



MIDCAREER INVESTIGATOR PATIENT-ORIENTED
Department of Health and Human Services
National Institutes of Health

Notice of Award

Federal Award Date: 08/09/2016



NATIONAL INSTITUTE ON DRUG ABUSE

Grant Number: 1K24DA042720-01
FAIN: K24DA042720

Principal Investigator(s):
PHILLIP O COFFIN, MD

Project Title: Mid-Career Award in Patient-Oriented Substance Use Research Addressing Opioids, Chronic Pain, and HIV

Mr. Shaikh, Sajid
Senior Administrative Analyst
1380 Howard Street, Suite 423A
San Francisco, CA 941030000

Award e-mailed to: barbara.garcia@sfdph.org

Period Of Performance:
Budget Period: 09/01/2016 – 08/31/2017
Project Period: 09/01/2016 – 08/31/2021

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$157,235 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to SAN FRANCISCO DEPT OF PUBLIC HEALTH in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute On Drug Abuse of the National Institutes of Health under Award Number K24DA042720. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

EDITH L. DAVIS
Grants Management Officer
NATIONAL INSTITUTE ON DRUG ABUSE

Additional information follows

SECTION I – AWARD DATA – 1K24DA042720-01**Award Calculation (U.S. Dollars)**

Salaries and Wages	\$74,040
Fringe Benefits	\$23,692
Personnel Costs (Subtotal)	\$97,732
Other	\$49,684

Federal Direct Costs	\$147,416
Federal F&A Costs	\$9,819
Approved Budget	\$157,235
Total Amount of Federal Funds Obligated (Federal Share)	\$157,235
TOTAL FEDERAL AWARD AMOUNT	\$157,235

AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$157,235
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SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
1	\$157,235	\$157,235
2	\$153,479	\$153,479
3	\$151,319	\$151,319
4	\$151,319	\$151,319
5	\$151,319	\$151,319

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

CFDA Name:	Drug Abuse and Addiction Research Programs
CFDA Number:	93.279
EIN:	1946000417A8
Document Number:	KDA042720A
PMS Account Type:	P (Subaccount)
Fiscal Year:	2016

IC	CAN	2016	2017	2018	2019	2020
DA	8472644	\$157,235	\$153,479	\$151,319	\$151,319	\$151,319

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:

PCC: MC/JHK / OC: 415L / Released: PFLEMING 08/02/2016
Award Processed: 08/09/2016 12:04:28 AM

SECTION II – PAYMENT/HOTLINE INFORMATION – 1K24DA042720-01

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – TERMS AND CONDITIONS – 1K24DA042720-01

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- The grant program legislation and program regulation cited in this Notice of Award.
- Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- 45 CFR Part 75.
- National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget

- period.
- e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
 - f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

Research and Development (R&D): All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to receive a Dun & Bradstreet Universal Numbering System (DUNS) number and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a DUNS requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) K24DA042720. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

Treatment of Program Income:
Additional Costs

SECTION IV – DA Special Terms and Conditions – 1K24DA042720-01

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The award amount for the current year is based upon IRG/Council recommendations, cost analysis, program priorities and availability of funds.

Midcareer Investigator Award in Patient-Oriented Research (Parent K24)

<http://grants.nih.gov/grants/guide/pa-files/PA-14-047.html> This Career award requires between 3.00 and 6.00 person months' effort (25% and 50%) be devoted to the patient-oriented research program and mentoring that are part of this award.

Future year funding for this Mid-Career Investigator Award in Patient-Oriented Research is contingent upon acquiring additional Federal and/or private support for this project.

Consortium This award includes funds awarded for consortium activities. Consortia are to be established and administered as described in the NIH Grants Policy Statement (NIHGPS). The referenced section of the NIH Grants Policy Statement is available at Part II.15. Consortium Agreements - <http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>

NIDA Award Terms All grantees must acknowledge funding received from the National Institute on Drug Abuse at the National Institutes of Health when issuing statements, press releases, and requests for proposals, bid solicitations, and other documents describing projects or programs funded in whole or in part with NIDA money. (NIH Grants Policy Statement, October/November 2015, <http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>.)

In conjunction with this requirement, in order to most effectively disseminate research results, advance notice should be given to NIDA that research finds are about to be published so that we may coordinate accurate and timely release to the media. This information will be embargoed until the publication date. Any press notification should be coordinated with the NIDA Press Officer who can be reached at (301) 443-6245.

NIDA has an interest in supporting HIV/AIDS and infectious disease research. The purpose of this support is to develop effective prevention, treatment, and service strategies for drug abusing youth and adults. To that end, awardees are encouraged to make every effort to incorporate scientific questions related to HIV/AIDS and other infectious diseases into research protocols. Principal Investigators will be required to provide information related to the development of research in this area in annual progress reports to allow NIDA to assess progress regarding HIV/AIDS research.

The National Institute on Drug Abuse (NIDA) encourages data harmonization to increase comparability, collaboration, and scientific yield of research on drug abuse. Towards that end, NIDA strongly encourages human-subject studies to incorporate a series of measures from the Substance Abuse and Addiction Core and Specialty collections, which are available in the PhenX Toolkit (www.phenxtoolkit.org). For more information about NIDA's data harmonization efforts, please see NOT-DA-12-008 at <http://grants.nih.gov/grants/guide/notice-files/NOT-DA-12-008.html>.

STAFF CONTACTS

The Grants Management Specialist is responsible for the negotiation, award and administration of this project and for interpretation of Grants Administration policies and provisions. The Program Official is responsible for the scientific, programmatic and technical aspects of this project. These individuals work together in overall project administration. Prior approval requests (signed by an Authorized Organizational Representative) should be submitted in writing to the Grants Management Specialist. Requests may be made via e-mail.

Grants Management Specialist: Amy M Bucheimer
Email: bucheimera@mail.nih.gov **Phone:** 301-443-6710

Program Official: Jagjitsingh H. Khalsa
Email: jk98p@nih.gov **Phone:** (301) 443-2159

SPREADSHEET SUMMARY

GRANT NUMBER: 1K24DA042720-01

INSTITUTION: SAN FRANCISCO DEPT OF PUBLIC HEALTH

Budget	Year 1	Year 2	Year 3	Year 4	Year 5
Salaries and Wages	\$74,040	\$74,040	\$74,040	\$74,040	\$74,040
Fringe Benefits	\$23,692	\$23,692	\$23,692	\$23,692	\$23,692
Personnel Costs (Subtotal)	\$97,732	\$97,732	\$97,732	\$97,732	\$97,732
Other	\$49,684	\$47,928	\$45,768	\$45,768	\$45,768
TOTAL FEDERAL DC	\$147,416	\$145,660	\$143,500	\$143,500	\$143,500
TOTAL FEDERAL F&A	\$9,819	\$7,819	\$7,819	\$7,819	\$7,819
TOTAL COST	\$157,235	\$153,479	\$151,319	\$151,319	\$151,319

Facilities and Administrative Costs	Year 1	Year 2	Year 3	Year 4	Year 5
F&A Cost Rate 1	8%	8%	8%	8%	8%
F&A Cost Base 1	\$122,732	\$97,732	\$97,732	\$97,732	\$97,732
F&A Costs 1	\$9,819	\$7,819	\$7,819	\$7,819	\$7,819