox"/> Placards/Signs |
| <input type="checkbox"/> Off-site non-fire services | <input type="checkbox"/> Shipping papers |
| <input type="checkbox"/> Computer software | <input checked="" type="checkbox"/> Emergency Response Guidebook |
| <input type="checkbox"/> Chemist | <input type="checkbox"/> No reference material used |
| <input type="checkbox"/> Other | |

REGISTERED OWNER ☐ SAME AS DRIVER

Cosme Lopez

OWNER'S ADDRESS ☐ SAME AS DRIVER

2445 Dry Creek Way, Stockton, CA 95206

VEHICLE IDENTIFICATION NUMBER

1FUJBBCK48LAA9339

VEHICLE TYPE	CA NUMBER	DOT NUMBER
25 31	326142	1489979

CHEMICAL/TRADE NAME	UN NUMBER	DOT HAZARD CLASS	QUANTITY RELEASED (LBS., GAL., ETC.)	EXTENT OF RELEASE	PHYSICAL STATE STORED	PHYSICAL STATE RELEASED
Diesel Fuel	1993	3	100 gallons	Outside vehicle	Liquid	Liquid

CONTAINER TYPE	CONTAINER CAPACITY (LBS., GAL., ETC.)	CONTAINER MATERIAL	LEVEL OF CONTAINER
Vehicular fuel tank	150 gallons	Aluminum/Aluminum alloys	Above ground

CHEMICAL/TRADE NAME	UN NUMBER	DOT HAZARD CLASS	QUANTITY RELEASED (LBS., GAL., ETC.)	EXTENT OF RELEASE	PHYSICAL STATE STORED	PHYSICAL STATE RELEASED

CONTAINER TYPE	CONTAINER CAPACITY (LBS., GAL., ETC.)	CONTAINER MATERIAL	LEVEL OF CONTAINER

PROPERTY USE	SURROUNDING AREA	PROPERTY MANAGEMENT
Freeway	County/City road	State

RELEASE FACTORS	EQUIPMENT TYPE INVOLVED	HAZMAT CONFIRMED
Collision/Overturn	Vehicle fuel system	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

CITATION ISSUED OR COMPLAINT TO BE FILED	PRIMARY CAUSE OF INCIDENT	OTHER HAZARDOUS MATERIALS VIOLATIONS (NON-CAUSATIVE)
<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not determined	<input checked="" type="checkbox"/> Violation 22107 VC	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

<input checked="" type="checkbox"/> Other Code violation 22350 VC	DID WEATHER CONTRIBUTE TO CAUSE OR SEVERITY OF INCIDENT?
<input type="checkbox"/> Other cause	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No WEATHER Rain

ELEMENTS (OUTLINE THE FOLLOWING ON A CHP 556. INCLUDE ADDITIONAL INFORMATION AS NECESSARY)

- | | | | |
|--|--|---|---|
| <input checked="" type="checkbox"/> Sequence of events | <input type="checkbox"/> Evacuation details | <input checked="" type="checkbox"/> Cleanup actions | <input checked="" type="checkbox"/> CHP On-scene Personnel (name, rank, ID number, function, exposure, hours) |
| <input checked="" type="checkbox"/> Road closures | <input checked="" type="checkbox"/> Environmental impact | <input checked="" type="checkbox"/> Actions of other agencies | |

COMPLETE THE FOLLOWING

- ☒ Incident Action Plan ☒ Site Safety Plan ☒ Proposition 65 Letters: County Health/County Board of Supervisors

DATE AND TIME SCENE DECLARED SAFE	BY WHOM (NAME, TITLE AND AGENCY)
10/28/2016 1202 HOURS	Mike Caliguire, Field Response Supervisor, Patriot Environmental Services & Charles Hale Cal-Trans

PREPARER'S NAME, RANK, AND ID NUMBER	DATE	REVIEWER'S NAME, RANK, AND ID NUMBER	DATE
K. Lal, Sergeant, 17763	10/29/2016	CAPTAIN CHRIS SHERRY 14995	10-31-16

BOS -11 C pages
File No. 160222

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: Item 7 -- Correspondence from Sierra Club
Attachments: Sierra Club Letter re Item 7.pdf

From: Luis Amezcua [mailto:lamezcua27@gmail.com]
Sent: Tuesday, November 01, 2016 10:08 AM
To: Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>
Subject: Item 7 -- Correspondence from Sierra Club

Dear President Breed and Board of Supervisors:

Please find attached Sierra Club's letter regarding Item 7 on today's agenda.

Thank you,

Luis Amezcua
Co-Chair, Energy-Climate Committee
Sierra Club, San Francisco Bay Chapter



November 01, 2016

President London Breed and Members of the San Francisco Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place
San Francisco, California 94102

RE: Item 7 – Prohibition on Leasing for the Extraction of Fossil Fuels

Dear President Breed and Board of Supervisors:

We thank the Board of Supervisors for your support of the “Keep It in the Ground” ordinance, which prohibits fossil fuel extraction from city-owned land. The Sierra Club strongly supports the ordinance.

