

File No. 161247

Committee Item No. 1
Board Item No. _____

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Budget & Finance Committee

Date December 7, 2016

Board of Supervisors Meeting

Date _____

Cmte Board

- | | | |
|-------------------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | Motion |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Resolution |
| <input type="checkbox"/> | <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | <input type="checkbox"/> | Legislative Digest |
| <input type="checkbox"/> | <input type="checkbox"/> | Budget and Legislative Analyst Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Youth Commission Report |
| <input type="checkbox"/> | <input type="checkbox"/> | Introduction Form |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Department/Agency Cover Letter and/or Report |
| <input type="checkbox"/> | <input type="checkbox"/> | MOU |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Grant Information Form |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Grant Budget |
| <input type="checkbox"/> | <input type="checkbox"/> | Subcontract Budget |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Contract/Agreement |
| <input type="checkbox"/> | <input type="checkbox"/> | Form 126 – Ethics Commission |
| <input type="checkbox"/> | <input type="checkbox"/> | Award Letter |
| <input type="checkbox"/> | <input type="checkbox"/> | Application |
| <input type="checkbox"/> | <input type="checkbox"/> | Public Correspondence |

OTHER (Use back side if additional space is needed)

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Completed by: Linda Wong Date December 2, 2016
Completed by: Linda Wong Date _____

1 [Accept and Expend Grant - Association of Bay Area Governments -Bay Area Regional
2 Energy Network Program Activities - \$201,000]

3 **Resolution retroactively authorizing the Department of the Environment to accept and**
4 **expend grant funds from the Association of Bay Area Governments in the amount of**
5 **\$201,000 for a portion of 2015 and calendar year 2016, to perform various activities as**
6 **part of a Bay Area Regional Energy Network program, an energy efficiency program for**
7 **the term of July 31, 2015, through December 31, 2016.**

8
9 WHEREAS, The City and County of San Francisco (City) is a member of the
10 Association of Bay Area Governments (ABAG); and

11 WHEREAS, ABAG was awarded up to \$13,283,875 by decision of the California Public
12 Utilities Commission (CPUC) in Decision 15-10-028 to operate the Bay Area Regional Energy
13 Network program (BayREN) in all of its member counties for the calendar year of 2016; and

14 WHEREAS, The CPUC recognized that this structure can provide greater opportunity
15 for the participating counties to develop more innovative programs that better serve the
16 ratepayers; and

17 WHEREAS, The CPUC stated in Decision 15-10-028 approving, among other things, a
18 BayREN Program Implementation Plan for 2016 (2016 PIP) and budget to fund
19 implementation of the 2016 PIP (2016 Budget); and

20 WHEREAS, For 2016, ABAG will continue receiving the ratepayer funds of
21 \$13,283,875 through a contract with Pacific Gas & Electric (PG&E) where PG&E is acting only
22 as a fiscal manager and the CPUC provides oversight of the program content; and

23 WHEREAS, BayREN will continue programs to provide technical assistance and
24 financing in the residential sector and education and training for construction contractors and
25 building code officials; and

1 WHEREAS, The Board of Supervisors adopted goals for reductions in greenhouse gas
2 emissions; and

3 WHEREAS, The Department of the Environment continues to play a key role in the
4 development of the concepts and plans for all the elements of the BayREN program; and

5 WHEREAS, The Department of Environment is already performing energy efficiency
6 programs for greenhouse gas reductions providing assistance in the residential sector and
7 creating local jobs; and

8 WHEREAS, The Department of the Environment has built upon its experience with
9 building codes to play the lead role in the development of the Codes and Standards
10 component of the BayREN; and

11 WHEREAS, A successful BayREN program in 2013 thru 2015 has led to continued
12 funding in future years; and

13 WHEREAS, ABAG awarded grant funds of \$609,258 to the City for the 2015-2016
14 BayREN programs and that grant was approved by the Board of Supervisors under
15 Resolution No. 183-16; and

