

File No. 180253

Committee Item No. 1

Board Item No. \_\_\_\_\_

# COMMITTEE/BOARD OF SUPERVISORS

## AGENDA PACKET CONTENTS LIST

Committee: RULES

Date: \_\_\_\_\_

Board of Supervisors Meeting

Date: April 25, 2018

### Cmte Board

- Motion
- Resolution
- Ordinance
- Legislative Digest
- Budget and Legislative Analyst Report
- Youth Commission Report
- Introduction Form
- Department/Agency Cover Letter and/or Report
- MOU
- Grant Information Form
- Grant Budget
- Subcontract Budget
- Contract/Agreement
- Form 126 – Ethics Commission
- Award Letter
- Application
- Form 700
- Vacancy Notice
- Information Sheet
- Public Correspondence

### OTHER

- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

Prepared by: John Carroll

Date: April 20, 2018

Prepared by: \_\_\_\_\_

Date: \_\_\_\_\_

1 [Mayoral Reappointment, Controller - Ben Rosenfield]

2  
3 **Motion approving/rejecting the Mayor's reappointment of Ben Rosenfield as the**  
4 **Controller for the City and County of San Francisco, for a ten-year term, pursuant to**  
5 **Charter, Section 3.105.**

6  
7 WHEREAS, Charter, Section 3.105, empowers the Mayor to appoint a Controller for a  
8 ten-year term, subject to confirmation by the Board of Supervisors; and

9 WHEREAS, On March 25, 2008, the Board of Supervisors confirmed the appointment  
10 of Ben Rosenfield for a ten-year term by Motion No. M08-56; and

11 WHEREAS, On March 14, 2018, Mayor Mark Farrell submitted a communication  
12 notifying the Board of Supervisors of the reappointment of Ben Rosenfield as the Controller,  
13 for an additional ten-year term; and

14 WHEREAS, The Board of Supervisors wishes to confirm the reappointment of Ben  
15 Rosenfield as the Controller, for a ten-year term to begin on the approval date of this Motion;  
16 now, therefore, be it

17 MOVED, That the Board of Supervisors hereby approves/rejects the Mayor's  
18 reappointment of Ben Rosenfield as the Controller for the City and County of San Francisco,  
19 for a ten-year term ending \_\_\_\_\_, 2018.

BOARD of SUPERVISORS



City Hall  
1 Dr. Carlton B. Goodlett Place, Room 244  
San Francisco 94102-4689  
Tel. No. 554-5184  
Fax No. 554-5163  
TDD/TTY No. 554-5227


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**MEMORANDUM**

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Date: March 14, 2018  
To: Members of the Board of Supervisors  
From:  Angela Calvillo, Clerk of the Board  
Subject: APPOINTMENT BY THE MAYOR

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On March 13, 2018, the Mayor submitted the following reappointment package, pursuant to Charter, Section 3.105.

**Ben Rosenfield** as the Controller for a ten year term, to commence upon Board Approval.

**Mr. Rosenfield's current seat expires March 25, 2018. Pursuant to Board Rule 2.18.1, The Clerk of the Board has opened File No. 180253 for this nomination and will schedule a public hearing at a Committee of the Whole on March 20, 2018.**

(Attachments)

c: Alisa Somera - Rules Clerk  
Jason Elliott - Chief of Staff  
Jon Givner - Deputy City Attorney

OFFICE OF THE MAYOR  
SAN FRANCISCO



MARK E. FARRELL  
MAYOR

BOS-11  
Rules Clerk  
COB Files

March 13, 2018

Angela Calvillo  
Clerk of the Board, Board of Supervisors  
San Francisco City Hall  
1 Carlton B. Goodlett Place  
San Francisco, CA 94102

2018 MAR 13 PM 3:26  
AK  
BOARD OF SUPERVISORS  
SAN FRANCISCO

Dear Ms. Calvillo:

Pursuant to the Section 3.105 of the Charter of the City and County of San Francisco, it is my pleasure to notify you of my reappointment of Ben Rosenfield as Controller.

Mr. Rosenfield's reappointment is for a term ending ten years from the date of confirmation by the Board of Supervisors.