This carefully crafted ordinance will not only move the City of San Francisco away from profiting from fossil fuel extraction, but it does so in a way that provides a very real opportunity to develop clean energy and create good new green jobs – all while protecting our environment and providing a reliable revenue stream to fund city services.

The impacts of the ordinance, if adopted, will be far-reaching. Residents in San Francisco will potentially have greater access to clean energy, while lessening the City’s overall carbon footprint and improving our climate. Residents in Kern County will be able to breathe healthier air, drink cleaner water, and have greater access to clean energy jobs. And the City can do this with the security that this decision doesn’t just make sense from an environmental and public health standpoint – it also makes sense from a financial perspective: according to the City’s Budget and Legislative Analyst, leasing the land to a solar energy provider could generate twice as much revenue per acre than the existing oil operations. If done right, the “just transition” of oil drilling operations to clean energy on City-owned land in Kern County can be a powerful example, not just for the state, but for the entire nation.

Nearly a decade ago, San Francisco leaders committed to transitioning to 100% clean energy, and gave itself the target date of 2030 to reach that goal. Now cities around the country – from San Diego to Salt Lake City – are following suit, with many more to come. We applaud the Board of Supervisors for continuing to demonstrate San Francisco’s climate leadership, and look forward to work with you to implement this important ordinance.

Sincerely,

Becky Evans
Chair
Sierra Club, San Francisco Bay Chapter

Gordon Nipp
Vice Chair
Sierra Club, Kern-Kaweah Chapter

Lena Moffitt
Director, Beyond Dirty Fuels Campaign
Sierra Club

From: Board of Supervisors, (BOS)
To: BOS-Supervisors; Somera, Alisa (BOS)
Subject: File 160553 FW: Amendments to the Planning Code Regarding General Advertising Signs
Attachments: 2016-10-27 Letter to San Francisco Board of Supervisors.pdf

From: Michelle Jones [mailto:michelle.jones@msrlegal.com]
Sent: Thursday, October 27, 2016 11:35 AM
To: Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>
Cc: 'info@sfcityattorney.org' <info@sfcityattorney.org>; Peskin, Aaron (BOS) <aaron.peskin@sfgov.org>; Anthony Leones <tony.leones@msrlegal.com>; Brian Shaffer <brian.shaffer@msrlegal.com>
Subject: Amendments to the Planning Code Regarding General Advertising Signs

Dear Board of Supervisors:

Please see the attached correspondence from Mr. Leones with respect to the above-referenced matter.

Please feel free to contact me should you require any additional information or assistance. Thank you.

Michelle L. Jones | Miller Starr Regalia

Legal Assistant to Anthony M. Leones and Brian D. Shaffer
1331 North California Boulevard, Fifth Floor, Walnut Creek, CA 94596
t: 925.935.9400 | d: 925.942-4550 | f: 925.933.4126 | michelle.jones@msrlegal.com | www.msrlegal.com



**MILLER STARR
REGALIA**

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**MILLER STARR
REGALIA**

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO

2016 OCT 27 PM 1:46

BY *AB*

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Fifth Floor
Walnut Creek, CA 94596

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Anthony M. Leones
Direct Dial: 925 941 3261
anthony.leones@msrlgal.com

October 27, 2016

VIA HAND DELIVERY AND EMAIL

San Francisco Board of Supervisors
c/o The Clerk of the Board, Angela Calvillo
1 Dr. Carlton B. Goodlett Place
City Hall, Room 244
San Francisco, Ca. 94102-4689
Email: Board.of.Supervisors@sfgov.org

Re: Amendments to the Planning Code Regarding General Advertising Signs

Dear Board of Supervisors,

This firm represents Outfront Media LLC ("Outfront") in connection with the recent proposed amendments to the San Francisco Planning Code (File No. 160553).¹ These amendments are related to, among other things, the enforcement of violations of the general advertising sign requirements. As set forth in more detail below, certain portions of the amendments are unconstitutional. Accordingly, Outfront urges the City to take immediate action to address these issues to ensure the Code is in compliance with federal and state Constitutional requirements.

Section 610(b)(1)(A) provides that once the Planning Department determines that a general advertising sign has been erected in violation of the Code, the Director shall send a written notice of violation to the Responsible Party.² The current version of the Code allows the Responsible Party thirty calendar days to file an application to remove the sign, correct the violation, or request reconsideration. The proposed amendments to this section reduce this time period for action by the Responsible Party to five calendar days from the date postmarked on the notice of violation (or three calendar days from the date of hand delivery or e-mail delivery).

¹ Hereinafter, all code references are to the San Francisco Planning Code unless otherwise noted.

² The Code defines "Responsible Party" as "the owner(s) of the real property on which the general advertising sign is located, as listed in the Assessor's record, and the current leaseholder(s) or owner(s) of the general advertising sign, if different from the owner(s) of the real property."