16 WHEREAS, ABAG has made an additional award to the City for the BayREN programs
17 for 2016 in the amount of \$201,000; and

18 WHEREAS, The term of this grant is from July 31, 2015 to December 31, 2016; and

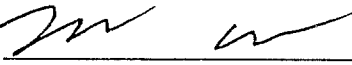
19 WHEREAS, The grant allows for Indirect costs in the additional award of \$14,703
20 included in the budget; and

21 WHEREAS, The Department of Environment will utilize existing staff hired under the
22 American Reinvestment and Recovery Act that continued working under the 2013-15 BayREN
23 contract; and

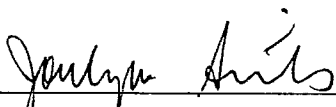
24 WHEREAS, The grant does not require an Annual Salary Ordinance (ASO)
25 amendment; now, therefore, be it

1 RESOLVED, That the Board of Supervisors authorizes the Director of the Department
2 of the Environment to accept and expend a grant in the amount of \$201,000 from ABAG to
3 implement BayREN activities in San Francisco.

4
5
6 Recommended:

Approved: 
for Mayor

8
9
10 Department Head
Fu Dezheng Raphael

Approved: 
for Controller, Grant Division

File Number: _____
(Provided by Clerk of Board of Supervisors)

220365 / EVBAYR-16

Grant Resolution Information Form
(Effective July 2011)

Purpose: Accompanies proposed Board of Supervisors resolutions authorizing a Department to accept and expend grant funds.

The following describes the grant referred to in the accompanying resolution:

1. Grant Title: BayREN

2. Department: Department of the Environment

3. Contact Person: Rachel Buerkle

Telephone: 415-355-3704

4. Grant Approval Status (check one):

Approved by funding agency

Not yet approved

5. Amount of Grant Funding Approved or Applied for: \$201,000

6a. Matching Funds Required: \$ 0

b. Source(s) of matching funds (if applicable):

7a. Grant Source Agency: California Public Utilities Commission (CPUC)

b. Grant Pass-Through Agency (if applicable): PG&E and Association of Bay Area Governments (ABAG)

8. Proposed Grant Project Summary: The Bay Area Regional Network (BayREN) is funded under Decision 15-10-028 of the California Public Utilities Commission (CPUC) to continue to engage local governments in meeting the goals of California's Energy Efficiency Strategic Plan. CPUC provides oversight of the program content, PG&E acts as fiscal agent, and ABAG has a contract of \$13,283,875 with PG&E, out of which this grant is funded. BayREN is funded to provide an energy efficiency incentive and technical assistance program in single family and multifamily buildings, provide financing, and raise the level of energy code compliance in new construction and renovation.

9. Grant Project Schedule, as allowed in approval documents, or as proposed:

Start-Date: 07/31/15 End-Date: 12/31/2016

10a. Amount budgeted for contractual services: \$0

b. Will contractual services be put out to bid? N/A

c. If so, will contract services help to further the goals of the Department's Local Business Enterprise (LBE) requirements?

d. Is this likely to be a one-time or ongoing request for contracting out?

11a. Does the budget include indirect costs?

Yes

No

b1. If yes, how much? \$ 14,703

b2. How was the amount calculated? Based on Department of Environment overhead and indirect.

c1. If no, why are indirect costs not included?

Not allowed by granting agency

To maximize use of grant funds on direct services

Other (please explain):

c2. If no indirect costs are included, what would have been the indirect costs?