I am pleased to advise you of this nomination for reappointment and encourage the support of the Board of Supervisors.

Should you have any questions related to this appointment, please contact my Deputy Chief of Staff, Francis Tsang, at 415-554-6467.

Sincerely,

A handwritten signature in black ink that reads "Mark E. Farrell".

Mark E. Farrell  
Mayor

# Ben Rosenfield, Controller

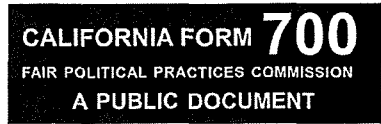
Benjamin Rosenfield serves as the City Controller. Mr. Rosenfield was appointed to a 10-year term as Controller by Mayor Gavin Newsom and confirmed by the Board of Supervisors in Spring 2008.

As Chief Fiscal Officer and Auditor, he provides fiscal management oversight of a \$10 billion budget and is responsible for budgetary planning and monitoring of the receipt, collection and disbursement of City funds. The City Controller is responsible for the City's financial management systems, internal controls and reports, provides public policy and economic analyses, estimates the cost of ballot measures, provides payroll services for the City's employees and directs performance and financial audits of City activities.

Before becoming Controller, Mr. Rosenfield served as the Deputy City Administrator under City Administrator Edwin Lee from 2005 to 2008, during which time he was charged with the preparation of the City's first 10-year capital plan and oversight of the implementation of the City's 311 non-emergency customer service center. From 2001 to 2005 Mr. Rosenfield worked as the Budget Director for Mayor Willie L. Brown, Jr. and Mayor Gavin Newsom. As Budget Director, Mr. Rosenfield prepared the City's proposed budget for each fiscal year and served on behalf of the Mayor to manage city spending during the course of each year. From 1997 to 2001 Mr. Rosenfield performed as an analyst in the Mayor's Budget Office and as a project leader in the Controller's Office.

During his time as Controller, Mr. Rosenfield has worked with policymakers on a number of initiatives to improve management of the City's finances. He has supported the development of voter-approved ballot measures to revise the City's financial planning processes, shift the City to a two-year budget, and better manage retiree pension and healthcare costs. He supervised the development of complex financings required to expand the City's Moscone Convention Center, complete construction of the Transbay Transit Center, and restructure the Asian Art Museum's strained debt portfolio. During his term, the Controller's Office has replaced the City's legacy payroll & benefits systems and is underway with the replacement and modernization of the City's financial and procurement systems. He has participated in the preparation and review of the last twenty City operating budgets during his career with the City.

Mr. Rosenfield succeeded Edward Harrington as the Controller, following Mr. Harrington's appointment to the position of General Manager of the San Francisco Public Utilities Commission in 2008.



STATEMENT OF ECONOMIC INTERESTS COVER PAGE

Date Initial Filing Received Official Use Only

E-Filed 03/28/2017 10:27:51 Filing ID: 164317158

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE) Rosenfield, Benjamin Lewis

1. Office, Agency, or Court

Agency Name (Do not use acronyms) City and County of San Francisco Division, Board, Department, District, if applicable Your Position Controller Office of the Controller If filing for multiple positions, list below or on an attachment. (Do not use acronyms) Agency: \*SEE ATTACHED FOR ADDITIONAL POSITIONS Position:

2. Jurisdiction of Office (Check at least one box)

[X] State [ ] Judge or Court Commissioner (Statewide Jurisdiction) [ ] Multi-County [X] County of San Francisco [X] City of San Francisco [ ] Other

3. Type of Statement (Check at least one box)

[X] Annual: The period covered is January 1, 2016, through December 31, 2016 -or- The period covered is through December 31, 2016 [ ] Assuming Office: Date assumed [ ] Candidate: Election Year and office sought, if different than Part 1: [ ] Leaving Office: Date Left (Check one) [ ] The period covered is January 1, 2016, through the date of leaving office. [ ] The period covered is through the date of leaving office.