The significant reduction in allowable time for a Responsible Party to respond to a notice of violation violates both the U.S. and California due process protections. "Due process principles require reasonable notice and opportunity to be heard before governmental deprivation of a significant property interest." (*Horn v. County of Ventura* (1979) 24 Cal.3d 605, 612.) "Notice of the charges sufficient to provide a *reasonable opportunity* to respond is basic to the constitutional right to due process and the common law right to a fair procedure." (*Rosenblit v. Superior Ct.* (1991) 231 Cal.App.3d 1434, 1445, emphasis added.) The underlying premise is to provide citizens a chance to defend charges (1) before property interests are disturbed; (2) before assessments or penalties are imposed, and (3) when a penalty or forfeiture might be suffered for the mere failure to act.

While the proposed amendments technically provide for notice and an opportunity to be heard, the practical effect of the amendment is to deprive Responsible Parties with adequate time to review the factual and legal basis of the alleged violation, determine an appropriate course of action, and if necessary, cure the violation. Simply put, the three or five day response time does not provide the reasonable opportunity for a response that is constitutionally mandated. Rather, the proposed amendments provide a grossly insufficient time for Outfront, or any Responsible Party, to review the notice, evaluate the legal basis therefor, and take action by correcting the violation, filing an application to remove the sign, or requesting reconsideration.

Outfront owns and operates approximately 300 outdoor advertising signs in San Francisco. These signs are lawfully operated. Outfront has obtained all necessary permits to operate its signs and annually pays the required sign inventory fees under the Code. Outfront works cooperatively with the City to maintain its inventory of signs and to ensure all fees are paid.

The significant reduction in time to respond to a Notice of Violation appears primarily targeted at operators of illegal signs in the City. The City seems particularly concerned with illegal sign operators who intentionally erect signs in violation of law knowing that it will take time for the City to identify the violation and issue notice, and knowing that it will have 30 days thereafter before it must act. The proposed amendments appear designed to eliminate these types of illegal operations by essentially requiring immediate action in response to a Notice of Violation and drastically accelerating and increasing the penalties imposed for a violation.

Outfront agrees that illegal sign operators pose a problem for the City and supports the City's efforts in eliminating illegal signs. However, Outfront is a legal sign operator and the proposed amendments impose an unduly harsh and disproportionate burden on Outfront and other legal sign companies. Outfront has enjoyed a mutually beneficial relationship with the City for many years, and hopes that relationship continues, but the severely limited amount of time to respond to a notice of violation under the proposed amendments does not pass constitutional muster and unfairly punishes legal sign operators such as Outfront.

For example, Outfront may receive a Notice of Violation challenging the design of an advertisement because it includes a temporary extension (which is allowed under the law under certain circumstances). It may take several days or weeks for Outfront's management to review and evaluate the notice, determine whether the extension is permissible, which may include referral to outside legal counsel for review, and respond to the Notice of Violation. Further, Outfront and other Responsible Parties are typically lessees and may need to provide their landlord with advance notice to access the property and cure the violation. In fact, since most signs are located adjacent to streets, additional permits, such as permits to temporarily block public parking spaces, may be necessary in order to utilize equipment to cure the violations. To require all evaluation, decision-making, and action to be completed in a three (or five) day period is not reasonable (and in some cases, impossible).³

This problem is exacerbated by the severe penalties imposed by Section 610. Under the proposed amendments, penalties begin to accrue at the daily rate set forth in Section 610(b)(2)(A) on the "Accrual Date," defined as the sixth day after the date postmarked on the notice, or the fourth day after hand or electronic delivery of the notice. The daily penalties can be severe, ranging up to \$2,500 per day for a larger sign. Thus, a Responsible Party will have only a matter of days to investigate the notice and take appropriate action, all at the risk of significant penalties if action is not taken in the extremely short amount of time provided.

The significantly increased penalties for "repeat violations" contained in proposed amendments also violate constitutional standards. Under the proposed amendments, for repeat violations, the daily penalty set forth in Section 610(b)(2)(B) commences on the "Accrual Date" and is multiplied by 2. The daily penalty in Section 610(b)(2)(B) is then multiplied by 3 on the second day after the "Accrual Date," multiplied by 4 on the third day following the "Accrual Date," and multiplied by 5 for each day thereafter. Thus, for a repeat violation for a larger sign, following the 10th day after the notice of violation is issued, the total penalty would be a staggering \$47,500. Following the 15th day after the notice of violation, the total penalty would be \$110,000. These penalties are unreasonable and grossly disproportionate to the damage or injury the City and the public are caused by any alleged violation.⁴

³ The ordinance cites the example of the extremely large advertising sign displayed on the side of the Embarcadero Center just before the Super Bowl in 2016, where the Responsible Party removed the sign within 2 days of receiving notice from the City. However, that instance was a highly-publicized and particularly egregious violation of the Code. To expect all legitimate and legal sign operators to be able to take action within 2 days of receipt of a notice based on this 1 example is not reasonable.

⁴ The proposed amendments provide for an alternate penalty equal to all income earned by the Responsible Parties for the illegal sign, including but not

Outfront only became aware of these proposed amendments to the Planning Code on October 25, 2016. Outfront received no notification of the proposed amendments from the City, despite the City's knowledge of Outfront's extensive outdoor advertising inventory located in the City. If such notification was provided, Outfront would have raised these significant issues at an earlier time.