12. Any other significant grant requirements or comments:

****Disability Access Checklist** (Department must forward a copy of all completed Grant Information Forms to the Mayor's Office of Disability)**

13. This Grant is intended for activities at (check all that apply):

Existing Site(s)

Existing Structure(s)

Existing Program(s) or Service(s)

Rehabilitated Site(s)

Rehabilitated Structure(s)

New Program(s) or Service(s)

New Site(s)

New Structure(s)

14. The Departmental ADA Coordinator or the Mayor's Office on Disability have reviewed the proposal and concluded that the project as proposed will be in compliance with the Americans with Disabilities Act and all other Federal, State and local disability rights laws and regulations and will allow the full inclusion of persons with disabilities. These requirements include, but are not limited to:

- 1. Having staff trained in how to provide reasonable modifications in policies, practices and procedures;
- 2. Having auxiliary aids and services available in a timely manner in order to ensure communication access;
- 3. Ensuring that any service areas and related facilities open to the public are architecturally accessible and have been inspected and approved by the DPW Access Compliance Officer or the Mayor's Office on Disability Compliance Officers.

If such access would be technically infeasible, this is described in the comments section below:

Comments: All of the facilities are privately owned facilities of various types of buildings in both the residential and commercial sectors. Staff will make site visits to perform site assessments.

Departmental ADA Coordinator or Mayor's Office of Disability Reviewer:

Claudia Molina, Departmental ADA Coordinator, Payroll Personnel Clerk

Date Reviewed: 10/14/16

C Molina
(Signature Required) *hc Bue*

Department Head or Designee Approval of Grant Information Form:

Deborah O. Raphael, Director, Department of the Environment

Date Reviewed: 10/17/16

Jennifer Rass
(Signature Required)
JENNIFER RASS FOR
DEBORAH RAPHAEL

City and County of San Francisco, - Department of the Environment

Energy Efficiency Program - ABAG / BayREN Contract

ABAG

Personnel			
	5644 Principal Environmental Specialist (0.05 FTE) 5642 Sr. Environmental Specialist (0.65 FTE) 5640 Environmental Specialists (1.3 FTE) 5638 Environmental Assistant (0.10 FTE) 1822 Administrative Analyst (.10 FTE)	Administration, Program Implementation, Strategic Planning	\$137,297
		Sub-Total Personnel	\$137,297
Non Personnel Services			
	Field Expenses		\$0
	Other Professional		\$49,000
	Printing		\$0
	Other Materials & Supplies		\$0
		Sub-Total Contract/	\$49,000
Indirect			\$14,703
		Grand Total	\$201,000

BAYREN GRANT 7/31/15 - 12/31/16

SAN FRANCISCO BUDGET

Contract Work Authorization (CWA) for calendar 2016

Single Family Program	\$	67,658
Multifamily Program	\$	282,600
Codes & Sandards	\$	30,000
Financing		
Multi-Family Capital Advance	\$	-
Commercial PACE	\$	23,200
Pay as You Save	\$	5,800
Initial 2016 Funding	\$	409,258

Amendment #1	\$12,000.00
Amendment #2	\$85,000.00
Amendment #3	\$40,000.00
Amendment #4	\$64,000.00

Amendment Total \$201,000.00

2016 Grant Total \$ 610,258

**FOURTH AMENDMENT TO
FUNDING AND IMPLEMENTATION AGREEMENT
– BAYREN PROGRAM IMPLEMENTATION PLAN for 2016 –
ASSOCIATION OF BAY AREA GOVERNMENTS AND CITY AND COUNTY OF SAN FRANCISCO**

The funding and Implementation Agreement for the BayREN Implementation Plan for 2016 (Agreement) between the Association of Bay Area Governments (ABAG) and the City and County of San Francisco (Subrecipient) is hereby amended as follows:

- A. The Initial Allocated Budget in Section 5(a) of the Agreement is set at Six Hundred, Ten Thousand, Two Hundred Fifty-Eight Dollars (\$610,258)
- B. Attachment 1B for 2016, revision 2 is deleted and replaced by Attachment 1B for 2016, revision 3.
- C. Attachment 1C for 2016, revision 1 is deleted and replaced with Attachment 1C for 2016, revision 2.

IN WITNESS WHEREOF, Subrecipient has duly executed this Agreement, or caused it to be duly executed, and ABAG has duly executed this Agreement, or caused it to be duly executed.