4. Schedule Summary (must complete)

Total number of pages including this cover page: 3

Schedules attached

[X] Schedule A-1 - Investments - schedule attached [ ] Schedule C - Income, Loans, & Business Positions - schedule attached [ ] Schedule A-2 - Investments - schedule attached [ ] Schedule D - Income - Gifts - schedule attached [ ] Schedule B - Real Property - schedule attached [ ] Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

[ ] None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE (Business or Agency Address Recommended - Public Document) San Francisco CA 94114 DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/28/2017 (month, day, year)

Signature Benjamin Lewis Rosenfield (File the originally signed statement with your filing official.)

**STATEMENT OF ECONOMIC INTERESTS  
COVER PAGE  
Expanded Statement Attachment**

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name <div style="text-align: center; border-bottom: 1px solid black; margin-top: 5px;">Benjamin Lewis</div>

\* This table lists all positions including the primary position listed in the Office, Agency, or Court section of the Cover Page.

Agency	Division/Board/Dept/District	Position	Type of Statement
City and County of San Francisco	Controller Office of the	Controller	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	PUC Rate Fairness Board	Board Member	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	Treasury Oversight Committee	Member	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	Retiree Health Trust Fund Board	Trustee	Annual 1/1/2016 - 12/31/2016
City and County of San Francisco	Rate Fairness Board	Board Member	Annual 1/1/2016 - 12/31/2016

# SCHEDULE A-1 Investments

## Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
Rosenfield, Benjamin Lewis

▶ NAME OF BUSINESS ENTITY  
SPDR DOW JONES INDL ETF

GENERAL DESCRIPTION OF THIS BUSINESS  
Dow Jones fund

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other Investment fund  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
SPDR S&P 500 TRUST

GENERAL DESCRIPTION OF THIS BUSINESS  
S&P trust

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other Investment fund  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Powershares QQQ Series 1 ETF

GENERAL DESCRIPTION OF THIS BUSINESS  
Multicompany NASDAQ investment fund

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other ETF  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ISHARES MSCI ASIA EX-JAPAN ETF

GENERAL DESCRIPTION OF THIS BUSINESS  
Multiple company investment fund

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other Investment fund  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Vale SA

GENERAL DESCRIPTION OF THIS BUSINESS  
Mining and metalurgy

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other Stock  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
07 / 01 / 16                      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ISHARES MSCI BRAZIL CAPPED ETF

GENERAL DESCRIPTION OF THIS BUSINESS  
Investment Fund

FAIR MARKET VALUE  
 \$2,000 - \$10,000     \$10,001 - \$100,000  
 \$100,001 - \$1,000,000     Over \$1,000,000

NATURE OF INVESTMENT  
 Stock     Other Investment Fund  
(Describe)  
 Partnership     Income Received of \$0 - \$499  
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_



# Introduction Form

By a Member of the Board of Supervisors or Mayor

Time stamp  
or meeting date

I hereby submit the following item for introduction (select only one):

- 1. For reference to Committee. (An Ordinance, Resolution, Motion or Charter Amendment).
- 2. Request for next printed agenda Without Reference to Committee.
- 3. Request for hearing on a subject matter at Committee.
- 4. Request for letter beginning : "Supervisor [ ] inquiries"
- 5. City Attorney Request.
- 6. Call File No. [ ] from Committee.
- 7. Budget Analyst request (attached written motion).
- 8. Substitute Legislation File No. [ ]
- 9. Reactivate File No. [ ]
- 10. Question(s) submitted for Mayoral Appearance before the BOS on [ ]

Please check the appropriate boxes. The proposed legislation should be forwarded to the following:

- Small Business Commission
- Youth Commission
- Ethics Commission
- Planning Commission
- Building Inspection Commission

**Note: For the Imperative Agenda (a resolution not on the printed agenda), use the Imperative Form.**

Sponsor(s):

Clerk of the Board

Subject:

Mayoral Reappointment, Controller - Ben Rosenfield

The text is listed:

Motion approving/rejecting the Mayor's reappointment of Ben Rosenfield as the Controller for the City and County of San Francisco, for a ten-year term, pursuant to Charter, Section 3.105.

Signature of Sponsoring Supervisor:

*Alise Gomez*  
for 180253

For Clerk's Use Only