The proposed amendments to the Code violate the due process clauses of both the California and United States Constitutions. Outfront would appreciate the opportunity to work with the City to devise a solution that satisfies the City's concerns about illegal sign operators without unduly and disproportionately prejudicing Outfront and that is consistent with Constitutional requirements. As an example, response times to a Notice of Violation for permitted signs could be greater than unpermitted signs. Outfront would appreciate the opportunity to discuss this and other options with the City, rather than challenging the Constitutionality of the proposed amendments.

Very truly yours,

MILLER STARR REGALIA



Anthony M. Leones

AML:mlj

cc: City Attorney, Dennis J. Herrera (via hand delivery to 1 Dr. Carlton B. Goodlett Pl., City Hall, Room 234, San Francisco, CA 94102 and via email at info@sfcityattorney.org)
Supervisor Aaron Peskin (via hand delivery and email at Aaron.Peskin@sfgov.org)

limited to revenue earned by the sign operator and rent obtained from the property owner, plus an additional 20% of the income amount. These sums can be substantial, particularly for larger, more-profitable signs. Thus, the alternate penalty is likewise excessive and unconstitutional.

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: MUNI comment_feedback

From: Michael Krasnobrod [mailto:m.krasnobrod@yahoo.com]

Sent: Sunday, November 06, 2016 8:34 PM

To: MTABoard@sfmta.com

Cc: Reiskin, Ed (MTA) <ed.reiskin@sfmta.com>; Peskin, Aaron (BOS) <aaron.peskin@sfgov.org>; Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>; Lee, Mayor (MYR) <mayoredwinlee@sfgov.org>

Subject: MUNI comment_feedback

To all responsible, an open letter:

I grew up in this city. I've ridden muni for, well, clearly for decades. I am in my early 60's.

Currently when I hop on, actually I slowly get on now, a bus, and when it starts moving I am immediately overwhelmed with a sense of disgust and horror. The ride is rough and the new busses are very noisy; they rattle, creak and groan as then lumber forward. There is less seating and less standing room on the new busses. Who thought of this? Who ordered these dreadful busses? Who in the end authorized the purchase of these insulting machines? Is not the quality of the ride a reflection of the respect the city shows to its residents? That's right, I feel dismissed and disrespected by the city I grew up in every time I ride muni!

Every time I get on a bus, which is frequent as I no longer have a car, I am both insulted and assaulted. Insulted that my city would subject me to the over crowded busses and railcars. Insulted that my city would subject me to the poor service, uncomfortable busses and crowding. Assaulted by the rough ride and noise. I am disabled, the jostling, bumps, jarring, general roughness of the ride is quite literally painful!

I sometimes take public transit from Russian Hill to the Outer Sunset. I allow an hour and a half for the ride that by car would be a fraction of that time. I get on the "N" car at Van Ness during rush hour and there is no room. No room to add just one more passenger! Why don't you add more cars, or more frequent runs? The return trip on the "N" (inbound) frequently I wait for more than half an hour. I have learned after 10 minutes past the scheduled arrival time I call an Uber. I can no longer depend on MUNI.

Have any of you ridden Muni recently or do you move about by private limousine? The answer to that rhetorical question is all too obvious. You do not use your own public transit. How do I know?, because if you did the service, the busses themselves, the condition of the underground stations, bus stops, the infrastructure would be world class not third world.

Evidently those who control, regulate, run, this city's public transit do not depend on it for getting to or from. If you did we would all have a far better transit experience and the system would have higher ridership.

If I were a tourist visiting San Francisco the MUNI experience would send a message
 – do not return - you are not welcome.

Then... there is the MUNI police, those buffoons who get on in packs to check proof of payment... I once was ticketed for \$110.00 for being minutes late on a transfer! Imagine being a tourist and being subjected to these Nazi tactics... I would never return to San Francisco, I would

actively discourage my friends from visiting... This is a tourist town. For goodness sake treat your riders with respect not as criminals or cattle.

Remember, those of you who are elected will be removed from power by vote. We the citizens of this town pay to ride and pay taxes that in part pays for your inflated salaries. We deserve better.

I hate Muni as you can surmise. I could go on for pages, but to what end.....

Shame on you, all of you,

Michael Krasnobrod

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: Animal Care & Control - safety for ACC Staff, Volunteers, Dogs, Cats, Animals

From: Christine Lynn Harris [mailto:christinelynnharris@yahoo.com]
Sent: Wednesday, October 26, 2016 5:32 PM
To: Lee, Mayor (MYR) <mayoredwinlee@sfgov.org>; Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>; gavin@gavinnewsom.com
Subject: Animal Care & Control - safety for ACC Staff, Volunteers, Dogs, Cats, Animals

Hello Public Officials,

Thank you all for your work. We appreciate all that you do for our beloved city of San Francisco, CA.

There is a concern for the safety of the Animal Care and Control staff, the volunteers, the dogs, the cats, and all the animals.

Due to the homelessness issue and the encampments, there are huge safety concerns for the well being of everyone at the Animal Care & Control. Apparently there has been muggings, robberies, violence, car break in's, and the dogs are not getting their exercise because volunteers are afraid due to the increasing numbers of vagrants in the surrounding area.

