

File No. 190968

Committee Item No. 3

Board Item No. 30

COMMITTEE/BOARD OF SUPERVISORS

AGENDA PACKET CONTENTS LIST

Committee: Rules Committee

Date October 28, 2019

Board of Supervisors Meeting

Date NOVEMBER 5, 2019

Cmte Board

<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Motion
<input type="checkbox"/>	<input type="checkbox"/>	Resolution
<input type="checkbox"/>	<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	<input type="checkbox"/>	Legislative Digest
<input type="checkbox"/>	<input type="checkbox"/>	Budget and Legislative Analyst Report
<input type="checkbox"/>	<input type="checkbox"/>	Youth Commission Report
<input type="checkbox"/>	<input type="checkbox"/>	Introduction Form
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Department/Agency Cover Letter and/or Report
<input type="checkbox"/>	<input type="checkbox"/>	Memorandum of Understanding (MOU)
<input type="checkbox"/>	<input type="checkbox"/>	Grant Information Form
<input type="checkbox"/>	<input type="checkbox"/>	Grant Budget
<input type="checkbox"/>	<input type="checkbox"/>	Subcontract Budget
<input type="checkbox"/>	<input type="checkbox"/>	Contract/Agreement
<input type="checkbox"/>	<input type="checkbox"/>	Form 126 - Ethics Commission
<input type="checkbox"/>	<input type="checkbox"/>	Award Letter
<input type="checkbox"/>	<input type="checkbox"/>	Application
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Form 700
<input type="checkbox"/>	<input type="checkbox"/>	Vacancy Notice
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Information Sheet
<input type="checkbox"/>	<input type="checkbox"/>	Public Correspondence

OTHER (Use back side if additional space is needed)

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Completed by: Victor Young Date Oct 24, 2019

Completed by: Victor Young Date 10/29/19

1 [Appointment, Treasury Oversight Committee - Brenda McNulty]

2
3 **Motion approving the Treasurer's nomination of Brenda McNulty, for a term ending**
4 **September 30, 2023, to the Treasury Oversight Committee.**

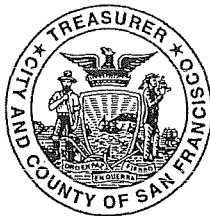
5
6 WHEREAS, The Treasury Oversight Committee for the City and County of San
7 Francisco is established as an advisory body to the Treasurer to involve depositors of funds
8 into the City Treasury in reviewing investment policies that guide the management of those
9 funds and to enhance the security and investment return on those funds; and

10 WHEREAS, The Members of the Treasury Oversight Committee are nominated by the
11 Treasurer and approved or rejected by the Board of Supervisors; and

12 WHEREAS, Pursuant to Administrative Code, Section 5.9-3, the Treasurer of the City
13 and County of San Francisco has submitted notification to the Clerk of the Board of
14 Supervisors of the nomination of Brenda McNulty to the Treasury Oversight Committee,
15 received by the Clerk of the Board on September 23, 2019; now, therefore, be it

16 MOVED, That the Board of Supervisors of the City and County of San Francisco
17 hereby approves the Treasurer of the City and County of San Francisco's nomination of
18 Brenda McNulty, seat 7, to the Treasury Oversight Committee, for a four-year term ending
19 September 30, 2023.
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25

Office of the Treasurer & Tax Collector
City and County of San Francisco



José Cisneros, Treasurer

September 20, 2019

Ms. Angela Calvillo
Clerk of the Board of Supervisors
1 Dr. Carlton B. Goodlett Place
City Hall, Room 244

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2019 SEP 23 AM 11:11
BY [Signature]

Re: Nominations for the Treasury Oversight Committee

Dear Clerk of the Board:

In accordance with the City and County of San Francisco Administrative Code 5.9-3, I hereby nominate the following members to the Treasury Oversight Committee for terms ending September 30, 2023:

Seat 6 – Aimee Brown, Public Member
Seat 7 – Brenda McNulty, Public Member

Please feel free to contact Amanda Fried at Amanda.fried@sfgov.org if you require additional information.

Sincerely,

A handwritten signature in black ink, consisting of a large, stylized 'J' followed by a horizontal line.

José Cisneros
Treasurer
City and County of San Francisco



Board of Supervisors
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place, Room 244
(415) 554-5184 FAX (415) 554-7714

Application for Boards, Commissions, Committees, & Task Forces

Name of Board, Commission, Committee, or Task Force: Treasury Oversight Committee

Seat # or Category (If applicable): 7 District: _____

Name: Brenda Kwee McNulty

Home Address: _____, San Francisco, CA Zip: 94109

Home Phone: _____ Occupation: Retired financial professional

Work Phone: 415-519-3028 Employer: N/A

Business Address: N/A Zip: _____

Business E-Mail: N/A Home E-Mail: _____

Pursuant to Charter Section 4.101 (a)2, Boards and Commissions established by the Charter must consist of electors (registered voters) of the City and County of San Francisco. For certain other bodies, the Board of Supervisors can waive the residency requirement.