City and County of San Francisco

Dated: _____

[Title]

Association of Bay Area Governments

Dated: _____

Ezra Rapport, Executive Director

Approved as to form:

Kenneth K. Moy, Legal Counsel

**THIRD AMENDMENT TO
FUNDING AND IMPLEMENTATION AGREEMENT
- BAYREN PROGRAM IMPLEMENTATION PLAN for 2016 -
ASSOCIATION OF BAY AREA GOVERNMENTS AND CITY AND COUNTY OF SAN FRANCISCO**

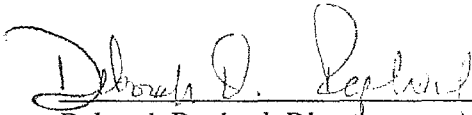
The funding and Implementation Agreement for the BayREN Implementation Plan for 2016 (Agreement) between the Association of Bay Area Governments (ABAG) and the City and County of San Francisco (Sub-recipient) is hereby amended as follows:

- A. The Initial Allocated Budget in Section 5(a) of the Agreement is set at Five Hundred Forty-Six Thousand, Two Hundred Fifty-Eight Dollars (\$546,258)
- B. Attachment 1D for 2016, revision 1 is deleted and replaced by Attachment 1D for 2016, revision 2

IN WITNESS WHEREOF, Sub-recipient has duly executed this Agreement, or caused it to be duly executed, and ABAG has duly executed this Agreement, or caused it to be duly executed.


City and County of San Francisco

Dated: 8/18/16



Deborah Raphael, Director

Association of Bay Area Governments

Dated: 8/25/16


Ezra Rapport, Executive Director

Approved as to form:


Kenneth K. Moy, Legal Counsel

**SECOND AMENDMENT TO
FUNDING AND IMPLEMENTATION AGREEMENT
- BAYREN PROGRAM IMPLEMENTATION PLAN for 2016 -
ASSOCIATION OF BAY AREA GOVERNMENTS AND CITY AND COUNTY OF SAN FRANCISCO**

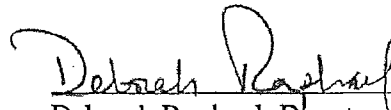
The funding and Implementation Agreement for the BayREN Implementation Plan for 2016 (Agreement) between the Association of Bay Area Governments (ABAG) and the City and County of San Francisco (Subrecipient) is hereby amended as follows:

- A. The Initial Allocated Budget in Section 5(a) of the Agreement is set at Five-Hundred Six Thousand and Two-Hundred and Fifty-Eight Dollars (\$506,258)
- B. Attachment 1B for 2016, revision 1 is deleted and replaced by Attachment 1B for 2016, revision 2

IN WITNESS WHEREOF, Subrecipient has duly executed this Agreement, or caused it to be duly executed, and ABAG has duly executed this Agreement, or caused it to be duly executed.

City and County of San Francisco

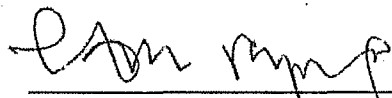
Dated: _____



Deborah Raphael, Director
Department of the Environment

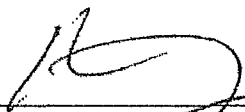
Association of Bay Area Governments

Dated: 6/3/16



Ezra Rapport, Executive Director

Approved as to form:



Kenneth K. Moy, Legal Counsel

**FIRST AMENDMENT TO
FUNDING AND IMPLEMENTATION AGREEMENT
- BAYREN PROGRAM IMPLEMENTATION PLAN for 2016 -
ASSOCIATION OF BAY AREA GOVERNMENTS AND CITY AND COUNTY OF SAN FRANCISCO**