We really care about the dogs, cats, and all small animals so much, and we care about them finding great homes, and in order to have this type of success, the people who visit the shelter, Animal Care & Control, need to feel safe visiting so they can adopt the animals.

Please help support the animals, the staff, and the volunteers at the Animal Care & Control by discouraging the encampments in this area. This will allow better access for all of the people who work and visit the shelter, and help the animals so they can be adopted and to find their furrer ever homes.

Thanks a million!

Best Wishes,
Christine Harris
San Francisco, CA

[illegible]

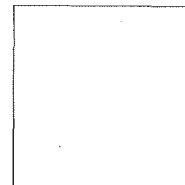
From: mail@changemail.org [mailto:mail@changemail.org]
Sent: Monday, October 31, 2016 8:50 PM
To: Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>
Subject: 100 more people signed "SIGN & SHARE: Petition for Permanent Alex Nieto Memorial"

 New signatures

San Francisco Board of Supervisors – This petition addressed to you on Change.org has new activity. See progress and respond to the campaign's supporters.

SIGN & SHARE: Petition for Permanent Alex Nieto Memorial

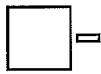
Petition by Love for Alex Nieto Coalition · 100 supporters



**100 more people signed
in the last 7 hours**

[View petition activity](#)

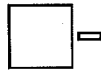
RECENT SUPPORTERS



Israel Hernandez

San Francisco, CA · Nov 01, 2016

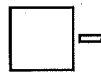
In the memory of Alex Ninto and his family.



Michael Fernandez

San Francisco, CA · Nov 01, 2016

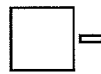
Justice for Alex! Stand up against profiling and gentrification!



sonia perez

San Lorenzo, CA · Nov 01, 2016

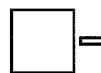
It's the right thing to do. • 🙌



Annette Mason

San Francisco, CA · Nov 01, 2016

I'm signing because I don't think it's right for the cops to just shoot and kill someone



DINYAL NEW

OAKLAND, CA · Nov 01, 2016

SUPPORT for ALEX

[View all 100 supporters](#)

CHANGE.ORG FOR DECISION MAKERS

On Change.org, decision makers like you connect directly with people around the world to resolve issues. Respond to let the people petitioning you know you're listening, say whether you agree with their call to action, or ask them for more information. [Learn more.](#)

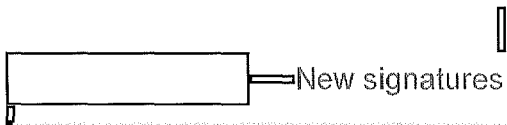
This notification was sent to Board.of.Supervisors@sfgov.org, the address listed as the decision maker contact by the petition starter. If this is incorrect, please [post a response](#) to let the petition starter know.

Change.org · 548 Market St #29993, San Francisco, CA 94104-5401, USA

BOS-11 icps

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: 100 more people signed "SIGN & SHARE: Petition for Permanent Alex Nieto Memorial"

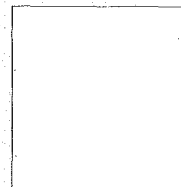
From: mail@changemail.org [mailto:mail@changemail.org]
Sent: Thursday, November 03, 2016 4:52 PM
To: Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>
Subject: 100 more people signed "SIGN & SHARE: Petition for Permanent Alex Nieto Memorial"



San Francisco Board of Supervisors – This petition addressed to you on Change.org has new activity. See progress and respond to the campaign's supporters.

SIGN & SHARE: Petition for Permanent Alex Nieto Memorial

Petition by Love for Alex Nieto Coalition · 100 supporters



**100 more people signed
in the last 2 days**

[View petition activity](#)

RECENT SUPPORTERS

Beth Trifilo
San Francisco, CA · Nov 03, 2016

Justice for Alex Nieto!!



= will meyer

Hadley, MA · Nov 03, 2016

i'm signing because i grew up in bernal heights and it is important to remember those who were killed and assert the San Franciscans will not tolerate or stand for police killings and police brutality. much love to the nieto family.



= ricardo espinoza

San Leandro, CA · Nov 03, 2016

justice



= Jad Joaquin Quesada-Khoury

San Francisco, CA · Nov 03, 2016

I am signing to support the Nietos, to install a permanent memorial for Alex, and protest police killing of people of color.



= Jonathan Bonato

San Francisco, CA · Nov 03, 2016

"No matter how just the cause or in whose name it is wielded, the use of force inscribes bitterness in the hearts of the next generation and risks entrenching and perpetuating conflict" "...we must establish respect for the inviolable dignity of human life as the core value of our age." Daisaku Ikeda.



[View all 100 supporters](#)



CHANGE.ORG FOR DECISION MAKERS

On Change.org, decision makers like you connect directly with people around the world to resolve issues. Respond to let the people petitioning you know you're listening, say whether you agree with their call to action, or ask them for more information. [Learn more.](#)



This notification was sent to Board.of.Supervisors@sfgov.org, the address listed as the decision maker contact by the petition starter. If this is incorrect, please post a response to let the petition starter know.