Check All That Apply:

Registered voter in San Francisco: Yes ☒ No ☐ If No, where registered: _____

Resident of San Francisco ☒ Yes ☐ No If No, place of residence: _____

Pursuant to Charter section 4.101 (a)1, please state how your qualifications represent the communities of interest, neighborhoods, and the diversity in ethnicity, race, age, sex, sexual orientation, gender identity, types of disabilities, and any other relevant demographic qualities of the City and County of San Francisco:

I believe my qualifications represent all the the communities of interest in the City and County of San Francisco.

Business and/or professional experience:

40+ years of financial and general management experience - specific experiences in 1) the product development of fixed interest instruments, 2) management of fixed interest instruments, 3) sales of fixed interest instruments.

Civic Activities:

I have served on the CGOBOC for four years and have thoroughly enjoyed my service and felt I have added value to CGOBOC during my tenure. I am seeking to continue my service to the taxpayers of CCSF. I feel my prior experience in fixed interest instruments makes me a good candidate to serve on the Treasury Oversight committee seat to represent the general public.

Have you attended any meetings of the Board/Commission to which you wish appointment? Yes ☒ No ☐

For appointments by the Board of Supervisors, appearance before the RULES COMMITTEE is a requirement before any appointment can be made. (*Applications must be received 10 days before the scheduled hearing.*)

Date: 09/04/19 Applicant's Signature: (required) Brenda Kwee McNulty

(Manually sign or type your complete name.
NOTE: By typing your complete name, you are
hereby consenting to use of electronic signature.)

Please Note: Your application will be retained for one year. Once Completed, this form, including all attachments, become public record.

FOR OFFICE USE ONLY:

Appointed to Seat #: _____ Term Expires: _____ Date Seat was Vacated: _____

01/20/12



**CERTIFICATION OF COMPLIANCE WITH CALIFORNIA GOVERNMENT CODE FOR THE
CURRENT FISCAL YEAR**

Please furnish information regarding your compliance with the following California Government Code Sections:

Section 27132.1 - Member Employment by Campaign Contributors

A Committee member may not be employed by an entity that had contributed to an election campaign of the Treasurer or a member of the Board of Supervisors in the previous three years.

☒ YES, in compliance. ☐ NO, I am not in compliance.

Section 27132.2 - Fundraising by Committee Members for County Officials

A Committee member may not directly or indirectly raise money for the Treasurer or a member of the Board of Supervisors while a member of the Committee.

☒ YES, in compliance. ☐ NO, I am not in compliance.

Section 27132.3 - Member Employment in the Financial Services Industry

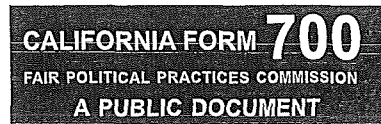
A Committee member may not secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the Committee.

☒ YES, in compliance. ☐ NO, I am not in compliance.

Please complete this certification and return to Anna Arevalo in the Treasurer's Office. If you have any questions regarding this matter, please contact Anna at 415-554-7870.

I hereby certify that the information provided above is true and correct.

Signature: Brenda Kwee McNulty Date: 09/04/19
Printed Name: Brenda Kwee McNulty
Member, San Francisco Treasury Oversight Committee



STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

Date Initial Filing
Received
Official Use Only

1240547

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
McNulty, Brenda Kwee

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

City and County of San Francisco

Division, Board, Department, District, if applicable

Your Position

Citizens General Obligation Bond Oversight Committee

Member

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: *SEE ATTACHED FOR ADDITIONAL POSITIONS

Position:

2. Jurisdiction of Office (Check at least one box)☐ State☐ Judge or Court Commissioner (Statewide Jurisdiction)☐ Multi-County☒ County of San Francisco☒ City of San Francisco☐ Other**3. Type of Statement (Check at least one box)**☒ Annual: The period covered is January 1, 2018, through December 31, 2018☐ Leaving Office: Date Left ____/____/____

(Check one circle)