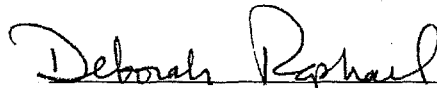
The funding and Implementation Agreement for the BayREN Implementation Plan for 2016 (Agreement) between the Association of Bay Area Governments (ABAG) and the City and County of San Francisco (Subrecipient) is hereby amended as follows:

- A. The Initial Allocated Budget in Section 5(a) of the Agreement is set at Four-Hundred Twenty- One Thousand and Two-Hundred and Fifty-Eight Dollars (\$421,258)
- B. Attachment 1A for 2016 is deleted and replaced by Attachment 1A for 2016, revision 1
- C. Attachment 1B for 2016 is deleted and replaced by Attachment 1B for 2016, revision 1
- D. Attachment 1C for 2016, revision 1 is deleted and replaced by Attachment 1C for 2016, revision 1
- E. Attachment 1D for 2016, revision 1 is deleted and replaced by Attachment 1D for 2016, revision 1

IN WITNESS WHEREOF, Subrecipient has duly executed this Agreement, or caused it to be duly executed, and ABAG has duly executed this Agreement, or caused it to be duly executed.

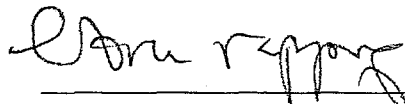
City and County of San Francisco

Dated: 4/13/16

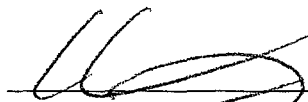

Deborah Raphael, Director

Association of Bay Area Governments

Dated: 4/26/16


Ezra Rapport, Executive Director

Approved as to form:


Kenneth K. Moy, Legal Counsel

From: Jennifer Berg [<mailto:jennyb@abag.ca.gov>]
Sent: Wednesday, October 26, 2016 8:55 AM
To: Chu, Lowell (ENV) <lowell.chu@sfgov.org>
Subject: ABAG Award to SFE

Lowell,

ABAG is awarding SF Department of Environment an additional \$64,000 for 2016, bringing the total to \$201,000.

If you have any questions, please do not hesitate to contact me.
Jennifer Berg
ABAG Senior Program Manager

TO: Angela Calvillo, Clerk of the Board of Supervisors
FROM: The Department of the Environment
DATE: October 13, 2016
SUBJECT: Accept and Expend Resolution State Grant
GRANT TITLE: CPUC Award for BayREN: 2016 Amendment

Attached please find the original and 4 copies of each of the following:

- Proposed grant resolution; original signed by Department, Mayor, Controller
- Grant information form, including disability checklist
- Grant budget
- Grant application
- Grant award letter from funding agency
- Other (Explain):

Special Timeline Requirements:

Departmental representative to receive a copy of the adopted resolution:

Name: Rachel Buerkle

Phone: 415-355-3704

Interoffice Mail Address:

Certified copy required Yes

No

(Note: certified copies have the seal of the City/County affixed and are occasionally required by funding agencies. In most cases ordinary copies without the seal are sufficient).

OFFICE OF THE MAYOR
SAN FRANCISCO



EDWIN M. LEE

TO: Angela Calvillo, Clerk of the Board of Supervisors
FROM: For Mayor Edwin M. Lee *(Signature)*
RE: Accept and Expend Grant – Association of Bay Area Governments -
\$201,000
DATE: November 15, 2016

Attached for introduction to the Board of Supervisors is a resolution authorizing the Department of the Environment to accept and expend grant funds from the Association of Bay Area Governments in the amount of \$201,000 for 2015-2016 to perform various activities as part of a Bay Area Regional Energy Network program, an energy efficiency program for the term of July 31, 2015 through December 31, 2016.

I respectfully request that this item be calendared in Budget & Finance Committee on November 30, 2016.

Should you have any questions, please contact Nicole Elliott at (415) 554-7940.

BY *(Signature)*
2016 NOV 15 PM 2:11
OFFICE OF THE CLERK
BOARD OF SUPERVISORS
SAN FRANCISCO