Change.org · 548 Market St #29993, San Francisco, CA 94104-5401, USA

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: Rental Car Disclosure Requirements

From: ACXavier@aol.com [mailto:ACXavier@aol.com]
Sent: Wednesday, November 02, 2016 4:43 PM
To: Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>
Cc: mayoredwinlee@sfgov.or
Subject: Rental Car Disclosure Requirements

SUBJECT: Rental Car Disclosure Requirements

Dear Board of Supervisors:

The San Francisco Criminal Justice Accountability Coalition represents four neighborhood organizations representing more than 1,000 members. We urge the Board of Supervisors to approve the proposed Rental Car Disclosure Requirements ordinance which amends the Police code to require car rental agencies to advise customers to remove valuables from the rental vehicle. These measures are common sense and will not only help tourists and the industry, but also local neighbors by reducing the attraction of our neighborhoods to burglars. In particular, we urge that:

- 1 Clients be required to acknowledge, in writing, that they have been notified not to leave items inside rental vehicles to prevent theft.
- 2 Legislation include sufficient penalties to ensure compliance by the rental agencies. San Francisco has the highest rate of car break-ins of any major city, and car rental agencies must do their part in maintaining public safety. We agree that sufficient time be provided (perhaps 90 days) to allow for implementation of the legislation.
- 3 Rental car companies be required to relocate the multiple bar code stickers to an area of the vehicle which cannot be easily spotted by burglars, such as the inside frame of the vehicle doors and trunks. Barcodes are used to check cars in and out of the lot and currently requires agency attendants open the door of the vehicles to monitor gas usage, mileage, clean vehicles. Thus, opening the door to scan a vehicle panel would not require any additional effort.

Criminals target tourist hotspots because rental cars are likely to be there and they view them as easy prey. When they see rental car indicia and other identifiers, they believe that the tourists will not stay to testify and can be burglarized with impunity. This makes our neighborhoods more attractive to burglars.

We commend the Board of Supervisors for addressing and supporting this very important public safety matter for our City, its residents, visitors and businesses.

Respectfully,

Alice Yee Xavier

16th Avenue Tiled Steps Co-Founder

San Francisco Criminal Justice Accountability Coalition (CJAC)

CC: Mayor Edwin Lee

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: Infant and Toddler Early Learning Scholarship Fund Support
Attachments: CPAC Letter of Support_Infant Toddler Scholarship Fund-11-1-2016.pdf

From: Tyson, Anthony (HSA) (DSS))
Sent: Thursday, November 03, 2016 1:08 PM
To: Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>
Cc: Maybaum, Erica (BOS) <erica.maybaum@sfgov.org>
Subject: Infant and Toddler Early Learning Scholarship Fund Support

Dear Board of Supervisors,

Please find the attached support letter on behalf of the Child Care Planning & Advisory Council. Please forward to all Supervisors.

Many thanks,

Tony

Tony Tyson
CPAC Coordinator

San Francisco Child Care Planning & Advisory Council
1650 Mission St., Suite 312
San Francisco, CA 94103
P: 415-355-3673
E: Anthony.Tyson@sfgov.org



"Setting and driving the child care agenda for San Francisco's children and their families"



November 2, 2017

San Francisco Board of Supervisors
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco, CA 94102-4689

Re: Infant and Toddler Early Learning Scholarship Fund

Dear Supervisors,

The San Francisco Child Care Planning and Advisory Council strongly supports the ordinance amending the Administrative Code to establish the Infant and Toddler Early Learning Scholarship Fund.

For the past several decades, the biggest unmet need in San Francisco has been access to high quality early learning experiences for infants and toddlers. Recent analysis shows that there are nearly 7,000 infants and toddlers in San Francisco who are income eligible for subsidized child care services, using the state's very antiquated subsidy eligibility income ceilings (85% of the 2005 State Median Income), but there are only 1,065 infants and toddlers enrolled in subsidized child care in San Francisco. As of July, 2016, there are 1,760 infants and toddlers on SF3C, San Francisco's subsidy eligibility waiting list.

In short, there are not enough subsidized slots for infants and toddlers in San Francisco for families that qualify for subsidized care. And with the costs of quality child care for infants and toddlers costing upwards of \$27,000 per year, many families above the current subsidy eligibility ceilings cannot find or afford quality care (or any care at all). We applaud the intent of the proposed ordinance, recognizing the need for expanded access to quality infant and toddler care for parents residing in San Francisco who are working or attending school and expanding access for families with incomes up to 110% of the Area Median Income.

We thank Supervisor Yee for introducing this legislation and believe that creating the Infant and Toddler Early Learning Scholarship Fund is a great first step in addressing the need for San Francisco's youngest and most vulnerable to have access to high quality early education.