-or-

The period covered is ____/____/____, through December 31, 2018

☐ The period covered is January 1, 2018, through the date of leaving office.☐ Assuming Office: Date assumed ____/____/____☐ The period covered is ____/____/____, through the date of leaving office.☐ Candidate: Date of Election _____ and office sought, if different than Part 1: _____**4. Schedule Summary (must complete)**► Total number of pages including this cover page: 3**Schedules attached**☒ Schedule A-1 - Investments - schedule attached☐ Schedule C - Income, Loans, & Business Positions - schedule attached☐ Schedule A-2 - Investments - schedule attached☐ Schedule D - Income - Gifts - schedule attached☐ Schedule B - Real Property - schedule attached☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule**5. Verification**MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)

c/o Controller's Office City Hall Room 316

San Francisco

CA

94102

DAYTIME TELEPHONE NUMBER

E-MAIL ADDRESS

(415) 519-3028

kweenulty@gmail.com

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/13/2019

(month, day, year)

Signature Brenda Kwee McNulty

(File the originally signed paper statement with your filing official.)

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>McNulty, Brenda Kwee</u>
--

<p>► NAME OF BUSINESS ENTITY <u>IBM Corporation</u></p> <p>GENERAL DESCRIPTION OF THIS BUSINESS <u>Computer & software solutions</u></p> <p>FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000 </p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership <input type="radio"/> Income Received of \$0 - \$499 <input type="radio"/> Income Received of \$500 or More (Report on Schedule C) </p> <p>IF APPLICABLE, LIST DATE: <u> </u> / <u> </u> / <u>18</u> <u> </u> / <u> </u> / <u>18</u> ACQUIRED DISPOSED </p>	<p>► NAME OF BUSINESS ENTITY <u>Wells Fargo Bank</u></p> <p>GENERAL DESCRIPTION OF THIS BUSINESS <u>Retail & commercial banking</u></p> <p>FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000 </p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership <input type="radio"/> Income Received of \$0 - \$499 <input type="radio"/> Income Received of \$500 or More (Report on Schedule C) </p> <p>IF APPLICABLE, LIST DATE: <u> </u> / <u> </u> / <u>18</u> <u> </u> / <u> </u> / <u>18</u> ACQUIRED DISPOSED </p>
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Comments: _____

San Francisco
BOARD OF SUPERVISORS

Date Printed: September 19, 2018

Date Established:

April 9, 1997

Active

TREASURY OVERSIGHT COMMITTEE

Contact and Address:

Maura Lane c/o Controller
Controller
City Hall Room 316
San Francisco, CA 94102

Phone: (415) 554-7500

Fax: (415) 554-7466

Email: Maura.Lane@sfgov.org

Authority:

Administrative Code, Article IX, Sections 5.9-1 et seq. (Ordinance Nos. 125-97, 316-00, 291-10, and 119-18)

Board Qualifications:

The Treasury Oversight Committee consists of a total of seven (7) members, all of whom are nominated by the Treasurer and confirmed by the Board of Supervisors. Membership is drawn from the following:

- 1) Controller, or the Controller's designee;
- 2) County Superintendent of Schools, or the Superintendent's designee (if the Superintendent declines to serve or designate a person and the seat remains vacant for 60 days or longer, the Treasurer may nominate and the Board may appoint);
- 3) Chancellor of the Community College District, or the Chancellor's designee (if the Superintendent declines to serve or designate a person and the seat remains vacant for 60 days or longer, the Treasurer may nominate and the Board may appoint);
- 4) Employee of City department or local agency that participates in the City's pooled fund under California Government Code Sections 53630 et seq.;
- 5) Employee of City department or local agency that participates in the City's pooled fund under California Government Code Sections 53630 et seq.;
- 6) Members of the public who have expertise in, or an academic background in, public finance, and are economically diverse and bipartisan in political registration; and
- 7) Members of the public who have expertise in, or an academic background in, public finance, and are economically diverse and bipartisan in political registration.

(Ordinance No. 119-18 changed the composition of the Committee; effective on June 17, 2018.)

"R Board Description" (Screen Print)

San Francisco
BOARD OF SUPERVISORS

Each member of the Committee shall serve for a term of four years.

A Committee member MAY NOT:

- > Be employed by an entity that had contributed to a reelection campaign of the Treasurer or a member of the Board of Supervisors in the previous three years;
- > Directly or indirectly raise money for the Treasurer or a member of the Board of Supervisors while a member of the Committee; or
- > Secure employment with bond underwriters, bond counsel, security brokerages or dealers, or with financial services firms for three years after leaving the committee.

The Committee's purpose is to involve depositors of funds into the City and County Treasury in reviewing the policies for investment of surplus funds that guide the management of their funds and to enhance the security and investment return on those funds by providing a more stable and predictable balance for investment by establishing criteria for the withdrawal of funds.

Reports: None referenced.

Sunset Date: None.

"R Board Description" (Screen Print)