Sincerely,

Sandee Blechman
CPAC Chair

BOS-11 10pgs

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: Review of the athletic field lights at the Beach Chalet Athletic Field

From: David Romano [mailto:droma4@yahoo.com]
Sent: Thursday, November 03, 2016 4:15 PM
To: Lee, Mayor (MYR) <mayoredwinlee@sfgov.org>; Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>
Subject: Review of the athletic field lights at the Beach Chalet Athletic Field

To the attention of Mayor Ed Lee and the Board of Supervisors: copy of email sent to Dan Mauer at SFRPD

Dear Mr. Mauer:

I am a resident of the Outer Richmond district and I am opposed to night lighting at the Beach Chalet Athletic Fields. Stadium lighting at the western edge of Golden Gate Park threatens the Pacific Flyway and the lives of thousands of birds, and is contrary to the Master Plan for Golden Gate Park. All you need is common sense to know that stadium lighting will have significant and detrimental impacts on wildlife, especially birds, and on the environment of San Francisco and the health of it's citizens.

Golden Gate Park is a park; no one should be there after dark. A park is for use during daylight hours. When night falls, you leave the park. A park is a place that is supposed to be dark and without people at night. It is nature. That is why parks close at sunset.

The San Francisco Recreation and Parks Department has ignored the expert testimony of Professor Travis Longcore, the preeminent national expert on the negative effects of artificial light on wildlife. Professor Longcore and his colleague, Ms. Rich, are the authors of *Ecological Consequences of Artificial Night Lighting*. There has been no consideration of this report, or of the negative impacts of night lighting on Golden Gate Park, on Ocean Beach, on birds and other wildlife. The report clearly shows the need to eliminate or severely curtail the sports lights at the Beach Chalet Soccer Fields if we want to preserve the ecology of Golden Gate Park.

The City Dark aired prominently on local PBS stations and this documentary is exactly about the negative effects of bright lights at night on both animals and humans. Has Phil Ginsburg seen *The City Dark* or does he think San Francisco is somehow immune from the negative consequences of stadium lighting in Golden Gate Park?

David Romano
San Francisco

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: Correction on juvenile hall

From: Allen Jones [mailto:jones-allen@att.net]
Sent: Monday, November 07, 2016 11:07 AM
To: Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>
Cc: M Barba <mbarba@sfxaminer.com>; Joe@sfxaminer.com; vho@sfchronicle.com; Emily Green <egreen@sfchronicle.com>; Matier and Ross <matier&ross@sfchronicle.com>; metro@sfchronicle.com; newstips <newstips@sfxaminer.com>
Subject: Correction on juvenile hall

Attention All Members of SF Board of Supervisors,

I am embarrassed in correcting the misinformation I passed on to you all concerning juvenile hall.

I said juvenile hall director Luis Recinos, was down at City Hall begging for funds to hire someone outside the facility to run the Merit Center.

Actually, the juvenile hall director was begging for funding for something called the "Recourse Center", which is another phase of the Merit Center.

This too should be scrutinized.

Sent via my jail-cell-phone

Allen Jones

jones-allen@att.net

The Only thing I love more than justice is the freedom to fight for it. --Allen Jones--

BOS-11

Date / Time: 2016-10-28 14:31:44.727

Service Request Number:
6471579**Request for City
Services****CUSTOMER CONTACT
INFORMATION:**

Name: David Lee
Phone: 415-613-8936
Address: 1245 PLYMOUTH AVE SAN FRANCISCO 94112
Email: dleejr1948@yahoo.com

DEPARTMENTS:

Department: * Board of Supervisors (BOS)

Sub-Division:* Clerk of the Board

Department Service Levels: The City's goal is to respond to these types of requests within 7-21 calendar days; 21 days for request for service; 7 days for all other categories.

PROPERTY ADDRESS:

Point of Interest:
Street Number:
Street Name:
Street Name 2:
City:
ZIP Code:
X coordinate:
Y coordinate:
Latitude:
Longitude:
CNN:
Unverified Address: ☐

ADDITIONAL LOCATION INFORMATION:Location Description:

33

(e.g. 600-block of Market St. or in front of Main Library entrance)

REQUEST DETAILS:

Nature of Request:* **Complaint**

ADDITIONAL REQUEST DETAILS:

Additional Request
Details: *

Caller wants to leave a comment for the BOS. The city should stop tax breaks or donations or city money to any organization that is will put up or build housing. These people are cherry picking the people that are needy. They are not helping the needy people. Why give tax breaks to charter schools. They are cherry picking the students. Not like public schools where any body that lives in SF can go to the schools. When builders get tax breaks they build big buildings and cherry pick. What happened to SF helping everyone.

BACK

OFFICE USE *****

Source

Agency

Request

Number:

Responsible

Agency

Request

Number:

Service

Request

Work

Status:

Work

Status

Updated:

Media URL:

SubmitCancel

From: Jamarie Milkovic <jamarie369@gmail.com>
Sent: Friday, October 28, 2016 12:20 AM
To: Board of Supervisors, (BOS)
Cc: Lee, Mayor (MYR)
Subject: Rincon Hill construction

I am writing to request relief from the severe impacts of round-the-clock construction in the Rincon Hill neighborhood.

For several years now, residents of Rincon Hill have suffered from lack of sleep as a result of endless night construction. The City has been issuing night permits to construction projects as a matter of routine, without any regard for the thousands of residents in the area. In the past, the City acted responsibly, strictly limiting night construction permits; but that neighborhood protection policy has been abandoned, and now there is continuous noise all night long. It is time for the City and developers to act responsibly again and halt all night permits except those strictly required for special circumstances.

Additionally, there are heightened health risks from inconsistent enforcement of mitigation measures against dirt and dust.

Finally, construction sites require proper traffic control--something that has been sorely lacking around Rincon Hill.

We like opening our windows again! There was a year or more when we couldn't enjoy fresh air from our apartment because of both noise and dust pollution from the Lumina build. Can you imagine? It was bad. We don't want to experience more of that.

Sincerely,

Jamarie & Thom Milkovic

Cause BOS 11

From: hafer.statia@gmail.com
Sent: Thursday, October 27, 2016 8:01 PM
To: Board of Supervisors, (BOS)
Cc: Lee, Mayor (MYR)
Subject: Rincon Hill construction

I am writing to request relief from the severe impacts of round-the-clock construction in the Rincon Hill neighborhood.

For several years now, residents of Rincon Hill have suffered from lack of sleep as a result of endless night construction. The City has been issuing night permits to construction projects as a matter of routine, without any regard for the thousands of residents in the area. In the past, the City acted responsibly, strictly limiting night construction permits; but that neighborhood protection policy has been abandoned, and now there is continuous noise all night long. It is time for the City and developers to act responsibly again and halt all night permits except those strictly required for special circumstances.

Additionally, there are heightened health risks from inconsistent enforcement of mitigation measures against dirt and dust.

Finally, construction sites require proper traffic control--something that has been sorely lacking around Rincon Hill.

Sent from my iPhone

From: Chetna <cp21475@gmail.com>
Sent: Thursday, October 27, 2016 7:48 PM
To: Board of Supervisors, (BOS)
Cc: Lee, Mayor (MYR)
Subject: Rincon Hill construction

I am writing to request relief from the severe impacts of round-the-clock construction in the Rincon Hill neighborhood.

I have lived in this neighborhood for about 4 1/2 years and for nearly all of it, residents of Rincon Hill have suffered from lack of sleep as a result of endless night construction. I naively keep thinking it will stop but it just keeps continuing. The City has been issuing night permits to construction projects as a matter of routine, without any regard for the thousands of residents in the area. In the past, the City acted responsibly, strictly limiting night construction permits; but that neighborhood protection policy has been abandoned, and now there is continuous noise all night long. It is time for the City and developers to act responsibly again and halt all night permits except those strictly required for special circumstances.

Additionally, there are heightened health risks from inconsistent enforcement of mitigation measures against dirt and dust.

Finally, construction sites require proper traffic control--something that has been sorely lacking around Rincon Hill. Nearly everyday, cars block intersections because of frustration due to long lines resulting from lane blocks, which are almost always lacking in traffic control personnel. This has been a major safety concern!

Regards,
Chetna Parikh
338 Spear Street

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: Rincon Hill construction

From: MB [mailto:mlebrun12@gmail.com]
Sent: Thursday, October 27, 2016 3:00 PM
To: Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>
Cc: Lee, Mayor (MYR) <mayoredwinlee@sfgov.org>
Subject: Rincon Hill construction

I am writing to request relief from the severe impacts of round-the-clock construction in the Rincon Hill neighborhood.

For several years now, residents of Rincon Hill have suffered from lack of sleep as a result of endless night construction. The City has been issuing night permits to construction projects as a matter of routine, without any regard for the thousands of residents in the area. In the past, the City acted responsibly, strictly limiting night construction permits; but that neighborhood protection policy has been abandoned, and now there is continuous noise all night long. It is time for the City and developers to act responsibly again and halt all night permits except those strictly required for special circumstances.

Additionally, there are heightened health risks from inconsistent enforcement of mitigation measures against dirt and dust.

Finally, construction sites require proper traffic control--something that has been sorely lacking around Rincon Hill.❓

From: Board of Supervisors, (BOS)
To: BOS-Supervisors
Subject: FW: High Noise Levels - Construction at 160 Folsom Street

From: Alexey Rivkin [mailto:alexey_rivkin@yahoo.com]
Sent: Thursday, October 20, 2016 8:57 PM
To: Board of Supervisors, (BOS) <board.of.supervisors@sfgov.org>
Cc: Lee, Mayor (MYR) <mayoredwinlee@sfgov.org>
Subject: High Noise Levels - Construction at 160 Folsom Street

Dear City Officials,

The Infinity towers (Spear and Folsom) house many families with little kids who are not able to sleep well at night because of the high noises coming from the nearby construction. I am writing to request relief from the severe impacts of round-the-clock construction in the Rincon Hill neighborhood. The City has been issuing night permits to construction projects as a matter of routine, without any regard for the thousands of residents in the area. In the past, the City acted responsibly, strictly limiting night construction permits; but that neighborhood protection policy has been abandoned, and now there is continuous noise all night long. It is time for the City and developers to act responsibly again and halt all night permits except those strictly required for special circumstances. Additionally, there are heightened health risks from inconsistent enforcement of mitigation measures against dirt and dust. Finally, construction sites require proper traffic control--something that has been sorely lacking around Rincon Hill.

Kind regards,
Alexey Rivkin and Juan Juan Han
617-780-8268